#### 1 The expression of virulence by the *Cryptococcus neoformans* VNIa-5 lineage is plastic and

- 2 associated with host immune background.
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28

#### 29 Abstract

30	Cryptococcus neoformans most frequently causes disease in immunocompetent patients.
31	However, in Vietnam and east Asia, disease is frequently reported in apparently
32	immunocompetent patients. We have previously shown that almost all such disease is due
33	to a specific lineage of C. neoformans – VNIa-5. However, in HIV infected patients, infections
34	due to this lineage are not associated with worse outcomes. Here, we demonstrate that the
35	VNIa-5 presents different virulence phenotypes depending on its source. Isolates derived
36	from immunocompetent patients are more virulent than those from HIV infected patients
37	or the environment. Moreover, the virulence phenotype is plastic – sterile culture filtrate
38	from highly virulent VNIa-5 strains can induce increased virulence in less virulent VNIa-5
39	isolates, which in turn can then induce increased virulence in their low virulence states. We
40	present evidence that this phenomenon is driven by secreted proteins associated with
41	extra-cellular vesicles.

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#### 43 Introduction

Cryptococcal meningitis is a devastating disease due to infection with encapsulated yeasts of the *Cryptococcus* genus. Seven species (*Cryptococcus neoformans, C. deneoformans, C. gattii, C. bacillisporus, C. deuterogattii, C. tetragattii, C. decagattii*) are well recognised to cause human disease<sup>1</sup>. Disease most frequently occurs in immunosuppressed patients, but the *Cryptococcus gattii* species complex has been frequently associated with disease in immunocompetent patients<sup>2</sup>. The *C. gattii* species complex is limited to areas of tropical and sub-tropical/temperate rainforest<sup>3</sup>. 51 The vast majority of human cryptococcal meningitis occurs in HIV infected patients and is 52 due to Cryptococcus neoformans. There are an estimated 223,000 cases per year resulting in 181, 000 deaths<sup>4</sup>. Disease in HIV infected patients has been driven by the clonal expansion 53 of a small number of well-defined lineages of *C. neoformans*, and while these are widely 54 55 dispersed globally, in most countries a single lineage predominates<sup>5</sup>. Vietnam is unusual in 56 having two co-dominant lineages circulating- VNIa-5 and VNIa-4 - with each accounting for about 35-40% of cases of meningitis in HIV infected patients<sup>5-7</sup>. 57 58 In addition to HIV-associated disease, cryptococcal meningitis is also well-described in HIV uninfected patients in southeast and east Asia<sup>8-12</sup>. Such patients account for about 20% of 59 cases at our hospital in Vietnam<sup>12</sup>. The majority of patients are apparently 60 61 immunocompetent, with no other evidence to suggest underlying immune deficit. 62 Outcomes are similar to those seen in HIV patients, with 3 month mortality rates in the order of 20-30%<sup>12</sup>. Contrary to expectation, most infections in these patients (80%) are due 63 64 to *C. neoformans*, rather than *C. gattii*<sup>13</sup>. We have previously identified a strong association between the *C. neoformans* VNIa-5 lineage and disease in these apparently 65 66 immunocompetent patients – it accounts for approximatley 90% of cases due to C. *neoformans*<sup>5,6,13</sup>. Furthermore, HIV uninfected patients with cryptococcal meningitis due to 67 other lineages are statistically significantly more likely to have co-morbidities associated 68 with immune compromise<sup>13</sup>. This suggests that isolates of the VNIa-5 lineage have increased 69 70 pathogenic potential. Of note, we do not observe clustering of isolates within the VNIa-5 71 lineage according to host immune phenotype, suggesting that the entire lineage has the 72 potential to cause disease in immunocompetent people<sup>5</sup>. Despite this apparent increase in 73 pathogenic potential, in HIV infected patients meningitis due to the VNIa-5 lineage does not 74 have worse outcomes than that due to other lineages circulating in Vietnam – in other

75 words the lineage does not appear more virulent in HIV infected patients<sup>6</sup>. This could have 76 one of two explanations. First, the VNIa-5 lineage is more pathogenic than other lineages and capable of causing disease in immunocompetent people. Alternatively, the lineage may 77 be exploiting some undefined, VNIa-5 specific, defect in host immunity in HIV uninfected 78 79 patients that enables infection and/or subsequent development of disease. Here, we 80 demonstrate that, using the Galleria mellonella model of infection, we can detect differences in virulence between isolates of the VNIa-5 lineage of different ecological 81 82 backgrounds. The most virulent isolates are isolates of the VNIa-5 lineage from HIV 83 uninfected patients. Sterile culture filtrate from these highly virulent isolates can induce increased virulence in low virulence isolates of the same lineage from HIV patients or the 84 85 environment. Such induced isolates can subsequently upregulate the virulence of other low virulence isolates, and their own 'naïve' self. This process is lineage specific and mediated 86 87 through secreted proteins associated with extracellular vesicles. 88 89 Results 90 1. VNIa-5 isolates from immunocompetent patients are more virulent than VNIa-4 91 clinical isolates, and than VNIa-5 isolates from HIV infected patients or the environment. 92 93 We used the *Galleria* model of infection to compare the relative virulence of VNIa-5 isolates from different sources, and with clinical isolates of the VNIa-4 lineage. Details of the strains 94

96 isolates of VNIa-5 from HIV uninfected patients with 20 isolates of the VNIa-4 lineage from

used are given in Table 1 of the Supplementary Appendix. First we compared 20 clinical

97 HIV infected patients. The Kaplan-Meier curves are shown in **Figure 1A**. There was a

98 significantly increased hazard of death in *Galleria* infected with VNIa-5 compared with VNIa-99 4 (Hazard Ratio (HR) 1.4, 95% Confidence Interval (95CI) 1.2-1.6, P<0.001). Because we had 100 previously failed to identify worse survival in Vietnamese HIV infected patients with VNIa-5 101 infections, we next compared the relative virulence of VNIa-5 isolates according to their 102 source -i whether they were derived from HIV uninfected apparently immunocompetent 103 patients, HIV infected patients, or the environment. We found divergence of Galleria 104 survival curves by this factor: infection with isolates from immunocompetent patients was 105 associated with a significantly increased hazard of death compared with infection with 106 isolates from HIV infected patients (HR 1.7, 95CI 1.3 – 2.4, P<0.001) or with isolates from the environment (HR 5.7, 95Cl 3.9 – 8.4, P<0.001, see figure 1B). We repeatedly passaged(six-107 fold) environmental strains through *Galleria* hypothesising that the difference in virulence 108 109 phenotype by ecological background was a function of a previous infection experience. 110 However, the virulence phenotype of the environmental isolates remained stable over 111 multiple passages through the Galleria model, with no change in the hazard of death 112 between the infection with the 'naïve' environmental isolate versus infection with that 113 isolate following six-times passage through the model (see **Supplementary Figure 1**). 114 115 2. VNIa-5 isolates from HIV uninfected patients express greater in vitro growth and 116 thinner capsules than VNIa-5 isolates from HIV infected patients

We next compared the expression of *in vitro* phenotypic characteristics associated with virulence by VNIa-5 isolates depending on their source – i.e. whether they were from immunocompetent patients or from HIV infected patients. We compared 15 isolates from apparently immunocompetent patients with 15 isolates derived from HIV infected patients.

121	We tested growth rates at 30 and 37°C in YPD broth and in pooled human CSF, capsule size,
122	extracellular urease and phospholipase production, and melanin production. Isolates from
123	from HIV uninfected patients had moderate but statistically increased growth by 48 hours at
124	30°C and 37°C compared with isolates from HIV infected patients (median 4.5X10 <sup>5</sup> CFU/mI
125	(Interqartile Range (IQR) 3.6 $X10^5$ - 5.2 $X10^5$ ) and 4 $X10^5$ CFU/ml (IQR 2.6 $X10^5$ - 5 $X10^5$ ) for
126	immunocompetent isolates versus 3.3 X10 <sup>5</sup> CFU/ml (2.9 X10 <sup>5</sup> - 4.5 X10 <sup>5</sup> ) and 2.8 X10 <sup>5</sup>
127	CFU/ml (2.2 X10 <sup>5</sup> - 3.8 X10 <sup>5</sup> ) for HIV derived isolates, P=0.004 and 0.002 respectively). There
128	was no statistically significant difference in the ability of immunocompetent patient derived
129	isolates to grow at 37°C (P=0.058) compared with 30°C; however, isolates from HIV infected
130	patients appeared to have decreased growth at 37°C (P = 0.044). (see Figure 2A).
131	In contrast, isolates derived from immunocompetent patients appeared to have slower
132	growth in ex vivo CSF compared with isolates derived from HIV infected patients, with
133	median fungal burdens after 48 hours of 7X10 <sup>3</sup> CFU/ml (IQR4.9 X10 <sup>3</sup> - 1.0X10 <sup>4</sup> ) versus
134	1.9X10 <sup>4</sup> (IQR 6X10 <sup>3</sup> - 2.6X10 <sup>4</sup> ), P=0.02 (Figure 2B). They also expressed significantly thinner
135	capsules (median thickness 1.3 microns, IQR 0.8 - 1.6) compared with isolates from HIV
136	infected patients (median 1.5 microns, IQR 1.3 – 1.95), P<0.001, see Figure 2D. There was
137	no difference in yeast cell diameter (median 3.45 micron vs 3.3 micron, P = 0.5,
138	immunocompetent derived versus HIV derived isolates). All strains produced melanised
139	colonies on L-DOPA agar and were urease positive. There was no difference in
140	phospholipase production (data not shown).

#### **3.** Growth in sterile culture filtrate from VNIa-5 isolates from HIV uninfected patients,

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but not from HIV patients, induces increased virulence in HIV-associated and

# 144 environmental VNIa-5 isolates of *C. neoformans*

145 We hypothesised that the increased virulence in *Galleria* seen in isolates derived from HIV 146 uninfected patients is associated with their ability to cause disease in immunocompetent 147 people. Isolates from HIV uninfected patients are distributed throughout the VNIa-5 lineage; 148 presumably all isolates of the lineage should be capable of being in this state, and able to 149 cause disease in immunocompetent hosts. We hypothesized that the development and/or 150 maintenance of an increased virulence state is driven by inter-yeast communication. To test this we grew a low virulence environmental VNIa-5 isolate in culture medium supplemented 151 with sterile culture filtrate from high virulence VNIa-5 isolates from immunocompetent 152 153 patients, and then compared the virulence phenotypes of the isolates in *Galleria*. Growth in 154 media supplemented with sterile culture filtrate from all 4 strains from immunocompetent patients resulted in increases in the virulence of the environmental isolates compared with 155 156 its 'naïve' self, with the hazard of death with infection of the induced isolate increasing 157 between 2.2 and 2.5 fold (see Figure 3). The change in virulence phenotype of the 158 environmental isolates was stable over multiple generations and *Galleria* infection cycles 159 (see Supplementary Figure 2). 160 In contrast, growth in media supplemented with pooled sterile culture filtrate from HIV-

associated VNIa-5 isolates had no effect on the virulence phenotype of environmental

162 isolates, **see Figure 4A** (HR1.4, 95Cl 0.8-2.3, P=0.2, 'induced' isolate versus naïve isolate).

163 We next repeated the experiments but growing the environmental VNIa-5 isolate in

164 medium supplemented with sterile culture filtrate from VNIa-4 isolates (necessarily derived

165	from HIV infected patients). This did not result in any significant change in the virulence of
166	the environmental isolate (see Figure 4B). Similarly, growth in sterile culture filtrate derived
167	from the C. neoformans H99 type-strain had no effect on virulence phenotype (see Figure
168	<b>4C</b> ). Growing the VNIa-5 environmental isolate in medium supplemented with its own
169	sterile culture filtrate from previous culture had no effect on virulence phenotype Figure 4D.
170	
171	4. Culture filtrate from an induced VNIa-5 isolate will itself induce increased virulence
172	in its naïve isogenic self
173	We then tested the effect of growing a 'naïve' low virulence VNIa-5 environmental isolate
174	(LD2) in media supplemented with sterile culture filtrate from its previously 'induced' higher
175	virulence self. First, we cultured the naïve LD2 isolate in culture medium supplemented with
176	sterile culture filtrate from the highly virulent, immunocompetent derived isolate BMD761
177	for 48 hours. We harvested the cultured yeast cells by centrifugation and washing and
178	purified them for single colony growth on solid agar. We termed this isolated 'iLD2'. We
179	recultured iLD2 in liquid medium for 48 hours and harvested culture filtrate. We then
180	recultured the naïve LD2 strain in medium supplemented with the sterile culture filtrate
181	from iLD2 for 48 hours. We termed the resulting isolate 'iLD2-induced LD2'. We then
182	compared the virulence phenotypes of the isolates from each experiment in the Galleria
183	model – i.e. 'LD2', 'iLD2', 'iLD2-induced LD2' and BMD761. (see figure 5). We found that, like
184	the sterile culture filtrate fromBMD761, the sterile culture filtrate of iLD2 was itself able to
185	induce an increased state of virulence in its naïve self (nLD2).

## 187 **5.** Virulence induction is associated with increased *ex vivo* CSF survival, higher fungal

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#### burdens in *Galleria*, and reduced capsule size.

189 We assessed the effect of culture filtrate induction on virulence-associated phenotypes 190 (thermotolerance, melanin formation, ex vivo CSF survival, fungal burden in Galleria and 191 capsule size ex-Galleria) by comparing the environmental LD2 before (naïve) and after its 192 induction (induced). There were no changes in thermotolerance (ability to grow in vitro at 193 30°C or 37°C) or in apparent melanization. However, the induced LD2 had superior growth 194 in ex vivo CSF compared with its naïve self, with higher fungal burdens after 48 hours incubation (median 5.9 X10<sup>4</sup> CFU/ml, IQR 3.6X10<sup>4</sup> – 7.6X10<sup>4</sup> vs 3.5 X10<sup>4</sup> CFU/ml, IQR 2.3 – 195 196 5.7 X10<sup>4</sup>, P= 0.02, see **Supplementary Figure 3a**). Similarly, the 48 hour fungal burden in 197 Galleria hemolymph infected with the induced isolate was significantly higher than that of its naïve self (median fungal burden 9.6 X10<sup>6</sup> CFU/g body weight (inter-quartile range 6.9 198 199 X10<sup>6</sup> - 1.4X10<sup>7</sup>) versus 5.3 X10<sup>6</sup> CFU/g body weight (IQR 4.0 X10<sup>6</sup> - 6.7 X10<sup>6</sup>, P=0.025), see 200 Supplementary Figure 3b). However, induced LD2 isolates expressed significantly thinner 201 capsules compared with the naïve self both when grown in vitro (median capsule width 4.5 202 μm, IQR 3.3-6.4 versus 7.2 μm, IQR 4.5 – 9.8, P<0.001, induced versus naïve respectively) or 203 when recovered from larval hemolymph (P=0.03, see Supplementary Figure 4). Both 204 induced LD2 and naïve LD2 had significantly thinner capsules than the highly virulent 205 immunocompetent associated strain BMD761 (P<0.001 and P=0.03 respectively).

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207 6. The inducing effect of sterile culture filtrate is abolished by boiling and protease,
208 but not freezing or nuclease treatment.

We froze sterile culture filtrate from the hypervirulent strain BMD761 at -20°C for 1 month. Upon thawing, its induction effect on the naïve environmental strain LD2 was maintained (Figure 6A). However, boiling sterile culture filtrate was associated with loss of induction effect (Figure 6B), as was treatment with Proteinase K (Figure 6C). However, incubation of sterile culture filtrate with RNase or DNase had no effect, and the induction property was conserved (Figure 6D).

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#### **7.** The induction phenomenon is likely mediated via extracellular vesicles.

Extracellular vesicles (EVs) have been implicated in the pathogenic potential of Cryptococcus 217 neoformans and the related organism Cryptococcus gattii. To gather circumstantial evidence 218 219 to support this hypothesis, we added albumin, which disrupts EV function, to sterile culture 220 filtrate and tested the effect on induction<sup>14</sup>. Adding bovine serum albumin to sterile culture 221 filtrate resulted in a loss of the induction effect (see **Supplementary Figure 5**). We then used 222 an ultracentrifugation-based extra-cellular vesicle separation protocol to produce an EV-free supernatant and EV-containing pellet fractions from sterile culture filtrate. Incubation of 223 224 naïve LD2 in media supplemented with supernatant did not result in induction of increased 225 virulence (see Figure 7B and D); conversely when we incubated naïve LD2 in media 226 supplemented with the putatively EV containing pellet there was induction of increased 227 virulence (see Figure 7A and C). Subsequent electron microscopy of ultracentrifugation 228 pellets confirmed presence of EVs; EVs were not seen supernatant (Figure 8). 229

#### **8.** Induction is associated with increased expression of recognised virulence factor

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#### genes and increased expression of a number of hypothetical proteins

233 We next used RNA-seq to probe the transcriptional basis of the observed change in 234 phenotype. RNA was extracted for six biological replicates and sequenced on an Illumina 235 HiSeq 4000 for four samples; an environmental VNIa-5 (low virulence and termed naïve LD2 236 (nLD2)), a clinical VNIa-5 from an HIV uninfected patient (BMD761), environmental VNIa-5 in 237 a state of increased virulence consequent to having been grown in media supplemented 238 with sterile culture filtrate from clinical VNIa-5 (induced LD2: iLD2) and the naïve 239 environmental VNIa-5 isolate (nLD2) grown in media supplemented with its own sterile 240 culture filtrate from a previous culture of itself (self-induced LD2: siLD2). Between 6.4 and 241 12.2 million reads were mapped per replicate, and more than 97% of reads mapped to the 242 H99 reference genome in each replicate (full details in **Supplementary Table 2**). The 243 virulence phenotypes of the isolates from all experiments, along with the inducing/non-244 inducing effect of their associated sCFs were confirmed in all cases - i.e. that the isolates had 245 the expected virulence in *Galleria*, and that their associated culture filtrate was inducing 246 (BMD761, iLD2 and siLD2) or non-inducing (nLD2). We confirmed strain identities by 247 identifying SNPs unique to each isolate from corresponding whole genome sequencing data, 248 and checking for the presence of these SNPs in the RNA-seq data. 249 To get an overall representation of the similarity of the transcriptomes of the four samples,

we performed a PCA analysis on read counts per gene (see **Supplementary Figure 6**). The majority of replicates clustered by their sample type. There were some outliers, three of the BMD761 replicates did not group with the primary cluster of three similar samples, although two of these replicates were more distant from the primary cluster in the second dimension 254 of the PCA, which only explained 18% of the variance. Two replicates of LD2 and one 255 replicate of induced LD2 were also separated from the primary clusters of their sample 256 types. Despite these outliers, a clear pattern can be discerned, with a different overall 257 pattern of gene expression between BMD761 and nLD2. The overall transcriptional profile 258 of iLD2 shifted much closer to BMD761. In order to check for effects of the presence of 259 culture filtrate, as opposed to culture filtrate from a high virulence biotype, we also supplemented the culture media of naïve LD2 with culture filtrate of a previous batch of 260 261 naïve LD2; this self-induced LD2 also differed in it's transcriptional profile from the naïve 262 LD2, but in a distinct way from the iLD2, and did not converge with the expression profile of 263 BMD761. 264 Next, we performed a differential gene expression analysis in order to identify genes that 265 were significantly (Wald test, Benjamini-Hochberg adjusted P value <0.05, log2 fold change  $\geq$ 1 and  $\leq$ -1) up and down regulated. We found that there were 784 differentially expressed 266 267 genes between BMD761 and naïve LD2, but after induction, there were only 244 268 differentially expressed genes between BMD761 and induced-LD2 (Figure 9). This contrasts 269 with the large number of differences between these 2 isolates and LD2 in the other states 270 (naïve or self-induced), where we found there were >1500 DEGs (Figure 9). This pattern of 271 similarity between BMD761 and induced-LD2 and difference between the aforementioned 272 and naïve or self-induced LD2 was maintained, even when the outliers were included 273 (Supplementary Figure 7).

We mined the genes which were upregulated in both BMD761 and induced LD2 compared
with naïve LD2 for functional associations which could explain this phenotypic difference.
We focussed on genes which have an experimentally validated link to virulence<sup>15</sup>, finding

that there were 17 up-regulated virulence factors in both BMD761 and Induced LD2 relative
to naïve LD2 [Supplementary Table 3].

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#### 280 9. Metagenomics

281 One potential cause of the induction phenomenon could be horizontal gene transfer such as

infection due to a mycovirus. We used Taxonomer, a metagenomics-based pathogen

283 detection tool (http://taxonomer.com) to interrogate all unmapped DNA (from whole

genome sequencing) and RNA (from RNAseq) unique to BMD761 and induced LD2,

285 compared with naive LD2 and self induced LD2, in order to identify DNA or RNA signatures

suggestive of a mycovirus infection associated with induction. We did not find any

287 convincing evidence to support the mycovirus hypothesis – we found a small number of

reads consistent with DNA viruses; however, none were expressed in every replicate and

the read counts were low.

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#### 291 Discussion

292 A number of lineages of *Cryptococcus neoformans* cause meningitis in humans in Vietnam,

but the vast majority of cases are due to either VNIa-4 or VNIa-5<sup>5</sup>. We have previously

shown that the VNIa-5 lineage is strongly associated with disease in apparently

immunocompetent patients<sup>5,6</sup>. Both VNIa-5 and VNIa-4 frequently affect our (severely

immunosuppressed) HIV infected patients<sup>5,6</sup>. VNIa-4 rarely causes disease in HIV uninfected

297 patients, and when it does, the patients are significantly more likely to have underlying

298 diseases associated with immunosuppression. Despite this difference in ability to infect

hosts of different immune competencies, mortality rates are no different in HIV infected
 patients whether they are infected with VNIa-4 or VNIa-5<sup>6</sup>.

301 Here, we have found that the VNIa-5 lineage displays significant variability in virulence

- 302 phenotype in the *Galleria* model of infection, and that this is associated with the particular
- 303 isolate's ecological background isolates from immunocompetent patients are more
- 304 virulent than those from HIV-infected individuals or those recovered from the environment.
- 305 The VNIa-5 isolates derived from immunocompetent patients are also significantly more

306 virulent than VNIa-4 isolates, necessarily derived from HIV infected patients.

307 The differences in virulence phenotype of the VNIa-5 isolates are not explained by a specific

308 within-lineage substructure - virulent isolates from immunocompetent patients do not

309 cluster within, but are distributed throughout, the VNIa-5 phylogeny<sup>5</sup>. This suggests that any

310 isolate of the VNIa-5 lineage should have the capacity to express a highly virulent

311 phenotype, and therefore infect immunocompetent patients. Consistent with this, we found

312 that the VNIa-5 virulence phenotype is highly plastic – less virulent isolates, derived from

313 the environment, can be induced into a more virulent state by growing them in culture

314 media that has been supplemented with sterile culture filtrate from highly virulent isolates,

derived from immunocompetent patients. While we found HIV derived VNIa-5 isolates were

somewhat more virulent that the environment-derived isolates, their sterile culture filtrate

317 lacked this capacity and could not induce increased virulence. The induction effect was also

318 limited to sterile culture filtrate derived from the VNIa-5 lineage – sterile culture filtrate

from the VNIa-4 lineage, or from the VNIb H99 type strain, did not have the induction

320 property. Therefore, we hypothesize that the induction effect seen with culture filtrate from

321 immunocompetent patient-derived VNIa-5, and the virulence plasticity of the lineage, are

322 intimately associated with the ability of the lineage to cause disease in the

323 immunocompetent host.

We found the increased virulence of VNIa-5 derived from immunocompetent patients was 324 325 associated with differences in *in vitro* virulence phenotypes compared with isolates from 326 HIV-infected patients, although the relationship was not simple. Isolates from 327 immunocompetent patients had faster rates of growth at 30 and 37°C, but had slower 328 growth in *ex vivo* cerebrospinal fluid and expressed thinner capsules. Induction of the higher 329 virulence state in the environmental isolate LD2 was also associated with increased fungal burdens in *Galleria*, and expression of thinner capsules. However, the induced LD2 isolate 330 331 grew more rapidly in ex vivo CSF compared with its naïve self. It is not clear why the changes 332 in *in vitro* phenotypes on induction were not entirely consistent with our findings when 333 comparing isolates by source. It may reflect the fact that these measures of virulence are 334 largely validated in relation to the ability of a strain to cause disease in humans irrespective 335 of immune background, i.e. including those with HIV infection. Or, it may be that the 336 induction phenomenon we have identified here is only a part of the mechanism that is 337 associated with disease in immunocompetent humans. However, our transcriptional data 338 suggest thet the change in virulence we are measuring in *Galleria* is real, because i) it is 339 associated with increased expression of a number of virulence associated genes and ii) we 340 saw a significant and remarkable convergence in gene expression between the highly virulence BMD761 isolate and the induced environmental isolate LD2. 341 342 The induction phenomenon we see is robust and repeatable. We do not believe it is an artefact of 'carry-over' of virulent organism from the sCF donor isolate, because we used 343 344 0.45 micron filters, which should exclude any donor cells, and every culture filtrate is tested 345 for sterility through culture. Furthermore, the induced state is stable following purification

by culture on solid media. We can be certain that the convergence of the transcriptomic 346 347 data from BMD761 and iLD2 is not confounded by contamination because we identified SNPs unique to each isolate within the context of nearly 900 *C. neoformans* genomes<sup>5</sup>, and 348 349 verified the identity of the strains in the RNA-seq data using these markers. Similarly we also 350 do not believe that the effect on virulence in *Galleria* is due to 'carryover' of some agent (eg 351 extra-cellular vesicles) from the donor isolate sCF, because induced isolates retain their 352 increased virulence following purification on solid media. 353 The induction effect appears to include a protein component, since the inducing effect of 354 sCF is lost with both boiling and protease treatment. Our data also sugest that the effect is associated with extra-cellular vesicles - the addition of albumin, which disrupts 355 *Cryptococcus* vesicles, to sCF inhibited virulence induction<sup>16</sup>. We used an ultracentrifugation 356 357 protocol<sup>14</sup> to concentrate EVs and showed that the induction effect was maintained in the 358 EV containing pellet, and lost in the EV-free supernatant. We confirmed the 359 presence/absence of EVs in the pellet/supernatant with transmission electron microscopy. The populations and compositions of EVs secreted by eukaryotic cells are complex. In 360 addition to proteins they can contain small nucleic acids<sup>14</sup>. While we found no effect of 361 362 nuclease treatment on the property of sCF, it is possible that we may have missed an effect 363 because any small nucleic acids may have been protected within vesicles. 364 A striking feature of the induction effect is the stability of the resulting phenotype, and the transmissibility of the phenomenon. One possible explanation is that the effect we are 365 366 observing is an infection event by a mycovirus. To investigate this possibility we interrogated any unmapped reads from our whole genome and transcriptome sequence 367 368 data using a metagenomic platform. We found no convincing evidence of viral infection. A 369 further possibility is that the effect is prion mediated – an EV-associated prion (Sup35p) has

370 been implicated in cell-to-cell communication in Saccharomyces cerevisiae, although the effect is not well-conserved across species<sup>17,18</sup>. However, the effect we observed of sCF in 371 372 our isolates was heat labile, suggesting a prion-driven mechanism is unlikely. On balance, we believe the phenomenon we have described is most likely mediated through 373 374 extra-cellular vesicles associated with one or more proteins. Small nucleic acids may be 375 involved. Such an EV-dependent mechanism is plausible - their production has been demonstrated for a number of fungal pathogens, including *Cryptococcus*<sup>19-23</sup>. A specific role 376 in the pathogenicity of *C.neoformans* was first postulated in 2008<sup>22</sup> - EVs have been shown 377 to contain virulence-associated factors including capsular components and laccase<sup>20</sup>. In 378 addition to proteins, EVs are also associated with small DNAs and RNAs which could 379 influence virulence<sup>14,23-25</sup>. Recently, EVs have been shown to mediate virulence of an 380 381 outbreak strain of the related species *C.gattii*<sup>14</sup>. The EV-mediated effect was dose-382 dependent, manifested as increased growth, and required both EV-associated protein and 383 RNA, providing strong evidence of EV uptake enabling inter-yeast communication. The 384 phenomenon differed from our observations in that it was seen in macrophage culture, and onward transmissibility of the phenotype was not reported. 385 386 Such inter-yeast signalling systems, associated specifically with changes in virulence, are 387 exciting potential therapeutic targets. A great challenge in treating HIV uninfected patients with cryptococcal meningitis, is knowing when it is is safe to stop antifungal therapy, and in 388 fact complete cure may take many months of treatment, or even life-long antifungal 389 390 therapy. This contrasts with HIV infected patients, where there is a modifiable immune 391 pathology, and once antiretroviral therapy has allowed immune recovery, as evidenced by 392 peripheral blood CD4 counts, we know it is safe to stop antifungal treatment for most

393 patients. Disrupting inter-yeast signalling associated with control of virulence, alongside 394 conventional treatment, might ultimately allow cure in immunocompetent patients. 395 Where and when the induction phenomenon occurs in relation to human disease is unclear. 396 It may be a rare event that occurs somewhere in the environment, perhaps as a result of 397 interaction with other micro-organisms, or due to animal infection, or it might occur in humans themselves<sup>26-29</sup>. If the former, then we would on occasion expect to see such 398 399 inducing isolates in HIV infected patients. We would need to characterise large numbers of 400 isolates from HIV infected patients to explore this. While there are significant numbers of people living with HIV in southeast and east Asia, the HIV prevalence is relatively low. In 401 Vietnam, it is estimated to be  $<1\%^{30}$ . Therefore, if the induction of virulence in VNIa-5 402 403 isolates occurs in the environment and is a rare event, we would indeed expect to see the 404 majority of cases in immunocompetent patients rather than HIV patients, since 405 immunocompetent patients so outnumber those with HIV infection.

406

#### 407 Conclusion

The virulence phenotype of Cryptococcus neoformans VNIa-5 in the Galleria infection model 408 409 is associated with the isolate source. Isolates from immunocompetent patients are the most 410 virulent; those from the environment the least. Since highly virulent isolates do not cluster 411 within the lineage, it is likely that all isolates have the capacity to cause disease in the 412 immunocompetent, and indeed we found the virulence phenotype to be highly plastic. 413 Regulation of virulence in part appears to be influenced by inter-yeast signalling through the 414 secretion of proteins which our data suggest are associated with extracellular vesicles. The 415 change in phenotype from 'naïve' to 'induced' is accompanied by transcriptional re-416 modelling and converged of the induced isolate with the 'inducer'. Once an isolate of the

417	lineage has had its virulence induced, it can turn induce increased virulence in other VNIa-5
418	isolates. The induction phenomenon is VNIa-5 specific, and presumably is key in the lineages
419	ability to cause disease in the immunocompetent. Better understanding the mechanism
420	may reveal novel therapeutic targets.
421	
422	Funding
423	Funded by a Wellcome Trust Intermediate Fellowship to JND Grant number: WT097147MA
424	
425	Methods
426	Isolates
427	Clinical isolates were derived from Vietnamese patients with cryptococcal meningitis who
428	had been enrolled into descriptive and randomised intervention studies performed by our
429	institute in collaboration with the Hospital for Tropical Diseases, Ho Chi Minh City <sup>12,31,32</sup> . All
430	isolates were from cerebrospinal fluid obtained at the point of diagnosis and/or study entry,
431	and stored on Microbank beads at -80°C prior to revival on Sabouraud dextrose agar.
432	Informed consent was obtained from all participants; all studies received ethical approval
433	through the Oxford Tropical Ethics Committee, The Hospital for Tropical Diseases, and The
434	Ministry of Health of Vietnam. All isolates have been previously sequenced and the data are
435	publically available <sup>5</sup> . Isolates of the lineage and human immune phenotype of interest (HIV
436	infected or not) were randomly selected from the isolate database for subsequent
437	experiments. Environmental isolates were obtained via randomised sampling of air, soil and
438	tree bark in urban and rural areas within and around Ho Chi Minh City. All strains used were
439	mating type alpha.

440

#### 441 In vitro phenotyping

#### 442 Temperature – dependent growth

- 443 Growth at high temperature and in *ex vivo* human CSF were tested as previously described
- 444 with modifications for quantitative assessment<sup>33</sup>. *Cryptococcus spp* were cultured on
- 445 Sabouraud agar for 2 days at 30°C. Inocula were made from single colonies and adjusted to
- 446 10<sup>8</sup> cells/ml, then serially 10-fold diluted and spotted in duplicate onto YPD agar in 5µl
- 447 aliquots. Incubation was at 30°C or 37°C for 48 hours. After 48 hours, the number of colony
- 448 forming units (CFU) was counted and expressed as CFU/ml.

449

#### 450 Capsule production

- 451 Capusle thickness was assessed through growth on Dulbecco Modified Eagle Medium
- 452 (DMEM) [supplemented with 4.5g/L glucose, L-glutamine, sodium pyruvate], NaHCO<sub>3</sub>
- 453 250mM, NaMOPS 1M, Neomycin 200mg/ml, Cefotaxime 100 mg/ml<sup>34</sup>. Plates were
- 454 incubated at 37°C in 5 % CO<sub>2</sub> for 5 days. A suspension from a single colony was made in
- 455 India ink visualized at 100X magnification using light microscopy (CX41, Olympus, Japan).
- 456 Images were captured using a built-in DP71 camera (Olympus, Japan) and visualised using
- 457 ImageJ (<u>https://imagej.nih.gov/ij/index.html</u>). Capsular thickness was calculated by
- 458 subtracting yeast cell body diameter from the whole cell diameter. At least 30 individual
- 459 microscopic yeast cells were assessed for each isolate.

460

#### 462 Melanization

463	Melanin production was assessed by plating 5 $\mu$ l of 10 <sup>6</sup> cells/mL cell suspension onto L-DOPA
464	agar containing 1g/L L-asparagine , 1g/L glucose, 3g/L KH2PO4, 250mg/L MgSO4.7H2O,
465	1mg/L Thiamine HCl, 5μg/L Biotin, 100mg/L L-DOPA, 20g/L Bacto Agar (Fisher Scientific,
466	UK) <sup>35,36</sup> . Plates were incubated in the dark at 30°C. Differences in colony melanization were
467	compared visually with reference to H99 and an H99-derived mutant with diminished
468	melaninization in L-DOPA agar, kindly provided by the Perfect Lab, Duke University .
469	
470	Urease and phospholipase production
471	Urease production was confirmed by spotting 5 $\mu$ l of 10 <sup>8</sup> cells/mL cell suspension onto
472	Christensen's agar with incubation at 30°C for 72 hours. <i>Cryptococcus neoformans</i> H99 type
473	strain and Candida parapsilosis ATCC 22019 were used as positive and negative controls.
474	Cultures were observed for the characteristic pink discoloration.
475	Extracellular phospholipase activity was confirmed on egg yolk medium as previously
476	described, with minor modifications again using a 5 $\mu$ l aliquot of <i>C. neoformans</i> yeast
477	suspension (10 <sup>8</sup> cells/ml) with incubation at 30°C for 72 hours <sup>37</sup> . The diameter of the
478	precipitation zone (D) formed around a colony in relation to the diameter of the respective
479	colony itself (d) was recorded for 5 selected colonies of each isolate (N=450 total) collected
480	after 72 hours incubation. The D/d ratio for each isolate was calculated. H99 was included
481	for reference in each experimental batch. The final result for each isolate was expressed as
482	the ratio D/d ratio. Type strain H99 was used as a quality control.

#### 484 Survival in *ex vivo* cerebrospinal fluid

485	CSF was prepared by pooling and filtering (Millipore 0.45 micron membranes, Merck ) CSF
486	samples taken prior to antifungal therapy from HIV infected patients participating in clinical
487	trials. CSf was stored at -80°C prior to use. An inoculum of 10 <sup>7</sup> cells/mL of each isolate of
488	interest was prepared using a Cellometer X2 (Nexcelom) cell counter. 10 uL of inoculum was
489	added to 90 uL of CSF and PBS followed by incubation at 30°C for 3 days. After 72 hours the
490	resulting culture was serially tenfold diluted, spotted onto Sabouraud plates and incubated
491	at 30°C for 3 days for quantification. Tested trains were inoculated alongside H99 and the
492	$\Delta$ ENA1 negative control (which lacks a cation-ATPase-transporter resulting in decreased
493	viability in human CSF and macrophages, strain provided by the Perfect Lab, Duke
494	University) <sup>33</sup> . Two biological replicates were performed per isolate.

495

#### 496 *Galleria* infection model

Late instar isogenic wild type Galleria mellonella larvae (30 days from oviposition of the 497 498 adult moth) were sourced from U U Animal, (Ho Chi Minh city, Vietnam). All larvae were 499 kept at 16°C in bran in the dark. Larvae for experimentation were selected according to the 500 following criteria: weight 250-300 mg, healthy colour (beige). Yeasts were revived from 501 frozen stock (Microbank Beads) on SDA plates for 72 hours. Single colonies were picked and 502 cultured in YPD broth for 24 to 48 hours in a shaking incubator (SI-300, Jeio Tech), at 150 503 rpm at 30°C until the concentration of cells was as at least 10<sup>8</sup> cells/mL. The pellet was 504 collected with centrifuge at 8000 rpm in 1 minute and subsequently washed twice with PBS. The cell suspension was adjusted to a density of 10<sup>8</sup> cells/mL using a Cellometer X2 505 (Nexcelom Bioscience, USA). Ten μL of inoculum (10<sup>6</sup> cells/larva) were used for injection per 506

507	larva. All inocula were relabeled by an independent person such that the operator/assessor
508	was blind to the nature of the inoculum used in each batch of larvae (i.e. the survival
509	experiments were blinded). Larvae were inoculated through injection using a sterile
510	Hamilton syringe into the most caudal left pro-leg. 15-20 larvae were infected per
511	cryptococcal isolate. Each cryptococcal strain was injected into 15 or 20 larvae. Every
512	survival experiment was internally contemporaneously controlled using larvae of the same
513	batch, a negative control (PBS) (physical injury and sterility), and uninjected larvae and the 2
514	or more experimental arms of interest. Infected larvae were incubated at 37°C for ten days
515	and checked daily for mortality using physical stimulation with forceps.
516	
517	Culture Filtrate preparation
518	All isolates were grown in 7.5 ml YPD broth at 30°C with shaking for 48 hours followed by
519	centrifugation at 8000 rpm for 1 minute. The resulting supernatant was filtered using a
520	Millipore membrane filter 0.45 Im (Merck), and checked for sterility by plating onto
521	Sabouraud's agar and into BHI broth with incubation at 30°C for seven days.
522	
523	Induction experiments
524	2.5 mls of the culture filtrate of interest was added to 5mls of sterile YPD broth (total
525	volume 7.5mls). A single purified colony of the isolate of interest was added to the resulting
526	culture medium and incubated for 48 hours with shaking at 30°C. Cells were separated by
527	centrifugation (1 minute at 8000 rpm), washed twice with PBS and pellet spread on
528	Sabouraud agar plate for single colony purification. Single colony was then inoculated into

529 YPD broth, and incubated with shaking for 48 hours for inoculum preparation.

#### 530

#### 531 Confirmation of virulence phenotype stability in Galleria

- 532 Yeast was recovered from *Galleria* hemolymph, cultured for purity on SDA, recultured in
- 533 YPD and reinoculated into *Galleria* as described 6 fold. Similarly purified culture derived
- from hemolymph was repeatedly subcultured on solid media, frozen on Microbank beads,
- revived, and re-inoculated into the *Galleria* model.

536

#### 537 Quantification of fungal burden in larvae

538 Larvae infected with the strains of interest (5 dead larvae/biological replicate) were

collected at the point of death and gently squeezed to obtain 1 gram of hemolymph and fat

- 540 body using a sterile knife. Glass beads (3mm) and 1 mL of sterile water were added for
- 541 homogenization at 30Hz for 10 minutes (TissueLyser II, Qiagen). Homogenates were diluted
- 542 with PBS and inoculated onto SAB plates and incubated for 3 days at 30oC. The number of
- 543 colonies were counted to calculate the CFU/gram/larvae.

544

#### 545 Capsule size measurement from Galleria

- 546 Following infection with 10<sup>6</sup> cells, larvae were sacrificed 48 hours post-infection and
- 547 hemolymph extracted as above. Hemolymph was stained with India ink to visualise capsule
- 548 using light microscopy (at 1000X magnification) using an Olympus DP71 digital camera
- 549 (Olympus, Japan) and the proprietary Image-J software.
- 550

### 552 Treatment of culture filtrate with freezing, heat, protease, and nuclease

553	To check the stability of culture filtrate exposed to prolonged frozen storage, the culture
554	filtrate of BMD761 was kept at -20 $^{\circ}$ C for 33 days. The filtrate was brought to room
555	temperature prior to induction experiments. To denature protein by heat, filtrate of
556	BMD761 was boiled at 97 °C for 2 minutes. To denature protein chemically, filtrate was
557	incubated with Proteinase K (Sigma-Aldrich, UK) at a concentration of 100 $\mu$ g/mL in the
558	presence of 30 mM Tris.HCl (pH=8) and 5 mM CaCl $_2$ at 37 °C for 1.5 hours. To degrade DNA,
559	28 $\mu$ L DNAse I (Ambion, ThermoFisher, UK) was added to 700 $\mu$ L DNAse I buffer and 7 mL
560	filtrate for 1 hour at 37°C. To remove RNA, 2.5 mL filtrate was incubated with 50 $\mu$ L RNAse
561	cocktail of RNAse A and RNAse T1 (Ambion, ThermoFisher Scientific,UK) for 1 hour at 37°C.
562	
563	Confirmation of digestion of protein and nucleic acid in filtrate
564	Protein digestion was confirmed by running treated and untreated culture filtrate on
565	precast polyacrylamide gel 4-20 % (Mini-PROTEAN® TGX Stain-Free™ Precast Gels, BIO-RAD)
566	at 200V for 40 mins. Precision Plus Protein Dual Xtra Standards (BIO-RAD) were loaded
567	alongside samples, including a proteinase K treated ladder.
567 568	alongside samples, including a proteinase K treated ladder. Samples treated with nuclease were confirmed by loading in agarose gel 1.5 % followed by
568	Samples treated with nuclease were confirmed by loading in agarose gel 1.5 % followed by

572 Isolates for experimentation were grown to stationary phase in 500ml of YPD broth at 37°C

573 with shaking for 48 hours prior to transfer to 200mL centrifugation vessels. These were spun

574	at 15,000g for 10 minutes at 4°C. Supernatant was decanted and centrifugation repeated for
575	a further 10 minutes, then filtered through 0.45um filter membranes. The filtrate was then
576	concentrated using Amicon 100kDa ultrafiltration columns with centrifugation at 5000g for
577	15 minutes at 4°C. The EV containing retentate, was then ultracentrifuged at 150,000g at
578	4°C for 1 hour to provide EV containing pellet and EV-free supernatant.
579	
580	Electron Microscopy
581	Pellet and supernatant resulting from ultracentrifugation were fixed in 2%
582	Paraformaldehyde (PFA) in 0.1M sodium Phosphate buffer at room temperature for 30
583	minutes. Samples were transferred to the Electron Microscopy Facility at the Sir William
584	Dunn School of Pathology, University of Oxford, UK for Transmission Electron Microscopy.
585	
586	Preparation of inducing broth using ultracentrifugation product
587	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant
587 588	
	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant
588	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant fraction) added to fresh sterile YPD to achieve a final volume of 7.5 mL. A 100uL volume was
588 589	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant fraction) added to fresh sterile YPD to achieve a final volume of 7.5 mL. A 100uL volume was taken for culture as a test of sterility. Naive strains (a single colony each) were then
588 589 590	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant fraction) added to fresh sterile YPD to achieve a final volume of 7.5 mL. A 100uL volume was taken for culture as a test of sterility. Naive strains (a single colony each) were then inoculated into inducing broth and incubated for 48 hours with shaking at 30°C. Yeast were
588 589 590 591	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant fraction) added to fresh sterile YPD to achieve a final volume of 7.5 mL. A 100uL volume was taken for culture as a test of sterility. Naive strains (a single colony each) were then inoculated into inducing broth and incubated for 48 hours with shaking at 30°C. Yeast were harvested in the normal manner via centrifugation 8000 rpm and washed twice with PBS
588 589 590 591 592	Inducing broth consisted of 50uL ultracentrifuged product (either pellet or supernatant fraction) added to fresh sterile YPD to achieve a final volume of 7.5 mL. A 100uL volume was taken for culture as a test of sterility. Naive strains (a single colony each) were then inoculated into inducing broth and incubated for 48 hours with shaking at 30°C. Yeast were harvested in the normal manner via centrifugation 8000 rpm and washed twice with PBS prior to subculture on solid agar. Inoculum for larva infection (10 <sup>8</sup> cells/mL) were prepared

#### 596 RNAseq

597	Three replicates of each strain were cultured in YPD broth for 96 hours at 30°C to determine
598	log phase for RNA extraction. An inoculum of 10 <sup>6</sup> cells was grown in 7.5 mL of YPD broth by
599	agitating (200 rpm). At interval time point post-inoculation (0, 6, 20, 24, 30, 44, 54, 68, 72
600	and 96 hours), an aliquot of 50 uL was taken for quantification by plating in Sabouraud agar
601	plates. These plates were exposed to incubation at 30°C for 48 hours. Growth curves were
602	plotted using ggplot package and R version 3.4.0 software (R Foundation for Statistical
603	Computing, Vienna, Austria). Growth rates were determined using the formula growth
604	rate=(log10Nt-log10No)*2.303/t-to, where N indicates cell concentration at a particular time
605	point; and t indicates the time point).
606	For transcriptional experiments, single colonies of each isolate of interest were revived from
607	beads (Microbank, UK) stored at -80°C. Revived isolates (approximately 10 <sup>6</sup> cells, counted
608	using a Cellometer) were grown in 7.5 ml of YPD broth by agitating for 18 hours at 30°C to
609	reach mid-log phase. RNA was harvested using the RiboPure™-Yeast RNA Isolation Kit
610	(Ambion, USA) according to the manufacturer's instructions. Extracted RNA samples were
611	subjected to quality control using an Agilent 2100 Bioanalyzer (Agilent, USA). The integrity
612	of total RNA was estimated using the RIN (RNA Integrity Number), which ranges from 1 to
613	10, with 1 being the most degraded. Samples with RIN greater than 7 qualified for RNA-Seq.
614	6 biological replicates were prepared of every experimental condition. RNA was eluted in
615	isopropanol and shipped at room temperature to Macrogen (Seoul, Korea) for library
616	preparation and sequencing.
617	Paired-end RNA-Seq libraries were constructed using the TruSeq stranded mRNA

618 preparation kit (Illumina, USA). Resulting cDNAs were ligated with sequencing adaptors and

- 619 sequenced using the Illumina HiSeq 2000 platform, generating ~11.2 million reads of 150 bp
- 620 per sample, resulting in an estimated 177-fold coverage.

621

#### 622 RNA sequencing analysis

- 623 Raw reads were checked for quality using FastQC
- 624 (https://www.bioinformatics.babraham.ac.uk/projects/fastqc/). Adapters were removed
- 625 using scythe (<u>https://github.com/vsbuffalo/scythe</u>). The resulting reads were then further
- 626 checked based on Phred score and read length in order to trim low quality regions using
- 627 Trimmomatic (<u>http://www.usadellab.org/cms/?page=trimmomatic</u>). Sequenced reads were
- 628 aligned with the *C. neoformans* var. *grubii* H99 reference genome using HISAT2
- 629 (https://ccb.jhu.edu/software/hisat2/index.shtml). SAM (Sequences Alignment Map) files,
- 630 output of read alignment, were converted to BAM (Binary Alignment Map) files using
- 631 SAMtools (<u>http://www.htslib.org</u>). Finally featureCounts was used to count reads assigned
- 632 to genomic features (genes, exons, chromosome locations) on the reference genome<sup>38</sup>. The
- 633 output table of raw counts per gene for each sample was imported for gene expression
- 634 analysis using DESeq<sup>39</sup>. Library size differences were normalized internally<sup>40</sup>.

635

#### 636 Metagenomic analysis

Taxonomer, a metagenomics-based pathogen detection tool, was used to profile unmapped
mRNA expression reads and unmapped DNA from (previous) genome sequencing from the
Cryptococcus isolates. A web-based interface was used to visualize summary of taxonomic
composition and read abudance (available at <a href="http://taxonomer.com">http://taxonomer.com</a>).

641

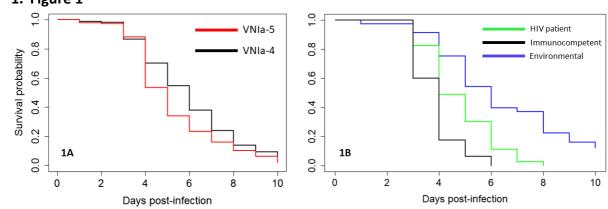
#### 642 Statistical data analysis

- 643 Kaplan-Meyer survival curves were plotted using ggplot2 and survminer package (R version
- 644 3.4.2). The Log-rank test was used to compare differences in survival. Hazard Ratios were
- 645 estimated using the Cox proportional-hazards model. The Wilcoxon rank sum test was used
- to compare fungal burdens between isolates. Capsule size was compared using the Kruskal-
- 647 Wallis test with P values adjusted for multiple comparisons with the Benjamini-Hochberg
- 648 method.





652 **1. Figure 1** 





655 Figure 1A: Survival curves of *Galleria mellonella* infected with human cerebrospinal fluid derived

656 isolates of *Cryptococcus neoformans* of lineage VNIa-5 (derived from immunocompetent patients)

657 or lineage VNIa-4 (from HIV infected patients). N = 600 (20 isolates of each lineage, 15 larvae

infected with each isolate, Hazard Ratio (95% Confidence interval): HR 1.4, 95Cl 1.2,1.6; P<0.001

- 659 VNIa-5 versus VNIa-4).
- 660 Figure 1B: Survival curves of *G. mellonella* infected with *C. neoformans* lineage VNIa-5 isolates

derived from immunocompetent patients (black line), HIV patients (green line), or the

662 environment (blue line). 2 isolates of each source, 40 larvae per isolate. Hazard ratios and 95%CI for

death: HIV derived vs environmental: 2.6, 95CI 1.8, 3.7; P< 0.001; immunocompetent derived versus

- 664 environmental: 5.7; 95Cl 3.9, 8.4; P< 0.001; Immunocompetent versus HIV derived: 1.7; 95Cl 1.3 –
- 665 2.4, P<0.001. N=80 per arm.

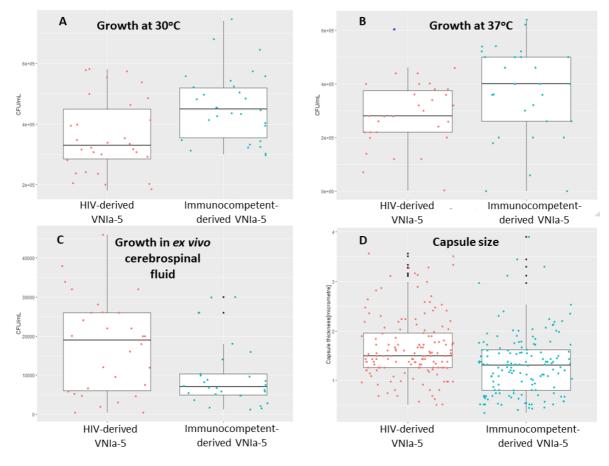
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669

#### 671 2. Figure 2



673 Figure 2: Comparative growth rates of VNIa-5 isolates in YNB broth at 30°C (panel A), 37°C (Panel 674 B) an in ex vivo cerebrospinal fluid (C), and differences in capsule size (D) according to source – HIV 675 infected patients or immunocompetent patients. There was statistically significant greater growth 676 of isolates derived from immunocompetent patients at both temperatures (P=0.004 and P=0.002 for 677 30°C and 37°C respectively). There was reduced growth at 37°C for both sets of isolates, but this was 678 only statistically significant for those derived from HIV infected patients. In contrast, isolates derived 679 from immunocompetent patients appeared to have significantly impaired growth in ex vivo CSF 680 compared with isolates from HIV infected patients (P=0.02). (Note that the Y-axis scales are not the 681 same in each panel). For all experiments N=15 isolates of each type, with 2 biological replicates. 682

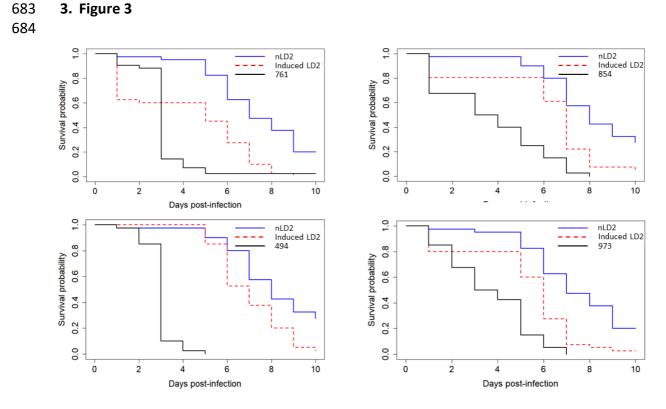






Figure 3 : Survival curves for *G. mellonella* infected with 4 human isolates of *C. neoformans* lineage

688 VNIa-5 derived from HIV uninfected patients (BMD761, BMD854, BMD973 and BMD494), and

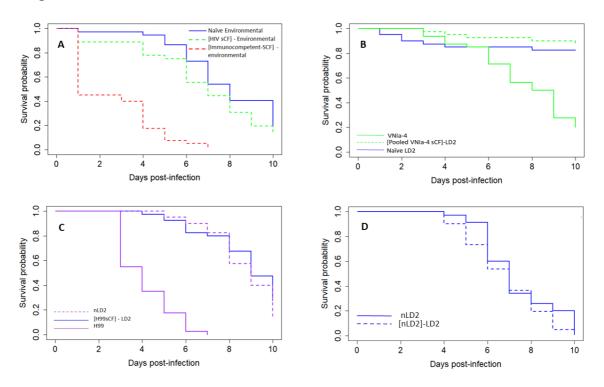
689 naïve environmental strain (nLD2) and nLD2 following growth in sterile culture filtrate from each

690 of the human derived isolates (induced LD2). Hazard ratios of the risk of death, 95%Cl and P values

691 for iLD2 versus nLD2 infections for each experiment are: HR 2.9, 95CI 1.8, 4.8 P<0.001; HR 2.5, 95CI

692 1.5, 4.0 P<0.001; HR 2.7, 95Cl 1.7, 4.4 P<0.001 and HR 2.2, 95Cl 1.4, 3.6 P=0.001 respectively.

#### 694 4. Figure 4

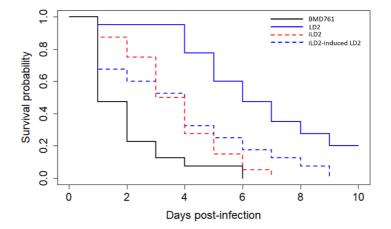


- Figure 4: Survival curves of *Galleria* infected with naïve environmental isolate, or that environmental isolate following
   growth in media supplemented with sterile culture filtrate (sCF) of different sources, or the source of sCF. The square
   brackets refer to the source of the sCF.
- Figure 4A: Survival curves for *G. mellonella* infected with environmental isolates of *C. neoformans* lineage VNIa-5 (naïve
   environmental, blue line), and infected with the same environmental isolates following their growth in media
   supplemented with pooled sterile culture filtrate from either VNIa-5 lineage isolates derived from HIV infected patients
   ([HIV-sCF], green dashed line) or from immunocompetent patients ([Immunocompetent-sCF], red dashed line). There is
- no significant increase in the hazard of death following infection with environmental isolates grown with sCF from HIV
   associated VNIa-5 isolates (HR 1.4 (95Cl 0.8-2.3), P=0.2). Growth in media supplemented with sterile culture filtrate from
   isolates from immunocompetent patients is associated with a significant increase in the hazard of death (HR 10.0; 95Cl 5.6-
- 705 17.9) P< 0.001 sCF-immunocompetent vs naïve.

Figure 4B: Growth of naïve environmental strain nLD2 in media supplemented with sterile culture filtrate from HIV
 derived VNIa-4 lineage isolates does not result in increased virulence in the Galleria model. The naïve LD2 strain was

- 708 grown in media supplemented with pooled sterile culture filtrate from isolates BK80 or BK224 (VNIa-4 lineage strains
- derived from the cerebrospinal fluid of HIV infected patients). Infection with the LD2 environmental isolate grown in sCF
- from isolates of the VNIa-4 lineage did not alter the hazard of death compared with infection with LD2 (HR 0.6; 95CI 0.2,
  2.0, P=0.5.
- 712 Figure 4C: Growth of naïve environmental strain nLD2 in media supplemented with sterile culture filtrate from the
- 713 Cryptococcus neoformans H99 type strain does not result in increased virulence in the Galleria model. H99 was
- significantly more virulent than naïve DL2 (HR 28.7 (14-60.4) and P<0.001 for H99 vs LD2 ) but there was no change in the
- hazard of death for *Galleria* between infection with either the naïve or H99 induced environmental isolate HR 1.3 (0.8-2.2)
- 716 and P=0.25 for iLD2 vs nLD2 N = 40 larvae per arm.
- Figure 4D. The virulence of the LD2 environmental isolate is not increased by growth in media supplemented with sterile
- 718 culture filtrate derived from previous culture of its naïve state (HR 1.4, 95% CI 0.9-2.2, P=0.2).
- 719

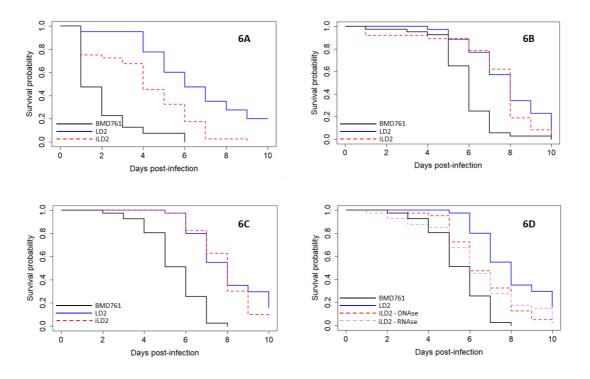
# **5. Figure 5**



#### 

Figure 5: Survival curves for *Galleria* infected with one of 4 VNIa-5 isolates: BMD761: isolate from
immunocompetent patient; LD2: 'naïve' environmental isolate; induced LD2: naïve LD2 isolate that
has been grown with sterile culture filtrate (sCF) from BMD761 (HR 8.2 (4.9-13.8), P<0.001 vs LD2);</li>
iLD2-induced LD2: naïve LD2 isolate grown with sCF from iLD2 (HR 2.8 (1.7-4.4), P<0.001 vs LD2).</li>
N=40 larvae per arm

#### 731 6. Figure 6





733 Figure 6: The effects of treating sterile culture filtrate from highly virulent strain BMD761 with

rither freezing, boiling, protease or nuclease on its ability to induce increased virulence in the

735 naïve environmental strain LD2. Each figure shows survival curves for *Galleria* infected with

736 different *C. neoformans* isolates. BMD761 is the high virulence VNIa-5 lineage isolate derived from

an HIV uninfected patient, LD2 is a low virulence VNIa-5 lineage isolate derived from the

rionment, and induced LD2 is the naïve environmental LD2 isolate following growth in media

supplemented with sterile culture filtrate from BMD761.

740 Figure 6A: The inducing effect of sterile culture filtrate is not affected by freezing at -20C.

741 HR 2.7 95%CI 1.7, 4.5 P<0.001

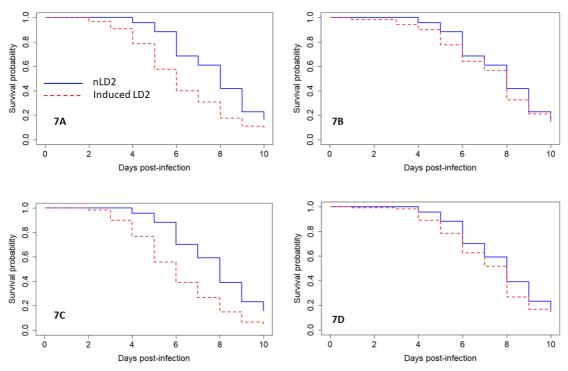
742 Figure 6B. Boiling abolishes the induction effect of sterile culture filtrate.

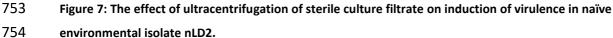
743 HR1.3 95%CI 0.8, 2.01, P=0.3.

744 Figure 6C: The inducing effect of sterile culture filtrate is abolished by treatment with proteinase.

- 745 HR1.2, 95CI 0.8, 2.0, P=0.36
- Figure 6D. Treatment with DNAse or RNase has no effect on the induction effect of sterile culture
- 747 **filtrate.** DNAse HR 2.1, 95Cl 1.3, 3.4, P=0.002; RNAse HR 2.0, 95Cl 1.2, 3.4, P=0.004.
- 748 N = 30 *Galleria* per arm for all experiments.
- 749
- 750
- 751

#### 752 7. Figure 7





755 Sterile culture filtrate, derived either from highly virulent isolate BMD761, or from LD2 strain following

virulence induction with sterile culture filtrate from BMD761, underwent an extracellular vesicle (EV)

isolation protocol to produce a putatively EV containing pellet, and an EV-free supernatant. These fractions

758 were then added to broth culture of naïve LD2 isolate, and the relative virulence of naïve LD2 was compared

- with subsequent 'induced LD2' (iLD2). Experiments were done in triplicate, N=80 *Galleria* per arm.
  Summated data shown.
- 761 Figure 7A: Growth of LD2 in media supplemented with ultracentrifugation pellet derived from BMD761

762 sterile culture filtrate (sCF). Induction of increased virulence is seen, HR 1.8, 95CI 1.4, 2.4; P<0.001.

763 Figure 7B: Growth of LD2 in media supplemented with ultracentrifugation supernatant derived from

764 BMD761 sCF. No induction of virulence in LD2 is seen, HR 1.2, 95Cl 0.8, 1.5; P=0.3.

Figure 7C: Growth of LD2 in media supplemented with ultracentrifugation pellet derived from sCF from

766 LD2 that has previously been induced with BMD761 sCF. Induction of increased virulence is seen, HR 2.1,

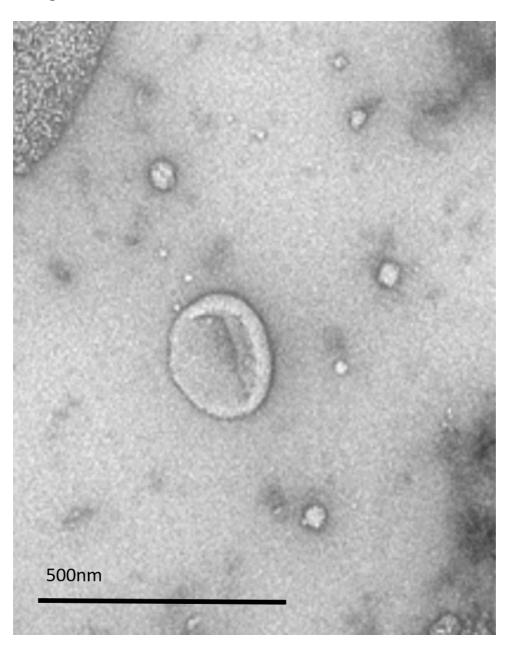
767 95Cl 1.6, 2.7; P<0.001.

Figure 7D: Growth of LD2 in media supplemented with ultracentrifugation supernatant derived from sCF
 from LD2 that has previously been induced with BMD761. No induction of increased virulence is seen, HR
 1.2, 95Cl 0.9, 1.6; P=0.1.

771 In all panels the solid blue line represents *Galleria* infected with naïve LD2 (nLD2) and the red dashed line

represents *Galleria* infected with the 'induced' LD2 (iLD2), i.e. the environmental isolate following growth in
 media supplemented with either pellet or supernatant.

### **8. Figure 8**

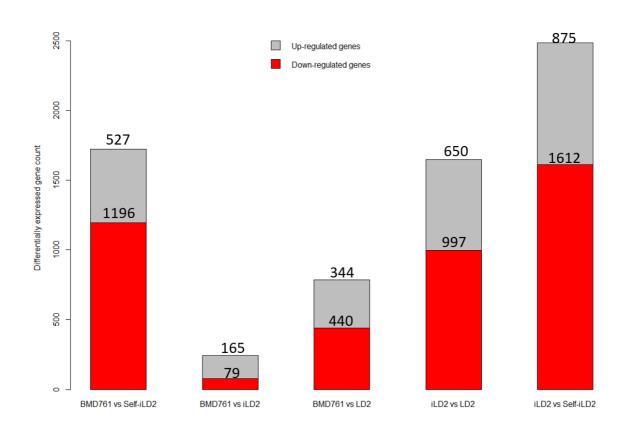


9 Figure 8: Transmission electron micrograph showing example of extracellular vesicle in

vision with the second second

#### 782 Figure 9

783



- 784 Figure 9: The number of differentially expressed genes between different samples
- 785 **(outliers removed).** Genes were counted as differentially expressed if they had a
- 786 Benjamani-Hochberg adjusted P-value of <0.05, and log2 fold change  $\geq$ 1 and  $\leq$ -1.
- 787
- 788
- 789

#### 790

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