1 Description and Validation of the Colorectal Cancer and Adenoma Incidence &

2 Mortality (CRC-AIM) Microsimulation Model

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- 4 **Running Title:** CRC-AIM: CRC and Adenoma Microsimulation Model
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27 Abstract

28 Background: Microsimulation models of colorectal cancer (CRC) have helped 29 inform national screening guidelines and health policy decision-making. However, 30 detailed descriptions of particular underlying assumptions are not published, limiting 31 access to robust platforms for exploratory analyses. We describe the development and 32 validation of the Colorectal Cancer and Adenoma Incidence and Mortality (CRC-AIM) 33 microsimulation model, a robust model built to facilitate collaborative simulation studies 34 on disease progression and early detection through screening interventions. 35 Design: We used the Cancer Intervention and Surveillance Modeling Network 36 (CISNET) CRC models, specifically CRC-SPIN, as a foundation for CRC-AIM's 37 formulas and parameters. In addition, we developed novel submodels and recalibrated 38 various parameters to address gaps and discrepancies in publicly available information. 39 Along with evaluating the natural history and screening detection outcomes from CRC-40 AIM, we determined the impact of using different life tables (cohort versus period) on 41 natural history outcomes. 42 Results: CRC-AIM demonstrated substantial cross-model validity when 43 comparing multiple natural history and screening outputs and probability curves to those 44 from CISNET models, particularly CRC-SPIN. Additionally, using period life tables, 45 CRC-AIM's cumulative probability of developing CRC from ages 40 to 100 (7.1%) lies 46 within the range of the CISNET models (6.7% to 7.2%). Using cohort tables, that 47 probability increases to 8.0%. One notable difference is that, regardless of life table 48 used, the cumulative probability of dying from CRC (3.2% for period; 3.8% for cohort) is 49 slightly higher in CRC-AIM than the CISNET models (2.7% to 2.8%), due to CRC-AIM's

- 50 different methodology for determining survival. Additionally, there is substantial overlap
- 51 (e.g. 94-95% overall agreement for strategies on and off the efficient frontier for stool-
- 52 based strategies) across multiple screening overlay outputs between CRC-AIM and the
- 53 CISNET models, especially CRC-SPIN.
- 54 *Conclusions:* We developed and validated a robust CRC microsimulation model,
- 55 CRC-AIM, and demonstrate the influence of life table choice on downstream outputs.
- 56 We further describe CRC-AIM's parameters and include complete component tables to
- 57 enhance transparency and encourage collaboration.

58 Introduction

59	The U.S. Preventive Services Task Force (USPSTF), an independent panel of
60	national experts in disease prevention and evidence-based medicine, supplement
61	empirical data with microsimulation models to inform national preventive and screening
62	guidelines. For colorectal cancer (CRC), the USPSTF relies on information such as
63	model outputs from the Cancer Intervention and Surveillance Modeling Network
64	(CISNET) Colorectal Working Group (CWG). The CWG comprises a coordinating center
65	and three independent modeling groups: (1) the Colorectal Cancer Simulated
66	Population model for Incidence and Natural history (CRC-SPIN) from the RAND
67	Corporation; (2) the Simulation Model of Colorectal Cancer (SimCRC) from the
68	University of Minnesota; and (3) Microsimulation Screening Analysis (MISCAN) from
69	Memorial Sloan Kettering and Erasmus University, Rotterdam. ^{1,2}
70	These highly sophisticated microsimulation models are powerful tools to simulate
71	the complicated natural history of CRC and precursor lesions in individual patients and
72	identify effective screening interventions for the early detection of CRC. ³⁻⁵ Over time, the
73	model components have evolved and been recalibrated and reparametrized with the
74	emergence of new clinical data (eg, CRC-SPIN v2.x ⁶). Although CISNET has
75	documented many changes to the models throughout the years, due to their complexity,
76	it can be difficult to fully determine the downstream effects of recalibration based only
77	on publicly accessible information. ⁵
78	To provide additional transparency and generate an alternative platform for
79	collaborative modeling analyses, we created a robust microsimulation model-the

80 Colorectal Cancer and Adenoma Incidence & Mortality (CRC-AIM) microsimulation

model—based on previously reported parameters from CRC-SPIN.⁷ We selected CRC-81 82 SPIN as a foundational natural history model because of its comparatively high degree 83 of parsimony, documentation and transparency. Nevertheless, complete details of 84 various components of CRC-SPIN, such as the submodel to determine CRC stage 85 based on size, could not be found in the published literature. This required us to make 86 informed assumptions and create additional submodels to produce a functioning 87 microsimulation model. (See Supplementary Material for a detailed description of the 88 differences between CRC-AIM and CRC-SPIN.)

89 In this publication, we describe the methods used to develop CRC-AIM and 90 demonstrate its robustness by thoroughly comparing its outputs to the published natural 91 history and screening outputs of the three CISNET CWG models (CRC-SPIN, SimCRC, 92 and MISCAN). Furthermore, we examine the consequence of selecting different life 93 tables on natural history outputs. The CISNET models use period life tables, which may 94 underestimate survival because they only describe mortality conditions at a particular time.^{1,8} We explore the consequences of cohort life tables, which build in differential risk 95 across generations by assuming improved mortality rates over time.^{8,9} The CRC-AIM 96 97 microsimulation model can help inform and address long-standing clinical questions, 98 such as exploring alternate surveillance scenarios and varied colonoscopy performance 99 data.

100 Ultimately, CRC-AIM not only demonstrates the approach by which existing CRC 101 models can be reproduced from publicly available information, but also provides a ready 102 opportunity for interested researchers to leverage the model for future collaborative 103 projects or further adaptation and testing. To promote transparency and credibility of

- 104 this new model, we have made available CRC-AIM's formulas and parameters on a
- 105 public repository (<u>https://github.com/CRCAIM/CRC-AIM-Public</u>).
- 106
- 107 Methods
- 108 Natural History

109 Natural history modeling for colorectal cancer (CRC) describes the adenoma-110 carcinoma sequence in the absence of screening for a large population of individuals 111 (Figure 1). During one's lifetime, an individual may generate one or more adenomas. 112 These adenomas independently grow at different rates and may transition into 113 preclinical CRC. The time between adenoma initiation and its transition to preclinical 114 cancer is defined as the adenoma dwell time, which is different for each adenoma. In 115 the absence of screening, the time required for a preclinical cancer to become clinically 116 detected, meaning the appearance of disease signs and symptoms, is defined as the 117 sojourn time (ST) of the cancer. Each preclinical cancer generated in CRC-AIM is 118 assigned an ST. Upon completion of the ST, the CRC becomes clinically detected and a 119 CRC survival methodology is used to determine the age of cancer cause-specific mortality. If multiple preclinical CRCs exist within an individual, the first cancer to 120 become clinically detected determines survival.^{6,10} The cause of death is considered to 121 122 be cancer-cause-specific or other-cause-specific, depending on which occurred first in 123 the simulation.

The model simulates all events until an individual reaches their other-cause
 mortality date. This approach is analogous to the "parallel universe" model described in
 CRC-SPIN.^{6,10}

127 See **Supplemental Material** for detailed descriptions of formulas and

assumptions related to CRC-AIM's natural history.

129 Simulating all-cause mortality

130 To simulate death from other causes, the CISNET models use period life tables, 131 which use mortality rates from a particular period in time to represent mortality rates 132 throughout an individual's lifetime. CRC-AIM, however, uses cohort (generation) life 133 tables, which determine annual survival from past mortality rates and/or from projected 134 mortality rates. The cohort life tables provide decennial survival information for cohorts 135 born between 1900 and 2010 and project mortality for decennial years 2010 through 136 2100. We interpolate across birth cohort decade to obtain survival estimates for a 137 specific cohort year and interpolate within a year-of-death interval for specific age at 138 death. The model uses a birth-year-specific sex ratio based on data from the U.S. 139 Census Bureau.¹¹

140 Adenoma generation and location

141 CRC-AIM uses the non-homogenous Poisson process from CRC-SPIN v1.0, specifically the instantaneous risk function, to generate adenomas in an individual.¹⁰ 142 143 Adenoma risk is based on an individual's per-person (inherent) risk, sex, and age. This 144 process assumes no risk of generating adenomas prior to age 20, after which risk 145 generally increases with age. Nonadenomatous polyps are not explicitly modeled because they are not considered to progress to CRC.¹² Adenomas are localized either 146 147 to the colon (91% total: 8% cecum, 23% ascending, 24% transverse, 12% descending, 24% sigmoid) or the rectum (9% total), similar to CRC-SPIN v1.0.¹⁰ Location (colon 148 149 versus rectum) is used for other natural history components of the model.

150 Adenoma growth

151 CRC-AIM uses the adenoma growth function from CRC-SPIN v1.0 to describe 152 adenoma size at any time after its generation. The growth function is based on a 153 Janoschek growth curve and assumes that newly generated adenomas are 1 mm in size and can grow to a maximum of 50 mm.^{6,10} Adenomas are not allowed to regress in 154 155 size, although some grow very slowly, and most of which will never transition to CRC. 156 Transition from adenoma to preclinical CRC 157 CRC-AIM uses the log-normal function from CRC-SPIN v1.0 that allows adenomas to transition to preclinical cancer.¹⁰ This function describes the cumulative 158

transition probability of an adenoma transitioning to preclinical cancer at or before

adenoma size (s) is a function of the adenoma size, age at adenoma initiation (a), sex

161 (male vs female), and the location of the adenoma (colon vs rectum), and is defined as:

$$\xi_c(s,a) = \Phi\left(\frac{\{ln(\gamma_{1cm}s) + \gamma_{2cm}(a-50)\}}{\gamma_3}\right)$$

where Φ is a standard normal cumulative distribution function (CDF). There are separate parameters based on adenoma location and sex: colon-male (*cm*), colonfemale (*cf*), rectum-male (*rm*), and rectum-female (*rf*).

165 CRC-AIM uses a traditional cycle-based approach to aid in interpretability, 166 whereas CRC-SPIN is a continuous time model.¹⁰ We calculate adenoma size at the 167 start of an interval, the size at the end of an interval (t+1), and determine the probability 168 of transitioning to CRC within the interval conditioned on the probability of having not yet 169 transitioned by the adenoma size at time *t*, defined as:

$$\frac{\xi_c(s_{t+1}) - \xi_c(s_t)}{1 - \xi_c(s_t)}$$

170 Preclinical cancer initiation

171	When an adenoma transitions to preclinical CRC, multiple events in the model		
172	are triggered:		
173	• Similar to CRC-SPIN, CRC-AIM assigns the preclinical CRC an initial size of 0.5		
174	mm and assumes that all CRCs grow exponentially within an adenoma until they		
175	overtake the adenoma. As a result, all CRCs are hypothetically detectable, since		
176	the minimum adenoma size is 1 mm and colonoscopy can detect 1 mm lesions.		
177	(Lesions are defined as the maximum of the adenoma and CRC sizes). ¹⁰		
178	The sojourn time (ST) is determined.		
179	 Independent of ST, the size of the preclinical cancer upon reaching ST is 		
180	determined.		
181	• The ST and the initial/final cancer sizes are used to enable the calculation of		
182	cancer size at any time.		
183	Cancer stage at diagnosis is determined based on cancer size upon reaching		
184	ST.		
185	5 Transition to clinically detectable CRC		
186	ST is modeled for the <i>i</i> -th individual's <i>j</i> -th preclinical cancer using a lognormal		
187	distribution that is conditional on location (colon versus rectum), similar to CRC-SPIN. ¹⁰		

- 188 CRC size at clinical detection
- 189 Similar to CRC-SPIN, when an adenoma transitions to preclinical CRC, CRC-
- 190 AIM samples CRC size upon reaching ST.¹⁰ The distribution of CRC sizes is based on
- 191 Surveillance, Epidemiology, and End Results Program (SEER) data from 1975-1979

(prior to widespread CRC screening).¹⁰ However, CRC-SPIN does not describe the form
or parameterization of the smoothed distribution for size sampling.

194 CRC-AIM implements CRC size (*s*) at clinical detection as a generalized log 195 distribution, parameterized by location (μ), scale (σ), and shape (λ), with a maximum 196 CRC size of 140 mm (see **Supplemental Material**). The probability density function is 197 defined as:

$$\Phi\left\{\frac{1}{\sigma}\left[\log\left(\frac{s+\sqrt{s^2+\lambda^2}}{2}\right)-\mu\right]\right\}\frac{s+\sqrt{s^2+\lambda^2}}{\sigma\left(s^2+\lambda^2+s\sqrt{s^2+\lambda^2}\right)}$$

198 CRC growth

During the preclinical CRC phase, CRC-AIM assigns the cancer an initial size of 0.5 mm. We replicated the methodology of CRC-SPIN that describes "flat spots" in the adenoma growth trajectory upon CRC initiation,¹⁰ which we interpret to mean that upon initiation of a preclinical cancer, the preclinical cancer's originating adenoma stops growing. We define "lesion size" as the larger value between adenoma size and preclinical cancer size.

Similar to CRC-SPIN, preclinical cancer follows an exponential growth curve in
 CRC-AIM, with size at time *t* from CRC initiation described as:

 $f(t) = ab^t$

207 where *a* is the initial CRC size.

208 If we express initial CRC size as s_i , since the initial (s_i) and final CRC size (s_f) is 209 known, and the time (t_{sf}) required to reach the final CRC size is known (the

210 independently sampled ST), then the rate (*b*) can be calculated as:

$$b = \left(\frac{s_f}{s_i}\right)^{\frac{1}{t_{sf}}}$$

211 CRC stage upon detection

212 In CRC-AIM's natural history process, CRC is detected upon reaching ST and 213 the presentation of disease signs and symptoms. (CRC can also be detected in the 214 preclinical cancer growth phase, ie, during ST, during screening) 215 When CRC is detected, the American Joint Committee on Cancer (AJCC) CRC 216 stage is determined based on its size. Similar to CRC-SPIN v1.0, we derived a 217 multinomial logistic regression model fit to SEER 1975-1979 data to determine AJCC 218 stage based on size (see **Supplemental Materials**). However, the actual form and 219 parameterization of the multinomial logistic regression model used in CRC-SPIN v1.0 is 220 not described. CRC-AIM uses the approach described below. 221 If we denote CRC size in millimeters as s in the *i*-th individual, k stage where 222 $k=1,\ldots,4$, then the logit (g) for the *i*th individual for the k-th category, for categories k=1, 223 2,...*K*-1, is:

$$g_{ik} = \alpha_k + \beta_k s_i^{-0.5} + \gamma_k s_i$$

The probability of the *i*-th individual belonging to *k*-th category for categories k=1,...,K is:

$$\pi_{ik} = \frac{\exp(g_{ik})}{\sum_{m=1}^{K} \exp(g_{im})}$$

226 where $g_{iK} = 0$.

For each individual *i*, the sum of the *k* probabilities adds to 1 and the cumulative probability across increasing stages (ie, π_{i1} , π_{i1} + π_{i2} , π_{i1} + π_{i2} + π_{i3}) serve as thresholds to define CRC stage based on a uniform (0,1) CDF lookup. If an individual's CRC is identified prior to clinical diagnosis, its size would be smaller, the thresholds would shift, and the sampled uniform (0,1) particular to that CRC would more likely yield an earlierCRC stage.

233 CRC survival

234 CRC-AIM implements cause-specific survival as a set of parametric regression 235 equations that model survival probabilities, stratified by location and AJCC CRC stage, 236 as a function of sex and age at diagnosis. To compare the survival outcomes of CRC-237 AIM to those of the CISNET models, we generated survival curves that mimicked the 238 timeframes of SEER data used by CISNET both before and after their survival update in 239 2013 (1975-1979 and 2000-2003, respectively). We used code developed by Deborah 240 Schrag to convert pre-1988 SEER registry data from SEER historic staging criteria to 241 AJCC staging categories¹³

242 We fit five separate parametric linear regression models for each AJCC stage 243 and location (colon versus rectum), based on different distributions to describe survival 244 time: Weibull, lognormal, exponential, Fréchet, and loglogistic (see Supplemental 245 Materials). Model effects were sex and age at CRC diagnosis. We based model 246 selection on the smallest Akaike information criterion (AICc) value for the fitted 247 distribution across the five models for each AJCC stage and location (Table S1). CRC-248 AIM uses the CDFs described by the selected model to determine the age at CRC-249 specific death as a function of age at diagnosis and/or sex. The regression-based 250 coefficients are multiplied by an indicator function if sex or age at diagnosis criteria are 251 met (sex indicator is 1 if met, -1 if not met; age at diagnosis indicator is 1 if met, 0 if not 252 met).

253

254 Screening Overlay

255 The screening component of CRC-AIM is derived from basic assumptions about 256 CRC screening. In general, CRC screening facilitates the detection and removal of 257 adenomas and preclinical lesions. Each time screening is due, the chance of a lesion to 258 be detected is dependent on the screening test's sensitivity and reach. The overall 259 effectiveness of screening is dependent on screening frequency, adherence rates, and 260 the sensitivity and specificity of the screening test. False positives can occur in relation 261 to a screening test's specificity and lead to unnecessary follow-up colonoscopies or 262 unnecessary polypectomies. Complications, including fatal ones, can arise due to 263 polypectomies. Thus, adverse events related to colonoscopies are part of the screening 264 component.

For the purposes of this analysis, the screening test characteristic input 265 assumptions were the same as those used in CISNET models (Table 2),^{2,14} which we 266 267 have reproduced here in greater detail. We assumed that the same test characteristics 268 for screening colonoscopies applied to colonoscopies for diagnostic follow-up or for 269 surveillance, and that there was no correlation in findings between CTC or 270 sigmoidoscopy and subsequent diagnostic colonoscopy. For colonoscopy and 271 sigmoidoscopy, the lack of specificity with endoscopy reflects the detection of 272 nonadenomatous polyps. For sigmoidoscopy, this may lead to an unnecessary 273 diagnostic colonoscopy, and for colonoscopy, this may lead to an unnecessary 274 polypectomy. For computed tomographic colonography, the lack of specificity reflects 275 the detection of ≥ 6 mm nonadenomatous lesions, artifacts, stool, and adenomas smaller 276 than the 6-mm threshold for referral to colonoscopy that are measured as ≥ 6 mm. For

FIT, we assumed a positivity cutoff of ≥100 ng of hemoglobin (Hb) per mL of buffer (≥20 mcg Hb/g of feces). For FIT and mt-sDNA, the sensitivity for adenomas <10 mm was considered the sensitivity for nonadvanced adenomas. For the high sensitivity guaiac based fecal occult blood test (HSgFOBT), we assumed that 1-5 mm adenomas do not bleed and therefore cannot cause a positive stool test. It was also assumed that HSgFOBT can be positive because of bleeding from other causes, the probability of which is equal to positivity rate in individuals without adenomas.</p>

284 The sensitivity inputs for stool-based tests are per person and are based on the 285 characteristics of the most advanced lesion. The sensitivity inputs for structural tests are 286 per lesion and potential detection of lesions depend on the reach of the test. It is 287 assumed that sigmoidoscopy completely visualizes the rectum for all individuals, 288 visualizes the sigmoid colon in 88% of individuals, and visualizes the descending colon 289 in 6% of individuals.^{3,15,16} With colonoscopy, full reach (to the cecum) is assumed to be 290 achieved 95% of the time and if reach is only partial, a second colonoscopy is performed.² 291

292 It is assumed that a follow-up colonoscopy occurs after any positive non-293 colonoscopy screening test. If the follow-up colonoscopy is negative, individuals return 294 to their original non-colonoscopy screening test and the next screening is due in 10 295 years. If the follow-up colonoscopy is positive for an adenoma of any size, individuals 296 enter a surveillance colonoscopy period where the next colonoscopy is based on the 297 findings of the latest colonoscopy (3 years if a detected adenoma is ≥ 10 mm or if ≥ 3 298 adenomas of any size are detected; 5 years if 1-2 adenomas <10 mm are detected; 299 these are the same histology assumptions used by CISNET). Surveillance continues

until at least age 85 and then halts if no adenomas or CRC are detected on the last
surveillance exam or continues past 85 until no adenomas or CRC are detected on a
surveillance exam. Individuals with preclinical lesions that become symptomatic based
on sojourn time expiration receive a colonoscopy to clinically diagnose interval cancers
(CRCs that grow and develop after a screening or surveillance exam but before the next
recommended exam).

306 Three types of complications related to colonoscopies are included in the model: 307 1) serious gastrointestinal events (e.g., perforations, gastrointestinal bleeding, or transfusions) of which 8.97% were perforations¹⁷ and 5.19% of perforations led to 308 309 death¹⁸; 2) other gastrointestinal events (e.g., paralytic ileus, nausea and vomiting, 310 dehydration, abdominal pain); and 3) cardiovascular events (e.g., myocardial infarction 311 or angina, arrhythmia, congestive heart failure, cardiac or respiratory arrest, syncope, 312 hypotension, or shock). Risk of complications increases by age.^{17,18} (For additional information, see eFigure1 of Knudsen et al.²) Because the risk of complications with 313 colonoscopy is conditional on polypectomy,^{17,19} and sigmoidoscopy is modeled without 314 315 a biopsy or polypectomy of detected lesions, the risk of complications with 316 sigmoidoscopy was therefore assumed to be none.

The screening modalities evaluated for the main validation analysis were FIT,HSgFOBT, mt-sDNA, and colonoscopy.

319

320 CRC-AIM Comparison and Cross-Validation

321 To evaluate the performance of CRC-AIM, we compared the outputs of CRC-AIM 322 against the natural history experiment outputs of CRC-SPIN, SimCRC, and MISCAN.

323 We compared the CRC-AIM natural history outputs to those from CRC-SPIN v1.0, as 324 well as the other CISNET models' outputs, that were generated prior to 2013 and the 325 associated CISNET CRC survival update. For comparisons to these historical (pre-326 2013) outputs, we selected the 1975-1979 time period for CRC cause-specific survival 327 curves, because this time period had been described as previously used by CRC-328 SPIN.¹⁰ We also compared the outputs from CRC-AIM to natural history outputs presented in the 2015 CISNET technical report.¹⁴ For these comparisons, we used the 329 330 2000-2003 time period for CRC cause-specific survival curves, similar to what CISNET used for recently diagnosed CRC.²⁰ 331 332 All CRC-AIM analyses use cohort life tables for non-CRC mortality unless 333 otherwise specified. We attempted to match birth cohorts to those from the original 334 CISNET analyses, but this information was not always reported. Data from reference publications^{12,14,21,22} were extracted using a custom Python package that assigns 335 336 numeric values based on the pixel location of data within a figure and the corresponding 337 X and Y scale values. 338 Comparison to historic (pre-2013) outputs 339 Adenoma and CRC Prevalence/Incidence: We compared the adenoma and CRC 340 prevalence/incidence values for individuals at the age of 65, as described by Knudsen et al.²¹ Results were reported either as a rate per thousand individuals or by 341

- 342 percentage. Because the cost-basis year used by Knudsen et al was 2007,²¹ and we
- 343 wanted to replicate their simulation of 65-year-olds, we assumed a birth cohort of 1942
- 344 (2007 minus 65). The number of simulated individuals was not reported but we
- 345 assumed 3 million. Furthermore, we excluded individuals with existing preclinical cancer

at age 65 from the CRC cumulative incidence calculations under the assumption that
CRC incidence measures clinically diagnosed CRC. In addition, we compared the
multiplicity of adenomas (the average number of adenomas in individuals with one or
more adenomas), as described in Kuntz et al.¹²

350 Adenoma Dwell Time, Sojourn Time (ST), and Overall Dwell Time: We replicated the retrospective analysis from Kuntz et al¹² that calculated the mean, median, and 351 352 interguartile range (IQR) for adenoma dwell time, the ST, and the overall dwell time 353 (adenoma dwell time plus ST) for individuals with clinically diagnosed CRC. We 354 simulated a cohort of 30 million individuals born in 1944. We also compared CRC-AIM's 355 outputs to different mean values of these characteristics as reported one year earlier by CRC-SPIN,²² although the birth cohort for that analysis was different (CRC-SPIN had 356 357 simulated 30 million individuals born in 1928).

Estimated Annual Transition Probabilities: We replicated a modeling experiment estimating transition probabilities from Rutter and Savarino.²² We simulated 30 million individuals born in 1928 and estimated state-transition probabilities for a cohort of 60year-olds, which are based on the proportion of individuals making state transitions as they progress from age 60 to 61.

363 Comparison to USPSTF outputs

364 Natural History Comparison

We compared the natural history outputs from CRC-AIM to those described in the 2015 CISNET technical report by Zauber et al.¹⁴ For each comparison, we replicated the reference population by simulating 2 million individuals using a birth cohort year of 1975.

Prevalence of Preclinical CRC/Adenomas: We replicated the outputs describing the prevalence of preclinical CRC and adenomas for individuals over 40 years old. In our calculation of preclinical cancer prevalence, once an individual developed clinically detectable cancer, they no longer had preclinical cancer and were removed from the numerator. Therefore, this analysis does not represent the cumulative probability of acquiring preclinical cancer by a certain age. In our calculation of adenoma prevalence, we only considered adenomas that were present until clinical CRC developed.

Location/Size of Adenomas: We replicated the location and size of adenomas for individuals over 40 years old. For the size analysis, only the size of the most advanced adenoma (ie, largest lesion) was considered for the adenoma distribution. Again, adenomas were only included until clinical CRC developed.

380 CRC Incidence by Age: We replicated the incidence of clinically diagnosed CRC 381 cases per 100,000 individuals by age, which was calibrated to 1975-1979 SEER 382 incidence (without screening). This incidence was compared to the empirical SEER 383 incidence rates prior to the diffusion of screening (1975-1979) and after over half of the 384 population had been screened (2007-2011). We calculated the incidence of new 385 clinically diagnosed CRC that occurred between yearly intervals, starting at 2015-2016 386 (age 40) and projected through 2075-2076 (age 100). Individuals with clinically 387 diagnosed CRC for a given interval were excluded for future intervals. 388 CRC Stage Distribution at Diagnosis: We generated a stage distribution of 389 clinically diagnosed CRC in individuals aged 40 and older in the absence of screening. 390 Cumulative Probability of Developing/Dying from CRC: We determined the 391 cumulative probability of developing CRC and dying from CRC, by age, in the absence

of screening using a birth year of 1975. This was performed using both cohort life
tables, described in detail above, and period life tables, which were obtained from the
Centers for Disease Control and Prevention.⁸

395 Cumulative Probability of Developing CRC in Individuals with/without Underlying Lesions: We replicated an experiment by Kuntz et al¹² that determined the cumulative 396 397 probability of developing CRC, stratified by individuals who either did or did not have an 398 existing adenoma or undiagnosed preclinical cancer at age 55. The analysis extended 399 to age 85. The weighted average of the two groups reflects the population-level risk of 400 cumulative CRC incidence across age. Competing cause of mortality (ie, death from 401 other causes) was removed, and the cumulative probability of developing CRC in this 402 analysis was therefore not impacted by choice of life table.

403 Screening Outcomes

404 We replicated the screening outcomes supplemental tables from the CISNET technical report for each screening modality.¹⁴ We wanted to reproduce CISNET model 405 406 outputs as faithfully as possible, and therefore we used the modified CRC-AIM that employs period life tables. Predicted screening outcomes were simulated for a birth 407 408 year cohort of 1975. The main benefits of CRC screening are life-years gained (LYG) 409 from prevention of CRC cases and delay of CRC deaths compared with no screening. 410 Number of colonoscopies was used to represent burden and harms. The number of 411 tests, complications from colonoscopies, CRC cases, CRC deaths, life-years with CRC, 412 incidence reduction, and mortality reduction were additional screening outcomes. All 413 outcomes were reported per 1,000 individuals free of diagnosed CRC at age 40.

414	Efficient Frontiers: We replicated the efficient frontier figures from the Figure 3
415	(colonoscopy) and Figure 4 (stool-based—FIT, HSgFOBT, and mt-sDNA) and
416	corresponding tables from Knudsen et al. ² Like CISNET, for both colonoscopy and
417	stool-based efficient frontiers, the screening stop ages were 75, 80, or 85 years. We
418	included only screening start ages of 50 and 55 for colonoscopy and replicated the
419	screening start ages of 50 and 55 for stool-based modalities. Strongly dominated
420	strategies (ie, strategies that colonoscopies for fewer LYG) were discarded. The
421	incremental number of LYG per 1000 (Δ LYG) and incremental number of colonoscopies
422	per 1000 (Δ COL) were computed. The efficiency ratio (Δ COL/ Δ LYG) for each remaining
423	strategy was calculated. Strategies with fewer LYG but a higher efficiency ratio than
424	another strategy were discarded as weakly dominated. The efficient frontier was the line
425	that connected the efficient strategies; strategies that had LYG within 98% of the
426	efficient frontier were considered near-efficient.
427	Cross-validation of screening outcomes
428	The purposes of the validation analysis were to cross-validate CRC-AIM's
429	screening component with other CISNET screening comparative effectiveness results
430	assuming perfect adherence. Colonoscopy and stool CISNET screening modalities
431	were analyzed in the validation analysis. For more information on the comparative
432	analyses, see Supplemental Materials.
433	

434 Results

435 We compared the natural history outputs from CRC-AIM with the published 436 outputs from the three CISNET models—CRC-SPIN, SimCRC, and MISCAN—and 437 found considerable similarity among the models.

438 First, CRC-AIM produced similar natural history outputs compared to CRC-SPIN 439 v1.0, SimCRC, and MISCAN prior to the 2013 CISNET CRC survival update. The 440 adenoma prevalence at age 65 for CRC-AIM is 29.2%, which is similar to the CISNET 441 models (30.7% for CRC-SPIN, 37.2% for SimCRC, and 39.8% for MISCAN) (Table 1). 442 Moreover, the multiplicity of adenomas at age 65 for CRC-AIM (1.7) was within the 443 range of values for the CISNET models (1.8 for CRC-SPIN, 1.6 for SimCRC, and 2.0 for 444 MISCAN) (**Table 1**). In addition, the location (proximal colon, distal colon, and rectum) 445 of the number of size-stratified adenomas (1-5 mm, 6-9 mm, and ≥10 mm), along with 446 the cumulative incidence (10-year, 20-year, and lifetime) across CRC stages, was 447 comparable between CRC-AIM and the CISNET models (Figure 2, Table 1). 448 There was a comparable percentage of CRCs among CRC-AIM, CRC-SPIN, and 449 SimCRC that developed from adenomas generated within 10 and 20 years of the 450 clinical CRC diagnosis (Figure S1, Table 2). Although CRC-AIM's overall dwell time is longer than the ranges reported for CRC-SPIN and SimCRC by Kuntz et al,¹² it is less 451 than another published estimate for CRC-SPIN²² (Figure 3, Table S2). CRC-AIM's 452 453 derived annual transition probabilities align closely with those of CRC-SPIN (**Table S3**), 454 except for preclinical CRC transition probabilities. 455 Second, we observed consistent natural history outputs between CRC-AIM and

456 the CISNET-based outputs described in the CISNET CRC technical report.¹⁴ The

457 prevalence of preclinical CRC estimated by CRC-AIM is within the range of the CISNET

. – –	
458	models (Figure 4A). Because preclinical cancer prevalence is sensitive to sojourn
459	time, ¹⁴ if CRC-AIM used the original sojourn time parameter estimates from CRC-
460	SPIN, ⁷ then CRC-AIM's prevalence would align with the prevalence reported by Berg et
461	al ²³ (see Supplemental Material).
462	CRC-AIM's adenoma prevalence almost overlaps that of CRC-SPIN (Figure 4B,
463	Table S4). Both CRC-AIM and CRC-SPIN estimate lower adenoma prevalence
464	compared to SimCRC and MISCAN, until approximately age 80 (Figure 4B, Table S4).
465	The CRC-AIM CRC incidence curve overlaps the three CISNET models and 1975-1979
466	SEER estimates, trending more closely with CRC-SPIN than SimCRC or MISCAN after
467	age 85 (Figure 4C). The distribution of adenoma location in CRC-AIM is identical to that
468	of CRC-SPIN (Figure S2), and the distribution of adenomas by size of the most
469	advanced adenoma among individuals aged 40, 60, and 80 is similar to CRC-SPIN
470	(Figure 5). The CRC-AIM cancer stage distribution is almost identical to CRC-SPIN,
471	with both models producing lower Stage IV cancer estimates compared to SimCRC and
472	MISCAN due to a similar method to assign cancer stage probability ¹ (Figure 6).
473	Additionally, we used CRC-AIM to explore the sensitivity of natural history
474	modeling outputs (cumulative probability of developing CRC, cumulative risk of dying
475	from CRC, and life expectancy) in an unscreened population based on the choice of life
476	tables (cohort versus period; see Methods for details) for non-CRC-related mortality.
477	CRC-AIM uses cohort life tables, which are preferred over period life tables for
478	predicting future mortality. ^{8,9} Although the CISNET models use period life tables,
479	multiple natural history outputs between CRC-AIM and the CISNET models are
480	generally comparable, with the exceptions of cumulative CRC risk and CRC mortality.

481 The curves describing the cumulative probability of developing CRC between 482 ages 40 and 100 are similar between default CRC-AIM (with cohort life tables) and the 483 CISNET models until age 80, after which CRC-AIM estimates more CRC (Figure 7). 484 The cumulative probability is 8.0% for default CRC-AIM compared to 7.2% for CRC-485 SPIN, 7.0% for SimCRC, and 6.7% for MISCAN. Using period life tables, CRC-AIM generates an overlapping probability curve and a comparable cumulative probability of 486 487 7.1% (Figure 7). Similarly, the cumulative probability curve of dying from CRC between 488 ages 40 and 100 is nearly identical to the CISNET models until age 80, after which 489 CRC-AIM estimates more CRC deaths (Figure 7). The cumulative probability of dying 490 from CRC is 3.2% in CRC-AIM using period tables and 3.7% in CRC-AIM using cohort tables, compared to 2.7% for CRC-SPIN and 2.8% for SimCRC and MISCAN.¹⁴For life 491 492 expectancy, we replicated the CISNET model analysis by using period tables and 493 assuming a simulation stop-age of 100 years. The life expectancy among 40-year-olds 494 was 39.63 years for the modified CRC-AIM, which was almost identical to the CISNET 495 models (39.6 years for SimCRC, 40.0 years for both MISCAN and CRC-SPIN). All three 496 CISNET models simulate out to 100 years due to the unreliability of period life table 497 estimates after age 100. Using the default cohort life tables, CRC-AIM simulated a life 498 expectancy among 40-year-olds of 41.1 years, assuming a stop-age of 100 years. 499 Because cohort life tables allow for the simulation of stop-ages beyond 100 years, we 500 evaluated the simulated life expectancy for CRC-AIM given an assumed stop-age of 501 120 years, which was 41.7 years.

502 Finally, we replicated the analysis from Kuntz et al¹² where cumulative probability 503 of developing CRC was considered purely as a function of the adenoma-carcinoma

504 sequence and the competing risk of mortality is eliminated (ie, removing life tables from 505 consideration). For the 20-year cumulative CRC incidence (at age 75) for individuals 506 with underlying lesions and without competing mortality, CRC-AIM projected 13.5%, 507 which is comparable to the CISNET models (13.5% for CRC-SPIN, 13.1% for SimCRC, 508 and 8.6% for MISCAN) (Figure 8). Similar results were obtained for the risk ratios at 509 age 75 for the group of individuals with lesions (at age 55) compared to the group 510 without underlying lesions (at age 55)—CRC-AIM projected a risk ratio of 37.3, within 511 the range of the CISNET models (75 for CRC-SPIN, 29 for SimCRC, and 7 for 512 MISCAN) (Figure 8). 513 The screening overlay validation analysis assumed perfect adherence for CRC 514 screening strategies. We generated screening overlay tables from CISNET publication 515 (Tables S36-43) using CRC-AIM with period life tables. When no screening was 516 conducted, the CRC-AIM model estimated that 71.1 out of 1000 individuals free of CRC 517 at age 40 would be diagnosed with CRC during their lifetime and 31.7 would die of CRC 518 (**Table S36**). When screening was conducted, all strategies provided clinical benefit in terms of LYG and reductions in CRC-related incidence and mortality (Tables S36-43). 519 520 With those tables, we were able to compute the efficient frontiers (Figure 9 and Tables) 521 S44-45). 522 We conducted three analyses to demonstrate model cross-validation (1) 523 guantitative method comparison, in which screen-related outcomes from all models 524 were compared, using Passing-Bablok regression, in terms of systemic bias, 525 proportional bias, and total bias at the low and high measurement range; (2) qualitative 526 efficient frontier comparison, in which the efficient frontiers from all models were

527 compared using concordance analysis; (3) medical decision making comparison, in 528 which the final recommended screening strategies from all models were compared (see 529 Supplemental Material). 530 Overall, there is substantial overlap across multiple natural history and screening 531 overlay outputs between CRC-AIM and the CISNET models, especially CRC-SPIN. 532 533 Discussion 534 We developed a robust natural history model of colorectal cancer—the Colorectal 535 Cancer and Adenoma Incidence & Mortality (CRC-AIM) microsimulation model-to 536 facilitate greater opportunities and efficiencies in collaborative modeling research. We 537 compared the results of CRC-AIM with published results for the three CISNET CRC 538 models: CRC-SPIN, SimCRC, and MISCAN. 539 The percentage of adenomas that had developed within 10 or 20 years prior to 540 clinical CRC diagnosis is similar between CRC-AIM and the CISNET models (Figure 541 **S1**, **Table 2**). This similarity suggests a comparable interaction between screening 542 interval and CRC incidence reduction. If the adenoma transition rates are similar, then 543 the screening benefits will be comparable because all models will have similar windows 544 of opportunity for a hypothetical screen to detect and remove CRC-causing adenomas.¹² However, if there is a difference in the proportion of adenomas that 545 546 become cancerous within a particular timeframe, then the models could have different 547 reductions in CRC incidence and mortality, depending on the screening modality.¹² 548 Because of this similarity, CRC-AIM demonstrated relative comparability with the

549 CISNET models in terms of CRC incidence and mortality reduction and conclusions550 related to medical decision making.

551 Although the natural history outputs from CRC-AIM generally aligned with the 552 CISNET models, there were some notable differences. CRC-AIM's derived annual 553 transition probabilities align closely with those of CRC-SPIN (Table S3), except for 554 preclinical CRC transition probabilities, which is likely caused by specifying a different 555 sojourn time (ST) for CRC-AIM (Table S2; see Supplemental Material). When the 556 original CRC-SPIN parameter estimates are used for ST, the preclinical CRC transition 557 probabilities are similar between CRC-AIM and CRC-SPIN (data not shown). 558 Minor differences in cumulative cancer mortality by age 100 (natural history) and 559 life-years-gained (screening overlay) are likely due to a different method for calculating 560 CRC survival between CRC-AIM (cause-specific survival) and the CISNET models 561 (relative survival). The outcomes between relative survival and cause-specific survival 562 are generally comparable; although relative survival is more commonly used with 563 registry data, cause-specific survival benefits from enhanced flexibility and can better incorporate risk factors for particular populations.^{24,25} Because the CISNET models use 564 identical CRC-based survival functions,^{14,20,26,27} the potential inter-model variability that 565 566 would have occurred if each group had independently developed their own survival 567 functions is unknown. Since CRC-AIM's cause-specific survival functions overlap 568 considerably with the CISNET models until age 85, after which only minor deviations 569 are observed, we predict that CRC-AIM's cumulative cancer mortality would lie within an 570 inter-model variability.

571 In our analysis, we compared the impact of cohort versus period life tables on the 572 sensitivity of natural history outputs in an unscreened population. CRC-AIM uses cohort 573 life tables, which determine annual survival from past mortality rates and/or projected 574 mortality rates. The CISNET models use period life tables, which describe what would 575 happen to a hypothetical cohort if it experienced, throughout its entire life, the mortality 576 conditions of a particular time period. In general, cohort tables are preferred over period life tables for predicting future mortality,^{8,9} and the latter may underestimate survival 577 because they only represent a snapshot of the current mortality experience.^{8,9} 578 579 We demonstrate that the choice of life table impacts CRC incidence and 580 mortality, particularly after age 85. The differences in cumulative probability of 581 developing CRC after age 85 in CRC-AIM compared to the CISNET models is primarily 582 driven by using cohort life tables—older individuals are alive and at risk of developing 583 CRC. Consequently, this impacts cumulative mortality, because more individuals will die 584 of CRC if more individuals develop CRC. We found that choice of life table did not 585 significantly impact other modeling outputs.

586 In general, by conforming to CISNET's assumptions regarding their efficient 587 frontier calculations, we reproduced their overall screening outputs (Figure 9). We also 588 conducted multiple model cross-validation comparisons between CRC-AIM and the 589 CISNET models. For comparisons based on quantitative outcomes, qualitative efficient 590 frontiers, and overall strategy recommendations, we found that the differences in 591 outcomes between CRC-AIM and the CISNET models were generally similar to the 592 differences among the CISNET models themselves (see Supplemental Materials). 593 Quantitative differences for CRC-AIM versus the CISNET models were observed with

594 total surveillance colonoscopies, total colonoscopies, and life-years-gained, depending on the comparison that was performed. Regardless, qualitative decisions related to 595 596 inform clinical practice in terms of screening modality using CRC-AIM are almost 597 identical to those made by the CISNET modelsOne limitation of our analyses is that 598 were limited to the descriptions of model parameters and assumptions found in the 599 CISNET model publications, which we replicated as closely as possible. These models 600 have likely been altered over time and some analytical details are not provided within 601 the publications. 602 With CRC-AIM, we want to stimulate community engagement and enhance 603 research into underexplored questions in population health by clearly articulating its 604 assumptions and framework. To that end, we have deposited CRC-AIM's formulas, 605 parameters, and additional documentation on a publicly accessible repository 606 (https://github.com/CRCAIM/CRC-AIM-Public). (This information is also included in 607 **Table S5** and **Supplemental Material**.) In addition, we will make CRC-AIM's underlying 608 Python code available to collaborators to facilitate information-sharing and emphasize

609 visibility and transparency. We have included additional details regarding opportunities

610 for collaboration on the CRC-AIM repository.

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620	
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622	Permissions will be obtained from the publishers as needed.
623	
624	Declaration of Conflicting Interests
625	Financial support for this study was provided entirely by a contract with Exact
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629	AP has a consulting contract with the sponsor through EmpiriQA. HR has a
630	consulting contract with the sponsor through BioBridge Strategies. AMF has a
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632	at Exact Sciences through a contracted services agreement with Mayo Clinic. PJL and
633	Mayo Clinic have contractual rights to receive royalties through this agreement.

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635 declare no conflicts of interest.

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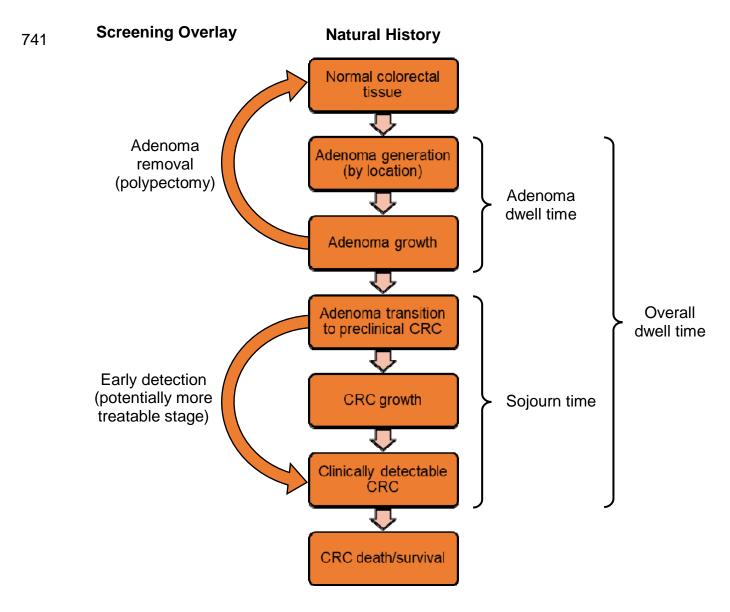
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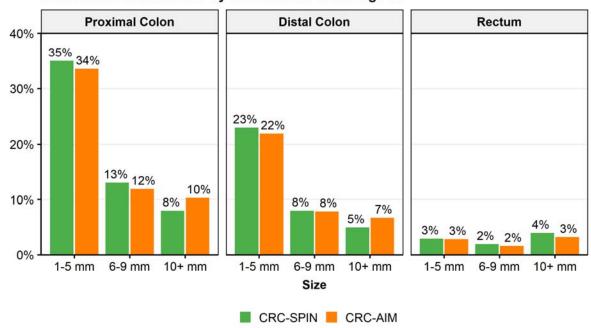
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736 Tables and Figures

- 738 Figure 1. Steps in the natural history of colorectal cancer (CRC) with description
- 739 of screening consequences.
- 740



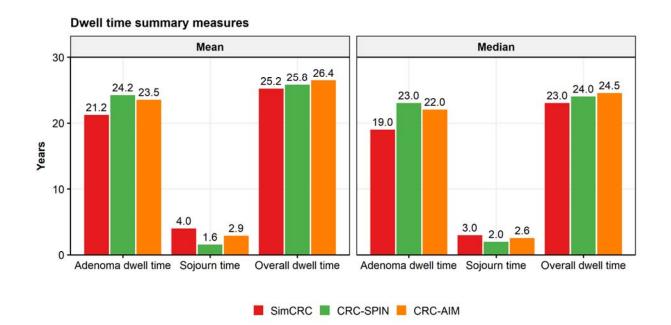
- 742 Figure 2. Distribution of adenomas by size and location at age 65 in CRC-SPIN
- 743 and CRC-AIM. Sizes are subdivided into small (1-5 mm), medium (6-9 mm), and large
- 744 (10+ mm) adenomas. Data adapted from Knudsen et al.²¹



Distribution of adenomas by location and size at age 65

745

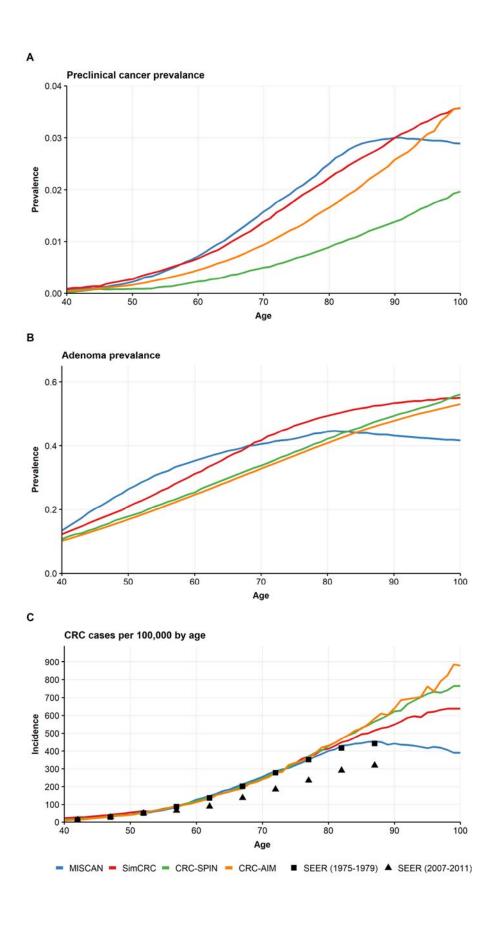
- 747 Figure 3. Median and mean adenoma dwell time, sojourn time, and overall dwell
- 748 time in SimCRC, CRC-SPIN, and CRC-AIM. Data adapted from Kuntz et al;¹² results
- from MISCAN are not included as the model has been subsequently recalibrated.



750

752 Figure 4. Preclinical cancer prevalence, adenoma prevalence, and colorectal

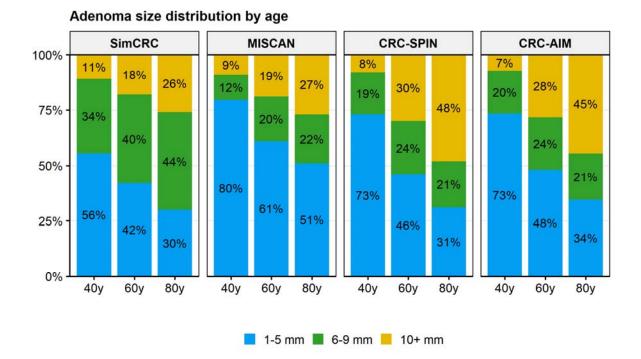
- 753 cancer (CRC) incidence by model. Prevalence of (A) preclinical cancers and (B)
- adenoma by age. (C) Incidence of clinically diagnosed CRC per 100,000 individuals by
- 755 age. Black squares represent CRC incidence for largely unscreened population
- according to the Surveillance, Epidemiology, and End Results data (SEER 1975-1979);
- 757 black triangles represent CRC incidence with majority of screening-adherent individuals
- 758 (SEER 2007-2011). Data adapted from Zauber et al.¹⁴
- 759



760

762 Figure 5. Adenoma size distribution by age of the most advanced adenoma by

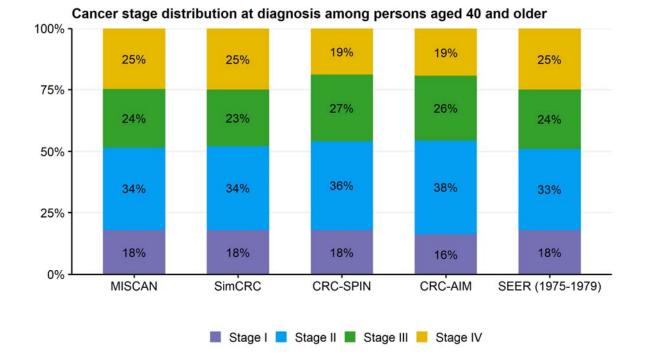
763 **model.** Data adapted from Zauber et al.¹⁴



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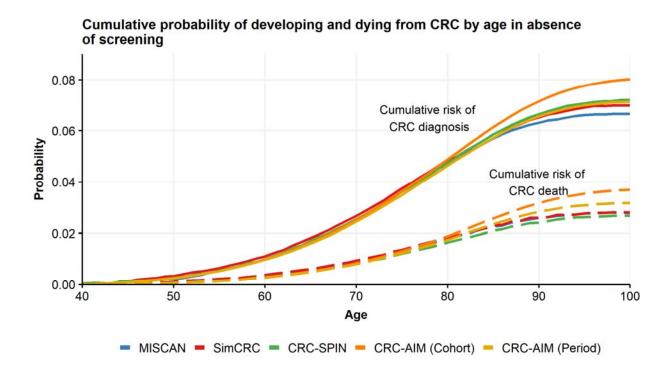
766 Figure 6. Colorectal cancer (CRC) stage distribution at clinical diagnosis among

- 767 individuals aged 40 or older by model. Natural history stage distribution in the
- absence of screening is represented by Surveillance, Epidemiology, and End Results
- 769 data ("SEER 1975-1979"). Stage was defined according to the American Joint
- 770 Committee on Cancer (AJCC) staging system. Data adapted from Zauber et al.¹⁴



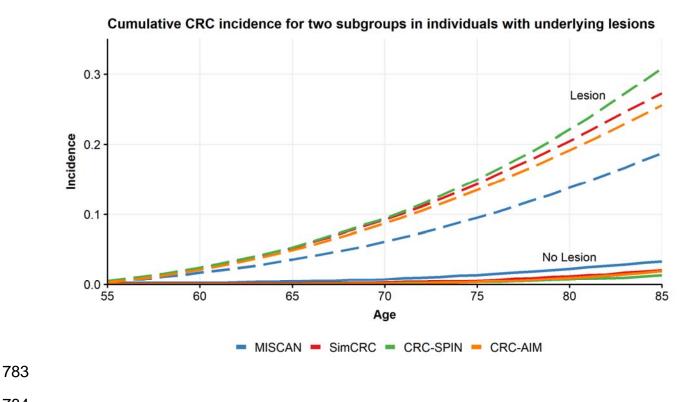
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- 773 Figure 7. Cumulative probability of developing and dying from colorectal cancer
- (CRC) in the absence of screening by model. CRC-AIM results are displayed either
- vsing cohort life tables ("Cohort"), used standardly in CRC-AIM, or period life tables
- ("Period"), used in MISCAN, SimCRC, and CRC-SPIN, and for direct comparison in
- 777 CRC-AIM. Data adapted from Zauber et al.¹⁴

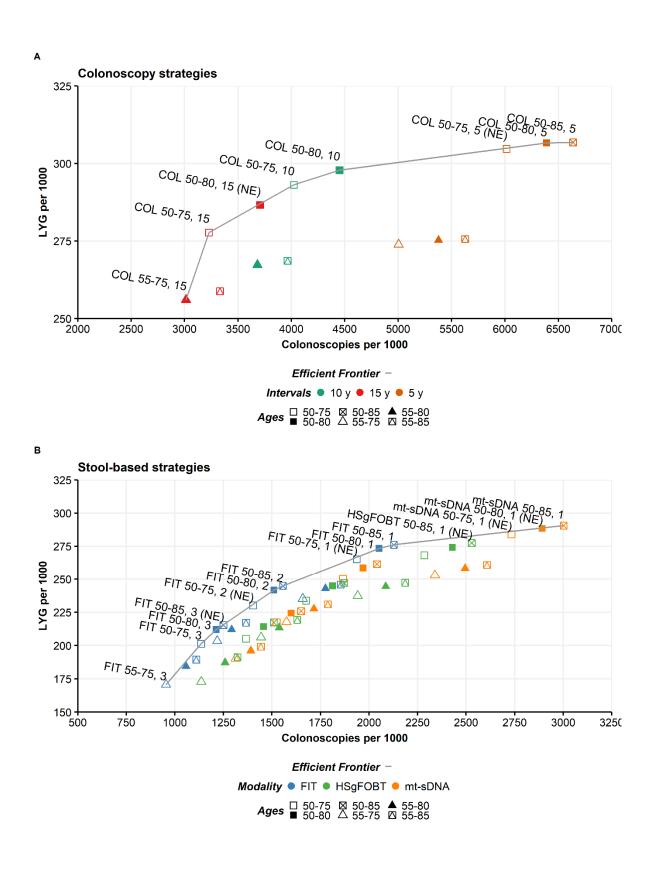




- 779 Figure 8. Cumulative CRC incidence for two subgroups in individuals with
- 780 underlying lesions by model. The subgroups include individuals with or without an
- adenoma or preclinical cancer at age 55 ("Lesion" or "No Lesion", respectively). Data
- 782 from Kuntz et al.¹²



- 785 Figure 9. Efficient frontier of screening strategies for individuals aged 40 years
- 786 using (A) colonoscopy and (B) stool-based tests. Models conformed to the CISNET
- 787 assumption of perfect adherence. FIT, fecal immunochemical test; HSgFOBT, high
- sensitivity guaiac based fecal occult blood test; mt-sDNA, multi-target stool DNA. NE,
- 789 near-efficient.



790

792 Table 1. Prevalence and incidence of adenoma and colorectal cancer (CRC) by

- 793 **model.** Multiplicity of adenomas data adapted from Kuntz et al¹²; other data adapted
- 794 from Knudsen et al.²¹
- The table from Knudsen et al^{21} labels this category as "1-10 mm".
- ^{**}The maximum lifespan age was not explicitly mentioned in Knudsen et al.²¹ CRC-AIM
- 797 simulation stop-age was 120 years.

Outcom	e	MISCAN	SimCRC	CRC-SPIN	CRC-AIM
Adenoma prevalence, age 65		39.80%	37.20%	30.70%	29.17%
Multiplicity of adenomas, age 65		2.0	1.6	1.8	1.7
Numbe	r of adenom	nas per 1000	by location	/size at age 6	5
	1-5 mm	121.2	171.7	190.2	188.6
Proximal Colon	6-9 mm	69.9	186.2	67.8	66.9
	≥10 mm*	61.8	23.9	40.8	57.8
	1-5 mm	134.4	124.2	124.5	123.3
Distal Colon	6-9 mm	77.4	18.2	44.4	44.2
	≥10mm	68.4	41.6	26.7	37.8
	1-5 mm	133.5	8.7	14.1	16.2
Rectum	6-9 mm	76.8	16.0	9.1	9.2
	≥10 mm	68.1	15.8	20.2	18.4
Ad	enoma dist	ribution by	location/size	e at age 65	
	1-5 mm	15%	28%	35%	34%
Proximal Colon	6-9 mm	9%	31%	13%	12%
Proximal Colon	≥10 mm	8%	4%	8%	10%
	Total	31%	63%	56%	56%
	1-5 mm	17%	20%	23%	22%
Distal Colon	6-9 mm	10%	3%	8%	8%
Distal Colon	≥10 mm	8%	7%	5%	7%
	Total	35%	30%	36%	36%
	1-5 mm	16%	1%	3%	3%
Rectum	6-9 mm	9%	3%	2%	2%
	≥10 mm	8%	3%	4%	3%
	Total	34%	7%	8%	8%
Cumulative CRC incidence among cancer-free individuals at age 65					
10-year cumulative	Stage I	0.4%	0.4%	0.3%	0.4%

incidence	Stage II	0.7%	0.7%	0.7%	0.9%
	Stage III	0.5%	0.5%	0.5%	0.6%
	Stage IV	0.5%	0.5%	0.3%	0.5%
	Total	2.1%	2.2%	1.8%	2.3%
	Stage I	0.8%	0.8%	0.7%	0.8%
	Stage II	1.6%	1.5%	1.4%	1.8%
20-year cumulative incidence	Stage III	1.0%	1.0%	1.0%	1.2%
	Stage IV	1.0%	1.2%	0.7%	1.0%
	Total	4.4%	4.6%	3.9%	4.8%
Lifetime** cumulative incidence	Stage I	1.0%	1.0%	0.9%	1.1%
	Stage II	2.1%	2.0%	1.9%	2.4%
	Stage III	1.3%	1.4%	1.4%	1.7%
	Stage IV	1.3%	1.6%	1.0%	1.3%
	Total	5.7%	6.0%	5.3%	6.4%

798

800 Table 2. Screening test characteristic inputs. Reproduced and adapted with

801 permission from Knudsen et al, 2016.² CRC, colorectal cancer.

Screening Test	Value	Source			
Colonoscopy (within reach, per lesion)					
Specificity	86% ^b	(2013) Schroy et al ²⁸			
Sensitivity for adenomas 1-5 mm	75%	(2006) Van Rijn et al ²⁹			
Sensitivity for adenomas 6-9 mm	85%	(2006) Van Rijn et al ²⁹			
Sensitivity for adenomas ≥10 mm	95%	(2006) Van Rijn et al ²⁹			
Sensitivity for CRC	95%	Assumed			
Reach	95% (to end of cecum, remainder between rectum and cecum) ^c	Assumed			
Risk of complications (serious/other gastrointestinal and cardiovascular)	age-specific risks ^d	(2014) Van Hees et al ¹⁹ ; (2009) Warren et al ¹⁷ ; (2003) Gatto et al ¹⁸			
Fecal	immunochemical test (per person)				
Specificity	96.5%	(2014) Imperiale et al ³⁰			
Sensitivity for adenomas 1-5 mm	7.6% ^e	(2014) Imperiale et al ³⁰			
Sensitivity for adenomas 6-9 mm		(2014) Imperiale et al ³⁰			
Sensitivity for adenomas ≥10 mm	23.8% ^f	(2014) Imperiale et al ³⁰			
Sensitivity for CRC	73.8%	(2014) Imperiale et al ³⁰			
Reach	whole colorectum	Assumed			
Risk of complications	0%	(2016) Lin et al ³¹			
High sensitivity g	uaiac based fecal occult blood test (p	per person)			
Specificity	92.5%	(2008) Zauber et al ³²			
Sensitivity for adenomas 1-5 mm	7.5% ^g	(2008) Zauber et al ³²			
Sensitivity for adenomas 6-9 mm	12.4%	(2008) Zauber et al ³²			
Sensitivity for adenomas ≥10 mm	23.9%	(2008) Zauber et al ³²			
Sensitivity for CRC	70%	(2008) Zauber et al ³²			
Reach	whole colorectum	Assumed			
Risk of complications	0%	(2016) Lin et al ³¹			
Multi-target stool DNA (per person)					
Specificity	89.8%	(2014) Imperiale et al ³⁰			
Sensitivity for adenomas 1-5 mm	17.2% ^e	(2014) Imperiale et al ³⁰			
Sensitivity for adenomas 6-9 mm		(2014) Imperiale et al ³⁰			
Sensitivity for adenomas ≥10 mm	42.4% ^f	(2014) Imperiale et al ³⁰			
Sensitivity for CRC	92.3%	(2014) Imperiale et al ³⁰			
Reach	Whole colorectum	Assumed			
Risk of complications	0%	(2016) Lin et al ³¹			

SIG Flexible sigmoidoscopy (within reach, per lesion)					
Specificity	87% ^b	(2005) Weissfeld et al ³³			
Sensitivity for adenomas 1-5 mm	75%	Assumed			
Sensitivity for adenomas 6-9 mm	85%	Assumed			
Sensitivity for adenomas ≥10 mm	95%	Assumed			
Sensitivity for CRC	95%	Assumed			
Reach	76-88% to sigmoid-descending junction; 0% beyond splenic flexure	(2003) Atkin et al ¹⁵ ; (1999) Painter et al ¹⁶			
Risk of complications	0%	Assumed ^h ;			
		(2014) van Hees et al ¹⁹ ;			
		(2009) Warren et al ¹⁷			
CTC Computed	omographic colonography (CTC) (pe	er lesion)			
Specificity	88% ⁱ	(2008) Johnson et al ³⁴			
Sensitivity for adenomas 1-5 mm	NPnot provided (only individuals with ≥6 mm lesions are determined to have positive CTC tests)	(2008) Johnson et al ³⁴			
Sensitivity for adenomas 6-9 mm	57%	(2008) Johnson et al ³⁴			
Sensitivity for adenomas ≥10 mm	84%	(2008) Johnson et al ³⁴			
Sensitivity for CRC	84%	(2008) Johnson et al ³⁴			
Reach	Whole colorectum	Assumed			
Risk of complications	0%	(2016) Lin et al ³¹			

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Table 3. Percent of adenomas that had developed within 10 years or 20 years of

805	clinical colorectal cancer diagnosis by	model. Data adapted from Kuntz et al. ¹²
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Age at cancer	Adenomas developed (%)				
diagnosis (years)	MISCAN	CRC-SPIN	SimCRC	CRC-AIM	
Within 10 years of clinical cancer diagnosis					
55	72%	3%	10%	6.5%	
65	67%	4%	9%	6.7%	
75	62%	4%	9%	7.5%	
Within 20 years of clinical cancer diagnosis					
55	94%	24%	39%	42.2%	
65	92%	25%	37%	37.5%	
75	89%	28%	33%	36.2%	

806

808 Table 4. Screening strategies evaluated at perfect adherence. COL, colonoscopy;

- 809 CTC, computed tomographic colonography; FIT, fecal immunochemical test; HSgFOBT,
- 810 high sensitivity guaiac based fecal occult blood test; mt-sDNA, multi-target stool DNA
- 811 test; SIG, flexible sigmoidoscopy
- 812

Screening Modality	Screening Interval, y	Age to Begin Screening, y	Age to End Screening, y	No. of (Unique) Strategies
no screening	NA	NA	NA	1 (1)
COL	5, 10, 15	45, 50, 55	75, 80, 85	27 (20)
mt-sDNA	1, 3, 5	45, 50, 55	75, 80, 85	27 (27)
FIT	1, 2, 3	45, 50, 55	75, 80, 85	27 (27)
HSgFOBT	1, 2, 3	45, 50, 55	75, 80, 85	27 (27)
SIG	5, 10	45, 50, 55	75, 80, 85	18 (15)
SIG and FIT (SIG_FIT)	5_2, 5_3, 10_1, 10_2	45, 50, 55	75, 80, 85	36 (36)
SIG and HSgFOBT (SIG_HSgFOBT)	5_2, 5_3, 10_1, 10_2	45, 50, 55	75, 80, 85	36 (36)
CTC	5, 10	45, 50, 55	75, 80, 85	18 (15)