Brain injections for viral-mediated transgenesis in sticklebacks

Minimally invasive brain injections for viral-mediated transgenesis: New tools for behavioral genetics in sticklebacks

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Abstract

Behavioral genetics in non-model organisms is currently gated by technological limitations. However, with the growing availability of genome editing and functional genomic tools, complex behavioral traits such as social behavior can now be explored in diverse organisms. Here we present a minimally invasive neurosurgical procedure for a classic behavioral, ecological and evolutionary system: threespine stickleback (*Gasterosteus aculeatus*). This method of direct brain injection enables viral-mediated transgenesis and pharmaceutical delivery which bypasses the blood-brain barrier. This method is flexible, fast, and amenable to statistically powerful within-subject experimental designs, making it well-suited for use in genetically diverse animals such as those collected from natural populations.

Viral-mediated transgenesis in the brain allows for a direct examination of the genetic mechanisms underlying behavior in wild-caught animals from natural populations. Using this method, we were able to detect changes in aggression from the knockdown of either of two different genes, arginine vasopressin (*AVP*) and monoamine oxidase (*MAOA*), in outbred animals in less than one month. In addition, we demonstrate that widely available mammalian plasmids work with this method, lowering the barrier of entry to the technique.

Keywords: aggression, behavioral genetics, *Gasterosteus aculeatus*, gene transfer, herpes simplex virus type I, neuroethology, neurosurgery, territoriality, threespine stickleback, transgenesis

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Introduction

Complex behaviors have been repeatedly shown to be heritable (reviewed in

Dochtermann et al., 2019), yet establishing a causal relationship between genes and social

behavior remains challenging. Partially, this difficulty arises from limitations of the primarily

correlative methods for examining the interplay between genes and behavior (reviewed in

Charney 2017). While DNA sequence changes were historically thought to rely on changes in

protein efficacy, they can occur in promoter or enhancer regions resulting in changes in

expression (Bossdorf et al., 2007; Xie et al., 2019). Changes in gene expression are frequently

lumped together under the category of epigenetics which rely on changes outside the DNA

sequence such as methylation or histone modifications.

DNA sequence differences giving rise to different phenotypes can be identified with

techniques such as quantitative trait locus (QTL) mapping and genome-wide linkage/association

studies (GWAS). RNA-Seq, currently one of the most popular methods for identifying candidate

genes, detects both sequence-based and epigenetic effects, including alternative splicing and

post-transcriptional modifications. These techniques yield candidate genes from regions where

variation in genetic and epigenetic markers is significantly associated with the phenotypic

variation. To fully characterize how a gene contributes to behavior, it is necessary to consider not

just sequence differences, but also regulatory and epigenetic influences. Therefore, to

demonstrate and fully characterize a causal relationship between a gene and behavior, it is

crucial to have a method for manipulating gene expression at a specific time and location

(London, 2020).

It is important to select the right tools for the system as each method has its own

assumptions and required extant capabilities within the system (Bengston et al., 2018).

Pharmacological manipulation can mimic the results of altered gene expression (Stefanska and

MacEwan, 2015). However, pharmaceutical manipulation is more useful in examining the

signaling mechanisms at the protein level, while directly altering gene expression would be

preferable for establishing a causal relationship between genes and behavior. Viral-mediated

transgenesis is a method to increase a gene's expression in a specific location or time (Ingusci et

al., 2019; Simonato et al., 2000; Zou et al., 2014). This approach has already proved essential in

the functional testing of genes related to behavior (Gallant and O'Connell, 2020; London, 2020;

Simonato et al., 2000) as well as in the dissection of neural circuits (Luo et al., 2008).

Viral-mediated transgenesis can be used to increase or decrease gene expression levels,

using either a direct gene payload or a CRISPR cassette, respectively (Braasch et al., 2014;

Ingusci et al., 2019). This method of transgenesis is fast and flexible and amenable to

experimental designs in which the same individual animals are measured before and after gene

expression is altered, allowing us to show a causal relationship. By using a repeated measure

within-subject design, each animal acts as its own control, which statistically eliminates variation

between individuals. This is a major benefit when dealing with high inter-individual variation,

such as when studying behavior or outbred animals collected from natural populations.

To enable direct manipulation of candidate genes and thereby examine how they

contribute to behavior, we developed a minimally invasive neurosurgical method for direct brain

injection in the threespine stickleback (*Gasterosteus aculeatus*). This procedure can deliver

either pharmacological agents to test signaling pathways or transgenic elements to directly alter

gene expression into the stickleback brain. Threespine stickleback have long been a foundational

organism in ethology (reviewed in Huntingford & Ruiz-Gomez 2009). Already one of the best-

studied animals for behavior, sticklebacks have a growing molecular toolkit including a fully

sequenced genome (Jones et al., 2012).

Thus, sticklebacks are now are gaining popularity in other fields including evolution,

physiology, comparative genomics, and neuroscience (Fang et al., 2018; Norton and Gutiérrez,

2019). They have been used in comparative cross-taxa studies looking for a conservation in the

molecular underpinnings of social behavior with both emerging and classic model systems

(Rittschof et al., 2014; Saul et al., 2019), as well as in the evolution of behavior (Di Poi et al.,

2016; Dingemanse et al., 2012; Fang et al., 2018; Huntingford and Ruiz-Gomez, 2009). Indeed,

there are already hundreds of previously identified candidate genes for social behavior waiting to

be characterized (Bell et al., 2016; Bukhari et al., 2017; Greenwood and Peichel, 2015;

Greenwood et al., 2013; Laine et al., 2012; Mommer and Bell, 2014; Sanogo et al., 2011).

Sticklebacks enjoy well-established behavioral assays (Rowland, 1982; van Iersel, 1953) that are

amenable to automation (Ardekani et al., 2013; Norton and Gutiérrez, 2019).

There is a dearth of information on surgical methodology in small (3-4 cm) fish, despite

their popularity as behavioral model systems, including zebrafish (*Danio rerio*) (Maruska et al.,

2019), medaka (*Oryzias latipes*) (Kirchmaier et al., 2015), and stickleback (Huntingford and

Ruiz-Gomez, 2009). Anesthetization remains one of the most challenging aspects of surgery

because proper anesthetizations timing must be determined on an individual basis. In modifying

a zebrafish viral-mediated transgenesis procedure (Zou et al., 2014), we first needed to refine the

anesthesia process (Neiffer and Stamper, 2009; Sladky and Clarke, 2016) to enable a longer and

more precise surgery. Importantly, we introduce the use of an oral cannula providing

oxygenation and maintenance anesthetic throughout the longer (10 minutes out-of-water)

procedure. To improve the precision of brain injections, we designed and built a custom surgical

rig as there are no commercially-available, water compatible, tiny stereotaxis tables. To

maximize animal welfare, we additionally needed to identify clear warning signs of failure to

recuperate by establishing a normal recovery pattern in stickleback similar to the work in koi by

Harms et al., (2005). With this equipment and the ability to provide early intervention, survival

rates rose to 90%.

To facilitate future behavioral work with this stereotactic brain surgery for stickleback,

we tested methods to minimize post-surgical downtime such as supplemental oxygenation and

verified prompt behavioral recovery via a simulated territorial intrusion immediately after

physiological recovery. As the first test of this method in this species, we chose to focus on

territorial aggression for three reasons: 1) it is a well-established, easy to score behavioral assay

(Bell, 2005; Norton and Gutiérrez, 2019; Rowland and Bolyard, 2000; van Iersel, 1953;

Wootton, 1971), 2) aggression is important for fitness (Anholt and Mackay, 2012; Huntingford

and Turner, 1987), and 3) there are good candidate genes for aggression based on studies in other

vertebrates (Sanogo et al., 2012; Saul et al., 2019; Takahashi and Miczek, 2014).

Here we employ this neurosurgical method to test the function of two conserved

candidate genes related to aggression in stickleback: arginine-vasopressin and monoamine

oxidase. Using viral-mediated transgenesis via direct brain injections, we tested if behavior level

changes were detectable due to brain-wide overexpression of either *AVP* or *MAOA* in wild-

caught male sticklebacks. To increase the accessibility of viral-mediated transgenesis in

sticklebacks, a system with roots in ethology rather than genetics, we demonstrate the use of the

technique for behavioral genetics by using widely available mammalian plasmids, rather than

synthesizing or cloning out the stickleback gene sequences. To confirm the efficacy of

pharmaceutical manipulations via brain injections, we compared brain and systemic injection of

vasotocin.

92    Arginine-vasopressin (*AVP*) and its nonmammalian homolog arginine-vasotocin (*AVT*)

93 are highly conserved (Moore, 1992) and pleiotropic (Balment et al., 2006). Vasopressin and

94 vasotocin are distinguished by only a single amino acid change between mammals (human) and

95 teleosts (sticklebacks), and their respective V_{1a} receptors have similar specificity, signaling

96 mechanisms, and amino acid sequences (Goodson and Bass, 2001). Both vasopressin and

97 vasotocin were found to have similar physiological effects in rats (Feuerstein et al., 1984).

98 Additionally, vasotocin signaling has been shown to influence aggression in various contexts in

99 both fish and mammals (reviewed in Goodson, 2013) and has been characterized throughout the

100 social decision making network (SBDN) in the brain (Albers, 2015; Goodson, 2005; O'Connell

101 and Hofmann, 2011; O'Connell and Hofmann, 2012). In fact, nonapeptide hormones

102 (vasopressin/vasotocin, isotocin/mesotocin, and oxytocin) interact with sex steroids to influence

103 behavior (Goodson and Bass, 2001; Stoop, 2012), making them quintessential behavioral

104 candidate genes.

105   In sticklebacks, vasotocin peaks during the start of the breeding season in both males and

106 females (Gozdowska et al., 2006). Nesting male sticklebacks have an increase in vasotocin levels

107 in their brains following a mirror (aggression) challenge (Kleszczyńska et al., 2012). The

108 arginine-vasopressin-like (*avpl*) gene showed the greatest overexpression in dominant versus

109 subordinate zebrafish (Filby et al., 2010), further supporting its role in aggressive behavior in

110 teleosts. Vasotocin in adult teleosts is mainly located in the preoptic area (POA) of the

111 hypothalamus (Albers, 2015; Huffman et al., 2012; Kagawa et al., 2016), where it is an active

112 regulator in the hypothalamic-pituitary-adrenal (HPA) axis (Arnett et al., 2016). Therefore, we

113 hypothesized that supplemental expression of arginine vasopressin (*AVP*) within the social

114 decision making network of the stickleback brain would increase aggression.

115   Exogenous vasotocin administration has been used in many teleosts and other vertebrates

116 to alter behavior, both via intercranial and systemic injection (Goodson and Bass, 2001), and has

117 a dosage based response (Gonçalves and Oliveira, 2011; Moore and Miller, 1983; Santangelo

118 and Bass, 2006). Additionally, given its role as an antidiuretic hormone (Koshimizu et al., 2012),

119 vasotocin produces a rapid physiological response of increased respiration, making its

120 physiological effects quick and non-invasive to monitor. Vasotocin can pass through the blood-

121 brain barrier (Banks et al., 1987; Yaeger et al., 2014). Therefore, unless brain trauma from

intercranial injection rendered the technique unsuitable, we expected vasotocin to produce

similar effects when administered either by intercranial or intraperitoneal (IP) injection.

Monoamine oxidase, our other candidate gene, has a longstanding association with

aggression (Godar et al., 2016), not only in model systems but also in humans (Brunner et al.,

1993). Mice with no *MAOA* activity showed increased fearfulness as juveniles and increased

aggression in adult males (Cases et al., 1995). Recent work has suggested that *MAOA* allelic

variants may be related to the domestication of dogs, a process which included a marked

decrease in aggression (Sacco et al., 2017). Teleosts have only one monoamine oxidase gene

(*MAO*) as opposed to the two found in mammals (*MAOA* and *MAOB*). Stickleback *MAO*

(ENSGACT00000012444.1) and mouse *MAOA* (NP_776101.3) have 68% conservation at the

protein level. Despite the low level of conservation, the teleost monoamine oxidase gene has

been shown to influence aggression (Freudenberg et al., 2016; Malki et al., 2016; Quadros et al.,

2018) and is functionally comparable (Arslan and Edmondson, 2010; Shih et al., 1999). Since a

low level of monoamine oxidase is associated with increased aggression, increased expression of

MAOA was expected to decrease aggression through serotonin turnover.

Methods

Animals

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139   Freshwater adult fish were collected in spring to summer in 2016 to 2018 from Putah
Creek, CA. Additional F1 fish from crosses generated in 2015 and 2016 and reared in the lab
were also used for optimizing the neurosurgical procedure. All fish were housed in the lab in 83
L (107x33x24 cm) group tanks with recirculated freshwater (5 ppm salt). The room was
maintained at 18 °C on a 16:8 (L:D) "breeding" photoperiod from April to October and
otherwise an 8:16 (L:D) "non-breeding" photoperiod. Fish were one to two years old at the time
of their surgery. Males were identified by nuptial coloration (secondary sexual characteristics)
and by sexing via PCR (Peichel et al., 2004). Individuals were weighed and measured (standard
length from nose to caudal peduncle), and then moved to individual 9.5 L (32x21x19cm) tanks
lined with gravel and containing a synthetic plant. Each individual was allowed to acclimate,
undisturbed for 3 days prior to any behavioral measurements. All fish only underwent one
injection or surgery and were not reused. All animal work was done in compliance with IACUC
protocol (#15077 & 18080) at the University of Illinois at Urbana-Champaign.

Surgical rig

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154   In a ten-minute neurosurgical procedure, a suspension of foreign material (saline, viral
construct, or pharmacological agent) was administered to the telencephalon or anterior
diencephalon of the brain via transcranial injection. For this procedure, we developed a custom-
built surgical rig (Fig. 1). To provide continuous oxygenation and anesthesia to the fish while out
of water, a low pressure and flow rate cannula pump was necessary. The complete parts list
along with assembly instructions are publicly available through the Open Science Framework
(https://osf.io/sgpvm).

Anesthesia

162
163   Prior to anesthetization, a pre-surgical baseline respiration rate was taken by counting
opercular beats per 20 seconds. Initial anesthetization was done in 0.02% buffered MS-222
(Tricane-S, Western Chemical, Fisher) for no more than five minutes (188.4 sec $\pm$ 74.0), until
movement ceased and the fish was unresponsive. A properly sedated fish had 1) no tail
movement, 2) decreased but regular respiration (opercula beating), 3) came to rest on the bottom
of the soaking container, and 4) did not respond to touch nor move when removed from the bath.

Anesthetization time was not correlated with any physiological measure (Fig. S1). Fish

were rinsed in freshwater (5ppm salt) to remove any residual anesthetic then moved to the

surgical rig. In the rig, an oral cannula supplied constant water flow with 0.01% maintenance

anesthetic over the gills for the duration of the surgical procedure (233s ± 79, mean ± s.d.). The

speed of water delivery was adjusted to each fish to allow a steady low flow rate over the gills.

Neurosurgical optimizations for direct brain injections

Fish were gently clamped into the surgical rig behind the eyes, keeping the skull firmly in

place. The stickleback brain is visualizable through the skull (Fig. 2), allowing injection sites to

be selected with moderately high precision. In each injection, the needle, either a 5 μL

borosilicate syringe (Hamilton Neuros model 75, #65460-02, Reno, NV) with a 33G (0.210 mm

OD) needle or an insulin syringe (BD 328431, Franklin Lakes, NJ) with a 30G (0.337 mm OD),

was inserted transcranially through the thinnest portion of the skull. The finer 33G needle had a

hard stop set at 2.5mm. Each transcranial injection delivered ~300 nL of liquid at one or three

depths to moderate the area transfected, with one depth being the most restrictive. Bilateral

transcranial injections delivered a total of ~600 nL. Any notable accumulation of blood was

associated with poor outcome.

Following the procedure, fish were returned to their individual tanks and monitored

continuously until clear respiration (opercular movement) was seen, typically within 30 seconds.

In the case of shallow respiration, forced movement of fresh water over the gills was used to

promote survival by manually "swimming" the fish in a submerged figure eight using only

forward motion. Respiration rate and the fish's position in the water column was recorded every

15 minutes for two hours following the injection. Additional checks were performed at three

hours and one-day post-injection for all fish. Out of 183 total fish receiving brain injections, 19

did not survive this initial three-hour recovery period; nine did not survive anesthetization and

ten were euthanized.

Neurosurgical procedure changes for viral-mediated transgenesis experiment

In order to accommodate animal biosafety level 2 (ABSL-2) restrictions, fish were

transferred into a new tank in the surgery room the morning of the injection. Broad expression

throughout both hemispheres of the diencephalon (Fig. 2C) was desired to maximize the

probability of producing detectable behavioral changes. Therefore, every fish received two

bilateral transcranial injections to the anterior diencephalon of one of the viral constructs

delivering a total of ~600 nL of construct across multiple depths from a 5 μL borosilicate syringe

with 33G needle. After two days, fish were removed from the ABSL-2 surgical room to

individual tanks.

Pharmacological treatments

Exogenous [Arg8]-Vasotocin (Genscript RP10061, Piscataway, NJ) was administered

either directly into the brain via injection using the neurosurgical protocol described above or

systemically via intraperitoneal (IP) injection, already a well-established method in sticklebacks,

using a 30G (0.312 mm OD) insulin needle. Because behavioral response has been reported to

differ in teleosts based on dosage (Gonçalves and Oliveira, 2011; Santangelo and Bass, 2006), a

dose-response curve (0.5, 5, and 10 µg per gram body weight) was tested. Manning compound

(Bachem H-5350.0001, VWR), a potent V_1 receptor antagonist (anti-vasopressor) was

administered systemically via IP injection at a dosage of 3 µg per gram body weight. Both

pharmacological agents were freshly diluted on the day of injection from a pre-suspended

concentrated stock solution such that all IP injections delivered 10 μL per gram body weight.

Behavioral assays were performed 48 hours prior to pharmacological manipulation for baseline

measurements, and then at 30 minutes after IP injection or 2 hours after brain injection.

Preliminary saline injections showed that 30 minutes was sufficient for both physiological and

behavioral recovery from the IP injection procedure (full data: https://osf.io/v56zt).

Viral-mediated transgenesis constructs

We elected to use Herpes Simplex 1 (HSV-1) as a viral vector as it was already shown to

be effective in transfecting teleosts, where adeno-associated viruses (AAVs) have previously

failed (Zou et al., 2014). Additionally, HSV-1 generally has low genotoxicity and no detectable

shedding (Bouard et al., 2009) reducing biohazard concerns. Finally, HSV-1 supports larger

payloads than AAVs or retroviral vectors such as lentivirus (Howarth et al., 2010; Simonato et

al., 2000).

Three promoters in replication deficient HSV-1 were piloted to drive gene expression

based on work in zebrafish (Zou et al., 2014) – a long-term promoter (hCMV, $N = 43$, Fig. 3)

resulting in fluorescent signal 2-5 weeks after injection, a short-term promoter (mCMV, $N = 10$)

with expression between 4 and 7 days post-injection, and a retrograde promoter (hEF1a, $N = 7$)

which did not result in a detectable fluorescent signal. Promoters were tested for their ability to

drive a fluorescent protein (EGFP, EYFP, GCaMP6f, or mCherry). The long-term promoter

(hCMV) was selected as the most useful due to its longer window of effect and was used in the

viral-mediated transgenesis experiment.

Mammalian cDNA ORF clones were used for *AVP* (human, HG17671-UT, NCBI Ref

Seq: NM_000490.4, Sino Biological, Beijing, China) and *MAOA* (mouse, MG57436-U, NCBI

Ref Seq: NM_173740.3, Sino Biological). These were cloned into the pDONR221 backbone

(Epoch Life Science, Missouri City, TX) and then packaged (Gene Delivery Technology Core,

Massachusetts General Hospital, Boston, MA) with an IRES-GFP backbone in replication

deficient HSV-1. Stock hCMV-EYFP (RN12) was used for control injections. All males were

randomly assigned to one of the three constructs. The final viral solutions were used undiluted

except for the addition of a trace amount of pigment (brilliant blue FCF or tartrazine, i.e. FD&C

Blue No. 1 and Yellow No. 5) to allow the solution to be visualized against the gradations of the

syringe. These constructs are episomally expressed; the payload genes, packaged as a plasmid,

remain in the cytoplasm and neither integrate into nor replicate with the genome.

Behavioral assays

All behavioral data were gathered double-blind to treatment (saline, pharmacological

agent or transfected gene). Respiration rate was determined prior to the territorial challenge by

averaging two separate non-continuous counts of opercular beats per 20 seconds taken within a

5-minute period. This ensured that individual variations due to stress from the researcher's

activity were minimized. Territorial aggression was measured by recording the individual's

response to an intruder confined to a glass flask (derived from Wootton, 1971). The times to

orient toward and to first bite at the intruder (TTO and TTB, respectively) were recorded, as well

as the total number of bites, charges (lunges), and trips (approaches) during the five minutes

following initial orientation (behaviors defined in Wootton, 1971). Intruders ($N = 9$) were 5-10%

smaller conspecific males.

During the viral-mediated transgenesis experiment, males' respiration rate and behavioral

response to a territorial challenge were recorded four times (Fig. 4): twice before and twice after

injection, respectively considered baseline and transfected. Each focal male except one was

confronted by the same intruder during all four territorial challenges. In the exception, the

initially paired intruder died between trials two and three and was replaced with a new male of

the same length.

Histology

Fish were sacrificed via beheading and brains were immediately dissected out. Brains

were mounted in glycerol medium on slides with four well iSpacers (SunJin Lab IS018, Hsinchu

City, Taiwan). Imaging was performed on the same day as dissection on a Zeiss LSM 710 at the

Core Facilities at the Carl R. Woese Institute for Genomic Biology. No fluorescence was seen in

any saline injected controls.

R statistical analysis and data availability

All data are presented as mean ± standard deviation. Boxplots are drawn with a heavy

stroke at the median and whiskers of 1.5 IQR beyond the upper and lower quartiles. All data

analysis was carried out in RStudio (v1.1.383) with R version 3.5.1. All scripts and data are

publicly available on the Open Science Framework (https://osf.io/v56zt) as "Neurosurgical

Protocol scripts.R" for the neurosurgical optimization, "AVP pharma treatment behavior.R" and

as "Behavioral experiments scripts for release.R" for the viral-mediated transgenesis.

Survival rate differences for the neurosurgical optimization were calculated using the chi-

squared function with continuity correction. A nonparametric-compatible repeated-measures

ANOVA was done via the MANOVA.RM (v0.3.2) package with the ANOVA type statistic (ATS)

reported because the assumption of sphericity could not be met for respiration rate over time

(Mauchly tests for sphericity = 0.02, *p-value* =1.65e-74). We report the ANOVA-Type Statistic

(ATS) and the adjusted degrees of freedom, the latter of which are based on the number of

treatment levels, number of observations, and the variance of ranks in each treatment (Shah and

Madden, 2004). For interaction effects, we report the recommended $F_{(\hat{f}, \infty)}$ instead of $F_{(\hat{f}, \hat{f}_0)}$

(Noguchi et al., 2012). Post-hoc calculation by time point was done via Wilcoxon rank sum test

with continuity correction and the rcompanion (v2.2.1) wilcoxonR function. P-values were then

adjusted for false discovery rate (fdr method).

Repeatability is reported as ICC3,1 calculated using `Desctool` (v0.99.25) and confirmed

with the nonparametric concordance package `nopaco` (v1.0.6). Significance was similar between

the ICC and concordance tests. Spearman correlations were calculated using `Hmisc` (v4.1-1).

Wilcoxon and Mann-Whitney tests were done with the base `stats` package and effect size was

calculated with the `rcompanion` package (v2.2.1). Finally, sample size calculations utilized the

`WMWssp` package (0.3.7) with the defaults of 0.05% for two-sided type I error rate and 0.8 power.

Results

Neurosurgical optimizations for direct brain injections

Direct transcranial injection with an unbeveled ultrafine needle proved simpler and more

effective than other piloted techniques, including craniotomy. Fish generally returned to normal

swimming and water column use within 15 minutes after removal of anesthesia. Initial piloting

($N = 62$) revealed respiration rate followed a typical pattern after the operation which we called a

recovery curve (Fig. 5). Respiration rate peaked about 30 minutes post-surgery and returned to

baseline levels by two hours after the neurosurgical procedure.

There was no difference in territorial aggression two hours after brain injection in saline

treated controls ($N = 10$), compared to the day before surgery in any aggressive behavior (bites:

$Z = -1.22$, *p-value* $= 0.21$; charges: $Z = -0.62$, *p-value* $= 0.54$; time to first bite: $Z = -1.22$, *p-value*

$= 0.22$, Fig. 6). Full mating behavior occurred within 3 days for males, determined by nesting

behavior and 9 days for females, determined by the presence of eggs.

Needle diameter influenced survival rate (χ^2 (1, $N_{30G} = 43$, $N_{33G} = 183$) $= 23.9$, *p-value* $=$

1.02e-6) with a 54% survival rate with the larger 30G needle compared to the 87% survival rate

with the finer 33G needle. Additionally, the finer 33G needle resulted in consistently lower

respiration rates ($ATS_{1, 2613} = 6.54$, *p-value* $= 0.01$) during the six-hour window following the

neurosurgery than the larger diameter 30G needle. Supplemental oxygenation for up to two days

following surgery did not improve survival (χ^2 (1, $N_{Extra\ O2} = 94$, $N_{Normal} = 89$) $= 0.02$, *p-value* $=$

0.89) nor recovery ($ATS_{1, 7465} = 0.94$, *p-value* $= 0.33$, Fig. S3).

Multiple transcranial injections do not alter survival or recovery

Broad expression required multiple transcranial injections – one into each hemisphere of

the brain. Bilateral transcranial injections did not alter survival rates (χ^2 (1, $N_{Unilateral}$ = 64,

$N_{Bilateral}$ = 119) = 0.46, *p-value* = 0.50) compared to a unilateral injection. Overall, respiration rate

during recovery was higher in fish with bilateral injections ($ATS_{1, 4874}$ = 11.61, *p-value* = 0.001)

in the three hours following the surgery. However, this comparison was largely confounded by

year of capture, with the fish caught in 2018 all receiving two transcranial injections and

showing poor health in general. When analyzing only years (2017 & 2019) that received both

unilateral and bilateral injections, allowing for direct comparison, there is no difference in

respiration rate during recovery between unilateral and bilateral injections ($ATS_{1, 312}$ = 0.92, *p-*

value = 0.34). This suggests that the differences in recovery among years overwhelmed any

effect that multiple injections might be having.

Injection material does not alter survival or recovery

Fish had comparable survival rates regardless of the injected materials (χ^2 (3, N_{HSV-1} =

113, N_{Pharma} = 22, N_{Saline} = 48) = 2.30, *p-value* = 0.32). After the surgical technique was refined,

the procedure's survival rate was approximately 90%. Of the 113 fish injected with one of three

constructs utilizing replication deficient HSV-1 for transfection, 101 survived. The fish injected

with pharmaceutical agents fared similarly, with 20 of 22 fish surviving. Control fish injected

with saline fared least well as they were used to initially pilot and refine the surgical technique;

they counted 39 survivors among 48 fish, an 81% survival rate.

The time for recovery of fish injected with replication deficient HSV-1 did not differ

from that of saline injected controls ($ATS_{3.7, \infty}$ = 1.83, *p-value* = 0.12). Additionally, the choice of

promoter (hCMV, mCMV, or hEF1a) did not alter survival rate (χ^2 (2) = 1.38, *p-value* = 0.50),

nor was there a main effect of promoter ($ATS_{2, 143}$ = 0.33, *p-value* = 0.70) on the recovery curve.

Finally, the specific gene being expressed had no effect (χ^2 (3) = 2.16, p = 0.54) on survival rates

relative to saline injected controls. The recovery rate was also unaffected by the gene expressed

($ATS_{2, 267}$ = 1.19, *p-value* = 0.31).

Vasotocin pharmacological treatment

Exogenous vasotocin injection into the brain increased respiration rate rapidly relative to

saline injected controls ($ATS_{1, 599}$ = 8.74, *p-value* = 0.003, Fig. 7). This effect began within 15

minutes and persisted for more than two hours post-injection. The most pronounced difference in

respiration rate was between 0.75- to 1.5-hour post-injection. Intraperitoneal injection of

exogenous vasotocin also resulted in a rapid increase in respiration rate (Fig. S3). Behaviorally,

brain and IP injections of exogenous vasotocin produced parallel results (Table S1), in which

only the highest dosage (10 µg per gram body weight) altered the number of charges directed at

the intruder.

Behavioral repeatability and intercorrelations

359   Repeatability was analyzed across the two baseline and two transfected trials. Charges,

bites, and time to first bite were consistently repeatable, i.e. at both baseline and following

transfection but not necessarily between baseline and transfection (Table S2). Aggression

measures were generally equally repeatable compared to the physiological measure of respiration

rate. Total number of bites and charges were strongly correlated ($r = 0.69$) in the control group

($N = 16$) and following transfection of either *AVP* ($r = 0.83$, $N = 18$) or *MAOA* ($r = 0.75$, $N = 20$).

Time to first bite was negatively correlated with total number of bites and charges as well – i.e.

fish that bit sooner also attacked more overall. Given its high repeatability and correlation with

other behaviors, we focus on charges as a measure of aggressive behavior in subsequent

analyses.

Increased aggression from transfection of MAOA or AVP but not in controls

371   Aggressive behavior (charges) increased in fish transfected with either *AVP* ($N = 18$) or

MAOA ($N = 20$). Injection of the control construct (*EYFP*, $N = 16$) did not significantly alter the

number of charges (paired Wilcoxon signed rank test: $Z = -0.01$, *p-value* $= 0.50$) relative to

baseline. Due to the high inter-individual variation ($\sigma^2_{EYFP} = 103$, $\sigma^2_{AVP} = 113$, $\sigma^2_{MAOA} = 100$),

there was no significant difference between either *AVP-* or *MAOA*-injected fish compared to

controls in charges following transfection (Mann-Whitney test: *AVP-EYFP*: $Z = -0.41$, *p-value* $=$

0.34, *MAOA-EYFP*: $Z = -0.7$, *p-value* $= 0.48$). No increase in aggression was seen in the saline

injected controls neurosurgical optimization experiments (Fig. 6). Thus, only injection with

either of two aggression-related candidate genes resulted in altered behavior and only

specifically in charges (Fig. S4).

AVP had a large effect on the number of charges (paired Wilcoxon signed rank test: *rs* =

0.79, *Z* = -3.07, *p-value* = 0.001) with 16 of the 18 individuals increasing the average number of

charges compared to their baseline. In magnitude, this represented an almost 100% increase in

average number of charges, from 9.7 (s.d. = 5.1) at baseline to 18.8 (s.d. = 10.6) following

transfection.

Transfection with *MAOA* also caused a large increase in the average number of charges

(paired Wilcoxon signed rank test: *rs* = 0.53, *Z* = -2.10, *p-value* = 0.018) relative to baseline.

However, the effect of *MAOA* was less drastic than that of *AVP* and had more variation in

individual response (Fig. 8), with 13 of 20 individuals increasing their average number of

charges. Despite this, *MAOA* still resulted in an approximately 50% increase from 12.1 (s.d. =

9.7) charges at baseline to 19.1 (s.d. = 10.0) following transfection.

MAOA decreased respiration rate

Only the *MAOA* construct altered respiration rate (Fig. 9). Compared to baseline, *MAOA*

strongly and significantly (*N* = 20, *rs* = 0.85, *Z* = -3.62, *p-value* = 0.0001) lowered respiration

rate, with 19 of the 20 individuals experiencing a decrease in resting respiration rate. They

dropped from an average of 40.8 (s.d. = 1.9) to 38.1 (s.d. = 2.0) breaths per 20 seconds.

Additionally, when comparing the respiration rates between the fish transfected with *MAOA* and

the *EYFP* controls (*N* = 16, *mean* = 40.8, s.d. = 3.9), the decrease was still significant, though

reduced to a moderate effect size (Mann-Whitney test: *rs* = 0.44, *Z* = -2.65, *p-value* = 0.009).

There was not significant change in respiration rate compared to baseline due to either the

control *EYFP* (*Z* = -1.11, *p-value* = 0.13) or *AVP* (*Z* = -0.92, *p-value* = 0.18) constructs.

Discussion

Neurosurgical optimizations for minimally invasive direct brain injections

We present a new method for direct injection of transgenic or pharmaceutical material

into the brains of the small teleost fish threespined stickleback. Developing a minimally invasive

neurosurgical protocol required 1) refining the anesthesia process, 2) building a custom surgical

rig, and 3) determining the normal recovery pattern allowing us to clearly identify warning signs

of failure to thrive. Our surgical rig and optimized anesthetization methods (Neiffer and Stamper,

2009; Sladky and Clarke, 2016) resulted in high (90%) survival rates and quick behavioral

recovery. Mating behavior also recovered promptly: males completed nests at three days post-

surgery, and females were gravid at nine days – suggesting almost no delay in the egg

development time (Baker et al., 2008) after losing any ripe eggs to clamping during surgery.

Establishing a typical recovery curve (Fig. 5) allowed us to identify post-surgical warning

signs of failure to thrive. Behavioral manifestations of discomfort or problems included listing

(>45º off central axis), assuming a nose-up position, and loss of positional control (twirling). The

presence of any of these markers for greater than an hour suggested a poor prognosis and thus we

recommend euthanasia. Survival to 24 hours indicated a successful procedure, as 23 out of 24

fish injected with the larger 30G needle and 159 of 160 fish injected with the smaller 33G needle

survived to one week. Thus, this minimally invasive neurosurgical method is quite reliable.

Pharmacological Manipulation: bypassing the blood-brain barrier

Exogenous vasotocin administered directly to the brain produced physiological and

behavioral responses mirrored in fish receiving vasotocin through IP injections (Figs. 7, S3,

Table S1). These pharmacological results were similar to those seen in other fish (Filby et al.,

2010; Lema and Nevitt, 2004; Santangelo and Bass, 2006). This indicates that brain injection is

now a feasible delivery route for drugs that do not pass through the blood brain barrier (Cook et

al., 2009) in sticklebacks. Ultimately, similar changes in respiration and aggression suggest that

the recovery period from the brain injection does not mask even rapid onset pharmaceutical

effects, like those due to vasopressin (Stark et al., 1989).

In this experiment, similar physiological effects were produced throughout the dose-

response curve (Fig. S3). In conjunction, although behavioral effects were produced only at the

highest dosage, they were similar for both routes of administration (Table S1). These

consistencies suggest that the pharmacological manipulation did in fact work.

Viral Mediated Transgenesis: Genetic underpinnings of territorial aggression

To demonstrate the practicality of viral-mediated transgenesis to examine candidate

genes' contribution to behavior, we looked for behavioral changes from two aggression related

candidate genes. It is well established that both vasopressin (*AVP*) and monoamine oxidase

(*MAOA*) influence aggression (Goodson, 2013). Using a ubiquitous promoter resulted in altered

territorial aggression specifically for fish receiving either one of the candidate genes related to

aggression (Fig. 8), but not for a fluorescent protein (Fig. 8) or following saline brain injection

(Fig. 6). The effect following transfection of *AVP* was consistent, with 16 of the 18 fish

experiencing an increase in aggression, one remaining constant and only one decreasing

aggression.

The effect of *AVP* transfection was of stronger magnitude ($rs = 0.79$) than the effect of

pharmacological manipulation of vasotocin ($r_{Brain} = 0.66$, $r_{IP} = 0.67$). This is likely due to the

broad expression throughout the diencephalon. It is highly likely that our transgenic procedure

resulted in ectopic expression as vasotocin is typically only produced in the preoptic area (POA)

and ventral hypothalamus (VH) (Kagawa et al., 2016; Maruska et al., 2019). Maximal expression

was desired to increase the likelihood of transfection and of producing behavior changes through

supraphysiological levels of vasotocin signaling. It will be fruitful for future studies to examine

the consequences of altered expression in key brain areas and cell types, as discussed below.

Multiple pieces of evidence indicate that we achieved successful transgenesis, in addition

to the consistent changes in both behavioral (Fig. 8) and physiological (Fig. 9) phenotypes

following transfection. The most visible, literally, is the presence of novel fluorescent proteins

(Fig. 3). Additionally, transcripts specifically of the injected mammalian *MAOA* homolog

remained detectable through qPCR for up to 4 weeks after transfection (results not shown).

Our finding that transfection of *MAOA* increased aggression is consistent with a decrease

in serotonin, which is enzymatically cleaved by monoamine oxidase. Indeed, the clear and

unambiguous decrease in respiration rate we observed (Fig. 9) is strong evidence of *MAOA*

functioning as expected physiologically. Respiration rate correlates positively with serotonin and

norepinephrine concentration (Hodges and Richerson, 2008; Whelan and Young, 1953);

monoamine oxidase enzymatically lowers levels of both neurotransmitters. Additionally, trout

monoamine oxidase has been found to be equivalently effective to human monoamine oxidase in

metabolizing 5-HT and PEA (Shih et al., 1999), making it unlikely that the increase in

aggression is an off-target effect of using mammalian *MAOA*. Further characterization of anxiety

levels following transfection, pharmacological rescue (Godar et al., 2014), and quantification of

the downstream neurotransmitters remain as potential avenues to a better mechanistic

understanding of this result.

We found that construct selection is not limited to native genes; widely available

mammalian plasmids successfully altered behavior. Therefore, this method is therefore

accessible to a broad array of users (Dickinson et al., 2020), a feature especially important in a

system with roots in ethology. Furthermore, this application of viral-mediated transfection

enables within-subject experimental designs, reducing sample sizes required for the same

statistical power and making behavioral experiments viable, as detailed below. Finally, rapid

behavioral recovery makes viral-mediated transgenesis a viable technique for direct manipulation

of candidate genes.

Future applications of viral-mediated transgenesis in stickleback

While sticklebacks are a non-traditional genetic model system, they are one of the best

studied behavioral systems, with well described intra-specific variation in aggression,

antipredator behavior, and parental care (Fang et al., 2018; Hendry et al., 2013; Huntingford and

Ruiz-Gomez, 2009). Previous studies have identified hundreds of genes that are differentially

expressed in the brain in response to a social interaction (Bell et al., 2016; Bukhari et al., 2017;

Greenwood and Peichel, 2015; Greenwood et al., 2013; Laine et al., 2012; Mommer and Bell,

2014; Sanogo et al., 2011). However, most of these studies are correlative, and thus the direction

of the causal relationship – much less the mechanisms by which changes in gene expression

underlie behavior – are still not clear. This method will allow future studies to rigorously test

how these genes contribute to behaviors, from detailed mechanistic analyses at the protein level

to studies of individual behavior at the organismal level.

A more detailed, cross-population study of vasotocin in stickleback would present an

ideal opportunity to investigate the evolutionary constraints or trade-offs between behavior and

physiology for pleiotropic genes. In addition to being associated with behavior, vasotocin plays a

key role in osmoregulation via the AVP V2 receptors. Vasotocin anatomy and aggression

differed in concordantly with salinity and osmoregulation challenges in pupfish (Lema, 2006). A

more nuanced examination is possible in stickleback as there are numerous freshwater and

several anadromous populations that are independently evolved from ancestral-like marine

population. In addition, population differences in aggression (Bell, 2005; Dingemanse et al.,

2007; Keagy et al., 2016) and osmoregulation (Hasan et al., 2017) have already been

documented in sticklebacks. However, an examination of the integration of these two

evolutionary concerns has not yet been undertaken, despite a relationship between aggression

and kidney size having already been discovered in stickleback bred for extremes of territorial

aggression (Bakker, 1986). This makes stickleback uniquely suited system to address the

relationship of physiological ecology, anatomy, and social behavior (Goodson, 2013). Other

possible physiological signaling pathways amendable to genome editing in sticklebacks have

also been proposed (Dalziel et al., 2012; Hohenlohe et al., 2010; Ishikawa and Kitano, 2020;

Jones et al., 2012; Shimada et al., 2011).

Behavioral response to vasopressin/vasotocin

In every case of vasotocin signaling manipulation that we tested – pharmacological IP

inhibition (Manning compound) or supplementation, exogenous brain injection, and transfection

– number of charges at the intruder was the main responding aggressive behavior (Fig. 8, Table

S2). Charges as the main responding behavior matches the effects of vasotocin seen in pupfishes

(Lema and Nevitt, 2004). This is of interest as charging marks voluntary initiation of aggression,

while biting is an escalation based off intruder response (van Iersel, 1953). However, depending

on the type of manipulation we saw opposing effects on the number of charges –

pharmacological supplementation of exogenous vasotocin resulted in a decrease while

transfection with *AVP* resulted an increase. As previously discussed, there is reason to hold high

confidence in both pharmacological treatments and the transfection method, despite the varying

directions of effect.

These techniques have drastically different timings and durations of the increase in

vasotocin, potentially explaining the differing directions of behavioral changes. The

pharmacological manipulation involved a single dose and a behavioral assay after two hours,

while the transgenesis experiment involved increasing exposure throughout a two-week

transfection incubation period, with two behavioral assays over 3 days (Fig. 4). Vasopressin has

an extremely short half-life of less than a minute (Stark et al., 1989), making pharmacological

manipulation rapid but ephemeral. In contrast, transfection is much longer lasting, allowing for

long-term stabilization of the HPA axis and commensurately altered behavioral response.

Additionally, vasotocin signaling is mainly constrained by receptor type and location

(Albers, 2015; Goodson, 2013; Huffman et al., 2012) further emphasizing the potential of long-

term homeostasis to influence the behavioral outcome. To mimic this with pharmacological

manipulation, future work should use an implanted cannula in the brain to deliver repeated low

doses of exogenous vasotocin. This would allow a more direct comparison between treatment

methods clarifying if the opposing effects of pharmacological manipulation and transgenesis are

due to homeostatic balancing from long-term exposure or a potential side effect from an immune

response to the injection of small molecules.

Viral-mediated transgenesis allows statistically powerful repeated measures design

540   Complex phenotypes that emerge at the whole organism level, such as behaviors like

aggression, are difficult to assay due to their subtleties and time-intensive screening. Social

behaviors are influenced by many genes of small effect (Spencer et al., 2009; Wahlsten, 2012)

and social psychology generally has smaller effect sizes (*r*) relative to other psychological sub-

disciplines (Schäfer and Schwarz, 2019). Indeed, the neuroscience, psychiatry, psychology, and

behavioral ecology fields are plagued with reports of overestimates of effect sizes (Button et al.,

2013; Fanelli and Ioannidis, 2013; Forstmeier and Schielzeth, 2011; Schäfer and Schwarz, 2019).

Additionally, behavior in natural populations tends to have high inter-individual variation,

further reducing statistical power (Taborsky, 2010). For sticklebacks, who have a generation

time of approximately one year, the traditional approach of breeding a stable transgenic line is

not always practical. Here, by using within-subject design, we successfully examined two

behaviorally relevant genes for effects on aggression in wild-caught fish.

552   By using repeated measures on the same fish before and after transfection, we were able

to drastically reduce the necessary sample size needed to detect significant changes in behavior

(Table 1). In this study we found large effect sizes for both behavior and respiration rate, a

typical physiological measure. However, variation following transfection with *MAOA* was about

25 times larger for charging behavior ($\sigma^2 = 99.9$) compared to respiration rate ($\sigma^2 = 3.9$). A

between group comparison would have required an impractical sample size of as many as 300

fish to detect the difference in charges, even though these genes have a large magnitude (*rs* >

0.5) of effect on behavior. However, by using these methods we were able to reduce the sample

size down to merely 20 fish, a far more manageable number. Thus, viral-mediated transgenesis

enables the study of genetic effects on natural behavior in wild-caught animals, because it makes

possible a repeated measures design comparing within the same individuals, increasing

sensitivity.

Conclusion: examining genetic contributions to behavior

Viral-mediated transgenesis is a method to alter a gene's expression in a specific location

or during a controlled timeframe. Refinements to both the stereotactic procedure and the design

of constructs promise improvements in the specificity of targeted brain areas and cell types,

allowing manipulations of gene expression with great precision. We successfully used multiple

promoters to drive expression, tailoring expression profiles through time. While we used

ubiquitous promoters with differing timings, cell-specific targeting can be done by using

alternate promoters (see Ingusci et al., 2019). There is also tremendous potential for this method

to be used in combination with cell type specific promoters that, for example, target astrocytes

(*GFAP*), glutamatergic (*vGLUT, PAG*), GABAergic (*GAD*), dopaminergic (*TH*) or prolactin

(*PRL*) neurons.

When combined with pharmacological manipulation, DREDDs (designer receptors

exclusively activated by designer drugs, reviewed in Roth, 2016) can target neural signaling with

extremely precise timing. This method is already being used to identify neural circuits via

chemical silencing or activation of receptors including those that are serotoninergic (Ingusci et

al., 2019). Finally, viral-mediated transgenesis also lays the groundwork for optogenetics,

although there are still engineering challenges to design light, tether-free setups that do not

interfere with complex behaviors in fish that weigh less than 2 grams.

Here we present a minimally invasive neurosurgical procedure for sticklebacks that

enables viral-mediated transgenesis in the brain as well as pharmaceutical delivery directly to the

brain. This method for viral-mediated transgenesis allows for a more direct examination of the

genetic mechanisms underlying behavior in wild-caught animals from natural populations. It is

flexible, fast, and allows us to compare individual behavior before and after transgenesis,

maximizing statistical power. It further enhances the growing molecular toolkit in threespine

stickleback, a classic ethological system. Overall, our experimental results show that viral-

mediated transgenesis is a promising method for testing the function of candidate genes in this

system. This approach has already proved essential in the functional testing of genes related to

behavior (Gallant and O'Connell, 2020; London, 2020; Simonato et al., 2000) and in the

dissection of neural circuits (Luo et al., 2008) in other organisms.

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Brain injections for viral-mediated transgenesis in sticklebacks

Figures

Figure 1. Custom-built surgical rig

607608 1. Threespine stickleback in padded clamp
2. Alternative padded clamp for larger fish
3. Neuros syringe, 5 µL
4. Three-axis manipulator

5. Oral cannula and guide tube
6. Peristaltic cannula pump, 100 mL/min
7. Pump source reservoir
8. Drip tray

Figure 2. **Visualization of the stickleback brain.** A & B) Example visualizations of the stickleback brain through the skull. Circled is a lighter area corresponding to the diencephalon. C) The brain of a stickleback with olfactory bulbs (anterior, far left), telencephalon (left), diencephalon and mesencephalon (center), cerebellum (right), and brain stem (posterior, far right). The telencephalon contains much of Social Behavioral Network (amygdala, hippocampus, etc). The preoptic area (POA), hypothalamus, and periaqueductal gray/central gray (PAG) are in the diencephalon.

Figure 3. Fluorescent expression resulting from viral-mediated transgenesis. A) Single
injection resulting in local expression, limited to a portion of one hemisphere of the
telencephalon. B) Broad expression throughout left hemisphere of the diencephalon, typical for
injections with delivery at multiple depths. No fluorescence was seen in any saline injected
controls. C & D) Successful transfection of entire cells by the long term hCMV-EYFP construct
in the lateral left diencephalon three weeks after injection.

637 **Figure 4. Experimental timeline with the injection of constructs on day 0.** Fish were injected
638 with a randomly assigned construct of either an aggression-related gene (*AVP* or *MAOA*) or a
639 control fluorescent protein (*EYFP*). All trials were conducted double-blind to the transfected
640 gene. Each trial had two respiration rate measurements followed by a territorial challenge.

Figure 2. Average recovery curve of respiration rate (opercular beats per 20 seconds) following transcranial brain injections ($N = 62$). Respiration rate returned to baseline levels by two hours post-injection and remained stable following the surgery. * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$

Figure 6. **Aggressive behaviors in control fish one day prior to neurosurgery and after direct brain injection of saline ($N = 10$).** Each line represents an individual showing their change in behavior following saline injection. No significant change in aggression was seen in any behavior. * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$

Figure 7. **Differences in respiration rate following injection between brain injection of exogenous vasotocin ($N = 15$) and saline injected controls ($N = 39$).** Fish injected with vasotocin had an elevated respiration rate compared to saline injected controls for more than two hours following injection. * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$

Figure 8. **Number of charges (averaged across the two trials) before and after transfection for each construct.** Each line represents an individual showing their change in behavior following transfection of the gene of interest. Transfection with *AVP* resulted in a substantial and consistent increase in the number of charges; note that only one individual exhibited decreased charging behavior. Transfection with *MAOA* resulted in an increase of large effect size in charges, although there was more variation in individual response. * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$

Figure 9. Respiration rate (opercular beats per 20 seconds) for the three constructs. Each line represents an individual showing their respiration rate (averaged across the two trials) before and after transfection. Only *MAOA* altered respiration rate; a drastic decrease compared to both baseline (within-subject comparison, $N = 20$) and to the control group ($N = 16$). * $p \le 0.05$; ** $p \le 0.01$; *** $p \le 0.001$

	Behavior (Charges)		Physiology (Respiration)
	AVP	*MAOA*	*MAOA*
Necessary sample size (between groups, vs. control)	277	187	35
Actual sample size	18	20	20
Effect size (*rs*)	0.79	0.53	0.85
Variance (σ^2, post-expression)	113	99.9	3.9

Table 1. Benefit of within-subject experimental design on sample size for behavioral genetic

studies. Necessary sample sizes assume a statistical power of 0.8 and are based on the effect

sizes observed in this study – i.e. 8 out of 10 experiments using samples this large will detect the

difference. Viral-mediated transgenesis makes possible a repeated measures design comparing

within the same individuals, increasing sensitivity. Note that *AVP* and *MAOA* are known to have

a large effect on behavior, so this should be viewed a minimum for future candidate genes being

screened for behavioral phenotypes.

Supplemental Figures and Tables

Supplemental Figure 1. Spearman correlations between time to anesthetization ($N = 148$), time for neurosurgical procedure ($N = 124$), respiration rate prior to surgery ($N = 153$), weight ($N = 157$) and length ($N = 87$). Anesthetization time was not correlated with any other measure. Larger fish had slower respiration rates and it took longer to perform the surgery on heavier fish, in large part due to increased care in clamping. Color indicates direction and strength of correlation. Non-significant correlations have a cross-out.

Supplemental Figure 2. **Respiration rate (opercular beats per 20s) following injection either with ($N = 83$) or without ($N = 77$) supplemental oxygenation for one day following the neurosurgical procedure.** Supplemental oxygenation did not significantly improve recovery rates.

Supplemental Figure 3. Differences in respiration rate following IP injection of different pharmaceutical agents. Fish injected with vasotocin had elevated respiration rates compared to saline injected controls, paralleling the pattern seen during recovery of brain injection. $* p \leq 0.05$; $** p \leq 0.01$; $*** p \leq 0.001$

Supplemental Figure 4. Breathing rate and repeatable behaviors across all trials &

constructs. Breathing rate decreased significantly following transfection of only MAOA.

Charges increased following AVP or MAOA transfection but not in control EYFP fish. Graph

presents medians with interquartile range bars.

Brain injections for viral-mediated transgenesis in sticklebacks

		Bites				Charges			
	N	Change	Z	Effect size (r)	P	Change	Z	Effect size (r)	P
AVP (Brain, 0.5 $^{\mu g}/_{gbw}$)	3	-29.3	-1.3	0.93	0.18	-2.0	-0.9	0.82	0.35
AVP (IP, 0.5 $^{\mu g}/_{gbw}$)	16	-10.0	-1.6	0.41	0.11	1.8	-1.1	-0.29	0.25
AVP (Brain, 1 $^{\mu g}/_{gbw}$)	2	-16.5	-0.9	0.95	0.37	-24.5	-0.9	0.95	0.37
AVP (IP, 5 $^{\mu g}/_{gbw}$)	5	-2.4	-1.4	0.66	0.18	-6.3	-0.8	0.43	0.41
AVP (Brain, 10 $^{\mu g}/_{gbw}$)	10	-17.8	-1.5	0.52	0.12	-8.2	-2.0	0.66	0.04 *
AVP (IP, 10 $^{\mu g}/_{gbw}$)	9	-2.4	-0.3	0.12	0.80	-6.3	-2.0	0.67	0.05 *
Manning (IP)	16	-32.4	-2.6	0.67	0.008 **	-5.8	-2.5	0.64	0.01 *
Saline (Brain)	10	-8.1	-1.2	0.40	0.22	1.4	-0.6	-0.21	0.54
Saline (IP)	19	6.9	-0.7	0.17	0.46	-1.9	-1.2	0.27	0.23

Supplemental Table 1. Within-subject comparison of territorial aggression following pharmaceutical manipulation of vasotocin signaling compared to baseline. Brain and IP injection results were similar with only the highest dosage altering behavior. No group (including the Manning treatment) significantly differed from saline-injected controls. * $p \le 0.05$; ** $p \le 0.01$; *** $p \le 0.001$

Brain injections for viral-mediated transgenesis in sticklebacks

Measure	Construct	Baseline			Transfected			Repeatable at both timepoints
		ICC	95% CI	p-value	ICC	95% CI	p-value	
Respiration Rate	EYFP	0.49	0.01, 0.78	0.02	0.91	0.76, 0.97	2.51E-07	Yes
	AVP	0.38	-0.09, 0.71	0.05	0.73	0.42, 0.89	1.76E-04	Yes
	MAOA	0.53	0.12, 0.78	0.007	0.35	-0.09, 0.68	0.06	
Time to Orient	EYFP	0	-0.48, 0.48	0.50	0.13	-0.37, 0.58	0.30	
	AVP	0	-0.46, 0.46	0.50	0	-0.46, 0.46	0.50	
	MAOA	0.04	-0.40, 0.47	0.43	0.21	-0.24, 0.59	0.18	
Time to 1st Bite	EYFP	0.77	0.47, 0.91	1.32E-04	0.47	-0.01, 0.78	0.03	Yes
	AVP	0.51	0.07, 0.78	0.01	0.28	-0.20, 0.65	0.12	
	MAOA	0.48	0.06, 0.75	0.01	0.74	0.45, 0.89	6.96E-05	Yes
Bites	EYFP	0.88	0.68, 0.95	2.0E-06	0.78	0.47, 0.92	1.17E-04	Yes
	AVP	0.45	0, 0.75	0.03	0.73	0.42, 0.89	1.69E-04	Yes
	MAOA	0.62	0.25, 0.83	0.001	0.59	0.21, 0.82	0.002	Yes
Charges	EYFP	0.64	0.23, 0.86	0.003	0.69	0.31, 0.88	0.001	Yes
	AVP	0.55	0.12, 0.80	0.008	0.54	0.11, 0.80	0.01	Yes
	MAOA	0.39	-0.06, 0.70	0.04	0.83	0.62, 0.93	1.61E-06	Yes
Trips	EYFP	0.75	0.41, 0.90	2.82E-04	0.32	-0.19, 0.70	0.10	
	AVP	0.39	-0.08, 0.72	0.05	0.19	-0.29, 0.59	0.22	
	MAOA	0.61	0.24, 0.82	0.002	0.66	0.31, 0.85	6.18E-04	Yes

709 **Supplemental Table 2**. **Repeatability of territorial aggression behaviors and respiration**

710 **rate across the two trials at baseline and after transfection using two-way mixed, single**

711 **score ICC (type 3,1) for all fish ($N = 54$).** EYFP is the control, AVP and MAOA are genes of

712 interest.

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