1	Now you see it, now you don't: Overlapping neural representations for the position of visible and
2	invisible objects
3	Amanda K. Robinson ^{a,b,c} , Tijl Grootswagers ^{a,b,c} , Sophia M. Shatek ^a , Jack Gerboni ^a , Alex O. Holcombe ^a ,
4	Thomas A. Carlson ^{a,b}
5	
6	^a School of Psychology, University of Sydney, NSW, 2006, Australia
7	^b Perception in Action Research Centre, Macquarie University, Sydney, NSW, Australia
8	^c Department of Cognitive Science, Macquarie University, NSW, 2109, Australia
9	
10	Abstract
11	Humans can covertly track the position of an object, even if the object is temporarily occluded. What are
12	the neural mechanisms underlying our capacity to track moving objects when there is no physical stimulus
13	for the brain to track? One possibility is that the brain "fills-in" information about invisible objects using
14	internally generated representations similar to those generated by feed-forward perceptual mechanisms.
15	Alternatively, the brain might deploy a higher order mechanism, for example using an object tracking
16	model that integrates visual signals and motion dynamics (Kwon et al., 2015). In the present study, we
17	used electroencephalography (EEG) and time-resolved multivariate pattern analyses to investigate the
18	spatial processing of visible and invisible objects. Participants tracked an object that moved in discrete
19	steps around fixation, occupying six consecutive locations. They were asked to imagine that the object
20	continued on the same trajectory after it disappeared and move their attention to the corresponding
21	positions. Time-resolved decoding of EEG data revealed that the location of the visible stimuli could be
22	decoded shortly after image onset, consistent with early retinotopic visual processes. For processing of
23	unseen/invisible positions, the patterns of neural activity resembled stimulus-driven mid-level visual
24	processes, but were detected earlier than perceptual mechanisms, implicating an anticipatory and more
25	variable tracking mechanism. Monitoring the position of invisible objects thus utilises similar perceptual

- 26 processes as processing objects that are actually present, but with different temporal dynamics. These
- 27 results indicate that internally generated representations rely on top-down processes, and their timing is
- influenced by the predictability of the stimulus. All data and analysis code for this study are available at
- 29 <u>https://osf.io/8v47t/</u>.

30 Introduction

31 Internally-generated representations of the world, as opposed to stimulus-driven feedforward 32 representations, are important for day-to-day tasks such as constructing a mental map to give a stranger 33 directions, remembering where you last saw a lost item, or tracking the location of a car that becomes 34 occluded by another vehicle. In these cases, there is little or no relevant perceptual input, yet the brain successfully constructs a picture of relevant visual features such as object form and spatial position. Such 35 36 internally-generated representations have been studied with tasks involving imagery, mental rotation, 37 and perception of occluded objects. It is clear that internally-generated representations rely on similar 38 brain regions to stimulus-driven perceptual representations (Lee et al., 2012; Reddy et al., 2010) but they 39 appear to have different temporal dynamics (Dijkstra et al., 2018), raising the question of how exactly 40 these internal representations are formed.

41

42 Top-down processing appears to play an important role in generating internally representations. Current 43 theories of mental imagery are based on similarities between perception and imagery, with a greater 44 focus on bottom-up processing in perception and top-down processing in imagery (for review, see 45 Pearson, 2019). Neuroimaging work has shown increases in brain activation within early visual cortical 46 regions when participants engage in imagery, in a similar way to viewing the same stimuli (Kosslyn et al., 47 1993; Le Bihan et al., 1993), but there is more perception-imagery overlap in higher level brain regions 48 such as ventral temporal cortex (Lee et al., 2012; Reddy et al., 2010). Imagery involves greater flow of 49 information from fronto-parietal to occipital regions than perception, indicating that top-down or 50 feedback-like processes mediate internally generated representations (Dentico et al., 2014; Dijkstra et al., 51 2017; Mechelli, 2004). Consistent with this account, recent work using magnetoencephalography and 52 time-resolved decoding showed that imagery of faces and houses involves similar patterns of activation 53 as viewing those stimuli, but with different temporal dynamics (Dijkstra et al., 2018). In the Dijkstra et al. 54 (2018) study, imagery-related processing was delayed and more diffuse than perception, which showed 55 multiple distinct processing stages. Together, these results suggest that imagery originates in higher-level

- 56 brain regions rather than involving feed-forward visual processes from V1.
- 57

One aspect that is likely to affect the top-down generation of internal representations is the ability to 58 59 predict aspects of the stimulus in advance, for example when objects become occluded. The processes underlying the representation of occluded objects may be closely related to those in conventional imagery 60 61 tasks (Nanay, 2010). However, there are some important differences between imagery and occlusion. 62 Imagery can be prompted from either long term memory or working memory, which involve different 63 patterns of brain activation (Ishai, 2002), whereas representations in conditions of occlusion often have 64 some sensory support, such as from a fragment of the object not occluded or full view of the object immediately before occlusion. One possibility is that internally generated representations utilise the same 65 66 brain networks as perceptual representations but the temporal dynamics vary with the ability to predict 67 and anticipate details of the stimulus to be generated.

68

69 Tracking the position of a predictably moving object is a common task that may share some top-down 70 processes with static imagery tasks. In particular, prediction is likely to play an important role in both 71 imagery and visual tracking. The ability to predict the movement of a stimulus influences perceptual 72 processing during visual tracking; Hogendoorn & Burkitt (2018) measured EEG from participants who 73 viewed an apparent motion stimulus that was predictable or unpredictable in its motion trajectory. 74 Position-specific representations 80-90ms after stimulus onset were unaffected by the predictability of 75 the motion, but a later stage of processing (typically 140-150ms after a stimulus is presented) was pre-76 activated for predictable relative to random sequences by approximately 16ms (Hogendoorn & Burkitt, 77 2018). Predictability therefore has a marked effect on the temporal dynamics of spatial representations 78 for visible stimuli. For an object appearing in an unpredictable location, the resulting position 79 representation must be a combination of the internal representation of the expected location and the

stimulus-driven response to the actual object location. Disentangling how expected stimulus position is represented in the brain, the *internal* spatial representation, from a stimulus-driven response, is an important next step in understanding how and when internal representations are formed. Anticipatory mechanisms are likely to influence internally generated spatial representations, but might interact with other effects, for example the delayed processes observed during imagery (Dijkstra et al., 2018).

85

In the current study, to understand the nature of internal representations in the brain, we investigated 86 87 the neural processes underlying visual tracking for visible and invisible objects. Participants covertly 88 tracked the position of a simple moving stimulus and kept tracking its imaginary trajectory after it 89 disappeared. Using invisible objects allowed us to assess the temporal dynamics of internal 90 representations during object tracking in the absence of a stimulus-driven response. EEG and time-91 resolved multivariate pattern analysis were used to assess the position-specific information contained 92 within the neural signal during visible and invisible stimulus presentations. We successfully decoded the 93 position of the stimuli from all phases of the task. Our results show that the visible and invisible stimuli 94 evoked the same neural response patterns, but with very different temporal dynamics. These findings 95 suggest that overlapping mid- and high-level visual processes underlie perceptual and internally 96 generated representations of spatial location, and that these are pre-activated in anticipation of a 97 stimulus.

98

99 Methods

All stimuli, data and analysis code are available at https://osf.io/8v47t/. The experiment consisted of two types of sequences: a template pattern estimator and the experimental task. The pattern estimator used unpredictable stimulus sequences to obtain position-specific EEG signals that were unlikely to be affected by eye-movements. These were subsequently used to detect position signals in the experimental task.

104

105 Participants

Participants were 20 adults recruited from the University of Sydney (12 females; age range 18-52 years) in return for payment or course credit. The study was approved by the University of Sydney ethics committee and informed consent was obtained from all participants. Four participants were excluded from analyses due to excessive eye movements during the template pattern estimator sequences.

110

111 Stimuli and design

While participants maintained fixation in the centre of the monitor, a stimulus appeared in six distinct positions 4 degrees of visual angle from fixation. The stimulus was a black circle with a diameter of 3 degrees of visual angle. Six unfilled circles acted as placeholders, marking all possible positions throughout the trial. Every stimulus presentation was accompanied by a 1000 Hz pure tone presented for 100 ms via headphones. All stimuli were presented using Psychtoolbox (Brainard, 1997; Kleiner et al., 2007; Pelli, 1997) in MATLAB. In total, there were 8 blocks of trials, each of which contained two template pattern estimator sequences and 36 experimental task sequences.

119

120 Template pattern estimator

121 The template pattern estimator sequences were designed to extract stimulus-driven position-specific 122 neural patterns from the EEG signal. Participants viewed 16 pattern estimator sequences (2 per block), 123 each of which consisted of 10 repetitions of the 6 stimulus positions (Figure 1a). The order of stimuli was 124 randomised to ensure that for a given stimulus position, the preceding and following stimuli would not 125 be predictive of that position; for example, comparing the neural patterns evoked by positions 1 and 2 126 could not be contaminated by preceding and following stimuli because they could both be preceded and 127 followed by all six positions. Each stimulus was shown for 100ms and was followed by an inter-stimulus 128 interval of 200ms. Onset of the stimulus was accompanied by a 100ms tone. Participants were instructed 129 to passively view the stimuli without moving their eyes from the fixation cross in the centre of the screen.

130

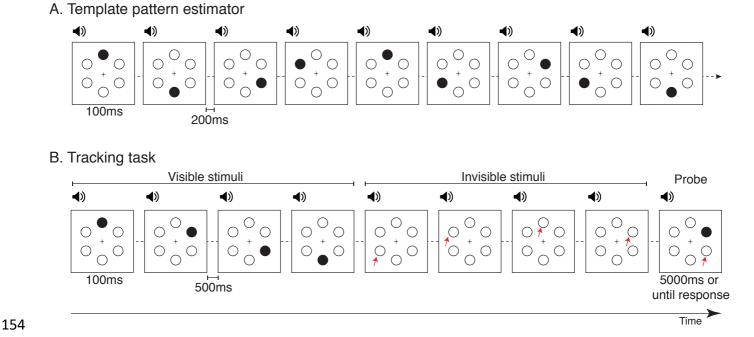
131 The stimuli were presented in unpredictable patterns so there was no regularity in the positions of the 132 previous or following stimuli to contribute to the neural patterns extracted for each position. Additionally, 133 the random sequences ensured that any eye movements would be irregular and thus unlikely to 134 contribute to the extracted neural signal. Previous work has shown that even the fastest saccades typically take at least 100ms to initiate (Fischer & Ramsperger, 1984). Furthermore, eye movements do not appear 135 to affect decoding of magnetoencephalography data until 200ms after a lateralised stimulus is presented 136 137 (Quax et al., 2019). Our 100ms stimulus duration was therefore unlikely to generate consistent eye 138 movements that would affect the early, retinotopic EEG signal of stimulus position.

139

140 To assess whether participants complied with the fixation instruction, we assessed the EEG signal from 141 electrodes AF7 and AF8 (located near the left and right eye, respectively) as a proxy for electrooculogram 142 measurements. We calculated the standard deviation of the AF7 and AF8 signals across each of the 16 143 sequences and then averaged the deviation for the two electrodes. If a participant's average median 144 deviation across the 16 sequences exceeded 50µV, that individual was considered to be moving their eyes 145 or blinking too often, resulting in poor signal. An amplitude threshold of 100 μ V is commonly used to 146 designate gross artefacts in EEG signal (Luck, 2005), so we adopted an arbitrary standard deviation 147 threshold of 50 µV (50% of the typical amplitude threshold) to indicate that there were too many artefacts 148 across the entire pattern estimator sequences. Four participants exceeded this standard deviation 149 threshold ($M = 72.72\mu$ V, range = 63.93-82.70 μ V) and were excluded from all analyses. For each of the 150 remaining 16 participants, the median deviation was well below this threshold ($M = 25.92 \mu V$, $SD = 5.64 \mu V$, 151 range = $16.06-37.62\mu$ V). Thus, the four excluded participants had far more signal artefacts (probably 152 arising from eye movements) than the other participants.

153

bioRxiv preprint doi: https://doi.org/10.1101/2020.03.02.974162; this version posted March 3, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



155 Figure 1. Stimuli and design. a) Template pattern estimator. Participants passively viewed rapid sequences in which a black 156 circle stimulus appeared in six locations in random order. A tone accompanied every stimulus onset. b) Tracking task. The 157 stimulus was presented in different locations in predictable sequences. After 4-6 visible locations, participants had to track the 158 location of the "invisible" stimulus by imagining the continuation of the sequence. A tone accompanied every stimulus onset. 159 During the 4-6 "imagined" positions, the auditory stimulus continued at the same rate, but only the six placeholder locations 160 were shown. At the end of the sequence, a probe appeared, and participants had to respond if it was in the expected position 161 or whether it was trailing or leading the sequence. This example shows a clockwise sequence with trailing probe. Red arrows 162 (not shown in experiment) designate the expected position of the invisible stimulus.

163

164 Tracking task

For the experimental task, participants viewed sequences consisting of 4-6 visible stimuli and 4-6 "invisible" presentations simulating occluded stimuli (Figure 1b). The positions of the visible stimuli were predictable, presented in clockwise or counter-clockwise sequences. Participants were asked to covertly track the position of the stimulus, and to continue imagining the sequence of positions when the stimulus was no longer visible. At the end of each sequence, there was a 1000 ms blank screen followed by a probe stimulus that was presented in one of the 6 locations. Participants categorised this probe as either (1) *trailing*: one position behind in the sequence, (2) *expected*: the correct location, or (3) *leading*: one position ahead in the sequence. Participants responded using the Z, X or C keys on a keyboard,
respectively. Each response was equally likely to be correct, so chance performance was 33.33%.

174

175 **EEG recordings and preprocessing**

176 EEG data were continuously recorded from 64 electrodes arranged in the international 10–10 system for electrode placement (Oostenveld & Praamstra, 2001) using a BrainVision ActiChamp system, digitized at 177 178 a 1000-Hz sample rate. Scalp electrodes were referenced to Cz during recording. EEGLAB (Delorme & 179 Makeig, 2004) was used to pre-process the data offline, where data were filtered using a Hamming 180 windowed sinc FIR filter with highpass of 0.1Hz and lowpass of 100Hz and then downsampled to 250Hz 181 as in our previous work (Grootswagers et al., 2019; Robinson et al., 2019). Epochs were created for each 182 stimulus presentation ranging from -200 to 1000ms relative to stimulus onset. No further preprocessing 183 steps were applied.

184

185 Decoding analyses

186 An MVPA decoding pipeline (Grootswagers et al., 2017) was applied to the EEG epochs to investigate 187 position representations of visible and invisible stimuli. All steps in the decoding analysis were 188 implemented in CoSMoMVPA (Oosterhof et al., 2016). A leave-one-block-out (i.e., 8-fold) cross-validation 189 procedure was used for all time-resolved analyses. A linear discriminant analysis classifier was trained 190 using the template pattern estimator data to distinguish between all pairs of positions. The classifier was 191 trained with balanced numbers of trials per stimulus position from the template pattern estimator 192 sequences. The classifier was then tested separately on the visible and invisible positions in the 193 experimental task. This provided decoding accuracy over time for each condition. At each time point, 194 mean pairwise accuracy was tested against chance (50%). Importantly, because all analyses used the 195 randomly-ordered template pattern estimator data for training the classifier, above chance classification 196 was very unlikely to arise from the predictable sequences or eye movements in the experimental task. For the tracking task, all sequences were included in the decoding analyses regardless of whether the participant correctly classified the position of the probe (i.e., correct and incorrect sequences were analysed). When only correct trials were included, the trends in the results remained the same (see Figure S1, https://osf.io/8v47t/).

201

To assess whether neighbouring stimulus positions evoked more similar neural responses, we also calculated decoding accuracy as a function of the distance between position pairs. Each position pair had a radial distance of 60°, 120° or 180° apart. There were six pairs with a distance of 60° (e.g., position 1 vs position 2, position 2 vs position 3), six pairs with a distance of 120° (e.g., position 1 vs position 2 vs position 4), and three pairs with a distance of 180° (directly opposing each other, e.g., position 1 vs position 4, position 2 vs position 5). Decoding accuracy for each pair distance was calculated as the mean of all relevant pair decoding and compared to chance (50%).

209

As a final set of analyses, time generalisation (King & Dehaene, 2014) was used to assess whether the patterns of informative neural activity occurred at the same times for the pattern localiser and the visible and invisible stimuli on the tracking task. Classification was performed on all combinations of time points from the pattern estimator epochs and the visible or invisible epochs. The classifier was trained on all trials from the localiser sequences and tested on visible and invisible stimulus positions. To reduce computation time, instead of the 15 pairwise tests conducted for the time-resolved decoding analyses, we performed six-way position decoding for the time generalization analyses, so chance was 16.66%.

217

218 Statistical inference

To assess the evidence that decoding performance differed from chance, we calculated Bayes factors (Dienes, 2011; Jeffreys, 1961; Kass & Raftery, 1995; Rouder et al., 2009; Wagenmakers, 2007). A JZS prior (Rouder et al., 2009) was used with a scale factor of 0.707 to test the alternative hypothesis of abovechance decoding (Jeffreys, 1961; Rouder et al., 2009; Wetzels & Wagenmakers, 2012; Zellner & Siow,
1980). The Bayes factor (BF) indicates the probability of obtaining the group data given the alternative
hypothesis relative to the probability of the data assuming the null hypothesis is true. We used a threshold
of BF > 3 as evidence for the alternative hypothesis, and BF < 1/3 as evidence in favour of the null
hypothesis (Jeffreys, 1961; Kass & Raftery, 1995; Wetzels et al., 2011). BFs that lie between those values
indicate insufficient evidence to favour a hypothesis.

- 228
- 229 Results

230 Behavioural results

Participants performed well on the tracking task. Mean accuracy was high for all probe positions (Fig 2a), and response time was faster for the expected probe position relative to the unexpected probe positions (trailing or leading) (Fig 2b). These results indicate that on most trials participants knew where the probe was meant to appear, which required tracking the expected location of the object. Therefore, participants allocated their attention appropriately to the expected position of the stimulus during the invisible portion of the tracking task. bioRxiv preprint doi: https://doi.org/10.1101/2020.03.02.974162; this version posted March 3, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

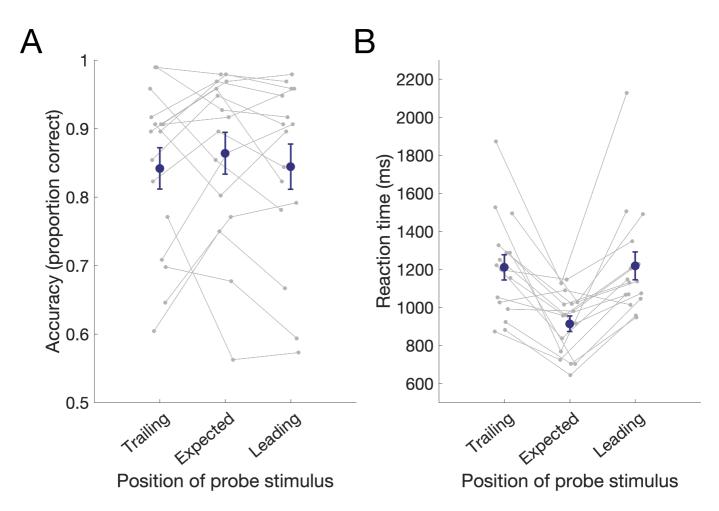


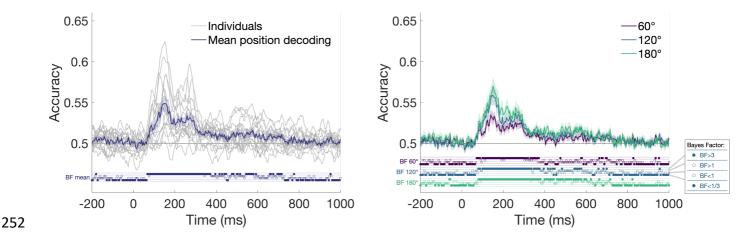
Figure 2. Behavioural results. a) Accuracy, and b) Reaction time on the tracking task as a function of final probe position.
Individual subject data are plotted in grey, with group mean in navy. Error bars depict one standard error of the mean across
participants (*N* = 16).

241

237

242 Position decoding using the template pattern estimator sequences

The template pattern estimator sequences were designed to extract position-specific neural patterns of activity from unpredictable visible stimuli. Time-resolved multivariate pattern analysis (MVPA) was applied to the EEG data from the pattern estimator, which revealed that stimulus position could be decoded above chance from approximately 68ms after stimulus onset and peaked at 150ms (Figure 3), consistent with initial retinotopic processing of position in early visual areas (Di Russo et al., 2003; Hagler et al., 2009). To assess how the physical distance between stimulus positions influenced the neural patterns of activity, we compared the pairwise decodability of position according to the relative angle 250 between stimulus position pairs (i.e., angle of 60°, 120° or 180° between two stimulus positions). The



251 greatest decoding performance was observed for larger angles between stimulus positions.

Figure 3. Position decoding using template pattern estimator sequences. Left plot shows group mean decoding and smoothed individual participant decoding for all pairs of positions, and right plot shows mean position decoding as a function of the angular distance between stimulus pairs. Shaded areas show standard error across participants (*N* = 16). Thresholded Bayes factors (BF) for above-chance decoding are displayed above the x-axes for every time point as an open or closed circle in one of four locations (see inset).

258

259 Position decoding on the tracking task

260 To assess the similarity in position representations for visible and invisible (simulated occluded) stimuli, the classifier was trained on data from the visible template pattern estimator stimuli and tested on data 261 from the tracking task for the visible and invisible stimuli. Crucially, position could be decoded for both 262 263 visible and invisible stimuli, suggesting that similar neural processes underpin perceptual and internal 264 representations of stimulus position. For visible stimuli, the pattern of decoding results echoed those of 265 the pattern estimator, with decoding evident from approximately 76ms and peaking at 152ms, 266 presumably reflecting visual coding of position in ventral visual areas of the brain (Figure 4a, left). When 267 decoding was split according to the distance between the pair of positions, results looked similar to the 268 pattern estimator results (Figure 4a, right).

270 A different pattern of results was observed for the invisible stimuli. Here, decoding was not above chance 271 until approximately 152ms and peaked at 176ms (Figure 4b). The above chance cross-decoding from the 272 visible pattern estimator stimuli to the invisible stimuli on the tracking task indicates that overlapping 273 processes underlie stimulus-driven and internally-generated representations of spatial location. But this 274 decoding of the internal representation of position was later and less accurate than position decoding for visible stimuli. Similar to the pattern estimator and visible decoding results, positions that were further 275 276 apart were more decodable (Figure 4b, right). Notably, neighbouring positions (60° apart) showed little 277 evidence of position decoding, suggesting that the representations of position were spatially diffuse for 278 the invisible stimuli, unlike for the visible stimuli.

279

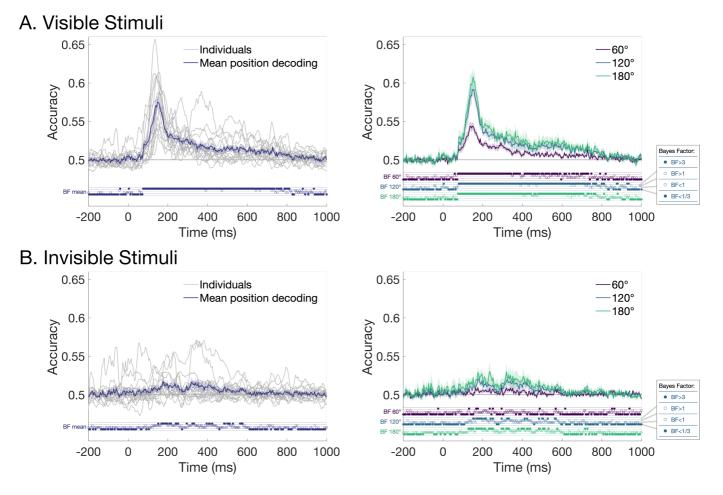


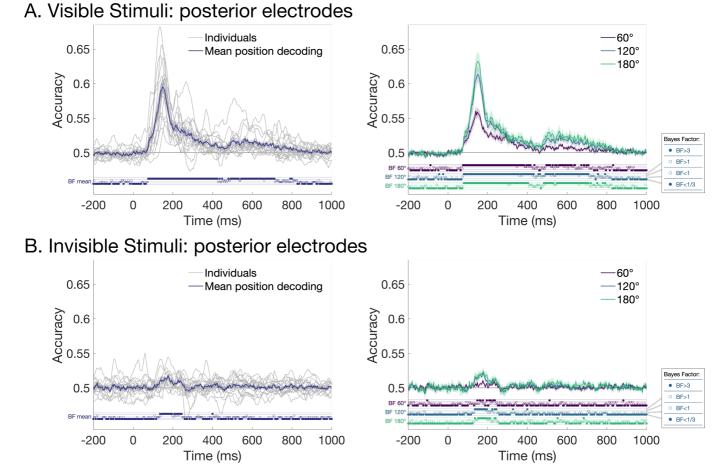
Figure 4. Position decoding from object tracking task. a) Visible stimuli. b) Invisible stimuli. Left plots show group mean decoding and smoothed individual participant decoding for all pairs of positions, and right plots show mean position decoding as a function of the angular distance between position pairs. Shaded areas show standard error across participants (*N* = 16).

bioRxiv preprint doi: https://doi.org/10.1101/2020.03.02.974162; this version posted March 3, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

284 Thresholded Bayes factors (BF) for above-chance decoding are displayed above the x-axes for every time point as an open or
285 closed circle in one of four locations (see inset).

286

The previous analyses were performed using electrodes covering the whole head, which meant that there 287 288 was a possibility that non-neural artefacts such as eye movements might contribute to the classification 289 results (Quax et al., 2019). Saccadic artefacts tend to be localised to frontal electrodes, close to the eyes 290 (Lins et al., 1993). To assess if the EEG signal contributing to the position-specific neural information 291 originated from posterior regions of the brain (e.g., occipital cortex), as expected, we conducted the same 292 time-resolved decoding analyses using a subset of electrodes from the back half of the head. We used 28 293 electrodes that were likely to pick up the largest signal from occipital, temporal and parietal areas (and were less likely to be contaminated with frontal or muscular activity). The electrodes were CPz, CP1, CP2, 294 295 CP3, CP4, CP5, CP6, Pz, P1, P2, P3, P4, P5, P6, P7, P8, POz, PO3, PO4, PO7, PO8, Oz, O1, O2, TP7, TP8, TP9 296 and TP10. As can be seen in Figure 5, the same trend of results was seen using this subset of electrodes compared with the whole head analyses in Figure 4. Specifically, Bayes Factors revealed evidence that 297 298 position of invisible stimuli was decodable approximately 136-244 ms, which is slightly earlier than the 299 whole brain results. Decoding was also most evident for positions that were a distance of 120° or 180° 300 apart (Figure 5b). Analyses restricted to frontal electrodes showed later, more diffuse coding for visible 301 stimuli, and little evidence for position coding of invisible stimuli (see Figure S2, https://osf.io/8v47t/). 302 Thus, position-specific neural information for visible and invisible stimuli was evident specifically over 303 posterior regions of the brain, consistent with visual cortex representing stimulus-driven and internal 304 representations of spatial location.



306

Figure 5. Position decoding from object tracking task using only posterior electrodes. a) Visible stimuli. b) Invisible stimuli. Left plots show group mean decoding and smoothed individual participant decoding for all pairs of positions, and right plots show mean position decoding as a function of the angular distance between stimulus pairs. Shaded areas show standard error across participants (*N* = 16). Thresholded Bayes factors (BF) for above-chance decoding are displayed above the x-axes for every time point as an open or closed circle in one of four locations (see inset).

312

The results of the time-resolved analyses showed that position-specific neural patterns for visible stimuli generalised to invisible stimuli, but with different temporal dynamics. To assess the possibility that neural processes were more temporally variable for invisible than for visible stimuli, we performed whole brain (63-channel) time-generalisation analyses by training the classifier on all time points of the pattern estimator and testing on all time points from the tracking task. As expected, position could be decoded from both visible and invisible stimulus presentations, but with marked differences in their dynamics (Figure 6). For the visible stimuli, most of the above-chance decoding was symmetric on the diagonal,

320 indicating that the position-specific processes occurred at approximately the same time for visible stimuli 321 in the pattern localiser and the tracking task (Figure 6a), even though the inter-stimulus intervals for 322 stimuli in the training and test sets were different. Interestingly, there was also some above-diagonal 323 decoding indicating that some neural signals observed in the pattern localiser occurred substantially 324 earlier in the tracking task, which may reflect prediction based on the previous stimuli. Also likely reflecting anticipation of the stimulus position, generalisation occurred for time points prior to onset of 325 326 the visible stimulus in the tracking task. After the tracking stimulus was presented (800-1000ms), there is 327 some evidence of below chance decoding, indicating a different stimulus position was systematically 328 predicted. This is likely to reflect processing of the next stimulus in the tracking task, which was presented 329 at 700ms on the plot (stim +1 vertical line).

330

331 Time generalisation for the invisible stimulus position was not centred on the diagonal, reflecting different 332 temporal dynamics for the predicted internal representations than for the stimulus-driven processing of 333 the template pattern estimator. Decoding generalisation was also much more diffuse and relied on 334 processes approximately 120-750 ms after stimulus onset in the pattern estimator (Figure 6b). Decoding 335 again preceded the onset of the tone in the tracking task, reflecting an anticipation effect. There was also 336 below chance decoding at later time points, indicating that the classifier was predicting a different 337 stimulus position at times when the next stimulus would be processed. Overall, time generalisation results suggest that during the invisible stimulus portion of the tracking task, which relied on internal 338 339 representations of position, the neural dynamics were more variable and anticipatory.

bioRxiv preprint doi: https://doi.org/10.1101/2020.03.02.974162; this version posted March 3, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

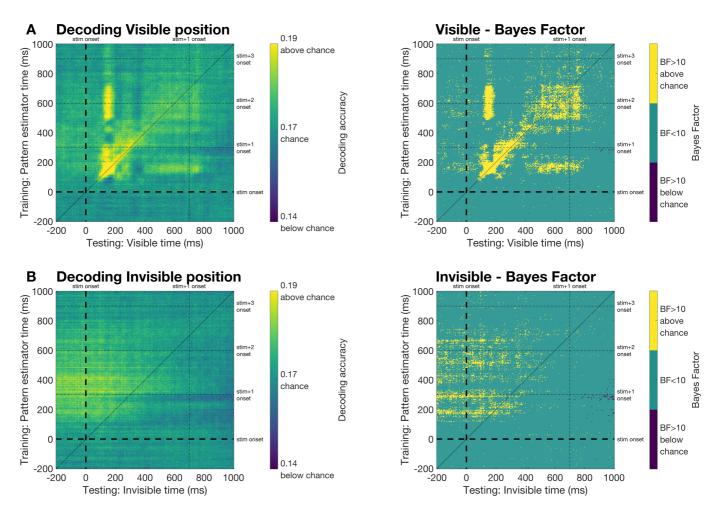


Figure 6. Time generalisation results. a) Decoding visible stimulus position. b) Decoding invisible stimulus position. Decoding was performed by training on data from the template pattern estimator sequences of visible stimuli and testing on the experimental trials for all pairs of time points. Left plots show 6-way decoding accuracy for stimulus position, and right plots show associated Bayes Factors.

346

341

347 Discussion

In this study, we assessed the neural underpinnings of internally-generated representations of spatial location. Participants viewed predictable sequences of a moving stimulus and imagined the sequence continuing when the stimulus disappeared. Time-resolved MVPA revealed that patterns of activity associated with visual processing in random sequences were also associated with processing of visible and invisible spatial stimulus positions in the tracking task, but with different temporal dynamics. Specifically, the neural correlates of invisible position (i.e., internally-generated representations) were anticipatory and more temporally diffuse than those of visible position (i.e., sensory and perceptual representations). Taken together, this study provides evidence that internal representations of spatial position rely on mechanisms of visual processing, but that these are applied with different temporal dynamics to actual perceptual processes.

358

359 The results of this study suggest that similar perceptual processes are implemented for processing 360 position of visible and invisible (e.g., occluded) stimuli. This adds to previous neuroimaging work using 361 high level objects by showing that internally-generated spatial representations appear to use the same 362 visual perceptual processes as viewed stimuli (Dijkstra et al., 2018). What neural processes are responsible 363 for this low-level spatial imagery? We found generalisation from the template pattern estimator to the 364 visible tracked stimuli began at approximately 76ms, but for invisible stimuli the generalisation did not 365 occur until 120ms. This suggests that internal spatial representations do not rely on early retinotopic 366 processes such as that of V1, but are implemented by higher order visual processes. Above-chance 367 generalization for visible and invisible stimuli was maintained until approximately 750ms after the pattern 368 estimator stimulus was presented, indicating that position-specific information represented throughout 369 the visual hierarchy has some similarity for stimulus-driven and internally generated representations. It is 370 important to note, however, that the time generalisation results did not show evidence of distinct, 371 progressive stages of processing for the invisible representations. In contrast, the visible stimuli showed 372 different clusters of above-chance decoding on the diagonal of the time-generalisation results, indicating 373 that there were distinct stages of processing. These results are similar to those observed in Dijkstra et al., 374 (2018) during imagery of faces and houses. Internal representations thus seem to activate different 375 perceptual processes simultaneously, rather than the representations involving information flow through 376 different brain regions.

377

For both visible and imagined stimuli, more distant stimulus positions could more easily be discriminated
by the EEG signals. Decoding for neighbouring positions (60° apart) was generally much lower than

380 decoding for positions that were further apart. This is consistent with the retinotopic organization of visual 381 cortices (Tootell et al., 1998), where closer areas of space are represented in neighbouring regions of 382 cortex, leading to more similar spatial patterns of activation that are measured on the scalp with EEG 383 (Carlson et al., 2011). Time generalization results also showed that neural patterns of activity from the template pattern estimator sequences generalized above chance to neighbouring positions. Interestingly, 384 385 however, decoding for the closest positions was particularly low for the invisible stimuli, raising the 386 possibility that internally generated representations of position are more spatially diffuse than perceptual 387 representations. Together, increasing decodability of stimulus position with increasing distance between 388 stimuli supports a common, retinotopic mechanism for processing position of both visible and imagined 389 stimuli, but with greater precision for visible stimuli.

390

391 Another cognitive process that might contribute to the extracted position-specific signal in the current 392 study is that of spatial attention. In our experimental task, participants were explicitly asked to track the 393 position of the stimulus, and they performed well, suggesting they were directing their attention to the 394 location of the stimulus. Spatial attention influences the amplitude of early EEG responses (for review, 395 see Mangun, 1995), and MEG classification work has shown that spatial attention enhances object 396 decoding at early stages of processing (Goddard et al., 2019). It is important to note, however, that our 397 classification results were obtained from training on the template pattern estimator, in which there was 398 no explicit task and therefore no incentive to specifically attend to stimulus position. The neural patterns 399 of activity associated with position were therefore more likely to be associated with perceptual rather 400 than attentional mechanisms. A role of spatial attention cannot be ruled out, however. In the pattern 401 estimator there was only one stimulus presented at a time and the onsets were likely to attract attention, 402 albeit in a different fashion to the cued positions in the experimental tracking task. It is possible that a 403 combination of both perceptual and attentional mechanisms is necessary for the generation of internal 404 spatial representations. Future work could attempt to disentangle the role of perceptual and attentional 405 processes in spatial imagery with a manipulation to reduce attention during the pattern estimator or even
406 make the stimuli invisible.

407

408 One factor that we tried to control in this study was eye movements. Recent work has shown that even when participants were instructed to maintain central fixation, the spatial position of a peripheral 409 410 stimulus could be decoded from eye movements, and the eye movements appeared to account for 411 variance in the MEG signal from 200ms after the stimulus was presented (Quax et al., 2019). To reduce the likelihood of eye movements influencing our spatial representation results, one countermeasure we 412 413 implemented was using independent sequences of randomly-ordered visible stimuli (template pattern 414 estimator sequences) to extract position-specific patterns from the EEG signal and used these to 415 generalise to the tracking task. Thus, only neural signals in common between the pattern estimator and 416 the tracking task could result in above chance decoding. The position sequences in the template pattern 417 estimator (training set) were randomised, so any incidental eye movements were unlikely to consistently 418 vary with position. The tracking task implemented both clockwise and counter-clockwise sequences, so if 419 there were eye movements, across the whole experiment a given position would have two completely 420 different eye movement patterns. Above-chance cross-decoding from the pattern estimator to the 421 tracking task was therefore unlikely to be driven by eye movements. Second, all stimuli were presented 422 briefly (100ms duration), and for a short 200ms inter-stimulus interval during the pattern estimator. This 423 rapid presentation rate reduced the likelihood that participants would overtly move their eyes, as even 424 the fastest saccades take at least 100ms to initiate (Fischer & Ramsperger, 1984). Third, we excluded 425 participants that appeared to move their eyes excessively during the template pattern estimator 426 sequences, which were the sequences used for training the classifier. Finally, we conducted an additional 427 analysis using only posterior electrodes to validate that the neural patterns of activity informative for 428 spatial position were consistent with processes within the visual system (e.g., from occipital cortex). 429 Decoding from posterior electrodes was similar to the whole-brain results. Furthermore, a similar analysis

using only frontal electrodes showed later, more diffuse position decoding for visible stimuli, and insufficient evidence for position decoding of invisible stimuli (see Figure S2, <u>https://osf.io/8v47t/</u>), indicating that frontal signal or artefacts did not drive decoding of spatial position for visible or imagined stimuli. Taken together, our finding that spatial position generalised from the pattern estimator to the tracking task from relatively early stages of processing indicates that it was actually a neural representation of spatial location that was driving the classifier rather than any overt eye movements.

436

437 In conclusion, in this study we successfully decoded the position of predictable visible and invisible stimuli 438 using patterns of neural activity extracted from independent visible stimuli. Our findings suggest that 439 internally generated spatial representations involve mid- and high-level perceptual processes. The visible 440 stimuli that we used relied on early retinotopic visual processes, yet we found no evidence of 441 generalisation from very early processes (90-120ms) to the invisible stimuli. The stimuli we used were 442 much simpler than the vivid, complex objects used in previous work, but we found similar stages of processing generalised from perceptual to internally-generated representations (Dijkstra et al., 2018), 443 444 suggesting a general role of mid- and high-level perceptual processing in internally-generated 445 representations such as those implemented during imagery or occlusion. Our finding that mid- and high-446 level perceptual processes were spatially diffuse and occurred earlier for invisible objects than for the 447 unpredictable objects indicates an important role of prediction in generating internal representations. 448 Together, our findings suggest that similar neural mechanisms underlie internal representations and 449 visual perception, but the timing of these processes is dependent on the predictability of the stimulus.

450

451 References

- 452 Brainard, D. H. (1997). The psychophysics toolbox. *Spatial Vision*, *10*, 433–436.
- 453 Carlson, T. A., Hogendoorn, H., Kanai, R., Mesik, J., & Turret, J. (2011). High temporal resolution
- 454 decoding of object position and category. *Journal of Vision*, *11*(10), 9–9.
- 455 https://doi.org/10.1167/11.10.9
- 456 Delorme, A., & Makeig, S. (2004). EEGLAB: an open source toolbox for analysis of single-trial EEG
- 457 dynamics including independent component analysis. *Journal of Neuroscience Methods*, 134(1),
- 458 9–21. https://doi.org/10.1016/j.jneumeth.2003.10.009
- 459 Dentico, D., Cheung, B. L., Chang, J.-Y., Guokas, J., Boly, M., Tononi, G., & Van Veen, B. (2014). Reversal
- 460 of cortical information flow during visual imagery as compared to visual perception.
- 461 *NeuroImage*, 100, 237–243. https://doi.org/10.1016/j.neuroimage.2014.05.081
- 462 Di Russo, F., Martinez, A., & Hillyard, S. A. (2003). Source analysis of event-related cortical activity during
 463 visuo-spatial attention. *Cerebral Cortex*, *13*(5), 486–499.
- 464 Dienes, Z. (2011). Bayesian Versus Orthodox Statistics: Which Side Are You On? Perspectives on
- 465 *Psychological Science*, *6*(3), 274–290. https://doi.org/10.1177/1745691611406920
- 466 Dijkstra, N., Mostert, P., de Lange, F. P., Bosch, S., & van Gerven, M. A. J. (2018). Differential temporal
- 467 dynamics during visual imagery and perception. *ELife*, 7(e33904), 1–16.
- 468 https://doi.org/10.7554/eLife.33904.001
- 469 Dijkstra, N., Zeidman, P., Ondobaka, S., van Gerven, M. A. J., & Friston, K. (2017). Distinct Top-down and
- 470 Bottom-up Brain Connectivity During Visual Perception and Imagery. *Scientific Reports, 7*(1).
- 471 https://doi.org/10.1038/s41598-017-05888-8
- 472 Fischer, B., & Ramsperger, E. (1984). Human express saccades: extremely short reaction times of goal
- 473 directed eye movements. *Experimental Brain Research*, 57(1).
- 474 https://doi.org/10.1007/BF00231145

- 475 Goddard, E., Carlson, T. A., & Woolgar, A. (2019). Spatial and feature-selective attention have
- 476 qualitatively different effects on population-level tuning. *BioRxiv*, 530352.
- 477 https://doi.org/10.1101/530352
- 478 Grootswagers, T., Robinson, A. K., & Carlson, T. A. (2019). The representational dynamics of visual
- 479 objects in rapid serial visual processing streams. *NeuroImage*, *188*, 668–679.
- 480 https://doi.org/10.1016/j.neuroimage.2018.12.046
- 481 Grootswagers, T., Wardle, S. G., & Carlson, T. A. (2017). Decoding Dynamic Brain Patterns from Evoked
- 482 Responses: A Tutorial on Multivariate Pattern Analysis Applied to Time Series Neuroimaging
- 483 Data. Journal of Cognitive Neuroscience, 29(4), 677–697. https://doi.org/10.1162/jocn_a_01068
- 484 Hagler, D. J., Halgren, E., Martinez, A., Huang, M., Hillyard, S. A., & Dale, A. M. (2009). Source estimates
- 485 for MEG/EEG visual evoked responses constrained by multiple, retinotopically-mapped stimulus
 486 locations. *Human Brain Mapping*, *30*(4), 1290–1309. https://doi.org/10.1002/hbm.20597
- 487 Hogendoorn, H., & Burkitt, A. N. (2018). Predictive coding of visual object position ahead of moving
- 488 objects revealed by time-resolved EEG decoding. *NeuroImage*, *171*(December 2017), 55–61.
- 489 https://doi.org/10.1016/j.neuroimage.2017.12.063
- 490 Ishai, A. (2002). Visual Imagery of Famous Faces: Effects of Memory and Attention Revealed by fMRI.
- 491 *NeuroImage*, *17*(4), 1729–1741. https://doi.org/10.1006/nimg.2002.1330
- 492 Jeffreys, H. (1961). *Theory of probability*. Oxford University Press.
- Kass, R. E., & Raftery, A. E. (1995). Bayes factors. *Journal of the American Statistical Association*, *90*(430),
 773–795.
- King, J. R., & Dehaene, S. (2014). Characterizing the dynamics of mental representations: The temporal
 generalization method. *Trends in Cognitive Sciences*, *18*(4), 203–210.
- 497 https://doi.org/10.1016/j.tics.2014.01.002
- 498 Kleiner, M., Brainard, D., Pelli, D., Ingling, A., Murray, R., Broussard, C., & others. (2007). What's new in
- 499 Psychtoolbox-3. *Perception*, *36*(14), 1.

- 500 Kosslyn, S. M., Alpert, N. M., Thompson, W. L., Maljkovic, V., Weise, S. B., Chabris, C. F., Hamilton, S. E.,
- 501 Rauch, S. L., & Buonanno, F. S. (1993). Visual Mental Imagery Activates Topographically
- 502 Organized Visual Cortex: PET Investigations. *Journal of Cognitive Neuroscience*, 5(3), 263–287.
- 503 https://doi.org/10.1162/jocn.1993.5.3.263
- 504 Kwon, O.-S., Tadin, D., & Knill, D. C. (2015). Unifying account of visual motion and position perception.
- 505 Proceedings of the National Academy of Sciences, 112(26), 8142–8147.
- 506 https://doi.org/10.1073/pnas.1500361112
- 507 Le Bihan, D., Turner, R., Zeffiro, T. A., Cuénod, C. A., Jezzard, P., & Bonnerot, V. (1993). Activation of
- 508 human primary visual cortex during visual recall: a magnetic resonance imaging study.
- 509 Proceedings of the National Academy of Sciences of the United States of America, 90(24), 11802–
- 510 11805.
- Lee, S. H., Kravitz, D. J., & Baker, C. I. (2012). Disentangling visual imagery and perception of real-world
 objects. *NeuroImage*, *59*(4), 4064–4073. https://doi.org/10.1016/j.neuroimage.2011.10.055
- 513 Lins, O. G., Picton, T. W., Berg, P., & Scherg, M. (1993). Ocular artifacts in EEG and event-related
- 514 potentials I: Scalp topography. *Brain Topography*, *6*(1), 51–63.
- 515 https://doi.org/10.1007/BF01234127
- 516 Luck, S. J. (2005). An Introduction to the Event-Related Potential Technique. The MIT Press.
- 517 Mangun, G. R. (1995). Neural mechanisms of visual selective attention. *Psychophysiology*, *32*(1), 4–18.
 518 https://doi.org/10.1111/j.1469-8986.1995.tb03400.x
- 519 Mechelli, A. (2004). Where Bottom-up Meets Top-down: Neuronal Interactions during Perception and
 520 Imagery. *Cerebral Cortex*, 14(11), 1256–1265. https://doi.org/10.1093/cercor/bhh087
- 521 Nanay, B. (2010). Perception and imagination: amodal perception as mental imagery. *Philosophical*
- 522 Studies, 150(2), 239–254. https://doi.org/10.1007/s11098-009-9407-5

- 523 Oostenveld, R., & Praamstra, P. (2001). The five percent electrode system for high-resolution EEG and
- 524 ERP measurements. *Clinical Neurophysiology*, *112*(4), 713–719. https://doi.org/10.1016/S1388525 2457(00)00527-7
- 526 Oosterhof, N. N., Connolly, A. C., & Haxby, J. V. (2016). CoSMoMVPA: Multi-Modal Multivariate Pattern
- 527 Analysis of Neuroimaging Data in Matlab/GNU Octave. *Frontiers in Neuroinformatics*, 10.
- 528 https://doi.org/10.3389/fninf.2016.00027
- Pearson, J. (2019). The human imagination: the cognitive neuroscience of visual mental imagery. *Nature Reviews Neuroscience*. https://doi.org/10.1038/s41583-019-0202-9
- Pelli, D. G. (1997). The VideoToolbox software for visual psychophysics: Transforming numbers into
 movies. *Spatial Vision*, *10*(4), 437–442.
- 533 Quax, S. C., Dijkstra, N., van Staveren, M. J., Bosch, S. E., & van Gerven, M. A. J. (2019). Eye movements
- explain decodability during perception and cued attention in MEG. *NeuroImage*, *195*, 444–453.
 https://doi.org/10.1016/j.neuroimage.2019.03.069
- 536 Reddy, L., Tsuchiya, N., & Serre, T. (2010). Reading the mind's eye: Decoding category information
- 537 during mental imagery. *NeuroImage*, *50*(2), 818–825.
- 538 https://doi.org/10.1016/j.neuroimage.2009.11.084
- Robinson, A. K., Grootswagers, T., & Carlson, T. A. (2019). The influence of image masking on object
- 540 representations during rapid serial visual presentation. *BioRxiv*, 515619.
- 541 https://doi.org/10.1101/515619
- Rouder, J. N., Speckman, P. L., Sun, D., Morey, R. D., & Iverson, G. (2009). Bayesian t tests for accepting
 and rejecting the null hypothesis. *Psychonomic Bulletin & Review*, *16*(2), 225–237.
- 544 Tootell, R. B., Hadjikhani, N. K., Vanduffel, W., Liu, a K., Mendola, J. D., Sereno, M. I., & Dale, a M.
- 545 (1998). Functional analysis of primary visual cortex (V1) in humans. *Proceedings of the National*
- 546 Academy of Sciences of the United States of America, 95(3), 811–817.
- 547 https://doi.org/10.1073/pnas.95.3.811

548	Wagenmakers,	EJ.	(2007). A	practica	l solution t	o the pe	rvasive	problems	of p va	alues.	Psychonol	mic
-----	--------------	-----	-----------	----------	--------------	----------	---------	----------	---------	--------	-----------	-----

549 Bulletin & Review, 14(5), 779–804. https://doi.org/10.3758/BF03194105

- 550 Wetzels, R., Matzke, D., Lee, M. D., Rouder, J. N., Iverson, G. J., & Wagenmakers, E.-J. (2011). Statistical
- 551 Evidence in Experimental Psychology: An Empirical Comparison Using 855 t Tests. *Perspectives*

552 *on Psychological Science*, *6*(3), 291–298. https://doi.org/10.1177/1745691611406923

- 553 Wetzels, R., & Wagenmakers, E.-J. (2012). A default Bayesian hypothesis test for correlations and partial
- 554 correlations. *Psychonomic Bulletin & Review*, *19*(6), 1057–1064. https://doi.org/10.3758/s13423-
- 555 012-0295-x
- 556 Zellner, A., & Siow, A. (1980). Posterior odds ratios for selected regression hypotheses. In J. M.
- 557 Bernardo, M. H. DeGroot, D. V. Lindley, & A. F. M. Smith (Eds.), *Bayesian statistics: Proceedings*
- 558 *of the First InternationalMeeting* (pp. 585–603). University of Valencia Press.