1	Polar Gini Curve: a Technique to Discover Single-cell Biomarker
2	Using 2D Visual Information
3	Thanh Minh Nguyen ¹ , Jacob John Jeevan ¹ , Nuo Xu ² , Jake Chen ^{1*}
4	¹ Informatics Institute, the University of Alabama at Birmingham, AL, United States
5	² Collat School of Business, the University of Alabama at Birmingham, AL, United States
6	*Corresponding author: Jake Chen
7	Email: jakechen@uab.edu
8	
9	Running title: Nguyen et al / Polar Gini Curve single cell
10	
11	Authors' ORCID No
12	Thanh Nguyen: 0000-0002-8440-1594
13	Jacob John Jeevan: 0000-0003-0910-5610
14	Jake Chen: 0000-0001-8829-7504
15	
16	
17	Total word counts: 3446
18	Total figures: 10
19	Total tables: 0
20	Total supplementary figures: 0
21	Total supplementary tables: 0
22	Total supplementary files: 3
23	

24 Abstract

25 In this work, we design the Polar Gini Curve (PGC) technique, which combines the gene expression and the 2D embedded visual information to detect biomarkers from single-cell data. 26 27 Theoretically, a Polar Gini Curve characterizes the shape and 'evenness' of cell-point distribution 28 of cell-point set. To quantify whether a gene could be a marker in a cell cluster, we can combine 29 two Polar Gini Curves: one drawn upon the cell-points expressing the gene, and the other drawn 30 upon all cell-points in the cluster. We hypothesize that the closers these two curves are, the more 31 likely the gene would be cluster markers. We demonstrate the framework in several simulation 32 case-studies. Applying our framework in analyzing neonatal mouse heart single-cell data, the 33 detected biomarkers may characterize novel subtypes of cardiac muscle cells. The source code and 34 data for PGC could be found at https://figshare.com/projects/Polar Gini Curve/76749.

35

36 KEYWORDS: Single-cell gene expression; Gini coefficient; Polar Gini Curve; Biomarker

37

38 Introduction

Discovering biomarkers from the single-cell gene expression data is an interesting yet challenging problem [1]. Compared to the well-established bulk gene expression data, the expression distribution in single-cell is significantly more heterogeneous [2-4]. Therefore, as shown in [5, 6], the bulk-analysis strategies [7, 8] achieve low sensitivity in detecting markers. In addition, as embedding [9-11] and clustering [12-14] are the essential components in many singlecell expression analytical pipelines [15, 16], the biomarker detection techniques would need to tackle the challenges and errors from embedding and clustering [17, 18].

46

47 From the statistical point of view, there are two different directions among the current state-48 of-the-art methods in solving the single-cell biomarker discovery problem. The first direction is 49 using non-parametric approaches [19]. Non-parametric approaches do not attempt to construct the 50 model characterizing the gene expression distribution [20]. They do not require too many prior 51 assumptions about the expression data. Therefore, in theory, they could be applied in most of the 52 heterogeneous scenarios in single-cell expression. For example, Seurat [16] and the SINCERA 53 [21] pipelines use the Mann–Whitney test [22]. The disadvantages of non-parametric approaches 54 include lacking the point-estimator (for example, we could not tell how much of fold-change when

55 comparing the expressions of the same gene in two populations) and the lower true positive rate 56 [5, 6]. On the other hand, the parametric approaches model the underlying expression distribution. 57 For example, [23] applies Bayesian statistics, Monocle2 [11, 24] and MAST [2] apply different 58 linear models, and [25] applies the Poisson models to single-cell differential expression analysis. 59 The parametric approaches, compared to the non-parametric ones, are significantly more sensitive 60 [5, 6], especially in detecting markers in small cell-cluster since they may require less number of 61 cell-samples. However, these approaches assume that the gene expression distribution has specific 62 shapes; therefore, these approaches tend to have higher false-positive rates.

63

64 In this work, we developed a new framework based on the novel idea of integrating expression 65 and the embedded visual information of single-cell data into one metric to identify biomarkers. 66 This idea has been successfully implemented in spatial single-cell data, in which the visualization 67 space reflects the relative position of the cells in a tissue image [26, 27]. In this framework, we 68 decided to take advantage of cluster shape and cell-point distribution from the 2D visual space. 69 Our strategy was to project the single-cell 2D cluster onto multiple angle-axes to explore all 70 viewing angles of the cluster. On each 'viewing angle', we captured the visual distribution using 71 the Gini coefficient [28]. Together, for each set of points in 2D, we constructed a Polar Gini Curve 72 (PGC) from the correspondent between viewing angle and Gini coefficient. We hypothesized that 73 for the marker gene, its expressing cell set should have its PGC close to the PGC computed from 74 the whole cluster cell-set. We demonstrated the framework in several simulation case-studies. 75 Applying our framework in analyzing neonatal mouse heart single-cell data [29], the detected 76 biomarkers may characterize novel subtypes of cardiac muscle cells. We named the framework 77 PGC-RSMD (Polar Gini Curve - Root Mean Square Deviation). The source code and dataset, 78 including supplemental data, used in this manuscript could be found in 79 https://figshare.com/projects/Polar Gini Curve/76749.

80 81

82 Material and Method

83 **Computing PGC-RSMD for one gene in one cluster**

Figure 1 demonstrates the workflow to compute PGC-RSMD for one gene in a cell cluster from the single-cell expression data. Our approach used the 2D embedding [9] and clustering

86 results from single-cell expression data as the input. Starting from the 2D x-y embedding space,

for an arbitrary angle θ , the pipeline projects the x-y coordinate [30] *for every cell-point* onto the

- 88 θ -axis (z score)
- 89

 $z = x\cos(\theta) + y\sin(\theta) \quad (1)$

We subtracted the scores from (1) with the smallest z to ensure that all z scores are non-negative, which is the requirement for computing the Gini coefficient. Then, it computed two Gini coefficients g_{sub} and g_{whole} to measure the inequality among the z scores. The g_{sub} coefficient only used the distribution of z scores obtained from cells expressing the gene. The g_{whole} coefficient would use the distribution of all z scores. The Gini coefficient formula is as in [28]

95
$$g = \frac{1}{2n^2 \bar{z}} \sum_{i=1}^n \sum_{j=1}^n |z_i - z_j| \quad (2)$$

96 Here, i and j are arbitrary indices in the list of z scores being used in the computation, \bar{z} is the 97 average of these z scores, and n is the size of the z score list. Repeating (1) and (2) for multiple 98 angles θ spanning from 0 to 2π would yield the corresponding lists between g and θ , as shown in 99 the bottom-right table in Figure 1. This would lead to two polar curves for Gini coefficients, one 100 for the cell-points expressing the gene in the cluster, and one for all cell-points in the cluster. We 101 hypothesize that the two curves would be closer in the marker-gene scenario than in the non-102 marker gene scenario. Therefore, we used the root-mean-square deviation (RSMD) metric, which 103 is popular in computing fitness in Bioinformatics [31], to determine whether a gene is a marker in 104 the cluster.

105
$$RSMD = \frac{\sum_{\forall \theta} (g_{sub}(\theta) - g_{whole}(\theta))^2}{n_{\theta}} \quad (3)$$

Here, n_{θ} , also called resolution, is the number of angles θ for which we repeat (1) and (2). In this work, we chose $n_{\theta} = 1000$, which makes the angle list $\theta = 0$, $\pi/500$, $2\pi/500$, ..., $999\pi/500$, 2π .

108

To compute the RSMD statistical p-value for each gene in each cluster, first, we linearly normalized (scaled) the RSMD computed in (3) such that the normalized RSMD is between 0 and 1. This could be done by diving (3) by the largest RSMD among all genes in each cluster. Then, we applied the estimated p-value calculation in [32] to assign a p-value for each gene in each cluster. Briefly, from the RSMD scores in (3), we verified that the RSMD scores followed a bell-

114 shaped distribution. Then, we computed the mean μ and standard deviation σ of the normalized

115 RSMD. Then, the p-value for each gene in the cluster is

116
$$p - value(i) = \frac{1}{\sqrt{2\pi\sigma^2}} \int_{-\infty}^{U} e^{-\frac{(u-\mu)^2}{2\sigma^2}} du$$
(4)

117 In (4), U stands for the normalized RSMD.

- 118
- 119

120 Setting up simulation

121 In this work, to demonstrate how the PGC-RSMD functions, we setup two simulations. In the 122 first simulation, the cell cluster in the x-y embedding space had 5000 points, which were uniformly generated in the unit circle $x^2 + y^2 < 1$. In the second simulation, the 2D visualization of cell clusters 123 124 had the shape identical to the real-world cluster obtained from visualizing the mouse fetal lung 125 single-cell data [29] using tSNE [33]. We applied the sampling-by-rejection technique [34] to 126 generate these cluster points as follows. In the first simulation, we randomly generated a point 127 whose coordinates are between -1 and 1 using uniform sampling, then accepted the point if it had $x^2 + y^2 < 1$. In the second simulation, the random point coordinates were within the cluster 128 129 coordinate range. We extracted the cluster boundary points, compute the polygon from these 130 boundary points, which allowed deciding whether a point was inside the polygon using Matlab 131 [35, 36]. In each simulation, we randomly chose *m* percentage of points (m = 5, 10, 15, ..., 95) and 132 assumed that they represent the cells expressing gene. For each m percentage, we repeat the 133 simulation 1000 times.

134 In addition, to evaluate how the performance of PGC-RSMD would change in drop-out 135 scenario, we modified the single-cell data simulator in [37] as follow. First, we use [37] default 136 parameters to synthesize 2 clusters such that each cluster has 6000 cells, 250 markers (total 500 137 cluster markers) and other 4500 genes. For each cluster marker, the average expression fol-change 138 when comparing two clusters was between 4 and 1000. We assigned the drop-out probability for 139 each gene from 0, 5, 10, ..., to 45% such that there were 25 markers for each drop-out probability. 140 Then, in each cell, we randomly change the marker expression to 0 according to the markers' drop-141 out probability. For each of the 4500 non-cluster markers, the expression in each cell was randomly 142 between 0 and 500. We assigned the sparisity – defined as the percentage of non-expressing cells (0 expression) – for each non-cluster marker from 0, 5, 10, to 95%. In each cell, we randomly 143

144 changed the non-cluster marker to 0 according to its sparsity. We used the AUC metric to evaluate

145 whether the PGC-RSMD score could differentiate the 500 cluster-markers: whether each marker

- 146 is specific for the first or the second clusters.
- 147
- 148

149 Identifying cardiac muscle cell clusters and marker genes from the neonatal mouse heart 150 single-cell data

151 We obtained the neonatal mouse heart single-cell case-study from the Mouse Cell Atlas [29]. 152 We processed the data as specified in [29]. After preprocessing, the dataset covered 19,494 genes 153 expression in 5075 cells. We use tSNE [33] (without dimensional reduction) to embed the dataset 154 into the 2D space. We used the density-based clustering algorithm [38] implemented in Matlab 155 [39] to identify 9 cell clusters. In the implementation [39], we chose the clustering parameters 156 epsilon = 4, minpts = 40. There were 788, 397, 2966, 156, 288, 123, 76, 125, 87 cell-points in 157 cluster 1, 2, ..., 9, correspondingly. There were 69 cell-points for which the algorithm is unable to 158 assign to any clusters (Supplemental Data 3).

159

160 We computed the percentage of expressing cells (the naïve approach) and PGC-RSMD for all 161 genes in all clusters. We removed genes expressing in less than 10% of the cluster cells. For 162 comparison, in the naïve approach, in each cluster, we selected the top genes sorted by the highest 163 percentage of expressing cells as the cluster markers. In the PGC-RSMD approach, we selected 164 the smallest-RSMD genes with its p-value < 0.05 as the cluster marker. In this work, we focused 165 on identifying the heart muscle cell clusters and their markers. We manually examine the 166 distribution of cells expressing the well-known heart muscle cell markers: Myh7, Actc1, and Tnnt2 167 [40-47].

- 168
- 169

170 Seting up the re-identifying cluster ID problem

To compare the robustness of our PGC-RSMD markers with other approaches, we setup the re-identifying cluster ID as follow. From the visual coordinates and 9 clusters of 5075 cells in [29], we randomly divided the dataset into the training set (4060 cells – 80%) and the test set (1015 cells -20%) such that set has samples of all 9 clusters. Using the training set and markers' expression

found by PGC-RSMD, in comparison with other approaches, we applied the neural network algorithm [48] to train models that identify cluster ID. We evaluated these models in the test set and recorded the classification accuracy and area-under-receiving characteristic curve (AUC). Here, we hypothesized that the 'better' markers would yield higher classification accuracy and AUC. The other approaches being compared with PGC-RSMD are:

The baseline approach: in this approach, we would train the classification models using allgenes expression.

- The differential expression approach: in this approach, we use Fisher's exact test [49], which computes the likelihood of a gene being expressed (raw expression > 0) in a cluster, compare to the likelihood of the gene being expressed outside the cluster. In this work, we select the DEG markers in each cluster according to the following criteria: odd ratio > 5 and the percentage of expressing cell (m) > 50%.

- The SpatialDE [26] approach: SpatialDE finds the gene with high variance regarding the distribution of 'point' on the spatial 2D space. The 'null' hypothesis in this approach is the gene distribution in the 'spatial space' follows a multivariate normal distribution. The marker is selected if the gene expression distribution is significantly different from the null distribution, recorded in the p-value. In this work, we select the SpatialDE marker according to the following criteria: qvalue (adjusted p-value) < 0.05 and percentage of expressing cell (*m*) > 50%.

In both the DEG and the SpatialDE approach, we sort the markers according to the decreasing order of *m*. To make a fair comparison, we use the same number of markers, ranging from 5 to 100, found by PGC-RSMD, DEG and SpatialDE to train the classification models.

196

197

198 **Results**

199 PGC-RSMD strongly correlates to the percentage of expressing cell in a cluster

In **Figure 2**, we show that the fitness between the cluster PGC and the sub-cluster PGC strongly correlates to the percentage of expressing cell-points as the 'sub-cluster' m in the circle-shaped simulation. In addition, as m increases, the RSMD variance decreases. We represented the fitness by the root-mean-square deviation (RSMD) as showed in the method section. In this figure, for each m (from 5 to 95), we randomly generate 1000 sub-clusters and their PGCs. The detailed result of this simulation could be found at the Supplemental Data 1.

206 In addition, we observed a similar correlation when experimenting with the mouse fetal lung 207 single-cell data [29]. Figure 3a shows the dataset clusters visualization using tSNE [33] and the 208 chosen cluster. To synthesize a 3000-point cluster with the same shape to the chosen cluster, we 209 still applied the random-by-rejection [34] as presented in the Material and Method section. Figure 210 **3b** still shows a strong correlation between *m* and RSMD. The detailed result of this simulation 211 could be found at the Supplemental Data 2.

212

213 On the other hand, the PGC approach has the potential to answer whether the marker could 214 identify subpopulations of cells in a cluster. Figure 4a demonstrates the 30000-point cluster with ring-shape $0.25 \le x^2 + y^2 \le 1$, which appears to be a sub-cluster marker. In this case, m = 0.75. In 215 216 this example, RSMD = 0.033 (Figure 4b), which is greater than the RSMD distribution computed 217 from the random and uniformly-distributed cluster with the same *m* (Figure 4c).

218

219 Figure 5 shows a decrease of PGC-RSMD performance in the drop-out scenario. Briefly, the 220 synthetic data has 2 clusters, 250 distinct markers for each cluster. Each gene has a specific drop-221 out rate as presented in the Material and Method section. Using the PGC-RSMD scores in each 222 cluster to differentiate these 500 the cluster-specific markers, we observed that PGC-RSMD 223 achieves very high area-under-receiver-characteristic curve (AUC) (>0.95) when the drop-out 224 probability is small (\leq 5%). However, AUC decreases significantly with the probability of drop-225 out (Figure 5a). This phenomenon further demonstrates the strong association between RSMD 226 and the percentage of expressing-cell. When the drop-out rate increases, the percentage of 227 expressing-cell decreases; therefore, RSMD may mischaracterize a high-dropout marker as non-228 marker.

- 229
- 230
- 231

Case-study: PGC identifies heart muscle cell in neonatal mouse heart single-cell

232 PGC-RSMD detects markers to support cell-type identification in single-cell mouse 233 neonatal heart data

234 Figure 6 summarizes the neonatal mouse heart single-cell data [29] and its 9-cluster markers. 235 Figure 6a visualizes these 9 clusters with tSNE. The PGC-RSMD founds 258 genes, which are 236 the union of the smallest 100-PCG-RSMD genes found in each cluster, marking these clusters

(Supplemental Data 3). Figures 6b and 6c showed that the gene-cluster marker-association reflects
the underlying gene expression in the single-cell data. In these heatmap figures, each row
corresponds to one gene.

240

241 We identified the muscle-cell clusters 1, 4 and 9 by the expression of *Myh7*, *Actc1*, and *Tnnt2*, 242 which strongly express in muscle cell type [40-47] (Figure 7). Compared to the naïve method 243 using the percentage of expressing cell, our PGC-RSMD is significantly better by detecting Actc1, 244 which are missed by the naïve approach (Figure 8). Furthermore, our approach identified Mgrn1 245 [50, 51], Ifitm3 [52], Myl6b [53] marking cluster 1, which could play important roles in cardiac 246 muscle functionality, heart failure, and heart development. These genes are not identified using 247 the naïve approach (Figure 8). On the other hand, among genes having a high percentage of 248 expressing cell, our PGC-RSMD suggests that Ndufa4l2, Mdh2, and Atp5g1 may not be heart 249 muscle cell markers. However, they could suggest a subtype of heart muscle cells (Figure 9). The 250 percentage of expressing cells, PGC-RSMD, statistical p-value and ranks for all genes could be 251 found in Supplemental Data 3.

- 252
- 253

Re-identifying the cells' cluster ID from markers

254 We observe that the markers found by the PGC-RSMD approach achieve better performance 255 than the similar SpatialDE [26] markers, and similar performance to the differentially-expressed-256 gene (DEG) when being used to re-identify cell's cluster ID. Briefly, after computing the visual 257 coordinate and cluster ID of all cells, we randomly split the dataset [29] into the training (80%) 258 and test (20%) sets. We only applied the baseline PGC-RSMD, SpatialDE and DEG approaches 259 to find the markers and built machine learning models to predict the cells' cluster ID from these 260 markers in the training set. In this experiment, we used all genes to train the predictor in the 261 baseline approach. The detailed description of this experiment could be found in the method 262 section. Evaluating the prediction models in the test set, the PGC-RSMD approach performs 263 closely to the DEG; both have cluster ID prediction accuracy above 0.9 and AUC above 0.95 on 264 average (Figure 10). These two approaches significantly outperform SpatialDE, whose accuracy 265 is just above the baseline.

- 266
- 267

268 **Discussion**

269 In this work, we show that integrating the embedded information, which does not often have a 270 deterministic relationship with gene expression and is primarily for clustering a visualization, 271 could lead to new insights to biomarkers in single-cell data. In the mouse neonatal heart case-272 study, our PGC-RSMD approach could recall Actcl as the marker characterizing heart muscle cell. 273 Meanwhile, the approach using the ratio of expressing cell may fail to recall because a large 274 percentage of cells does not capture Actcl transcript. Therefore, our proposed technique has the 275 potential to handle analytical issues due to single-cell data quality, such as short-read and low 276 sequencing depth [54-56]. On the other hand, for genes having high percentage of expressing cell, 277 the PGC approach could further show that these genes may characterize novel cardiac muscle cell 278 sub-types for future studies, such as in *Mdh2* and *Myl6b*. Therefore, we suggest that the biomarker 279 discovery problem could be divided into two sub-problems: the 'global markers' specify cell types 280 and the 'local markers' specify subtypes. We could solve these two sub-problems by the right integration of gene expression and visual information. 281

282

283 In this work, we primarily demonstrate how PGC detects markers for single cluster, which 284 does not need the gene expression from other clusters in the dataset. The approach could be 285 extended to incorporate the 'global' expression as follow. First, a PGC analysis can be performed 286 with marker cells as the foreground and all cells (regardless of their cluster assignments) as the 287 background. Second, a PGC analysis can be performed for each cluster in the dataset 288 independently and compare among the clusters' marker lists. In the neonatal mouse heart case-289 study, this approach shows two types of marker: one expressing globally in all clusters, which are 290 likely heart-tissue specific; the other express locally in one or some specific cluster, which are 291 likely cell-type specific.

292

In addition to our proposed PGC approach, we could apply several alternative strategies to integrate the gene expression and visual information to solve the single-cell biomarker discovery problem. For example, the fractal dimension analysis strategies [57, 58], which focus on evaluating the uniformity of cell-point distribution, could be applied to identify markers in which the expressing cells distribute more densely than they are in the overall cluster. In addition, we could also customize the statistical texture analysis in image processing, such as homogeneity and

299 integrity [59, 60], to analyze the difference between the overall cluster cell-point and cell-300 expressing gene point as the metric to determine markers. On the other hand, choosing the 301 appropriate visual approach depends on the nature of the data and the problem. Our experiment 302 with the re-identifying cluster ID shows that the well-established SpatialDE [26] does not 303 outperform our approach and the DEG approach. One explanation is that in our problem, a good 304 marker for identifying cell type usually follows a good 'default' distribution over the visual space; 305 meanwhile, the SpatialDE aims to find markers that express significantly different from a default 306 distribution.

307

308 The major limitation of our proposed PGC-RSMD approach is the long computational time, 309 especially when comparing to the DEG approaches. This is similar to SpatialDE, which also used 310 visual information to detect marker genes. The DEG approaches may only need to compute one 311 statistical test to determine whether a gene is a marker for all clusters. Meanwhile, to draw the 312 curves, PGC-RSMD would need to compute hundreds to thousands, which depends on the desired 313 curve resolution, to characterize one gene in one cluster. Due to the long computational time, we 314 were not able to create multiple simulations, which is the ideal approach, run to compute the 315 statistical [32] p-value for the RSMD score. Therefore, we decided to reapply the estimation 316 presented to compute the p-value. This approach is computationally more efficient but may not 317 well-reflect the statistical characteristic of the single-cell data. In addition, we have not fully 318 tackled the problem of choosing the right threshold to determine whether a gene expresses in a 319 cell. Because of the strong association between PGC-RSMD and the percentage of expressing-320 cell, we expect that the result would significantly different when choosing a different threshold to 321 determine whether a gene expresses in a cell. In this work, choosing 0 as the threshold still yields 322 good performance because of the high sparsity in the real dataset.

323

324 **Conclusions**

In this work, we have presented Polar Gini Curve, a novel technique to detect markers from the single-cell RNA expression data using visual information. In principle, our technique could complement the state-of-the-art approach: the PGC technique finds markers such that the expressing cells are evenly distributed throughout the cluster space; meanwhile, the state-of-theart approach finds markers assuming a multivariate normal distribution of gene expression in the

visual space. We have demonstrated that the PGC technique performs better in some tasks insingle-cell analysis.

- 332
- 333

334 Authors' contribution

335 TN designed and implemented the core polar Gini algorithm, designed the sampling strategies 336 in the simulation, performed the neonatal heart single-cell case-study, and primarily prepared the 337 manuscript. JJ prepared the software package, preprocessed the single-cell data, and executed the 338 simulation designs. NX designed different simulation scenarios, interpreted the statistical 339 outcomes, and prepared the literature review. JC originated the idea of using Gini coefficient 340 curves to integrate gene expression, cell-point distribution, and cluster shape to solve the 341 biomarker discovery problem, designed the performance evaluation, and supervised the overall 342 technical development. All authors reviewed/revised and approved the manuscript.

- 343
- 344

345 **Competing interests**

- 346 The authors have declared that no competing interests exist.
- 347
- 348

349 Acknowledgments

This work was supported by the 'startup budget' granted to Jake Chen from the University ofAlabama at Birmingham.

- 352
- 353

354 **References**

- Zhu, Z., et al., Single-cell transcriptome in the identification of disease biomarkers:
 opportunities and challenges. J Transl Med, 2014. 12: p. 212.
- Finak, G., et al., MAST: a flexible statistical framework for assessing transcriptional
 changes and characterizing heterogeneity in single-cell RNA sequencing data. Genome
 Biol, 2015. 16: p. 278.

- 360 3. McCarthy, D.J., et al., Scater: pre-processing, quality control, normalization and 361 visualization of single-cell RNA-seq data in R. Bioinformatics, 2017. 33(8): p. 1179-1186.
- 362 4. Poirion, O.B., et al., Single-Cell Transcriptomics Bioinformatics and Computational
 363 Challenges. Front Genet, 2016. 7: p. 163.
- Jaakkola, M.K., et al., Comparison of methods to detect differentially expressed genes
 between single-cell populations. Brief Bioinform, 2017. 18(5): p. 735-743.
- 366 6. Wang, T., et al., Comparative analysis of differential gene expression analysis tools for
 367 single-cell RNA sequencing data. BMC Bioinformatics, 2019. 20(1): p. 40.
- 368 7. Love, M.I., W. Huber, and S. Anders, Moderated estimation of fold change and dispersion
 369 for RNA-seq data with DESeq2. Genome Biol, 2014. 15(12): p. 550.
- Robinson, M.D., D.J. McCarthy, and G.K. Smyth, edgeR: a Bioconductor package for
 differential expression analysis of digital gene expression data. Bioinformatics, 2010.
 26(1): p. 139-40.
- 9. Pezzotti, N., et al., Approximated and User Steerable tSNE for Progressive Visual
 Analytics. IEEE Trans Vis Comput Graph, 2017. 23(7): p. 1739-1752.
- Becht, E., et al., Dimensionality reduction for visualizing single-cell data using UMAP.
 Nat Biotechnol, 2018.
- 377 11. Qiu, X., et al., Reversed graph embedding resolves complex single-cell trajectories. Nat
 378 Methods, 2017. 14(10): p. 979-982.
- 379 12. Yang, Y., et al., SAFE-clustering: Single-cell Aggregated (from Ensemble) clustering for
 380 single-cell RNA-seq data. Bioinformatics, 2019. 35(8): p. 1269-1277.
- 381 13. Kiselev, V.Y., et al., SC3: consensus clustering of single-cell RNA-seq data. Nat Methods,
 382 2017. 14(5): p. 483-486.
- Aibar, S., et al., SCENIC: single-cell regulatory network inference and clustering. Nat
 Methods, 2017. 14(11): p. 1083-1086.
- 385 15. Zheng, G.X., et al., Massively parallel digital transcriptional profiling of single cells. Nat
 386 Commun, 2017. 8: p. 14049.
- 387 16. Satija, R., et al., Spatial reconstruction of single-cell gene expression data. Nat Biotechnol,
 388 2015. 33(5): p. 495-502.
- 389 17. Kiselev, V.Y., T.S. Andrews, and M. Hemberg, Challenges in unsupervised clustering of
 390 single-cell RNA-seq data. Nat Rev Genet, 2019. 20(5): p. 273-282.

- 391 18. Yuan, G.C., et al., Challenges and emerging directions in single-cell analysis. Genome
 392 Biol, 2017. 18(1): p. 84.
- 393 19. Conover, W.J. and W.J. Conover, Practical nonparametric statistics. 1980.
- 394 20. Hollander, M., D.A. Wolfe, and E. Chicken, Nonparametric statistical methods. Vol. 751.
 395 2013: John Wiley & Sons.
- 396 21. Guo, M., et al., SINCERA: A Pipeline for Single-Cell RNA-Seq Profiling Analysis. PLoS
 397 Comput Biol, 2015. 11(11): p. e1004575.
- Birnbaum, Z. On a use of the Mann-Whitney statistic. in Proceedings of the Third Berkeley
 Symposium on Mathematical Statistics and Probability, Volume 1: Contributions to the
 Theory of Statistics. 1956. The Regents of the University of California.
- 401 23. Korthauer, K.D., et al., A statistical approach for identifying differential distributions in
 402 single-cell RNA-seq experiments. Genome Biol, 2016. 17(1): p. 222.
- 403 24. Trapnell, C., et al., The dynamics and regulators of cell fate decisions are revealed by
 404 pseudotemporal ordering of single cells. Nat Biotechnol, 2014. 32(4): p. 381-386.
- 405 25. Kharchenko, P.V., L. Silberstein, and D.T. Scadden, Bayesian approach to single-cell
 406 differential expression analysis. Nat Methods, 2014. 11(7): p. 740-2.
- 407 26. Svensson, V., S.A. Teichmann, and O. Stegle, SpatialDE: identification of spatially
 408 variable genes. Nat Methods, 2018. 15(5): p. 343-346.
- 409 27. Edsgard, D., P. Johnsson, and R. Sandberg, Identification of spatial expression trends in
 410 single-cell gene expression data. Nat Methods, 2018. 15(5): p. 339-342.
- 411 28. Gini, C., Concentration and dependency ratios. Rivista di politica economica, 1997. 87: p.
 412 769-792.
- 413 29. Han, X., et al., Mapping the Mouse Cell Atlas by Microwell-Seq. Cell, 2018. 173(5): p.
 414 1307.
- 415 30. Strang, G., et al., Introduction to linear algebra. Vol. 3. 1993: Wellesley-Cambridge Press
 416 Wellesley, MA.
- 417 31. Hyndman, R.J. and A.B. Koehler, Another look at measures of forecast accuracy.
 418 International journal of forecasting, 2006. 22(4): p. 679-688.
- 419 32. Yue, Z., et al., WIPER: Weighted in-Path Edge Ranking for biomolecular association
 420 networks. Quantitative Biology, 2019. 7(4): p. 313-326.

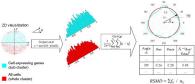
- 421 33. Maaten, L.v.d. and G. Hinton, Visualizing data using t-SNE. Journal of machine learning
 422 research, 2008. 9(Nov): p. 2579-2605.
- 423 34. Bishop, C.M., Pattern recognition and machine learning. 2006: springer.
- 424 35. MathWorks. Matlab inpolygon. 2019 2019/06/05]; Available from:
 425 https://www.mathworks.com/help/matlab/ref/inpolygon.html.
- 426 36. MathWorks. Matlab boundary. 2019 2019/06/05]; Available from:
 427 https://www.mathworks.com/help/matlab/ref/boundary.html.
- 428 37. Baruzzo, G., I. Patuzzi, and B. Di Camillo, SPARSim Single Cell: a count data simulator
 429 for scRNA-seq data. Bioinformatics, 2019.
- 430 38. Ester, M., et al. A density-based algorithm for discovering clusters in large spatial
 431 databases with noise. in Kdd. 1996.
- 432 39. MathWorks. Matlab dbscan. 2019; Available from:
 433 <u>https://www.mathworks.com/help/stats/dbscan.html</u>.
- 434 40. Bashyam, M.D., et al., Molecular genetics of familial hypertrophic cardiomyopathy (FHC).
 435 J Hum Genet, 2003. 48(2): p. 55-64.
- 436 41. Finsterer, J., C. Stollberger, and J.A. Towbin, Left ventricular noncompaction
 437 cardiomyopathy: cardiac, neuromuscular, and genetic factors. Nat Rev Cardiol, 2017.
 438 14(4): p. 224-237.
- 439 42. Keren, A., P. Syrris, and W.J. McKenna, Hypertrophic cardiomyopathy: the genetic
 440 determinants of clinical disease expression. Nat Clin Pract Cardiovasc Med, 2008. 5(3): p.
 441 158-68.
- 442 43. Morita, H., et al., Shared genetic causes of cardiac hypertrophy in children and adults. N
 443 Engl J Med, 2008. 358(18): p. 1899-908.
- 444 44. Jiang, H.K., et al., Reduced ACTC1 expression might play a role in the onset of congenital
 445 heart disease by inducing cardiomyocyte apoptosis. Circ J, 2010. 74(11): p. 2410-8.
- 446 45. Kwon, C., et al., A regulatory pathway involving Notch1/beta-catenin/Isl1 determines
 447 cardiac progenitor cell fate. Nat Cell Biol, 2009. 11(8): p. 951-7.
- 448 46. Wei, B. and J.P. Jin, TNNT1, TNNT2, and TNNT3: Isoform genes, regulation, and 449 structure-function relationships. Gene, 2016. 582(1): p. 1-13.

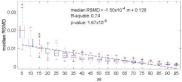
450	47.	Ju, Y., et al., Troponin T3 expression in skeletal and smooth muscle is required for growth
451		and postnatal survival: characterization of Tnnt3(tm2a(KOMP)Wtsi) mice. Genesis, 2013.
452		51(9): p. 667-75.
453	48.	Russell, S. and P. Norvig, Artifical Intelligence: A Modern Approach. 2003. Prentice Hall,
454		Upper Saddle River, New Jersey.
455	49.	Mehta, C.R. and N.R. Patel, A network algorithm for performing Fisher's exact test in $r \times c$
456		contingency tables. Journal of the American Statistical Association, 1983. 78(382): p. 427-
457		434.
458	50.	Mukherjee, R. and O. Chakrabarti, Regulation of Mitofusin1 by Mahogunin Ring Finger-
459		1 and the proteasome modulates mitochondrial fusion. Biochim Biophys Acta, 2016.
460		1863(12): p. 3065-3083.
461	51.	Liu, X., et al., Differential microRNA Expression and Regulation in the Rat Model of Post-
462		Infarction Heart Failure. PLoS One, 2016. 11(8): p. e0160920.
463	52.	Lau, S.L., et al., Interferons induce the expression of IFITM1 and IFITM3 and suppress
464		the proliferation of rat neonatal cardiomyocytes. J Cell Biochem, 2012. 113(3): p. 841-7.
465	53.	Wang, L., et al., Mutations in myosin light chain kinase cause familial aortic dissections.
466		Am J Hum Genet, 2010. 87(5): p. 701-7.
467	54.	Shalek, A.K., et al., Single-cell RNA-seq reveals dynamic paracrine control of cellular
468		variation. Nature, 2014. 510(7505): p. 363-9.
469	55.	Rizzetto, S., et al., Impact of sequencing depth and read length on single cell RNA
470		sequencing data of T cells. Sci Rep, 2017. 7(1): p. 12781.
471	56.	McDavid, A., et al., Data exploration, quality control and testing in single-cell qPCR-based
472		gene expression experiments. Bioinformatics, 2013. 29(4): p. 461-7.
473	57.	Fortin, C., et al., Fractal dimension in the analysis of medical images. IEEE Engineering
474		in Medicine and Biology Magazine, 1992. 11(2): p. 65-71.
475	58.	Davies, S. and P. Hall, Fractal analysis of surface roughness by using spatial data. Journal
476		of the Royal Statistical Society: Series B (Statistical Methodology), 1999. 61(1): p. 3-37.
477	59.	Bharati, M.H., J.J. Liu, and J.F. MacGregor, Image texture analysis: methods and
478		comparisons. Chemometrics and intelligent laboratory systems, 2004. 72(1): p. 57-71.

479	60.	Kunimatsu, A., et al., Comparison between glioblastoma and primary central nervous		
480		system lymphoma using MR image-based texture analysis. Magnetic Resonance in		
481		Medical Sciences, 2017: p. mp. 2017-0044.		
482				
483				
484	Figu	re legends		
485	Figur	e 1. Overall workflow to compute the PGC-RSMD metric for one gene in one cluster of cells.		
486	Here, the data points, histogram, and PGC for cells expressing the gene are cyan. The ones for the			
487	whole cells in the cluster are red.			
488				
489	Figur	e 2. Boxplot showing a strong correlation between 'subcluster' percentage (m) and cluster-		
490	subcl	uster PGC fitness (RSMD) in uniformly-distributed and a circular cluster.		
491				
492	Figur	e 3. a) The selected cluster for the experiment in [29]. b) Correlation between 'subcluster'		
493	perce	ntage (m) and cluster-subcluster PGC fitness (RSMD) in the selected cluster.		
494				
495	Figur	e 4. The ring-shape simulation study: a) Visualization of the cluster and ring-shape sub-		
496	cluster ($m = 0.75$); b) PGC yield RSMD = 0.033; c) Distribution of RSMD, extracted from Figure			
497	2 with	n $m = 75\%$, when the sub-cluster uniformly distributed on the cluster area.		
498				
499	Figur	e 5. PGC-RSMD performance in recalling cluster marker in drop-out simulation. a) heatmap		
500	showing the simulation design of 500 markers and 4500 neutral genes, with drop out / percentag			
501	of cell expressing between 5 and 100%; b) The simulation data 2D visualization; c) the AUC drop			
502	when	drop-out increases.		
503				
504	Figure 6. The result from mouse neonatal heart single-cell [29] analysis. a) the tSNE plot shows			
505	cluste	ers. b) gene-cluster marker relationship (from 258 genes) found by PGC-RSMD; gene is		
506	found	l as marker, 🔁 gene is found as non-marker. c) expression heatmap for these genes.		
507				
508	Figur	e 7: Heart muscle cell clusters, identified by Myh7, Actc1, and Tnnt2		
509				

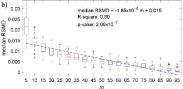
- 510 Figure 8. PGC-RSMD highlight makers that do not have high percentage of expressing cells: PGCs
- 511 of Actc1, Mgrn1, Ifitm3, Myl6b in cluster 1. The numbers in the parenthesis are ranks of these
- 512 genes in each metric
- 513
- 514 Figure 9. PGC-RSMD shows that gene haves high percentage of expressing cells: *Ndufa4l2*, *Mdh2*,
- and *Atp5g1*, may not be markers in cluster 1. These genes appear to highlight a local subcluster.
- 516 The numbers in the parenthesis are ranks of these genes in each metric.
- 517
- 518 Figure 10. Performance of the PGC-RSMD, SpatialDE, and DEG in re-identifying the cell's
- 519 cluster ID problem using dataset [26]. The x-axis shows the number of top-significant markers
- 520 being selected to train the prediction models. a) accuracy; b) AUC over 9 clusters.

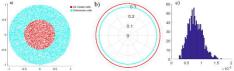
521

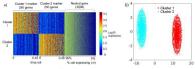




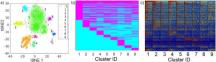






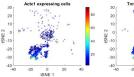






















Actc1, PGCs in cluster 1



Mgrn1, PGCs in cluster 1



lfitm3, PGCs in cluster 1



Myl6b, PGCs in cluster 1



