

1 **SCLC_CellMiner: Integrated Genomics and Therapeutics Predictors of Small Cell Lung**
2 **Cancer Cell Lines based on their genomic signatures**

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33 **Summary**

34 Model systems are necessary to understand the biology of SCLC and develop new therapies against
35 this recalcitrant disease. Here we provide the first online resource, CellMiner-SCLC
36 (<https://discover.nci.nih.gov/SclcCellMinerCDB>) incorporating 118 individual SCLC cell lines
37 and extensive omics and drug sensitivity datasets, including high resolution methylome performed
38 for the purpose of the current study. We demonstrate the reproducibility of the cell lines and
39 genomic data across the CCLE, GDSC, CTRP, NCI and UTSW datasets. We validate the SCLC
40 classification based on four master transcription factors: NEUROD1, ASCL1, POU2F3 and YAP1
41 (NAPY classification) and show transcription networks connecting each them with their
42 downstream and upstream regulators as well as with the NOTCH and HIPPO pathways and the
43 MYC genes (MYC, MYCL1 and MYCN). We find that each of the 4 subsets express specific
44 surface markers for antibody-targeted therapies. The SCLC-Y cell lines differ from the other
45 subsets by expressing the NOTCH pathway and the antigen-presenting machinery (APM), and
46 responding to mTOR and AKT inhibitors. Our analyses suggest the potential value of NOTCH
47 activators, YAP1 inhibitors and immune checkpoint inhibitors in SCLC-Y tumors that can now be
48 independently validated.

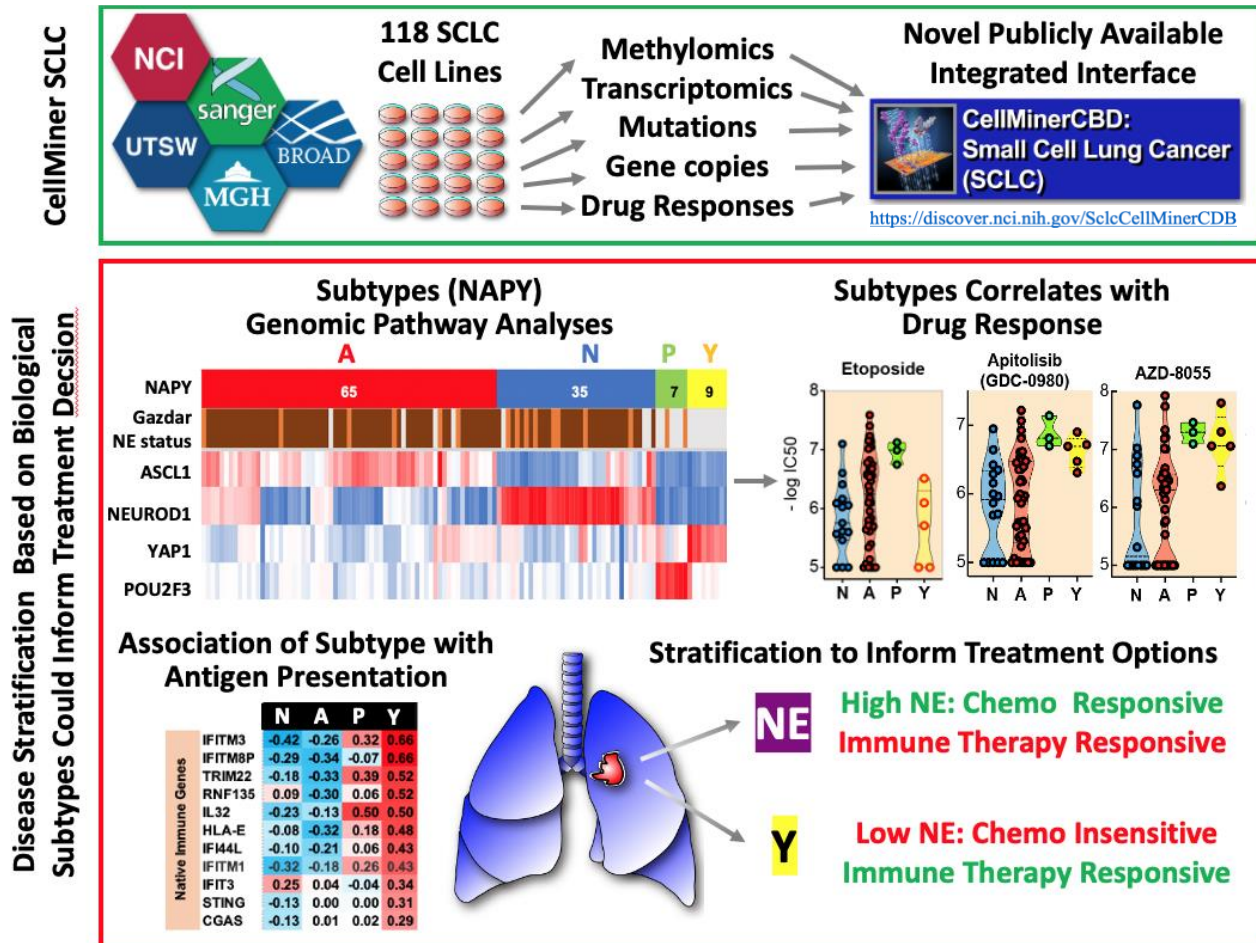
49
50 **Keywords**

51 Small cell lung cancer, transcriptome, DNA methylation, epigenetic, drug response, YAP1,
52 immunotherapy, neuroendocrine tumors

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Graphical Abstract



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58 Highlights

- 59 • SCLC-CellMiner provides the most extensive SCLC resource in terms of number of cell lines
60 (118 cell lines), extensive omics data (exome, microarray, RNA-seq, copy number,
61 methylomes and microRNA) and drug sensitivity testing.
- 62 • We find evidence of distinct epigenetic profile of SCLC cell lines (global hypomethylation
63 and histone gene methylation), which is consistent with their plasticity.
- 64 • Transcriptome analyses demonstrate the coherent transcriptional networks associated with the
65 4 main genomic subgroups (NEUROD1, ASCL1, POU2F3 & YAP1 = NAPY classification)
66 and their connection with the NOTCH and HIPPO signaling pathways.
- 67 • SCLC-CellMiner provides a conceptual framework for the selection of therapies for SCLC in
68 a personalized fashion allowing putative biomarkers according molecular classifications and
69 molecular characteristics.
- 70 • SCLC-Y cell lines differ from the other cancer cell lines; their transcriptome resemble
71 NSCLC cell lines. YAP1 cell lines while being the most resistant to standard of care
72 treatments (etoposide, cisplatin and topotecan) respond to mTOR and AKT inhibitors and
73 present native immune predisposition suggesting sensitivity to immune checkpoint inhibitors.

74 Introduction

75 Lung cancer is the leading cause of cancer death worldwide. Although small cell lung cancer
76 (SCLC) represents only 15% of all lung cancers, it accounts for more than 30,000 cases in the US
77 alone and has the most aggressive clinical course with most patients presenting with widely
78 metastatic disease and a median survival of 10-12 months (Wang et al., 2017). The diagnosis of
79 SCLC is based on histological features including dense sheets of small cells with scant cytoplasm,
80 ill-defined borders and nuclei with finely granular chromatin lacking prominent nucleoli (Gazdar
81 et al., 2017; Hann et al., 2019; Rudin et al., 2019). Immunohistochemistry shows high Ki-67,
82 consistent with rapid cellular proliferation generally driven by high MYC oncogenic expression
83 together with tumor suppressor RB1 and TP53 inactivation (Gazdar et al., 2017). Unlike the
84 increasingly personalized treatment approaches for non-small cell lung cancer (NSCLC), SCLC is
85 currently treated as a homogeneous disease (Hann et al., 2019; Rudin et al., 2019; Thomas and
86 Pommier, 2016). The typical low life expectancy for a patient diagnosed with SCLC and the
87 options for therapy (platinum-etoposide combination as first line therapy and topotecan at relapse)
88 remain limited, causing the National Cancer Institute (NCI) to categorize SCLC as a “recalcitrant”
89 cancer.

90 Most SCLC tumors are characterized by their neuroendocrine differentiation, which can be
91 histologically visualized using a panel of markers including synaptophysin (SYP), chromogranin
92 A (CHGA), NCAM1 and insulinoma-associated protein 1 (INSM1) (Gazdar et al., 2017; Hann et
93 al., 2019; McColl et al., 2017). Yet, a smaller subset of SCLC is negative for the standard
94 neuroendocrine markers (Gazdar et al., 2017; Guinee et al., 1994; Hann et al., 2019; McColl et al.,
95 2017). Hence, SCLCs have been historically defined as “classic” (neuroendocrine: NE) or “variant”
96 (non-neuroendocrine: non-NE) (Gazdar et al., 2017; Gazdar et al., 1985; Rudin et al., 2019).
97 Ongoing efforts are designed to categorize the molecular subtypes of SCLCs (Gazdar et al., 2017;
98 George et al., 2015; McColl et al., 2017; Rudin et al., 2019) and to rationalize novel therapeutic
99 approaches based on molecular genomic characteristics of the disease (Gardner et al., 2017;
100 McColl et al., 2017; Thomas and Pommier, 2016).

101 To discriminate NE and non-NE SCLC, Gazdar *et al.*, proposed a classification based on
102 the expression of 50 genes including *ASCL1* (achaete-scute homolog 1) and *NEUROD1*
103 (neurogenic differentiation factor 1), which are key transcription factors binding to E-box-
104 containing promoter consensus core sequences 5'-CANNTG. *ASCL1* and *NEUROD1* drive the
105 maturation of neuroendocrine cells of the lung (Borges et al., 1997; Ito et al., 2000; Neptune et al.,
106 2008) and are highly expressed in NE SCLCs (Zhang et al., 2018). A consensus nomenclature for
107 four molecular subtypes has been recently proposed based on differential expression of two
108 additional transcription factors, *YAP1* (Yes-Associated Protein 1) and *POU2F3* (POU class 2
109 homeodomain box 3) for the non-NE SCLC subtype (Rudin et al., 2019). *POU2F3* encodes a
110 member of the POU domain family of transcription factors normally expressed in rare
111 chemosensory cells of the normal lung epithelium (tuft cells) and of the gastrointestinal track
112 (Huang et al., 2018). Selective expression of *POU2F3* was identified recently by CRISPR screening
113 in a subset of SCLC cells that lack NE features (Huang et al., 2018). *YAP1*, a key mediator of the
114 Hippo signaling pathway, was discovered as being reciprocally expressed relative to the
115 neuroendocrine transcription factor *INSM1* (McColl et al., 2017). Hence, it has now been proposed
116 to classify SCLCs into 4 groups based on the expression of *NEUROD1*, *ASCL1*, *POU2F3* and
117 *YAP1* (Rudin et al., 2019). For short, we will refer to this classification as “NAPY”
118 ((N=*NEUROD1*, A=*ASCL1*, P=*POU2F3* and Y= *YAP1*) in the present study.

119 Genomic initiatives have accelerated the pace of discovery for many cancers (Cancer
120 Genome Atlas Research, 2012, 2014). Unfortunately, the TCGA was not extended to SCLC
121 because of a lack of readily accessible and adequate tumor tissue, as most patients are diagnosed
122 with SCLC by fine-needle aspiration, while surgically resected specimens are relatively rare.
123 Further underscoring this issue, comprehensive genomic and transcriptomic data is available only
124 for less than 250 SCLC tumors to date. Nevertheless, SCLC research has benefited from the
125 systematic collection of a large number of tumor cell lines; most of them developed at the US
126 National Cancer Institute (NCI) in the NCI-VA/NCI-Navy Medical Oncology Branches (Carney
127 et al., 1985; Gazdar et al., 1985). This collection has been distributed widely, and detailed genetic
128 and pharmacological annotation available from several groups including the NCI, the Broad-MIT
129 and the Sanger/MGH (Barretina et al., 2012; Garnett et al., 2012; Polley et al., 2016). Yet, in spite
130 of large number of cell lines and drugs profiled (Figure 1), the data are accessible only from
131 different platforms making it challenging to systematically translate and integrate genomic data
132 into knowledge of SCLC tumor biology and therapeutic possibilities. Additionally, a number of
133 SCLC cell lines generated by the Minna-Gazdar group at UT Southwestern Medical Center
134 (McMillan et al., 2018) had not been integrated in the preexisting NCI, Broad Institute
135 (CCLE/CTRP) and Sanger-Massachusetts General Hospital (GDSC) databases.

136 To substantially extend our understanding of the genomic features of SCLC, we performed
137 genome-wide DNA methylation at single-base resolution by Illumina Methylation 850k analysis
138 on the NCI set of 68 SCLC cell lines and whole genome RNA-seq for 72 cell lines of the UTSW
139 set. We also integrated these data in a global drug and genomic database (SCLC_Global)
140 encompassing a total of 118 individual SCLC cell lines. This enabled us to enrich for the least
141 represented SCLC subtypes, which are the non-NE YAP1 and POU2F3 subtypes and to further
142 analyze the genomic and drug response characteristics of the YAP1 subgroup compared to the
143 classical neuroendocrine NEUROD1 and ASCL1 subtypes of SCLC. The integrated data are
144 available from the web-based tool, which we refer to as SCLC-CellMinerCDB
145 (<https://discover.nci.nih.gov/ScLcCellMinerCDB/>).

146

147 **Results**

148

149 **SCLC-CellMinerCDB Resource**

150 SCLC-CellMinerCDB integrates genomic and drug activity data for total of 118 molecularly
151 characterized SCLC cell lines (Figure 1) including 68 from the NCI (Polley et al., 2016), 74 from
152 the GDSC (Garnett et al., 2012), 53 from the CCLE, 39 from the CTRP (Barretina et al., 2012) and
153 73 from UT Southwestern (UTSW) (Gazdar et al., 2010). Details for each cell line (source of the
154 cell lines with patient characteristics and main genomic features and classification) is provided in
155 Supplemental Table S1. Among those 118 SCLC cell lines, 17 (14%) are in all five data sources,
156 20 (17%) are in four data sources, 23 (20%) in three data sources, 15 (13%) in two data sources
157 while 43 (36%) are present in only one data source (Figure 1A and Supplemental Table S2).

158 Our integrated resource includes new data obtained by performing high resolution whole
159 genome methylome and copy number analyses for 66 cell lines as well as whole genome-level
160 transcriptome by RNA-seq for 72 cell lines. Data first made available here are highlighted with
161 yellow background in Figure 1B. SCLC-CellMinerCDB also makes accessible whole exome
162 mutation data for 12,537 genes across 72 cell lines of the UTSW SCLC database in addition to the

163 previously released whole exome sequencing data for 52 cell lines from CCLE and 62 cell lines
164 from GSDC.

165 The range of tested clinical drugs and investigational compounds in each dataset and across
166 data sources is summarized in Figure 1D. The NCI database provides the largest number of tested
167 compounds (N = 526), followed by the CTRP (N = 481), GDSC (N = 297) and CCLE (N = 224).
168 The overlap between tested compound across the data sources is also shown in Figure 1D.

169 SCLC_CellMiner allows multiple analyses listed in Table 1. They include confirming cell
170 line consistency and identity across datasets, drug activity reproducibility across datasets,
171 determinants of gene expression (based on DNA copy number, promoter methylation and
172 microRNA expression), exploration and validation of genomic networks, classification of the cell
173 lines based on metadata such as the NAPY, epithelial mesenchymal (EMT) and antigen presenting
174 machinery (APM) scores and the validation and discovery of drug response determinants.

175 176 **Data Validation, Cross-Database (CDB) Analyses and CellMinerCDB Univariate Analyses**

177 Cross comparison for matched cell lines between databases was used to validate the new NCI-
178 SCLC methylome (850K Illumina array) by comparison with the published SCLC data of GDSC
179 (450K array) (Rajapakse et al., 2018). The comparison yielded remarkably high overall correlation
180 with a median of 0.92 for 7,246 common genes with wide expression range for the 43 common
181 cell lines (Figure 2A). Cross-correlation of the new RNA-seq data from UTSW with other gene
182 expression data (microarray and RNA-seq) were also highly significant albeit with lower median
183 correlations (Figure 2A). These data demonstrate the high reproducibility of the new data (NCI
184 methylome and UTSW RNA-seq) (McMillan et al., 2018) across independent databases and the
185 similarity of cell lines grown at different institutions and analyzed independently with different
186 technical platforms (RNA-seq vs microarray, 850k vs 450k methylome arrays).

187 Measurement reproducibility across pharmacogenomic datasets can instantly be
188 performed and displayed using CellMinerCDB (<https://discover.nci.nih.gov/ScLcCellMinerCDB/>)
189 by plotting the same gene (expression, copy number or methylation), drug or microRNA on the
190 x-Axis and the y-Axis. Expression of *Schlafen 11* (*SLFN11*), a gene whose expression is highly
191 predictive of cytotoxic response to a broad range of DNA targeted agents including frontline
192 treatments of SCLC (etoposide, topotecan, cis- and carboplatin) as well as drugs under
193 investigation such as the poly(ADP-ribose polymerase) inhibitors (olaparib, niraparib, rucaparib,
194 talazoparib) (Barretina et al., 2012; Farago et al., 2019; Gardner et al., 2017; Murai et al., 2019;
195 Reinhold et al., 2017a; Zoppoli et al., 2012) measured by RNA-seq in the UTSW database shows
196 a 0.92 Pearson's correlation with its measured values by Affymetrix microarray in the NCI
197 database (Figure 2B). *SLFN11* promoter DNA methylation measured by high resolution Illumina
198 850k arrays in the NCI database also shows a 0.9 Pearson's correlation with its measured values
199 by Illumina 450k microarray in the GDSC database (Figure 2C).

200 The other examples of cross-database analyses in Figure 2 are for *MYC*, which is commonly
201 amplified and drives proliferation of a large fraction of SCLC (Dammert et al., 2019; Gazdar et al.,
202 2017), *BCL2*, which encodes a canonical antiapoptotic protein targeted by Navitoclax (ABT-263)
203 (Rudin et al., 2012) and for two SCLC drugs etoposide and topotecan. *MYC* amplification (by 850k
204 methylome array in NCI) is correlated with its overexpression (by RNA-seq in CCLE) (Figure 2D).
205 The activity of navitoclax is correlated with *BCL2* expression, suggesting *BCL2* addiction for the
206 cells overexpressing *BCL2*. Drug activity data for etoposide are correlated in the NCI and CTRP

207 databases (note that drug activity was measured by different assays in each database; Rajapakse et
208 al. (2018)). The cells most sensitive or resistant to etoposide overlap for topotecan.

209 Integrating the broader CellMinerCDB database (<http://discover.nci.nih.gov/cellminerfdb>)
210 of over 1000 cell lines including 74 and 53 SCLC cell lines in GDSC and CCLE (see Figure 1A)
211 allows comparison between tissue of origin using CellMinerCDB (Rajapakse et al., 2018). For
212 instance, the expression of *MYC* is correlated with the replication processivity factor *PCNA*
213 (Proliferating Cell Nuclear Antigen) in SCLC (green) vs. other tissues including NSCLC (red),
214 consistent with the replicative genotype of SCLC based on their high *PCNA* expression (alike
215 leukemia and lymphoma cell lines) compared to NSCLC. Yet, high *MYC* expression is a feature of
216 both the SCLC and NSCLC cell lines.

217

218 **SCLC Methylome**

219 Two prior studies described the DNA methylation profiles of SCLC with limited data for
220 established cell lines; 18 cell lines were examined by Kalari et al. (2013) and 7 by Poirier et al.
221 (2015) together with primary tumors and PDX samples. Here we determined the methylome of the
222 66 cell lines of the NCI and processed the methylome data for the whole 985 GDSC cancer cell
223 line dataset including its 61 SCLC cell lines. The data are highly reproducible in the two datasets
224 for the 43 common cell lines (see Figure 2A and 2C). Thus, the SCLC-CellMiner resource provides
225 the largest promoter methylation database for a total of 84 individual SCLC cell lines (43 common
226 + 23 specific to NCI-SCLC + 18 specific to GDSC).

227 *Globally low methylation levels of SCLC cell lines*

228 Global methylation levels showed marked differences between the SCLC cell lines and the other
229 cancer cell lines from different histologies. The median level of global methylation of the SCLC
230 cell lines is the lowest compared with 21 subtypes of cancers (Figures 3A-B), which may reflect
231 their intrinsic plasticity and stemness.

232 Yet, expression of some key SCLC genes is driven by promoter methylation, such as *ASCL1*
233 and *NEUROD1* (Supplemental Figure S1). Cells not expressing those genes tend to be
234 overmethylated. Conversely, cells expressing *ASCL1*, *NEUROD1*, *YAP1* and *POU2F3* have no
235 significant promoter methylation. Yet, hypermethylation is not detectable in a number of cell lines
236 that do not express those genes implying that further studies are warranted to examine other
237 epigenetic markers (likely histone marks) as regulators of SCLC gene expression.

238

239 *SCLC cell lines have a distinct methylome*

240 To determine the methylation signature of the SCLC cell lines and differences with other cancer
241 types, we compared the DNA methylation profiles of the NCI-SCLC to the methylation profiles of
242 the NCI-60 (which includes 7 tissues of origin with 6 NSCLC cell lines but no SCLC cell lines)
243 and of 75 NSCLC cell lines of the GDSC. After selecting a total of 2,016 genes with the most
244 variable methylation (standard deviation > 0.25), we performed hierarchical clustering (Figure 3C).
245 All the SCLC cell lines segregated together, while the NSCLC cell lines (N = 83 from GDSC and
246 NCI-60) formed 4 clusters interrupted by SCLC cell lines (Figure 3C). The 2,016 genes clustered
247 into three main groups: i) genes hypomethylated in SCLC cell lines (clusters 1,2 and 4), ii) genes
248 hypermethylated in SCLC cell lines (cluster 5), and iii) genes with high methylation range in all
249 cell lines independent of their tissue of origin (cluster 3). The detailed list of the genes in each
250 cluster is provided in Supplemental Table S3.

251 Pathway analysis of the 1,030 specifically hypomethylated genes (clusters 1 + 2) shows an
252 enrichment of neurological as well as extracellular matrix (ECM) pathways (Figure 3D and
253 Supplemental Table 3), consistent with the neuroendocrine and cell aggregation features of the
254 classic SCLC cell lines (Gazdar et al., 2017). Among these neuroendocrine (NE) genes, figure
255 *ASCLI*, *CHGA* and *INSM1*, which is consistent with their expression. Many genes involved in
256 epithelial–mesenchymal transition (EMT) (Kohn et al., 2014) also tend to be hypomethylated in
257 the SCLC cell lines including *CLDN7*, *ESRP2*, *MARVELD2*, *PRSS8*, *ST14*, *IRF6*, *GRHL2*, *CLDN4*,
258 *EHF*, *ADAPI* and *CMTM3*. Most of the EMT genes belong to cluster 4 and are also
259 hypomethylated in the NSCLC cell lines.

260 Analysis of the 238 genes selectively hypermethylated in SCLC (cluster 5) shows a
261 significant representation of the beta-catenin/Tcf transaction and Wnt signaling pathway as well as
262 genes involved in lipid metabolism by peroxisome proliferation-activated receptor alpha (PPAR α)
263 (Figure 3E). *YAP1* and *ERBB2* are also hypermethylated in most cell lines, as well as a large
264 fraction of the canonical histone genes.

265 *Expression of histone and epithelial genes is highly driven by methylation in SCLC cell lines*

266 To further determine gene categories driven by promoter methylation, we compared the gene
267 expression and methylation pattern of functional groups (Reinhold et al. (2017c); Supplemental
268 Table S4). Two functional gene categories showed strong correlation between methylation and
269 expression: epithelial and histone genes (Figure 3F), with 25 and 75 genes, respectively. The
270 median correlation was - 0.53 for the epithelial genes and - 0.50 for the histone genes.

271 Analysis of individual genes (Figure 3G) confirmed that histone genes are dominantly
272 regulated by methylation in SCLC. Among the 62 canonical histone genes with available data, 21
273 belong to H2A core histone family, 18 to H2B core histone family, 14 to H3 core histone family,
274 13 to H4 core histone family and 9 to the H1 linker family. Among the 13 non-canonical histones,
275 4 are replication independent histones (*H1FO*, *H1FNT*, *H1FOO*, *H1FX*) and replacements of H1
276 histone. Their transcription is independent of DNA replication and they are expressed throughout
277 the cell cycle in a tissue specific manner. The remaining are variants from core histones (*H2AFJ*,
278 *H2AFX*, *H2AFY2*, *H2AFY*, *H3F3C*, *H3F3B*, *H2AFV*, *H2AFZ*). Unlike canonical histones that
279 function primarily in genome packaging and gene regulation, variant histones distinct function
280 including DNA repair, meiotic recombination and chromosome segregation (Buschbeck and Hake,
281 2017). Canonical histones showed the highest correlation between expression and methylation
282 suggesting that epigenetic regulation of canonical histone is a feature of SCLC carcinogenesis. On
283 the contrary, we find that the expression of the non-canonical histones is inconsistently driven by
284 methylation suggesting a higher dynamic state across the SCLC cell lines.

285 Detailed analysis of the macroH21 variant *H2AFY* using the RNA-seq data from the UTSW
286 database revealed that SCLC cell lines predominantly express the macroH2A1.2 variant compared
287 to the macroH2A1.1 variant. The macroH2A1.2 splice variant is known to promote homologous
288 recombination and is essential for proliferation (Kim et al., 2018). This finding is consistent with
289 the characteristically high proliferation of SCLC cell lines, which is regulated by methylation and
290 epigenetics in addition to *RBI* and *TP53* inactivation and *MYC* oncogene overexpression.

291 **SCLC DNA Copy Number vs Methylome as Drivers of Gene Expression**

292 To determine how gene copy number and promoter methylation account for gene expression in the
293 SCLC cell lines, we analyzed whole-genome DNA copy number data and correlated the expression
294

295 of each gene with DNA copy number (x-axis) and methylation (y-axis) (Figure 3G) (Reinhold et
296 al., 2017c). 84% of the genes showed positive correlation with copy number and 65% negative
297 correlation with DNA methylation. Consistent with the pathway analyses (Figure 3F), epithelial
298 (green) and histone genes (red) were most consistently driven by promoter methylation.
299 Correlations for individual genes between methylation and expression can be readily checked
300 using SCLC-CellMiner (<https://discover.nci.nih.gov/SclcCellMinerCDB/>). Snapshot examples of
301 genes driven by methylation (*NEUROD1*, *ASCL1*, *POU2F3*, *YAP1*, *SLFN11*, *SMARCA1*, *SOX1*
302 and *CGAS*) are presented in Supplemental Figure S1. Genes exhibiting low or no expression did
303 not show a consistent correlation with promoter hypermethylation, consistent with diverse
304 mechanisms for inhibiting gene expression. For each gene, CellMinerCDB allows the identification
305 of cell lines with methylation-dependent and independent gene expression for further molecular
306 and mechanistic studies.

307 Unlike the histone and epithelial genes, which are primarily driven by DNA methylation,
308 the expression of key SCLC growth-driving genes, such as the oncogenes (*MYC*, *MYCL*, *MYCN*,
309 *AKT1*) the tumor suppressor genes (*CDKN2A*, *BAP1*, *VHL*) and the chromatin remodeler genes
310 (*EP300* and *CREBBP*) are mainly driven by DNA copy-number alterations (Figure 3G). R values
311 for any gene of interest (with data) are provided in Supplemental Table S5. Examples of
312 CellMinerCDB snapshots are provided in Supplemental Figure S2 for *MYC*, *MYCL* and *MYCN*,
313 *BAP1* and *VHL*, whose expression is driven by copy number changes but not by DNA methylation.

314

315 **SCLC-Global Integrates the Transcriptome of all 116 SCLC Cell Lines**

316 To take advantage of all 116 cell lines with expression data by microarray or/and RNA-seq across
317 the five data sources (Figure 1), we regrouped them by normalization using Z-score to remove
318 dataset batch effects. Principal component and correlation analyses validated the approach
319 (Supplemental Figure S3A-C). The data are available under “SCLC Global” at
320 <https://discover.nci.nih.gov/SclcCellMinerCDB/> in the pull-down tab for the “x- and y-Axis Cell
321 Line Set”. For instance, the correlation for *ASCL1* expression in the “SCLC-Global” vs SCLC
322 NCI/DTP gives a Pearson’s correlation coefficient of 0.99 with a p-value=1.9e-55. SCLC-Global
323 offers many other features including cross-correlation with other databases for DNA methylation,
324 DNA copy number, DNA Mutation, MicroRNA or Drug Activity.

325 *SCLC-Global* gene expression tools can be used to retrieve all the genes correlated with the
326 expression of any given gene. For instance, for the *MYCN* gene (Supplemental Figure S4A-C), the
327 top correlate (Pearson’s correlation coefficient 0.967) is *MYCNOS*, the *MYCN Opposite Strand*
328 antisense RNA. The data for individual cell lines can also be visualized by plotting *MYCNOS*
329 against *MYCN* in the SCLC-Global database (Supplemental Figure S4B). Notably plotting *MYCN*
330 vs *MYCNOS* in the CCLE database using CellMinerCDB extends the finding that *MYCN* is co-
331 expressed with its antisense RNA in both SCLC and brain tumor cell lines (Pearson’s correlation
332 coefficient 0.81; Supplemental Figure S4C).

333

334 **SCLC Molecular Signatures: NE, NAPY and MYC Signatures**

335 Next, we tested the *SCLC-global* gene expression data to explore and validate the recently
336 established molecular signatures of SCLCs (Rudin et al., 2019). As indicated previously, SCLC
337 can be classified as neuroendocrine (NE) or non-neuroendocrine (non-NE) with only 10-25% being

338 non-neuroendocrine as defined by lack of expression of key neuroendocrine markers (Gazdar et
339 al., 2017; Gazdar et al., 1985; McColl et al., 2017; Rudin et al., 2019; Zhang et al., 2018).

340 Using the *SCLC-Global* dataset, we scored the 116 cell lines based on the classification of
341 Gazdar and coworkers (Augustyn et al., 2014; Zhang et al., 2018), which uses the expression values
342 of 50 genes to calculate a NE score. This NE score is highly correlated with the expression of *SYP*
343 (encoding Synaptophysin), *CHGA* (encoding Chromogranin A), and *INSM1* (encoding Insulinoma
344 Transcriptional Repressor) (Figure 4A), which are used in routine diagnosis to establish the NE
345 characteristics of SCLC biopsies. To explore the selectivity of these genes for SCLC cell lines, we
346 examined the large collection of cell lines of the GDSC and CCLE (Rajapakse et al., 2018). *CHGA*,
347 *INSM1* and *SYP* were selectively expressed both in SCLC and brain tumors, which is consistent
348 with the neuronal differentiation of SCLC (Supplemental Figure S5A-B). Moreover, the NE-SCLC
349 cell lines, which can be readily labeled in SCLC-CellMinerCDB under the “Select Tissues to
350 Color” tab, have significantly higher levels of expression of *CHGA* and *SYP* compared to non-NE
351 cell lines (Supplemental Figure S5C).

352 Rudin et al. (2019) proposed a more detailed molecular classification based on the
353 expression of four transcription factor genes: *NEUROD1* and *ASCL1* for neuroendocrine, and *YAP1*
354 and *POU2F3* for non-neuroendocrine SCLCs (Figure 4B, Supplemental Table S6). Compared to
355 the other cancer cell lines in the GDSC-CellMiner database, the highest expression of *NEUROD1*
356 and *ASCL1* is found in SCLC and brain tumors (Figure 4C), while *POU2F3* expression is rare and
357 limited to SCLC cell lines (Figure 4D). In contrast, *YAP1* is not limited to SCLC and is expressed
358 in a wide range of cancer types (except blood and lymphoid tumors) in addition to the non-
359 neuroendocrine SCLC (Figure 4E). Differential expression of the 4 transcription factors (“NAPY”
360 classification for short) across the SCLC-Global database of 116 cell lines clearly distinguishes the
361 four subtypes of SCLC cell lines (Figure 4B), with similar proportions as reported by Rudin et al.
362 (2019) across tumors and cell lines. *ASCL1* expression is commonly associated with *NEUROD1*
363 expression (Figure 4B), indicating that a significant fraction of NE-SCLC cells have dual
364 expression of *ASCL1* and *NEUROD1*. Figure 4F shows that 63% of the *ASCL1*-expressing cells
365 co-express *NEUROD1* and 47% of the *NEUROD1*-expressing cells co-express *ASCL1*.

366 The NE and NAPY classifications show high concurrence across the *SCLC-Global* cell
367 lines (93.9% agreement with Cohen’s kappa of 0.79 after excluding intermediates; Figure 4) with
368 the three NE genes *CHGA*, *SYP* and *INSM1* most significantly overexpressed in the *NEUROD1*
369 and *ASCL1* subgroups compared to the *POU2F3* and *YAP1* subgroups of non-NE SCLC cell lines
370 (Supplemental Figure S5D-E).

371 The three MYC-genes *MYC*, *MYCL* and *MYCN* play key roles in SCLC carcinogenesis.
372 *MYCL* was discovered as being selective amplified in SCLC (Johnson et al., 1987; Nau et al.,
373 1985). Close to 80% of the SCLC cell lines highly express one of the three MYC genes with *MYC*
374 and *MYCL* being the most prevalent (Figure 4G). Notably, and as noted previously, cells
375 overexpressing one of the MYC-genes are negative for the two other MYC genes, indicating a
376 mutually the mutually exclusive expression of the 3 MYC genes. Also, the non-NE SCLC cell lines
377 (SCLC-Y and SCLC-P) express low *MYCL* and *MYCN* compared to the NE-SCLC (SCLC-A and
378 SCLC-N) and *YAP1* cells, which selectively express *MYC* but neither *MYCL* nor *MYCN* (Figure
379 4G and Supplemental Figure S6A-B).

380
381

382 **SCLC Transcriptional Networks Focusing on the ASCL1, YAP/TAZ and NOTCH Pathways**

383 Because the four NPY genes (*NEUROD1*, *ASCL1*, *POU2F3* and *YAPI*) are transcription factors,
384 we performed transcription network analyses (Kohn et al., 2006) in connection with the NPY
385 classification. Snapshots are presented in Supplemental Figure S4A-B, S5C,S6C and S7A&C for
386 the “Univariate Analyses” and in Figure 5B & D and Supplemental Figure S5E for “Multivariate
387 Analyses” (<https://discover.nci.nih.gov/ScIcCellMinerCDB/>).

388 Figure 5A summarizes our analyses of the ASCL1-NOTCH genomic transcriptional
389 network based on our molecular interaction map (MIM) conventions (Kohn et al., 2006)
390 (<https://discover.nci.nih.gov/mim/index.jsp>). As a pioneer transcription factor, ASCL1 binds E-
391 box motifs (as *NEUROD1*) to promote chromatin opening and the activation of neuronal genes.
392 Notably both *NKX2.1* and *PROX1*, whose encoded polypeptides function together as transcription
393 cofactors with ASCL1 are highly significantly co-expressed with *ASCL1* in the SCLC cell lines,
394 and this co-expression is not due to the presence of those genes on the same chromosomes (Figure
395 5A), indicating upstream regulatory transcriptional control with the likely implication of super-
396 enhancers. As expected, the transcriptional targets of ASCL1 were co-expressed with *ASCL1*
397 (Figure 5A-B). One of those known targets, *BCL2* is positively correlated not only with *ASCL1*
398 expression (Figure 5A-B) but also with *POU2F3*, whereas *BCL2* expression was found negatively
399 correlated with *NEUROD1* expression (Supplemental Figure 7A-B). Expression of the cancer-
400 driving genes *RET*, *SOX1*, *SOX2*, *FOXA1* and *FOXA2* are also highly correlated with *ASCL1*
401 expression (Figure 5A-B).

402 *DLL3*, another established transcriptional target of ASCL1 and a known inhibitor of the
403 NOTCH pathway was found highly significantly correlated with *ASCL1* ($r = 0.61$; $p = 4.05e-13$;
404 Figure 5A). Analysis of the NOTCH pathway whose inactivation is crucial in NE-SCLC (Gazdar
405 et al., 2017; Leonetti et al., 2019; Ouadah et al., 2019) using the *SCLC-Global* database showed
406 that the 3 NOTCH transcripts (*NOTCH1*, *NOTCH2* and *NOTCH3*) are jointly downregulated in
407 the *ASCL1* SCLC cell lines (Figure 5A-B). Functional downregulation of the NOTCH pathway is
408 consistent with the highly significantly negative correlation ($r = -0.545$; $p = 2.45e-10$) between
409 *ASCL1* and *REST*, the transcriptional target of NOTCH (Figure 5A). Notably, the *NEUROD1*
410 subset of NE-SCLC (SCLC-N) did not show a significant correlation between *NEUROD1* and
411 *DLL3* expression ($r = -0.18$; NS) (Supplementary Figure S7C-D), providing no evidence that *DLL3*
412 overexpression acts to down-regulate the NOTCH pathway in SCLC-N cell lines. Hence, in the
413 SCLC-A cell lines, the negative correlation between *ASCL1* and NOTCH genes could be related
414 to the direct transcriptional inactivation of *ASCL1* by NOTCH3 (Figure 5A).

415 Of the 116 SCLC cell lines in SCLC-CellMiner, nine belong to the YAP subset (see Figure
416 4B&E). Because expression of YAP (*YAPI*) is also a feature in a wide variety of solid tumor cells
417 (see Figure 4E), and YAP and its regulatory Hippo signaling pathway are the focus of many
418 ongoing studies, we explored the YAP transcriptional network in the SCLC cell lines (Figure 5C).
419 The first notable finding is that *YAPI* expression is highly correlated with the expression of its
420 heterodimeric partner *TAZ* (encoded by the *WWTR1/TAZ* gene) both in the *SCLC-Global* dataset
421 (Figure 5C-D) and across the 986 cell lines of the GDSC (Supplementary Figure S8). This finding
422 suggests a master transcriptional regulator upstream of both genes or *YAPI* acting as super-
423 enhancer, as both genes are on different chromosomes (Figure 5C; chromosome location indicated
424 in italic and parenthesis).

425 Next, we explored the Hippo pathway, which acts as a negative regulator of YAP/TAZ and
426 is commonly inactivated in solid tumors (Dasgupta and McCollum, 2019; Ma et al., 2019; Totaro
427 et al., 2018). Expression of both *LATS2* and *LATS1*, which encode the core kinase of the Hippo
428 pathway and negatively regulate YAP by sequestering phosphorylated YAP in the cytoplasm, are
429 significantly positively correlated with *YAP1* expression (Figure 5C-D). This unexpected finding
430 suggesting a negative feedback loop is additionally supported by the fact that the transcripts of
431 *MOB1A* and *MOB1B*, the cofactors of LATS1/2, are also positively correlated with *YAP1* (Figure
432 5C-D). Moreover, the transcripts of the negative regulators of YAP, *AMOT* and *AMOTL2*, which
433 are released by depolymerized F-actin and sequester YAP from its nuclear translocation, are also
434 significantly positively coregulated with *YAP1* (Figure 5C-D) (Dasgupta and McCollum, 2019;
435 Wang et al., 2019). Together, these results demonstrate that the YAP-SCLC cell lines co-express
436 both YAP/TAZ and its negative regulator genes driving the Hippo pathway, and suggest an
437 equilibrium (“metastable”) state where the Hippo pathway remains active to potentially negatively
438 regulate YAP/TAZ in the Y-SCLC cells.

439 YAP/TAZ functions as a direct activator of the TEAD transcription factors (encoded by
440 *TEAD2/TEAD3/TEAD4*), whose expressions are highly significantly coregulated with *YAP1*
441 (Figure 5C). As expected, the transcriptional targets of the TEADs are also significantly correlated
442 with *YAP1* expression, some of which are included in Figure 5C (bottom section. Others can readily
443 be found and discovered using the “Compare Pattern” of SCLC-CellMiner using the “Compare
444 Pattern” of SCLC-CellMiner with TEAD or *YAP1* as “seeds”. Among those are the cancer- and
445 growth-related *SMAD3* and *SMAD5* genes, *CCNI/CYR61*, which encodes a growth factor
446 interacting with integrins and heparan sulfate, and *VGLL4* (Figure 5C, bottom right and Figure 5D).

447 The NOTCH pathway is also a known transcriptional target of YAP/TAZ and the TEADs
448 (Totaro et al., 2018). Consistent with this, we found a high positive correlation between *YAP1* the
449 NOTCH receptor transcripts *NOTCH1*, *NOTCH2*, *NOTCH3* as well as the NOTCH transcriptional
450 target *REST*, demonstrating the functional activation of the NOTCH pathway in SCLC-Y cells
451 (Figure 5C-E). By contrast, and consistent with the biology of the NOTCH pathway, 4 of the 5
452 NOTCH ligands, *DLL1*, *DLL3*, *DLL4* and *JAG2*, which act as negative regulators of the NOTCH
453 receptors (Andersson et al., 2011) are significantly negatively correlated with *YAP1* (Figure 5E).
454 The results of these analyses support the conclusion that the NOTCH pathway is “on” in the SCLC-
455 Y cells. By contrast, in the SCLC-A cells, the opposite is observed: the transcripts for the NOTCH
456 receptors and the NOTCH ligands are negatively and positively correlated with the expression of
457 *ASCL1* (Figure 5E and Supplementary Figures S9A). Notably, the SCLC-P cells also show a
458 positive correlation between the NOTCH receptor and *REST* effector transcripts and *POU2F3*
459 (Figure 5F and Supplementary Figure S9A and S10A). These analyses demonstrate a clear
460 difference between the NE-SCLC (SCLC-N & -A) and the non-NE-SCLC (SCLC-P & -Y) with
461 respect to the NOTCH pathway; with the pathway “off” in the NE subset (N & A) and “on” in the
462 non-NE subset (P & Y).

463 Global analyses of the NOTCH pathway across the 1,036 cell lines from 22 different tissue
464 types of the Broad-CCLE collection (Figure 5G and Supplementary Figure S9B-C) show that
465 *NOTCH2* and *NOTCH3* expression are coregulated in many tumor types, especially brain, lung,
466 lymph, thyroid, pancreas and uterus (Supplementary Figure S9B-C) and that the NE- SCLC cell
467 lines are characterized by lowest NOTCH expression (Figure 5G and Supplementary Figure S9B).
468 By contrast, the SCLC-Y- and -P cells are found among the NOTCH expressing cells. Of note,

469 analyses of the NOTCH pathway activity measured by REST expression shows that the SCLC-Y
470 cells cluster with the NSCLC cell lines (Figure 5G and Supplementary Figure S10B).

471
472 **Transcriptome of SCLC-Y Cells is Common with NSCLCs and Specific to this Subgroup**

473 To further examine the relationship between the SCLC-Y cell lines and the NSCLC cell line, we
474 performed principal component and other dimension reduction analyses with respect to the whole
475 transcriptome data (Figure 5I). tSNE (t-distributed Stochastic Neighbor Embedding) is a method
476 to highlight strong patterns in a dataset by reducing the dimensionality of a dataset while preserving
477 as much ‘variability’ as possible. We performed tSNE analysis using gene expression data between
478 NSCLC (N = 100) and SCLC (N = 60) cell lines from the GDSC data source to identify clusters of
479 subgroups. This approach segregated the SCLC-Y together with the NSCLC cell lines. The other
480 SCLC cell lines (SCLC-A, SCLC-N and SCLC-P) formed a distinct cluster. Also, among the few
481 NSCLC cancer cell lines clustering with the NAP-SCLC were carcinoids of the lung and one
482 misannotated cell line. These data support that SCLC-Y cell lines are a distinct entity among the
483 SCLC subtypes and potentially related to NSCLC.

484 Another characteristic of the SCLC-Y cell lines is the significantly low *RB1* mutations (only
485 one cell line among 9 showing *RB1* mutation; Figure 5H). The SCLC-Y cell lines also showed
486 significantly reduced activity of the replication transcriptional network with highest *RB1*
487 expression and lowest *PCNA*, *MCM2* and *RNASEH2A* expression (Supplementary Figure S11A &
488 D-F). Additionally, the SCLC-Y cells express the mesenchymal marker *VIM* as well as the
489 cytoskeleton component and regulators *CNN2* (actomyosin and F-actin component) and the *AMOT*
490 genes, which regulate cell migration and actin stress fiber assembly (Figure 5C, left and right)
491 (Dasgupta and McCollum, 2019).

492
493 **Global Drug Activity Profiling Suggests Transcription Elongation Pathways as General Drug
494 Response Determinant and Hypersensitivity of the SCLC-P Cell Lines**

495 To explore potential connections between the NAPY classification and drug responses, we
496 analyzed the drug sensitivity profiles of the 66 SCLC-NCI cell lines using 134 compounds with
497 the highest activity range (> 0.09) (Polley et al., 2016). Unsupervised hierarchical clustering
498 generated two groups of cell lines: those globally resistant to all drugs and those globally drug-
499 sensitive, with a bimodal distribution (Figure 6A). No obvious relationship was observed for the
500 neuroendocrine cell lines (SCLC-N and SCLC-A), which were distributed in both clusters. Yet, all
501 three SCLC-P cell lines clustered together among the most globally drug-sensitive whereas the
502 SCLC-Y cell lines tended to be among the most resistant cell lines.

503 Differential gene expression followed by enrichment pathway analyses was performed to
504 determine potential differences between the most and least drug sensitive cell lines. The most
505 significantly enriched pathway was the ribosomal and EIF2 signaling pathway, which was
506 selectively activated in the sensitive compared to non-sensitive cell lines. EIF2 (Eukaryotic
507 Translation Initiation Factor 2A) catalyzes the first regulated step of protein synthesis initiation,
508 promoting the binding of the initiator tRNA to 40S ribosomal subunits. EIF2 factors are also
509 downstream effectors of the PI3K-AKT-mTOR and RAS-RAF-MAPK pathways. The details of
510 the analysis are provided in Supplemental Figure S12A-B. These results suggest that global drug
511 response in SCLC is associated with active protein synthesis.

512

513 **Drug Activity Profiling in Relationship with the NAPY Classification**

514 Both the ASCL1 (A) and NEUROD1 (N) subgroups showed a broad range of response to
515 etoposide, topotecan and cisplatin, as well as to the potent PARP inhibitor talazoparib (Figure 6B
516 and Supplemental Figure S12C). The most significant genomic predictor of response for these
517 neuroendocrine SCLC-N & -A subgroup was *SLFN11* expression (Supplemental Figure S12C;
518 <https://discover.nci.nih.gov/ScIcCellMinerCDB/>), which is consistent with analyses performed
519 across other tissue types (Barretina et al., 2012; Rajapakse et al., 2018; Zoppoli et al., 2012). The
520 potential value of *SLFN11* expression as a predictive biomarker is also borne out by its highly
521 dynamic and bimodal expression pattern (Figure 6F). Approximately 40% of the 116 SCLC cell
522 lines of *SCLC-global* do not express *SLFN11* (Supplemental Figure S12D).

523 The SCLC-Y cell lines showed the greatest resistance to the standard of care drugs
524 (etoposide, cisplatin and topotecan) (Figure 6B). This result is not limited to SCLC, as a highly
525 significant drug resistance phenotype was observed between *YAP1* expression and response to
526 etoposide and camptothecin across the database of the CCLE-CTRP, which spans across a broad
527 range of tissues of origin (Supplemental Figure S12E).

528 In addition to *SLFN11*, a predictive genomic biomarker of drug response is methylguanine
529 methyltransferase (*MGMT*) for temozolomide (TMZ), which acts as a DNA methylating agent
530 generating N7- and O6-methylguanines. *MGMT* removes O6-methylguanine, the most cytotoxic
531 lesion. Cancer cells (typically glioblastomas) with *MGMT* inactivation are selectively sensitive to
532 TMZ (Thomas et al., 2017). Analyses of the SCLC cell lines revealed lack of *MGMT* expression
533 in 33% (N = 38) of the SCLC cell lines (Supplemental Figure S12D). Notably, the non-NE cell
534 lines all expressed *MGMT*, indicating that the SCLC-P- and -Y cancer cells are predicted to be
535 poor candidates to TMZ-based therapies (Farago et al., 2019).

536 To determine whether the NAPY classification predicts sensitivity to drugs not commonly
537 used as standard of care for SCLC, we performed correlation analyses to identify the drugs that
538 were significantly linked to a subtype among the 526 NCI compounds (Polley et al., 2016). The
539 list of all the statistically significant drugs (p-value < 0.05; Kruskal Willis test) is provided in
540 Supplemental Table S7). Eighteen drugs were highly subtype-specific (p-value < 0.01; Kruskal
541 Willis test). Among them, 7 are PI3K-AKT-mTOR inhibitors and all of them show a higher activity
542 in the non-NE cell lines (SCLC-Y and SCLC-P) (Figure 12D-E). The SCLC-P and -Y cell lines
543 are also more sensitive to multi-kinase inhibitors including dasatinib or ponatinib. One agent was
544 found specifically active in *ASCL1* high expressing cell lines: ABT-737, a *BCL2* inhibitor (Figure
545 6C). Analyzing the GDSC, CCLE and CTRP (<https://discover.nci.nih.gov/ScIcCellMinerCDB/>)
546 showed that all *BCL-2* inhibitors are most efficient in the SCLC-A cell lines, while the SCLC-Y
547 cell lines are consistently resistant. The high sensitivity of the SCLC-A cell lines is consistent with
548 the highly significant correlation between *BCL2* expression and the activity of ABT-737.

549 **Immune Pathways are selectively expressed in the YAP1 Subgroup of SCLCs**

551 Although immune checkpoints inhibitors (ICI) have been approved in SCLC, the benefit in an
552 unselected patient population is modest with approximately 2-month improvement in median
553 overall survival when immunotherapy was added to first-line platinum and etoposide.

554 To explore the activity of the immune pathways in the 116 cell lines of *SCLC-Global* and
555 the potential value of the NAPY classification for selecting SCLC patients likely to respond to
556 immune checkpoint inhibitors, we explored the transcriptome of the cell lines by focusing on a

557 subset of established native immune response and antigen-presenting genes. Figure 6G-H shows
558 the unique characteristics of the SCLC-Y cell lines. Indeed, they are the only subset expressing
559 innate immune response genes and for which expression of those genes such as the innate immune
560 effector genes *CGAS* and *STING*, the antigen-presenting HLA gene (*HLA-E*) and the interferon-
561 inducible genes (*IFIT3*, *IFITM1*, *IFI44L*, *IFIT*, *IFITM8P* and *IFITM3*) are positively correlated
562 with *YAP1* expression in *CellMiner-Global*. By contrast, the NE subtypes show negative
563 correlation between *NEUROD1* and *ASCL1* expression for those same immune genes (Figure 6G).

564 Based on the study of Wang et al. (2019) reporting a novel antigen presentation machinery
565 transcription signature score (APM) yielding a high prediction index for tumor response to immune
566 checkpoint inhibitors (ICI) in conjunction with tumor mutation burden (TMB), we tested the APM
567 score in the SCLC cell lines (Supplementary Figure S13). The APM score showed a high
568 correlation with *PD-L1* expression, which is notable as *PD-L1* is not included in the 13 genes
569 constituting the APM score. Also, the SCLC-Y subtype showed the highest APM score
570 (Supplementary Figure S13), consistent with the potential activation of their antigen presentation
571 and innate immune response pathways.

572

573 **Cell Surface Biomarkers for Targeted Therapy in Relation with the NAPY Classification**

574 Antibody-targeted therapies including antibody-drug conjugates (ADC) represent a promising
575 approach for specific homing, increased uptake and drug retention at tumor sites while reducing
576 drug exposure to normal tissues and the associated dose-limiting side effects (Coats et al., 2019).
577 Proof of concept in SCLC has been established for Rovalpituzumab tesirine (Rova-T), the ADC
578 targeting *DLL3* with a DNA-crosslinking warhead (Das, 2017).

579 A primary criterium for efficient drug delivery treatment is to choose an exclusively or
580 overexpressed target for the cancer cells. Figure 6I and Supplemental Figure S14 shows the
581 expression of two receptors of clinical ADCs in the SCLC cell lines: *DLL3* [used for SCLCs as
582 rovalpituzumab tesirine (Morgensztern et al., 2019; Rudin et al., 2017)] and the carcinoembryonic
583 antigen *CEACAM5* [used in other clinical indications as Labetuzumab govitecan (Das, 2017)]. Figure
584 6I shows that *DLL3* expression is highly correlated with *ASCL1* expression (Pearson correlation =
585 0.62), suggesting that treatments targeting *DLL3*, such as rovalpituzimab tesirine, could be
586 selective toward SCLC-A tumors (Rudin et al., 2019). *CEACAM5* is highly expressed in only a
587 subset of SCLC-A cell lines, which may be potentially sensitive to labetuzumab govitecan (IMMU-
588 130) and other ADCs using *CEACAM5* as their targeted receptor. Both *DLL3* and *CEACAM5*
589 have their highest expression in SCLC among all GDSC tissue types (Supplemental Figure S14).
590 Expression of *TACSTD2* (*TROP2*), which is used as target for sacituzumab govitecan (IMMU-132)
591 in patients with triple-negative breast cancer (TNBC), exhibits a low expression level in all SCLC
592 cell lines, suggesting that using *TACSTD2* as targeted receptor may not be efficient in SCLC
593 (Supplemental Figure S15).

594 Among potential new targets for the development of ADCs, the previously described
595 specific neuroendocrine markers *NCAM1*, *CD24*, *CADM1* and *ALCAM* are highly expressed in
596 non-*YAP1* SCLC (Figure 6J), suggesting the potential of developing ADCs targeting such surface
597 receptors for NE-SCLC and SCLC-P patients. In contrast, the non-neuroendocrine surface markers
598 *CD151* and *EPH2* are highly expressed in the *YAP1* cell lines (Figure 6K), suggesting their
599 potential as target receptors for SCLC-Y cancers.

600

601 Discussion

602
603 SCLC CellMiner (<https://discover.nci.nih.gov/ScLcCellMinerCDB/>) provides a unique resource
604 including the most extensive SCLC datasets not only in terms of number of cell lines but also by
605 its extensive omics and drug sensitivity databases. It also includes high resolution methylome data,
606 which were performed for the purpose of the current study. SCLC CellMiner enables casual and
607 experienced user to perform cross-comparison for all the omic and drug features of the SCLC cell
608 lines of the NCI-DTP (SCLC NCI/DTP), Sanger-MGH (SCLC GDSC), Broad-MIT (SCLC CCLE
609 and SCLC CTRP) and UT Southwestern (SCLC UTSW). It demonstrates the high reproducibility
610 of the data for given cell lines across databases, which led to building an integrated platform
611 (“SCLC Global”) to search genomic and drug features across the whole 116 cell line database.

612 Human cancer-derived cell lines remain the most widely used models and the primary basis
613 to study the biology of cancers. They also enable the testing of new drugs and determinant of
614 response hypotheses to improve cancer treatment (Gillet et al., 2013; Marx, 2014). A recent
615 example is the discovery of SLFN11 as a dominant determinant of response to widely used
616 chemotherapeutic agents targeting replication including topoisomerase inhibitors, platinum
617 derivatives, gemcitabine and hydroxyurea as well as PARP inhibitors (Barretina et al., 2012; Murai
618 et al., 2019; Zoppoli et al., 2012). Hence, the large database of SCLC cell lines offers a spectrum
619 of models with the full genetic and molecular diversity seen in this subtype of cancer, as
620 exemplified by the clear division of the 116 cell lines across the four recently proposed subgroups
621 of SCLCs (NAPY classification) (Rudin et al., 2019). Although it appears that at the genomic level
622 driver mutations are retained, several studies reveal a drift at the transcriptomic level, leading to
623 the conclusion that cancer cell lines bear more resemblance to each other, regardless of the tissue
624 of origin, than to the clinical samples that they are supposed to model. However, several other
625 studies have come to the opposite conclusion, demonstrating the need for human cancer cell line
626 panels (Barretina et al., 2012; Neve et al., 2006; Reinhold et al., 2019; Wang et al., 2006; Weinstein,
627 2012; Zoppoli et al., 2012). Although it was believed that tumor cells lost their differentiated
628 properties during cell culture, it was later shown that this “dedifferentiation” was the result of
629 stromal cell overgrowth and that “true” tumor cell cultures often retained their differentiated
630 properties (Sato, 2008). For lung cancer cell lines, it has been shown that the genomic drift during
631 culture life is not as great as commonly believed (Wistuba et al., 1999). The recent analyses across
632 SCLC cell lines, PDX models and human tissues reported by Rudin et al. (2019) and our present
633 analyses support this conclusion.

634 SCLC is known to be highly proliferative (Gazdar et al., 2017) and to be under replication
635 stress (Thomas and Pommier, 2016). The SCLC CellMiner transcriptome data provide evidence
636 confirming that specific feature. Indeed, genes known to be involved in DNA replication
637 exemplified by *PCNA*, *MKI67* (encoding Ki67), *FEN1* and *PARP1* are highly expressed in SCLC
638 compared to the other subtypes of cancers (Supplemental Figure S16). Moreover, we find evidence
639 of chromatin alteration in SCLC. Not only are many core histone genes hypermethylated (see
640 Figure 3) but also *H2AFY*, a non-canonical histone belonging to the H2A family encoding
641 macroH2A.1, exhibits high expression in the SCLC cell lines. Two *H2AFY* splice variants have
642 been identified and SCLC cell lines predominantly express high levels of the macroH2A1.2 variant
643 compared to macroH2A1.1 (both encoded by *H2AFY*). The macroH2A1.2 splice variant is known
644 to promote homologous recombination and is essential for proliferation (Kim et al., 2018). This
645 further underscores the highly proliferative characteristic of SCLC cell lines, in addition to the
646 overexpression of the MYCs genes (see Figure 4 and Supplementary Figure S2 and S6).

647 In the context of chromatin and the histone genes, *ACTL6B*, which encodes a subunit of the
648 BAF (BRG1/brm-associated factor) complex in mammals is highly expressed in the SCLC cell
649 lines (Supplemental Figure S17). The BAF complex is functionally related to SWI/SNF complexes,
650 which are known to facilitate transcriptional activation of specific genes by antagonizing
651 chromatin-mediated transcriptional repression. Interestingly, we found that the expression of
652 *ACTL6B* is high and specific to SCLC and brain tumor cell lines and that its expression is highly
653 correlated with other the expression of other chromatin genes including *HMGN2*, *KDM4B* and
654 *SMARCA4* (Supplemental Figure S17). Among the SCLC cell lines, only the neuroendocrine cell
655 lines (high *ASCL1* or high *NEUROD1*) harbor high expression of *ACTL6B* while the *YAP1* SCLC
656 cell lines express significantly less *KDM4B* and *SMARCA4* (Supplemental Figure S17). These
657 results suggest that this specific BAF complex subunit is critical in neuroendocrine SCLCs.

658 Supporting the importance of epigenetics in SCLC carcinogenesis, we provide an extensive
659 DNA methylation database including the methylome of 66 cell lines from the NCI performed by
660 high resolution Affymetrix 850k array and the analysis of 61 cell lines from the GDSC analyzed
661 by 450k Array (see Figures 1 and 3) and demonstrate that SCLC cell lines exhibit a distinct
662 methylation profile. First, they are globally hypomethylated, suggesting a plasticity of SCLC cell
663 lines compared to the other cancers. Secondly, they exhibit a distinct and coherent profile of
664 methylation compared with other subtypes of cancers, especially NSCLC (see Figure 3).
665 Interestingly, most of genes with low methylation are involved in neurological pathway suggesting
666 that neuroendocrine differentiation could be driven by epigenetic and especially DNA promoter
667 methylation. Only a few studies focused on SCLC methylation profile. In 2013, Kalari *et al.* found
668 consistent results and identified more than one hundred specifically hypermethylated genes in
669 SCLC with gene ontology analysis indicating a significant enrichment of genes involved in
670 neuronal differentiation (Kalari et al., 2013). By contrast, Poirier et al. (2015) reported that SCLC
671 tend to have a high methylation level. The apparent discrepancy could be due to the fact that they
672 included PDX and tumor samples and that they did not measure the global level of promoter
673 methylation, as we have done, but the proportion of highly variable CpGs. Yet, they concluded,
674 that high methylation instability is consistent with the plasticity of SCLC (Poirier et al., 2015).

675 SCLC CellMiner validates the recently proposed SCLC NAPY classification (Rudin et al.,
676 2019) (see Figure 4), and provides insights into the four NAPY genes and their coordinated
677 pathway network and connections with the NOTCH pathway (Figures 5). The coregulation of many
678 functionally related genes is notable for the *ASCL1* and *YAP1* pathways examined in Figure 5.
679 Indeed, *ASCL1* expression is highly correlated with the expression of its transcription coactivators
680 *NKX2-1* and *PROX1* in spite of their different chromosome locations. The same observation applies
681 to the *YAP1/TAZ* (*WWTR1*) heterodimer, suggesting master regulators upstream from the *ASCL1*
682 and *YAP1* genes. Identifying those potential regulators (super-enhancers, microRNAs or non-
683 coding RNAs) warrants further investigations, which hopefully will be fostered by the SCLC
684 CellMiner resources. Unexpectedly, we found that the expression of the genes encoding the Hippo
685 pathways (*MOB1A/B* and *LATS1/2*) and its coactivator (*AMOT* and *AMOTL2*) are co-expressed
686 with highly significant correlation with *YAP1*. This finding suggest that the SCLC-Y cell lines are
687 primed with a potential negative feedback from the Hippo pathway. Consistent with the results of
688 Rudin et al. (2019) *al.*, the NAPY classification shows that the cell lines driven by *ASCL1* and
689 *NEUROD1* often overlap (see Figure 4F) except for their relationship with the NOTCH pathway
690 where the SCLC-A cells show a stronger negative correlation with NOTCH gene expression than
691 the SCLC-N cells (see Supplementary Figure 9). Both *ASCL1* and *NEUROD1* are transcriptional
692 regulators and main drivers of neuroendocrine pathways and the cell lines co-expressing both gene

693 share common features in terms of co-expressed neuroendocrine genes, MYCL-MYCN
694 overexpression, drug sensitivities and cell surface markers (see Figures 4 & 6), questioning how
695 these two groups define clearly distinct entities.

696 Transcriptome and drug response analyses highlight the distinguishing features of the
697 SCLC-Y cell lines. Indeed, by contrast to the three other transcription factors (ASCL1, NEUROD1
698 and POU2F3), *YAP1* expression is not specific to SCLC and *YAP1* is widely and differentially
699 expressed across a wide range of cancer cell lines (see Figure 4) (Ma et al., 2019). Notably,
700 transcriptome analyses cluster the SCLC-Y with NSCLC cell lines, suggesting a different cellular
701 origin for the SCLC-Y cancers (see Figure 5F). The SCLC-Y cell lines also express the NOTCH
702 pathway, which is opposite to the SCLC-A neuroendocrine cell lines (see Figure 5 and
703 Supplementary Figure S9). This differential feature could be related to the direct transcriptional
704 activation of the NOTCH pathway by YAP/TAZ (see Figure 5C) (Yimlamai et al., 2014). In
705 addition, SCLC-Y cell lines do not express *MYCL* or *MYCN* but rather *MYC* (see Figure 4), and
706 consistent with the results of McColl et al. (2017), SCLC-Y cell lines tend and not to be mutated
707 for *RBI* (see Figure 5H) and to express *RBI*, which is not the case for the 3 other SCLC subtypes
708 (see Figure S11). We also found that the SCLC-Y cells express the DNA replication and
709 proliferation genes to a lower level than the other SCLC subgroups (see Supplemental Figures S11
710 & S16). Finally, the SCLC-Y cell lines were often derived from non-smoker patients
711 (Supplementary Table S1 & Figure 18). One of the limitations of this finding is that many cell lines
712 were not annotated, so these results concerning tobacco status require confirmation in a larger
713 cohort. In total, our data highlight that SCLC-Y cell lines are probably derived from a different cell
714 type compared to the other neuroendocrine SCLC.

715 The SCLC-Y also differ from the other subgroups, SCLC-N, A & P in terms of drug
716 sensitivity. As demonstrated in Figures 6 & S12, while the SCLC-P cell lines are consistently
717 among the most sensitive NAPY subgroup to the standard of care treatments (etoposide, cisplatin
718 and topotecan) and to the PARP inhibitor talazoparib, the SCLC-Y cells are most resistant to those
719 treatments. The SCLC-N and -A show a wide range of responses to those classical chemotherapies
720 with some cell lines highly responsive and some not. A significant determinant of response to those
721 standard of care treatments is *SLFN11* expression (Murai et al., 2019), with a broad range of
722 expression and approximately 40% of the 116 SCLC cell lines expressing no or very low *SLFN11*
723 transcripts (see Figures 6F & S12). Another potential determinant of response is *MGMT* with
724 approximately 33% of the 116 SCLC cell lines expressing no or very low *MGMT* transcripts (see
725 S12D), which suggest the potential of using temozolomide in such tumors, especially in the case
726 of brain metastases (Pietanza et al., 2018; Thomas et al., 2017).

727 In spite of the resistance of non-neuroendocrine (or variant) SCLC cells (SCLC-P and -Y
728 subgroups) to the standard of care treatments (Gazdar et al., 1992), we find that those subgroups
729 appear responsive to mTOR and AKT inhibitors (see Figure 6D-E). Our result is consistent with a
730 recent study (Wooten et al., 2019) showing that non-neuroendocrine SCLC cell lines are sensitive
731 to PI3K-AKT-mTOR, AURKA inhibitors and HSP90 inhibitors. Moreover, we found that the main
732 difference between sensitive and non-sensitive cell lines is activation of the EIF2 pathway (see
733 Figures 6 and S12), which is consistent with the PI3K-AKT-mTOR and MKI inhibitors sensitivity
734 of SCLC-Y and SCLC-P. This hypothesis could open new therapeutic options in SCLC using
735 translation-targeted drugs in development (Bastide and David, 2018; Sulima et al., 2017).
736 Treatments targeting the mTOR pathway in SCLC patients have been evaluated or are in ongoing

737 clinical trials. The results with monotherapy were not successful (Tarhini et al., 2010). Our findings
738 suggest that better results might be obtained with appropriate patient selection.

739 Three final therapeutic insights can be derived from our study. First, the SCLC-Y cell lines
740 are the only NAPY subgroup with antigen presenting and native immune predisposition (see Figure
741 6) while the neuroendocrine SCLC are among the most immune silent cancer cell lines based on
742 their transcriptome profiles (see Figures 6G-H and S13). If verified in clinical samples, this finding
743 might enable the selection of SCLC patient of the YAP1-expressing subgroup for immune
744 checkpoint treatments. The second insight concerns the existence of potential surface markers that
745 could be targeted selectively for the NAPY subgroups. As shown in the lower part of Figure 6, it
746 is clear that the SCLC-Y cell lines express neither the therapeutically-relevant surface epitopes
747 DLL3 or CEACAM5 (Das, 2017; Morgensztern et al., 2019; Rudin et al., 2017), which tend to be
748 specific for the SCLC-A (and N) cancer cells. Yet, SCLC CellMiner could be used to identify
749 potential surface markers of SCLC-Y cancers such as CD151 and EPHA2 (see Figure 6K). Finally,
750 the SCLC-Y subgroup might respond to the YAP1 and NOTCH inhibitors in clinical development
751 (Crawford et al., 2018; Leonetti et al., 2019).

752 Our analyses demonstrate the value of cancer cell line databases and imply that updating
753 drug testing with new clinical drug candidates will provide valuable information to guide clinical
754 trials. The results of our analyses also suggest the potential value of using the NAPY classification
755 to select patients for targeted clinical trials. It is likely that genomic signatures based on genes
756 expression (transcriptome) and DNA methylation (methylome) will have to be developed to build
757 reliable tools to assign samples to each of the NAPY subgroups and determine their prognostic and
758 therapeutic value. It also appears important to perform single-cell transcriptome and omic analyses,
759 sequential biopsies and biopsies of different tumor sites to evaluate the tumor heterogeneity and
760 plasticity of SCLCs.

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Table 1: Examples of SCLC_CellMiner capabilities:

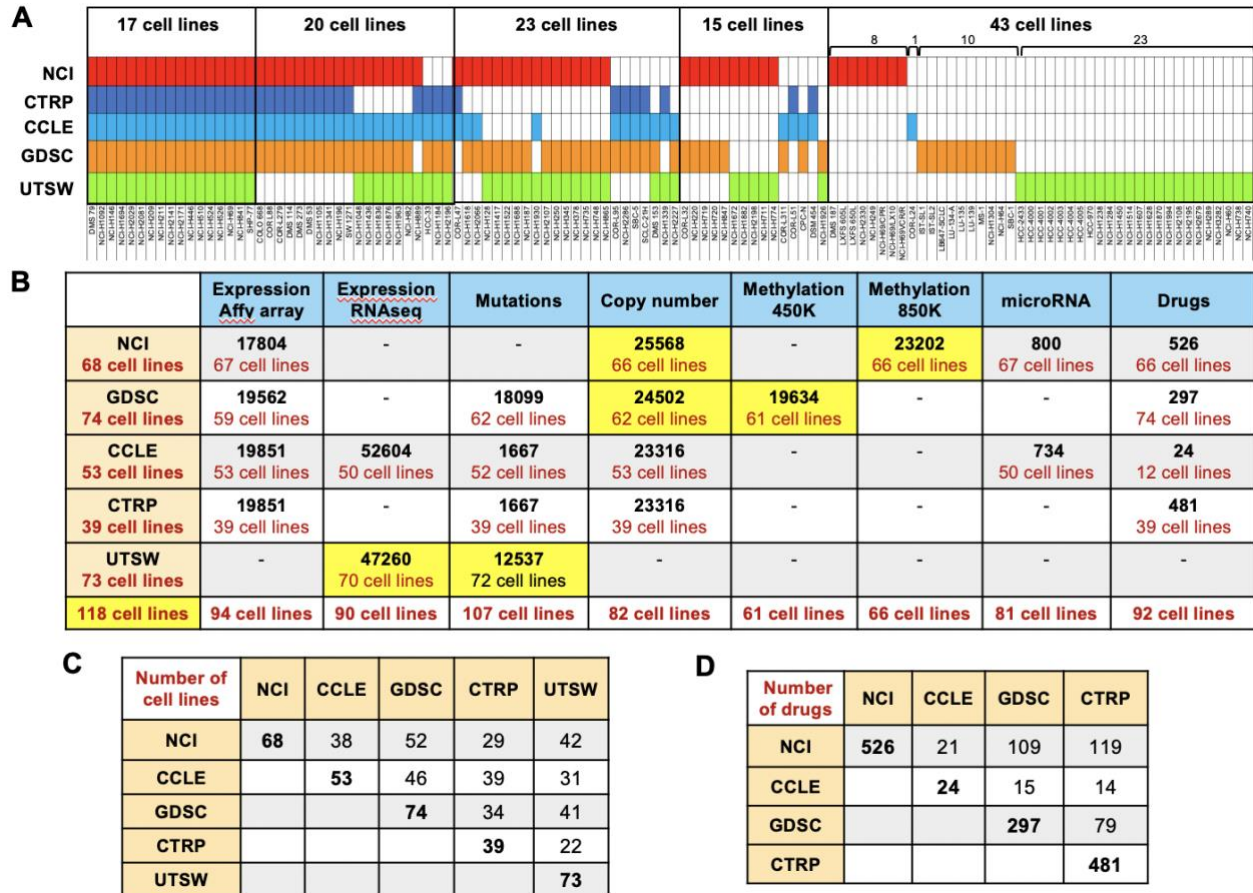
| | SCLC_CellMiner Explores & Validates | Method | Examples | Examples of Findings |
|----|--|--|--------------------------|--|
| 1 | Cell line reproducibility, & consistency | Univariate Analyses: Plot Data: Expression of the same gene across different datasets (X & Y) | Figs. 1 | Cell lines are highly reproducible across datasets |
| 2 | Omic data robustness & reproducibility | Univariate Analyses: Plot Data: Expression, copy number variation, promoter methylation, mutations for the same gene across datasets (X & Y) | Figs. 1B-C | Transcripts, promoter methylation, gene copy number are highly reproducible across datasets |
| 3 | Drug data robustness & reproducibility | Univariate Analyses: Plot Data: Activity of the same drug across datasets (X & Y) | Fig. 2E-F | Warning: Not all drugs are consistent across dataset |
| 4 | Integrates all the SCLC cell line genomic datasets under SCLC_Global (NCI, GDSC, CCLE, CTRP, UTSW) | Use the pull-down tabs for Cell Line Sets and choose SCLC_Global | Fig. 4F; 6H; S4A-B; S5C | The 119 SCLC cell lines can be classified in the 4 groups of NAPY; Development of NAPY genomic signatures |
| 5 | Integration with CellMinerCDB | Open in parallel: http://discover.nci.nih.gov/cellminerfdb | Figs. 2, 4, 5 | POU2F3 is selective for SCLC; YAP1 is expressed widely beyond SCLC; ASCL1 is co-expressed with NEUROD1 |
| 6 | Select and compare subsets of cell lines based on tissue or origin or metadata: NAPY, TNBC, NSCLC | Univariate Analyses: select Y axis: Select Tissue/s of Origin or Select Tissues to color (NEUROD1, ASCL1, POU2F3, YAP1, NE) | Figs. 5F; S5; S15 | NEUROD1 and ASCL1 are also selectively expressed in CNS cancer cell lines |
| 7 | Test Phenotypic data (mda): NE, APM, EMT | Univariate Analyses: select Data Type mda: NE, APM, EMT . Additional selection can be done for subset (see # 6) | Fig. 6 | NE cell lines have low Antigen Presenting Machinery score (APM) |
| 8 | Tissue- or Subset-type specific analyses (NAPY; NE) | Select Tissue/s of Origin or Select Tissues to color | Figs. 5-6; S10; S13; S17 | YAP1 cell lines have lower replication and highest APM score |
| 9 | Epigenetics: promoter methylation for any given gene | Univariate analyses: Plot Data: Expression of a given gene vs its methylation (X & Y Data Type) within a given Cell Line Set or across datasets (independent datasets can be tested for missing Data Type and confirmation) | Fig. S1 | Promoter methylation is a driver for gene expression (NAPY genes; SLFN11; MGMT; SMARCA1; CGAS) |
| 10 | Gene amplification and deletions for any given gene | Univariate analyses: Plot Data: Expression of a given gene vs copy number (X & Y Data Type) within a given Cell Line Set or across datasets (independent datasets can be tested for validation and missing Data Type) | Figs. 1; 3; S2 | MYC genes and other oncogenes are often driven by copy number variation (CNV) |
| 11 | Integrate and complement different datasets for common cell lines | Univariate Analyses: Plot Data: Plot different parameters (Data Type for genomic or drug response) across Cell Line Sets (X & Y) to counter missing data in one dataset | Figs. 1; 2; 6 | Drug response data in one dataset can be correlated with genomics of another dataset |
| 12 | Genomic pathway discovery (coregulated genes and microRNAs) | Univariate analyses: Plot Data: expression of a given gene (X or Y Data Type) within a given dataset or across datasets; also use the Compare Patterns tab. | Figs. 5; 6; S4; S5 | ASCL1 and YAP1 are integrated in tight genomic networks connected with the NOTCH pathway |
| 13 | Discover determinants of drug response and targeted drug delivery | Univariate Analyses: Plot Data: Compare Patterns: Coregulated genes for a given gene (X or Y) within a given dataset (independent datasets can be tested for confirmation) | Figs. 6; S12-14 | Resistance of YAP1 cell lines to chemotherapy and potential response to mTOR and immune checkpoint inhibitors; NAPY-specific antigen cell surface biomarkers |
| 14 | Validate genomic determinant of drug response | Univariate Analyses: Plot Data: Compare Patterns: plot genomic parameter vs drug (X or Y Data Type) | Fig. 6 | Validation of SLFN11 for DNA damaging chemotherapy |
| 15 | Examine drug correlations: COMPARE analyses | Univariate Analyses: Plot Data: Data Type: drug vs drug (X or Y); also select Compare patterns to identify drug-drug correlations | Fig. S1 | Cell lines sensitive to etoposide are cross-sensitive to topotecan |
| 16 | Multivariate models of drug response & genomic features | Multivariate Analyses: Cell Line Set; Response Data Type; Predictor Data Type/s; Predictor Identifier: enter drug and genomic parameters to be tested as identifier or use LASSO to discover additional non-redundant determinants of response | Fig. 5B & D; Fig. S5E | Discover independent omic or drug parameters to build a molecular signature for drug response or gene expression |
| 17 | Data download | Univariate Analyses: View Data: Download tabs or Multivariate Analyses: Download tab | Fig. 6 | Allow further in depth analyses and data download in Excel |
| 18 | Drug identifier conversion | | | |

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Highlighted in red characters are the option tabs of SCLC_CellMiner: (<https://discover.nci.nih.gov/ScleCellMinerCDB/>)

767 **Figures and legends**

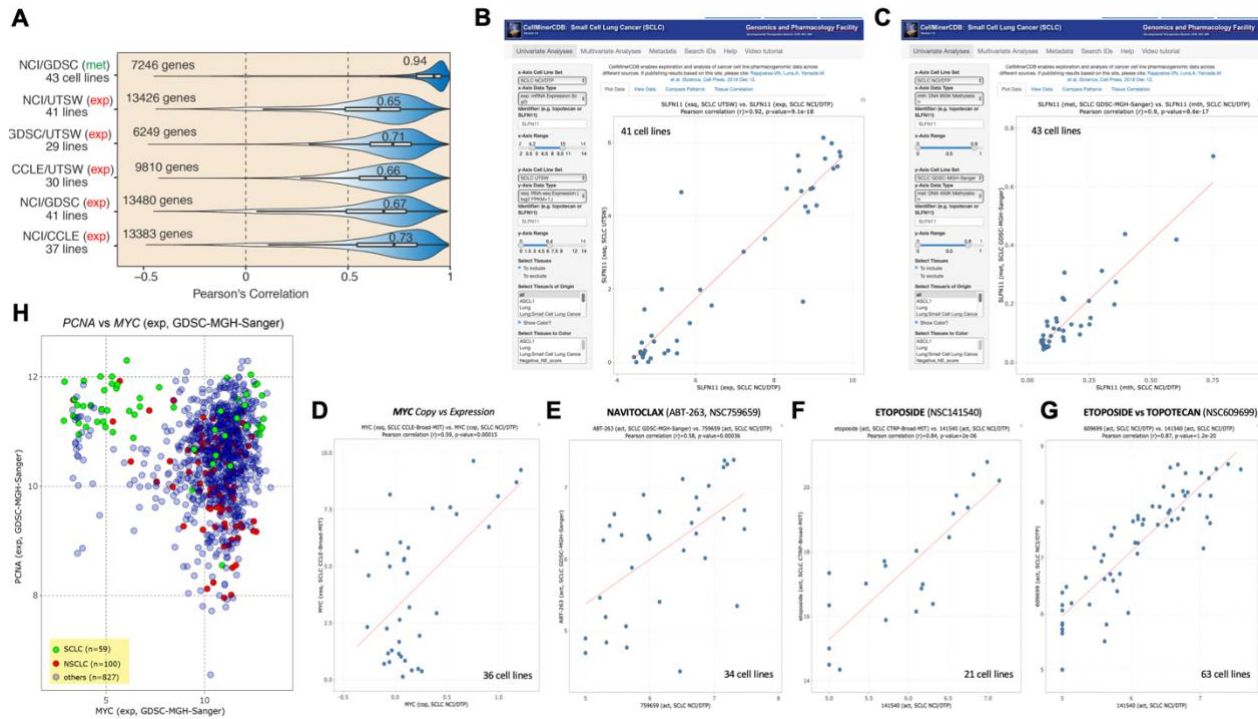
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Figure 1 – Summary of the data included in SCLC-CellMiner and resources

(A) Cell line overlap between the five data sources. Each colored box represents one cell line. The cell lines in red are from the NCI database (N = 68), in dark blue from CTRP (N = 39), in light blue from CCLE (N = 53), in orange from GDSC (N = 74) and in green from UTSW (N = 73). Cell line details are provided in Table S1. (B) Summary of the genomic and drug activities data for the five data sources in SCLC CellMinerCDB (<https://discover.nci.nih.gov/ScIcCellMinerCDB/>). The number of SCLC cell lines for datasets and sources are indicated. For microarray, mutations, copy number and methylation data, the numbers indicate the number of genes. For RNA-seq data, the numbers indicate the number of transcripts. The bottom row show the total number of cell lines (N = 118) integrated in SCLC CellMinerCDB. New data analyses performed and made available are highlighted in yellow. (C) Cell line overlap between data sources. Details of the cell line overlap are provided in Table S2. (D) Drug overlap between data sources.

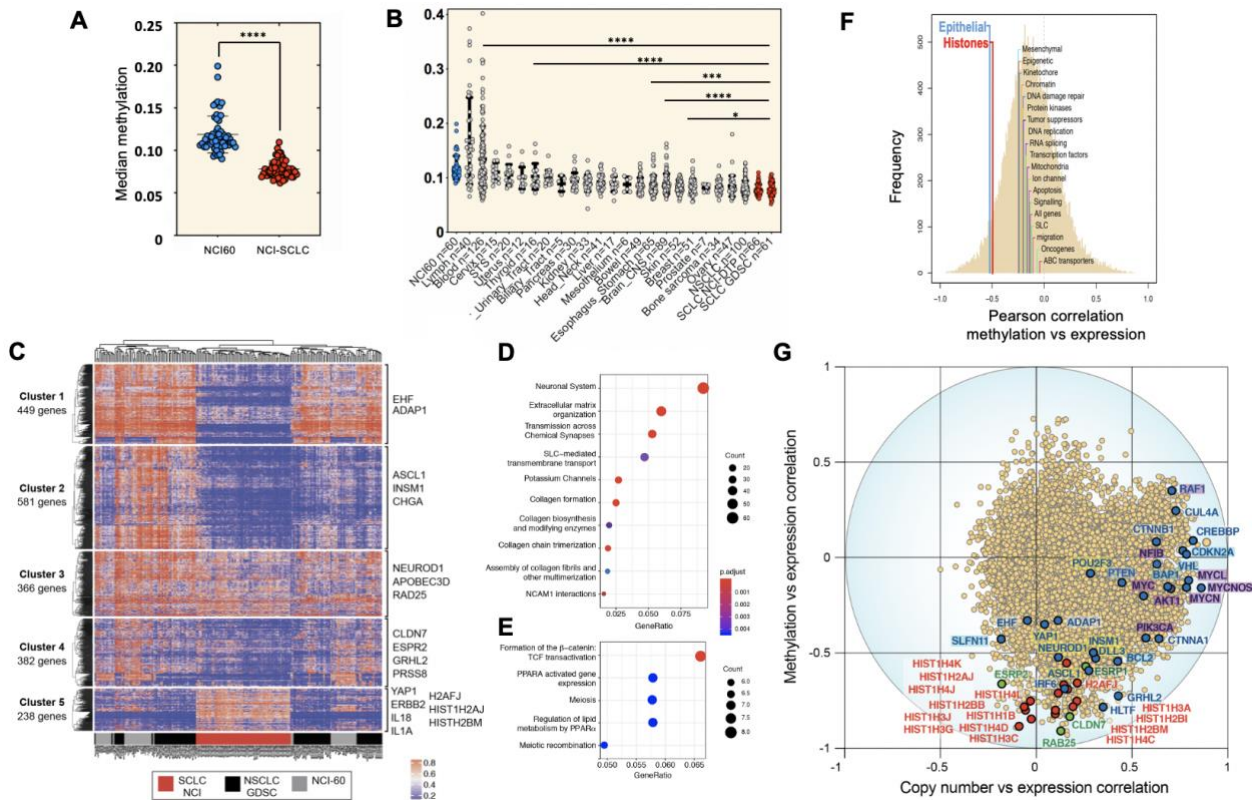


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Figure 2 – Validation and reproducibility of the SCLC-CellMiner data with snapshots illustrating representative outputs of SCLC-CellMiner (<https://discover.nci.nih.gov/ScLcCellMinerCDB/>)

(A) Reproducibility between data sources. The figure represents the expression and methylation Pearson correlations between the indicated data sources for matched cell lines (see Figure 1). The median of expression Pearson correlation is 0.65, 0.67, 0.73, 0.66 and 0.71 for NCI /UTSW, NCI/GDSC, NCI/CCLE, UTSW/CCLE, and UTSW/GDSC, respectively. The median of methylation Pearson correlation between NCI and GDSC data sources is 0.94. (B) Snapshot from SCLC-CellMiner showing the reproducibility of *SLFN11* gene expression across the 41 common cell lines independently of the methods used to measure *SLFN11* expression (AffyArray for NCI/DTP on the x-axis vs RNA-Seq for UTSW). Each dot is a different cell line, which can be identified by moving the cursor to the dot on the CellMiner website. The data can also be readily displayed in tabular form and downloaded in tab-delimited format by clicking on the “View Data” tab to the right of the default “Plot Data” tab (see upper section of Figures 2B & C). (C) Snapshot from SCLC-CellMiner showing the reproducibility of *SLFN11* promoter methylation across the 43 common cell lines independently of the methods used to measure *SLFN11* expression (850 k Illumina Infinium MethylationEPIC BeadChip array for NCI/DTP on the x-axis vs Illumina HumanMethylation 450K BeadChip array for GDSC). (D) SCLC-CellMiner demonstrates the highly significant correlation between *MYC* DNA copy number (new data derived from the 850 K AffyArray methylome of the NCI-SCLC cell lines and *MYC* expression (data from CCLE) for the 36 common cell lines. (E-G) Examples (image snapshots from SCLC-CellMiner) of drug activity correlations across databases for the indicated drugs and the common cell lines (H) High proliferation signature of SCLC cell lines based on high *PCNA* and *MYC* expression. Note that SCLC (green) overexpress *PCNA* but fall into two groups with respect to *MYC* (high and low). The image was obtained through CellMinerCDB with the GDSC database (<http://discover.nci.nih.gov/cellminerfdb>).

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Figure 3 – Methylation profile of SCLC cell lines

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(A) SCLC cell lines exhibit low global methylation level compared to the non-SCLC of the NCI60 and of the

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GDSC (B). Each point represents the median methylation level of individual cell lines for the total set of 17,559

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genes. Twenty one different cancer subtypes are ranked according their global methylation level. SCLC cell lines

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from two different sources (NCI and GDSC; in red) show the lowest global level of methylation. (C) Comparison

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of the methylation profiles between SCLC cell lines (red bar at bottom), NSCLC cell lines included in the GDSC

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and NCI-60 (black bar), and non-lung cancer cell lines from the NCI-60. The heatmap displays the median level

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of methylation of 2,016 genes with high dynamic range (genes with a standard deviation > 0.25 across the data

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sources) in the cell lines from SCLC-NCI (N=66), NSCLC-GDSC (N=75) and the NCI60 (N=60). Dark blue and

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dark red represent lowest and highest methylation median levels, respectively. Subtypes of the cell lines is

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indicated at the bottom (SCLC: red, NSCLC: black and NCI60: grey). SCLC cell lines represent one independent

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and distinct cluster. Among the 5 gene clusters, 3 show low methylation and one high methylation levels in

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SCLC. Examples of key SCLC genes are indicated at right. Details are provided in Supplemental Table S4. (D)

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Pathway analysis for clusters 1 & 2. (E) Pathway analysis for cluster 5. (F) Functional categories with significant

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correlation between gene transcript expression and DNA methylation. The figure shows histograms of the

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distribution of correlations of 17,144 transcript expression and DNA methylation data for the NCI-SCLC cell

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lines (N = 66). Median values are shown for the transcript expression versus DNA methylation level correlations

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of 20 functional groups of genes (defined in Supplementary Table S5). The x-axis are the Pearson correlations

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of the transcript expression versus the DNA methylation values, and the y-axis is the frequency. (G) Correlations

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between gene expression and predictive values of DNA copy number (X-axis) vs DNA methylation (Y-axis). An

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R value of 0 indicates no predictive power. R value of 1 or -1 and +1 indicate perfect negative and positive

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predictive power, respectively. Each point represents one of a total of 14,046 genes analyzed. Oncogenes and

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tumor suppressor genes (highlighted in purple and in blue, respectively) are primarily driven by copy number.

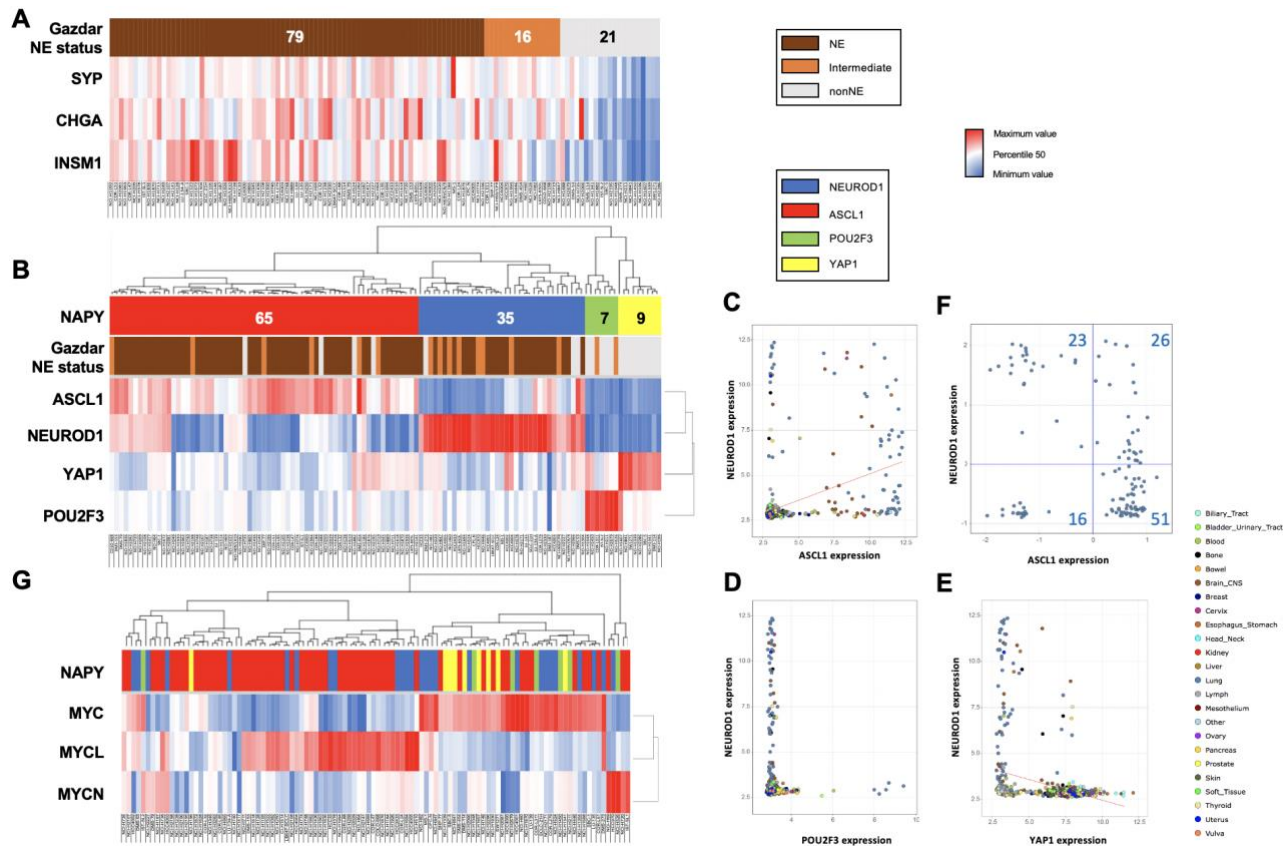
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Histone genes (red), and epithelial genes (green) are primarily driven by DNA methylation (see Supplementary

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Table S5 for details. SCLC key genes (ASCL1, NEUROD1, POU2F3 and YAP1) are also indicated.

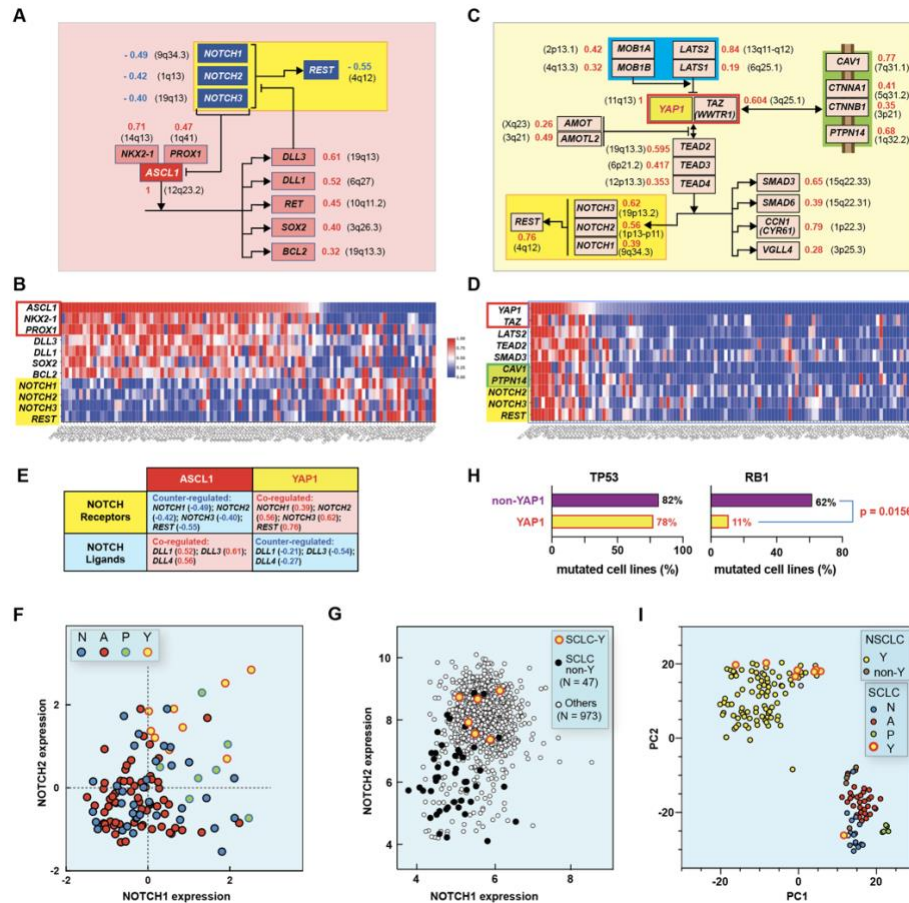
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Figure 4 – SCLC genomic molecular classifications

(A) Neuroendocrine *versus* non-neuroendocrine classification based on the expression of 50 genes (Gazdar et al., 2017). Neuroendocrine (NE; in dark brown) and non-neuroendocrine status (nonNE; in grey) scores are represented for each cell line (N = 116). In light brown are the cell lines with an intermediate score. Numbers at the top correspond to the number of cell lines in each group. Expression of the clinical histological biomarkers *CHGA*, *SYP* and *INSM1* is included. They were obtained after normalization by Z-score (see Supplemental Figure S2). Red and blue correspond to high and low gene expression, respectively. Details are provided in Supplementary Table S3. (B) Classification based on *NEUROD1*, *ASCL1*, *POU2F3* and *YAP1* (NAPY) expression (Rudin et al., 2019). The heatmap displays expression of the NAPY genes in the overall 116 SCLC cell lines of SCLC-CellMiner. Expression values across the 5 data sources were obtained after normalization by Z-score (see Supplemental Figure S2). Complete distance hierarchical clustering shows the expected 4 groups of cell lines. *ASCL1* (N = 65) and *NEUROD1* (N = 35) high-expressor cell lines are considered as NE-SCLC cell lines and *POU2F3* (N = 7) and *YAP1* (N = 9) cell lines, non-NE-SCLC cell lines. The Gazdar classification is included for comparison. Details are provided in Supplementary Table S3. (C) *NEUROD1* and *ASCL1* are specific for both SCLC and brain tumor cell lines. Expression of *ASCL1* versus *NEUROD1* in the GDSC database and processed with CellminerCDB. Each point represents a cell line (N = 986). (D) Common co-expression of *NEUROD1* (y-axis) and *ASCL1* (x-axis) in the 11 SCLC. Each point represents a cell line. (E) *POU2F3* is selectively expressed in SCLC but not in brain tumor cell lines (N=986 from GDSC processed with CellMinerCDB). (F) *YAP1* expression is not specific to SCLC. *YAP1* exhibits a high range of expression across the different subtypes of cancer cell lines of the GDSC database (N=986). Plots in panels E-F are snapshots from CellMinerCDB (<http://discover.nci.nih.gov/cellminerfdb>). (G) Classification based on MYC genes expression. The heatmap displays expression of *MYC*, *MYCL* and *MYCN* in 106 SCLC cell lines across the 5 data sources after normalization by Z-score (see Supplemental Figure S2). The figure also provides the NAPY classification for each cell lines. Details are in Supplementary Table S4.

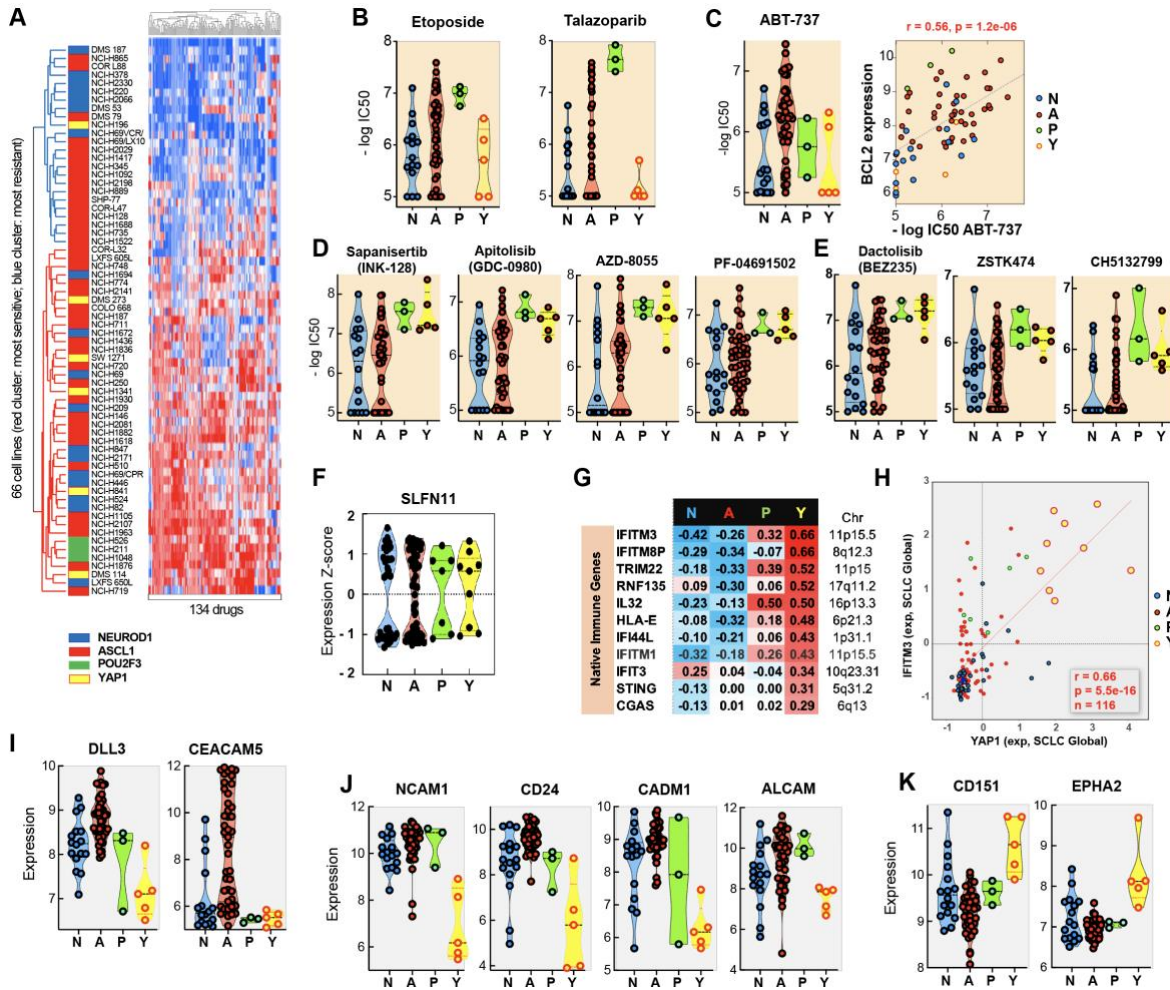


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Figure 5: Integration of the transcriptional networks of the neuroendocrine ASCL1 and non-neuroendocrine YAP1 SCLC cell lines with the NOTCH pathway

(A-D). ASCL1 (panels A-B) and YAP1 (panels C-D) networks. Panel A shows the highly significant correlations between *ASCL1* expression and its molecular transcriptional coactivators *NKX2-1* and *PROX1*, and some of its downstream transcriptional targets (bayonet arrows). Numbers to the right indicate the significantly positive Pearson's correlations coefficients (red) and chromosome locations (black in parenthesis) obtained from Miner Global (<https://discover.nci.nih.gov/ScIcCellMinerCDB>). The NOTCH receptor network (blue boxes) with its transcriptional target *REST* are shown at the top of the panel (yellow box). Negatively significant Pearson's correlations coefficients (blue) and chromosome locations (black in parenthesis) obtained from SCLCcellMiner Global (<https://discover.nci.nih.gov/ScIcCellMinerCDB>) Panel B: visualization of the correlations between *ASCL1* expression and the indicated genes corresponding to those shown in panel A. Note the counter-expression of the NOTCH receptor pathway (yellow highlight) with respect to *ASCL1* expression. The image is a snapshot obtained using the multivariate analysis tool of SCLCcellMiner using the Global dataset of the 116 cell lines. Panels C and D. Same as panels A and B except for *YAP1* across the 116 SCLC cell lines of SCLCcellMiner. Note the positive correlation between *YAP1* expression and the NOTCH receptor pathway (see text for details). (E) Negative correlations between the NOTCH receptors and ligands and *ASCL1* vs *YAP1* across the 116 cell lines of SCLCcellMiner. Pearson's correlation coefficients with respect to *ASCL1* (2nd column) and *YAP1* (3rd column) are indicated in parenthesis. They can be obtained using the Global dataset of the 116 cell lines of SCLCcellMiner. (F) Correlation between *NOTCH1* and *NOTCH2* across the Global dataset of the 116 cell lines of SCLCcellMiner. *YAP1* cells show significantly highest expression of both *NOTCH1* and *NOTCH2*. (G). Correlation between *NOTCH1* and *NOTCH2* across the 1036 cell lines of the CCLE. The SCLC-YAP1 have highest NOTCH (see inset for annotations). (H) SCLC-YAP1 cells have significantly reduced frequency of RB1 mutations. Only one SCLC-YAP1 cell line (NCI-H196) shows RB1 mutation whereas 7 of the 9 SCLC-YAP1 show TP53 mutations. Data were compiled from the 116 cell lines of SCLC-CellMiner Global (I). tSNE clustering plot using gene expression data of 60 SCLC and 100 NSCLC cell lines (microarray; GDSC data source). Each dot represents a sample and each color represents the type of the sample (see inset).

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Figure 6: Therapeutic predictive genomic biomarkers for SCLC based on cancer cell lines drug response, gene expression and molecular NPY classification

(A). Cluster image map showing the global response of the NCI-SCLC cell lines (N = 66) across 134 different drugs from a broad range of chemical classes and targets. Cell lines are listed in the middle column and their NPY classification to the left. (B). POU2F3 cells are the most sensitive to etoposide and talazoparib while the YAP1 cell lines are the most resistant. (C). Selective activity of the BCL2-BCL-XL inhibitor in a subset of the ASCL1-SCLC cell lines (left) and highly significant correlation with BCL2 expression (right). (D). Selective activity of the mTOR/AKT inhibitors in a subset of the non-NE SCLC cell lines (POU2F3 = P; YAP1 = Y). (E). Selective activity of the PI3K inhibitors in the non-NE SCLC cell lines. (F). *SLFN11* expression across the 116 SCLC cell lines exhibits bimodal distribution in all 4 subtypes of SCLC and is a predictive biomarker for DNA damaging chemotherapeutic agents (<https://discover.nci.nih.gov/ScIcCellMinerCDB>) (see Supplemental Figure S12). (G). Selective expression of native immune pathway genes in the YAP1 SCLC (correlations between each of the NPY genes and the listed native immune response genes are listed with colors reflecting significantly positive and negative correlations (red and blue, respectively)). (H). Snapshot from SCLC-CellMiner illustrating the correlation between YAP1 and IFITM3 transcripts across the 116 cell lines of SCLC-CellMiner Global (see Supplemental Figure S13). (I). Selective expression of the DLL3 and CEACAM5 surface markers targeted by Rovalpituzimab tesirine (Rova-T) and Labetuzumab govitecan (IMMU-130), respectively, in the NE-SCLC cell lines (A preferentially) (see Supplemental Figure S13). (J). Potential surface biomarker targets for NE-SCLC and POU2F3 SCLC cells (N & A). (K). Potential surface biomarkers for non-NE YAP1-SCLC cells. Data in panels A-E and I-K are from the 66 cell lines from the NCI-DTP drug and genomic database.

914 **Material and methods**

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916 SCLC CellminerCDB is dedicated CellminerCDB version for SCLC cell lines (Reinhold et al.,
917 2012; Reinhold et al., 2014; Reinhold et al., 2019; Reinhold et al., 2017b)
918 <https://discover.nci.nih.gov/cellminerfdb/>).

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920 *SCLC-CellMinerCDB resources*

921 The cell line sets included in SCLC-CellMiner Cross-Data-Base (CDB) currently are from the
922 National Cancer Institute SCLC cell lines from the Developmental Therapeutics Program Small
923 Cell Lung Cancer Project (SCLC NCI-DTP), Cancer Cell Line Encyclopedia (CCLE), Genomics
924 and Drug Sensitivity in Cancer (GDSC), Cancer Therapeutics Response Portal (CTRP), the
925 University of Texas SouthWestern (UTSW) and a new merge resource Global expression SCLC
926 (add help section SCLC CellMiner CDB URL address). The data source details are described in
927 “Help” section of the SCLC CellMiner website.

928
929 *SCLC-CellMinerCDB data*

930 Most of the data including drug activity and genomics experiments were processed at the institute
931 of origin and were downloaded from their website or provided from their principal investigator.
932 However, methylation, mutation and copy number data were processed at Development
933 Therapeutics Branch, CCR, NCI to generate a gene level summary as described previously
934 (Barretina et al., 2012; Garnett et al., 2012; McMillan et al., 2018; Polley et al., 2016).

935
936 *DNA methylation data*

937 Gene-level methylation using the 850k Illumina Infinium MethylationEPIC BeadChip array was
938 summarized based on (Reinhold et al., 2017b). In short, methylation data were normalized using
939 the minfi package using default parameters, where probe-level beta-values and detection p-values
940 were calculated for each probe. This provided 866,091 methylation probe measurements.
941 Methylation probe beta-values for individual cell lines with detection p-values $\geq 10^{-3}$ were set to
942 missing. Also probes with median p-value $\geq 10^{-6}$ were set to missing for all cells and removed
943 from the analysis. Probe locations on the human genome (hg19 version) defined by Illumina was
944 used for the analysis, annotating proximal gene transcripts and CpG islands. Probes were
945 designated as category “1” or “2”, with category “1” considered to be most informative. Category
946 “1” probes overlapped CpG islands and they overlapped either the TSS region within a 1.5kb
947 distance, the first exon or 5'-UTR region. Additionally, probes on the upstream shore of a CpG
948 island with a maximal distance of 200bp from the TSS were also included as category “1” probes.
949 Category “2” probes were positioned either in the upstream- or downstream shore of a CpG island
950 and overlapping the first exon, or on the downstream shore of CpG islands overlapping a 200bp
951 region from the TSS, or in 5'-UTR. In case of genes with multiple transcript start sites, the
952 transcript methylation with the most negative correlation to the gene level expression was used.
953 The analysis resulted in gene-level methylation values for 23,202 genes.

954
955 *Copy number*

956 Genome wide copy number for the cell lines was estimated from the methylation array data using
957 the Chip Analysis Methylation Pipeline (*ChAMP*) (Tian et al., 2017) package. *ChAMP* returns lists
958 of genomic segments with putative copy number estimates. However, the estimate is not valid for
959 regions with high methylation detection p-values. For this reason, regions spanning more than 1kb
960 with at least 5 probes with high detection p-values ($p > 0.05$) were filtered out. The copy number

961 estimates were set to missing for those areas. Gene level copy number (for n=25,568 genes) was
962 calculated for each gene individually, by calculating the average estimate between the transcription
963 start sites and transcription end sites.

964 965 *RNAseq data*

966 The RNA-seq gene expression data from UTSW SCLC were obtained from analyses based on
967 (McMillan et al., 2018). The raw data have been previously submitted to dbGaP (accession
968 phs001823.v1.p1). For CCLE, the RNA-seq data was downloaded from the broad institute portal
969 at <https://portals.broadinstitute.org/ccle/data> (version 2016-06-17)

970 971 *Global expression data*

972 We also generate a new Global SCLC dataset using all combined cell lines, averaging gene
973 expression based on z-scored gene expression from all resources: NCI SCLC, CCLE, CTRP,
974 GDSC and UTSW. For each experiment, genes were scaled across all cell lines to create a z-score
975 normalized dataset. The data sources have a mixture of microarray and RNA-seq gene expression.
976 To test for removal of batch effects by gene scaling (z-score normalization), principal component
977 analysis (Partek Genomics suite v7.17.1222) was performed on the raw (Fig.S3A) and normalized
978 data (Fig.S3B) for CCLE microarray and RNA-seq datasets.

979 980 *Pathway level correlation of expression and DNA methylation*

981 The correlation between methylation and gene expression for multiple functional categories was
982 calculated based on genes in Supplementary Table S4. For each category, the median correlation
983 of the related genes was calculated to identify potential categories of interest.

984 985 *Predictive power of DNA copy number and methylation on transcript expression.*

986 Testing the predictive power of DNA copy number and methylation on transcript expression was
987 performed with linear regression analysis (as seen in Fig3G). For each of the 15,798 genes with all
988 three forms of data available (transcript, methylation, and copy number levels) a linear regression
989 model was fit, with both copy number and methylation as independent variables and transcript
990 expression as the dependent variable. The model provided coefficients for the copy number and
991 methylation that gave the lowest squared error between fitted values and true expression. We
992 separated individual contributions of these two factors for gene expression prediction using the
993 method of relative importance (Gromping, 2006), using the *lmg* method (Bacher, 1983) from the
994 R package *relaimpo* to compute individual R² values. Total (or combined) R² is the summation of
995 these two. Square roots of the R² values were multiplied by the sign of the coefficients of the factors
996 in the combined model to get the value of R.

997 998 *Cluster analysis*

999 The methylation heatmap was created with the *ComplexHeatmap* (Gu et al., 2016) R package
1000 (version 1.20.0) using the *kmeans* clustering available in the *Heatmap()* function of the package.
1001 The number of reported clusters was selected based on cluster stability and biological significance.

1002
1003 SCLC cell lines groupings according NEUROD1, ASCL1, POU2F3 and YAP1 expression, MYC
1004 genes expression and neuroendocrine status defined by the Gazdar classification (Zhang et al.,
1005 2018) were done using the CIMminer tool from CellMiner
1006 (<https://discover.nci.nih.gov/cimminer/oneMatrix.do>). The used parameters were Euclidean
1007 distance method and complete linkage as cluster algorithm.

1008
1009 SCLC and NSCLC cell line grouping was performed with the gene expression data from the GDSC
1010 microarray dataset using the t-SNE algorithm in R (v3.5.1). The random seed was set to 1, the
1011 Euclidean distance of genes was calculated with the *dist()* function with default settings. The t-
1012 SNE grouping was calculated using the *Rtsne()* function from the Rtsne (van der Maaten, 2014)
1013 package (v0.15) using the calculated distance matrix, with perplexity set to 10, and 5k maximum
1014 iterations.

1015
1016 The NCI SCLC drug activity heatmap was generated using Partek Software. First, drugs with
1017 coefficient of variation less or equal to 0.09 were filtered out. Then the remaining data for the
1018 selected 134 drugs (from originally 527) across the 66 SCLC lines were clustered using the
1019 hierarchical method based on Euclidean distance and complete linkage.

1020
1021 *Gene set enrichment analysis*
1022 A preranked gene set enrichment analysis was run in R using the *clusterProfiler* (Yu et al., 2012)
1023 and *ReactomePA* (Yu and He, 2016) packages. Pathways with an adjusted p-value below 0.05 were
1024 considered as significantly enriched. Single sample gene set enrichment score (APM score) was
1025 computed using the R package GSEA (version 1.28.0).

1026
1027 *Statistical methods.*
1028 Correlations, heatmaps, and histograms were generated mostly using The R Project for Statistical
1029 Computing. Some plots and analysis (such as the Kruskal Willis test) were generated using Partek
1030 Genomics suite v7.17.1222 (<https://www.partek.com/partek-genomics-suite/>) or using SCLC
1031 CellMinerCDB and CellMinerCDB (<http://discover.nci.nih.gov/cellminerfdb>).
1032 Wilcoxon rank-sum tests were used to test the difference between continuous variables such as
1033 drug sensitivity and gene expression according NAPY classification. We considered changes
1034 significant if p-values were below 0.05. In the figures, p-values below 0.00005 were summarized
1035 with four asterisks, p-values below 0.0005 were summarized with three asterisks, p-values below
1036 0.005 were summarized with two asterisks and p-values below 0.05 were summarized with one
1037 asterisk.

1038
1039 **Data availability**
1040 All newly generated datasets have been deposited to the Gene Expression Omnibus (GEO,
1041 <https://www.ncbi.nlm.nih.gov/geo/>) under the accession number **GSE145156**.

1042
1043 **Data for reviewers**
1044 Data can be accessed at <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE145156> using
1045 the reviewer token “wnyxcukabfgnhet”.

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