1	Control of Mycobacterium tuberculosis Infection in Lungs is Associated with Recruitment of		
2	Antigen-Specific Th1 and Th17 cells Co-expressing CXCR3 and CCR6.		
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4	Uma S	Shanmugasundaram ¹ , Allison N Bucsan ^{2,%} , Shashank R. Ganatra ^{2,5,6} , Chris Ibegbu ^{1,4} ,	
5	Melanie Quezada ¹ , Robert V Blair ³ , Xavier Alvarez ^{2,3} , Vijayakumar Velu ^{1,4} , Deepak Kaushal		
6	^{2,5,6*} and Jyothi Rengarajan ^{1,4,7*}		
7			
8	1.	Emory Vaccine Center, Emory University, Atlanta, GA 30322, USA.	
9	2.	Tulane National Primate Research Center, Tulane University School of Medicine,	
10		Covington, LA, 70433, USA.	
11	3.	Division of Comparative Pathology, Tulane National Primate Research Center,	
12		Covington, LA, 70433, USA.	
13	4.	Yerkes National Primate Research Center, Emory University, Atlanta GA	
14	5.	Southwest National Primate Research Center, San Antonio, TX, 78227, USA.	
15	6.	Texas Biomedical Research Institute, San Antonio, TX, 78227, USA.	
16	7.	Division of Infectious Disease, Department of Medicine, Emory University School of	
17		Medicine	
18			
19	% current address: Henry M. Jackson Foundation for the Advancement of Military Medicine,		
20	Bethesda MD 20817.		
21	*Correspondence: Jyothi Rengarajan, PhD, Email: jrengar@emory.edu and Deepak Kaushal		
22	PhD, Email: <u>dkaushal@txbiomed.org</u>		
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27 Abstract

28 Mycobacterium tuberculosis (Mtb)-specific T cell responses associated with immune control 29 during asymptomatic latent tuberculosis infection (LTBI) remain poorly understood. Using a non-30 human primate (NHP) aerosol model, we studied the kinetics, phenotypes and functions of Mtb 31 antigen-specific T cells in peripheral and lung compartments of Mtb-infected asymptomatic 32 rhesus macagues by longitudinally sampling blood and bronchoalveolar lavage (BAL), for up to 33 24 weeks post-infection. We found significantly higher frequencies of Mtb-specific effector and 34 memory CD4 and CD8 T cells producing IFN-y in the airways compared to peripheral blood, 35 which were maintained throughout the study period. Moreover, Mtb-specific IL-17+ and IL-36 17/IFN-y double-positive T cells were present in the airways but were largely absent in the 37 periphery, suggesting that balanced mucosal Th₁/Th₁₇ responses are associated with LTBI. The 38 majority of Mtb-specific CD4 T cells that homed to the airways expressed the chemokine 39 receptor CXCR3 and co-expressed CCR6. Notably, CXCR3+CD4+ cells were found in 40 granulomatous and non-granulomatous regions of the lung and inversely correlated with Mtb 41 burden. Our findings provide novel insights into antigen-specific T cell responses associated 42 with asymptomatic Mtb infection that are relevant for developing better strategies to control TB.

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Key words: *Mycobacterium tuberculosis*, tuberculosis, Mtb antigen-specific T cells, Latent TB
infection, Nonhuman Primate, Rhesus macaque, Bronchoalveolar Lavage, airways, CXCR3,
CCR6, granuloma, blood, lung.

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48 Introduction

After close contact with a person with active tuberculosis (TB), only a minority of individuals 49 50 develops primary TB disease. The majority of individuals successfully control Mycobacterium 51 tuberculosis (Mtb) infection in a clinically asymptomatic state termed latent TB infection 52 (LTBI)(1). Individuals with LTBI are defined as having a positive tuberculin skin test (TST) 53 and/or Interferon-gamma Release Assay (IGRA), a normal chest radiograph and the absence of 54 clinical signs and symptoms of disease(2). Latently-infected individuals are generally thought to 55 contain Mtb within granulomatous lesions in the lung without completely eradicating bacteria. 56 although direct evidence for the persistence of Mtb in human LTBI is lacking. Moreover, it is 57 increasingly recognized that clinically asymptomatic individuals likely reflect a spectrum of 58 infection outcomes, ranging from individuals who may have eliminated infection, to those with 59 low levels of replicating or non-replicating persistent bacteria, to individuals who may harbor 60 actively replicating bacteria without exhibiting overt clinical symptoms (3-5). Identifying immune 61 responses associated with asymptomatic Mtb infection states will provide key insights into 62 mechanisms of immune control that protect against progressing to active TB disease. 63 64 Antigen-specific T cell responses are critical for immune control of Mtb infection. In response to 65 Mtb infection, the majority of infected people mount robust CD4 T cell responses involving T 66 helper 1 (Th₁) cytokines such as IFN-y and TNF- α , which are important for activating

67 macrophages and curtailing Mtb replication in the lung(6, 7). In addition, IL-17 and Th₁₇

responses have emerged as important for protective immunity against TB, but mucosal Mtbspecific Th₁₇ responses during immune control of LTBI in humans remain poorly studied. In general, the nature and kinetics of Mtb antigen-specific T cell responses in the blood and lung compartments during human LTBI have not been well characterized. This is in part because small animal models do not reproduce key aspects of human LTBI. Moreover, accurately documenting Mtb exposure, initial infection and early events following infection in humans is

almost impossible. Thus, studies of Mtb antigen-specific T cells in humans have been largely
confined to cross-sectional characterization of peripheral responses in the blood(8-12). While
some studies have examined responses in bronchoalveolar lavage (BAL)(13-15), longitudinal
studies in humans comparing Mtb antigen-specific T cell responses in blood and lung
compartments have been lacking.

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80 Nonhuman primate (NHP) macaque models of Mtb infection recapitulate multiple features of 81 human Mtb infection, including clinically asymptomatic infection and symptomatic active TB 82 disease (16-18) and are attractive for studying immune parameters associated with control of 83 Mtb infection in peripheral blood and lung compartments. We have previously established a 84 model of LTBI in Indian rhesus macaques, in which low-dose aerosol infection with Mtb 85 CDC1551 leads to the development of asymptomatic Mtb infection. Approximately 80% of 86 infected animals remained disease-free for up to 6 months post-infection (19-24) while only 87 ~20% progressed to active TB disease. In this study, we characterized the nature, magnitude 88 and kinetics of Mtb antigen-specific CD4 and CD8 T cell responses during asymptomatic LTBI 89 in rhesus macagues over the course of ~24 weeks post-infection, by serially sampling blood and 90 lung compartments in conjunction with intensive clinical monitoring. We found significantly 91 higher frequencies of Mtb-specific effector and memory CD4 and CD8 T cells producing IFN-y in 92 the airways compared to peripheral blood; and these were maintained throughout the 24-week 93 study period. Moreover, Mtb-specific IL-17+ and IL-17/IFN-γ double-positive T cells were 94 present in the airways but were largely absent in peripheral blood. The majority of Mtb-specific 95 CD4 T cells that homed to the airways expressed the chemokine receptor CXCR3 and co-96 expressed CCR6. Notably, CXCR3+CD4+ cells were also found in the lungs of animal with LTBI 97 and active TB and were associated with lower Mtb burdens. Our findings provide new insights 98 into antigen-specific T cell responses associated with the establishment and maintenance of 99 asymptomatic infection.

100 Results

101 Experimental design and clinical characteristics of rhesus macaques with asymptomatic102 Mtb infection.

103 Six animals with no clinical signs or symptoms of disease were studied over the course of ~24 104 weeks following low-dose aerosol infection (Figure 1A). These animals had a median chest 105 radiograph (CXR) score of 0.4, denoting no pulmonary lesions, and maintained normal CRP 106 levels (Figure 1B), body weight (Supplementary Figure 1A) and temperature (Supplementary 107 Figure 1B). All animals except one had detectable bacteria upon plating BAL (Figure 1C) and 108 three of these animals had detectable, albeit low (< 4-logs), lung bacterial loads at necropsy 109 (Figure 1D). Examination of Hematoxylin-Eosin (H&E)-stained lung tissue at necropsy (at ~24 110 weeks post-infection) showed that animals harbored varying degrees of inflammatory lesions. 111 ranging from 0.2% to 20% of the lung being constituted by granulomas (Figure 1E). These 112 results highlight the heterogeneity of clinically asymptomatic animals that control Mtb infection, 113 consistent with the idea that asymptomatic LTBI is represented by a spectrum of Mtb infection 114 states(3, 5).

Comparison of total CD4 and CD8 T cell frequencies in PBMC and BAL of asymptomatic rhesus macagues.

We assessed the frequencies of total CD4 and CD8 T cells before Mtb infection (week -1) and at 3, 7, 11, 15, 19 and 24 weeks post-infection in the six animals with asymptomatic Mtb infection (**Figure 2**). We observed significantly higher frequencies of CD4 T cells in peripheral blood compared to BAL at all time points studied (p=0.01). In contrast, higher frequencies of CD8 T cells were present in BAL compared to peripheral blood at all time points (p=0.01). Overall, CD4 and CD8 T cells were each maintained over time in both BAL and PBMCs during the course of the study.

To assess the kinetics of Mtb antigen-specific CD4 and CD8 T cell responses in the peripheral

124 High frequencies of Mtb antigen-specific CD4 and CD8 T cells producing IFN-γ are

125 maintained in the BAL of rhesus macaques during asymptomatic Mtb infection.

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127 blood and airways of asymptomatic animals that control Mtb infection as LTBI, we stimulated 128 PBMC and BAL samples at each time point with Mtb cell wall antigens (CW) and ESAT-6/CFP-129 10 peptide pools, followed by intracellular cytokine staining (ICS) and flow cytometry to assess 130 production of IFN-y. In both PBMC and BAL, CW- and ESAT-6/CFP-10-specific, IFN-y-131 producing CD4 (Figure 3A & B, Supplementary Figure 2A) and CD8 (Figure 3C & D, 132 Supplementary Figure 2B) T cells were detected as early as 3 weeks post-Mtb infection. Mtb-133 specific CD4 and CD8 T cell frequencies increased at week 7 in both PBMC and BAL and were 134 maintained up to the necropsy endpoint. Overall, while frequencies of Mtb-specific IFN-y+ CD4 135 were higher than their CD8 counterparts, both CD4 and CD8 T cell frequencies were 136 significantly (p=0.03) higher in BAL compared to peripheral blood at all time points [mean±SEM, 137 at week 7 post Mtb-infection, 0.3%±0.06 in PBMC and 15%±6.5 in BAL]. Thus, robust Mtb 138 antigen-specific CD4 and CD8 T cell responses are maintained in lung compartments during 139 asymptomatic LTBI.

High proportions of CD28+CD95+ Mtb-specific memory T cells in peripheral blood and BAL.

The proliferation of antigen-specific T cells in response to infection leads to development of a pool of antigen-experienced memory T cells that are important for mediating effective protection against re-challenge(25). TB vaccine strategies aim to elicit protective antigen-specific memory T cell responses(26, 27). Moreover, memory T cells are thought to play an important role in controlling Mtb infection during LTBI but remain poorly studied in lung compartments. We therefore investigated Mtb-specific effector and memory CD4 and CD8 T cell subsets in

148 asymptomatic rhesus macaques by assessing their differentiation state, based on cell surface 149 expression of CD28 and CD95 on IFN-γ+ CD4 T cells and CD8 T cells (Figure 4). At week 7 150 post-infection we observed that antigen-specific IFN-y+ CD4 and CD8 T cells in both PBMC and 151 BAL were predominantly CD28+CD95+ (Figure 4A-D), indicating a central memory-like 152 phenotype. Moreover, these memory T cells were maintained at high levels throughout the time 153 course of the study. While we observed higher proportions of antigen-specific CD28-CD95+ 154 effector CD8 T cells compared to CD4 T cells in the BAL (Figure 4C, D), the relative proportions 155 of CD28+CD95+ and CD28-CD95+ CD4 and CD8 T cell subsets were maintained throughout 156 latent infection in both peripheral blood and airways (Figure 4E-H). Our data suggest that 157 antigen-specific memory T cells in the blood and lung compartments are long-lived and are 158 likely to contribute towards maintaining immune control during LTBI.

159 IL-17+ and IFN-γ+/IL-17+ T cells are present in the BAL but not in PBMCs from

160 asymptomatic rhesus macaques.

161 In addition to IFN-y production, IL-17 and Th₁₇ responses have emerged as important for 162 protective immunity against TB. To determine whether Mtb-specific IL-17 producing T cells are 163 present during LTBI, we next assessed the kinetics of IL-17+ CD4 and CD8 T cells in both BAL 164 and PBMC (Figure 5 A-D). We observed minimal to no Mtb-specific IL-17+ CD4 and CD8 T cell 165 responses in PBMCs throughout the time course of the study (Figure 5 A-D, Supplementary 166 Figure 2C & D). In contrast, CW- and ESAT-6/CFP10-specific IL-17+ CD4 (Figure 5A & B) 167 and CD8 cells (Figure 5C & D) T cells were clearly detectable in the BAL. Thus, the presence 168 of Mtb-specific IL-17+ Mtb-specific T cells in the airways suggests preferential accumulation of 169 IL-17-producing T cells at lung mucosal sites of Mtb infection and replication.

170 Although IL-17 is a hallmark of Th_{17} cells, IL-17/IFN- γ double-positive T cells are also known to 171 be present at mucosal sites of inflammation(28). We sought to investigate whether Mtb-specific 172 CD4 T cells that express both IFN-y and IL-17 are present in BAL (Figure 6A). At week 7 post-Mtb infection, in addition to CW- and ESAT-6/CFP-10- stimulated CD4 T cells that singly-173 174 expressed either IFN-y and IL-17 (Figure 6A-C), we found Mtb-specific T cells that co-175 expressed IFN-y and IL-17 (Figure 6D). While these IFN-y/IL-17 double positive cells were 176 present at relatively low frequencies, our results are consistent with recently published data 177 showing that rhesus macaques who were protected from developing TB following mucosal 178 vaccination with BCG, harbored mycobacteria-specific IFN-y/IL-17 double-positive CD4 T 179 cells(28). Together, the data represented in **Figures 5 and 6** show that in addition to Mtb 180 antigen-specific IFN-y+ T cells, Mtb-specific IL-17+ and IFN-y/IL-17 double-positive T cells are 181 present in the airways of asymptomatic rhesus macagues, suggesting an association between 182 balanced Th₁/Th₁₇ responses at mucosal sites of infection and immune control of Mtb infection.

183 Mtb-specific IFN-γ + and IL-17+ CD4 T cells in the airways co-express CXCR3 and CCR6.

184 Chemokine receptors CXCR3 and CCR6 regulate the migration of antigen-specific Th₁ and Th₁₇ 185 cells, respectively, into inflamed mucosal tissues following microbial infection (29-31). We 186 therefore sought to determine whether these chemokine receptors were expressed on the Mtb-187 specific IFN-y+ and IL-17+ CD4 T cells present in the airways of macagues that controlled Mtb 188 infection. We assessed the frequencies of CW- and ESAT-6/CFP-10-specific IFN-y+ and IL-17+ 189 CD4 T cells in the BAL that expressed either CXCR3 or CCR6 (Figure 7A, B) and found that 190 the majority of IFN-y - and IL-17-producing CD4 T cells expressed CXCR3 (mean±SEM at week 191 7 post-Mtb infection, IFN-y+ 82.4%±2.9, IL-17+ 61%±7) and CCR6 (mean±SEM at week 7 post 192 Mtb infection, IFN-y+ 56%±8.6, IL-17+ 67%±11). To assess co-expression of CXCR3 and 193 CCR6, we analyzed samples from the week 7-time point, since the low frequencies of IL-17-194 producing T cells at later time points precluded reliable analyses. We found that the majority of 195 Mtb-specific IFN-γ + (Figure 7C, D) and IL-17+ (Figure 7E, F) CD4 T cells in BAL co-expressed 196 CXCR3 and CCR6. Moreover, IFN-v/IL-17 double-positive cells were also predominantly

197 CXCR3+CCR6+ (**Figure 7G, H**). Overall, CD4 T cells co-expressing CXCR3 and CCR6 were 198 the main IFN-y - and IL-17-producing subsets present in the airways of macaques with LTBI.

199 CXCR3+CD4 T cells in the lung correlate with lung Mtb burden.

200 Our observation that CXCR3 and CCR6 co-expressing cells were the predominant Mtb antigen-201 specific CD4+ T cells in the airways of macaques with LTBI prompted us to investigate the 202 localization and frequencies of these cells in the lungs of Mtb-infected NHP by immunostaining 203 of lung tissue sections. While CXCR3+ CD4 T cells were clearly detected in lung tissue, robust 204 immunostaining for CCR6 in paraffin-fixed lung tissue could not be established, despite 205 extensive efforts. Since most of the CCR6+ CD4 T cells in BAL were also positive for CXCR3 206 (Figure 7), we used CXCR3 as a proxy for both chemokine receptors (Figure 8). We also 207 stained lung sections with antibodies to CD163 and CD68 to identify macrophages(32). 208 Immunostaining of lung sections from animals with asymptomatic LTBI showed that CXCR3+ 209 CD4 T cells were present in lung tissue (Figure 8A), with higher densities of CXCR3+ CD4 T 210 cells in granulomatous areas of the lung compared to non-granulomatous areas (Figure 8B). 211 Next, to investigate the relationship between lung CD4+CXCR3+ cells and Mtb burden, we 212 stained archived lung tissue sections from rhesus macaques with active TB disease(24). 213 Quantification of the density of CXCR3+CD4+ cells in the lungs of animals with active TB 214 showed that the density of CXCR3+CD4+ cells was significantly (p=0.03) higher in the 215 granulomatous areas compared to non-granulomatous areas of the lung, with overall lower 216 CXCR3+CD4+ densities in the lungs of active TB relative to LTBI (Figure 8B). Interestingly, we 217 observed a negative correlation between lung CXCR3+CD4+ densities and lung CFU (Figure 218 **8C)**, suggesting that CXCR3+ CD4 T cells are associated with lower Mtb burdens. These 219 results, along with the results reported in Figures 6 and 7, suggest that recruitment of CXCR3-220 and CCR6-expressing Th₁ and Th₁₇ subsets to the airways and lungs of macagues contributes 221 to immune control of Mtb burden.

222 Discussion

223 Asymptomatic IGRA+ individuals with LTBI can remain disease-free for decades but current 224 tests cannot determine whether they harbor bacteria or have cleared infection. Thus, defining 225 immune responses associated with establishing and maintaining human LTBI remains 226 challenging. NHPs, e.g., macagues, recapitulate multiple aspects of human Mtb infection and 227 disease progression and are attractive animal models for studying LTBI (16, 33-38). We recently 228 showed that rhesus macaques with asymptomatic Mtb infection harbor viable Mtb bacteria in 229 their lungs for up to nine months (20). Moreover, co-infection with simian immunodeficiency virus 230 (SIV) induced reactivation to TB disease while treatment with isoniazid and rifapentine for 3 231 months prevented SIV-mediated reactivation to TB. In the current study, we used our 232 established low-dose aerosol infection model of LTBI to study the nature and kinetics of Mtb 233 antigen-specific T cell responses in six rhesus macaques that remained free of clinical signs 234 and symptoms of TB disease for upto 24 weeks post-infection. We observed varying degrees of 235 lung inflammation and granulomas across all the asymptomatic animals, (Figure 1E and Figure 236 8), consistent with the heterogeneity reported in cynomolgus macagues with LTBI, where both 237 sterile and non-sterile granulomas were present within a single host (39). 238 239 Previous studies in NHPs assessed antigen-specific immune responses in BAL at early time 240 points macaques in infected macaques (35, 40-42), or during vaccination (43-45) (28). 241 However, in-depth analysis of the phenotypes, functionality and kinetics of Mtb antigen-specific 242 immune responses in blood and BAL during establishment and maintenance of asymptomatic 243 latent infection have been lacking. To our knowledge, our current study, in which we undertook 244 monthly collection of blood and BAL samples starting at week 3 post-infection and up to 24

245 weeks, is the first to longitudinally profile Mtb-specific (CW and ESAT-6/CFP-10-specific) CD4

and CD8 T cell responses during LTBI and to directly compare peripheral blood and lung

247 compartments over an extended period of asymptomatic infection. We found that the

248 frequencies of Mtb CW- and ESAT-6/CFP-10-specific IFN-v- CD4 and CD8 T cell responses 249 were significantly higher in BAL (51-fold for CD4 and 30- fold for CD8) compared to PBMCs, 250 with higher proportions of CD8 T cells present in BAL compared to their CD4 T cell 251 counterparts. The presence of high frequencies of Mtb-specific T cells in the airways as early as 252 3 weeks after Mtb infection, and their persistence throughout the study until 24 weeks, suggests 253 that Mtb-specific T cells accumulate at mucosal sites of infection, which might contribute to 254 control of latent Mtb infection. Lack of IFN-y+ CD4 T cells have been shown to result in loss of 255 Mtb bacterial control in mice (7, 46) and in macagues(47, 48).

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257 Interestingly, in addition to antigen-specific IFN-y+ CD4 T cells, we also detected Mtb-specific 258 IL-17+ CD4 T cells in the BAL by 3 weeks post-Mtb infection. Mtb-specific IL-17+ cells peaked 259 at week 7 and were detected mainly in BAL, being largely absent in blood. The accumulation of 260 IL-17 cytokine-producing CD4 T cells in lung compartments is consistent with the known 261 preferential accumulation of Th₁₇ cells at mucosal sites during infection(49). Various studies in 262 mice have also suggested that Th₁₇ cells play an important role in protective immunity against 263 TB, both in the context of vaccination (50) as well as in the context of disease progression (51, 264 52). In addition, studies in macaques with active TB disease have suggested an association 265 between granuloma IL-17+ cells and upregulation of genes related to Th₁₇ cells and control of 266 Mtb infection (53, 54). Interestingly, in addition to antigen-specific IL17+ CD4 T cells, we also 267 observed (IFN-y+IL-17+) double-positive CD4 T cells in the BAL. Our results indicate that both 268 IFN-v single-positive and IFN-v+IL-17+ double-positive cells emerge soon after Mtb infection 269 and persist for several weeks, thus likely contributing to controlling Mtb infection during LTBI. 270 These data support and extend previous studies in mice (50, 55, 56) and in macaques (28, 53) 271 suggesting that balanced Th_1 and Th_{17} responses are associated with enhanced immunity to 272 TB. Future studies that selectively deplete IL-17 or IFN-y + CD4 T cells in the context of LTBI or 273 vaccination in macaques will provide more direct evidence for the role of these cells in LTBI. In

addition, determining the balance between Th_1/Th_{17} responses in animals that fail to control Mtb infection at early time points post-infection will be of great interest. Overall, our study contributes new insights into the kinetics of Mtb antigen-specific Th_1 and Th_{17} responses in blood and lung compartments during asymptomatic LTBI in over extended periods of time.

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279 Elicitation and maintenance of memory responses has been associated with protective 280 immunity(26, 27). In the present study, Mtb antigen specific memory CD4 and CD8 T cells, both 281 in PBMC and BAL, were maintained at high levels throughout the time course of the study, 282 suggesting that these cells are likely to be long-lived and involved in maintenance of the 283 asymptomatic LTBI state. Although CD4 T cells were the predominant subset responding to Mtb 284 infection, we also detected antigen-specific IFN-y+ CD8 T cells, which increased in frequency 285 between 3 and 7 weeks in parallel with antigen-specific CD4 T cells. The CD8 T cell responses 286 that we observed in macagues that control infection differ from previous mouse studies in which 287 lung CD8 T cell responses were considerably delayed compared to CD4 T cell responses (57). 288 Additionally, our study shows that Mtb-specific CD8 T cells producing IL-17 are present, 289 although co-production of IFN-y and IL-17 was reduced relative to the CD4 subsets. Overall, our 290 findings show that Mtb-specific T cells produce both IFN-y and IL-17 in the BAL at higher 291 frequencies compared to blood and suggest that these responses may be associated with 292 control of Mtb during asymptomatic Mtb infection.

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Th₁ and Th₁₇ cells can be identified by the expression of chemokine receptors, specifically CXCR3 and CCR6 are considered surface marker for Th1 and Th17 respectively(58). Within the CXCR3 and CCR6 axis, another subset of cells that co-express both CXCR3 and CCR6 have been identified (59) and these cells produce both cytokines IFN-γ and IL-17. These IFN-γ/IL-17 double-positive CD4 T cells have been shown to play a pathogenic role during autoimmune diseases(60) but their role in protective immunity to infection remains unclear. With respect to

300 Mtb-infection, a recent study showed preferential expansion of CXCR3+CCR6- and reduction in CXCR3-CCR6+ CD4 subsets in individuals with TB-IRIS(61). In latently infected-individuals, 301 302 CD4 T cells that mainly co-express CXCR3 and CCR6 that produced IFN-y were reported to be 303 present in peripheral blood but these cells did not express IL-17 (59, 62, 63). However, the co-304 expression pattern of CXCR3 and CCR6 on Mtb- specific T cells present in BAL during latent 305 infection has not been studied. In the current study, we found that the majority of antigen 306 specific CD4 T cells co-expressed CXCR3 and CCR6 in BAL (Figure 7) and interestingly, unlike 307 in blood where IL-17 producing cells were absent (Figure 5 and 6), CXCR3+CCR6+ subsets in 308 macaque BAL were IL-17+ and IFN-y+IL17+ co-producing cells. 309 310 In Mtb-infected macagues, CXCR3⁺ Th₁ cells have been shown to be efficient in localizing to 311 lung parenchyma during active TB (40) and in mouse models, these cells have been implicated 312 in containing salmonella within granulomas (64). However, the location of CXCR3+ CD4 cells in 313 the lung and their association with Mtb control in rhesus macaque lungs during LTBI have not 314 been previously described. Using immunofluorescence staining and quantification of 315 CXCR3+CD4+ cells in lung tissue sections from asymptomatic LTBI and Mtb active animals, we 316 found that CXCR3+CD4+ cells were located largely in granulomatous areas. Interestingly, the 317 density of CXCR3+CD4+ cells in the granuloma correlated inversely with bacterial load (Figure 318 8). Although we were unable to effectively stain for CCR6 in the lung, since majority of CCR6+ 319 cells in the BAL also expressed CXCR3 (Figure 7), we conclude that CXCR3+CCR6+ T cells 320 subsets are likely to be associated with mycobacterial control in the lung. 321 322 Our studies clearly show that lung compartments of macagues that control Mtb infection are

populated with high levels of Mtb-specific Th₁ and Th₁₇ cells. These cells co-express CXCR3
and CCR6 and their presence correlates with lowered Mtb burdens in the lung. Our study also
highlights the unique value of the macaque model of LTBI for studying correlates of protective

immunity to TB at mucosal sites of infection. Future studies focusing on the cross-talk between
innate immunity and the development and maintenance of Mtb-specific T cell responses in the
BAL and lung will further extend our understanding of immune control of Mtb infection and
advance the development of better vaccines and immune therapeutics for TB.

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331 Methods

332 Infection of animals with Mtb

333 The experimental design of these studies is described in Figure 1. Eight Indian-origin adult 334 rhesus macaques (Macaca mulatta) were exposed to ~10 CFU of Mtb CDC1551 as described 335 previously (19) resulting in all animals being infected, as assessed by the development of 336 positive TSTs and IGRAs. The animals were obtained from the Tulane National Primate 337 Research Center (TNPRC) breeding colony. Prior to the study, the animals were guarantined for 338 90 days and tested by both Tuberculin Skin Test (TST) and an NHP-specific IGRA 339 (PRIMAGAM, Prionics) (19) to ensure they were not previously exposed to Mtb infection. A 340 custom head-only dynamic inhalation system housed within a class III biological safety cabinet 341 was used for this purpose (19, 24, 27, 65). All animals tested positive by PRIMAGAM and TST 342 at 3 and 7 weeks post-infection. Six out of eight animals did not exhibit any signs or symptoms 343 of active TB and were considered to have LTBI when they remained asymptomatic for 15 344 weeks. All six animals remained asymptomatic for the duration of the 24-week study. Two out of 345 eight animals developed progressive primary TB disease and exhibited pyrexia, wasting, high 346 serum CRP, and other clinical signs of TB by seven weeks post-Mtb infection and were included 347 in a different study. All animal procedures were approved by the Institutional Animal Care and 348 Use Committee (IACUC) of Tulane University, New Orleans, LA, and were performed in strict 349 accordance with NIH guidelines. Mtb-infected animals were housed under BSL-3 conditions.

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351 Clinical procedures and sample collection

352 Procedures for weekly complete blood counts (CBC), chemistry, chest radiographs (CXR) and 353 BAL at week 3 and every four weeks thereafter have been described previously (19, 24, 32, 66). 354 CXRs were scored by veterinary clinicians in a blinded fashion on a subjective scale of 0-4, with 355 a score of 0 denoting normal lung and a score of 4 denoting severe tuberculous pneumonia, as 356 previously described (19). Measurements of C-Reactive Protein (CRP), body weight and 357 temperature were performed as described earlier (19), at week -1 and at weeks 3, 7, 11, 15, 19 358 and 24. Peripheral blood and BAL samples were collected at 3, 7, 11, 15, 19 and 24 weeks after 359 Mtb infection. Peripheral blood mononuclear cells (PBMCs) were isolated from blood and 360 cryopreserved for subsequent antigen-specific flow cytometric assays. BAL samples were 361 obtained by bronchoscopy as previously described (19, 67), using two washes of 40 ml sterile 362 saline, and used for antigen-specific assays and to measure CFUs. Bacterial burden associated 363 with Mtb infection was determined in BAL and in lung at necropsy by plating BAL or 364 homogenized tissue sections as previously described (24, 27, 68, 69). Individual lung lobes 365 were cut into 2-mm thick slabs and stereologically selected for analysis which allows for 366 unbiased selection of lung tissue (70). Approximately 50% of the lung tissue was pooled by lung 367 lobe (n=5/animal), homogenized, serially diluted, and plated in guadruplicate for quantification of 368 bacterial load by CFU. Approximately 30% of the lung tissue was fixed for histological analysis 369 and the remaining tissue was fixed for use in immunohistochemistry and immunofluorescence 370 microscopy. The extent of morphometric lung pathology and the involvement of lung in 371 granulomatous lesions was also determined at necropsy. Animals were euthanized at 24 weeks 372 or upon signs of disease. Humane endpoints were predefined in the IACUC protocol and 373 applied as needed to reduce discomfort (27). All animals were routinely cared for according to 374 the guidelines prescribed by the National Institutes of Health Guide to Laboratory Animal Care. 375 All procedures were approved by IACUC, and the Institutional Biosafety Committee (IBC). The

TNPRC facilities are accredited by the American Association for Accreditation of Laboratory
 Animal Care and licensed by the US Department of Agriculture.

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379 Antigen-specific assays and flow cytometry of PBMC and BAL samples

380 Cell preparation tubes (CPT, BD Biosciences) were used for PBMC isolation (71) and PBMCs 381 were cryopreserved in 90% fetal FBS (Hyclone, South Logan, UT) and 10% dimethyl sulfoxide 382 (Sigma-Aldrich, St. Louis, MO, USA) until subsequent batch-testing by intracellular cytokine 383 staining (ICS) and flow cytometry. For processing of BAL, mononuclear cells were isolated by 384 passing through a 70-µM nylon cell strainer (Becton Dickinson Discovery Labware, Bedford, 385 MA) followed by washing in complete media (RPMI-1640 containing 10% FBS, 2 mM glutamine, 386 100 IU/ml penicillin, and 100 µg/ml streptomycin, Lonza, Walkersville, MD, USA). Isolated BAL 387 cells (approx.1-2 x10⁶) were stimulated with CW antigen and ESAT-6/CFP-10 peptide pools for 388 2 hrs. at 37°C, 5% CO₂. Brefaldin-A was added and cells were further incubated for 4 hrs. at 389 37°C, 5% CO₂. The stimulated BAL cells were cryopreserved using 90% fetal FBS (Hyclone, 390 South Logan, UT) and 10% dimethyl sulfoxide (Sigma-Aldrich, St. Louis, MO, USA) and stored 391 in liquid nitrogen until subsequent batch processing for ICS staining and flow cytometry. 392

393 Intracellular staining and flow cytometry

394 Cryopreserved PBMCs were thawed (all time points for each animal were thawed on the same 395 day) and rested overnight at 37°C, 5% CO₂ in 10% complete RPMI. PBMCs (approx. $1-2 \times 10^6$) 396 were stimulated with Mtb CW antigens (10 µg/ml; BEI Resources) or ESAT-6/CFP-10 peptide 397 pools (10 µg/ml, 15-mers with 11 amino-acid overlap, Genemed Synthesis Inc., San Antonio, 398 TX, USA) for 2 hrs followed by the addition of Brefeldin A (10 µg/ml) (BD Biosciences, San 399 Diego, CA, USA) after which the cells were further incubated for 16 hrs. ICS and flow cytometry

400	was performed as described below. Cryopreserved stimulated BAL cells were thawed (all time
401	points for each animal were thawed on the same day) and processed for ICS and flow
402	cytometry. Stimulated PBMCs and BAL cells were stained for dead cells using the LIVE/DEAD
403	Fixable Near-IR Dead Cell Stain (Life Technologies, OR) and then surface-stained with the
404	following antibodies: CD8-V500 (clone SK1), CCR6- BV711(clone 11A9), CD95-PETR (clone
405	DX2) from BD Biosciences, CD38-FITC (clone AT-1, Stemcell Technologies), CD28-PE-Cy7
406	(clone CD28.2) and CXCR3-BV605 (clone G025H7) from Biolegend. Cells were permeabilized
407	with Cytofix/Cytoperm Kit (BD Biosciences) and stained intracellularly with CD3-PerCP (clone
408	SP34-2), IFN-γ-Alexa Fluor 700 (clone B27) and IL-17-APC (clone MQ1-17H12) from BD
409	Biosciences and CD4 BV650 (clone OKT4, Biolegend). Cell were fixed with 1%
410	paraformaldehyde before acquisition in an LSR-II flow cytometer (BD Biosciences). Flow
411	cytometry data were analyzed using FlowJo software V10 (Tree Star Inc., San Carlos, CA,
412	USA).
412 413	USA).
	USA). Histology and quantification of granulomatous areas in the lung
413	
413 414	Histology and quantification of granulomatous areas in the lung
413 414 415	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with
413414415416	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with hematoxylin and eosin (H&E). The stained tissue sections were digitally scanned with a digital
 413 414 415 416 417 	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with hematoxylin and eosin (H&E). The stained tissue sections were digitally scanned with a digital slide scanner (Axio Scan.Z1, Carl Zeiss) and analyzed with computer software (Tissue
 413 414 415 416 417 418 	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with hematoxylin and eosin (H&E). The stained tissue sections were digitally scanned with a digital slide scanner (Axio Scan.Z1, Carl Zeiss) and analyzed with computer software (Tissue Classifier, HALO, Indica Labs). Annotation regions were drawn around each tissue section on
 413 414 415 416 417 418 419 	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with hematoxylin and eosin (H&E). The stained tissue sections were digitally scanned with a digital slide scanner (Axio Scan.Z1, Carl Zeiss) and analyzed with computer software (Tissue Classifier, HALO, Indica Labs). Annotation regions were drawn around each tissue section on the slide. Annotated regions were than classified using an algorithm trained via a deep
 413 414 415 416 417 418 419 420 	Histology and quantification of granulomatous areas in the lung Lung tissues were fixed in zinc-buffered formalin, processed routinely, and stained with hematoxylin and eosin (H&E). The stained tissue sections were digitally scanned with a digital slide scanner (Axio Scan.Z1, Carl Zeiss) and analyzed with computer software (Tissue Classifier, HALO, Indica Labs). Annotation regions were drawn around each tissue section on the slide. Annotated regions were than classified using an algorithm trained via a deep convolutional network (HALO AI) to identify granulomas. All analyses were reviewed by a board-

424 Immunohistochemistry and confocal microscopy

425 In addition to tissue sections from the six asymptomatic animals, we also included archived 426 formalin-fixed, paraffin-embedded lung tissues from animals with active TB that were reported in 427 our previous studies(24) and performed immunostaining and confocal microscopy as previously 428 described(27). Briefly, 5µm tissues sections were mounted on Superfrost Plus Microscope 429 slides, baked overnight at 56°C and passed through Xylene, graded ethanol, and double 430 distilled water to remove paraffin and rehydrate tissue sections. A microwave was used for heat 431 induced epitope retrieval (HIER). Slides were boiled for 20 minutes in a Tris based solution, pH 432 9 (Vector Labs H-3301), containing 0.01% Tween20. Slides were briefly rinsed in hot, distilled 433 water and transferred to a hot citrate based solution, pH 6.0 (Vector Labs H-3300) where they 434 were allowed to cool to room temperature. Once cool, slides were rinsed in tris buffered saline 435 (TBS) and placed in a black, humidifying chamber where they were incubated with Background 436 Punisher (Biocare Medical BP974H) for 10 minutes, washed with TBS containing 0.01% 437 TritonX100 (TBS-TX100) for 5 minutes, followed by a quick rinse in TBS before being returned 438 to the black chamber to be incubated with serum free protein block (Dako X0909) for 20 439 minutes. The slides were stained with primary antibodies against the following proteins: CD68 440 [1:20, mouse IgG1 (Dako, Carpinteria, CA)], CD163 [1:20, mouse IgG1 (Leica Biosystems 441 Buffalo Grove, IL)] CXCR3 [1:20 mouse IgG1 (BD Pharmingen, San Jose, CA)], CD4 [1:20, 442 rabbit (Abcam, Cambridge, MA)] and Dapi nuclear stain [1; 20,000 (Invitrogen, Carlsbad, CA)]. The above primary antibodies were detected with the following secondary antibodies from 443 444 Molecular Probes at a 1:1000 concentration derived from goat: Goat anti-mouse IgG1 488 445 (green), Goat anti-mouse IgG1 488 (green), Permanent Red, Goat anti-rabbit 647 (far red). 446 Imaging was performed with a Zeiss Axio Slide Scanner (Carl Zeiss, White Plains, NY), and the 447 images were analyzed with computer software (Hiplex fluorescence, HALO, Indica Labs). 448 Quantification of CXCR3⁺ cells by immunofluorescence microscopy. 449

450 Tissue segmentation was first performed using pattern recognition software (Tissue Classifier, HALO, Indica Labs). A random forest classifier was set at a resolution of 7µm/pixel and to detect 451 452 a minimum object size of 50µm². The classifier was then trained to detect the following tissue 453 classes by providing multiple examples of each tissue class: granuloma and non-granuloma. 454 Annotation regions were drawn around each piece of tissue on a slide and tissue segmentation 455 was performed on the entire piece of tissue (Supplement Figure 3). Computer software (Hiplex 456 fluorescence, HALO, Indica Labs) was used to quantify the following phenotypes: CD4 cells, 457 CD4+CXCR3+ cells, CXCR3+ cells and CD68+/CD163+ macrophages. The software utilized 458 thresholding to both detect cells and set cut off values for expression of each marker/channel. 459 Thresholds were set by real time visual assessment of known positive and negative cells. 460 Analysis and quantification of cellular phenotypes were performed on each tissue segment as 461 defined above.

462

463 **Statistics**

464 Statistical analyses were performed using GraphPad Prism (GraphPad Software, La Jolla, CA).

465 The specific tests used are indicated in each of the figure legends.

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473 Authors contributions:

- 474 JR, DK and US conceived the studies. US, ANB, SRG, MQ, CI, XA, and RVB performed
- 475 experiments. US, JR, DK, ANB, CI, XA and VV performed data analyses. US, JR, DK and VV
- 476 wrote the manuscript.

477 **Disclosure/Conflict of Interest:** None

478 **References**:

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701 Figure Legends

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703	Figure 1: Experimental design and clinical characteristics of asymptomatic rhesus
704	macaques with LTBI. (A) Eight Rhesus macaques were infected by low dose aerosol route
705	with Mtb CDC1551 at week 0 and infection was confirmed at 3 and 7 weeks by TST and IGRA
706	tests. Six macaques were defined as LTBI based absence of clinical signs and symptoms of
707	disease and a negative chest X-ray up to week 15. Six animals remained asymptomatic and
708	were longitudinally followed up until week 24. PBMC and BAL were collected at indicated time
709	points and lung tissues were collected at necropsy. Each colored symbol represents an animal.
710	(B) CRP levels were measured prior to infection (week -1) and at indicated time points post-
711	infection for n=6 animals. (C) Mtb burden (CFU) in BAL measured at indicated weeks (D) Mtb
712	burden (CFU) in lung at necropsy (CFU per gram of tissue plated). (E) Percentage of granuloma
713	in the lung tissue, determined by dividing the granulomatous area (mm ²) by the area of the
714	annotated regions (mm ²) classified using an algorithm trained via a deep convolutional network
715	(HALO AI).
716	
717	Figure 2: Frequencies of CD4 and CD8 T cells in BAL and PBMC.
718	Red and Blue circles indicate BAL and PBMC respectively. (A) Higher frequencies of CD4 T
719	cells in PBMC compared to BAL at all indicated time points (p=0.01). (B) Higher frequencies of
720	CD8 T cells in BAL compared to PBMC at all indicated time points ($p=0.01$). Each data point

represents an animal and horizontal lines indicate the mean with SEM. Wilcoxon matched-pairs
signed rank test was used to compare the frequencies of CD4 and CD8 T cells between BAL
and PBMC.

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725

726 Figure 3: Kinetics of Mtb-specific CD4 and CD8 T cells producing IFN-γ in PBMC and

- 727 **BAL.**
- 728 PBMCs (blue circles) and BAL (red circles) were stimulated with CW and ESAT-6/CFP-10
- 729 peptide pools and IFN-γ production by CD4 and CD8 T cells were assessed by ICS and flow
- 730 cytometry. IFN-γ producing CD4 (A & B) and CD8 (C & D) T cells at indicated time points
- 731 (weeks) post-Mtb infection in macaques (n=6) with LTBI. CW-specific CD4 (A) and CD8 (C) T
- cell frequencies and ESAT-6/CFP-10-specific CD4 (B) and CD8 (D) T cell frequencies in PBMC
- and BAL are shown. Horizontal lines indicate the mean with SEM. Wilcoxon matched-pairs
- rank test was used to compare the frequencies of IFN-γ producing CD4 and CD8 T cells
- between BAL and PBMC.
- 736
- 737
- 738 Figure 4: Memory phenotypes of Mtb-specific CD4 and CD8 T cells in PBMC and BAL.
- 739 (A, B) Representative flow plots of IFN-γ+ CD4 and CD8 T cells expressing CD28 and CD95 in
- 740 PBMC and in BAL respectively following CW and ESAT-6/CFP-10 stimulation. (C, D)
- 741 Frequencies of CW-and ESAT-6/CFP-10-specific memory (CD28+CD95+, Purple) and effector
- 742 (CD28-CD95+, Orange) CD4 and CD8 T cells in PBMC and BAL at week 7 post- Mtb infection.
- 743 (E-H) Kinetics of CW and ESAT-6/CFP-10 specific memory (Orange bar) and effector (purple
- bar) CD4 and CD8 T cells in PBMC and BAL. Horizontal lines indicate the mean with SEM. ** *p*
- 745 <0.01, * *p* <0.05 (paired T-test, 2-tailed).
- 746
- Figure 5: Kinetics of Mtb-specific CD4 and CD8 T cells producing IL-17 in PBMC and
 BAL.
- 749 PBMCs (blue circles) and BAL (red circles) samples were stimulated with CW (A & C) and
- 750 ESAT-6/CFP-10 peptide pools (B & D) and IL-17 production by CD4 (A & B) and CD8 (C & D) T

- cells assessed by ICS and flow cytometry at the time points indicated (weeks) post-Mtb
- infection. Horizontal lines indicate the mean with SEM.
- 753

754 Figure 6: Higher frequencies of IL-17+ and IFN-γ IL-17 double positive Mtb-specific CD4 T

- 755 cells in the BAL compared to PBMCs.
- (A) Representative flow plots of week 7 PBMC and BAL that are either non-stimulated (NS) or
- 757 stimulated with CW- and ESAT-6/CFP-10 peptide pools. CD4 and CD8 T cells expressing IFN-γ
- 758 and IL-17 were assessed by ICS and flow cytometry. Frequencies of single-positive IFN-γ (B)
- 759 single-positive IL-17 (C) and IFN-γ/IL-17 double-positive (D) CD4 and CD8 T cells in PBMC
- (Blue) and BAL (red). *p < 0.05 using a nonparametric Mann-Whitney test. Data are
- represented as mean with SEM.
- 762

Figure 7: Mtb-specific CD4+ T cells producing IFN-γ and IL-17 co- expressed CXCR3 and
 CCR6.

765 Frequencies of ESAT-6/CFP-10-specific IFN-γ+ (A) and IL-17+ (B) CD4 T cells in the BAL that

766 expressed either CXCR3 (brown bar) or CCR6 (black bar). Majority of IFN-γ and IL-17

767 producing CD4 T cells expressed CXCR3 at all time points. Mtb-specific IFN-γ+ (C & D) and IL-

- 768 17+ (E & F) CD4 T cells in BAL co-expressing CXCR3 and CCR6 (Blue bar) were significantly
- higher compared to CXCR3+CCR6- (orange bar) and CXCR3-CCR6+ (Green bar) subsets.
- 770 IFN-γ/IL-17 double-positive cells were also predominantly CXCR3+CCR6+ (G & H). Horizontal
- 771 lines indicate mean ± SEM. *** *p* <0.001, ** *p* <0.01, * *p* <0.05 (paired T test, 2-tailed).

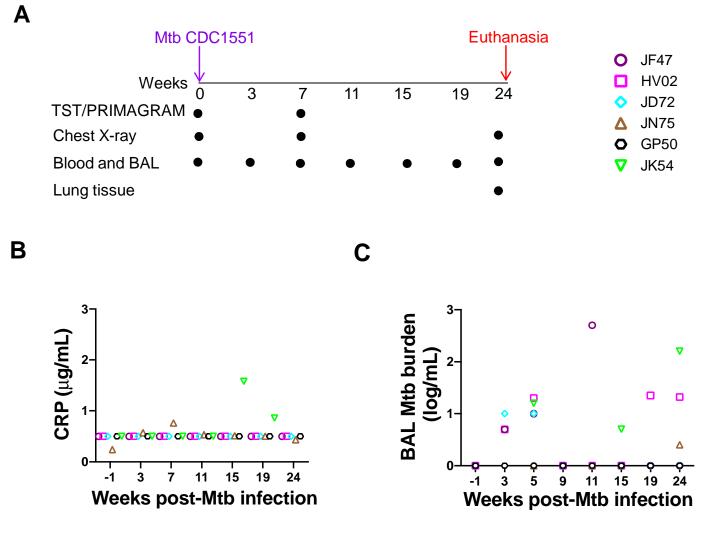
772 Figure 8: CXCR3+CD4 T cells were predominant in the granulomatous region of the lung.

- (A) Representative immunohistochemistry staining of lung sections from macaques with LTBI
- and active TB. Macrophages (green), CD4 and CXCR3 (red) and nuclei (DAPI). Left panel
- shows low (5mm) magnification images of lung sections from macaques with asymptomatic

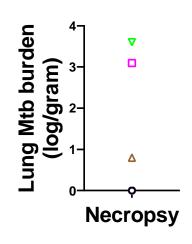
776	LTBI and active TB (N=3, each group). Middle and right panels show images of the granuloma
777	(500 μ m) and non-granuloma (100 μ m) areas of lung sections, respectively (B) Densities of
778	CD4+CXCR3+ cells in lung tissue of animals with LTBI and active TB in the lung (total) and in
779	granulomatous (G) and non-granulomatous (NG) areas of the lung. Density of CD4+CXCR3+
780	cells was measured by dividing the number of CD4+CXCR3+ cells quantified by the area in
781	mm ² calculated from H& E stained tissue using algorithms trained via a deep convolutional
782	network (HALO, Indica Labs). Comparison within and between groups were performed using
783	nonparametric Wilcoxon matched-pairs signed rank test and Mann-Whitney test respectively.
784	Horizontal lines indicate mean ± SEM. * p<0.05, ** p<0.01. (C) Lung bacterial burden negatively
785	(p= 0.002) correlated with the density of lung CXCR3+ CD4 T cells from animals with LTBI and
786	active TB. Correlation was performed using non-parametric Spearman correlation method.
787	
788	Supplementary Figure 1: A) Weight and B) Temperature did not change pre- and post-Mtb
789	infection and until week 24 indicating asymptomatic latent infection in rhesus macaques.
790	
791	
792	Supplementary Figure 2: Frequencies of CW- and ESAT-6/CFP-10 specific CD4 and CD8 T
793	cells producing IFN- γ (A & B) and IL-17 (B & D) in PBMC at baseline and week 3.
794	
795	Supplementary Figure 3: Representative image showing granuloma and non-granuloma
796	region classification: Tissue segmentation performed using pattern recognition software
797	(Tissue Classifier, HALO, Indica Labs). A random forest classifier was set at a resolution of
798	7um/pixel and to detect a minimum object size of $50\mu m^2$. The classifier was then trained to
799	detect the following tissue classes by providing multiple examples of each tissue class:
800	granuloma (area in brown and blue) and non-granuloma (area in green). Annotation regions

- 801 were drawn around each piece of tissue on a slide and tissue segmentation was performed on
- 802 the entire piece of tissue

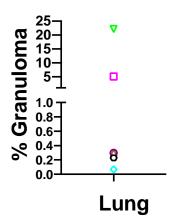
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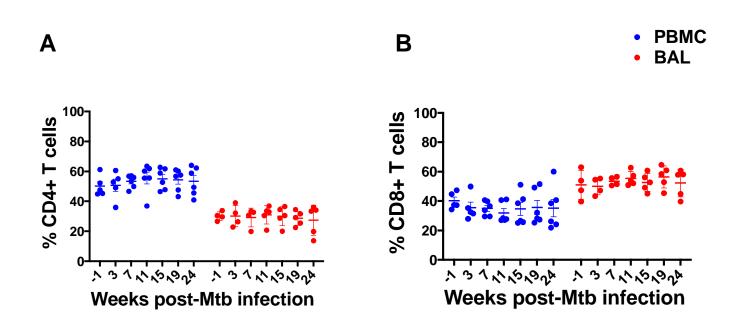


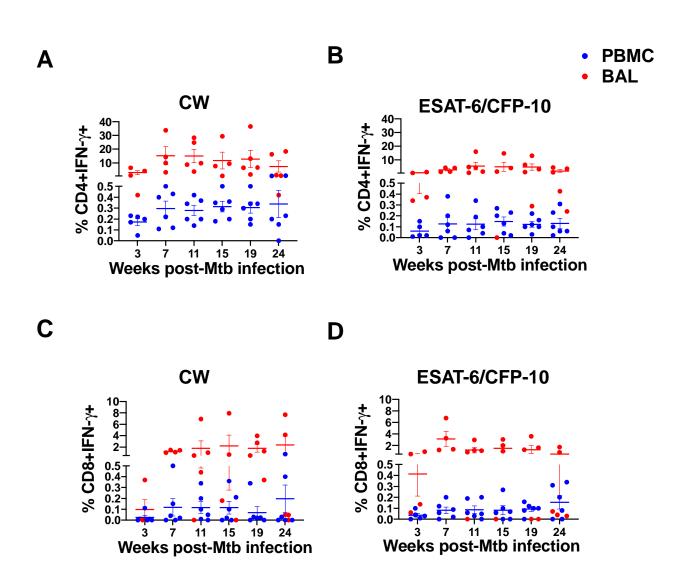


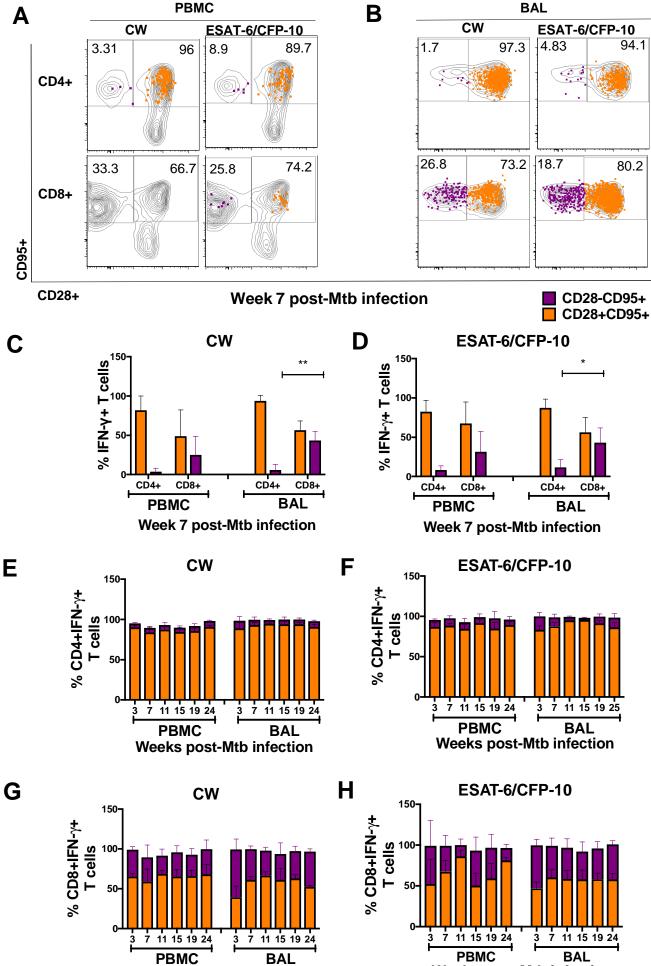


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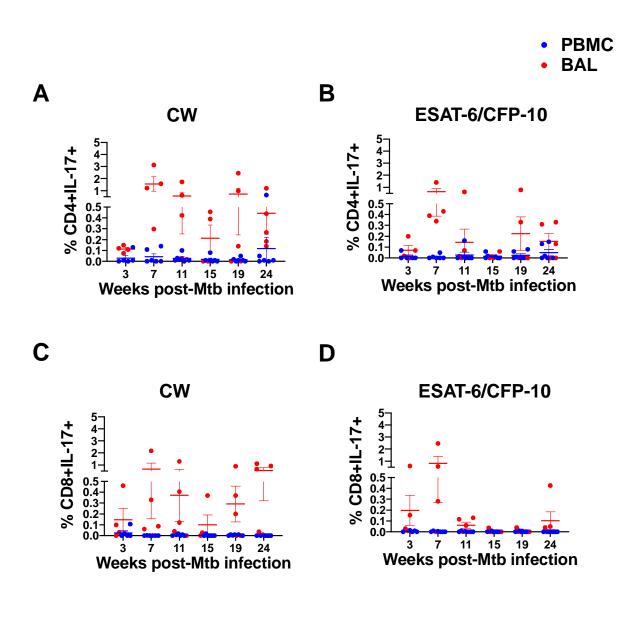


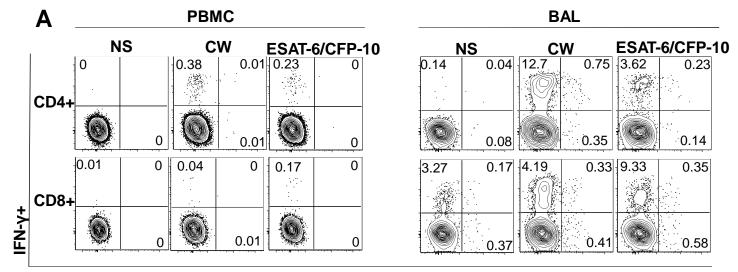




Weeks post-Mtb infection

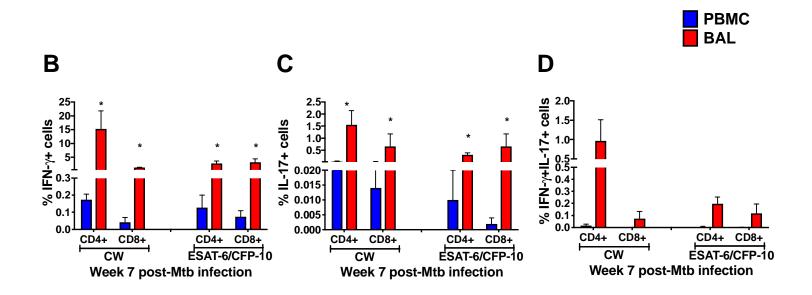








Week 7 post-Mtb infection





3 ⊢ 7 11

ESAT-6/CFP-10

**

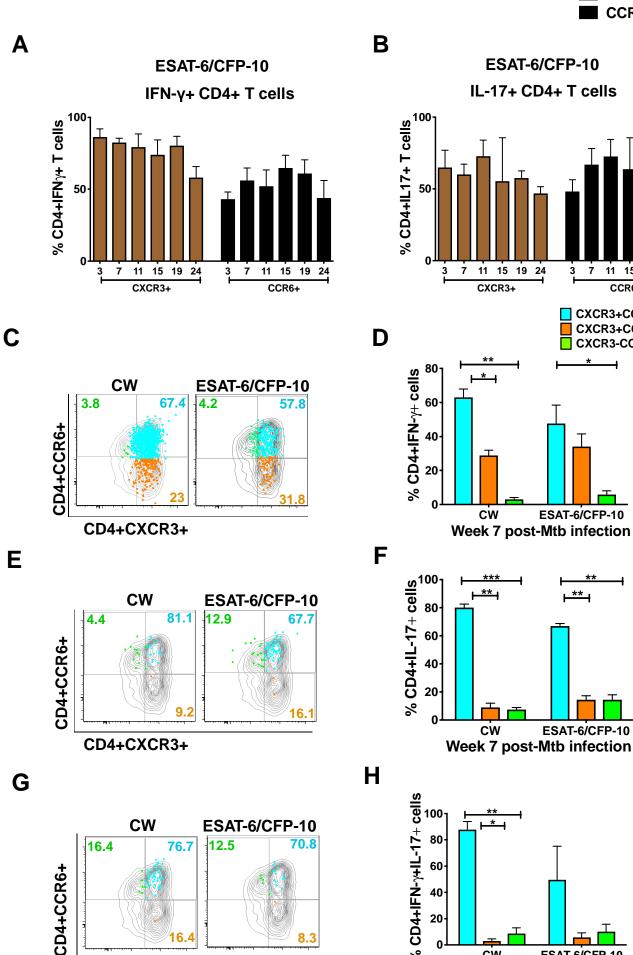
ESAT-6/CFP-10

15 19 24

CCR6+

CXCR3+CCR6+ CXCR3+CCR6-

CXCR3-CCR6+



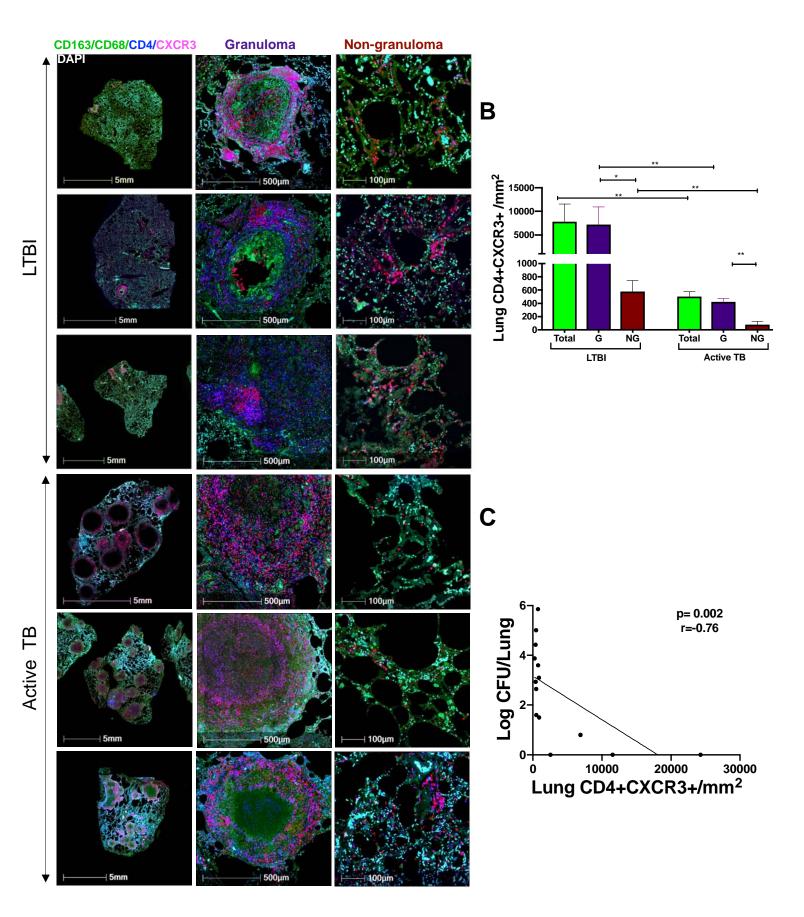
16.4

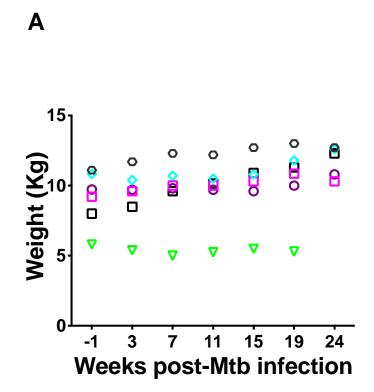
CD4+CXCR3+

8.3

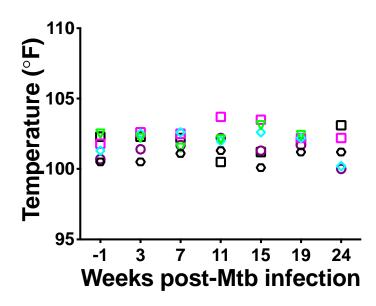
% CD4+IFN-y+IL-17+ cells 20 0 CW ESAT-6/CFP-10





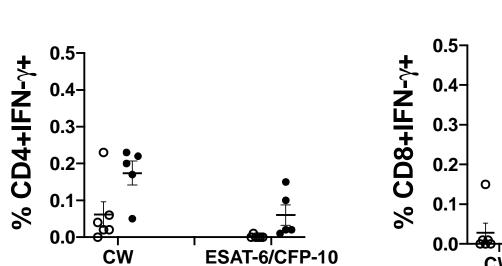




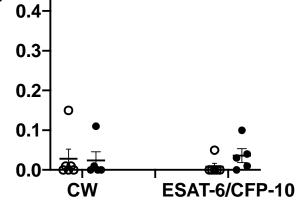


В





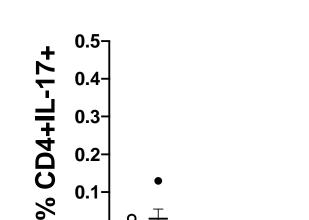
ESAT-6/CFP-10







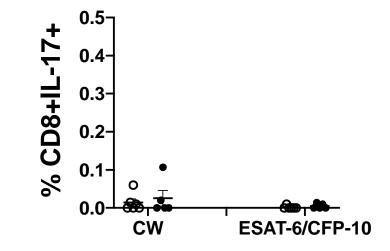
Α



CW

0.0





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