- 1 Inhibition of KDM1A activity restores adult neurogenesis and improves
- 2 hippocampal memory in a mouse model of Kabuki syndrome
- 3 Running title: TAK-418 rescues intellect in Kabuki syndrome mice
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- 22 Keywords: Epigenetics, adult neurogenesis, histone modification, therapeutics
- 23 Abstract
- 24 Kabuki syndrome (KS) is a rare cause of intellectual disability primarily caused by loss of
- function mutations in lysine-specific methyltransferase 2D (*KMT2D*), which normally
- adds methyl marks to lysine 4 on histone 3. Previous studies have shown that a mouse
- 27 model of KS ($Kmt2d^{+/\beta Geo}$) demonstrates disruption of adult neurogenesis and
- 28 hippocampal memory. Proof-of-principle studies have shown postnatal rescue of
- 29 neurological dysfunction following treatments that promote chromatin opening, however,
- 30 these strategies are non-specific and do not directly address the primary defect of
- histone methylation. Since lysine-specific demethylase 1A (LSD1/KDM1A) normally
- 32 removes the H3K4 methyl marks added by KMT2D, we hypothesize that inhibition of
- 33 KDM1A demethylase activity may ameliorate molecular and phenotypic defects

34 stemming from KMT2D loss. To test this hypothesis, we evaluated a recently developed 35 KDM1A inhibitor (TAK-418) in *Kmt2a^{+//Geo}* mice. We find that orally administered TAK-418 increases the numbers of newly born Doublecortin (DCX)⁺ cells and processes in 36 37 hippocampus in a dose dependent manner. We also observe TAK-418-dependent rescue of histone modification defects in hippocampus both by Western blot and ChIP-38 39 Seq. Treatment rescues gene expression abnormalities including those of immediate early genes such as FBJ osteosarcoma oncogene (Fos) and FBJ osteosarcoma 40 oncogene homolog B (*Fosb*). After 2 weeks of TAK-418, *Kmt*2d^{+/βGeo} mice demonstrate 41 normalization of hippocampal memory defects. In summary, our data suggest that 42 43 KDM1A inhibition is a plausible treatment strategy for KS and support the hypothesis that the epigenetic dysregulation secondary to KMT2D dysfunction plays a major role in 44 45 the postnatal neurological disease phenotype in KS.

46 Introduction

Kabuki syndrome (KS) is a rare Mendelian disorder that affects multiple systems 47 including neuro, immune, auditory and cardiac systems¹. It is characterized by distinctive 48 facial features, growth retardation, and mild to moderate intellectual disability². Human 49 50 genetics studies have revealed that this autosomal dominant/X-linked condition is 51 caused by heterozygous/hemizygous loss of function in either of two genes with 52 complementary function: KMT2D on human chromosome 12 or lysine-specific demethylase 6A (*KDM6A*) on human X chromosome^{3,4}. Both of these disease genes 53 encode histone modifiers that contribute to the opening of chromatin. The majority 54 55 (>70%) of molecularly confirmed cases of KS have loss-of-function variants in KMT2D. KMT2D catalyzes the addition of methyl groups to lysine 4 of histone 3 (H3K4me1 and 56 57 3)^{5,6}, which are marks associated with open chromatin. *KDM6A* also participates in chromatin opening by removing H3K27me3, a closed chromatin mark⁷. It is, therefore, 58 59 likely that the observed gene dosage sensitivity in KS results from an imbalance between open and closed chromatin states at or around critical target genes. We 60 hypothesize that it may be possible to restore this balance with drugs that promote open 61 chromatin states⁸. 62

Our laboratory characterized a novel mouse model of KS1 (*Kmt2d^{+/βGeo}*) and
 found that these mice have many features that overlap with KS patient phenotypes
 including craniofacial abnormalities, growth retardation and immune dysregulation⁹⁻¹¹.
 These mice also demonstrate an ongoing deficiency of adult neurogenesis in the

67 subgranular zone (SGZ) of the dentate gyrus (DG) in the hippocampus and hippocampal 68 memory defects. We have previously shown that these deficiencies improve after a short term (2-3 weeks) oral treatment with a histone deacetylase inhibitor (HDACi), AR429. 69 Modulation of epigenetic modifications through dietary elevation of beta-hydroxybutyrate. 70 71 an endogenous HDACi, may also rescue these same phenotypes¹⁰. However, both of these strategies are indirect, affecting chromatin opening through modulation of histone 72 73 acetylation rather than histone methylation. Furthermore, HDACi have been poorly tolerated in clinical trials¹² and a ketogenic diet, is very stringent and hard to implement 74 in children that often have baseline feeding problems and growth retardation as is the 75 76 case in Kabuki syndrome. Recently, genetic disruption of KDM1A, the factor that normally removes H3K4me1-2 was shown to rescue disrupted chromatin states in 77 KMT2D-deficient embryonic stem cells¹³. Here, we use a novel specific inhibitor of 78 79 KDM1A activity, TAK-418, to demonstrate *in vivo* rescue of adult DG neurogenesis, 80 improvement of hippocampal memory deficits, chromatin remodeling, and gene expression abnormalities in $Kmt2d^{t/\beta Geo}$ mice. TAK-418, also called 5-{(1R,2R)-2-81 [(cyclopropylmethyl)-amino]cyclopropyl}-N-(tetrahydro-2H-pyran-4-yl)thiophene-3-82 83 carboxamide monohydrochloride, was successfully progressed in preclinical 84 development and was recently given orphan designation by the European Medical 85 Agency (EMA) and the US Food and Drug Administration (FDA) for the treatment of KS. 86 TAK-418 has already undergone Phase 1 studies on healthy volunteers to establish 87 safety and tolerability (clinicaltrials.gov, NTC03228433 and NCT03501069). Therefore, the TAK418-dependent rescue of disease phenotypes in *Kmt2d*^{+/βGeo} mice provides 88 89 support for a possible therapeutic role in KS.

90 Methods

Animals: Our mouse model, *Kmt2d*^{+/βGeo}, also named *Mll2Gt*^{(RRt024)Byg}, was originally 91 92 acquired from Bay Genomics (University of California) but backcrossed in the Bjornsson 93 laboratory. All experimental mice were on a fully backcrossed C57BL/6J background 94 (99% verified using a mouse SNP chip). For treatment with TAK-418, mice were orally 95 gavaged daily with drug (TAK-418, Takeda) solubilized in vehicle (methylcellulose) or 96 with vehicle alone. Both drug and vehicle (methylcellulose) were shipped from Takeda. Drug was administered for 14 days for adult neurogenesis studies, after which mice 97 98 were sacrificed on day 15. For behavioral studies, Morris water maze testing was 99 initiated at day 15 after treatment start at ~8 weeks of age, and the drug was continued

100 throughout the behavioral studies (until at least day 23). A dose curve was initially 101 performed with three doses (0.5 mg/kg/day, 1 mg/kg/day, 2 mg/kg/day). However, after 102 that initial study, all other experiments were done with a dose of 1 mg/kg/day. For quantification of spleen size, evaluation was performed after 8 weeks of TAK-418 started 103 104 at age of ~8 weeks old, since splenomegaly is not observed until 12-16 weeks. For this 105 reason, this cohort was kept on TAK-418 for ~8 weeks for this reason and to evaluate for 106 side effects. Mouse numbers for individual studies: ChIP-Seg/RNA-Seg: 3-4 per group; 107 immunohistofluorescence: n = 11-12 per group; RT-gPCR: 5-6 per group; behavioral 108 testing: 24-30 per group. Genotyping was performed using the following primers: 109 MII2 exon50F: CTGTGTGGGAACCGCATCATTG; MII2 exon51R: 110 CGGTTCTGATCTGGCACAGCC; β-GeoR1: CTCAGTGCAGTGCAGTCAGG. The 111 MI2 exon50F and MI2 exon51R pair amplify sequences from the wild allele of KMT2D, 112 the MII2_exon50F and β -GeoR1 pair amplify sequences specific for the targeted allele. 113 All experiments were performed using mouse protocols approved by the Animal Care 114 and Use Committee of Johns Hopkins University School of Medicine. The mouse protocols used for this study are in accordance with the guidelines used by the National 115 116 Institutes of Health (NIH) for mouse care and handling.

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Perfusion and cryosectioning: Mice were sacrificed with a lethal dose of xylazine, 118 119 ketamine combination, after which they were transcardially flushed with PBS (1x) with heparin and then perfused with 4% PFA/PBS. Brains were removed from the skulls and 120 121 cryopreserved in 30% sucrose 0.1M phosphate solution overnight at 4°C. Brains were frozen and sectioned using a Microm HM 550 cryostat (Thermo Scientific). Sectioning 122 123 was performed at 30µm intervals and every section of the brain was collected onto the 124 slide in a series of 6 slides with 12 slices on each slide and stored in a -80°C freezer 125 prior to use.

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Immunofluorescence staining: Pre-mounted slides were thawed and washed once in TBS (1x). Slices were briefly permeabilized in TBS(1x) with 0.4% Triton X-100 (30min), followed by blocking with TBS with 3% donkey serum and 0.05% Triton X-100 for 1hr at room temperature. Next each slide was incubated with primary antibody (in TBS with 3% donkey serum and 0.05% Triton X-100) overnight (O/N) at 4°C. After 3-5 washes in TBS with 0.05% Triton X-100, Alexa Fluor conjugated secondary antibodies with DAPI counterstain was added to the slide for 1 hour (hr) at room temperature. Slides were

- mounted with ProLong antifade (ThermoFisher Scientific, catalog# P36930) after several
- 135 washes in TBS with 0.05% Triton X-100 and a final wash of TBS. Images were taken
- 136 with LSM780 confocal microscope. Antibodies used included anti-DCX (Santa Cruz,
- 137 catalog #sc-8066) and anti-c-fos (Abcam, catalog #190289).
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Granule cell layer and quantification of DCX⁺ cells: The area of the granule cell layer
and DCX⁺ cells was measured using ImageJ as previously described⁹. Briefly, we traced
the granule cell layer by hand and counted DCX⁺ positive cells within this area in all
slides. Counting was performed by an investigator that was blinded to genotype and/or
drug exposure.

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Western blotting: Hippocampus was dissected, snap frozen and kept in -80°C. 145 Histones were extracted following a published acid extraction protocol¹⁴. Briefly. 250µl of 146 147 TED buffer (0.5% Triton x 100, 2mM PMSF and 0.02% NaN3 in PBS) was added and hippocampal tissue was disaggregated by 50-60 strokes with a pellet pestle. Nuclear 148 pellets were collected at 10,000rpm for 1 minute (min) at 4°C and resuspended in 50µl 149 150 of acid extraction buffer (0.5N HCl in 10% glycerol). After O/N incubation in acid 151 extraction buffer, supernatant was collected and histones were precipitated with acetone 152 O/N at -20°C. Histone pellets were subsequently dissolved in water. For whole cell 153 lysate, we disaggregated hippocampal tissue in RIPA buffer (150mM NaCl, 1.0% NP-40, 154 0.5% Na Deoxycholate, 0.1% SDS, 50mM Tris, pH8.0) by 50-60 strokes with pellet 155 pestle. After incubation for 2h at 4°C, supernatant was collected. Amount of protein was 156 quantified using a BSA protein assay. Proteins were fractionated in 4-12% NuPAGE bis-157 tris gel. Antibodies used included the following: anti-histone H3 (Cell Signaling Technologies, catalog #3638), anti-H3K4me3 (Cell Signaling Technologies, catalog # 158 159 9727), anti-H3K4me2 (Cell Signaling Technologies, catalog #9725), anti-H3K4me1(Abcam, catalog #ab8895), anti-c-fos (Abcam, catalog #190289), anti-160 phospho-p44/42 MAPK(Erk1/2) (Cell Signaling Technologies, catalog #9106), anti-161 p44/42 MAPK(Erk1/2) (Cell Signaling Technologies, catalog #9102), anti- β -Actin (Cell 162 Signaling Technologies, catalog#3700). 163 164 Behavioral testing: No exclusion criteria were used other than decreased visual acuity

as evaluated by visible platform training (see below). Investigator was blinded to
genotype and drug exposure status during testing. Morris water maze testing: Mice were

167 placed in a 1.1 meter diameter tank filled with room temperature water dyed with 168 nontoxic white paint. For analytical purposes, the tank was divided into four quadrants, 169 with one quadrant containing a small platform submerged 1.5 cm beneath the water. On each day of training, mice were placed in the tank in a random quadrant facing away 170 171 from the center and allowed to swim until they found the platform. Once they reached 172 the platform they were left there for 30 seconds (s). If they did not reach the platform 173 after 60s, they were placed on it for 30s. Each mouse was given four trials per day (for 5 days) with an inter-trial interval of 5-20 m and subsequently returned to its home cage. 174 Latency to reach the platform was measured during each trial. The day after the final day 175 176 of training, the platform was removed for a probe trial where mice were placed in the tank for 90s. The average number of crossings of the platform's previous location was 177 178 recorded. Visible/flagged platform training was also performed for 3 days right before the hidden platform to ensure no problems with sight. During visible training a visible flag 179 180 was placed on the submerged platform, and the time for each mouse to reach the 181 platform was measured during each 60-s trial, four of which were run in the same way as the hidden platform training. For all training and probe testing, data were recorded both 182 183 manually and electronically with ANY-maze software (San Diego Instruments) when 184 applicable. Differences in the number of platform crossings, the latency of the first 185 crossing of the platform during the probe trial were compared between groups with a 186 Student's t test with significance value set at p < 0.05.

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RNA-Seq/ChIP-Seq: For RNA-Seq and ChIP-Seq, 2-week old mice were given TAK-188 189 418 at a dose of 1mg/kg body weight for a period of 2 weeks. Hippocampi were 190 harvested at the end of the 2 week treatment. RNA was extracted with Direct-zol RNA 191 microprep kit (ZYMO Research), RNA-Seg libraries were constructed with NEBNext 192 Ultra RNA library prep kit for Illumina. Pooled RNA libraries were sequenced on a 193 Hiseq2500 using 150 bp paired-end sequencing. For ChIP-Seq library construction, 194 nuclear lysate preparation and chromatin IP were followed the ENCODE protocol from 195 Bing Ren lab with chip graded anti-H3K4me3 (Abcam, catalog #ab8580) and anti-196 H3K4me1 (Abcam, catalog #ab8895). After reverse cross-linking and purifying the DNA 197 fragments, library construction was performed with the NEBNextUltral DNA library prep 198 kit for Illumina based on manufacturer's recommendations. Pooled ChIP DNA libraries 199 were sequenced on a Hiseq2500 using a flow cell for 150 bp paired-end size. 200

201 RNA-Seg/ChIP-Seg analysis: RNA-Seg: Transcriptomic data collected by RNA 202 sequencing (RNA-Seq) was analyzed to determine the genes that are present in each 203 sample and condition, their expression levels, and the differences between expression levels among different experimental conditions. Following quality checking with the 204 205 software Fastqc, reads were mapped to the mouse genome version mm10 with the alignment tool Tophat2 v.2.1.0¹⁵, which allows for large 'gaps' in the alignment, 206 representing introns. The aligned reads were assembled with CLASS2 v.2.1.7¹⁶ to 207 create partial genes and transcript models (transfrags). Transfrags from all samples 208 209 were further merged with Cuffmerge and mapped to the GENCODE v.M17 gene models¹⁷, to create a unified set of gene annotations for differential analyses. Lastly, 210 gene (transcript) expression levels were computed, and differentially expressed genes 211 (transcripts) were determined separately with the tools Cuffdiff2 v.2.2.1¹⁸ and DESeq¹⁹. 212 213 Differentially expressed genes were further analyzed and graphed with R, a public free 214 resource for statistical computing and graphing. ChIP-Seq: The reads collected by H3K4me1 and H3K4me3 ChIP sequencing were first trimmed 5bp at 5'end with the 215 216 software seqtk (https://github.com/lh3/seqtk), followed by mapping with the short sequence alignment tool bowtie2²⁰. The aligned sequences were indexed with software 217 218 samtools for easy viewing in IGV (Integrated Genome Viewer). The THOR software²¹ 219 was then used to detect and analyze differential peaks in two sets of ChIP-Seg data from distinct biological conditions with replicates. HOMER²² and GO analysis 220 221 (http://geneontology.org/) was used to annotate the peaks, and custom scripts were 222 written to filter THOR output, gather statistics and reformat files. Differential peaks were further analyzed and graphed with R. 223

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225 Results

226 *Kmt*2*d*^{*/βGeo} mice demonstrate a global decrease of histone H3K4 methylation in

the hippocampus

Kmt2d^{/βGeo}* mice contain an expression cassette encoding a β-galactosidase neomycin resistance fusion protein (β-Geo) inserted into intron 50 of the *Kmt2d* gene locus on mouse chromosome 15. This cassette contains a 5' end splice acceptor sequence and a 3' end cleavage and polyadenylation signal. The mutated allele, therefore, results in a truncated KMT2D protein with the peptide encoded by the first 50 exons of KMT2D fused to β-Geo at the C-terminus⁹. The truncated KMT2D fusion protein, therefore, lacks the C-terminal catalytic SET domain of KMT2D, which is

235 responsible for its H3K4 methyltransferase activity. Because KMT2D is a prominent mammalian H3K4 methyltransferase and $Kmt2d^{+/\beta Geo}$ mice have disrupted hippocampal 236 237 neurogenesis, we hypothesized we would see diminished levels of mono- and dimethylated H3K4 (H3K4me1/2) in the granule cell layer of the dentate gyrus in 238 239 *Kmt2d*^{+/βGeo} mice. To test this, we performed Western blots of histone extracts from mouse hippocampi and observed significantly (p < 0.0001) reduced levels of H3K4me1 240 and H3K4me2 in *Kmt2d*^{+/βGeo} mice compared to *Kmt2d*^{+/+} littermates when normalized to 241 total histone 3 (H3) (Fig. 1a-b). Therefore, although KMT2D and Lysine 242 243 Methyltransferase 2C (KMT2C) have some overlapping function, our results indicate that 244 the latter is unable to compensate for the heterozygous loss of *Kmt2d* in the 245 hippocampus.

Previously it has been reported that KMT2D is required for H3K4 trimethylation (H3K4me3) in bivalent promoters²³. Indeed, we find that the level of H3K4me3 is also reduced (Fig. 1c), and that the ratio of H3K4me3 to total histone 3 is significantly reduced in *Kmt2d*^{+/ β Geo} mice compared to *Kmt2d*^{+/+} littermates (p < 0.0016), although to a lesser extent than the observed deficiencies of H3K4me1/2.

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TAK-418 treatment rescues the deficiency of mono- and di-methylated, but not trimethylated, H3K4 in the hippocampus of $Kmt2d^{*/\beta Geo}$ mice

254 TAK-418 is a selective inhibitor of the lysine-specific demethylase 255 1(KDM1A/LSD1) recently developed by Takeda Pharmaceutical Company Limited. KDM1A, in association with the corepressor of REST1 (CoREST) complex, removes 256 methylation at H3K4me1/2 sites²⁴⁻²⁷. Previously, genetic disruption of *KDM1A* was found 257 to rescue differentiation defects in KMT2D-deficient embryonic stem cells¹³. To test 258 259 whether TAK-418 is able to rescue epigenetic abnormalities in vivo in the hippocampus, 260 mice were given TAK-418 at 1mg/kg/day by oral administration for two weeks. Histones were then extracted from dissected hippocampus for analysis. We observed significant 261 rescue (p < 0.05) by TAK-418 treatment of the deficient H3K4me1 levels in Kmt2d^{+/βGeo} 262 263 mice compared to *Kmt2d*^{+/+} littermates (Fig. 1a). Similarly, we observed a significant rescue (p < 0.05) of H3K4me2 levels in $Kmt2d^{+/\beta Geo}$ mice with TAK-418 compared to 264 littermates on vehicle (Fig. 1b). H3K4me3 levels were also increased by TAK-418, albeit 265 266 not to statistical significance (Fig. 1c). Thus, in summary, we observe biochemical 267 rescue of H3K4 methylation in hippocampus, a disease relevant tissue. As expected, 268 effects of TAK-418 on all three H3K4 methylation marks were largely correlated.

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270 TAK-418 rescues defects of adult neurogenesis in *Kmt2d*^{+/βGeo} mice

Adult hippocampal neurogenesis is an ongoing process that persists throughout 271 272 life^{28,29} in which adult-born neural progenitors in the subgranular zone (SGZ) give rise to 273 excitatory granule cell neurons residing in the hippocampal dentate gyrus (DG)²⁹. The neuroblast migration protein DCX is highly expressed in immature neurons and sharply 274 decreases with maturation of neurons^{30,31}, providing a cell stage/type-specific marker to 275 quantify adult neurogenesis in vivo. We tested the effects of TAK-418 on adult 276 277 neurogenesis as measured by DCX⁺ cells per mm² in the DG and SGZ. As before^{9,10}, we find decreased numbers of DCX⁺ cells in *Kmt2d*^{+/βGeo} mice compared to *Kmt2d*^{+/+} 278 279 littermates. On TAK-418 treatment, this phenotype showed dose-dependent normalization (Supplementary Fig. 1) with full rescue at the medium dose (1 mg/kg/dav. 280 Fig. 1d, e). Dendrites can be visualized in some DCX⁺ cells, as DCX is a cytoplasmic 281 protein that is associated with microtubules present in dendrites^{32,33}. We counted the 282 DCX⁺ cells with dendrites and found that $Kmt2d^{t/\beta Geo}$ mice have fewer DCX⁺ cells with 283 dendrites compared to *Kmt2d*^{+/+} littermates (Fig. 1f), although this likely, to some extent, 284 reflects absolute cell numbers. Kmt2d^{+/βGeo} mice treated with TAK-418 have significantly 285 increased numbers of DCX⁺ cells with dendrites compared to *Kmt2d*^{+/βGeo} mice treated 286 with vehicle (Fig. 1f). The dendrites in the *Kmt2d*^{+/βGeo} mice also appeared shorter than 287 288 the dendrites in $Kmt2d^{+/+}$ littermates, which reached farther into the granule cell layer and even into the molecular cell layer. TAK-418 treatment restored the dendrite length and 289 characteristics in *Kmt2d*^{+//βGeo} mice resulting in longer dendrites extending throughout the 290 granule cell layer and into the molecular cell layer (Supplementary Fig. 2). 291

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TAK-418 treatment rescues the genome-wide deficiency of H3K4 methylation in *Kmt2d*^{t/βGeo} mice

Given the global H3K4 methylation deficiency and rescue by TAK-418 in the 295 hippocampus of $Kmt2d^{+/\beta Geo}$ mice, we next interrogated the genome-wide histone profiles 296 297 in hippocampus by chromatin immunoprecipitation and high-throughput sequencing (ChIP-Seg). We first performed H3K4me1-ChIP-Seg on hippocampi harvested from 298 Kmt2d^{+/βGeo} and Kmt2d^{+/+} mice treated with either vehicle or TAK-418. The majority of 299 H3K4me1 peaks (~57.7%) appeared in intergenic regions which is consistent with 300 known enhancer-associated roles of this mark⁵. A third of peaks (\sim 31.2%) appeared to 301 302 be in introns, and fewer were at the promoter/transcription start site ($\sim 2.7\%$) or

303 transcription start site (\sim 1.8%). There was no obvious difference between the two 304 genotypes. In untreated hippocampi, we observed a total of 621 differentially bound peaks of H3K4me1 in *Kmt2d*^{+/ β Geo} mice relative to *Kmt2d*^{+/+} (Fig. 2a, Supplementary 305 Table S1). Of these, 396 peaks were decreased and 225 were increased in vehicle-306 307 treated $Kmt2d^{\#/\beta Geo}$ compared to $Kmt2d^{\#/+}$ littermates (Fig. 2a). The 28 most statistically significant peaks are shown in Supplementary Table S1. In general, Kmt2d^{+/+} mice had 308 more differentially H3K4me1-bound peaks compared to *Kmt2d*^{+/βGeo} mice when either 309 genotype was on vehicle (396/225, 1.76/1, Fig. 2a). Upon treatment, we observed 622 310 differentially bound H3K4me1 peaks, with 322 increased and 300 decreased in 311 Kmt2d^{+/βGeo} on TAK-418 compared to Kmt2d^{+/+} mice on vehicle (Fig. 2b, Supplementary 312 Table S2). The ratio of bound H3K4me1 in *Kmt2d^{+/βGeo}* on TAK-418 compared to 313 *Kmt2d*^{+/+} mice on vehicle was 1 to 0.93 (Fig. 2b). An inverse linear regression revealed a 314 correlation coefficient of -0.632 (Fig. 2c) of log2 fold change of the common bound loci 315 between the comparison of $Kmt2d^{+/+}/Kmt2d^{+/\beta Geo}$ on vehicle and $Kmt2d^{+/\beta Geo}/Kmt2d^{+/\beta Geo}$ 316 on TAK-418. These results indicate that TAK-418 treatment leads to generalized, albeit 317 318 partial, rescue of the H3K4me1 deficiency.

We next performed H3K4me3 ChIP-Seq. As expected the majority of H3K4me3 319 320 peaks are intragenic, with ~18-19% in the promoter region, ~16-17% peaks in exons, 321 ~33-35% peaks in CpGs in introns and ~7% intergenic. There was no obvious difference 322 in overall distribution between the two genotypes. We observed 262 loci that were 323 increased and 1650 loci that were decreased in the Kmt2d^{#/βGeo} mice compared to the $Kmt2d^{+/+}$ mice when vehicle treated (Fig. 2d, Supplementary Table S3). On average 324 there is much more H3K4me3 bound in the *Kmt2d*^{+/+} mice compared to *Kmt2d*^{+/ β Geo} mice 325 326 with a ratio of 6.63/1(Fig. 2d, both genotypes on vehicle). In contrast, when we compared $Kmt2d^{+/\beta Geo}$ treated with TAK-418 to $Kmt2d^{+/+}$ treated with vehicle control, we 327 observed 612 significantly increased loci and 1972 significantly decreased loci in 328 *Kmt*2 $d^{+/\beta Geo}$ compared to *Kmt*2 $d^{+/+}$ littermates. As before, we observe more H3K4me3 329 binding upon treatment (3.22/1, Kmt2d^{+/βGeo} on TAK-418/ Kmt2d^{+/+} on vehicle, Fig. 2e, 330 Supplementary Table S4). An inverse linear regression revealed a correlation coefficient 331 332 (r) of -0.62636 upon plotting log2 fold change of the common bound loci between the comparison of $Kmt2d^{+/+}/Kmt2d^{+/\beta Geo}$ on vehicle and $Kmt2d^{+/\beta Geo}/Kmt2d^{+/\beta Geo}$ on TAK-418, 333 suggesting partial rescue of the genome-wide-deficiency of H3K4me3 by TAK-418 (Fig. 334 335 2f).

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337 Global gene expression changes are rescued in *Kmt2d*^{+/βGeo} mice on TAK-418

338 We next interrogated functional effects of the observed differential histone 339 modifications, as measured by changes in gene expression, in order to define a list of potential KMT2D target genes in the hippocampus. We performed RNA-Seq on samples 340 from whole hippocampi harvested from *Kmt2d*^{+/βGeo} mice and *Kmt2d*^{+/+} littermates treated 341 with or without TAK-418. Differential gene expression analysis between *Kmt2d*^{+/βGeo} mice 342 and $Kmt2a^{+/+}$ littermates treated with vehicle control revealed a total of 136 differentially 343 expressed genes (DEGs) (absolute log2 fold change >0.5, Fig. 3a, Supplementary Table 344 S5). Among the 136 DEGs, 74 genes were downregulated and 62 genes were 345 upregulated in hippocampus from $Kmt2d^{t/\beta Geo}$ mice compared to $Kmt2d^{t/+}$ littermates 346 (Fig. 3a). Genes that were downregulated in *Kmt2d*^{+/ β Geo} mice compared to *Kmt2d*^{+/+} 347 littermates were enriched for networks of ionic transport and negative regulation of 348 synaptic signaling (Fig. 3b). The pathways affected by genes upregulated in Kmt2d*//Geo 349 mice compared to *Kmt2d*^{+/+} littermates included tissue development, adhesion and 350 extracellular structure organization (Fig. 3b). After treatment with TAK-418, however, we 351 352 observed a reversed pattern of expression effects. Among 130 DEGs, only 38 genes were downregulated and 92 genes were upregulated in *Kmt2a^{+/βGeo}* mice on TAK-418 353 compared to *Kmt2d*^{+/+} littermates treated with vehicle control (Fig. 3c, Supplementary 354 355 Table S6). Pathways enriched among genes upregulated in *Kmt2d^{+/βGeo}mice* treated 356 with TAK-418 were involved in neurogenesis and neuronal projection development (Fig. 357 3d). The majority of DEGs (absolute log2 fold change <0.5) in $Kmt2a^{H/BGeo}$ mice compared to *Kmt2a*^{+/+} littermates were normalized with TAK-418 treatment (blue dots, 358 359 Fig. 3c). Among the genes that were highly differentially expressed in untreated Kmt2d^{+/βGeo} animals and rescued with TAK-418 were the immediate early genes Fos and 360 Fosb, in addition to Neurexophilin-3 (Nxph3), relaxin/insulin-like family peptide receptor 1 361 (Rxfp1), down-stream targets of extracellular-signal-regulated kinase (ERK) signaling, 362 and ribosomal protein genes (Fig. 3e). When we plotted the log2 fold change of the 363 common genes found in the comparison of $Kmt2d^{+/\beta Geo}/Kmt2d^{+/+}$ on vehicle and 364 $Kmt2d^{t/\beta Geo}$ on TAK-418/ $Kmt2d^{t/\beta Geo}$ on vehicle, we observe a highly significant inverse 365 correlation (R = -0.9) indicating that TAK-418 treatment of $Kmt2d^{+/\beta Geo}$ mice rescued the 366 disrupted expression levels of genes in untreated *Kmt2d*^{+/βGeo} mice to approximately 367 what is normally seen in $Kmt2d^{+/+}$ littermates (Fig. 3f). 368 369

370 The immediate early gene Fos shows decreased expression in $Kmt2d^{t/\beta Geo}$ mice

371 that is rescued with TAK-418

372 We validated gene expression of some of the most interesting candidate genes by RT-qPCR. NXPH3 is a specific ligand of synaptic alpha-neurexins and is essential for 373 374 efficient neurotransmitter release³⁴. Nxph3 expression is significantly downregulated (p < 10.009) in *Kmt2d*^{+/ β Geo} mice compared to *Kmt2d*^{+/+} littermates (Fig. 4a). Upon treatment 375 with TAK-418, we saw a modest increase of Nxph3 levels (p < 0.09, Fig. 4a). Fos and 376 FosB are immediately early genes that respond to extracellular stimuli. Fos, a marker of 377 378 neuronal activity, has been associated with a number of neural and behavioral responses to acute stimuli expression. Fos expression is downregulated in Kmt2d+//Geo 379 mice (p < 0.05), however, upon treatment with TAK-418, the expression level of Fos is 380 381 upregulated significantly (p < 0.03) (Fig. 4b). FosB expression trends mirrored those of 382 Fos, but to a lesser degree (Fig. 4c). At the protein level, we observed a marginal decrease of FOS protein in total hippocampus lysate of *Kmt2d*^{+/βGeo} mice compared with 383 Kmt2d^{+/+} littermate controls and a marginal increase of FOS protein upon treatment with 384 TAK-418 in *Kmt*2*d*^{+/βGeo} mice (Fig. 4d, e). We hypothesize that the incomplete rescue 385 386 could be related to the differential cell type composition in samples from brain tissue. In 387 support of this hypothesis, when we stained the mouse brain slices for FOS and counted 388 FOS⁺ positive cells and calculated the average number of FOS⁺ positive cell per mm² 389 from 9-10 slices per mouse with 3-5 mice each examination group (Supplementary Fig. 390 3), we noticed significantly fewer FOS⁺ positive cells per mm² of dentate gyrus in Kmt2 $d^{+/\beta Geo}$ compared with Kmt2 $d^{+/+}$ littermate controls (Fig. 4f, g). After 2 weeks of 391 treatment with TAK-418 in *Kmt*2d^{+/βGeo} mice, the average FOS⁺ positive cells per mm² 392 increased, although not to a significant level (Fig. 4f, g and Supplementary Fig. 3). Fos 393 394 mediates a signaling cascade involving phosphorylation by extracellular signal-regulated 395 kinases (ERKs). Activated (phosphorylated) ERK is known to be one of the regulators of Fos expression and activity. The decrease in Fos RNA and protein levels in Kmt2d+//Geo 396 mice prompted us to look at the phospho-ERK level in total hippocampal lysate. The 397 ratio of phosphorylated ERK to total ERK was decreased significantly in Kmt2d^{+/βGeo} mice 398 compared with $Kmt2d^{+/+}$ littermate (Supplementary Fig. 4), supporting the hypothesis that 399 the ERK based activation of the Fos signaling cascade is disrupted in $Kmt2d^{+/\beta Geo}$ mice. 400 401

402 TAK-418 rescues the visuospatial learning and memory defect in *Kmt2d*^{+/βGeo} mice

403 Adult-born hippocampal neurons become integrated in the DG circuitry where they mediate neuronal plasticity in support of visuospatial learning and pattern 404 discrimination. Previously we have shown that *Kmt*2d^{+/βGeo} mice have defects in spatial 405 learning and memory formation as evaluated by a Morris water maze test⁹. Here we 406 confirm^{9,10} that vehicle treated *Kmt2d*^{+/βGeo} mice persistently crossed the platform less 407 frequently (p < 0.0007, Fig. 5a) than vehicle treated *Kmt2d*^{+/+} littermates in the Morris 408 water maze. We also found that $Kmt2d^{+/\beta Geo}$ mice demonstrate a longer latency to reach 409 the platform (p < 0.0031, Fig. 5b) and spend less time on the platform (Fig. 5c) and in 410 the quadrant with the platform (p < 0.0026, data not shown) compared to Kmt2d^{+/+} 411 littermates. The TAK-418 treatment considerably ameliorated the defect observed in 412 Kmt2d^{+/gGeo} mice in the Morris water maze test (Fig. 5a). In the final probe trial, the 413 *Kmt2d*^{+/βGeo} mice treated with TAK-418 had increased frequency of crossing the platform 414 compared to untreated Kmt2d^{+/βGeo} mice (p < 0.0206, Fig. 5a). Similarly, Kmt2d^{+/βGeo} 415 416 treated with vehicle consistently had increased latency to find the platform (p < 0.0088, Fig. 5b) and spent less time on the platform (p < 0.0131, Fig. 5c) both of which were 417 rescued with TAK-418. There didn't appear to be any obvious confounders of either drug 418 419 or genotype on vision or muscle strength based on the results collected from the Morris 420 water maze. Specifically, there were no major side effects on muscles or vision after 421 treatment with TAK-418 for 2-3 weeks, as the average swimming speed was very similar 422 in both genotypes on and off TAK-418 (Fig. 5d) and there was no difference in the 3-day 423 visual trial with regard to the latency to find the platform (Fig. 5e). During the five days of 424 training, the mice improved over time demonstrating appropriate learning, however, the *Kmt2d*^{+/βGeo} mice appeared to perform worse (Supplementary Fig. 5) and were 425 426 significantly worse on day 4 (p < 0.05) but appeared to demonstrate some rescue on TAK-418, although it was not significant (repeated measures ANOVA). 427

428

TAK-418 is well tolerated in mice at therapeutic levels and leads to rescue of splenomegaly, another disease relevant phenotype

We observed no obvious side effects in the mice that were on TAK-418, including no effect on weight or general well-being (data not shown). We have previously observed splenomegaly at or around 4 months of age (data not shown). Given this finding, we kept a cohort of mice on TAK-418 for 8 weeks (starting at 2 months of age) and again observed no obvious side effect or impact on weight (no weight loss or weight gain). We did, however, observe splenomegaly in the *Kmt2d*^{+/βGeo} mice treated with

vehicle, but correction of the splenomegaly in *Kmt2d^{+/βGeo}* mice on TAK-418
(Supplementary Fig. 6) indicating that this phenotype may also be malleable by TAK-418
treatment.

440 **Discussion**

441 Post-translational modifications of histone proteins, such as acetylation, 442 methylation, phosphorylation, and ubiquitination are thought to serve as crucial 443 regulatory signals to control gene expression in eukaryotic cells and are important for maintaining genomic integrity³⁵. Emerging evidence suggests that dysregulation of 444 epigenetic modifications is mechanistically linked to both cancer and developmental 445 defects including neurodevelopmental disorders³⁶. Histone methylation, in particular, 446 confers active or repressive chromatin states in a locus-specific manner. The histone 447 methylation state is meticulously regulated by the balance between two opposing 448 enzyme systems: lysine methyltransferases and lysine demethylases (KMTs and 449 KDMs). Disease-causing variants in KMTs and KDMs have been identified in multiple 450 disorders in patients with intellectual disability, indicating that altered regulation of 451 histone methylation can lead to intellectual disability³⁷. Pharmaceutical inhibition of 452 epigenetic targets counteracting the epigenetic effects of these loss-of-function variants 453 454 has been investigated as a possible therapeutic option in several epigenetic conditions including KS^{9,10,38}. 455

456 In recent years, data have emerged that support the notion that KMT2D dysfunction affects cellular function in the hippocampus. These include several studies 457 458 that report more severe visuospatial disruption (linked to hippocampus) in molecularly confirmed patients with KS compared to other individuals with non-KS intellectual 459 disability^{39,40}. MRI images also suggest a grossly smaller hippocampus in individuals 460 with molecularly confirmed KS⁴¹. These studies help set the stage for a potential clinical 461 462 trial, as do recently developed international diagnostic criteria for KS². These data also 463 support the strategy to focus on hippocampus and dentate gyrus to estimate the effect of 464 treatment, although they in no way exclude that other brain regions or cell types play a 465 role in the neurological disease phenotype in KS.

Recently, genetic targeting of *KDM1A* was found to rescue a cellular phenotype observed with loss of function of *KMT2D* in embryonic stem cells¹³. KDM1A, also known as LSD1 or AOF2, is the first identified FAD-dependent histone demethylase capable of specifically demethylating mono- and di-methylated lysine 4 of histone H3 (H3K4me1

and H3K4me2) the very marks placed by KMT2D²⁴. This suggests the feasibility of a 470 471 more targeted therapeutic strategy for Kabuki syndrome, namely KDM1A inhibition. KDM1A associates with HDAC1/2, CoREST, BHC80, and BRAF35²⁵. BRAF35, with its 472 HMG DNA-binding domain, is thought to recruit the KDM1A/CoREST complex to the 473 474 target sites. Subsequent deacetylation of the histone tail by the HDAC1/2 at the target sites then enables KDM1A to demethylate H3K4²⁶. It has been demonstrated that 475 hyperacetylated nucleosomes are less susceptible to CoREST/LSD1-mediated 476 demethylation⁴², suggesting that hypoacetylated nucleosomes may be the preferred 477 physiological substrates. We have previously shown that AR-42, a histone deacetylase 478 inhibitor (HDACi), can rescue the learning and memory defect in *Kmt2d^{+/βGeo}* mice⁹. 479 However, AR-42, a pan histone deacetylase inhibitor, likely impacts deacetylase 480 481 activities indiscriminately across a range of distinct HDAC-containing multiprotein 482 complexes. Such broad cellular effects may result in a narrow therapeutic window between disease efficacy and toxicity. Indeed, when we treated Kmt2d^{+/βGeo} mice with 483 484 AR-42, we began to observe the effect at 5mg/kg/d with full effect obtained at 10mg/kg/d. At 25mg/kg/d, however, we started to observe cytotoxicity effect, reflected by 485 fewer DCX⁺ positive cells compared with *Kmt*2*d*^{+/βGeo} mice treated with vehicle⁹. We had 486 487 postulated that the treatment effect was likely indirect, primarily affecting histone 488 acetylation, with a secondary effect on histone methylation⁹. An alternative hypothesis is 489 that AR-42 exerts its effect through inhibition of HDAC1/2 activity in KDM1A-CoREST 490 complex, leading to hyperacetylation of the target sites which are more resistant to 491 KDM1A-mediated demethylation, thus retaining the H3K4 methylation. This may mean 492 that low dose combined treatment with deacetylase inhibitor and demethylase inhibitor, 493 or dual histone deacetylase and demethylase inhibitors targeting the CoREST complex may have synergistic effect and be a particularly effective treatment for Kabuki 494 495 syndrome. In contrast to AR-42, TAK-418, received full effect at 1mg/kg/d with regards 496 to DCX⁺ positive cells and no adverse effect have been observed with higher dose of 497 TAK-418. Thus, TAK-418, as specific inhibitor to KDM1A, may also have the potential to 498 be a single agent treatment for KS through its effects on H3K4 methylation.

In addition to the expected global increase of H3K4me1 and H3K4me2 after treatment with TAK-418 in *Kmt2d*^{+/ β Geo}, we also observed a global increase of H3K4me3, likely due to the accumulation of H3K4me2 and subsequent conversion into H3K4me3 by H3K4 methyltransferases. Alternatively, the KDM1A complex has been shown to be unstable while binding to methylated targets sites. iBRAF, the paralogue of BRAF35⁴³,

504 may compete with BRAF35 for the same target sites and recruit KMT2A complex, which 505 subsequently may enhance trimethylation of H3K4, however, this will need to be 506 explored in future studies. Although, KDM1A-inhibiting treatment is promising for Kabuki syndrome, the dose will need to be optimized. This is obvious because missense 507 508 mutations in KDM1A have been identified in three individuals with developmental delay⁴⁴⁻⁴⁶, indicating that too much KDM1A inhibition can be damaging. The 509 510 developmental symptoms in these individuals are similar to those of Kabuki syndrome 511 (MIM: 147920), characterized by distinct craniofacial features including widely spaced 512 teeth and palatal abnormalities, indicate that too much disruption of KDM1A actively 513 could also be detrimental to intellectual function. Although this protein also has non-514 epigenetic function, it is likely that the cause of the phenotypes relates to its epigenetic 515 function because biochemical studies have demonstrated that these mutant proteins exhibit reduced stability and demethylase activity⁴⁶, indicating a loss-of-function 516 517 mechanism.

518 Our RNA and ChIP sequencing data indicate that the immediate early genes (IEGs) such as Fos and FosB may be one class of genes that is affected in Kabuki 519 520 syndrome and show rescue on TAK-418. Rusconi, et al. have shown that the KDM1A 521 complex interacts with serum response factor (SRF) under resting conditions and an 522 enrichment of KDM1A complex with SRF has been detected in *c-fos* promoter which 523 contains the serum response element (SRE). SRF is known to be constitutively bound to 524 the DNA of its target genes and the interaction of the KDM1A complex with SRF modulates the H3K4 methylation level at the fos promoter^{47,48}. We also noted gene 525 expression changes that would be consistent with decreased ERK signaling and, in fact, 526 ERK activation appears deficient in *Kmt2d^{+/βGeo}* hippocampi compared to those in 527 528 littermates. Previous data from fibroblasts from KS individuals and zebrafish models of Kabuki syndrome has implicated decreased activation of this pathway^{49,50}, which is 529 530 concordant with our observations. Thus, both studies reveal abnormalities of ERK 531 signaling and future studies should further elucidate whether ERK abnormalities play a 532 mechanistic role in the pathogenesis of Kabuki syndrome. 533 In summary, here we show that oral administration of a KDM1A specific inhibitor,

TAK-418, can ameliorate neurological problems at the cellular, molecular, gene expression and functional levels in a mouse model of Kabuki syndrome ($Kmt2d^{t/\beta Geo}$ mice). TAK-418 treatment increases adult neurogenesis in adult mice, as indicated by increased DCX⁺ cells in the granule cell layer of the hippocampus. At the molecular

538 level, TAK-418 increases the global level of mono-, di- and tri-methylated H3K4 (H3K4me1/2/3) in *Kmt*2 $d^{t/\beta Geo}$ mice as assessed by both Western blot and ChIP-Seq. 539 540 TAK-418 treatment also corrects the differential gene expression profile abnormalities found in *Kmt2d*^{+/ β Geo} mice compared to *Kmt2d*^{+/+} littermates. Finally, and most 541 542 importantly, we show that TAK-418 can correct the functional deficits by improving the learning and memory behavior of *Kmt2d*^{+/βGeo} mice. Currently we do not know if these 543 effects will translate in humans. However, the present data are informative in respect to 544 the TAK-418 dose range and exposure to be achieved to produce pharmacologically 545 relevant effects in $Kmt2d^{t/\beta Geo}$ mice, contributing to better design for the clinical proof of 546 concept trial. In summary, our data support the hypothesis that KS is a treatable cause 547 of intellectual disability and that KDM1A inhibition, may be a novel and effective 548 549 mechanism of action for the treatment of KS.

550

551 Acknowledgements

- 552 We are thankful for statistical analysis by Dr. Liliana Florea and Corina Antonescu,
- through the Computational Biology Consulting Core and support by Dr. Pletnikov in the
- JHMI behavioral core. H.T.B. is funded by the following sources: NIH: DP5OD017877,
- Louma G. Foundation, Icelandic Research Fund, #195835-051, #206806-051) and for
- this particular project with a grant from Takeda Pharmaceuticals.
- 557

558 Data availability

- All data have been posted to GEO and is available using accession numbers
- 560 GSE146727, GSE146728, GSE146729.
- 561

562 **Conflict of interest**

- 563 H.T.B. is a consultant for Millennium Therapeutics and this work was partially supported
- with a grant from Takeda who owns rights to TAK-418. E.M.P., J.D., A.N., R.B., S.M.,
- 565 M.D., Y.H., S.M., M.I., and H.K. are employees of Takeda.

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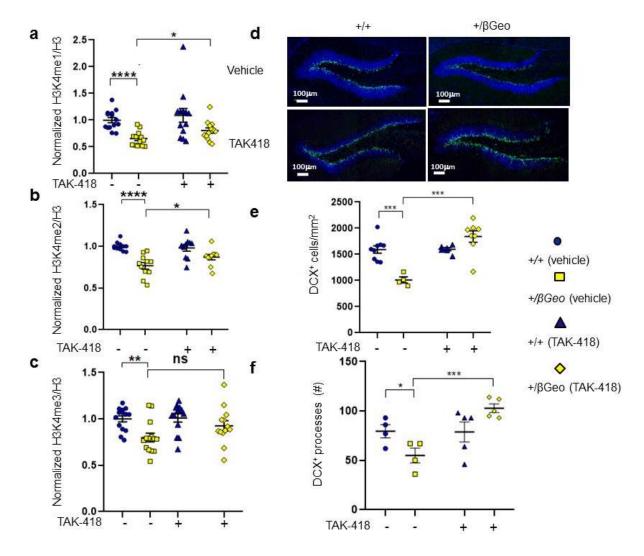
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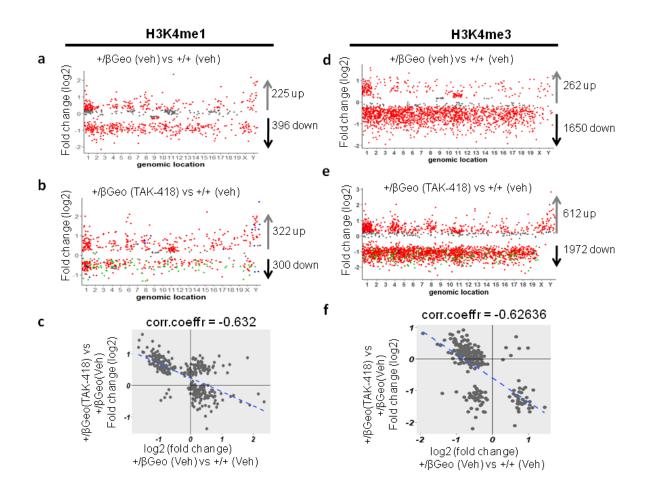
689 Figures



690

Fig. 1: TAK-418 rescues histone H3K4 methylation abnormalities and

692 **neurogenesis defects in hippocampus of** *Kmt2d*^{*/ β Geo} **mice**. Quantification of 693 H3K4me1, H3K4me2, and H3K4me3 levels from Western blots of hippocampal lysates 694 from both genotypes with and without 2 weeks of TAK-418 treatment (**a-c**). A deficiency 695 of DCX⁺ cells in the granule cell layer of the hippocampus seen in *Kmt2d*^{+/ β Geo} mice 696 compared to littermates normalizes after 2 weeks of TAK-418 (**d**, **e**). A defect of the 697 number of DCX⁺ processes is also rescued after 2 weeks on TAK-418 (**f**). Student's t-698 test. *p < 0.05,**p < 0.01, ***p < 0.005, ****p < 0.001.



700

Fig. 2: Hippocampal genome wide levels of H3K4me1 and H3K4me3 demonstrate

702 TAK-418-dependent rescue. Comparison of hippocampal H3K4me1 levels of

703 $Kmt2d^{t/\beta Geo}$ on vehicle or TAK-418 compared to $Kmt2d^{t/4}$ on vehicle (**a**, **b**, **c**).

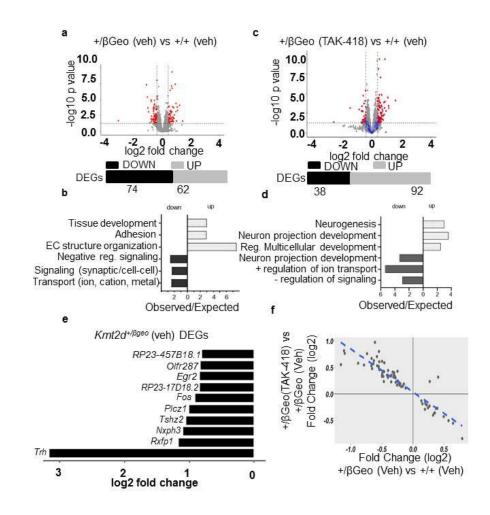
704 Comparison of hippocampal H3K4me3 levels of *Kmt2d*^{+///Geo} on vehicle or TAK-418

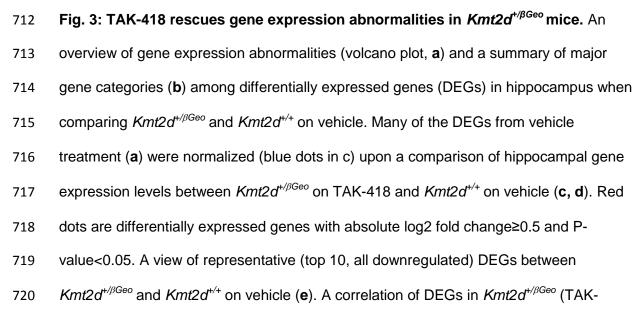
compared to $Kmt2d^{+/+}$ on vehicle (**d**, **e**, **f**). Each point corresponds to a genomic location

of a peak with a statistically significant difference between the two genotypes. Log2 fold

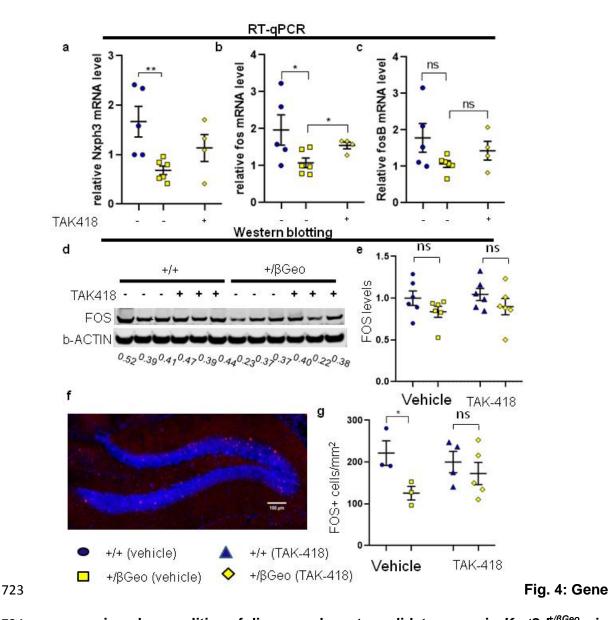
change >0.2 or <0.2 are in red, and others are in gray. To allow visualization of changes

- vpon TAK-418 treatment the green dots indicate H3K4me1/3-bound locations that were
- significantly increased in $Kmt2d^{+/\beta Geo}$ mice on vehicle (**b**,**e**) and blue dots indicate
- H3K4me1/3-bound peaks that were decreased in $Kmt2d^{+/\beta Geo}$ on vehicle (**b**,**e**).





- 418/vehicle) and the two genotypes ($Kmt2d^{+/\beta Geo}/Kmt2d^{+/+}$ on vehicle) reveals TAK-418-
- 722 dependent rescue (f).



expression abnormalities of disease-relevant candidate genes in $Kmt2d^{+/\beta Geo}$ mice

725 are reflected in abnormal RT-qPCR, Western blot, and immunofluorescence

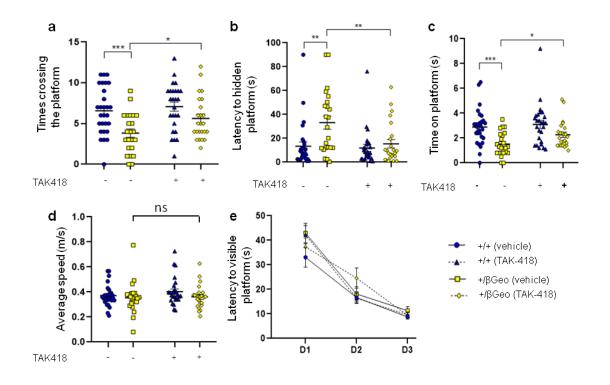
staining. Nxph3, fos and fosb are disease-relevant DEGs and all demonstrate

consistent decreased gene expression in $Kmt2d^{H/\beta Geo}$ mice compared to littermates and

these changes rescue with TAK-418 (**a**, **b**, **c**). Western blotting of FOS reveals a defect

- at the protein level in $Kmt2d^{t/\beta Geo}$ mice compared to littermates (with some rescue, **d**, **e**).
- 730 Immunofluorescence staining of tissue slices from $Kmt2d^{+/\beta Geo}$ mice and littermates
- demonstrate a significant defect that rescues upon TAK-418 treatment (f, g). f is a

- representative immunofluorescence image of FOS from *Kmt2d*^{+/βGeo} treated with TAK-
- 733 418. Student's t-test, *p < 0.05,**p < 0.01.



735

Fig. 5: TAK-418 rescues the visual spatial learning and memory defect in

737 *Kmt2d*^{+/ β Geo} mice. *Kmt2d*^{+/ β Geo} mice have significant abnormalities in the number of times

crossing platform area during probe trial (**a**), latency to find platform (**b**) and time spent

on platform (c); all defects are rescue on TAK-418. These results are not confounded by

muscle strength or vision, as $Kmt2d^{+/\beta Geo}$ mice have similar average speed (d) and no

significant defects in a visual flag finding regimen compared to $Kmt2d^{t/\beta Geo}$ mice (e), t-

test (**a-d**), Repeated measures ANOVA (**e**), *p < 0.05,**p < 0.01, ***p < 0.005.