1	Discrimination among Cryptococcus deneoformans, C. neoformans and interspecies
2	hybrids using MALDI-TOF Mass Spectrometry
3	Running title: Cryptococcus species identification by MALDI-TOF MS
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## 22 ABSTRACT

Background: Differentiation of the species within the *Cryptococcus neoformans* complex (*C. deneoformans*, *C. neoformans* and *C. neoformans* interspecies hybrids –*C. deneoformans* x *C. neoformans*-) is important to define the epidemiology of the infection.

26 **Objectives:** In this study we attempted the discrimination of three *C. neoformans* species using

27 MALDI-TOF MS coupled with an in-house library.

Methods: All *Cryptococcus* spp. isolates were identified by AFLP markers. An in-house database was constructed 26 well characterized *C. deneoformans*, *C. neoformans* and interspecies hybrids. Forty-four *Cryptococcus* spp. isolates were blindly identified using MALDI-TOF MS (Bruker Daltonics) and the expanded library. Their protein spectra were also submitted to hierarchical clustering and the resulting species were verified via Partial Least Squares Differential Analysis (PLS-DA) and Support-Vector Machine (SVM).

**Results:** MALDI-TOF MS coupled with the in-house library allowed 100% correct identification of *C. deneoformans* and *C. neoformans* but misidentified the interspecies hybrids. The same level of discrimination among *C. deneoformans* and *C. neoformans* was achieved applying SVM. The application of the PLS-DA and SVM algorithms in a two-step analysis allowed 96.95% and 96.55% correct discrimination of *C. neoformans* from the interspecies hybrids, respectively. Besides, PCA analysis prior to SVM provided 98.45% correct discrimination of the 3 species analysed in a onestep analysis.

41 **Conclusions:** Our results indicate that MALDI-TOF MS could be a rapid and reliable tool for the 42 correct discrimination of *C. deneoformans* and *C. neoformans*. The correct identification of the 43 interspecies hybrids could only be achieved by hierarchical clustering with other protein spectra 44 from the same species.

45 Key Words: Cryptococcus spp, MALDI-TOF MS, in-house library, AFLP, hierarchical clustering

### 46 **INTRODUCTION**

47 The genus Cryptococcus has classically comprised two sibling species with great importance from the clinical point of view: Cryptococcus neoformans and C. gattii, the causative 48 49 agents of cryptococcosis. Whilst C. neoformans complex has been associated with meningitis in immunosuppressed patients, C. gatti has been shown to cause disease in both immune competent 50 and immunocompromised population<sup>1,2</sup>. Species differentiation is important in order to establish the 51 epidemiology, virulence and susceptibility pattern to the commonly used antifungal drugs<sup>3-6</sup>. So far, 52 53 species assignment is achieved by morphology analysis of the colonies grown on specific culture media and serological tests<sup>7</sup>. The availability of DNA-based methodologies as restriction fragment 54 length polymorphism (RFLP) analysis<sup>8</sup>, amplified fragment length polymorphism (AFLP) analysis<sup>9</sup>, 55 multilocus microsatellite typing -MLMT-<sup>10</sup>, and multilocus sequence typing -MLST-<sup>11</sup> has allowed 56 the identification of *Cryptococcus* species and molecular types in the last years<sup>8-13</sup>. Genotyping 57 methods have identified the following major molecular types: AFLP1/VNI, AFLP1A, 58 AFLP1B/VNII for C. neoformans; AFLP2/VNIV for C. deneoformans, AFLP3/VNIII for the 59 60 interspecies hybrid C. neoformans neoformans x C. deneoformans; and AFLP4/VGI, AFLP5/VGIII, AFLP6/VGII, AFLP7/VGIV and AFLP10/VGIV, VGII for C. gattii compex<sup>14,15</sup>. 61

Molecular techniques have shown to be accurate and robust although the whole procedure is cumbersome, time consuming, and delays the final identification. Although genomic analysis is currently the gold standard for *Cryptococcus* identification, its high requirements in hands-on time and expertise has led to the evaluation of alternative tools.

Matrix-assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF
MS) has emerged as a promising technology for the rapid and reliable identification of yeasts<sup>16-18</sup>.
Isolates belonging to the *Candida* genus have been shown to be easily identified at the species level

either from single colonies or directly from clinical samples using MALDI-TOF MS<sup>19</sup>. However, non-Candida yeasts still represent a challenge for this technology, especially when trying to identify genera poorly represented or even lacking in the commercial databases<sup>20</sup>. In this case, expanded inhouse databases containing protein spectra from the underrepresented species and genera have shown to overcome this drawback<sup>16</sup>. Although this approach has worked before for the discrimination between *C. neoformans* and *C. gatti* complexes<sup>21,22</sup>, the available information about MALDI-TOF discrimination within the *C. neoformans* complex is still limited<sup>23</sup>.

In this study, MALDI-TOF has been applied for the discrimination between *C*. *deneoformans*, *C. neoformans* and the interspecies hybrids. For this purpose, two approaches have
been applied: a database was built using well-characterized isolates and automated peak analysis
was performed.

80

#### 81 MATERIALS AND METHODS

#### 82 Isolates and molecular identification

We retrospectively selected 70 Cryptococcus spp. isolates from clinical samples (n=70) 83 84 belonging to 67 patients admitted to Hospital Gregorio Marañón (Madrid, Spain) from 1994 to 85 2007. Isolates sourced from cerebrum spinal fluids (51%), blood (33%), respiratory samples (10%), 86 and others (6%). They were morphologically identified on Columbia agar + 5% sheep blood plates 87 (Biomérieux Marcy L'étoile, France) at 35 ° C, and by means of the ID 32C system (bioMérieux, Marcy l'Etoile, France). All isolates were stored at -80°C in water until further analysis. All isolates 88 were previously identified by AFLP analysis<sup>24</sup> and were stored at -80°C in water until further 89 90 analysis. Molecular identifications were considered as the reference in our study.

## 92 Database construction

93 Twenty-six *Cryptococcus* isolates - *C. neoformans* (n=12), interspecies hybrids (n=10) and
94 *C. deneoformans* (n=4)- were processed according to the manufacturer's instructions and added to
95 the in-house database (HGM library) as individual Main Spectra (MSPs).

96 The procedure for adding new entries to an in-house library has already been described<sup>25</sup>. 97 Briefly, the instrument was calibrated before spectra acquisition using freshly prepared BTS; 98 *Cryptococcus* isolates were processed as explained below and then spotted onto eight positions in 99 the MALDI target plate and each position was read three times. Twenty-four protein spectra were 100 thus achieved, 20 of which had to be identical in order to be accepted by the software (Biotyper, 101 Bruker Daltonics, Bremen, Germany) as a MSP and added to the extended library.

#### 102 MALDI-TOF identification

Forty-four *Cryptococcus* spp. isolates were blindly analysed using an LT Microflex benchtop MALDI-TOF mass spectrometer (Bruker Daltonics) for spectra acquisition, using default settings. For the identification of the protein spectra, the updated BDAL database containing 8223 MSPs (Bruker Daltonics) was applied. This database contains 12 reference MSPs from *C. neoformans* and 7 from *C. deneoformans*. Besides, the expanded in-house HGM library developed in this study was used in combination with the commercial database.

109 The sample processing method applied consisted of a mechanical disruption step followed 110 by a standard protein extraction. Briefly, a few colonies were picked, re-suspended in 300 µl water 111 HPLC-grade and 900µl ethanol, and submitted to 5 min vortexing. After a brief spin, the 112 supernatant was discarded and the pellet allowed drying completely at RT. Protein extraction with 113 formic acid and acetonitrile was performed and 1µl of the supernatant was spotted onto the MALDI

114	target plate in duplicates. Once the spots were dry, they were covered with 1µl HCCA matrix
115	(Bruker Daltonics), prepared following the manufacturer's instructions (Figure 1).

The identifications provided by MALDI-TOF MS were compared at the species level with those provided by AFLP analysis regardless of their score value (Table 1). Besides, score values  $\geq 2.0$  were considered as "high-confidence" scores and those  $\geq 1.7$  as "low-confidence" ones. Score values below 1.6 were only considered when consistent over the four top identifications, otherwise they were considered as "not reliable".

#### 121 Peak Analysis

For the classification of the three species of *Cryptococcus* their protein spectra were processed using Clover MS Data Analysis software (Clover Biosoft, Granada, Spain) with the parameters shown in Table S1 in order to achieve a peak matrix with a representative mass list in the range 2400m/z to 12000m/z. Furthermore, spectra alignment was performed. First, the replicates from the same isolate were aligned in order to get an average spectrum. Finally, all average spectra were aligned together.

128 The rate of presence for the biomarker peaks was calculated for each species and then 129 compared among species. Receiver Operating Characteristic (ROC) curve with Area under the 130 Curve –AUC- higher than 0.99 were used as quality indicators to measure the sensibility and 131 specificity of a selected biomarker.

Once the putative biomarkers were selected and analysed, a peak matrix was built containing all the aligned spectra from all *Cryptococcus* isolates, processed as described in Table S2. This peak matrix was constructed with ten species-specific biomarkers and it was used as input for a dendrogram obtained measuring Euclidean distance from Principal Component Analysis (PCA) scores.

137 Over the peak matrix, two approaches were applied in order to discriminate the three 138 Cryptococcus species. The first one was a two-step method in which the discrimination of C. 139 *deneoformans* from the other two species was performed as a first step and it was replicated by 140 means of two machine learning algorithms on the same peak matrix: supervised PLS-DA and SVM. 141 Results were validated using k-fold cross validation method. In the second step, a new peak matrix 142 was performed in order to achieve a better discrimination of C. neoformans from the interspecies 143 hybrids. A second dendrogram was performed using the above mentioned parameters. Again, PLS-144 DA and SVM was performed to this second peak matrix to replicate the classification and the k-fold 145 cross validation method was applied. The two-step method was further improved by the exclusion 146 from the peak matrix of peaks that did not provide enough discrimination.

In order to simplify the workflow, a one-step method was assayed so that the capacity of the algorithms to discriminate the three *Cryptococcus* species at the same time was tested. In this case, only one peak matrix with spectra from the three species was built and 5 species-specific biomarkers were included. The alignment and processing parameters were the same as in the twosteps approach. The one-step method was evaluated using the peak matrix generated as input data for PLS-DA analysis and SVM analysis. Besides, the validation in both cases was performed using k-fold confusion matrix.

## 154 Ethic Statement

The hospital Ethics Committee approved this study and gave consent for its performance (Code: MICRO.HGUGM.2017-003). Since only microbiological samples were analysed, not human products, all the conditions to waive the informed consent have been met.

158

#### 159 **RESULTS**

160 Genotyping of the isolates detected three different genotypes. The most common genotype
161 was AFLP1/1B (*C. neoformans*, n=34; 49%), followed by AFLP3 (interspecies hybrids, n=29;
162 41%) and AFLP2 (*C. deneoformans*, n=7; 10%).

163 The application of MALDI-TOF MS and the commercial database allowed the correct 164 identification of 18/22 C. neoformans isolates (81.8%) and 1/3 C. deneoformans isolates (33.3%); 165 the remaining C. neoformans isolates -n=4- could not be reliably identified and for 2 C. 166 deneoformans isolates MALDI-TOF did not provide the species identification (Table 1). The 167 identification of the interspecies hybrids (n=19) was not achieved using the commercial database 168 due to the lack of representation of this microorganism. These isolates were identified as C. 169 *neoformans* complex in 9 cases (score $\geq 2.0$ , n=7; score> 1.7, n=1; score< 1.6, n=1), as C. 170 deneoformans in 7 cases (score>1.7, n=4; score<1.6, n=3) and as C. neoformans in 3 cases 171 (score>1.7) – Table 1-.

172 Only two isolates (8.0%) were correctly identified at the species level with high-confidence 173 score values ( $\geq 2.0$ ) whilst 52.3% of the samples were identified with low-confidence scores (>1.7) -174 Table 1-. Another 4 isolates were reliably identified to the species level, although with scores values 175 ranging between 1.7 and 1.6 and, finally, 8 isolates obtained scores below 1.6. The latter can be 176 considered as unreliable identifications.

Using the in-house library all *C. neoformans* and *C. deneoformans* isolates were correctly identified by MALDI-TOF MS at the species level (Table 1). Moreover, 21/25 isolates (84.0%) were identified with score values  $\geq$ 2.0 which indicates a high-confidence level. The reliability of the identification was further demonstrated by the fact that the top 4-5 identifications were identical in all cases. In all but two cases these top reference isolates belonged to the HGM in-house library.

However, the implementation of the expanded HGM library only allowed the correctidentification of 12/19 interspecies hybrids, 7 of them with score values above 2.0. The high

184 closeness of the interspecies hybrids with the other two *Cryptococcus* species made it difficult for
185 MALDI-TOF MS to discriminate among them and misidentified 7 interspecies hybrids as *C*.
186 *neoformans* (Table 1).

187 To improve the identification of the interspecies hybrids and their discrimination from C. 188 deneoformans and C. neoformans, peak analysis was performed. The search for species-specific 189 biomarker peaks yielded a list of 10 peaks that allowed the differentiation of the Cryptococcus 190 species analysed, with 5 of them showing higher discriminative power (Table 2). The two-step 191 method allowed correct differentiation of the interspecies hybrids which clustered distinctly in the 192 dendrograms built using two different hierarchical clustering variations (Figure 2 and Figure S2). 193 These dendrograms showed three different clusters where Cryptococcus deneoformans isolates 194 were clearly separated from Cryptococcus neoformans and the interspecies hybrids. Accurate 195 differentiation among the 3 Cryptococcus species was achieved using the peak matrix built upon the 5 most discriminative peaks, with only one spectrum from an interspecies hybrid misallocated in the 196 197 C. neoformans cluster (Figure 2B). C. neoformans and the interspecies hybrids showed close 198 relatedness between them based on their protein spectra.

The validation of the method yielded a k-fold (k=10) score of 96.92% for PLS-DA
performed over the peak matrix with 10 biomarkers and 98.46% for the analysis with 5 biomarkers.
However, SVM algorithm achieved 100% discrimination in both cases when PCA was applied
(Table S3).

A second dendrogram was performed using hierarchical clustering analysis. It showed two well-defined clusters for *Cryptococcus neoformans* and the interspecies hybrids (Figure S2). In this step only the 3 biomarkers to differentiate *C. deneoformans* from interspecies hybrids were used (5453.91, 5552.90 and 7103.00 m/z). Furthermore, this second dendrogram was validated by PLS-DA and SVM algorithms. K-fold (k=10) was applied achieving 95.55% efficacy in both analyses.

In the single-step method, the peak matrix built with 5 biomarkers was used as an input for PLS-DA and SVM analysis in order to achieve the discrimination of the 3 *Cryptococcus* species simultaneously. PLS-DA analysis could not classify correctly the three varieties at the same time due to the low k-fold (k=10) values obtained. However, PCA performance prior to SVM allowed 98.46% correct classification of the three *Cryptococcus* species (Figure 3). The efficacy of the method was tested by k-fold (k=10) cross validation analysis was above 95.0%. (Figure 3, Table S3)

215 As a result of this analysis, a visual method for the differentiation of the analyzed 216 Cryptococcus species can be applied based on the presence of the 6688.67 m/z peak in the C. 217 *neoformans* isolates and their absence in C. *deneoformans* isolates, where the peaks 6576.08 m/z218 and 7103.01 m/z could be detected. On the other hand, both sets of peaks are present in the 219 interspecies hybrids although some of them (2842.14, 3084.11 and 8636.24 m/z) were detected in 100% of the spectra from this species (Table 3). The visual detection of these biomarker peaks 220 221 could provide a rapid and accurate identification of the Cryptococcus species prior to a more in-222 depth peak analysis using *ad-hoc* software.

223

### 224 **DISCUSSION**

Accurate identification of *Cryptococcus* species within the *Cryptococcus neoformans* complex provides valuable information about their epidemiology, sensitivity to commonly used antifungal drugs or virulence. Our results show that discrimination among the three *Cryptococcus* species analyzed–*C. deneoformans, C. neoformans* and interspecies hybrids- can be performed successfully using MALDI-TOF MS.

The implementation of the in-house database built in our laboratory allowed 100% correct
species-level identification of the 25 *Cryptococcus deneoformans* and *C. neoformans* isolates used

to challenge it. Apart from the reliable identification of the analyzed *Cryptococcus* species, the inhouse library also provided high confidence identifications in 63.6% of the cases (Table 1).
Furthermore, these results showed consistency along the 10 top identifications provided by the mass
spectrometry instrument, even for the hybrids. This fact is of great importance in the routine of the
microbiology laboratory in order to transfer reliable information to the clinicians.

The results obtained are in agreement with those obtained by other authors<sup>21-23</sup>. However, the in-house library did not provide enough discrimination between the above-mentioned species and the interspecies hybrids. This goal was only fulfilled completely when peak analysis was performed and the three *Cryptococcus* species analyzed in this study distinctively clustered together (Figure 2). Other authors have provided species-level discrimination in 98.1-100% of the cases<sup>21, 23, <sup>26</sup>. Although some of these studies were performed on higher number of isolates, our results also reflect the improvements made on the commercial database during the last years.</sup>

244 The available commercial database has demonstrated to provide high species-level 245 resolution for C. deneoformans and C. neoformans-76.0%- although score values <1.7 were 246 obtained in 21.0% of the cases and species-level identification was not provided for 2 C. 247 deneoformans isolates. These data supported the need of building expanded databases. However, 248 even improvements in the reference databases proved not to be enough to differentiate the 249 interspecies hybrids. This may be due to the algorithms used by the mass spectrometry instrument 250 for species assignment and to the fact that the hybrids show peaks present of both parental species. 251 Therefore, peak analysis using *ad-hoc* software was performed. A list of 10 biomarker peaks was 252 achieved as the input for species classification (Table 2). The implementation of PLS-DA analysis 253 in a two-step approach allowed the discrimination of C. deneoformans isolates in the first place and, 254 subsequently, the correct classification of C. neoformans isolates and the interspecies hybrids in 255 96.92% of the cases. Furthermore, the accuracy of this method increased when the number of 256 biomarker peaks used was reduced to the five most discriminative ones (98.46%).

In order to simply the analysis, a one-step method was proposed in order to classify the three species simultaneously. In this case, PLS-DA provided correct classification in less than 75.0% of the cases but the application of SVM after PCA analysis allowed 96.92% correct discrimination of the analyzed isolates. This analysis provided a set of species-specific peaks for the *Cryptococcus* species within the *C. neoformans* complex that may be detected by visual inspection, representing a rapid and inexpensive approach for their discrimination.

263 In summary, our results demonstrate the usefulness of MALDI-TOF MS when applied in 264 the microbiology laboratory for rapid and reliable identification of non-Candida yeasts. Although 265 the updated commercial library provided correct species-level identification for a high number of C. 266 deneoformans and C. neoformans isolates (43.2%), the identification of these species was missing 267 or not reliable in 20.5% 18.2% of the cases, respectively. Moreover, the detection of the interspecies 268 hybrids is not possible with the Biotyper database. However, the expanded in-house library allowed 269 correct species-level identification for all C. deneoformans and C. neoformans, either by 270 conventional identification with MALDI-TOF MS or by peak analysis (Figure 3). The interspecies 271 hybrids required hierarchical clustering for their correct identification since their close relatedness 272 with the other species made it difficult for MALDI-TOF to differentiate them from the other two species in a routine manner. This approach and the detection of species-specific peaks are 273 274 recommended for the reliable discrimination of the three analyzed species.

275

## 276 Conflict of Interest Statement

277 The authors report no conflicts of interest. The authors alone are responsible for the content278 and the writing of the paper.

279

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289	
290	Figure Legends
291	Figure 1. Workflow of the sample preparation method used in this study to obtain proteins from
292	Cryptococcus spp. isolates for their identification by MALDI-TOF MS.
293	Figure 2. Clustering of 65 Cryptococcus isolates included in this study. Five isolates could not be
294	recovered from culture for further analysis.
295	Figure 3. Classification of the three Cryptococcus species by SVM in the one-step approach, using
296	5 biomarker peaks.
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**Table 1.** Identification of *Cryptococcus neoformans, C. deneoformans* and interspecies hybrids using MALDI-TOF MS and the Biotyper library alone or in combination with the in-house HGM database. <sup>1</sup>Identified as *C. neoformans* complex (n=2); <sup>2</sup>Identified as *C. neoformans* complex (n=7); <sup>3</sup>Identified as *C. neoformans* complex (n=1), *C. deneoformans* (n=4) and *C. neoformans* (n=3); <sup>4</sup>Identified as *C. neoformans* complex (n=1) and *C. deneoformans* (n=3); <sup>5</sup>Identified as *C. neoformans* (n=7)

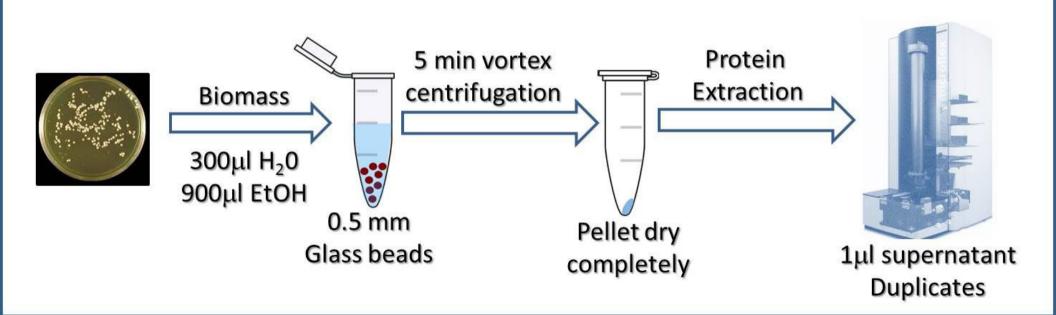
IDENTIFICATION BY DNA SEQUENCING	Isolates analyzed		IFED BY I	spp. ISOL BIOTYPEI SPs (%)		Cryptococcus spp. ISOLATES IDENTIFED BY BIOTYPER 8223 MSPs + HGM LIBRARY (%)			
		Score ≥2.0	Score ≥1.7	Score ≥1.6	Score <1.6	Score ≥2.0	Score ≥1.7	Score ≥1.6	
Cryptococcus neoformans	22	2	13	3	4	18	4	0	
Cryptococcus deneoformans	3	0	2 <sup>1</sup>	1	0	3	0	0	
Interspecies hybrids	19	7 <sup>2</sup>	8 <sup>3</sup>	0	4 <sup>4</sup>	7	12 <sup>5</sup>	0	
TOTAL	44	9	23	4	8	28	16	0	

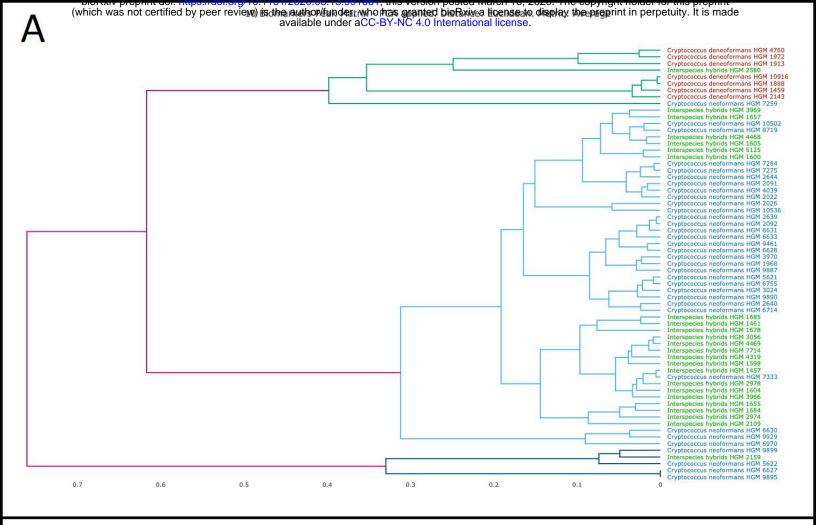
**Table 2.** List of the 10 representative mass peaks of *Cryptococcus* spp. Identified as potential biomarkers. These peaks were used for the construction of dendrograms and PLS-DA and SVM models. The 5 peaks marked with asterisks (\*) were selected for the simplified models. CV= Coefficient of Variation.

Mass (m/z)	Number of Spectra	C. neoformans	C. neoformans (CV)	C. neoformans (mean)	Interspecies hybrids	Interspecies hybrids (CV)	Interspecies hybrids (mean)	C. deneoformans	C. deneoformans (CV)	C. deneoformans (mean)
2488.07	54	30/34	88.749 %	4.401.767	24/24	69.617 %	2.811.789	0/7	-	-
2842.14	53	29/34	78.206 %	2.202.128	24/24	79.602 %	2.235.196	0/7	-	-
*3084.11	55	31/34	98.458 %	7800.06	24/24	89.283 %	5.969.393	0/7	-	-
*5453.91	27	1/34	0.0 %	72.906	23/24	65.081 %	731.902	3/7	12.654 %	748.872
*5552.90	27	1/34	0.0 %	558.307	23/24	73.624 %	1.418.905	3/7	47.3 %	2.763.278
6576.08	23	0/34	-	-	16/24	63.172 %	457.978	7/7	56.698 %	685.58
*6688.67	57	34/34	95.69 %	4.420.907	23/24	88.388 %	3.556.217	0/7	-	-
*7103.01	31	1/34	0.0 %	24.32	23/24	122.759 %	1.484.767	7/7	52.14 %	4.155.275
7830.42	18	0/34	-	-	11/24	46.494 %	719.13	7/7	39.831 %	449.704
8636.24	43	19/34	101.061 %	2.887.856	24/24	87.315 %	1.832.722	0/7	-	-

m/z	2842.14	3084.11	6576.08	6688.67	7103.01	8636.24
C. deneoformans	0	0	100	0	100	0
C. neoformans	85.3	91.2	0	100	0	55.9
Interspecies hybrids	100	100	66.7	95.8	4.3	100

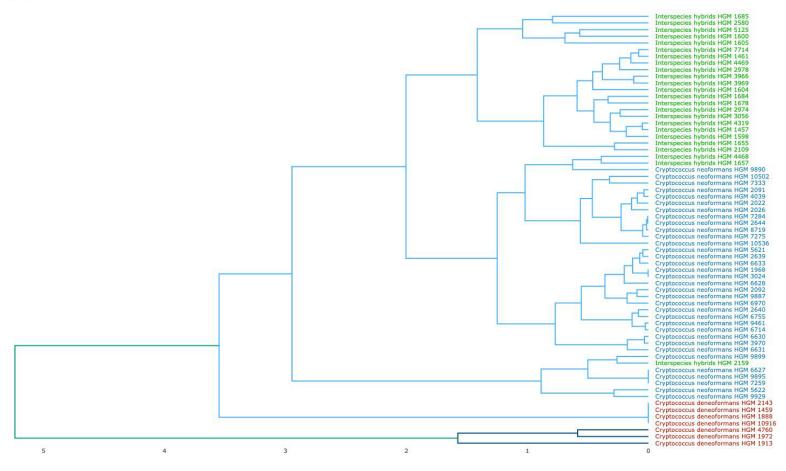
**Table 3.** Differentiation of the analyzed *Cryptococcus* species based on the absence/presence of biomarker peaks. Figures indicate the percentage (%) of isolates showing the indicated peak.





B

5 Biomarkers Peak Matrix - PCA applied. Distance: Euclidean. Metric: Average



3-C. neoformans 0 Interspecies hybrids 0 C. deneoformans 2-PC 1 (26.58%) 1-0--1--2--2 0 6 -6-4PC 0 (46.73%)

SVM - 5 Biomarkers Peak Matrix - PCA