1 Unbiased homeologous recombination during pneumococcal transformation allows for

2 multiple chromosomal integration events

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15 Abstract

The rapid spread of antimicrobial resistance and vaccine escape in the opportunistic human 16 pathogen Streptococcus pneumoniae can be largely attributed to competence-induced 17 transformation. To better understand the dynamics of competence-induced transformation, 18 we studied this process at the single-cell level. We show that within isogenic populations, all 19 cells become naturally competent and bind exogenous DNA. In addition, we find that 20 21 transformation is highly efficient and that the chromosomal location of the integration site or whether the transformed gene is encoded on the leading or lagging strand has limited 22 influence on recombination efficiency. Indeed, we have observed multiple recombination 23 events in single recipients in real-time. However, because of saturation of the DNA uptake 24 and integration machinery and because a single stranded donor DNA replaces the original 25 allele, we find that transformation efficiency has an upper threshold of approximately 50% of 26 the population. Counterintuitively, in the presence of multiple transforming DNAs, the 27 fraction of untransformed cells increases to more than 50%. The fixed mechanism of 28 transformation results in a fail-safe strategy for the population as half of the population 29 generally keeps an intact copy of the original genome. Together, this work advances our 30 understanding of pneumococcal genome plasticity. 31

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Keywords: *Streptococcus pneumoniae*, natural transformation, competence development,
 homologous recombination, homeologous recombination, single cell analysis, antibiotic
 resistance, horizontal gene transfer

37 Introduction

The opportunistic human pathogen Streptococcus pneumoniae (the pneumococcus) kills over 38 a million individuals each year, despite the introduction of several vaccines targeting its 39 capsule (Croucher et al., 2018; O'Brien et al., 2009; Prina et al., 2015). Because of its ability 40 to take up DNA from its environment by competence activation, genes associated with 41 capsule biosynthesis are rapidly transferred from one strain to the other thereby contributing 42 to vaccine escape (Salvadori et al., 2019). In addition, antibiotic resistance remains a cause of 43 concern and competence-dependent recombination plays an important role in the spread of 44 drug resistance (Lo et al., 2019). For example, one of the main genetic sources for penicillin 45 resistance in S. pneumoniae is DNA acquired from non-pathogenic Streptococci from the 46 viridans group such as S. mitis that also lives in the human nasal and oral cavities (Bryskier, 47 2002; Janoir et al., 1999). Consistently, antibiotic resistant pneumococci and vaccine-escape 48 variants remain an important cause of invasive infections in spite of the introduction of the 49 conjugate vaccines (Fenoll et al., 2018; Levy et al., 2019; Ouldali et al., 2018). 50

Although pneumococcal competence is one of the best studied bacterial regulatory 51 system (Gómez-Mejia et al., 2018; Johnston et al., 2014; Lin and Kussell, 2017; Salvadori et 52 al., 2019; Shanker and Federle, 2017; Straume et al., 2015; Veening and Blokesch, 2017), 53 and pneumococcal transformation was already discovered in the early twentieth century 54 (Avery et al., 1944; Griffith, 1928), we have a poor understanding on how competence-55 dependent transformation drives pneumococcal population dynamics, serotype displacement 56 and the spread of antibiotic resistance. Importantly, horizontal gene transfer (HGT) via 57 natural transformation is not only conserved in Streptococci but is present in many human 58 pathogens where it promotes the spread of virulence determinants and antibiotic resistance 59 (Brockhurst et al., 2019; Dubnau and Blokesch, 2019; Johnston et al., 2014). For this reason, 60

it is crucial to understand what the main bottlenecks are during the take-up and
 recombination of exogenous DNA that leads to transformed new genotypes.

In contrast to many other competent pathogens such as Acinetobacter spp. and 63 Neisseria meningitidis in which competence is constitutively expressed, competence 64 development in S. pneumoniae is only activated under specific conditions (Blokesch, 2016; 65 Claverys et al., 2006). Pneumococcal competence is under control of a two-component 66 quorum sensing system (Figure 1). ComC is cleaved and exported by the peptidase-67 containing ATP-binding cassette transporter ComAB (Chandler and Morrison, 1988; 68 69 Håvarstein et al., 1995; Hui et al., 1995). Cleaved ComC autoinducer is commonly referred to as CSP, for Competence Stimulating Peptide (Alloing et al., 1996; Håvarstein et al., 1996, 70 1995). CSP is recognized by the membrane-bound histidine kinase ComD(Håvarstein et al., 71 1996). Once a certain threshold level of CSP has been reached, as the culture reaches higher 72 densities, or when other environmental factors increase local CSP concentrations (Domenech 73 et al., 2018; Moreno-Gámez et al., 2017), ComD will autophosphorylate and transfer the 74 phosphoryl group to the response regulator ComE (Martin et al., 2013). Phosphorylated 75 ComE then dimerizes (Boudes et al., 2014; Sanchez et al., 2015) and binds to a specific DNA 76 sequence (Martin et al., 2013; Pestova et al., 1996; Slager et al., 2019; Ween et al., 1999). 77 The *comCDE* and *comAB* operons are under direct control of ComE, setting up a positive 78 feedback loop. The genes under control of ComE are called the early *com* genes (Figure 1). 79 Importantly, phosphorylated ComE also activates expression of the gene encoding the 80 alternative sigma factor ComX. ComX activates transcription of the so-called late *com* genes, 81 which includes the genes required for DNA uptake and integration (Campbell et al., 1998; 82 Dagkessamanskaia et al., 2004; Luo et al., 2003; Pestova and Morrison, 1998; Slager et al., 83 2019) (Figure 1). While regulation of competence is highly diverse between naturally 84

transformable bacteria, the actual DNA uptake and integration machinery is largely
 conserved (Chen and Dubnau, 2004; Johnston et al., 2014).

During pneumococcal competence, exogenous double stranded DNA (dsDNA) is 87 bound by a type IV-like pilus (Laurenceau et al., 2013) and subsequently sequestered to the 88 DNA uptake machinery (Figure 1). Note that in contrast to some other competent bacteria, 89 pneumococcus binds and takes up DNA of any sequence, including non-kin DNA(Mell and 90 Redfield, 2014). Next, the dsDNA is processed into single stranded DNA (ssDNA) by the 91 EndA nuclease and internalized through a membrane pore consisting of ComEC. Once 92 93 inside, the ssDNA is bound by a competence-specific ssDNA binding protein, SsbB, and stabilized by DprA and RecA (Attaiech et al., 2011; Berge et al., 2003) (Figure 1). This 94 complex undergoes homology scanning and forms a temporal hetero-duplex during strand 95 invasion which can lead to homologous recombination (Mortier-Barrière et al., 2007). The 96 exact details on the kinetics of this process, as well as how the heteroduplex is resolved in 97 most cells remains elusive. The competent transformation state in S. pneumoniae is transient 98 as DprA interacts with phosphorylated ComE to inhibit its activity (Mirouze et al., 2013). In 99 addition, several key Com proteins are rapidly turned over after their synthesis, leading to a 100 window of DNA uptake of approximately 15 min (Liu et al., 2019; Tomasz, 1966; Weng et 101 al., 2013). 102

As most work on pneumococcal competence and transformation has been performed using bulk assays, it is unclear what the actual bottlenecks are during competence development and why one cell will be transformed whereas another one will not. Here, we have set up single cell transformation assays that allow us to quantify successful recombination events in real-time. This study provides direct evidence for several decadesold models underpinning bacterial transformation, and offers new insights that help explain

- 109 why competence-induced transformation is so effective in changing global pneumococcal
- 110 population structures.

111 Results

112 All pneumococci become competent and bind exogenous DNA

113 To quantify pneumococcal transformation efficiency and determine at which step potential bottlenecks arise, we systematically analyzed every stage during the process: 1) competence 114 development, 2) production of the DNA uptake machinery, 3) binding of exogenous DNA, 115 and 4) recombination and expression of the newly acquired genetic information (Figure 1). 116 While competence development in B. subtilis is limited to approximately 10% of the 117 population (Maamar and Dubnau, 2005; Smits et al., 2005), up to 100% of cells within 118 pneumococcal populations have been reported to become competent when induced with 119 exogenously added synthetic CSP or when grown on semi-solid surfaces (Bergé et al., 2017; 120 Domenech et al., 2018; Litt et al., 1958; Martin et al., 2010; Moreno-Gámez et al., 2017; 121 Slager et al., 2014). 122

To quantify competence development in clonal pneumococcal populations in a 123 systematic fashion, we constructed a set of reporters. First, we assessed the timing of both 124 naturally induced and artificially induced competence (by the addition of synthetic CSP) at 125 the population level utilizing a firefly luciferase reporter under the control of the late 126 competence ssbB promoter (strain DLA3). Cells were grown in C+Y medium at 37°C (see 127 Methods) and growth and luciferase activity were measured every 10 min. As expected, 128 under these experimental conditions, the population rapidly activates *ssbB* in the presence of 129 added CSP, while in the absence of externally added CSP, the *ssbB* promoter peaks after 130 approximately 100 min (Figure 2A). To determine which fraction of the cells switch on the 131 132 competence pathway, we fused the *ssbB* promoter to a fast folding yellow fluorescent protein (msfYFP) and integrated this construct at the native *ssbB* locus (strain VL2219). As shown in 133 Figures 2B-C, ~97% of the population was positive for *ssbB* expression 20 minutes after 134 addition of synthetic CSP as determined by fluorescence microscopy followed by automated 135

image analysis (see Methods for details). Importantly, spontaneous competence without the
addition of synthetic CSP was reached in 92% of the population showing that almost all
pneumococci, regardless of their cell length and cell cycle status become naturally competent

139 (Figure 2–figure supplement 1).

To test whether competent cells actually produce the machinery required for DNA 140 uptake, we constructed translational msfYFP fusions to three essential components of the 141 transformation machinery: ComGA (ATPase driving the DNA uptake pilus), ComEA (DNA 142 receptor) and ComFA (ATPase driving DNA import) as the only copy integrated at their 143 144 native locus. After 20 min of incubation with synthetic CSP, cells were collected for fluorescence microscopy. In line with the fraction of cells that become competent, msfYFP-145 ComEA, ComFA-msfYFP and ComGA-msfYFP were also expressed in the majority of the 146 cells (~92%, ~99% and ~99%, respectively) (Figure 2D and Figure 2-figure supplement 147 2). A double-labeled strain (strain OVL2536: PssbB-mScarlet-I, ComGA-msfYFP) 148 demonstrated that all competent cells indeed produce the DNA uptake machinery (Figure 149 2E). 150

Finally, to assess whether the proteins required for recombination and chromosomal integration of exogenous DNA also were expressed in the majority of the population, we constructed translational fusions to RecA and the recombination mediator protein DprA. Similar to the DNA-uptake proteins, RecA and DprA were induced in most competent cells (**Figure 2D** and **Figure 2–figure supplement 2**).

During pneumococcal competence, the capture of extracellular DNA by the ComGC pilus is an essential step for transformation (Berge et al., 2002). To examine which proportion of cells is capable of binding DNA during competence, we labeled extracellular DNA (285 bp *S. pneumoniae* DNA fragment, see Methods) fluorescently with the Cy3 dye. After induction of competence with synthetic CSP of cells mutated for EndA (to prevent

degradation of the exogenous DNA), ~90% of the population bound extracellular DNA as 161 visualized by fluorescence microscopy (Figure 2F). Even without additional CSP, 162 spontaneous competence also led to most cells (89.6%) binding exogenous DNA (Figure 163 2G). As observed before in an unencapsulated R6 strain (Bergé et al., 2013), we note that 164 also in the encapsulated serotype 2 D39V strain, DNA mainly bound to the mid-cell positions 165 of the cell, corresponding to the localization of the DNA uptake machinery particularly the 166 ComEA receptor (Figure 2F and Figure 2-figure supplement 1) (Bergé et al., 2013). 167 Collectively, these data validate by direct single cell observations that pneumococcal 168 169 competence development, the subsequent production of the DNA uptake and integration machinery, as well as DNA binding is highly efficient and occurs in nearly every cell of the 170 population regardless of their cell cycle state. 171

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173 Real-time single-cell analysis of homeologous recombination during transformation

Having established that there are no significant bottlenecks during the process of both 174 induced and natural competence development and DNA uptake, we next set out to develop a 175 system that allows for the direct visualization of successful recombination. Traditionally, 176 transformation efficiencies are evaluated using antibiotic selection methods. However, these 177 selection methods have limitations because they depend on the counting of colony forming 178 units, which can lead to the overestimation of transformation efficiencies, due to inefficient 179 separation of transformed from non-transformed daughter cells and nongenetic inheritance of 180 antibiotic resistance (Dalia and Dalia, 2019; Domenech et al., 2018; Ephrussi-Taylor, 1962, 181 1958) (Figure 3-figure supplement 1). In order to overcome these concerns and analyze 182 successful recombination events during transformation at the single cell level, we developed 183 a fluorescence-based reporter system inspired by a system previously used to observe natural 184 transformation in S. pneumoniae (Bergé et al., 2013) and other bacterial species (Boonstra et 185

al., 2018; Corbinais et al., 2016; Godeux et al., 2018). To do so, we utilized a fluorescent 186 donor strain in which the gene encoding the abundant histone-like protein HlpA (aka HU) 187 was fused in frame with the gene encoding the red fluorescent protein mScarlet-I integrated 188 at the native *hlpA* locus at 169° on the circular chromosome (Keller et al., 2019) (strain 189 VL1780) (Figure 3A). A recipient, non-fluorescent strain was constructed (strain VL1784) in 190 which *hlpA* was separated from *mScarlet-I* by a stop codon mutation (G>T) (Figure 3 A–C 191 and Figure 3-figure supplement 2A, hlpA-stop-mScarlet-I). Upon uptake, integration and 192 expression of exogenous transforming DNA (tDNA) containing the donor construct (intact 193 194 hlpA-mScarlet-I), successfully transformed recipient cells will produce functional HlpAmScarlet-I that can be quantified by fluorescence microscopy or flow cytometry (Figure 3B, 195 **3C** and **Figure 3–figure supplement 2B**). As this is a recombination event between highly 196 similar but not identical DNA (except for the SNP causing a stop codon), this is called a 197 homeologous recombination event (Humbert et al., 1995; Petit et al., 1991). Note that this 198 reporter system does not affect growth regardless of the presence of the stop codon and that 199 flow cytometry analysis slightly overestimates the real transformation efficiencies due to cell 200 chaining (Figure 5 and Figure 3-figure supplement 4, see below). 201

As mScarlet-I is a fast folding red fluorescent protein (Bindels et al., 2017), this 202 reporter system should allow for the real-time detection of homeologous recombination 203 during transformation. To test this, we provided competent recipient cells that besides the 204 *hlpA-stop-mScarlet-I* allele also constitutively expressed sfGFP (strain VL1832) with intact 205 hlpA-mScarlet-I as donor tDNA in the presence of CSP and then performed time-lapse 206 microscopy (see Methods for details). As shown in Figure 3D and Videos 1 and 2, recipient 207 cells do not display any red fluorescence in the beginning and then gradually start to express 208 red fluorescence. When quantifying the fluorescence signals and superimposing this on a cell 209 lineage tree constructed using a set of new scripts written in BactMAP (Raaphorst et al., 210

2020) (see Methods), it becomes apparent that the initial recipient cell already expresses 211 HlpA-mScarlet-I right after the addition of tDNA before the first cell division as red 212 fluorescent signals above background levels can be detected (Figure 3E). Notably, only half 213 of the recipients' descendants appear to strongly express HlpA-mScarlet-I (Figure 3E, right 214 lineage). Contrary, after three more divisions the non-transformed lineage no longer 215 expresses red fluorescence (Figure 3E, left lineage). These results are in line with a recent 216 217 study in Vibrio cholerae that showed a period of non-genetic inheritance in daughter cells during transformation (Dalia and Dalia, 2019). Similar observations were made when using a 218 219 different transformation reporter system (Figure 3-figure supplement 3 and Video 3, see below). In line with current models of transformation (Davidoff-Abelson and Dubnau, 1971; 220 Ephrussi-Taylor and Gray, 1966; Fox and ALLEN, 1964; Gabor and Hotchkiss, 1966; 221 LACKS, 1962; Méjean and Claverys, 1984; Piechowska and Fox, 1971), these observations 222 are consistent with a model in which recombination occurs by direct integration of the 223 ssDNA donor and forms a hetero-duplex. Therefore, at least one round of DNA replication 224 and division is required to generate two different homo-duplex chromosomes in progeny cells 225 (Figure 3F). The fact that we initially also observe fluorescence in the un-transformed 226 lineage suggests that phenotypic expression derived from the acquired allele might occur 227 prior to forming a homo-duplex. In this case, the transformed ssDNA likely replaced the 228 antisense, noncoding strand so functional *hlpA-mScarlet-I* could be immediately transcribed 229 after integration via RecA-directed homeologous recombination (mismatched pairing 230 between exchanged DNA strands that are tolerated during the process of homologous 231 recombination). Alternatively, phenotypic expression in these cells can occur if the 232 transformed locus gets replicated, resulting in two homo-duplexes (transformed and original 233 allele), and then transcribed before division of the cell (Dalia and Dalia, 2019). 234

Single cell quantification of homeologous recombination highlights transformation bottlenecks

The constructed system now allows us to quantify successful homeologous recombination 238 events at the single cell level, without the bias introduced by traditional plating assays. 239 Previously, it was shown that the concentration of donor DNA as well as the length of the 240 homology regions strongly influences transformation efficiency (Keller et al., 2019; Lee et 241 al., 1998). To examine recombination bottlenecks in our single cell setup, we treated our 242 reporter recipient strain VL1784 with CSP and used intact *hlpA-mScarlet-I* donor tDNA with 243 244 various lengths of homology surrounding the stop codon (fragments of 2.7 kb, 5 kb or 7 kb) at a range of different concentrations (0.0032 nM, 0.032 nM, 0.32 nM or 3.2 nM). Then, after 245 4 h incubation in liquid medium to allow for complete homo-duplex allele formation and 246 dilution of non-genetically inherited HlpA-mScarlet-I, cells were separated from chains by 247 vigorously shaking on a bead beater devise (see Figure 3-figure supplement 4). Finally, 248 transformation efficiencies were quantified by flow-cytometry (Figure 4A). In line with 249 studies using classical plating methods to assess transformation efficiencies (Keller et al., 250 2019; Lee et al., 1998), higher transformation frequencies were observed at higher donor 251 DNA concentrations and with longer homology regions (Figure 4B). Interestingly, the 252 frequency of transformation plateaued at ~50% regardless of the concentration of donor DNA 253 and sequence homology length (Figure 4B). This is in contrast to reported transformation 254 frequencies using traditional plating assays where transformation frequencies of higher than 255 75% (Ephrussi-Taylor, 1958) and up to 100% (Marie et al., 2017) have been reported. This 256 discrepancy can be explained by the lack of separation of transformed from non-transformed 257 cells within the counted colony (Figure 3-figure supplement 1). To exclude the possibility 258 that the observed limitation in transformation frequency is due to an unique feature of the 259 hlpA-stop-mScarlet-I reporter, we constructed an alternative reporter cassette in which we 260

translationally fused the superfolder green fluorescent protein (sfGFP) and SPV 1159, a 261 nonessential small membrane protein under control of the strong constitutive P3 promoter 262 (Keller et al., 2019; Sorg et al., 2015) cloned into the transcriptionally silent CEP locus at 263 295° on the circular chromosome (Figure 4C, strain VL1786). Based on this construct, a 264 recipient strain was constructed containing a stop codon mutation in the linker between 265 spv 1159 and sfGFP (strain VL1788). Indeed, this spv 1159-sfGFP-based transformation 266 reporter demonstrated similar transformation characteristics as the *hlpA-mScarlet-I* reporter 267 in time-lapse microscopy and flow-cytometry analysis (Figure 4-figure supplement 1 and 268 Video 3). The transformation frequency of the spv 1159-sfGFP reporter was also dependent 269 on donor DNA concentration and never exceeded ~50% (Figure 4B). 270

These data show that there is a limit on the maximum efficiency of transformation, despite the fact that most cells become competent and bind extracellular DNA (**Figure 2**) and support a model in which in general only one of the recipient allele strands is replaced by the donor DNA (Ephrussi-Taylor, 1966) (**Figure 3F**). Importantly, these experiments indicate that during competence-dependent transformation, given the donor DNA is of sufficient (homology) length and concentration (see Discussion), in principle all targeted loci can be replaced at least on one strand.

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279 Recombination with tDNA is RecA-dependent and independent of mismatch repair

Previous work showed that pneumococcal genetic transformation involves the DNA mismatch repair (MMR) system, which is mediated by HexA (Claverys and Lacks, 1986), and it was suggested that certain alleles upon transformation might be particularly prone to repair (Ephrussi-Taylor, 1966). To test whether *hexA* plays a role in our reporter system, we quantified transformation efficiencies in a *hexA* mutant background. This showed no significant recombination differences compared to the wild-type background (**Figure 4**–

figure supplement 2). To test if our transformation reporter system depends on the 286 competence-induced homologous recombinase, RecA, we depleted RecA expression level 287 using CRISPR interference (Liu et al., 2017) (Figure 4D). In control strain VL3485 288 (Plac dcas9, without sgRNA), induction of dCas9 by IPTG did not affect the transformation 289 efficiency with *hlpA-mScarlet-I* tDNA. However, when RecA expression was depleted by 290 induction with IPTG in strain VL3486 (Plac dcas9+sgRNA-recA), the transformation 291 frequency was decreased in an IPTG-dose dependent manner. Note that although RecA is 292 known to be critical for optimal growth in S. pneumoniae (Mortier-Barrière et al., 1998), the 293 294 CRISPRi depletion levels during competence did not affect bacterial growth (Figure 4figure supplement 3). Collectively, this data show that our fluorescence-based 295 transformation assay faithfully reflects RecA-dependent homeologous recombination events. 296

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298 Cell-cycle independent homeologous recombination

It was previously suggested that the genomic location and the cell cycle state might influence 299 transformation efficiency as each heteroduplex needs to be resolved to a homoduplex by 300 DNA replication and loci located close to *oriC* will have multiple copies (Bergé et al., 2013; 301 Dalia and Dalia, 2019; Ephrussi-Taylor and Gray, 1966; Porter and Guild, 1969). To test 302 whether the genomic location of the recombination site and the read orientation of the newly 303 acquired functional allele influences transformation efficiency, we introduced the spv 1159-304 stop-sfGFP reporter at 4 different positions on the chromosome: on the right arm of the 305 chromosome at 101° of the circular chromosome (bgaA locus), near the terminus at 169° 306 (hlpA locus), on the left arm of the chromosome at 295° (cep locus) and near oriC at 359° 307 (comCDE locus) (Figures 5A and B). In addition, spv 1159-stop-sfGFP was introduced on 308 both the positive and negative strand on the left arm of the chromosome (*cep* locus at 295°) 309 and on the right arm of the chromosome (bgaA locus at 101°) (Figure 5C). As shown in 310

Figure 5, and Figure 5–figure supplement 1, transformation efficiencies were of a similar order across all tested loci and genetic orientations, with a maximal recombination efficiency of approximately 50%. We do note that certain loci consistently demonstrate higher transformation efficiencies than others (cf. CEP locus vs *bgaA* locus, Fig. 5-supplement 1), but no significant differences were observed regarding the orientation of the construct (see Discussion).

By performing time-lapse microscopy and tracking cell fates across several 317 generations, we can, in principle, tell whether there was a preference for integration at either 318 319 the leading or lagging strand (Figure 5-figure supplement 2A). By placing the direction of transcription of the reporter on the leading strand, RNAP will thus use the noncoding strand 320 as template. In this situation, only if the noncoding strand is replaced by the donor DNA, 321 fluorescence will be apparent during the first cell cycle upon transformation. If the donor 322 DNA is integrated at the coding strand, it will take one more replication cycle before the 323 heteroduplex is resolved and the noncoding strand contains the intact reporter and 324 fluorescence will be observed later than in the first case (Figure 5-figure supplement 2B). 325 Indeed, we can observe all different scenarios with transformants rapidly expressing HlpA-326 mScarlet-I (possible non-coding strand or double stranded recombinants) and cells that only 327 express HlpA-mScarlet-I after the first cell division (possible coding strand recombinants) 328 (Figure 5-figure supplement 2C). As we did not simultaneously track DNA replication in 329 these cells, we cannot exclude the possibility that after transformation, a round of replication 330 occurs before phenotypic expression. Nevertheless, together with the 'bulk' (FACS) single-331 cell transformation experiments described above, the time-lapse data strongly suggest that 332 there is no preference for integration at either the leading or lagging strand and that this is an 333 unbiased event. These findings correspond with work done in the 1960s and 1970s that 334 showed that either strand of the incoming dsDNA is degraded randomly by EndA and either 335

strand has a similar chance of being integrated (Puyet et al., 1990). Recent work in V. 336 cholerae demonstrated that 7% of transformation events occurred at both strands of the 337 integration site, and it was speculated that this was because of integration of multiple donor 338 ssDNA's replacing both the leading and lagging strand of the recipient. By recording 76 339 single cell transformation events using time-lapse microscopy, we found 6 cases in which 340 both daughter cells (7.8%) expressed fluorescence, suggestive of double transformation 341 events on both strands. These findings also indirectly indicate that heteroduplex DNA can be 342 transcribed by RNAP and do not necessarily require a round of DNA replication to form 343 344 homoduplex DNA (see below and (Uptain and Chamberlin, 1997)). Together, this data show that heteroduplexes with exogenous DNA are made across all available loci regardless of 345 reading strand or distance to oriC. 346

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348 Direct observations of multiple recombination events in single recipients

The previous experiments demonstrated that, under ideal conditions with long flanking 349 homology regions and high DNA concentrations, all available recombination sites are 350 transformed on at least one of the strands. Previous studies demonstrated that pneumococcal 351 natural transformation is capable to deal with multiple donor DNAs for genetic 352 recombination (Dalia et al., 2014; Lam et al., 2020). Also, it has been reported that the DNA-353 uptake and recombination process in S. pneumoniae is complete within 15 min (Berge et al., 354 2003), which is a shorter time window than the doubling time (Ephrussi-Taylor, 1966). In 355 order to investigate the possibility of visualizing multiple recombination events, we 356 constructed a dual reporter strain (strain VL1803), which harbors both hlpA-stop-mScarlet-I 357 and spv 1159-stop-sfGFP at distinct chromosomal locations (Figure 6A). Transformation 358 efficiencies of this reporter strain with each single donor DNA at the saturated concentration 359 typically reached 50% for both *hlpA-mScarlet-I* and *spv 1159-sfGFP* as quantified by 360

microscopy (Figures 6C-D). When both donor DNA's were provided, double transformants 361 were observed (15.6 \pm 4.4%) as well as single *hlpA-mScarlet-I* transformants (20.2 \pm 8.9%) 362 and single *spv 1159-sfGFP* transformants ($15.2 \pm 4.9\%$). Time-lapse imaging of competent 363 recipient VL1803 cells with both donor DNAs clearly demonstrated that single recipients 364 could successfully recombine both fragments (Figures 6B, 6C, Videos 4 and 5). We note 365 that, on average, the fraction of non-transformed cells is close to 50% (48.9 \pm 9.5%), 366 implying that each recombination event is not independent from the next or that there is an 367 upper limit to the number of successful recombinations, otherwise we would expect the 368 fraction of non-transformed cells to decrease with multiple donor DNAs (Figure 6-figure 369 supplement 1). An alternative model could be that each recombination event is independent 370 from the next but due to recombination events outside the stop codon SNP, which cannot be 371 quantified in our setup, a reduced transformation efficiency is recorded (see Discussion). 372

To further explore whether transformation efficiency indeed has a plateau, we 373 constructed a triple reporter strain (VL3127) that harbors *ftsZ-stop-mTurquoise2*, spv 1159-374 stop-msfYFP and hlpA-stop-mScarlet-I at three different genomic locations (Figure 7A). 375 Beside the fact that the fluorescent proteins used are spectrally distinct, every fluorescent 376 reporter also has a specific cellular localization, facilitating automated image analyses of 377 successful recombination. The triple reporter strain was transformed with donor tDNA 378 fragments ftsZ-mTurquoise2, spv 1159-msfYFP and hlpA-mScarlet-I. After 4 h of incubation 379 for fluorescent protein maturation and chromosomal segregation, cells were assessed by 380 fluorescence microscopy. As shown in Figure 7B and Video 5, multiple transformed cells 381 with double or triple acquired fluorescence signals were readily observed. Next, we 382 performed single cell transformation assays with strain VL3127 providing one tDNA or all 383 three tDNAs and automatically quantified recombination efficiencies using Oufti and 384 BactMAP-based image analysis (Paintdakhi et al., 2016; Raaphorst et al., 2020) (Figure 7C). 385

In line with our previous observations, each single transformation with a saturated 386 concentration of donor tDNA resulted in a recombination efficiency not higher than 50% 387 (Figure 7C). Interestingly, every possible recombination event happened within the 388 population: cells were observed in which just a single recombination event took place (the 389 most occurring type of transformation), two recombination events (2.2 \pm 0.9%, 4.1 \pm 2.7% or 390 $2.1 \pm 1.8\%$ for each possible combination) or even three recombination events (1.5 ± 1.1% of 391 all cells). Nevertheless, more than half of the population (58.7 \pm 13.4%) did not show any 392 393 fluorescence when simultaneously transformed with three tDNAs. These observations support a model in which each transformation is in principle independent from the next 394 (Figure 6-figure supplement 1). 395

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Non-homologous DNA competes with homologous DNA to reduce transformation efficiency

The data so far show that in principle every locus in S. pneumoniae can be efficiently 399 transformed to a maximum of 50% of the cells when providing tDNA at high DNA 400 concentrations and with long homology arms. However, when providing multiple tDNAs, the 401 untransformed fraction even increases and becomes greater than 50%. Recently, it was shown 402 using whole genome sequencing of transformation events occurring during contact-dependent 403 DNA uptake, that a single recipient could have at least 29 different recombination events 404 (Cowley et al., 2018). Together, this suggests that many recombination events are likely 405 going unnoticed in our single cell transformation assay and that these recombination events 406 become limiting, as we can only detect successful recombination when the stop codon in our 407 fluorescent reporter is replaced for a functional allele. If this is true, adding non-specific 408 DNA would compete with donor tDNA resulting in reduced transformation efficiencies. To 409 test this, we utilized homology-unrelated E. coli-derived DNA fragments of 5 kb with a 410

similar GC content to S. pneumoniae as competing donor DNA. Indeed, as shown in Figure 411 7E, co-transformation of strain VL1803 (*hlpA-stop-mScarlet-I*, spv 1159-stop-sfGFP) with 412 E. coli DNA significantly reduced the transformation efficiency. When 0.32 µM of hlpA-413 mScarlet-I tDNA alone was used as 7 kb donor DNA, approximately 43 % of cells were 414 transformed. However, when 0.32 µM of *hlpA-mScarlet-I* tDNA was given in the presence of 415 saturating amounts of E. coli DNA (3.2 µM), only 3% of transformants were observed. 416 Together, this data suggests that the fact that we never reach transformation efficiencies 417 418 higher than 50% of the population even in the presence of multiple tDNAs, is because of saturation of the DNA uptake and integration machinery. The saturation can be caused by 419 non-successful recombination events with donor DNA or successful recombination events 420 with the donor DNA but outside of the stop SNP that cannot be detected in the single cell 421 assay (Figure 6-figure supplement 1). 422

423

424 Efficient horizontal gene transfer in sessile co-cultures

So far, we demonstrated that transformation is highly efficient under ideal and saturated 425 experimental settings in which pure PCR products were used as donor DNA. Previous studies 426 showed that natural environments also promote efficient HGT (Cowley et al., 2018; 427 Domenech et al., 2020). To assess transformation potential under more realistic conditions, 428 we tested transformation efficiency in a co-culture system in which two pneumococcal strains 429 are grown together on a semi-solid surface without adding synthetic CSP (Fig. 8A; see 430 Materials and Methods section for detail procedure). HGT in such systems is based on 431 genomic DNA released by dead cells followed by DNA uptake and transformation of 432 competent recipient cells (Domenech et al., 2020). Here we used strain R895 (cmR) as 433 recipient and strain R4692 ($\Delta comCDE$, smR, nov^R) as donor. Both are genetically identical 434 unencapsulated R800 derivatives (Lefevre et al., 1979) except for a single SNP conferring 435

streptomycin (SNP in *rpsL*) or novobiocin (SNP in *gyrB*) resistance and a chloramphenicol 436 resistance cassette present in the recipient R895 strain (Fig. 8A). Strain R4692 is also unable 437 to activate competence due to a *comCDE* deletion so transformation can only occur in one 438 direction from donor (R4692) to recipient (R895). R895 and R4692 were pre-cultured 439 separately until early exponential phase and then mixed in an approximate ratio of 3:7 (see 440 Methods). The mixture was immediately spotted on agar plates followed by incubation at 441 37°C for 4 h to allow spontaneous competence development and transformation between 442 strains. Cells were collected by scraping them from the plates and separated by sonication. 443 Serial dilutions of the resulting cell suspension were plated with 4.5 µg/mL of 444 chloramphenicol (for the recovery of the total number of viable recipient cells) and with 445 combinations of chloramphenicol plus streptomycin (10 µg/mL) and/or novobiocin (4 446 μ g/mL) (for the recovery of the single or double transformed recipient cells). As shown in 447 Figure 8B, also in this more realistic model, very high transformation efficiencies are 448 obtained with a single transformation efficiency with smR or nov^R of 5.70 × 10⁻² (SD, 5.70 × 449 10^{-2}) or 1.75×10^{-2} (SD, 1.68×10^{-2}), respectively. Double transformation efficiency with 450 both smR and nov^R was 8.01×10^{-5} (SD, 9.92×10^{-5}), which is close to the product of the 451 single transformation efficiencies $(5.70 \times 10^{-2} \times 1.75 \times 10^{-2} = 9.9 \times 10^{-4})$. As a control, we 452 also performed experiments using strain R4574 as donor (same genotype as R4692, but not 453 harboring *smR* or *novR* allele), which never generated streptomycin nor novobiocin resistant 454 R895, demonstrating that *de novo* mutations conferring resistance do not occur in this 455 experimental setup. Together, these experiments support our single cell observations that 456 multiple transformation events occur efficiently and independently even in more realistic 457 458 settings within sessile co-cultures.

460 **Discussion**

The species of Streptococcus pneumoniae is vastly diverse with a core genome of 461 approximately 500-1100 orthologues genes and a pan-genome of 5000-7000 orthologs (Hiller 462 and Sá-Leão, 2018). In addition, many genes are mosaic such as several genes encoding for 463 penicillin-binding proteins in penicillin-resistant clinical strains (Hakenbeck et al., 2012). 464 One of the main reasons for the high level of genome plasticity and rapidly changing 465 population dynamics is because of the highly conserved competence-based transformation 466 system present in nearly all pneumococcal genomes (Croucher et al., 2016). Indeed, rapid 467 spread of antibiotic resistance alleles and capsule loci have been observed among human 468 populations under selective pressure (Chewapreecha et al., 2014). Here, we investigated the 469 molecular basis for competence-dependent transformation at the single-cell level and show 470 that the uptake, integration and expression of tDNA is highly efficient and is largely 471 independent from the recipient's cell cycle stage or of the chromosomal position of the target 472 locus. This was made possible by the setup of a sensitive real-time detection system to 473 quantify successful homeologous recombination events. A major benefit of the here 474 established single cell approach over traditional plate-based assays is that it allows for the 475 detection of more subtle effects and offers better resolution to study the kinetics of the 476 processes involved. Indeed, using the system developed here, we could visualize and quantify 477 the recombination of three different tDNAs in single recipient cells demonstrating the 478 efficiency of the pneumococcal transformation process. 479

Genome sequencing has indicated that up to 29 recombination events may have taken place in a single round of transformation in the same cell when selecting for the transfer of an antibiotic resistance allele in *S. pneumoniae* (Cowley et al., 2018), while 40 recombination events have been reported in *B. subtilis* (Carrasco et al., 2016). Our work now provides direct evidence that this is not an anomaly and that multiple recombination events are possible

during a single transformation episode, even in the absence of selection. Besides shedding 485 light on the efficiency by which transformation can happen in S. pneumoniae, by imaging 486 transformation at the single cell level, we provide direct evidence that typically only one 487 recipient strand is replaced during competence-dependent transformation, and that there is no 488 bias towards replacement of the leading or lagging strand. As observed in V. cholerae, in 489 approximately 7% of transformants, both strands can be replaced, which is likely caused by 490 DNA repair leading to removal of the recipient strand on the heteroduplex or by integration 491 of multiple tDNAs (Dalia and Dalia, 2019). This is in line with predictions made using 492 493 unlinked antibiotic resistance alleles (Porter and Guild, 1969). In addition, our single cell observations suggest that the replaced noncoding strand by recombination within the 494 heteroduplex is immediately transcribed by RNAP and can lead to lineages of cells with non-495 genetic inherited phenotypes, or that the transformed allele is replicated and transcribed well 496 before cell division occurs (Figure 3). 497

We show that any site regardless of its chromosomal position or orientation with 498 regards to DNA replication can be efficiently transformed, although not with the exact same 499 efficiencies (Figure 5-figure supplement 1). Possible explanations for local difference in 500 recombination efficiency could be the levels of DNA compaction or transcription activity. As 501 RecA-mediated DNA strand exchange is a reversible reaction in vitro (Dutreix et al., 1991; 502 Konforti and Davis, 1990), under steady state conditions DNA strand exchange rarely 503 reaches 50% efficiency. However, in vivo, when providing a single tDNA to competent cells, 504 we readily reach 50% DNA strand exchange, again highlighting that this process is highly 505 efficient under our experimental conditions. 506

Interestingly, we find that the percentage of untransformed cells is lower when three tDNAs are provided instead of two tDNAs (~58% vs ~49% of untransformed cells, respectively: **Figures 6 and 7**). Together with the observation that the presence of non-

homologous DNA reduced our observed transformation efficiency (**Figure 7E**), suggests that, in principle, every recombination event is independent of the next, but that many unsuccessful recombination events and successful recombination events outside the stop codon of our reporter are taking place and that this limits the efficiency of site-specific recombination (**Figure 6–figure supplement 1** and **Figure 7F**).

The overall biological implication of the limitation on competence-dependent 515 516 transformation is that this mechanism ensures that in most cases one copy of the original recipient DNA remains unaltered. This might represent a fail-safe scenario so that in case a 517 518 deleterious tDNA is incorporated, at least one daughter cell will survive. While this might be considered as a "spandrel' effect: a characteristic that flows inevitably from a selected 519 phenotype but has not been selected for directly (Gould and Lewontin, 1979), being able to 520 safely sample from a large pan-genome might contribute to the vast genome plasticity and 521 genome diversity as observed in natural pneumococcal populations. Interestingly, we also 522 find highly efficient HGT and independent transfer of genetic markers between a donor and 523 recipient pneumococcal strain growing together on agar plates (Fig. 8), indicating that our 524 single cell observations under laboratory conditions also reflect settings that depend on lysis 525 of the donor cell and uptake of chromosomal DNA. It will be interesting to see how efficient 526 competence-dependent transformation and horizontal gene transfer is under more realistic 527 conditions such as within polymicrobial community within a host. Future single-cell work 528 will allow the investigation of the localization of the enzymes involved in transformation, 529 how strand exchange during transformation occurs and what the dynamics of the molecular 530 machines are during DNA uptake, integration and expression of tDNA. 531

533 Materials and Methods

Key Resources Table				
Reagent type (species) or resource	Designation	Source or reference	Identifiers	Additional information
strain, strain background (<i>Streptococcus</i> pneumoniae)	Various	This paper	NCBI Taxon: 1313	See Supplementa ry file 1
sequence-based reagent	Various oligonucleot ides	This paper (Sigma- Aldrich)	Primers for cloning	See Supplementa ry file 2
chemical compound, drug	D-Luciferine	Synchem	bc219; CAS: 115144-35-9	
software, algorithm	FIJI	doi: 10.1038/nmet h.2019.	RRID:SCR_0 02285	
software, algorithm	Oufti	doi: 10.1111/mmi. 13264	RRID:SCR_0 16244	
software, algorithm	BactMAP	doi.org/10.11 11/mmi.1441 7	<u>https://github.</u> com/veeningl ab/BactMAP	
software, algorithm	SuperSegger	doi: 10.1111/mmi. 13486	<u>https://github.</u> <u>com/wiggins-</u> <u>lab/SuperSegg</u> <u>er</u>	

534

535

536 Bacterial strains and growth condition

All pneumococcal strains used in this study are derivatives of serotype 2 S. pneumoniae 537 D39V (Avery et al., 1944; Slager et al., 2018) unless specified otherwise. See Table S1 for a 538 list of the strains used and the Supplemental information for details on the construction of the 539 strains. S. pneumoniae was grown in C+Y (pH 6.8) medium at 37°C. C+Y was adapted from 540 Adams and Roe (Adams and Roe 1945) and contained the following compounds: adenosine 541 (68.2 mM), uridine (74.6 mM), L-asparagine (302 mM), L-cysteine (84.6 mM), L-glutamine 542 (137 mM), L-tryptophan (26.8 mM), casein hydrolysate (4.56 g L^{-1}), BSA (729 mg L^{-1}), 543 biotin (2.24 mM), nicotinic acid (4.44 mM), pyridoxine (3.10 mM), calcium pantothenate 544 545 (4.59 mM), thiamin (1.73 mM), riboflavin (0.678 mM), choline (43.7 mM), CaCl₂ (103 mM), K2HPO4 (44.5 mM), MgCl₂ (2.24 mM), FeSO₄ (1.64 mM), CuSO₄ (1.82 mM), ZnSO₄ (1.58 546 mM), MnCl₂ (1.29 mM), glucose (10.1 mM), sodium pyruvate (2.48 mM), saccharose (861 547 mM), sodium acetate (22.2 mM) and yeast extract (2.28 g L^{-1}). 548

549

550 Strain construction

551 Construction of ssbB::ssbB_msfYFP (VL2219)

To construct YFP reporter for ssbB transcription, monomeric vfp (mvfp) was 552 introduced immediately downstream of ssbB at the native ssbB locus together with an RBS. 553 myfp gene was amplified with OVL1414 and OVL1417 from genomic DNA of MK308 554 (parB::parB-yfp) (Raaphorst et al., 2017). Upstream and downstream fragments were 555 amplified with primer pairs of OVL166/OVL1196 and OVL1199/OVL167 using genomic 556 DNA of VL599 (ssbB::ssbB luc kanR) (Slager et al., 2014) as template, respectively. The 557 three resulting fragments were digested with BsmBI, ligated and transformed into S. 558 pneumoniae D39V to obtain strain VL2219. 559

560

561 Construction of comGA::comGA-msfYFP (VL2536)

To construct translational fusion of *comGA* and *msfYFP*, *linker-mYFP* was amplified 562 by PCR with OVL351/OVL690 from genomic DNA of MK308 (parB::parB-yfp) (Raaphorst 563 et al., 2017). 'Upper' and 'downer' fragments containing *comGA* were amplified with primer 564 pairs OVL391/OVL392 and OVL691/OVL394 using genomic DNA of D39V as template, 565 respectively. The three resulting fragments were fused by overlap PCR and transformed into 566 S. pneumoniae D39V. Transformed clones were screened by PCR and sequenced. Resulting 567 strains were additionally transformed by ssbB::ssbB mScarlet-I,kan fragments, obtaining 568 strain VL2536. 569

570

571 Construction of ssbB:ssbB_mScarlet-I, kanR (VL2536)

To construct transcriptional fusion of *ssbB* and *mScarlet-I*, *mScarlet-I* was amplified by PCR with OVL1415/OVL1418 from genomic DNA of VL1787 (*cep:spv_1159-mScarlet-I*, *spcR*) (Keller et al., 2019). Upper and downer fragments were amplified with OVL166/OVL1168 and OVL1199/OVL167 using genomic DNA of VL599 (*ssbB::ssbB_luc*, *kanR*) as template, respectively. The three resulting fragments were fused by Golden Gate assembly using BsmBI and transformed into *S. pneumoniae* strain, *comGA::comGA-msfYFP*. Transformed clones were selected with kanamycin and sequenced, obtaining strain VL2536.

580 Construction of *comEA*::*msfYFP-comEA* (VL2537)

To construct translational fusion of *comEA* and *msfYFP*, *mYFP-linker* was amplified 581 OVL2029/OVL2028 from genomic DNA VL1818 (comEC::msfYFPwith of 582 comEC)(Veening lab collection). Upper and downer fragments containing comEA was 583 amplified with OVL354/OVL1664 and OVL2026/OVL357 using genomic DNA of VL870 584 (*comEA::mNeonGreen-comEA*)(Veening lab collection) as template, respectively. The three 585 resulting fragments were fused by Golden Gate assembly using BsmBI and transformed into 586

S. pneumoniae D39V. Transformed clones were screened by PCR and sequenced, obtaining strain VL2537.

589

590 Construction of comFA::comFA-msfYFP (VL2538)

To construct a translational fusion of *comGA* and *msfYFP*, *linker-mYFP* gene was amplified with OVL351/OVL690 from genomic DNA of MK308 (parB::parB-yfp) (Raaphorst et al., 2017). Upper and downer fragments containing *comFA* were amplified with OVL358/OVL521 and OVL1129/OVL361 using genomic DNA of D39V as template, respectively. The three resulting fragments were fused by overlap PCR and transformed into *S. pneumoniae* D39V. Transformed clones were screened by colony PCR and sequenced, obtaining VL2538 strain.

598

599 Construction of *dprA*::*dprA-msfYFP*, *eryR* (VL3355)

To construct a translational fusion of dprA and msfYFP, linker-mYFP gene was 600 amplified with OVL3481/OVL3482 from genomic DNA of cep::spcR, P3 spv 1159-msfYFP 601 (codon-optimized) strain (Rueff AS and Veening JW, unpublished). Upper and downer 602 fragments containing dprA amplified with OVL3487/OVL3488 603 were and OVL3489/OVL3490 using genomic DNA of D39V as template, respectively. Erythromycin 604 resistance marker (ervR) was amplified OVL2549/OVL2771 using genomic DNA of 605 *hexA::eryR* strain (Veening lab collection). The four fragments were fused by Golden Gate 606 assembly using BsmBI and transformed into S. pneumoniae D39V. Transformed clones were 607 selected by erythromycin and sequenced, obtaining strain VL3355. 608

609

610 Construction of *hlpA::hlpA_hlpA-mScarlet-I* (VL1780)

To construct *hlpA-mScarlet-I*, the *hlpA-mScarlet-I* gene was introduced downstream 611 of the original *hlpA* gene at its own locus as a second copy of *hlpA*. Upper and downer 612 fragments were amplified by PCR with OVL43/OVL44 and OVL45/OVL46 using genomic 613 DNA of MK119 (hlpA::hlpA hlpA-mKate2 cmR) (Beilharz et al., 2015), respectively. 614 mScarlet-I gene was amplified by PCR with OVL55 and OVL56 using codon-optimized 615 synthetic *mScarlet-I* gene as template (Keller et al., 2019). The three resulting fragments 616 were fused by overlap PCR and transformed into S. pneumoniae. Transformed clone was 617 selected by chloramphenicol, obtaining VL1780. 618

619

620 Construction of *hlpA::hlpA_hlpA-stop-mScarlet-I* (VL1784, VL1832)

To disrupt translation between *hlpA* and *mScarlet-I*, on *hlpA::hlpA_hlpA-mScarlet-I*, *cmR* construct, single nucleotide mutation was introduced in domain breaking linker between *hlpA* and *mScarlet-I*. Upper or downer fragments was amplified by PCR with OVL43/OVL724 or OVL873/OVL46 using genomic DNA of VL1780 as template. The resulting fragments were fused by overlap PCR and transformed into *S. pneumoniae* D39V. Transformed clone was selected by chloramphenicol, obtaining VL1784.

To obtain VL1832 (*hlpA::hlpA_hlpA-mScarlet-I, cmR; CEP::sfGFP, spcR*), *CEP::P3_sfGFP, spcR* fragment was amplified by PCR with OVL37/OVL40 using genomic DNA of D-PEP33 (*CEP::spcR,P3_sfGFP*) (Sorg et al., 2015). The fragment was transformed into VL1784, and transformed clone was selected by spectinomycin, obtaining VL1832.

631

632 Construction of *CEP::spcR*, *P3_spv_1159-sfGFP* (VL1785, VL1800)

To construct membrane localizing sfGFP, hypothetical protein with transmembrane domain, spv_1159, was translationally fused to sfGFP under the control of synthetic constitutive promoter P3 at the CEP locus of the *S. pneumoniae* chromosome. Upper and downer fragments were amplified by PCR with OVL37/OVL631 and OVL634/OVL40 using
genomic DNA of VL430 (*CEP::spcR,P3_spv_1159-sfGFP*) (Keller et al., 2019), respectively. *spv_1159* was amplified by PCR with OVL632 and OVL633 using genomic DNA of D39V.
The three resulting fragments were fused by Golden Gate assembly with BsmBI and
transformed into *S. pneumoniae* D39V. Transformants were selected on Colombia agar plates
containing spectinomycin, obtaining strain VL1785.

To obtain a dual labeled strain, the *CEP::spcR,P3_spv_1159-sfGFP* fragment was amplified by PCR with OVL37/OVL40 using genomic DNA of VL1785, and transformed into VL1780 to obtain VL1800 (*hlpA::hlpA_hlpA-mScarlet-I,cmR*; *CEP::spcR*, *P3 spv 1159-sfGFP*).

646

647 Construction of *CEP::spcR*, *P3_spv_1159-stop-sfGFP* (VL1788, VL1803, VL1930)

To disrupt translation between *spv_1159* and *sfGFP*, on the *CEP::spcR,P3_spv_1159-sfGFP* construct, a single nucleotide mutation was introduced in domain breaking linker between *spv_1159* and *sfGFP*. Upper and downer fragments were amplified by PCR with OVL37/OVL724 or OVL723/OVL40 using genomic DNA of VL1785 as template. The resulting fragments were fused by overlap PCR and transformed into *S. pneumoniae* D39V. Transformants were selected by chloramphenicol, obtaining strain VL1786.

To obtain dual reporter strain VL1800, the *CEP::spcR,P3_spv_1159-stop-sfGFP* fragment was amplified by PCR with OVL37/40 using genomic DNA of VL1786, and transformed into VL1784 to obtain VL1800 (*hlpA::hlpA_hlpA-stop-mScarlet-I,cmR*; *CEP::spcR, P3_spv_1159-stop-sfGFP*)).

658

659 Construction of *hlpA::spcR*, *P3_spv_1159-sfGFP* (VL3096)

To insert the *spcR*, *P3_spv_1159-sfGFP* construct downstream of the *hlpA* locus, *spcR*, *P3_spv_1159-sfGFP* was amplified by PCR with OVL2855/OVL2856 using genomic DNA of VL1785 (*CEP::spcR,P3_spv_1159-sfGFP*) as template. Upper and downer fragments were amplified by PCR with OVL2868/OVL2859 and OVL2860/OVL2869 using genomic DNA of D39V, respectively. The three resulting fragments were fused by Golden Gate assembly with BsmBI and transformed into *S. pneumoniae* D39V. Transformants were selected by spectinomycin, obtaining strain VL3096.

667

668 Construction of *hlpA::spcR*, *P3_spv_1159-stop-sfGFP* (VL3097)

To disrupt translation between *spv_1159* and *sfGFP*, on *hlpA::spcR*, *P3_spv_1159sfGFP* construct, single nucleotide mutation was introduced in domain breaking linker between *spv_1159* and *sfGFP*. Upper or downer fragments were amplified by PCR with OVL2868/OVL724 or OVL723/OVL2869 using genomic DNA of VL3096 as a template. The resulting fragments were fused by overlap PCR and transformed into *S. pneumoniae* D39V. Transformants were selected on Colombia agar plates containing chloramphenicol, obtaining VL3097.

676

677 Construction of *comCDE::spcR*, *P3_spv_1159-sfGFP* (VL3098)

To insert the *spcR*, *P3_spv_1159-sfGFP* construct right downstream of the *comCDE* locus, *spcR*, *P3_spv_1159-sfGFP* was amplified by PCR with OVL2855/OVL2856 using genomic DNA of VL1785 (*CEP::spcR*, *P3_spv_1159-sfGFP*) as template. Upper and downer fragments were amplified by PCR with OVL371/OVL2861 and OVL2862/OVL2870 using genomic DNA of D39V, respectively. The three resulting fragments were fused by Golden Gate assembly with BsmBI and transformed into *S. pneumoniae* D39V. Transformed clone was selected by spectinomycin, obtaining VL3098.

685

686 Construction of comCDE::spcR, P3 spv 1159-stop-sfGFP (VL3099)

То disrupt translation between spv 1159 and sfGFP, on 687 comCDE::spcR,P3 spv 1159-sfGFP construct, single nucleotide mutation was introduced in 688 domain breaking linker between spv 1159 and sfGFP. Upper or downer fragments were 689 amplified by PCR with primers OVL371/OVL724 or OVL723/OVL2870 using genomic 690 DNA of VL3096 as template. The resulting fragments were fused by overlap PCR and 691 transformed into S. pneumoniae D39V. Transformants were selected on Colombia agar plates 692 693 containing, obtaining VL3099.

694

695 Construction of *bgaA::spcR*, *P3_spv_1159-sfGFP* (VL3100, VL3348)

To insert the *spcR*, *P3_spv_1159-sfGFP* construct right at the *bgaA* locus, *spcR*, *P3_spv_1159-sfGFP* was amplified by PCR with OVL2855/OVL2856 using genomic DNA of VL1785 (*CEP::spcR*, *P3_spv_1159-sfGFP*) as template. Upper and downer fragments were amplified by PCR with OVL1312/OVL2863 and OVL2864/OVL2871 using genomic DNA of D39V, respectively. The three resulting fragments were fused by Golden Gate assembly with BsmBI and transformed into *S. pneumoniae* D39V. Transformed clone was selected by spectinomycin, obtaining VL3100.

To obtain strain VL3348, the *bgaA::spcR*, *P3_spv_1159-sfGFP* fragment was amplified by PCR with OVL1312/2871 using genomic DNA of VL3100, and transformed into VL1780 to obtain VL3348 (*hlpA::hlpA_hlpA--mScarlet-I,cmR*; *bgaA::spcR*, *P3_spv_1159-sfGFP*)).

707

708 Construction of *bgaA::spcR*, *P3_spv_1159-stop-sfGFP* (VL3101, VL3349)

To disrupt translation between spv_1159 and sfGFP, on bgaA::spcR, $P3_spv_1159$ -sfGFPconstruct, single nucleotide mutation was introduced in domain breaking linker between spv_1159 and sfGFP. Upper or downer fragments was amplified by PCR with OVL1312/OVL724 or OVL723/OVL2871 using genomic DNA of VL3096 as template. The resulting fragments were fused each other by overlap PCR and transformed into *S. pneumoniae* D39V. Transformed clone was selected by chloramphenicol, obtaining VL3101.

To obtain dual reporter strain, the *bgaA::spcR*, *P3_spv_1159-stop-sfGFP* fragment was amplified by PCR with OVL1312/2871 using genomic DNA of VL1786, and transformed into VL1784 to obtain VL3349 (*hlpA::hlpA_hlpA-stop-mScarlet-I, cmR*; *bgaA::spcR, P3 spv 1159-stop-sfGFP*).

719

720 Construction of CEP::spcR,P3_spv_1159-sfGFP(inverted) (VL3346)

To re-introduce spcR, P3 spv 1159-sfGFP in inverted direction at CEP locus, spcR, 721 P3 spv 1159-sfGFP was amplified by PCR with OVL3358/OVL3359 using genomic DNA 722 of VL1785 (CEP::spcR, P3 spv 1159-sfGFP) as template. Upper and downer fragments 723 were amplified by PCR with OVL37/OVL3390 and OVL3391/OVL40 using genomic DNA 724 of D39V, respectively. The three resulting fragments were fused by Golden Gate assembly 725 with BsmBI and transformed into S. pneumoniae VL1784. Transformed clone was selected 726 by spectinomycin, obtaining VL3346 (hlpA::hlpA hlpA-mScarlet-I,cmR; CEP::spcR, 727 P3 spv 1159-sfGFP(inverted)). 728

729

730 Construction of *CEP::spcR*, *P3_spv_1159-stop-sfGFP(inverted)* (VL3347)

To disrupt translation between *spv_1159* and *sfGFP*, on *CEP::spcR*, *P3_spv_1159sfGFP(inverted)* construct, single nucleotide mutation was introduced in domain breaking linker between *spv_1159* and *sfGFP*. Upper or downer fragments was amplified by PCR with OVL37/OVL723 or OVL724/OVL40 using genomic DNA of VL3346 as template. The
 resulting fragments were fused each other by overlap PCR and transformed into *S. pneumoniae* VL1784. Transformed clone was selected by chloramphenicol, obtaining
 VL3347 (*hlpA::hlpA_hlpA-stop-mScarlet-I,cmR*; CEP::spcR,P3_spv_1159-stop sfGFP(inverted)).

739

740 Construction of *bgaA::spcR*, *P3_spv_1159-sfGFP(inverted)* (VL3350)

To re-introduce spcR, P3 spv 1159-sfGFP in inverted direction at CEP locus, spcR, 741 742 P3 spv 1159-sfGFP was amplified by PCR with OVL3358/OVL3359 using genomic DNA of VL1785 (CEP::spcR, P3 spv 1159-sfGFP) as template. Upper and downer fragments 743 were amplified by PCR with OVL1312/OVL2863 and OVL2864/OVL2871 using genomic 744 DNA of D39V, respectively. The three resulting fragments were fused by Golden Gate 745 assembly with BsmBI and transformed into S. pneumoniae VL1784. Transformed clone was 746 selected by spectinomycin, obtaining VL3346 (*hlpA::hlpA hlpA-mScarlet-I,cmR*;CEP::spcR, 747 P3 spv 1159-sfGFP(inverted)). 748

749

750 Construction of *bgaA::spcR*, *P3_spv_1159-stop-sfGFP(inverted)* (VL3351)

To disrupt translation between spv 1159 and sfGFP, on bgaA::spcR, P3 spv 1159-751 sfGFP(inverted) construct, single nucleotide mutation was introduced in domain breaking 752 linker between spv 1159 and sfGFP. Upper or downer fragments was amplified by PCR with 753 OVL1312/OVL723 or OVL724/OVL2871 using genomic DNA of VL3346 as template. The 754 resulting fragments were fused each other by overlap PCR and transformed into S. 755 pneumoniae VL1784. Transformed clone was selected by chloramphenicol, obtaining 756 (*hlpA::hlpA hlpA-stop-mScarlet-I*, VL3347 cmR; CEP::spcR, P3 spv 1159-stop-757 sfGFP(inverted)). 758

759

760 Construction of *ftsZ-mTurquoise2* (VL3126)

To construct a triple labeled strain, upper or downer fragments was amplified with primer pair OVL452/OVL1921 or OVL1922/OVL1441 using genomic DNA of *ftsZ::ftsZmTurquoise2, spcR* strain (Gallay C and Veening JW, unpublished) as template. These fragments were fused by overlap PCR to remove the spcR gene. The fused fragment was used for transformation in D39V and spectinomycin-susceptible clone was selected.

To construct triple labeled strain, *hlpA::hlpA hlpA-mScarlet-I,cmR* was amplified 766 with OVL43/OVL46 using genomic DNA of VL1780 and cep::spcR,P3 spv 1159-msfYFP 767 was amplified with OVL37/OVL40 using genomic DNA of cep::spcR,P3 spv 1159-msfYFP 768 (codon-optimized) strain (Rueff AS and Veening JW, unpublished). These two DNA 769 fragments were transformed into the strain (*ftsZ::ftsZ-mTurquoise2*) and transformed clone 770 was selected by chloramphenicol and spectinomycin, obtaining triple labeled strain VL3126 771 (ftsZ::ftsZ-mTurquoise2; *hlpA::hlpA hlpA-mScarlet-I,cmR;* P3 spv 1159-772 *cep::spcR*. msfYFP). 773

774

775 Construction of *ftsZ-stop-mTurquoise2* (VL3127)

To construct triple reporter strain, upper or downer fragments was amplified with primer pair of OVL452/OVL724 or OVL723/OVL1441 using genomic DNA of VL3126 as template. These fragments were fused each other by overlap PCR to introduce stop codon between *ftsZ* and *mTurquoise2*. The fused fragment *ftsZ::ftsZ-stop-mTurquoise2* was transformed in *ftsZ::ftsZ-mTurquoise2* strain and clone that lost mTurquoise2 fluorescence was screened by fluorescence microscopy.

To disrupt translation between *spv_1159* and *msfYFP*, on *CEP::spcR*, *P3_spv_1159msfYFP* construct, single nucleotide mutation was introduced in domain breaking linker

784	between spv_1159 and msfYFP. Upper or downer fragments was amplified by PCR with
785	OVL37/OVL724 or OVL723/OVL40 using genomic DNA of VL3126 as template. While,
786	hlpA-::hlpA-stop-mScarlet-I, cmR was amplified by PCR with OVL43/OVL46 using
787	VL1784. The resulting two fragments were transformed into <i>ftsZ::ftsZ-stop-mTurquoise2</i> and
788	transformed clone was selected by chloramphenicol and spectinomycin, obtaining VL3128.
789	

790 Construction of *recA::recA-mCheryy*, *eryR* (VL361)

mCherry-eryR was amplified with RR93/RR94 using VL371 (RR27) (Raaphorst et al., 2017). Upper or downer fragments was amplified by PCR with RR91/RR92 or RR93/RR94 using genomic DNA of D39V as template. The three fragments were assembled using Gibson onestep ISO assembly (Gibson, 2011) and transformed into D39V. Transformed clone was selected by erythromycin.

796

797 Construction of R4692 (*△comCDE::trmpR; strR; rifR; novR*)

R304 (*strR; rifR; novR*) (Chastanet et al., 2001) strain was transformed with genomic DNA from R4574 ($\Delta comCDE::trmpR$) (Johnston et al., 2020) and was selected by trimethoprim, obtaining R4692.

801

802 Luminescence assays of competence development

To monitor competence development, strains containing a transcriptional fusion of the firefly *luc* gene with the late competence gene *ssbB* were used. Cells were pre-cultured in C+Y (pH 6.8) at 37°C to an OD595 nm of 0.2. Right before inoculation, cells were collected by centrifugation (6,000 xg for 3 minutes) and resuspended in fresh C+Y at pH 7.9, which is permissive for natural competence. Luciferase assays were performed in 96-wells plates with a Tecan Infinite 200 PRO illuminometer (TECAN) at 37°C as described before (Slager et al.,

2014). Luciferin was added at a concentration of 0.45 mg/mL to monitor competence by
means of luciferase activity. Optical density (OD595nm) and luminescence (relative
luminescence units [RLU]) were measured every 10 minutes.

812

813 Phase contrast and fluorescence microscopy

Microscopy acquisition was performed using a Leica DMi8 microscope with a sCMOS 814 DFC9000 (Leica) camera and a SOLA light engine (Lumencor) and a 100x/1.40 oil-815 immersion objective. Images were primarily processed using LAS X (Leica). For snap shot 816 imaging, cells were concentrated 10x by centrifugation (6,000 xg, 3 min) and 0.5 µl of cells 817 were spotted on 1% agarose/PBS. For time-lapse microscopy, a semi-solid growth surface 818 was prepared with C+Y (pH 7.9) containing 1% agarose in Gene Frame (Thermo Fischer) 819 (Jong et al., 2011). As C+Y medium has some background fluorescence, the C+Y agar pad 820 was pre-exposed on a UV illuminator for 1 min to bleach the background fluorescence. 821

Phase contrast images were acquired using transmission light with 100 ms exposure for snap shot and 50 ms exposure for time-lapse. Fluorescence was usually acquired with 700 ms exposure for snap shot, and 200–500 ms exposure (17–30% of power from Sola light engine) for time-lapse using filter settings described below. Time-lapses images were recorded by taking images every 5 or 10 minutes.

Leica DMi8 filters set used are as followed: mTurquoise2 (Ex: 430/24 nm Chroma, BS: LP 455 Leica 11536022, Em: 470/24 nm Chroma ET470/24 nm or Ex: 430/29 nm Chroma, BS: 455 (450–490) Chroma 69008, Em: 470/26), sfGFP (Ex: 470/40 nm Chroma ET470/40x, BS: LP 498 Leica 11536022, Em: 520/40 nm Chroma ET520/40m), msfYFP (Ex: 500/20 nm Chroma ET500/20x, BS: LP 520 Leica 11536022, Em: 535/30 nm Chroma ET535/30m or Ex:495/25 nm Chroma ET495/25x, BS520 (510–560) Chroma 69008, Em: 533/30 nm) and mScarlet-I (Chroma 49017, Ex: 560/40 nm, BS: LP 590 nm, Em: LP 590 nm

or Ex: 575/35 nm, BS: 595 (590–670) nm Chroma 69008, Em: 635/70 nm). Microscopy
image are available at the BioImages Archive (accession S-BIAD26).

836

837 Quantitative image analysis

For quantitative image analysis of single cells, obtained microscopic images were processed 838 by FIJI software (Schindelin et al., 2012). Single cell segmentation and fluorescence signal 839 intensity measurement were performed by Oufti (Paintdakhi et al., 2016). The generated 840 celllist files were analyzed in R (https://www.r-project.org/), using BactMAP (Raaphorst et 841 al., 2020) for statistical analysis and visualization. After cellist file were imported into R, 842 cells were filtered between $0.7-1.2 \mu m$ in width length to exclude false events derived from 843 noise or miss-segmentation. Threshold of fluorescence of signal intensity was defined based 844 on negative or positive control for each experiment setting. >500 cells were analyzed at least 845 for each replicate. To exclude the possibility of overlap in detection of fluorescence 846 (particularly mTurquoise2/msfYFP and msfYFP/mScarlet-I) in multi-fragments 847 transformation, we ensured that single transformation experiments did not show any signal in 848 the other channels and this was confirmed by looking at the protein localization patterns. 849

For generating cell lineage trees from time-lapse imaging, the stacked time-lapse images were processed by FIJI and stabilization between time frames was performed by Huygens (Scientific volume imaging). Single cell segmentation and fluorescence intensity acquisition were performed by SuperSegger (Stylianidou et al., 2016). The resultant data set was analyzed using BactMAP (Raaphorst et al., 2020).

855

856 **DNA binding assays**

Analysis of DNA binding was performed in an *endA* mutant background (strain D39V
 ssbB::luc (cam) endA::kan), to favor accumulation of transforming DNA at the surface of

competent cells. In wild type, $endA^+$ cells, surface-bound DNA is immediately internalized 859 into the cytosol or degraded otherwise, which makes surface-bound DNA accumulation hard 860 to be visualized as previously shown (Bergé et al., 2013). After gently thawing stock cultures, 861 aliquots were inoculated at an OD550 of 0.006 in C+Y medium, supplemented with 20 mM 862 HCl to prevent spontaneous competence development, and grown at 37°C to an OD550 of 863 0.3. These precultures were inoculated (1/50) in C+Y medium (pH 7.8) and incubated at 864 37°C. In these conditions, competence developed spontaneously and reached its maximal 865 level in the population after 55-60 minutes. At 35 minutes, 1 ml samples were collected and 866 867 induced, or not, with synthetic CSP (50 ng/ml). At 50 minutes, these samples were incubated for 5 minutes with 10 ng of a 285 bp DNA fragment labelled with a Cy3 fluorophore at its 5' 868 extremities (Bergé et al., 2013). Cells were pelleted (3,000 xg, 3 min), washed twice in 500µl 869 C+Y, and resuspended in 20 to 50 µL C+Y medium before microscopy. Two µl of this 870 suspension was spotted on a microscope slide containing a slab of 1.2% C+Y agarose as 871 described previously (Jong et al., 2011). 872

Phase contrast and fluorescence microscopy were performed with an automated 873 inverted epifluorescence microscope Nikon Ti-E/B, a phase contrast objective (CFI Plan Apo 874 Lambda DM 100X, NA1.45), a Semrock filter set for Cy3 (Ex: 531BP40; DM: 562; Em: 875 593BP40), a LED light source (Spectra X Light Engine, Lumencor), and a sCMOS camera 876 (Neo sCMOS, Andor). Images were captured and processed using the Nis-Elements AR 877 software (Nikon). Cy3 fluorescence images were false colored red and overlaid on phase 878 contrast images. Overlaid images were further analyzed to quantify the number of cells 879 bound with Cy3-labelled DNA. Single cells were first detected using the threshold command 880 from Nis-Elements and cells bound or not to DNA were manually classified using the 881 taxonomy tool. 882

884 Evaluation of transformation frequency using the fluorescence reporter

To quantify the efficiency of transformation with tDNA fragments, reporter cells were pre-885 cultured in C+Y (pH 6.8) at 37°C to an OD595 nm of 0.2. Right before inoculation, cells 886 were collected by centrifugation (6,000 xg for 3 minutes) and resuspended in fresh C+Y at 887 pH 7.9, adjusted to OD=0.1. Competence was induced by incubation in the presence of CSP 888 (100 ng/µl) at 37°C for 10 min and then donor tDNA was provided the indicated 889 concentration. After an additional 4 h of incubation at 37°C for complete cell division to form 890 homo-duplex and maturation of fluorescence proteins, cells were placed on ice to stop cell 891 growth and were directly analyzed by fluorescence microscopy or flow-cytometry. 892

Donor tDNA was designed in such a way that the single nucleotide mutation is 893 positioned in the middle of the entire fragment so that the left and right homology arms are of 894 equal length. Preparation of the tDNA was performed by PCR using primer pairs indicated in 895 Supplementary table S2, using the corresponding parent strain as template. For competition 896 experiments using unrelated tDNA as shown in Figure 7, a DNA fragment that has no 897 homology to the pneumococcal genome but is of equal size (5 kb) and GC content (~40% of 898 GC) to the hlpA-mScarlet-I/spv 1159-sfGFP fragments, was amplified by PCR from 899 genomic DNA of E. coli DH5alpha (Hanahan et al., 1991), using primers OVL3527 and 900 OVL3528. 901

902

903 Flow-cytometry analysis

Cells were collected by centrifugation (6,000 xg for 3 minutes) and resuspended in filtered (0.22 μ m) PBS adjusted to a cell density of approximately $1.0x10^5 \sim 1.0x10^6$ cells/mL. As encapsulated *S. pneumoniae* D39V cells tend to form chains particularly during competence, we separated cells by bead beating (BioSpec) without any glass beads. At least >1.0x10⁴ events were analyzed on a Novocyte flow cytometer (ACEA bioscience) harboring 488 nm

and 561 nm lasers. Fluorescence filters used were: FITC (Ex: 488nm, Em: 530/45nm) for
sfGFP and PE.Texas.Red (Ex: 561 nm, Em: 615/20 nm) for mScarlet-I. Obtained raw data
were imported and analyzed in R. Non-bacterial particles were excluded by gating the FSC
and SSC values. A threshold was determined so that positive events counted in a negative
control strain were <1% and validated with both negative (no DNA control) and positive
(parent strain without the point mutation) control for each experimental setting.

915

916 Transformation assays between S. pneumoniae strains

We used strain R895 as a recipient strain and R4692 and R4574 as donor strains. R895 is a 917 naturally competent derivative of unencapsulated strain R6 and carries a chloramphenicol 918 resistance marker (Chastanet et al., 2001). R4692 is unable to develop competence and 919 carries point mutations conferring resistance to streptomycin, novobiocin and rifampicin. 920 R4574 was used as a negative control donor strain. All strains were pre-cultured in C+Y (pH 921 6.8) at 37°C to an OD550 nm of 0.1. Cells were then collected by centrifugation (6,000 xg 922 for 3 minutes) and concentrated 3-fold in fresh C+Y at pH 7.9. Mixed inoculates containing 923 30 µL of donor strain R895 and 70 µL of recipient strain (R4574 or R4692) were 924 subsequently spotted onto Petri dishes containing 3% horse blood CAT-agar (1% agar) 925 supplemented with catalase (300 U/ mL). Different ratios of donor and recipient were tested 926 (1:1, 1:10 and 3:7) and a 3:7 ratio showed the least HGT variation across experiments. After 927 4 hours incubation at 37°C, cells were scraped off the plates and separated by sonication in an 928 ultrasonic cleaner (90 sec, 50 Hz). Serial dilutions were plated with 4.5 µg/mL of 929 chloramphenicol and with combinations of chloramphenicol plus streptomycin (10 μ g/mL) 930 and/or novobiocin (4 µg/mL). Transformation efficiency was calculated by dividing the 931 number of transformants by the total number of viable recipient count. Four independent 932 biological replicates were performed. 933

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936 Author Contributions and Notes

- 937 J.K. and J.W.V. designed research, J.K., N.C., R.v.R. and G.C. performed research, J.K. and
- N.C. analyzed data; and J.K. P.P. and J.W.V. wrote the paper.
- 939 The authors declare no conflict of interest.
- 940 This article contains supporting information online.

941

942 Acknowledgments

We thank Jelle Slager and Arnau Domenech for critically reading this manuscript, two 943 anonymous referees, Juan Carlos Alonso and all members of the Veening lab for stimulating 944 discussions. Work in the Veening lab is supported by the Swiss National Science Foundation 945 (SNSF) (project grant 31003A 172861), a JPIAMR grant (40AR40 185533) from SNSF and 946 ERC consolidator grant 771534-PneumoCaTChER. Work in the Polard lab is supported by 947 the Centre National de la Recherche Scientifique, Université Paul Sabatier, and the Agence 948 Nationale de la Recherche (grants ANR-10-BLAN-1331 and ANR-17-CE13-0031). Jun 949 Kurushima was supported by The Naito Foundation. 950

952 Figure legends

953 Figure 1. Regulation of pneumococcal competence and transformation.

Schematic overview showing representative competence-related genes involved in 954 pneumococcal transformation. Competence development is initiated by activation of the early 955 com genes (shown in blue area). ComAB exports ComC and processes it into the competence 956 stimulating peptide (CSP). The two-component system ComDE recognizes CSP and 957 958 positively regulates the early *com* genes. Subsequently, the alternative sigma factor ComX, activates late com gene expression (shown in orange area). ComGA, GB and GC are 959 assembled to form the DNA-binding pilus. EndA is the endonuclease that cleaves dsDNA 960 into ssDNA. ComEA, ComEC, ComFA and ComFC form the ssDNA uptake channel. 961 Internalized foreign ssDNA is protected by SsbB and DprA. DprA ensures the loading of 962 RecA on single strand tDNA to form a presynaptic filament and the resulting DNA scanning 963 complex is capable of homologous (or homeologous) recombination with the recipient 964 chromosome. 965

966

967 Figure 2. Single cell analysis of competence activation and DNA binding

A. Kinetics of bacterial growth and competence development. Growth curves (top) and OD-968 normalized bioluminescence activity (bottom) of strain DLA3 (PssbB-luc) in the presence 969 (orange) or absence (grey) of CSP. Arrow indicates the moment after addition of CSP (0 970 min). Lines and confidence bands represent means of three replicates and SD, respectively. 971 **B.** Single cell imaging of fluorescence competence reporter cells. VL2219 (PssbB- msfYFP) 972 was treated with (top, grey frame) or without (bottom, orange frame) CSP for 20 min and 973 analyzed by fluorescence microscopy. Images are overlays of phase contrast and YFP signal. 974 Scale bar: 20 µm. C. Quantification of the imaging. Scatter plots of single cell YFP signal 975 intensity (y axis) against cell length (x axis), based on microscopy images. Red line indicates 976

the threshold used to score YFP positive cells. Proportion of positive cells (%) is shown. D. 977 Quantification of cells expressing the transformation machinery. Fluorescence signal 978 intensity for indicated strain harboring comGA-msfYFP (VL2536), msfYFP-comEA 979 (VL2537), comFA-msfYFP (VL2538) or dprA-msfYFP (VL3355) treated with (orange) or 980 without (grey) CSP for 20 min. Red line indicates threshold for YFP positive cells. 981 Proportion of positive cells (%) is shown. E. Correlation between competence activation and 982 ComGA production. VL2536 (comGA-msfYFP, PssbB mScarlet-I) was incubated with or 983 without CSP. Scatter plot of single cell YFP signal intensity (translational fusion of ComGA) 984 985 against mScarlet-I signal (transcriptional fusion to ssbB). Red line indicates threshold used. Proportion of positive cells (%) is shown on each plot. F and G. DNA binding analysis using 986 Cy3-labeled DNA added to induced- or spontaneous competent cells. f. Representative image 987 of Cy3-labeled DNA-bound TD290 (ssbB luc, $\Delta endA$) cells. Scale bar: 2 µm. G. 988 Quantification of microscopy images of Cy3-labeled DNA bound D39V cells. Bacteria were 989 treated with CSP for 20 min to induce competence in C+Y (pH 7.9). Efficiency of 990 spontaneous competent cells (no CSP) is also represented. Total of 6027 cells (without added 991 CSP) and 3082 cells (with CSP) were collected over three independent experiments. 992

993

Figure 3. Development of a fluorescence-based real-time reporter for genetic transformation in *S. pneumoniae*

996 **A.** Schematic representation of the reporter system. *hlpA-mScarlet-I* was inserted 997 downstream of the native *hlpA* locus as a second copy (strain VL1780), resulting in red-998 fluorescently marked nucleoids as shown by fluorescence microscopy (Left: phase contrast, 999 middle: red fluorescence, right: overlay, scale bar: 4 μ m). A single nucleotide mutation 900 generating a stop codon was introduced in the linker sequence between *hlpA* and *mScarlet-I*,

resulting in non-fluorescent strain VL1784 (*hlpA-stop-mScarlet-I*). **B.** Flow-cytometry 1001 measurement of hlpA-mScarlet-I signal of VL1780 (hlpA-mScarlet-I, white) and VL1784 1002 (hlpA-stop-mScarlet-I, grey). C. CSP-treated (right) or untreated (left) VL1784 was provided 1003 with tDNA (hlpA-mScarlet-I) and analyzed by fluorescence microscopy after 4 h of 1004 incubation. Scale bar, 20 µm. D. Time-lapse visualization of transformation with hlpA-1005 *mScarlet-I* in VL1832 (VL1784+constitutively expressing cytoplasmic sfGFP). VL1832 was 1006 treated with CSP for 10 min, tDNA added (*hlpA-mScarlet-I*) for 10 min, and then spotted on 1007 C+Y agarose pad to start time-lapse imaging with a 5 min interval. Signal of constitutively 1008 1009 expressed cytoplasmic sfGFP (top, green in the overlay) was used for cell segmentation in image analysis. Successfully transformed cells were detected by expression of HlpA-1010 mScarlet-I (middle, red in the overlay). Scale bar, 10 µm. Also see Video 1. E. Cell lineage 1011 1012 tree with superimposed fluorescence intensity was built based on the time-lapse image shown in E. The quantified mean mScarlet-I signal intensity of each cell during its cell cycle was 1013 plotted as a color-coded dot onto the lineage tree with each dot corresponding to the moment 1014 of 'birth'. Note that the tree represents the lineage from only one of the two progenitor cells 1015 (indicated by white arrow in panel d). F. Working model for DNA integration and 1016 chromosomal segregation of the transformed allele. tDNA is internalized as ssDNA, and 1017 recombines to replace one strand on the host chromosome forming a hetero-duplex after 1018 recombination. Following chromosomal replication and segregation, the two daughter cells 1019 1020 have distinct homo-duplexes (either the original allele or the tDNA allele). Note that initial hetero-duplex formation might permit for phenotypic expression from the newly acquired 1021 allele if the noncoding strand is replaced by tDNA (see main text). 1022

Figure 4. Single cell quantification of recombination reveals an upper level of transformation efficiency

A. Quantification of transformation frequency by flow-cytometry. CSP-treated VL1784 was 1026 transformed with *hlpA-mScarlet-I* tDNA of various lengths (2.7 kb, 5 kb or 7 kb) at differing 1027 tDNA concentrations (0.0032 nM, 0.032 nM, 0.32 nM or 3.2 nM). The single nucleotide 1028 variant to repair the point mutation is located in the middle of each fragment (Figure 3-1029 figure supplement 2). After 4h incubation post tDNA addition, cell chains were separated 1030 (see Figure 3-figure supplement 4) and analyzed by flow-cytometry. Negative control 1031 1032 without any donor DNA (filled grey histogram) and positive control (VL1780, open histogram) is shown in all panels. Red vertical line indicates the threshold used to score 1033 mScarlet-I positive cells. B. Correlation between transformation frequency and donor DNA 1034 concentration. Transformation frequency was plotted against final concentration of donor 1035 DNA. Frequency was calculated by dividing the number of cells with a FL intensity above 1036 the threshold by the total number of cells based on flow-cytometry data, as shown in panel A. 1037 C. Alternative transformation reporter present on a different chromosomal position. The 1038 spv 1159-sfGFP reporter fusion was cloned into the CEP locus (295°; VL1786, VL1788). A 1039 point mutation resulting in a stop codon was introduced in the linker sequence separating 1040 spv 1159 and sfGFP in VL1788. D. Reduction of transformation efficiency by CRISPRi-1041 based recA depletion. CRISPRi-based depletion strains VL3485 (Plac dcas9, no sgRNA 1042 control) and VL3486 (Plac dcas9, sgRNA targeting recA) were introduced in the hlpA-stop-1043 *mScarlet-I* reporter strain. Strains were pre-grown with or without IPTG (0, 0.01, 0.1 or 1 1044 mM) in acidic C+Y (pH 6.8), and then incubated with CSP (100 ng/µl) in fresh C+Y (pH 7.8) 1045 provided with donor tDNA (5 kb length, 0.32 nM). After 4 h of phenotypic expression, 1046 transformed cells were analyzed by flow-cytometry. 1047

1049 Figure 5. Effect of chromosomal position and strand on recombination potential

A. The spv 1159-sfGFP reporter was cloned into various loci; CEP (295°; VL1786, 1050 VL1788), hlpA (169°; VL3096, VL3097), comCDE (359°; VL3098, VL3099) or bgaA (101°; 1051 VL3100, VL3101). A point mutation that generates a stop codon was introduced in the linker 1052 sequence between spv 1159 and sfGFP for each strain (VL1788, VL3097, VL3099 or 1053 VL3101). B. Flow cytometry analysis on transformations with intact spv 1159-sfGFP tDNA. 1054 Strain VL1788, VL3097, VL3099 or VL3101 was transformed with intact spv 1159-sfGFP 1055 tDNA with 5 kb homology arm at the final concentration of 3.2 nM. 4 h post transformation, 1056 1057 cells were separated by beat beating and analyzed by flow-cytometry. The red vertical line indicates the threshold of positive cells in spv 1159-sfGFP signal expression. C. Genetic 1058 orientation effect on transformation efficiencies. All dual reporter strains VL1803, VL3347, 1059 VL3349 or VL3351 harbor both *hlpA-stop-mScarlet-I* and *spv 1159-stop-sfGFP* reporters, 1060 but spv 1159-sfGFP was cloned at distinct chromosomal positions and different reading 1061 directions. spv 1159-stop-sfGFP was cloned at the CEP (295°) or bgaA (101°) locus 1062 resulting in strains VL1803/VL3347 or VL3349/3351, respectively. The coding strand of 1063 spv 1159-sfGFP was cloned either in the same direction as the DNA replication fork (read 1064 direction on the leading strand) (green triangle, strains VL3347/3351) or in the opposite 1065 direction (read direction on the lagging strand) (green square, strains VL1803/3349). The 1066 strains were treated with CSP and transformed with corresponding spv 1159-sfGFP tDNA (5 1067 kb, 3.2 nM) alone. Transformants were analyzed by flow-cytometry. Vertical blue lines 1068 represent the threshold for green fluorescence intensity. Experiments were performed at least 1069 three times and FACS analysis of a typical experiment are shown. 1070

1071

1072 Figure 6. Dual transformation at distinct chromosomal positions

A. Graphical representation of dual reporter strains. Dual transformation reporter strain 1073 VL1804 harbors the two transformation reporter constructs hlpA-stop-mScarlet-I and 1074 spv 1159-stop-sfGFP, at the hlpA and CEP loci, respectively. Donor tDNA (5 kb) hlpA-1075 mScarlet-I and spv 1159-sfGFP were amplified from strain VL1800 and used for 1076 transformation. B. Time-lapse visualization of double transformation. Dual reporter strain 1077 VL1803 (hlpA-stop-mScarlet-I, spv 1159-stop-sfGFP) was treated with CSP for 10 min, 1078 provided with 3.2 nM of both hlpA-mScarlet-I and spv 1159-sfGFP tDNAs (5 kb) for 10 1079 min, and then spotted on a C+Y agarose pad to start time-lapse imaging at 5 min intervals. 1080 1081 Successfully transformed cells were detected by expression of HlpA-mScarlet-I (middle, red in merge) and spv 1159-sfGFP (bottom panels, green in merge). Red and Green arrows 1082 indicate single transformed cells with hlpA-mScarlet-I and spv 1159-sfGFP tDNA, 1083 respectively. Yellow arrows indicate doubly transformed cells. Scale bar: 4 µm. See Video 4. 1084 C. Cell lineage tree with superimposed fluorescence intensity was built based on the time-1085 lapse image shown in B. Means of mScarlet-I (pink) and sfGFP (green) signal intensity of 1086 each cell was calculated and displayed with a color bar. Yellow, green and red arrows 1087 indicate double transformed, single spv 1159-sfGFP-transformed and single hlpA-mScarlet-1088 I-transformed lineages, respectively. D. Snap shots and quantitative image analysis of 1089 transformed populations. Strain VL1803 was transformed with single (hlpA-mScarlet-I or 1090 spv 1159-sfGFP) or double (hlpA-mScarlet-I/spv 1159-sfGFP) tDNA(s) (5 kb) at final 1091 concentration of 3.2 nM. After 4 h of incubation, still images were obtained and the 1092 fluorescence intensities were quantified and plotted. Scale bar: 10 µm. Experiments were 1093 performed at least three times and microscopy analysis of a typical experiment are shown. E. 1094 Proportion of transformed phenotypes. Stacked bars represent the fraction of single 1095 transformed (red or green), double transformed (vellow) and non-transformed (grey) cells. 1096 Population of each transformed phenotype was quantified from microscopy images. Bars 1097

represent mean \pm SD of three independent replicates. Analyzed data of the positive control strain VL1800 (*hlpA-mScarlet-I, spv_1159-sfGFP*) and negative control non-transformed strain VL1803 (*hlpA-stop-mScarlet-I, spv_1159-stop-sfGFP*) are also shown, demonstrating the accuracy of the threshold used to score positive transformants.

1102

Figure 7. Direct observation of recombination of three separate tDNAs during a single transformation event

A. Schematic representation of the triple labeled strain VL3126 harboring three reporter 1105 cassettes: hlpA-mScarlet-I, spv 1159-msfYFP and ftsZ-mTurquoise2 at the hlpA, CEP and 1106 ftsZ loci, respectively. Strain VL3127 contains stop codon mutations in the linker between 1107 each of the fluorescent fusion proteins. Gray arrows indicate the direction of the DNA 1108 replication fork relative to the reporter cassette. **B.** Microscope image of strain VL3127 1109 treated with CSP and transformed with the tDNAs of hlpA-mScarlet-I, spv 1159-sfGFP and 1110 ftsZ-mTurquioise2 (3.2 nM each) amplified from VL3126. Merge image of phase contrast, 1111 cyan (FtsZ-mTurquoise2), yellow (spv 1159-msYFP) and red (HlpA-mScarlet-I) 1112 fluorescence is shown. Scale bar: 10 µm. C and D. Proportion of transformed phenotypes. 1113 Population of each transformed phenotype was quantified from microscope images. 1114 Representative images for each phenotype are shown. Scale bar: 2 µm. D. Stacked bars 1115 represent proportion of single transformed (cyan, yellow or red), double transformed [green 1116 1117 (cyan+yellow), blue (cyan+red), orange (yellow+red)], triple transformed (white) and nontransformed (grey) cells. Bars represent mean \pm SD of three independent replicates. Analyzed 1118 1119 data of the positive control strain VL3126 (ftsZ-mTurquoise2, spv 1159-msfYFP, hlpAmScarlet-I) and negative control non-transformed strain VL3127 (ftsZ-stop-mTurquoise2, 1120

spv_1159-stop-msfYFP, hlpA-stop-mScarlet-I) are also shown, demonstrating the accuracy of
the threshold used to score positive transformants.

E. Competition effect of unrelated DNA on transformation frequency. CSP-treated VL1803 1123 was transformed with 7 kb *hlpA-mScarlet-I* tDNA at the final concentration of 0.32 nM in the 1124 absence or the presence of an unrelated DNA fragment (0.32 nM or 3.2 nM) amplified from 1125 E. coli. After incubation of 4 h post transformation, cells were separated and analyzed by 1126 flow-cytometry. Red vertical line indicates the threshold of positive cells in mScarlet-I signal 1127 expression. The proportion of positive cells (%) is depicted in the plots. F. Fragmented tDNA 1128 1129 recombination model. The fluorescence-based reporters used in this study rely on replacement of the stop codon SNP (grey star) by intact (amino acid coding) SNP (yellow 1130 star) that is located in the middle of the tDNA fragment (orange line). All prepared tDNA 1131 molecules have obviously intact SNP, but, integration into host chromosome may take place 1132 outside the SNP, which is never distinguished from true untransformed cells by the 1133 fluorescence-based system and effectively acting as competing DNA for tDNA's that 1134 transform the SNP. 1135

1136

1137 Figure 8. Horizontal gene transfer between S. pneumoniae strains

A. Schematic representation of the transformation assay between S. pneumoniae strains. Pre-1138 cultured recipient strain R895 (*cmR*) and donor strain R4692 ($\Delta comCDE$, *smR*, *nov*^R) were 1139 mixed in approximately 3:7 ratio, and spotted on 3% horse blood CAT-agar (see Methods). 1140 After 4h incubation at 37°C to allow strain-to-strain transformation, cells were scraped and 1141 separated by sonication. Then, serial dilutions of cell suspensions were plated with 4.5 µg/mL 1142 of chloramphenicol (Cm, for the recovery of the total number of viable recipient cells) and 1143 with combinations of chloramphenicol plus streptomycin (Sm, 10 µg/mL) and/or novobiocin 1144 (Nov, 4 µg/mL). **B**. Transformation efficiency was calculated by dividing the number of 1145

transformants by the total number of viable recipient count. Four independent biological
replicates were performed and box plots demonstrate the average efficiencies.

1148

1149 Figure supplements

Figure 2-figure supplement 1. Single cell quantification of spontaneously activated competence.

A. Competence reporter strain VL2219 (cytoplasmic msfYFP reporter of transcriptional 1152 fusion to ssbB) was incubated in C+Y in the absence of CSP. After 100 min (peak of 1153 spontaneous competence, see Figure 2A), the cells were analyzed by fluorescence 1154 microscopy. Images are overlays of phase contrast and YFP signal. Scale bar, 20 µm. B. 1155 Quantification of competence positive cells. Scatter plot of single cell YFP signal intensity (y 1156 axis) against cell length (x axis), based on microscope images shown in panel a. Red line 1157 indicates threshold used to score YFP positive cells. Proportion of positive cells (%) is 1158 shown. 1159

1160

1161 **Figure 2–figure supplement 2.**

Fluorescence microscopy images of VL2536 (*msfYFP-comEA*), VL2537 (*comFA-msfYFP*),
VL2538 (*comGA-msfYFP*), VL3355 (*dprA::dprA-msfYFP*) and VL361 (*recA::recA- mCherry*) treated with (orange frame) or without (grey frame) CSP for 20 min. Images are
overlays of phase contrast and YFP signal. Scale bar, 20 μm. Magnified images of induced
condition are also shown in the inset. Scale bar, 2 μm.

1167

Figure 3-figure supplement 1. Classical methodology for transformation frequency
estimation by antibiotics selection.

A. Workflow representation of the experiment. D39V wild type (Sm susceptible) or its *rpsL* 1170 mutant derivative (Sm resistant) were treated with synthetic CSP and transformed with Sm 1171 resistance $(rpsL^*)$ or Sm susceptible $(rpsL_{WT})$ of rpsL allele, respectively (5kb, SNP in the 1172 middle, 3.2 nM of DNA). With or without additional incubation for 2 h in liquid C+Y 1173 medium, then serially diluted bacterial suspensions were plated onto two Columbia agar 1174 plates for each. For phenotypic expression, agar plates were incubated 2 h in 37°C and then 1175 overlaid with additional Columbia agar containing streptomycin or no antibiotics, followed 1176 by over-night incubation. Colony forming units (cfu) were counted for each plate and the 1177 1178 ratio of Sm resistant cfu (on +Sm plate) divided by total cfu (on plate without antibiotic) was calculated to evaluate the transformation frequency. B. Histogram represents mean and SD 1179 (from three replicates) of Sm resistant cfu against total cfu for each transformation setting. 1180 Note that when transforming the *smR* host, *smR* cfu reflects the un-transformed population. 1181

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Figure 3-figure supplement 2. Fluorescence-based detection of successful genetic transformation.

A. Stop codon generating SNP introduced in reporter strain. Nucleotide sequence of linker 1185 between *hlpA* (grey) and *mScarlet-I* (red) in strains VL1780 (up) and VL1784 (bottom) are 1186 shown. Bold letter under the nucleotide sequence indicates translated amino acids. In parent 1187 strain VL1780 (*hlpA-mScarlet-I*), translation of *hlpA* and *mScarlet-I* are bridged by a linker 1188 1189 sequence (39 bp, 13 amino acids). In reporter strain VL1784 (*hlpA-stop-mScarlet-I*), a single nucleotide mutation (G>T) was induced, resulting in a stop codon in the middle of the linker 1190 so that *mScarlet-I* is not translated unless it is transformed with the intact sequence (i.e. *hlpA*-1191 *mScarlet-I*). Note that other reporter genes used in this study have the identical linker 1192 sequence and SNP. B. Schematic representation of the fluorescence-based transformation 1193 reporter. Once genetic recombination of donor DNA (intact PCR fragment of *hlpA-mScarlet-*1194

- 1195 *I* from VL1780) into strain VL1784 succeeds, the stop codon between *hlpA* and *mScarlet-I* is
- repaired, which allows for production of the fluorescent protein.
- 1197

1198 **Figure 3-figure supplement 3. Growth curves of the reporter strains.**

- 1199 Pre-cultured S. pneumoniae strains D39V (wild type), VL1800 (hlpA-mScarlet-I, spv 1159-
- 1200 sfGFP). VL1803 (hlpA-stop-mScarlet-I, spv_1159-stop-sfGFP), VL3126 (ftsZ-mTurquoise2,
- 1201 spv 1159-msfYFP, hlpA-mScarlet-I) and VL3127 (ftsZ-stop-mTurquoise2, spv 1159-stop-
- msfYFP, hlpA-stop-mScarlet-I), were inoculated into fresh C+Y media at initial concentration of OD = 0.1 with or without CSP, which is the exact same cultivation condition to transformation experiment implemented in this study. Lines and confidence bands represent means of three replicates and SD, respectively.
- 1206

Figure 3-figure supplement 4. Effect of multicellular filament disruption by beadbeater on estimation of transformation frequency in flow-cytometry analysis.

A. Effect of cell separation treatment by beadbeater on FSC-SSC profile. Dual reporter strain 1209 VL1803 treated with CSP was transformed with mock (no DNA) or single (hlpA-mScarlet-I 1210 fragment or spv 1159-sfGFP) or double tDNA fragments. After 4 h incubation for 1211 phenotypic expression and chromosome segregation, cells were analyzed by flow-cytometry. 1212 Without beadbeater treatment (bottom panels), FSC-SSC profile showed increased value and 1213 1214 heterogeneity compared to beadbeater cells (top panels). It is noted that one event in flowcytometry does not indicate one single cell but one particle, which might consist of a 1215 multicellular filament. B. Microscopic images of cells prepared in panel a. Bead beater-1216 untreated cells showed chained phenotype consisting of >4 cells, meanwhile bead beater-1217 treated cells showed shorter chain consisting of 2-4 cells, in line with FSC-SSC profile in 1218 flow-cytometry (panel A). Scale bar, 20 µm. C. Effect of cell separation treatment by 1219

beadbeater on apparent transformation frequency in flow-cytometry. Note that bead beater-1220 untreated cells tend to show generally more population of fluorescence positive cells 1221 compared to beadbeater-treated cells. This may be explained by masking of the negative cells 1222 by positive cells within the same chain as height values (highest peak) are measured for 1223 fluorescence. Vertical or horizontal red line represent threshold for green or red fluorescence 1224 intensity, respectively. D. Correlation between particle size and apparent transformation 1225 frequency. Distributions of FSC value (particle size in flow-cytometry) grouped by 1226 transformed- (including both of each single and double transformed population, orange bars) 1227 1228 or un-transformed (grey bars) population are shown. In separated cells, there is no significant difference in FSC profile between un-transformed and transformed particles. Meanwhile, in 1229 beadbeater-untreated cells, transformed group tends to show higher FCS value than 1230 untransformed group. events with high FSC (longer filament) tend to have more chance to be 1231 estimated as transformed. 1232

Figure 4-figure supplement 1. Time-lapse imaging of transformation with *spv_1159- sfGFP* fragment in VL1788.

A. Time-lapse visualization of transformation with spv 1159-sfGFP fragment in VL1788 1235 (CEP::P3 spv 1159-stop-sfGFP). VL1788 was treated with CSP for 10 min, added with 1236 tDNA (spv 1159-sfGFP) for 10 min, and then spotted on C+Y agarose pad to start time-lapse 1237 imaging with 5 min intervals. Phase contrast images (left, panels) were obtained for cell 1238 segmentation in image analysis. Successfully transformed cells were detected by expression 1239 of SPV 1159-sfGFP (middle panels). Also see Video 2 for original movie. B. Cell lineage 1240 tree with fluorescence intensity was built based on the time-lapse image in panel A. Means of 1241 sfGFP signal intensity of each cells were calculated and displayed with ball color. Note that 1242

fluorescence signals detected in the initial few time points were mostly background from
C+Y medium rather than that derived from SPV 1159-sfGFP.

1245

Figure 4-figure supplement 2. Effect of *hexA* knockout on transformation frequency in the fluorescence-based reporter. Dual reporter strain VL1803 (*hlpA-stop-mScarlet-I*, *spv_1159-stop-sfGFP*) and its derivative VL1930 (VL1803+ Δ *hexA* were treated with CSP and transformed with *hlpA-mScarlet-I* or/and *spv_1159-sfGFP* tDNA (3.2 μ M each). The transformed cells were analyzed by flow-cytometry. Vertical or horizontal red line represent threshold for green or red fluorescence intensity, respectively.

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Figure 4-figure supplement 3. Effect of *recA* knock-down on bacterial growth. Precultured *S. pneumoniae* strains, DL3485 (*prs1::lacI; bgaA::Plac_dcas9, hlpA::hlpA_hlpAstop-mScarlet-I*) and DL3485 (*prs1::lacI; bgaA::Plac_dcas9, hlpA::hlpA_hlpA-stopmScarlet-I*) were inoculated into fresh C+Y media at initial concentration of OD = 0.01 with or without CSP and IPTG. Lines and confidence bands represent means of three replicates and SD, respectively.

1259

Figure 5-figure supplement 1. Chromosomal position effect on correlation between transformation frequency and tDNA concentration. The spv_1159-sfGFP reporter was cloned into various loci; CEP (295°; VL1788), hlpA (169°; VL3097), comCDE (359°; VL3099) or bgaA (101°; VL3101). A point mutation that generates a stop codon was introduced in the linker sequence between spv_1159 and sfGFP for each strain (Figure 5A). Flow cytometry analysis on transformations with intact spv_1159 -sfGFP tDNA. Strains VL1788, VL3097, VL3099 or VL3101 were transformed with intact spv_1159 -sfGFP tDNA.

with 5 kb homology arm at the final concentration of 0, 0.032, 0.32 or 3.2 nM. 4 h post
transformation, cells were separated by bead beating and analyzed by flow-cytometry.
Transformation frequency was plotted against final concentration of donor DNA. Frequency
was calculated by dividing the number of cells with a FL intensity above the threshold by the
total number of cells based on flow-cytometry data.

1272

Figure 5-figure supplement 2. Effect of chromosomal strand to be replaced by tDNA on progression of phenotypic expression during cell division.

1275 A. Two possible recombination outcomes of single stranded tDNA into the host chromosome are shown. As tDNA (orange line) is processed to a single stranded DNA molecule during 1276 internalization, recombination can only occur on one strand of the host chromosome. 1277 Therefore, if the tDNA encodes new phenotypic information, which of (i) coding or (ii) 1278 noncoding strand to be replaced will affect phenotypic expression dynamics derived from the 1279 newly acquired allele. **B.** Hypothetical model of phenotypic expression dynamics depending 1280 on which strand is replaced by tDNA. In case of coding strand replacement (i), RNAP uses 1281 the original allele as template to synthesize transcripts (grey dashed line), and transcription of 1282 tDNA-derived sequence occurs only once the homo-duplex forms after one replication cycle. 1283 Also, it may take more cell divisions to replace the original product (proteins, etc.) (grey 1284 balls) by tDNA-derived products (orange balls) to express visible phenotype. Meanwhile, in 1285 case of non-coding strand replacement (ii), transcription from tDNA is permitted as RNAP 1286 recognizes the tDNA sequence and synthesizes transcripts from it (orange dashed line). 1287 Consequently, tDNA-derived products (orange balls) come up right after recombination 1288 before cell division without forming the homo-duplex. After cell division, tDNA-derived 1289 products can be non-genetically distributed even in progenitor with homo-duplex of original 1290 allele not only in one with homo-duplex of tDNA-derived allele. Therefore, in this case non-1291

genetic inheritance is observed. This phenotypically intermediate state should be solved 1292 according to a couple of cell divisions as non-genetic inheritance will be diluted out. C. 1293 Representative example of two types of phenotypic expression. The model described in panel 1294 B is also suggested by actual observations. Shown is a time-lapse montage of VL1784 (hlpA-1295 stop-mScarlet-I) transformed with hlpA-mScarlet-I tDNA where two transformed events 1296 (indicated by white and yellow arrows, respectively) are visualized side by side. Similarly, as 1297 already shown in Figure 3, the cell indicated by the white arrow expresses fluorescence 1298 relatively early during the time -lapse, and also gradual reduction of fluorescence is observed 1299 1300 in progenitors in one side of the lineage. On the other hand, the cells indicated by yellow started expressing fluorescence a little bit later than cells indicated by white arrow, 1301 suggesting that this lineage might need cell division to form homo-duplex to transcribe tDNA 1302 allele. Importantly, this cell lineage did not show evidence of non-genetic phenotypic 1303 expression. Collectively, according to the model described in panel B, it seems that the cell 1304 lineage indicated by the white arrow was transformed at the noncoding strand and the cell 1305 indicated by the yellow arrow was transformed at the coding strand. Scale bar, 4 µm. 1306

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Figure 6-figure supplement 1. Expected outcome of genetic proportion after saturated transformation.

A. Expected genetic proportion after saturated single transformation. In single fragment (allele) transformation the proportion of progenitors with a tDNA-replaced allele or the original allele becomes 50:50. This can be predicted and explained by the principle mechanism of natural genetic transformation in which tDNA is processed into single stranded DNA and is integrated into one strand of the host chromosome. **B**, **C**. Expected genetic proportion after saturated multiple transformations in case each recombination event is independent from the other. Note that for simplification, the single circular chromosome is

depicted as separate linear fragments. As shown in this study, multiple transformation events 1317 can occur at the distinct loci at the same time in single cell. If multiple transformation events 1318 do not interfere with each other and take place independently, most cells in the population 1319 would have a higher chance to acquire a tDNA, and the proportion of untransformed cells 1320 that did not acquire any tDNA fragments should be reduced. In the case of 2 tDNAs (panel 1321 b), the expected outcome would be that 25% of the transformants obtained both new alleles, 1322 25% just one of the two tDNAs and 25% would have the wild type genome. In the case of 3 1323 tDNAs (panel c), the expected outcome, when every tDNA would be converted on one of the 1324 1325 recipient strands independent from the next tDNA, would be that 12.5% of the transformants would have the wild type genome. Note that the theoretical outcomes showcased in panels a-1326 c do not match our experimental observations (Figure 6E and 7C-D) in which in general 1327 more than 50% of the cells did not acquire the SNP. However, the relative transformation 1328 efficiencies do seem to be independent. For instance, from the data of Figure 6 (double 1329 tDNA), the observed transformation frequencies were for single hlpA-mScarlet-I red 1330 transformants (R) 20.2 %. The observed transformation frequency to become green (G) by 1331 the take up and integration of spv 1159-sfGFP was 15.2 % (P(G)=0.152. The observed 1332 transformation frequencies for both hlpA-mScarlet-I (R) and spv 1159-sfGFP (G) was 15.6 1333 %. So, the observed number of R cells were 20.2 + 15.6 = 35.8% and the observed number of 1334 G cells were 15.2 + 15.6 = 30.8%. These values are largely in line if transformation of both 1335 *mScarlet-I* (R) and *spv* 1159-sfGFP (G) are independent as the chance (P) to become R =1336 0.358*(1-0.308) = 24.7% (observed 20.2%). For G: 0.308*(1-0.358) = 19.7% (observed 1337 15.2%). For both R and G: 0.358*0.308=11.0% (observed 15.6%). Finally, the proportion of 1338 untransformed cells: (1-0.308)*(1-0.358) = 44.4% (observed 49%). A similar calculation can 1339 be done for the triple tDNA transformation from Figure 7: hlpA-mScarlet-I (Red, R), 1340 spv 1159-msfYFP (Yellow, Y) and ftsZ-mTurquoise2 (Cyan, C). Observed values were: P(C) 1341

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1342	= 12.7 + 2.2 + 4.1 + 1.5 = 20.5 - 0.205. P(Y) = 7.7 + 2.2 + 2.1 + 1.5 = 13.5 - 0.135. P(R) =
1343	11.0+4.1+2.1+1.5=18.7> 0.187. If these tDNAs are taken up independent of each other the
1344	expected percentages would be: C+Y: $0.205*0.135*(1-0.187) = 2.2\%$ (observed: 2.2%).
1345	C+R: $0.205*0.187*(1-0.135) = 3.3\%$ (observed: 4.1%). Y+R: $0.135*0.187*(1-0.205) = 2.0\%$
1346	(observed: 2.1%). C+Y+R: 0.205*0.135*0.187 = 0.5% (observed: 1.5%). Untransformed: (1-
1347	(0.205)(1-0.135)(1-0.187) = 55.9% (observed: 58.7%). Together, this data suggests that in
1348	principle each transformation event is independent from each other but, since non-
1349	homologous DNA can compete for successful recombination events (Figure 7E), saturation
1350	of the uptake and integration system become limiting as well as off target recombination
1351	events that cannot be measured in our SNP assay. This last point is illustrated in Figure 7E
1352	of the main text.

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1354 Videos

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1356 Video 1. Visualization of transformation using *hlpA-mScarlet-I* reporter.

Shown is a movie of transformation with *hlpA-mScarlet-I* tDNA fragment in VL1832 (*hlpA-stop-mScarlet-I*) depicted in Figure 3E. Images are sfGFP (left), mScarlet-I (middle) and
merged image (right). Frame interval, 5 min. Scale bar, 4 µm.

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1361 Vide 2. Visualization of population dynamics after transformation using *hlpA-mScarlet-*1362 *I* reporter.

- 1363 Shown is a movie of transformation with *hlpA-mScarlet-I* tDNA fragment in VL1832 (*hlpA-*
- 1364 stop-mScarlet-I) in lower magnification. Images are merged of phase contrast and mScarlet-I
- 1365 fluorescence signal. Frame interval, 5 min. Scale bar, 20 μm.

1367 Video 3. Visualization of transformation using *spv_1159-sfGFP* reporter.

Shown is a movie of transformation with spv_1159 -sfGFP tDNA fragment in VL1788 (spv_1159 -stop-sfGFP) depicted in **Figure 4–figure supplement 1**. Images are phase contrast (left), sfGFP (middle), merged image (right) Frame interval, 5 min. Scale bar, 4 μ m.

1371

1372 Video 4. Visualization of double transformation in the population.

1373 Shown is a movie of double transformation with *hlpA-mScarlet-I* and *spv_1159-sfGFP* tDNA

1374 fragments in dual reporter strain VL1803 (*hlpA-stop-mScarlet-I*, *spv_1159-stop-sfGFP*).

White arrow indicates a double transformed cell lineage. Frame interval, 5 min. Scale bar, 20
µm.

1377

1378 Video 5. Visualization of double transformed cells.

Shown is an enlarged movie of *hlpA-mScarlet-I* and *spv_1159-sfGFP* tDNA fragments in
dual reporter strain VL1803 (*hlpA-stop-mScarlet-I*, *spv_1159-stop-sfGFP*) depicted in Figure
6B. Images are mScarlet-I (left), sfGFP (middle), merged image (right) Frame interval, 5
min. Scale bar, 4 µm.

1383

1384 Video 6. Visualization of triple transformation in population.

Shown is a movie of triple transformations with *ftsZ-mTurquoise2*, *spv_1159-msfYFP* and *hlpA-mScarlet-I* tDNA fragments in triple reporter strain VL1803 (*ftsZ-stop-mTurquoise2*, *spv 1159-stop-msfYFP*, *hlpA-stop-mScarlet-I*). Frame interval, 10 min. Scale bar, 20 µm.

1388

1389 Video 7. Visualization of differential phenotypic expression timing on sense strand and
 1390 anti-sense strand transformation.

- 1391 Shown is a movie of transformation with *hlpA-mScarlet-I* tDNA fragment in VL1832 (*hlpA-*
- 1392 *stop-mScarlet-I*) depicted in **Figure 5–figure supplement 2**. Images are an overlay between
- 1393 phase contrast and mScarlet-I signal. White arrow indicates a likely anti-sense strand
- transformed cell and the yellow arrow indicates a likely sense strand transformed cell (See
- text for details). Frame interval, 5 min. Scale bar, 4 μ m.

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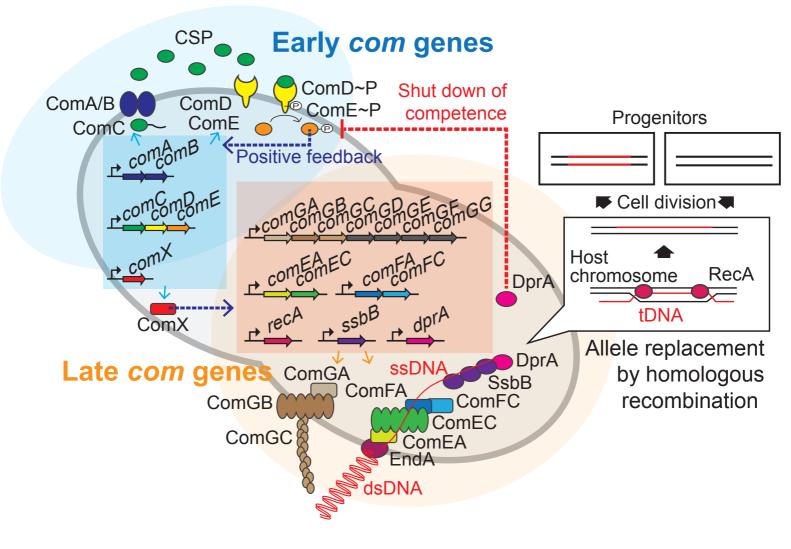
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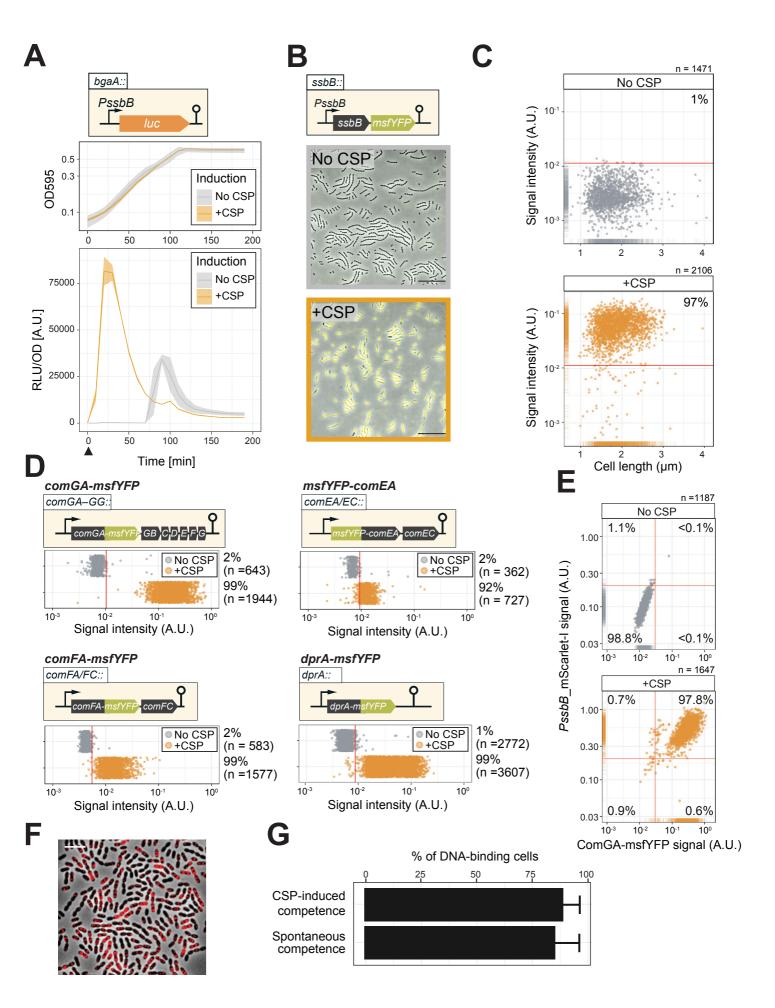
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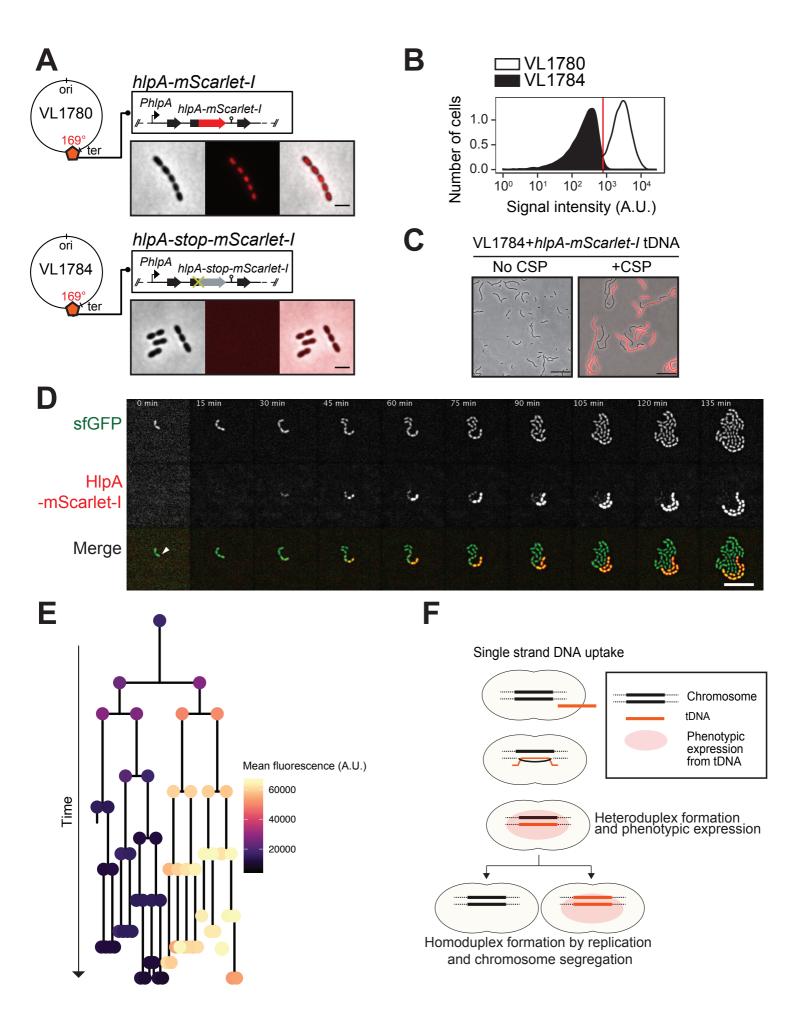
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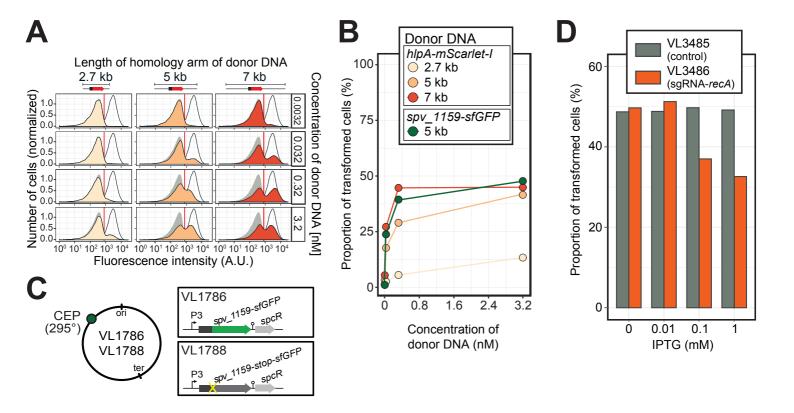
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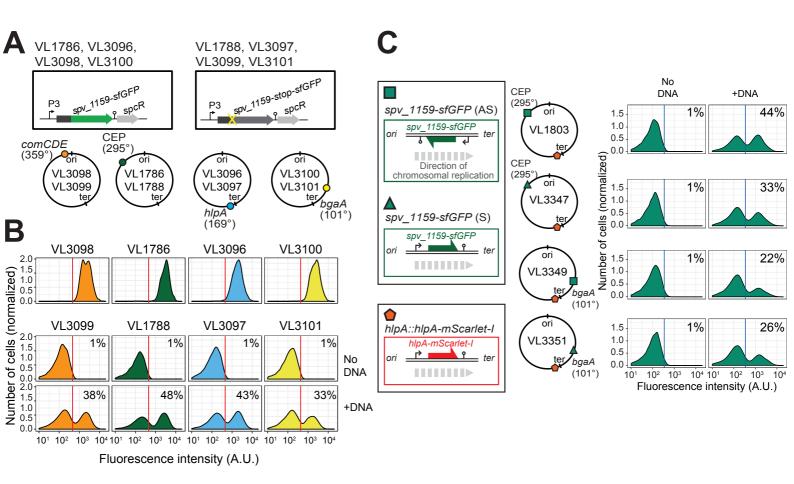
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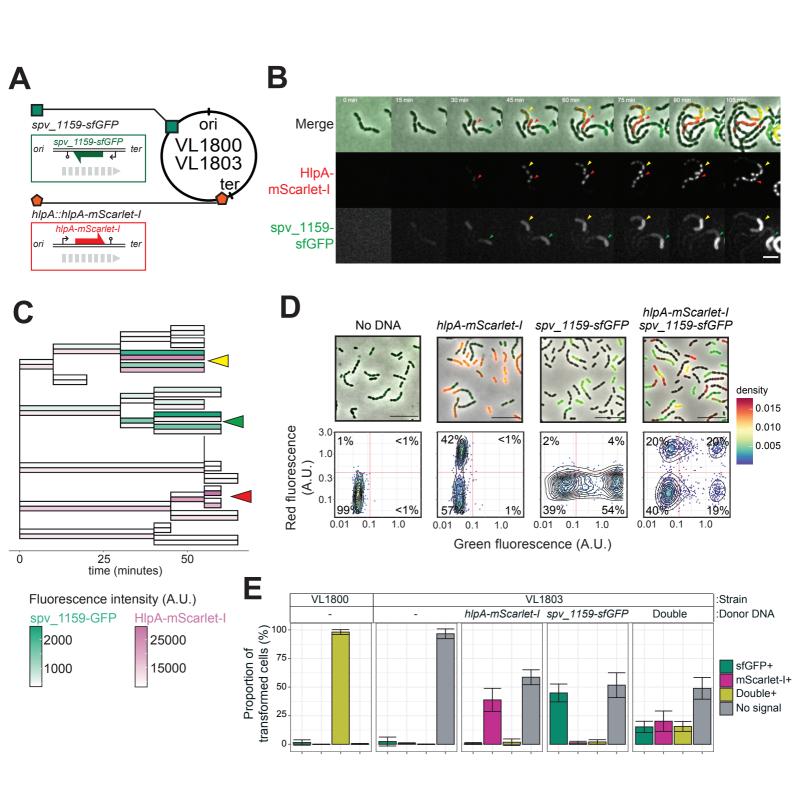


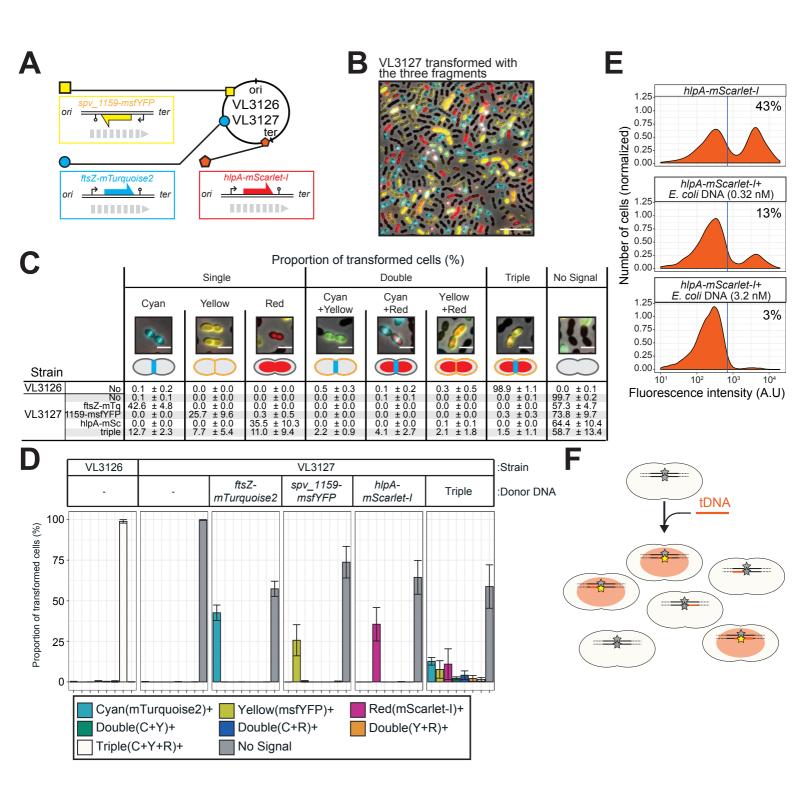












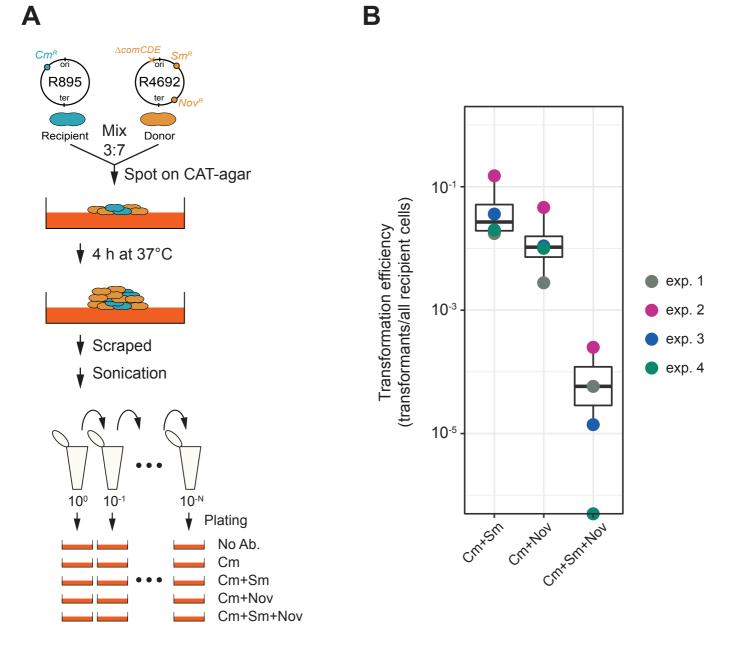
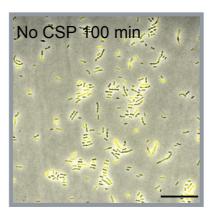
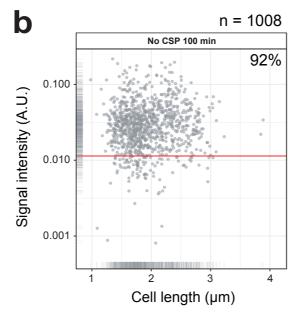


Figure 2–figure supplement 1

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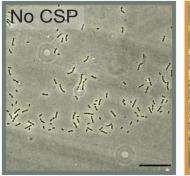


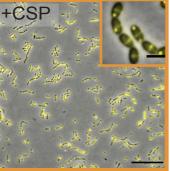


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D39V; comGA::comGA-msfYFP comGA-GG::





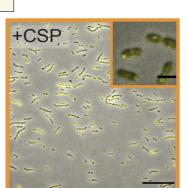


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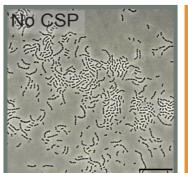
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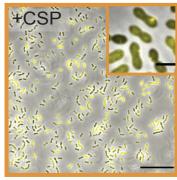
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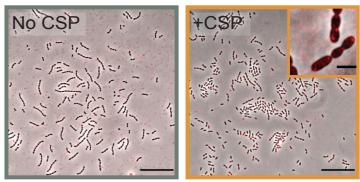






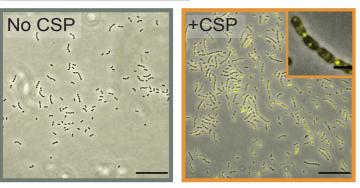
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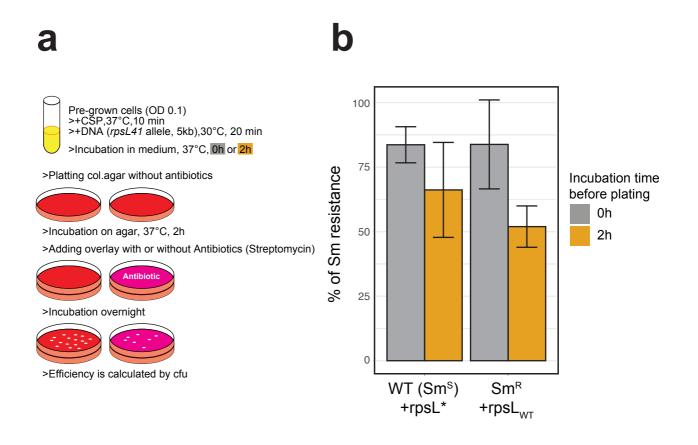




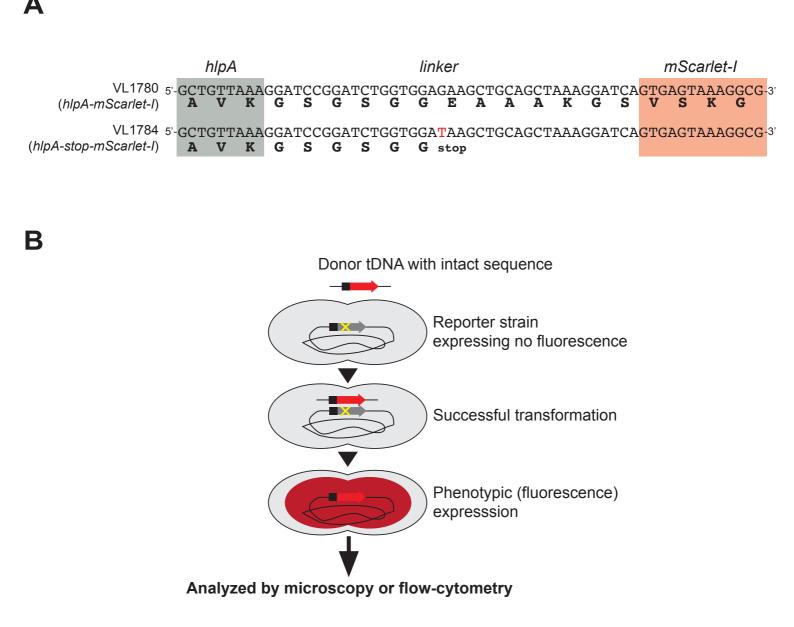
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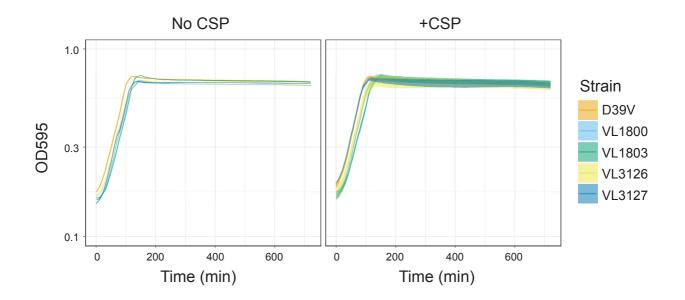


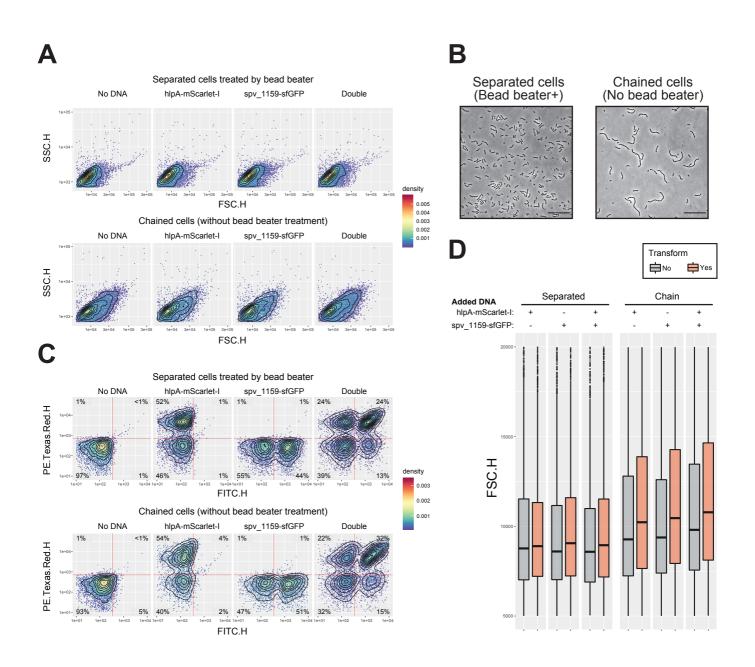


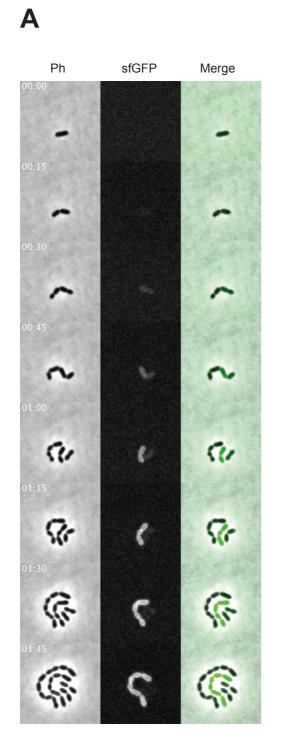


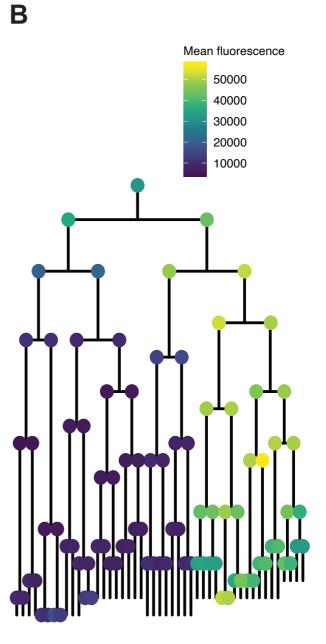




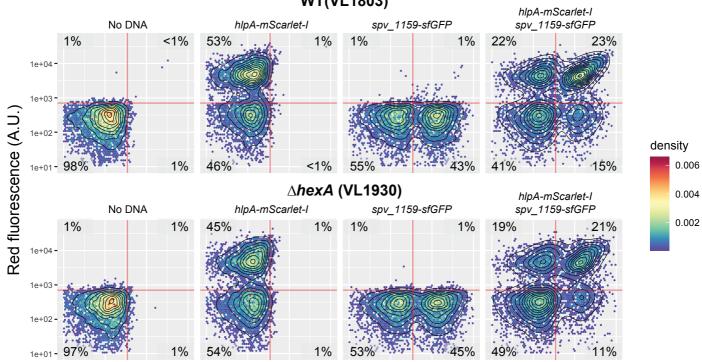




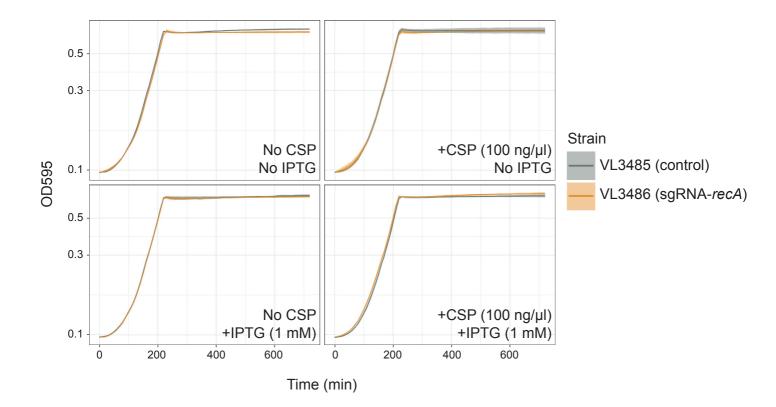


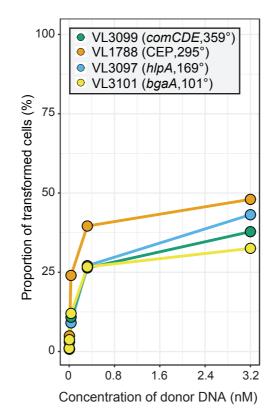


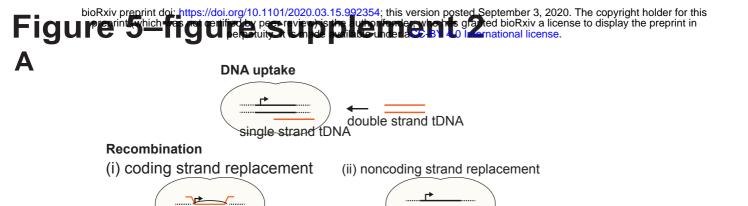
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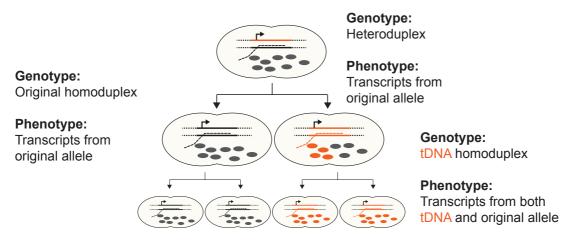






(i) Allele replacement on coding strand (late phenotypic expression)

Β



(ii) Allele replacement on noncoding strand (early phenotypic expression)

