

1 **Neural activity precedes conscious awareness of being in or out of a**
2 **transient hallucinatory state**

3 **Kenneth Hugdahl^{1,2,3,4}, Alexander R. Craven^{1,5}, Erik Johnsen^{2,4,6}, Lars Ersland^{1,5},**
4 **Drozdstoy Stoyanov⁷, Sevdalina Kandilarova⁷, Lydia Brunvoll Sandøy¹, Rune A.**
5 **Kroken², Else-Marie Løberg^{2,8,9} Iris E. Sommer¹⁰**

6 1) Department of Biological and Medical Psychology, University of Bergen, Norway

7 2) Division of Psychiatry, Haukeland University Hospital, Bergen, Norway

8 3) Department of Radiology, Haukeland University Hospital, Bergen, Norway

9 4) NORMENT Center for the Study of Mental Disorders, Haukeland University Hospital,
10 Bergen, Norway

11 5) Department of Clinical Engineering, Haukeland University Hospital, Bergen, Norway

12 6) Department of Clinical Medicine, University of Bergen, Norway

13 7) Department of Psychiatry and Medical Psychology, Medical University of Plovdiv,
14 Bulgaria

15 8) Department of Addiction Medicine, Haukeland University Hospital, Bergen, Norway

16 9) Department of Clinical psychology, University of Bergen, Norway

17 10) Rijks Universiteit Groningen (RUG), Department of Biomedical Sciences of cells and
18 systems and Department of Psychiatry, University Medical Center Groningen (UMCG),
19 Netherlands

20 **Corresponding author:** Kenneth Hugdahl, University of Bergen, Norway, email:

21 hugdahl@uib.no, phone: +47-55586277

22 The present study was funded by research grants from the European Research Council (ERC
23 # 693124), and Helse-Bergen (#912045) to Kenneth Hugdahl, and by grants from the Medical
24 University of Plovdiv, Bulgaria Drozdstoy Stoyanov and by a TOP grant from ZonMW
25 (Dutch Medical Research Council) # 91213009 to Iris E. Sommer,

26 **Keywords:** Schizophrenia, auditory hallucinations, fMRI, symptom-capture, onset, offset,
27 cingulate cortex

28 **Acknowledgements:** The authors want to acknowledge the contribution of MR-technicians
29 and research assistants, as well as the clinical and non-clinical participants, at each site.

30

31

32

33

34 **Summary**

35 **(203 words)**

36 Auditory verbal hallucinations, or "hearing voices", is a remarkable state of the mind,
37 occurring in psychiatric and neurological patients, and in a significant minority of the general
38 population. An unexplained characteristic of this phenomenon is that it transiently fluctuates,
39 with coming and going of episodes with time. We monitored neural activity with BOLD-
40 fMRI second-by-second before and after participants indicated the start and end of a transient
41 hallucinatory episode during the scanning session by pressing a response-button. We show
42 that a region in the ventro-medial frontal cortex is activated in advance of conscious
43 awareness of going in or out of a transient hallucinatory state. There was an increase in
44 activity initiated a few seconds before the button-press for onsets, and a corresponding
45 decrease in activity initiated a few seconds before the button-press for offsets. We identified
46 the time between onset and offset button-presses, extracted the corresponding BOLD time-
47 courses from nominated regions-of-interest, and analyzed changes in the signal from 10
48 seconds before to 15 seconds after the response-button was pressed, which identified onset
49 and offset events. We suggest that this brain region act as a switch to turn on and off a
50 hallucinatory episode. The results may have implications for new interventions for intractable
51 hallucinations.

52

53 **Main text: 2661 words**

54 **Introduction**

55 Auditory verbal hallucinations (AVH) in the sense of "hearing voices" in the absence of a
56 corresponding auditory source, is a remarkable state of the mind. AVHs were traditionally
57 seen as a hallmark of schizophrenia¹⁻⁷, but also occur in other psychiatric and neurological
58 disorders. AVH cross the border between pathological and normal states of mind, since they
59 are experienced in about 10% of the general population⁸⁻¹¹. As a symptom, AVHs are often
60 experienced as highly distressing, while people in the general population are usually not
61 distressed to the same degree^{12,9}. An equally remarkable characteristic of AVHs is that they
62 are spontaneous episodes for which there are no known environmental triggers, occurring in
63 resting and relaxed as well as in stressful and noisy environments^{13,14}. The same is true for the
64 offset of an episode, which likewise can occur in a variety of environmental situations. The
65 absence of environmental causes for these transient on- and off-fluctuations of AVHs would
66 thus point to an internal, i.e. some kind of neural switching mechanism, not only for the
67 spontaneous onset, but also for the spontaneous offset of an episode. We therefore studied the
68 neural underpinnings of the spontaneous switching between AVH on- and off-states by
69 monitoring neural activity a few seconds before and after reported onset (start) and offset
70 (stop) of a hallucinatory episode, and related this to the corresponding conscious awareness of
71 the event. Brain activity can be monitored on-line with functional magnetic resonance
72 imaging (fMRI), where changes in neural activity are estimated from modelling of the blood-
73 oxygenation-dependent (BOLD) function¹⁵. Yet this requires participants to lay still in the
74 scanner while experiencing AVH and indicating their on and offset by button-press, a very
75 demanding task (see^{9,16-19}). This paradigm requires patients to be aware and thoughtful of
76 their experiences and to experience a required minimum of episodes of AVH during the
77 scanning session, as neither continuous hallucinations nor a period with too few

78 hallucinations will allow the study of on- and offsets. Only few research groups around the
79 world have succeeded to obtain a number of such scans, typically less than 20. In order to
80 increase power to detect subtle changes in activity during brief moments of on and offsets, we
81 joined forces from three research groups to recruit a reasonably large sample of individuals
82 who were hallucinating frequently, but not continuously. The aim of the present study was to
83 use fMRI to monitor changes in neural activity on a second-by-second-basis, in a resting-state
84 situation, where subjects signaled the onset of a hallucinatory episode by pressing one
85 response-button and the offset of an episode by pressing another response-button, in the
86 course of the scanning session. A time-window was set from 10 seconds before to 15 seconds
87 after the subject had pressed a button, from which voxel-wise data were extracted, analyzed
88 and displayed second-by-second in a sliding window over the evaluation period (see
89 Methods). Data were pooled from three different sites at the University of Bergen, Norway,
90 Groningen University Medical Center, Netherlands, and Medical University of Plovdiv,
91 Bulgaria, making up a total of 66 subjects hallucinating during fMRI recordings. As
92 hallucinatory experiences cross the border between abnormal and normal conditions, we
93 included both clinical and non-clinical "voice-hearers", focusing on tracking the neural
94 signatures of AVH-experiences per se, not restricted to a particular diagnostic group or mental
95 condition.

96

97 **Results**

98 *Anticipated activity patterns during hallucinatory periods*

99 Functional data that were obtained using the button-press symptom-capture paradigm⁹
100 revealed several statistically significant clusters of increased activity during hallucinatory
101 periods (i.e. periods after onset and before offset). These clusters included the left fronto-
102 temporal language areas (Wernicke's area in the superior temporal gyrus, and Broca's area in

103 the inferior frontal gyrus), using a FEW-corrected significance threshold of $p < .05$ (see Figure
104 1 and Table 1).

105 -----

106 Insert Figure 1 OVERLAPPING ACTIVITY about here about here

107 -----

108 We compared the anatomical localizations of these activity with areas previously reported as
109 activated during ongoing hallucinatory episodes (see meta-analysis^{16, 17}). As can be seen in
110 Figure 1, the overlap of the present activity with previous reports is almost perfect, thus
111 validating that the present activity reflects neural correlates of ongoing hallucinations.

112 Analyzing activity data from each of the three sites separately, the general pattern of activity
113 remained, although statistically weaker for the Bergen cohort and reduced to trend level for
114 the Plovdiv cohort. Contrasting clinical- and non-clinical voice hearers from the Groningen
115 cohort revealed overlapping activity in all areas except for the right planum temporale (PT)
116 and right lateral superior occipital cortex.

117 -----

118 Insert Table 1 about here

119 -----

120

121 *Differential activity in the ventro-medial frontal cortex*

122 Analysis of the extracted time-courses from the nominated regions of interest (ROIs),
123 separately for onset and offset of episodes, revealed a distinct decrease in activity in the
124 intersection of the paracingulate cortex, medial frontal cortex, and the frontal pole (see Figure
125 2). The decrease had a minimum peak at time (t) = 3 sec ($\Delta = -158$ iu, $p = 0.021 \pm 0.002$,
126 95% CI) relative to the button-press response (see Figure 3). This minimum preceded the

127 corresponding motor response from the subjects' button-press which had a peak maximum at
128 $t = 5$ seconds.

129 -----

130 Insert Figure 2 ANATOMY OF ROI about here

131 -----

132 The decrease in activity preceding an offset button-press contrasted to an increase in activity
133 observed in advance of an onset button-press ($\Delta = 35$ iu, $p = 0.014$, $\pm \sim 0.0016$, 95% CI),
134 occurring at around $t = -1$ second. These results were verified in an extended time-series
135 analysis (see Figure 4) averaged across subjects, and where aberrant time-courses were
136 rejected and results iteratively updated. An HRF-model fit was thereafter applied to the data,
137 revealing a similar differential pattern for onset- and offset-events as seen in Figure 3. See
138 Methods section for further details.

139 -----

140 Insert Figure 3 TIME-COURSE about here

141 -----

142 -----

143 Insert Figure 4 ITERATIVE ANALYSIS about here

144 -----

145 These results were obtained using the strictest criteria for interpretation of the subjects'
146 button-press response data, and were maintained (with varying levels of significance) for
147 more liberal interpretations ($\Delta = -79$ iu, $p = 0.16$, $\pm \sim .005$, 95% CI, $\Delta = 36$ iu, $p = 0.0024$,
148 $\pm \sim .0007$, 95% CI for the long-block interpretation, see Methods for explanation). ROIs in the
149 in the pre-central motor-area also exhibited significantly increased activity, with peak activity
150 at $t = 5$ seconds; all significances after FEW-correction and p-level set to $< .05$.

151

152 *4D permutation analysis*

153 Full-volume permutation analysis across the nominated time-windows further confirmed a
154 differential direction of activity for offsets versus onsets at the ventral edge of the intersection
155 of the paracingulate cortex, medial frontal cortex and the frontal pole (see Figure 2). This
156 region exhibited significantly reduced activity ($p = 2.5 \times 10^{-8}$) for offset-of-hallucination events
157 relative to onset-of-hallucination events, peaking 2 seconds after the recorded button-press
158 event, and 3 seconds before the peak of the motor activity associated with the button-press
159 itself. Additional contrasting activity, not directly attributable to the motor response, was
160 observed in the inferior frontal gyrus ($p = 1.4 \times 10^{-11}$, with peak at time (t) = 17 sec), and the
161 central opercular cortex bordering on Heschl's gyrus ($p = 4.6 \times 10^{-14}$ with peak at time (t) = 19
162 sec). These regions were also identified in our functional block-analysis (see Table 1), and
163 have also been mentioned in the literature^{16,17} (see Table 1).

164

165

166 The subsequent permutation analysis of offset-of-hallucination events against “baseline” data
167 from random time-windows showed again significantly reduced activity ($p = 5.2 \times 10^{-7}$)
168 specific to offset-of-hallucinatory events. This analysis also revealed transient increases in
169 activity in the anterior cingulate ($p = 3.7 \times 10^{-6}$), insula/left operculum ($p = 9.3 \times 10^{-6}$), thalamus
170 ($p = 1.8 \times 10^{-7}$) and paracingulate cortex ($p = 1.8 \times 10^{-7}$). Permutation analysis with onset-of-
171 hallucinatory events against “baseline” data also revealed a slight increase in activation
172 initiated before the button-press with a peak around 1 second after the button-press event ($p =$
173 2.5×10^{-4}). Finally, there was a large and significant increase in activity in the primary motor
174 cortex in the pre-central gyrus ($p = 7 \times 10^{-32}$), representing the button-press response per se.

175

176 **Discussion**

177 The finding of anticipatory neural activity in the ventro-medial frontal region preceding start
178 and end of auditory hallucinations is a novel finding, which could lead to new hypotheses
179 about excitation and in particular inhibition of a hallucinatory event, and what underlies the
180 experience of the start and stop of a "voice". Both the time-course- and permutation-analyses
181 revealed a significant brain response initiated a few seconds in advance of the subject
182 becoming consciously aware of being in or out of a hallucinatory state. This suggests that the
183 ventro-medial frontal region may be crucial in both the initiation and cessation of
184 hallucinatory episodes, and speaks to a kind of regulatory role, or switch function for this
185 region. Metaphorically, it could be thought of as this region acting like a conductor, directing
186 the orchestration of neural events that leads up to a full-blown perceptual experience of
187 "hearing a voice" in the absence of a corresponding external source and also to the cessation
188 of the perceptual experience. Brain responses in advance of conscious awareness have been
189 previously reported for error monitoring, where subjects showed reduced activity in regions
190 related to the default mode network (the "oops region") seconds before they became aware of
191 having made an erroneous response²⁰. The present results complement recent findings of
192 aberrant functional connectivity²¹ and morphological differences²² in the same brain region.
193 Garrison, et al.²² used structural MRI and found that hallucinating patients had shorter
194 paracingulate sulcus than healthy controls and also to non-hallucinating patients, and
195 suggested that this region of the brain is tuned to "reality monitoring", i.e. the ability to judge
196 whether a memory comes from an outer or inner source. This suggestion²² was based on the
197 findings reported by Buda, et al.²³ that otherwise healthy individuals, born without a
198 discernible paracingulate sulcus in either hemisphere, showed impaired performance on a
199 word-pair memory/imagery task. These observations^{22,23} may provide important clues for
200 understanding the significance of the present findings, insofar that the ventral portion of this
201 cortical region may be crucial for how AVHs are spontaneously initiated and also why they

202 may spontaneously and transiently disappear. In this respect our data support a previous
203 report from two patients of anticipatory neural activity to onset-signaling²⁴. A potential
204 confounding of the results could be anticipatory attention focus on motor-responses (cf.²⁵),
205 which otherwise could affect the observed activity. As seen in the lower panels of Figure 3
206 this is probably not the case, since there was a clear peak around 5 seconds post-response
207 obtained from the pre-central motor-cortex on the left side (from right-handheld response-
208 buttons), and with 5-6 times higher response amplitude as that obtained from the ventro-
209 medial frontal cortex²⁶. This is what one would expect considering the lag of the
210 hemodynamic response relative to a neural event, but would not expect for a peak occurring
211 at 3-4 seconds and in the ventro-medial frontal cortex, after the button-press. The decrease in
212 brain activity a few seconds before the indicated awareness of the offset of a hallucinatory
213 episode, may correspond to previous findings of frontal neurotransmitter imbalance²⁷⁻²⁹.
214 Using MR spectroscopy (¹H-MRS), Ćurčić-Blake, et al.³⁰, van Den Heuvel, et al.³¹ and
215 Hugdahl, et al.³² found increased levels of glutamate in frontal regions in hallucinating
216 individuals. This is in accordance with what Jardri, et al.²⁸ labelled the Excitatory/Inhibitory
217 (E/I) imbalance model of auditory hallucinations, and we now suggest that the offset of a
218 hallucinatory event is mediated by temporary restoring such imbalances. Future research will
219 hopefully resolve the underlying causes at the receptor and transmitter level. The present
220 findings could also have therapeutic implications in guiding more targeted brain stimulation
221 approaches. Brain stimulation interventions is a promising approach to medication-resistant
222 hallucinations^{33,34,35} and targeting the the ventro-medial frontal cortex using stimulation to
223 stop the onset, or accelerate the offset of an AVH-episode could be a way to help patients
224 overcome intractable AVHs.
225

226

227 **References**

- 228 1 Andreasen, N. C. & Olsen, S. Negative v positive schizophrenia. Definition and
229 validation. *JAMA Psychiatry* **39**, 789-794,
230 doi:10.1001/archpsyc.1982.04290070025006 (1982).
- 231 2 Waters, F., Badcock, J., Michie, P. & Maybery, M. Auditory hallucinations in
232 schizophrenia: Intrusive thoughts and forgotten memories. *Cogn. Neuropsychiatry* **11**,
233 65-83, doi:10.1080/13546800444000191 (2006).
- 234 3 Aleman, A. & Larøi, F. *Hallucinations: The science of idiosyncratic perception*.
235 (American Psychological Association, 2008).
- 236 4 Sartorius, N., Jablensky, A., Korten, A., Ernberg, G., Anker, M., Cooper, J. E. & Day,
237 R. Early manifestations and first-contact incidence of schizophrenia in different
238 cultures. A preliminary report on the initial evaluation phase of the WHO
239 collaborative study on determinants of outcome of severe mental disorders. *Psychol.*
240 *Med.* **16**, 909-928, doi:10.1017/s0033291700011910 (1986).
- 241 5 Ford, J. M., Morris, S. E., Hoffman, R. E., Sommer, I. E., Waters, F., McCarthy-Jones,
242 S., Thoma, R. J., Turner, J. A., Keedy, S. K., Badcock, J. C. & Cuthbert, B. N.
243 Studying Hallucinations within the NIMH RDoC Framework. *Schizophr. Bull.* **40**,
244 295-304, doi:10.1093/schbul/sbu011 (2014).
- 245 6 Ford, J. M., Dierks, T., Fisher, D. J., Herrmann, C. S., Hubl, D., Kindler, J., Koenig,
246 T., Mathalon, D. H., Spencer, K. M., Strik, W. & van Lutterveld, R.
247 Neurophysiological studies of auditory verbal hallucinations. *Schizophr. Bull.* **38**, 715-
248 723, doi:10.1093/schbul/sbs009 (2012).
- 249 7 Hugdahl, K. & Sommer, I. E. Auditory verbal hallucinations in schizophrenia from a
250 levels of explanation perspective. *Schizophr. Bull.* **44**, 234-241,
251 doi:10.1093/schbul/sbx142 (2018).
- 252 8 Kråkvik, B., Larøi, F., Kalhovde, A. M., Hugdahl, K., Kompus, K., Salvesen, Ø.,
253 Stiles, T. C. & Vedul Kjelås, E. Prevalence of auditory verbal hallucinations in a
254 general population: A group comparison study. *Scand. J. Psychol.* **56**, 508-515,
255 doi:10.1111/sjop.12236 (2015).
- 256 9 Sommer, I. E., Diederer, K. M., Blom, J.-D., Willems, A., Kushan, L., Slotema, K.,
257 Boks, M. P. M., Daalman, K., Hoek, H. W., Neggers, S. F. W. & Kahn, R. S. Auditory
258 verbal hallucinations predominantly activate the right inferior frontal area. *Brain* **131**,
259 3169-3177, doi:10.1093/brain/awn251 (2008).
- 260 10 Sommer, I. E., Daalman, K., Rietkerk, T., Diederer, K. M., Bakker, S., Wijkstra, J. &
261 Boks, M. P. M. Healthy individuals with auditory verbal hallucinations; Who are
262 they? Psychiatric assessments of a selected sample of 103 subjects. *Schizophr. Bull.*
263 **36**, 633-641, doi:10.1093/schbul/sbn130 (2010).
- 264 11 Larøi, F., Sommer, I. E., Blom, J. D., Fernyhough, C., ffytche, D. H., Hugdahl, K.,
265 Johns, L. C., McCarthy-Jones, S., Preti, A., Raballo, A., Slotema, C. W., Stephane, M.
266 & Waters, F. The characteristic features of auditory verbal hallucinations in clinical
267 and nonclinical groups: State-of-the-art overview and future directions. *Schizophr.*
268 *Bull.* **38**, 724-733, doi:10.1093/schbul/sbs061 (2012).

269

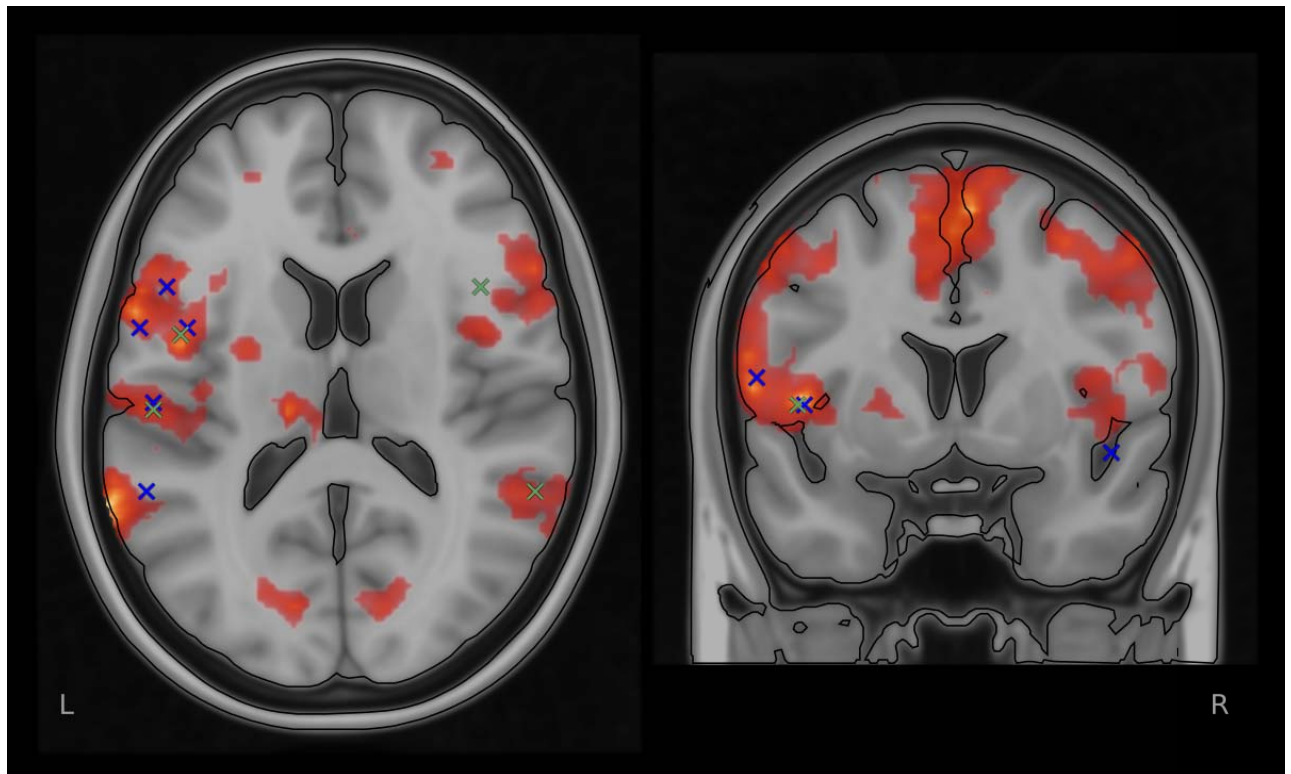
- 270 12 Daalman, K., Boks, M. P. M., Diederer, K. M., de Weijer, A. D., Blom, J. D., Kahn,
271 R. S. & Sommer, I. E. The same or different? A phenomenological comparison of
272 auditory verbal hallucinations in healthy and psychotic individuals. *J. Clin. Psychiat*
273 **72**, 320-325, doi:10.4088/jcp.09m05797yel (2011).
- 274 13 Bless, J. J., Larøi, F., Kompus, K., Kråkvik, B., Vedul-Kjelsås, E., Kalhovde, A. M. &
275 Hugdahl, K. Do adverse life events at first onset of auditory verbal hallucinations
276 influence subsequent voice characteristics? Results from an epidemiological study.
277 *Psychiatry Res.* **261**, 232-236, doi:10.1016/j.psychres.2017.12.060 (2017).
- 278 14 Delespaul, P., deVries, M. & van Os, J. Determinants of occurrence and recovery from
279 hallucinations in daily life. *Soc. Psychiatry Psychiatr. Epidemiol.* **37**, 97-104,
280 doi:10.1007/s001270200000 (2002).
- 281 15 Moonen, C. T. W. & Bandettini, P. A. *Functional MRI*. (Springer-Verlag, 1999).
- 282 16 Jardri, R., Pouchet, A., Pins, D. & Thomas, P. Cortical activations during auditory
283 verbal hallucinations in schizophrenia: A coordinate-based meta-analysis. *Am. J.*
284 *Psychiatry* **168**, 73-81, doi:10.1176/appi.ajp.2010.09101522 (2011).
- 285 17 Kompus, K., Westerhausen, R. & Hugdahl, K. The “paradoxical” engagement of the
286 primary auditory cortex in patients with auditory verbal hallucinations: A meta-
287 analysis of functional neuroimaging studies. *Neuropsychologia* **49**, 3361-3369,
288 doi:10.1016/j.neuropsychologia.2011.08.010 (2011).
- 289 18 Dierks, T., Linden, D. E., Jandl, M., Formisano, E., Goebel, R., Lanfermann, H. &
290 Singer, W. Activation of Heschl's gyrus during auditory hallucinations. *Neuron* **22**,
291 615-621, doi:10.1016/s0896-6273(00)80715-1 (1999).
- 292 19 van de Ven, V. G., Formisano, E., Röder, C. H., Prvulovic, D., Bittner, R. A., Dietz,
293 M. G., Hubl, D., Dierks, T., Federspiel, A., Esposito, F., Di Salle, F., Jansma, B.,
294 Goebel, R. & Linden, D. E. J. The spatiotemporal pattern of auditory cortical
295 responses during verbal hallucinations. *Neuroimage* **27**, 644-655,
296 doi:10.1016/j.neuroimage.2005.04.041 (2005).
- 297 20 Eichele, T., Debener, S., Calhoun, V., Specht, K., Engel, A., Hugdahl, K., Von
298 Cramon, D. Y. & Ullsperger, M. Prediction of human errors by maladaptive changes
299 in event-related brain networks. *Proc. Natl. Acad. Sci. U. S. A.* **105**, 6173-6178,
300 doi:10.1073/pnas.0708965105 (2008).
- 301 21 Alonso-Solís, A., Vives-Gilabert, Y., Grasa, E., Portella, M. J., Rabella, M., Sauras, R.
302 B., Roldán, A., Núñez-Marín, F., Gómez-Ansón, B., Pérez, V., Alvarez, E. &
303 Corripio, I. Resting-state functional connectivity alterations in the default network of
304 schizophrenia patients with persistent auditory verbal hallucinations. *Schizophr. Res.*
305 **161**, 261-268, doi:10.1016/j.schres.2014.10.047 (2015).
- 306 22 Garrison, J. R., Fernyhough, C., McCarthy-Jones, S., Simons, J. S. & Sommer, I. E.
307 Paracingulate sulcus morphology and hallucinations in clinical and nonclinical groups.
308 *Schizophr. Bull.* **45**, 733-741, doi:10.1093/schbul/sby157 (2019).
- 309 23 Buda, M., Fornito, A., Bergström, Z. M. & Simons, J. S. A specific brain structural
310 basis for individual differences in reality monitoring. *J. Neurosci.* **31**, 14308-14313,
311 doi:10.1523/jneurosci.3595-11.2011 (2011).

- 312 24 Shergill, S. S., Brammer, M., Amaro, E., Williams, S., Murray, R. & McGuire, P.
313 Temporal course of auditory hallucinations. *Br. J. Psychiatry* **185**, 516-517,
314 doi:10.1192/bjp.185.6.516 (2004).
- 315 25 van Lutterveld, R., Dieren, K., Schutte, M., Bakker, R., Zandbelt, B. & Sommer, I.
316 E. Brain correlates of auditory hallucinations: Stimulus detection is a potential
317 confounder. *Schizophr. Res.* **150**, 319-320, doi:10.1016/j.schres.2013.07.021 (2013).
- 318 26 Logothetis, N. K. & Pfeuffer, J. On the nature of the BOLD fMRI contrast
319 mechanism. *Magn. Reson. Imaging* **22**, 1517-1531, doi:10.1016/j.mri.2004.10.018
320 (2004).
- 321 27 Steinmann, S., Leicht, G. & Mulert, C. The interhemispheric miscommunication
322 theory of auditory verbal hallucinations in schizophrenia. *Int. J. Psychophysiol.* **145**,
323 83-90, doi:10.1016/j.ijpsycho.2019.02.002 (2019).
- 324 28 Jardri, R., Hugdahl, K., Hughes, M., Brunelin, J., Waters, F., Alderson-Day, B.,
325 Smailes, D., Sterzer, P., Corlett, P. R., Leptourgos, P., Debbané, M., Cachia, A. &
326 Denève, S. Are hallucinations due to an imbalance between excitatory and inhibitory
327 influences on the brain? *Schizophr. Bull.* **42**, 1124-1134, doi:10.1093/schbul/sbw075
328 (2016).
- 329 29 Allen, P., Sommer, I. E., Jardri, R., Eysenck, M. W. & Hugdahl, K. Extrinsic and
330 default mode networks in psychiatric conditions: Relationship to excitatory-inhibitory
331 transmitter balance and early trauma. *Neurosci. Biobehav. Rev.* **99**, 90-100,
332 doi:10.1016/j.neubiorev.2019.02.004 (2019).
- 333 30 Ćurčić-Blake, B., Bais, L., Sibeijn-Kuiper, A., Pijnenborg, H. M., Knegtering, H.,
334 Liemburg, E. & Aleman, A. Glutamate in dorsolateral prefrontal cortex and auditory
335 verbal hallucinations in patients with schizophrenia: A 1H MRS study. *Prog. Neuro-*
336 *Psychopha* **78**, 132-139, doi:10.1016/j.pnpbp.2017.05.020 (2017).
- 337 31 van Den Heuvel, M. P., Mandl, R. C. W., Stam, C. J., Kahn, R. S. & Hulshoff Pol, H.
338 E. Aberrant frontal and temporal complex network structure in schizophrenia: A graph
339 theoretical analysis. *J. Neurosci.* **30**, 15915-15926, doi:10.1523/jneurosci.2874-
340 10.2010 (2010).
- 341 32 Hugdahl, K., Craven, A. R., Nygård, M., Løberg, E.-M., Berle, J. Ø., Johnsen, E.,
342 Kroken, R., Specht, K., Andreassen, O. A. & Erslund, L. Glutamate as a mediating
343 transmitter for auditory hallucinations in schizophrenia: A 1H MRS study. *Schizophr.*
344 *Res.* **161**, 252-260, doi:10.1016/j.schres.2014.11.015 (2015).
- 345 33 Stoyanov, D., Stieglitz, R.-D., Lenz, C. & Borgwardt, S. in *New developments in*
346 *clinical psychology research* (eds D. Stoyanov & R.-D. Stieglitz) 195-209 (Nova
347 Science Publishers, New York, 2015).
- 348 34 Dougall, N., Maayan, N., Soares-Weiser, K., McDermott, L. M. & McIntosh, A.
349 Transcranial magnetic stimulation (TMS) for schizophrenia. *Cochrane Database Syst.*
350 *Rev.*, doi:10.1002/14651858.cd006081.pub2 (2015).
- 351 35 Nathou, C., Simon, G., Dollfus, S. & Etard, O. Cortical anatomical variations and
352 efficacy of rTMS in the treatment of auditory hallucinations. *Brain Stimul.* **8**, 1162-
353 1167, doi:10.1016/j.brs.2015.06.002 (2015).

360 **Figure 1**

361

362 **Figure 1** shows the results from the standard group-level block-analysis of the BOLD-fMRI
363 data, overlaid with peak activity from the Jardri, et al.¹⁶ and Kompus, et al.¹⁷, meta-analyses,
364 marked respectively with a blue (Jardri) and green (Kompus) 'x', verifying the presently seen
365 activity with activity previously repeatedly reported in the literature.



366

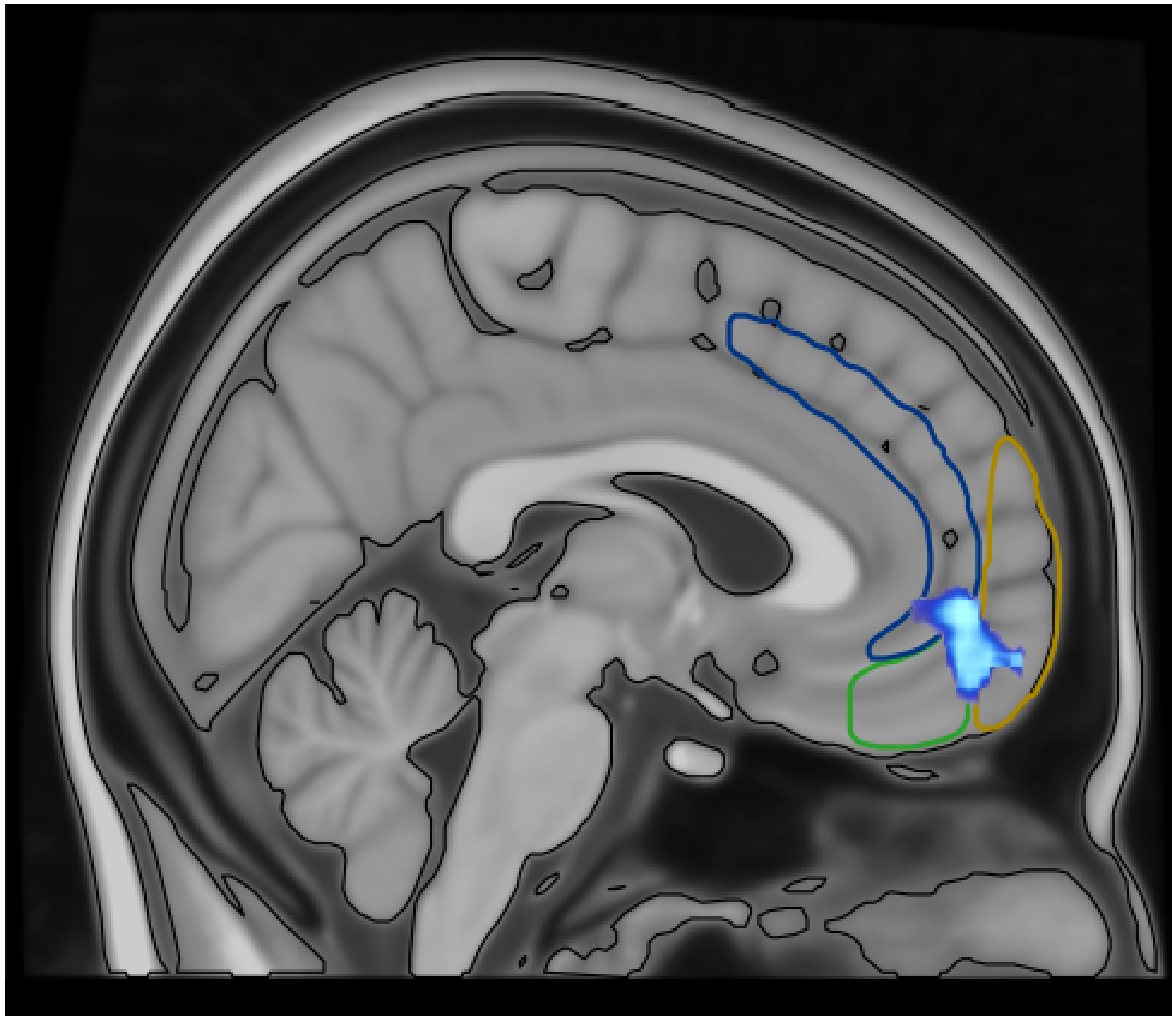
367

368 **Figure 2**

369 **Figure 2** shows the anatomical localization of the ROI (turquoise) with MNI peak coordinates
370 $x=8.8$, $y=44.8$, $z=-5.64$ mm from where the time-courses were extracted, in the intersection of
371 the paracingulate cortex (demarcated in dark blue), medial inferior frontal cortex (demarcated
372 in green) and frontal pole (demarcated in yellow).

373

374



375

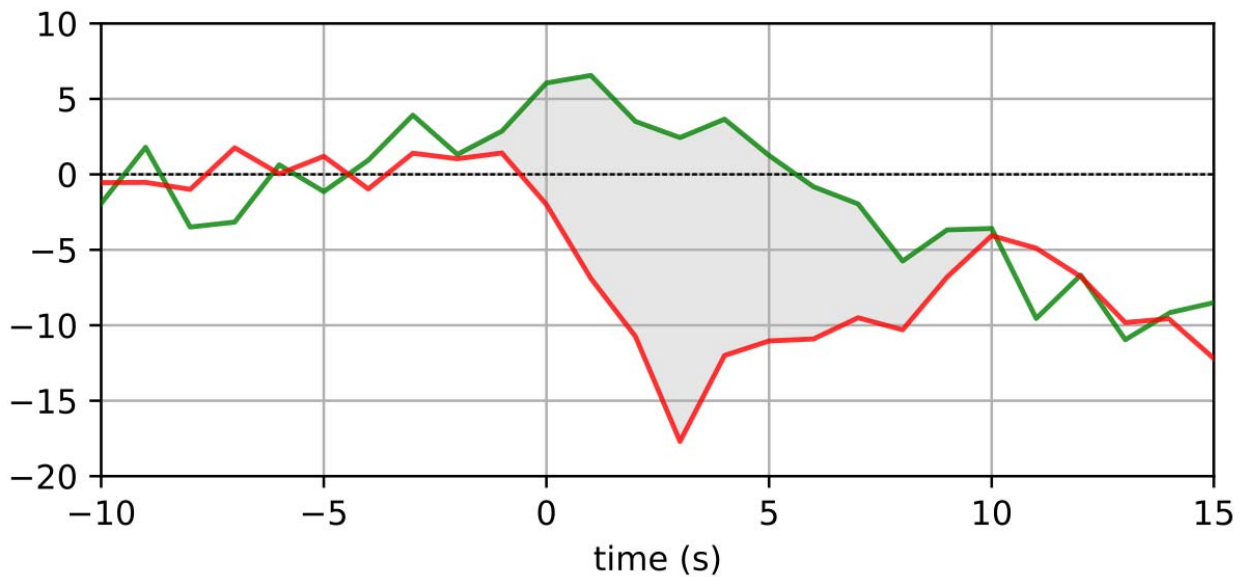
376

377 **Figure 3**

378 **Figure 3** shows filtered time-courses tracked second-by-second around the onset-of-
379 hallucination (red) versus offset-of-hallucination (green) events from the ROI at the
380 intersection of the paracingulate cortex/medial inferior frontal cortex/frontal pole. Grey area
381 shows differential responding for onset- versus offset-events. Time "0" on the x-axis represent
382 the point in time when the button-press occurred. Time -10 represent 10 secs before a button-
383 press and time 15 represents 15 sec after a button-press. Y-axis in international units (iu). See
384 Results section for further details.

385

386



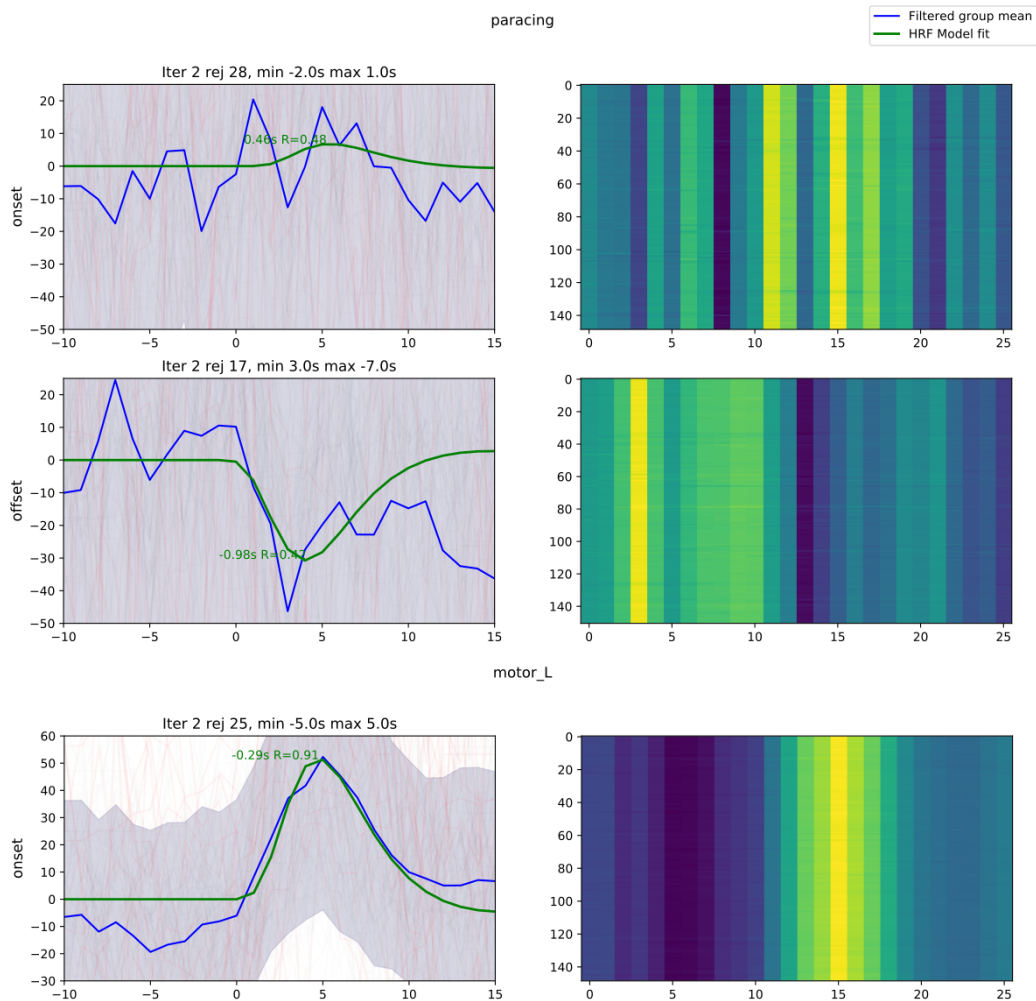
387

388

389 **Figure 4**

390 **Figure 4** shows group-average (blue line) and hemodynamic response function (HRF) -
391 model fit (green line) of time-course data from the nominated ROI in the intersection of the
392 paracingulate cortex/medial inferior frontal cortex and the frontal pole, separated for onset
393 (left upper panel) and offset (left middle panel) events, for the final iteration of filtering. The
394 lower panel show corresponding time-courses for the left pre-central motor cortex (note
395 different y-axis scale). Adjacent panels to the right show group-mean encoded as intensity, for
396 each step (y-axis) of a leave-one-out validation according to which strongly deviant time-
397 courses were rejected. See Methods and Results sections for further details.

398



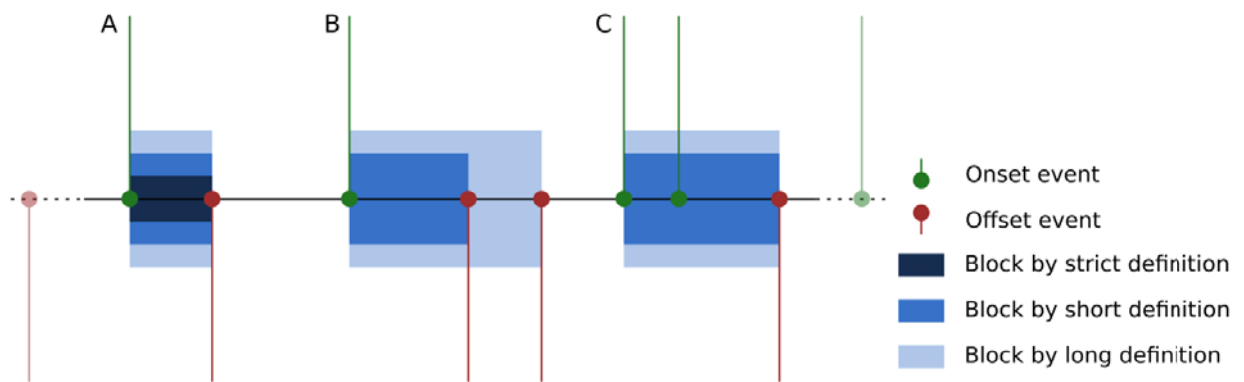
399

401 **Figure 5**

402 **Figure 5** illustrates the three possible interpretations of subjects' response patterns. Pattern A
403 is unambiguous, and is matched identically by strict, short and long definitions. Pattern B
404 includes a spurious "offset" event; the strict definition rejects this block, the short definition
405 ends the block at the first offset, and the long definition ends the block at the last offset.
406 Pattern C shows spurious onset events; again this block was rejected by the strict definition,
407 whilst both short and long definitions match from the first "onset" event.

408

409



410

411

412

413

Methods

414 Subjects

415 Structural data and functional BOLD data were collected from a total of 66 subjects, of which
416 45 were diagnosed with an ICD-10 or DSM-IV schizophrenia spectrum disorder. The patients
417 came from three collaborating projects and sites. These were University of Bergen, Norway
418 (n=11, 7 males, mean age 27.8 (SD 7.0) years); Plovdiv Medical University, Bulgaria (n=13,
419 11 males, mean age 35.3 (SD 14.0) years), Groningen University Medical Center, Netherlands
420 (n=21, 7 males, mean age 39.0 (SD 11.4) years), total 25 males and 19 females, mean age
421 37.9 (SD 13.2) years. Symptom severity for patients was assessed with the positive and
422 negative syndrome scale (PANSS³⁶). Mean total PANSS score was 64.9 (SD 16.9). In order to
423 be included in the study, patients had to score >3 on the PANSS, P3 hallucinatory behavior
424 item within a week of the MR scanning (mean P3 score 4.6 (SD 1.1)). The patients were all
425 on second-generation antipsychotics (often clozapine), with some patients in addition being
426 prescribed antidepressants and/or anxiolytics. Mean antipsychotic Defined Daily Doses
427 (DDD) were 1.228 (SD 0.578). The total sample also consisted of 21 non-clinical
428 hallucinating individuals (4 males, mean age 44.5 (SD 13.0) years), i.e. in whom a clinical
429 axis I and axis II diagnosis was ruled out using the CASH and SCID-II interview, included in
430 the Groningen University Medical Center sample (for details see¹⁰). This yielded a total
431 sample of 29 males, 37 females, mean age 38.2 (SD 13.0) years. The study was approved by
432 the local ethics committees at each site, and had a European Research Council Ethics
433 Approval (ERCEA 2016-439428). Transfer of data between the sites and re-analysis at the
434 Bergen University was approved by the ethical committees at each site, and further confirmed
435 by the Regional Committee for Medical Research Ethics in Western Norway (REK-Vest
436 #2017/933).

437

438 **Data collection**

439 Functional MR data were collected with a “symptom-capture” paradigm⁹, where subjects
440 were instructed to press a button when a hallucinatory episode began (onset), and to press
441 another button when the episode ended (offset). The instruction of when to press the buttons
442 was presented visually through LCD goggles mounted on the head-coil, in the language
443 appropriate to the location, along with a fixation cross that was displayed in the middle of the
444 visual field. A time-window was set from 10 seconds before to 15 seconds after the subject
445 had pressed a button, from which voxel-wise data were extracted, analyzed and displayed in a
446 sliding window over the evaluation period. Particulars of the acquisition varied between sites.
447 Gradient Echo Planar Imaging (EPI) was used to collect functional BOLD data on all sites.
448 Data from Bergen were collected using a 3T GE 750 scanner with a 32-, or 8-channel head
449 coil (300 volumes at TR = 2000 ms, total duration 10 min, TE = 30 ms, flip angle 90°,
450 resolution 128 x 128, pixel spacing 1.72 mm, 30 or 26 slices of 3mm thickness with 0.5mm
451 gap). Plovdiv data were collected with a 3T GE 750w scanner and 24-channel head coil (900
452 volumes at TR=2000 ms (total duration 30 min), TE = 30 ms, flip angle 90°, resolution 64 x
453 64, pixel spacing 3.44 mm, 34 slices of 3 mm thickness with 0.5 mm gap). Groningen data
454 were acquired on a 3T Philips Achieva scanner, as 800 volumes at TR = 21.75 ms (total
455 duration 8 min, 6 sec), TE = 32.4 ms, 64 x 64, 4 mm voxel size, 40 slices (4 mm thickness),
456 no gap. This scan sequence achieves full brain coverage within 609 ms by combining a 3D-
457 PRESTO pulse sequence with parallel imaging (SENSE) in two directions using a
458 commercial 8-channel SENSE head-coil. A high-resolution structural T1 volume was
459 acquired for each subject, along with additional sequences that varied between sites, of no
460 relevance and are not reported herein.

461

462 **Motor (button-press) response data**

463 Upon visual inspection of the subjects' button-press data, it was found that a certain number
464 of subjects reported multiple episode-onsets which did not distinctly match to a single end-of-
465 "voice" event, which required interpretation and operational definition of episodes. We
466 interpreted and operationally defined the relationship between onset- and offset button-
467 responses in three different ways, described and illustrated in Figure 5 below. According to
468 each definition, subjects' button-press response-data were filtered to remove spurious
469 episodes, and extract distinct blocks of hallucinatory vs non-hallucinatory periods. Additional
470 criteria regarding minimal spacing between events ensured the validity of the subsequent
471 analyses.

472

473 *Operational definition of hallucinatory and non-hallucinatory periods*

474 According to a first possible interpretation and definition, denoted "short blocks",
475 hallucinatory periods were defined as spanning from the moment a subject first pressed the
476 button indicating the start-of-voice episode, until they first pressed the button to indicate the
477 end-of-voice episode. This yielded 1055 usable blocks across all subjects. In a second
478 interpretation, denoted "long blocks", hallucinatory periods were defined as spanning from
479 the moment a subject first pressed the button indicating the start-of-voice episode, until the
480 last press indicating the end-of-voice episode before the next reported start-of-voice episode.
481 This yielded 1000 usable blocks across all subjects. The final, "strict blocks" interpretation,
482 accepted only periods unambiguously bounded by a single start-of-voice and a single end-of-
483 voice button-press. This yielded 300 usable blocks across all subjects. Figure 5 shows the
484 different interpretations, illustrated on a representative subject's response data.

485 -----

486 Insert Figure 5 DEFINITION OF RESPONSE BLOCKS about here

487 -----

488 **Pre-processing of fMRI data**

489 Functional MR data were pre-processed using standard tools from FSL 5.0.11 (FEAT
490 pipeline), with additional filtering for artifacts using the ICA-AROMA method³⁷⁻⁴⁰. Brain-
491 masks for each subject's structural T1-images and functional data were derived using FSL's
492 bet2 tool, with fractional intensity threshold and initial mesh center-of-gravity tuned on a
493 group basis to accommodate differences between the three sites. Individual brain-masks were
494 manually subject to visual quality control to ensure completeness and specificity of the mask.
495 When necessary, brain masking was repeated for a small number of subjects using
496 individually tuned parameters. Next, individual brain-extracted functional data were subject to
497 motion correction, using FSL's MCFLIRT utility^{38,41}. Data were filtered spatially with a 5 mm
498 FWHM Gaussian kernel, and temporally with a 100 sec high-pass filter. They were then
499 registered to individual, brain-extracted high-resolution structural images using FSL's linear
500 registration tool (FLIRT), with the recommended Boundary-Based Registration mode and a
501 restricted (35 degree) search range. Registration was inspected visually for validity; for three
502 subjects where the BBR method performed poorly, a 12-degree-of-freedom registration was
503 substituted. Individual brain-extracted high-resolution structural images were registered to a
504 standard 2mm T1 template in MNI152 space (ICBM152, non-linear, 6th generation), with a
505 12-degrees-of-freedom linear registration using FSL FLIRT, followed by a nonlinear
506 registration with 10 mm warp resolution using FSL FNIRT. The ICA-AROMA method was
507 applied to filtered, native-space functional data to remove residual signals associated with
508 motion artifacts and noise. Finally, per-subject native-space functional masks were
509 transformed into standard space using non-linear parameters derived from the registration
510 steps; these masks were assessed programmatically to ensure adequate coverage of the brain,
511 with particular attention to prescribed regions of interest (ROIs), see below.

512

513 **Block analysis**

514 To confirm the validity of the filtered response data, a standard fMRI block--analysis was
515 performed using FSL FEAT first-level and higher-level analysis pipelines⁴², applied for the
516 long block definition. Mixed effects modeling (FLAME 1+2) was used for higher-level
517 analysis; clusters were thresholded non-parametrically at $Z > 4.5$; corrected cluster significance
518 thresholded at $p > 0.05$ and extent > 20 voxels.

519

520 **Time-course analysis**

521 For each hallucinatory period, identified as demarcating the start or end of a hallucinatory
522 episode, windows of functional data were extracted extending from a time of $t = -10$ sec
523 through to $t = +15$ sec, relative to the moment in time at which the button-press event
524 occurred (set to $t = 0$ sec), as a continuous variable. Since the temporal resolution of
525 functional data is relatively coarse, and also varied between sites in the current dataset, data
526 were sampled at regular 1sec intervals, weighted and normalized according to a Gaussian
527 kernel in the temporal dimension (FWHM = 0.94 sec). An initial principal component
528 analysis (PCA) on grouped, extracted functional segments, guided the selection of ROIs for
529 further inspection and analysis. Cluster locations identified in the functional analysis and
530 those nominated and reported in previous meta-analyses^{16,17} were also inspected for overlaps
531 of activity between the current study and activity reported in the meta-analyses (see Figure 1
532 and Table 1). For each nominated ROI, and for each of the extracted functional segments,
533 time-course vectors were obtained and spatially averaged over a 5 mm radius sphere,
534 allowing activity in each region to be examined and evaluated in the time-frame leading up to
535 and immediately following a button-press, marking the onset and offset of a hallucinatory
536 episode. Separately for onset and offset events, time-course vectors for each region were
537 aligned in the temporal dimension to the group-average for the respective region (maximizing

538 cross-correlation). Aberrant time-courses (correlation varying by more than two standard
539 deviations) were rejected, iteratively updating the group to refine an estimated model time-
540 course for the region around onset and offset events as shown in Figure 4. A dual-gamma
541 hemodynamic response-function (HRF) model was thereafter fitted to the refined time-course
542 model, allowing magnitude and timing of any activity-related peak to be identified and
543 statistically evaluated. Random permutation-analysis (n = 5000) was performed to identify
544 differential effects on fit parameters between onset- and offset-events.

545

546 **4D permutation analysis**

547 The ROI-based analysis was generalized to a full four-dimensional (4D) permutation analysis,
548 characterizing activity specific to onset- or offset-events at each time-point in the extracted
549 window segments, searching across the entire brain volume voxel-wise. Due to the large
550 volume of data and computationally intensive nature of the permutation analysis, it was
551 necessary to develop a new software tool to facilitate this analysis. P-values were extracted (n
552 = 10,000 permutations), along with p-values calculated on a gamma approximation of the
553 obtained distribution⁴³, for each voxel, at each time-point. Initially, time-windows associated
554 with onset- and offset-events were contrasted jointly in the permutation analysis, yielding
555 differential effects for onset and offset events. Subsequently, time-points from offset- and
556 onset-events were separately contrasted against windows extracted around random time-
557 points (without synchronization to subjects' button-responses), as a baseline state.

558

559 **Methods references:**

560 36 Kay, S. R., Fiszbein, A. & Opler, L. A. The positive and negative syndrome scale
561 (PANSS) for schizophrenia. *Schizophr. Bull.* **13**, 261-276,
562 doi:10.1093/schbul/13.2.261 (1987).

- 563 37 Pruijm, R. H. R., Mennes, M., van Rooij, D., Llera, A., Buitelaar, J. K. & Beckmann,
564 C. F. ICA-AROMA: A robust ICA-based strategy for removing motion artifacts from
565 fMRI data. *Neuroimage* **112**, 267-277, doi:10.1016/j.neuroimage.2015.02.064 (2015).
- 566 38 Jenkinson, M. & Smith, S. A global optimisation method for robust affine registration
567 of brain images. *Med. Image Anal.* **5**, 143-156, doi:10.1016/S1361-8415(01)00036-6
568 (2001).
- 569 39 Woolrich, M. W., Ripley, B. D., Brady, M. & Smith, S. M. Temporal autocorrelation
570 in univariate linear modeling of fMRI data. *Neuroimage* **14**, 1370-1386,
571 doi:10.1006/nimg.2001.0931 (2001).
- 572 40 Jenkinson, M., Beckmann, C. F., Behrens, T. E. J., Woolrich, M. W. & Smith, S. M.
573 FSL. *Neuroimage* **62**, 782-790, doi:10.1016/j.neuroimage.2011.09.015 (2012).
- 574 41 Jenkinson, M., Bannister, P., Brady, M. & Smith, S. Improved optimization for the
575 robust and accurate linear registration and motion correction of brain images.
576 *Neuroimage* **17**, 825-841, doi:10.1006/nimg.2002.1132 (2002).
- 577 42 Woolrich, M. W., Behrens, T. E. J., Beckmann, C. F., Jenkinson, M. & Smith, S. M.
578 Multilevel linear modelling for fMRI group analysis using Bayesian inference.
579 *Neuroimage* **21**, 1732-1747, doi:10.1016/j.neuroimage.2003.12.023 (2004).
- 580 43 Winkler, A. M., Ridgway, G. R., Douaud, G., Nichols, T. E. & Smith, S. M. Faster
581 permutation inference in brain imaging. *Neuroimage* **141**, 502-516,
582 doi:10.1016/j.neuroimage.2016.05.068 (2016).

583

584

585 **Data availability statement**

586 The datasets analysed during the current study are not publicly available due to restrictions
587 imposed by Regional Committee for Medical Research Ethics in Western Norway (REK
588 Vest) and the Data Protection Officer of the Western Norway Health Authorities
589 (Personvernombudet) but are available from the corresponding author on reasonable request

590 **Software/Code availability statement**

591 Novel in-house developed software implemented for this study has been made publicly
592 available here: <https://git.app.uib.no/bergen-fmri/functional-transients>. All other stages of
593 analysis were performed using widely-available open-source software, including tools from
594 the FSL suite and additional filtering with the ICA-AROMA method.

595 **Author contributions**

596 KH designed the study, participated in patient recruitment, data analysis and interpretation,
597 wrote the ms, ARC analyzed the data, participated in data acquisition and interpretation,

598 commented on the ms, EJ participated in patient recruitment, commented on the ms, LE
599 participated in data acquisition and analysis, commented on the ms, DS participated in patient
600 recruitment. commented on the ms, SK participated in patient recruitment, commented on the
601 ms, LBS participated in organization of data and ms and commented on the ms, RAK
602 participated in patient recruitment, commented on the ms, EML participated in patient
603 recruitment, commented on the ms, IES participated in patient and subjects recruitment, data
604 interpretation, and commented on the ms.

605 **Supplementary information**

606 Correspondence and requests for materials should be addressed to Kenneth Hugdahl
607 (hugdahl@uib.no).

608 **Competing interests**

609 The co-authors Kenneth Hugdahl, Alexander R. Craven and lars Ersland own shares in the
610 company NordicNeuroLab, Inc. (<https://nordicneurolab.com/>) that produced add-on
611 equipment used for BOLD-fMRI data acquisition. All authors declare no conflict of interest.

612 **Acknowledgments**

613 The authors want to acknowledge the contribution by MR-technicians and participants for
614 making the study possible.

615