**Maintenance of epithelial traits and resistance to mesenchymal reprogramming**

**promote proliferation in metastatic breast cancer**

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**Running title:**

Epithelial gene expression in EMT is required for breast cancer metastasis.

**Keywords:**

EPCAM, ZEB1, EMT, resistance, breast cancer, metastasis

**Abstract**

Despite important advances in the treatment of breast cancer, the 5-year survival rate

for patients with distant metastasis remains less than 30%. Metastasis is a complex,

multi-step process beginning with local invasion and ending with the outgrowth of

systemically disseminated cells into actively proliferating metastases that ultimately

cause the destruction of vital organs. It is this last step that limits patient survival and,

at the same time, remains the least understood mechanistically. Here, we focus on

understanding determinants of metastatic outgrowth using metastatic effusion biopsies

from stage IV breast cancer patients. By modelling metastatic outgrowth through

xenograft transplantation, we show that tumour initiation potential of patient-derived

metastatic breast cancer cells across breast cancer subtypes is strongly linked to high

levels of EPCAM expression. Breast cancer cells with high EPCAM levels are highly

plastic and, upon induction of epithelial-mesenchymal transition (EMT), readily adopt

mesenchymal traits while maintaining epithelial identity. In contrast, low EPCAM levels

are caused by the irreversible reprogramming to a mesenchymal state with

concomitant suppression of metastatic outgrowth. The ability of breast cancer cells to

retain epithelial traits is tied to a global epigenetic program that limits the actions of

EMT-transcription factor ZEB1, a suppressor of epithelial genes. Our results provide

direct evidence that maintenance of epithelial identity is required for metastatic

outgrowth while concomitant expression of mesenchymal markers enables plasticity.

In contrast, loss of epithelial traits is characteristic of an irreversible mesenchymal

reprogramming associated to a deficiency for metastatic outgrowth. Collectively, our

data provide a framework for the intricate intercalation of mesenchymal and epithelial

traits in metastatic growth.

## Introduction

The outgrowth of macrometastases in vital organs causes almost all breast cancer deaths[1]. Previously, it was shown that circulating tumour cells (CTCs) in blood of breast cancer patients expressing the Epithelial Cell Adhesion Molecule (EPCAM) are capable of initiating metastatic tumours *in vivo*[2]. However, it has been questioned whether EPCAM is the most useful marker for CTCs since its expression is downregulated when breast cancer cells undergo an epithelial-mesenchymal transition (EMT)[3].

Experimental studies addressing the impact of EMT in different cancers have yielded a wealth of controversial results. For example, it has been shown that the expression of the EMT-inducer *Twist1* decreases proliferation of tumour cells, but increases their abundance within the circulation[4]. Moreover, recent findings in a mouse model of pancreatic carcinoma show that deletion of *Zeb1*, another EMT-transcription factor, decreases invasion and lung colonization without affecting primary tumour growth, suggesting that Zeb1 is crucial for tumour progression[5].

Other studies emphasize that a transient, rather than permanent expression of EMT-transcription factors is crucial for the outgrowth of metastases[4,6,7]. This is supported by the finding that most macroscopic metastases generated by carcinomas display an epithelial morphology[8]. However, a strict requirement for EMT at any time during the metastatic process is called into question by the finding that overexpression of micro RNAs that inhibit EMT does not affect metastasis[9] and loss of *Twist1* and *Snai1* expression in a pancreatic cancer mouse model does not change invasion and disease progression[10].

More complication has recently arisen from the observation that tumour cells with an intermediate, often termed hybrid epithelial-mesenchymal phenotype, are the most competent in colonization and metastasis formation[11].

Some of this apparent controversy might be due to differences in the tissue- or cell-of

cancer origin. Nonetheless, the fundamental question how different phenotypes, i.e.

epithelial, mesenchymal, or hybrids arise, and how they contribute to metastatic

outgrowth remains poorly understood. To address this question in the context of breast

cancer, we examined the *in vivo* tumour-propagating ability of cancer cells derived from

effusion biopsies of metastatic breast cancer patients. We observed that, across

different breast cancer subtypes, EPCAM$^{high}$ cells were consistently more efficient in

establishing tumours in immunocompromised mice compared to EPCAM$^{low}$ cells.

These results guided subsequent mechanistic studies to understand why EPCAM$^{low}$

cells from metastatic biopsies failed to propagate the disease. Thereby we discovered

that a global epigenetic program prevents repression of epithelial genes in EPCAM$^{high}$

cells upon challenge by an EMT-inducing stimulus. Thus, our data suggest that the

ability to display epithelial-mesenchymal plasticity while maintaining epithelial identity

promotes metastatic outgrowth.

**Results**

**High EPCAM levels in patient-derived metastatic breast cancer cells correlate**

**with tumour propagation capacity**

To determine whether distinct tumour cell phenotypes contribute differently to

metastatic outgrowth, we used xenograft transplantation of cells from human cancers

as a proxy assay. For this purpose, we analysed liquid biopsies (pleural and ascitic

effusions) from stage IV breast cancer patients encompassing all major subtypes of

breast cancer (Supplementary Figure 1a). After excluding immune cells using CD45,

we identified three subpopulations of tumour cells present in these biopsies according

to cell surface levels of the Epithelial Cell Adhesion Molecule (EPCAM): EPCAM$^{high}$,

EPCAM$^{low}$, and EPCAM$^{neg}$ (Figure 1a, Supplementary Figure 1b). Next, we assessed

the tumour-initiating capacity (TIC) of each subpopulation *in vivo* by orthotopic

implantation in immunocompromised NSG mice to generate patient-derived xenografts

(PDX, Figure 1b). Strikingly, limiting dilution analysis revealed that across all subtypes,

EPCAM$^{high}$ cells were 30 times more efficient in generating tumours (TIC frequency:

$6.3 \times 10^{-4}$) compared to EPCAM$^{low}$ cells ($2.1 \times 10^{-5}$). EPCAM$^{neg}$ cells showed the lowest

efficiency in tumour generation ($1 \times 10^{-6}$, Figure 1b). Importantly, the PDX-outgrowths

recapitulated the subtype of the primarily diagnosed tumour, as shown by subtype-

specific marker expression using immunohistochemistry (Supplementary Figure 1c).

Additionally, metastatic nodules in the lungs were exclusively found in mice injected

with EPCAM$^{high}$ cells (Figure 1b) and again expressed markers congruent with the

primary cancer subtype (Supplementary Figure 1d). Thus, these data suggest that

EPCAM$^{high}$ patient-derived breast cancer cells from metastatic sites preferentially

contribute to outgrowth compared to EPCAM$^{low}$ and EPCAM$^{neg}$ cells.

To take a closer look at breast cancer subtype-specific differences, effusion biopsies

were stratified according to oestrogen receptor (ER) status. Cells from patients with

ER-positive tumours (ER$^{+}$) showed the highest TIC frequency within the EPCAM$^{high}$

population ($1 \times 10^{-5}$) compared to EPCAM$^{low}$ ($5.6 \times 10^{-5}$), while the EPCAM$^{neg}$ population

lacked detectable TICs (Figure 1b). In biopsies from patients with ER-negative tumours

(ER$^{-}$), all populations initiated tumours to a certain extent (Figure 1b), consistently with

a higher degree of phenotypic plasticity previously observed in ER$^{-}$ liquid biopsies[12].

Nonetheless, we observed a 15 times higher TIC frequency in EPCAM$^{high}$ cells

($3.6 \times 10^{-3}$) compared to EPCAM$^{low}$ cells ($2.4 \times 10^{-4}$), and the lowest TIC frequency in

EPCAM$^{neg}$ cells ($9.1 \times 10^{-4}$, Figure 1b).

Since metastatic effusion biopsies are derived from body cavities lined with

mesothelium, contamination with sloughed-off, normal mesothelial cells could

potentially provide a simple explanation for the lower TIC frequency in EPCAM$^{low}$ and

EPCAM$^{neg}$ cell populations. To test this possibility, we assessed the mutational

spectrum in EPCAM-sorted subpopulations by using panel-based targeted sequencing

covering 50 commonly mutated breast cancer genes. Thus, 13 different somatic

mutations were detected and their allelic frequency evaluated in each subpopulation

(Figure 1c). This analysis revealed that across subtypes, the frequency of mutated

alleles in the EPCAM$^{neg}$ population was significantly lower compared to the EPCAM$^{low}$

(p=0.039) or EPCAM$^{high}$ populations (p=0.0098, Figure 1c), indicating that the

EPCAM$^{neg}$ population contained a significant amount of non-tumour cells. By contrast,

EPCAM$^{high}$ and EPCAM$^{low}$ populations showed comparable allelic frequencies for all

mutations detected.

Together, these results suggest that the observed differences in TIC frequency in the

EPCAM$^{neg}$ population might arise from contamination with normal cells, whereas the

observed differences between EPCAM$^{high}$ and EPCAM$^{low}$ populations originate from

qualitative differences between these populations. Since we observed highly similar

mutation profiles between these populations, we speculated that the observed

differences in TIC frequency might arise from differences in epigenetic rather than

genetic cellular programs.

To further explore this hypothesis, we performed RNA-sequencing of freshly sorted

EPCAM subpopulations from an ER$^{+}$ and an ER$^{-}$ patient. Among larger and non-

redundant gene set collections, one of the most enriched features in EPCAM$^{low}$ cells

was the EMT process (Figure 1d, Supplementary Figure 1e). By contrast, EPCAM$^{high}$

cells were enriched for multiple features associated with an active cell cycle, oxidative

phosphorylation, MYC targets and in general, pathways associated with cell growth

(Figure 1d, Supplementary Figure 1f).

This result supported the conclusion derived from xenograft transplantation: in

metastatic effusion biopsies, EPCAM expression serves as a marker distinguishing

between disease-propagating, actively growing tumour cells and less proliferative

subpopulations. Moreover, RNA-sequencing pointed us towards EMT programs as a

potential underlying mechanism for the reduction in TICs we observed in the EPCAM[low]

cells.

**Contrasting responses to an EMT-inducing stimulus generate morphological**

**and functional heterogeneity in breast cancer cells**

To investigate whether EMT programs in breast cancer cells contribute to a

suppression of metastatic outgrowth and to explore the role of epithelial traits, we

induced EMT in epithelial breast cancer cells under defined conditions. To do so, we

used immortalized human mammary epithelial cells (HMLE) engineered to express a

conditional *Twist1*-ER (HMLE-Twist1-ER) activatable by Tamoxifen (TAM)

treatment[7,13].

After 21 days of persistent Twist1-activation all cells, based on morphology, appeared

to have trans-differentiated from an epithelial to a mesenchymal phenotype

(Supplementary Figure 2a). Indeed, analysis of cell-surface EPCAM expression after

Twist1-activation revealed that most cells had lost EPCAM cell surface expression.

However, a minor subset of cells continued to express EPCAM (Figure 2a,

Supplementary Figure 2c), indicating that the latter subset of cells was resistant to

EMT-induction. To functionally address this heterogeneity, we prospectively sorted

EPCAM[neg] and EPCAM[pos] cells (Figure 2a). Sorted cells were transferred to

Tamoxifen-free conditions for 10 days (+TAM 21d/-TAM 10d) to reproduce the effect

of a transient EMT stimulus, as it is thought to take place during tumour progression[14].

Based on morphology and expression of markers such as E-cadherin (*CDH1*),

EPCAM[neg] cells could be identified as a population that remained mesenchymal even

after cessation of Twist1-activation. By contrast, EPCAM[pos] cells displayed an

epithelial phenotype, thus showing insensitivity to the EMT-inducing stimulus provided

by Twist1-activation (Figure 2b, c, Supplementary Figure 2b). Next, we tested the

ability of EPCAM$^{pos}$ and EPCAM$^{neg}$ cells to generate organoids in a three-dimensional

collagen gel-based organoid assay to mimic more physiological growth conditions[7,15].

Strikingly, only EPCAM$^{pos}$ cells proliferated, whereas EPCAM$^{neg}$ cells failed to

generate organoids (Figure 2d, e).

Together, these results showed that contrasting cellular responses to an EMT-inducing

stimulus create heterogeneity with respect to EPCAM-expression in a breast cancer

cell line model similar to what we encountered in metastatic effusion biopsies (Figure

1). Moreover, these data suggest that a proportion of breast cancer cells can resist

induction of EMT and maintain epithelial properties, whereas cells that undergo

irreversible EMT lose their ability to proliferate in a three-dimensional environment.

**Single-cell level analysis confirms that breast cancer cells either intrinsically**

**resist EMT-induction or enter a stable, mesenchymal cell state**

Based on these data, we set out to understand why some cells appeared to be more

susceptible to EMT than others. For this purpose, we generated several single-cell

clones (SCCs, Supplementary Figure 3a) from the HMLE-Twist1-ER bulk population

and treated the clones with TAM independently to assess the competence for EMT at

the single-cell level. Again, we observed that only a subset of single-cell clones (SCCs)

underwent EMT (42 of 58, 72%), indicated by a gain of mesenchymal traits and the

concurrent loss of epithelial traits (M-SCCs: M1-3, Figure 3a, b). The remaining SCCs

(16 of 58, 28%) failed to undergo EMT and remained epithelial (E-SCCs: E1-3, Figure

3a, b). Importantly, Twist1 nuclear localisation and expression levels, as well as

transcript levels of the direct target gene *WNT5A*[16] were quantitatively comparable in

all clones (Supplementary Figure 3b-d), ruling out variable Twist1 expression levels as

the reason for the differences in susceptibility for EMT induction.

In detail, Twist1-activation led to a complete loss of surface EPCAM expression in M-

SCCs, while we observed only a minor shift in E-SCCs (Figure 3c, d). Moreover,

expression of E-cadherin (CDH1) was maintained in E-SCCs, but not M-SCCs (Figure

3b, d, e). By contrast, expression of mesenchymal markers such as Vimentin (VIM)

was upregulated upon Twist1-activation in both E-SCCs and M-SCCs to a similar

extent (Figure 3b, d, e). Thus, E-SCCs adopted a transient hybrid phenotype during

Twist1-activation, characterized by concomitant expression of epithelial and

mesenchymal markers.

By contrast, epithelial markers were permanently downregulated in M-SCCs with

concomitant high expression levels of mesenchymal genes (Supplementary Figure 3e,

f). Thus, M-SCCs did not undergo a mesenchymal-epithelial transition (MET) following

inactivation of Twist1, but persisted in a mesenchymal state.

Importantly, the contrasting responses to Twist1 in E-SCCs and M-SCCs did not simply

reflect differences in kinetics to EMT-induction: during prolonged Twist1-activation for

21 or 28 days, E-SCCs still maintained an epithelial phenotype and the expression of

epithelial markers such as E-cadherin (*CDH1*) (Supplementary Figure 3g, h). This

result suggests a strong protection of epithelial traits in E-SCCs that cannot be

overcome by long-term activation of an EMT-inducing stimulus.

**Resistance to complete EMT correlates with the ability of breast cancer cells to**

**proliferate in a three-dimensional environment**

Next, we wished to determine whether resistance to EMT again correlated with the

capacity for growth in a three-dimensional environment. To do so, individual SCCs

were plated in a collagen gel-based organoid assay[7,15]. Thereby, we observed that

prior to an EMT-stimulus E-SCCs and M-SCCs formed epithelial organoids expressing

E-cadherin with similar frequency (Figure 3f, g). However, when E-SCCs and M-SCCs

were plated into the organoid assay after 14 days of transient Twist1-activation, only

E-SCCs generated epithelial organoids, as observed in breast cancer metastases[8]. By

contrast, M-SCCs remained in the collagen gel as single cells and failed to initiate

proliferation (Figure 3f, g).

To further explore this deficiency in proliferation and approximate conditions during

metastatic outgrowth, we tested the growth of E-SCCs and M-SCCs on precision-cut

lung slices (PCLS), which allow analysis of 3D-lung tissue architecture *ex vivo*[17]. For

this purpose, PCLS were incubated for 5 days in Tamoxifen-free conditions with

GFP[pos] E-SCCs or M-SCCs that were either untreated or treated with TAM for 14 days.

Strikingly, even before Twist1-activation, E-SCCs were 1.6-fold more efficient in

colonizing the PCLS (Figure 3h, i). However, after transient Twist1-activation, the

ability of M-SCCs to proliferate on the lung slices decreased further and E-SCCs

colonized the PCLS 3.9-fold more efficient than M-SCCs (Figure 3h, i).

Together, these data further supported our observation that resistance to complete

EMT through maintenance of epithelial features promotes the ability of breast cancer

cells to initiate proliferation in different three-dimensional environments, possibly

correlating with the capacity for metastatic outgrowth.

Since HMLE cells are not tumorigenic in immunocompromised mice we prospectively

sorted the EPCAM[pos] and EPCAM[neg] subpopulations of SUM-149PT breast cancer

cells (Figure 3j, k) to evaluate their *in vivo* metastatic potential. For this, we injected

these populations into the hindleg femurs of NSG mice as previously described[2] in

order to evaluate metastasis-initiating activity in the bone, the most frequent site of

metastasis in breast cancer. Indeed, EPCAM[pos] cells generated bone metastases after

20 weeks of observation, while EPCAM$^{neg}$ cells failed to produce metastases (Figure

3l).

Together, data from different experimental models *in vitro* and *in vivo* support the idea

that the occurrence of complete EMT negatively impacts metastatic outgrowth whereas

the maintenance of epithelial features promotes metastasis.

**Global chromatin changes during transient EMT-induction define EMT-**

**resistance versus -susceptibility**

To investigate the mechanistic basis for EMT-resistance versus -susceptibility, we

again turned to E-SCCs and M-SCCs derived from HMLE-Twist1-ER cells.

Specifically, we wished to determine whether the contrasting responses of SCCs to

Twist1-activation could be observed at an epigenetic regulatory level. For this purpose,

we performed ATAC-sequencing in conjunction with RNA-sequencing in three E-SCCs

and three M-SCCs before Twist1-activation (1), after 7 (2) and 14 days of Twist1-

activation (3), and after 14 days of Twist1-activation followed by 7 days of Twist1-

deactivation (4).

Principal component analysis (PCA) revealed a comparable landscape of chromatin

accessibility in E-SCCs and M-SCCs before Twist1-activation (Figure 4a). However,

both at 7 and 14 days after Twist1-activation, M-SCCs harboured the greatest variation

in chromatin accessibility compared to all other conditions, which was evident in

changes that created a shift on the PC1 axis (Figure 4a). In contrast, during Twist1-

activation, changes observed in E-SCCs created a shift on the PC2 axis,

corresponding to comparatively minor variance (Figure 4a).

Remarkably, upon Twist1 deactivation (+TAM 14d/-TAM 7d), changes in chromatin

accessibility were reversed in E-SCCs but not in M-SCCs (Figure 4a). Thus, the

dynamics of chromatin accessibility in E-SCCs and M-SCCs mirrored our observations

that during transient Twist1-activation, E-SCCs can temporarily acquire a hybrid

phenotype, whereas M-SCCs remain in a stable mesenchymal state. This was further

corroborated by focusing on the loci of epithelial genes such as *EPCAM* and *CDH1*:

here, we observed that before Twist1-activation, both loci were similarly accessible.

However, during Twist1-activation, access to both loci was lost in M-SCCs, but not E-

SCCs (Figure 4b). RNA-sequencing revealed comparable dynamics with respect to

changes in transcript abundance (Supplementary Figure 4a), indicating that changes

observed at the transcript level can be traced back to chromatin accessibility and *vice*

*versa*.

Together, these results reveal that global changes in chromatin accessibility developed

during Twist1-activation are less dramatic and reversible in E-SCCs compared to M-

SCCs. These changes in chromatin accessibility correlate with differences in gene

expression and, as a corollary, the functional differences we observed between E-

SCCs and M-SCCs after transient Twist1-activation.

In order to pinpoint transcription factors (TFs) involved in these transitions, we

performed peak clustering of the ATAC-sequencing data. By doing so, we confirmed

close clustering of E-SCCs and M-SCCs before Twist1-activation. After Twist1-

activation, E-SCCs continued to cluster closer with the untreated condition, whereas

treated M-SCCs formed a distinct cluster (Figure 4c). Detailed analysis revealed three

different types of changes in chromatin accessibility during Twist1-activation: loci

previously not accessible that become accessible in both M-SCCs and E-SCCs (c3,

c4), loci becoming accessible only in M-SCCs, but not E-SCCs (c6, c7, c11, c9), and

loci losing accessibility in M-SCCs, but not E-SCCs (c2, c12, c5, c8, c1, c10, Figure

4c). Of note, the latter clusters contained the loci of epithelial genes, such as *CDH1*

and *EPCAM*. Homer *de novo* TF motif analysis of combined clusters revealed specific

motifs representing each cluster type (Figure 4d, Supplementary Figure 4b).

Importantly, the results included several motifs that have been previously shown to be

enriched in cells undergoing EMT in mice *in vivo*[11]. For example, this included loss of

accessibility in M-SCCs for a p53/p73/p63 binding motif, a gain in accessibility for basic

leucine zipper TF motifs in M-SCCs, and TEAD and AP-1 motifs as well as a TCF4

binding motif becoming newly accessible upon Twist1-activation in both M-SCCs and

E-SCCs (Figure 4d, Supplementary Figure 4b). Interestingly, TCF4 binds to an E-box

motif and was shown to interact with TWIST1[18,19]. Additionally, the same cluster type

revealed enrichment of a consensus Twist binding motif (Supplementary Figure 4b).

The observation that Twist motifs enrich in loci commonly accessible in both E-SCCs

and M-SCCs is in line with our observations that Twist1 appeared to be similarly active

in E-SCCs and M-SCCs with respect to specific target loci that are actively transcribed

(e.g. *WNT5A*).

Together, these data suggest that Twist1 is indeed active in both E-SCCs and M-SCCs

and that the different cellular responses to Twist1 activation are not merely the result

of Twist1 not functioning as a transcription factor in these cells. As a corollary, our

results indicate that the events leading to EMT-resistance or -susceptibility may occur

downstream of Twist1-binding to chromatin.

To further investigate this hypothesis, we focused on regions where chromatin

accessibility was lost in M-SCCs but not E-SCCs during Twist1-activation (c2, c12, c5,

c8, c1, c10, Figure 4c). Here, *de novo* binding motif analysis revealed an enrichment

for ZEB1 and ZEB2 motifs (Figure 4d). ZEB1 is known as a key EMT-transcription

factor downstream of Twist1 that represses the expression of epithelial genes, such as

E-cadherin (*CDH1*)[20] and *EPCAM*[21]. Additionally, we observed enrichment of GRHL1

and GRHL2 motifs in clusters that become accessible exclusively in M-SCCs during

Twist1-activation (Figure 4d). Importantly, grainy-head like factors (GRHL1-3) are

known to prime epithelial enhancers for transcriptional activation[22]. Moreover, *GRHL2*

expression is controlled by ZEB1 in a negative feedback-loop[23].

Together, these results suggest ZEB and/or GRHL as mediators determining whether

breast cancer cells undergo irreversible EMT or resist trans-differentiation.

**ZEB1 is necessary, but not sufficient to overcome EMT-resistance in breast**

**cancer cells**

Next, we looked more closely at the RNA-sequencing results that were obtained

concomitantly with ATAC-sequencing data at different time points of Twist1-activation.

Expression of *GRHL1* and *GRHL2* was downregulated during EMT in M-SCCs but not

E-SCCs. Specifically, high expression levels of *GRHL2* were maintained in E-SCCs,

but expression was strongly downregulated in M-SCCs (Figure 5a). In support of our

data, GRHL2 was recently shown to be a pioneering transcription factor that maintains

the chromatin of epithelial genes in an open state[22,24]. Moreover, when analysing

expression levels of *ZEB1* and *ZEB2*, we also observed a clear difference between E-

SCCs and M-SCCs that was particularly pronounced for *ZEB1* (Figure 5b, d). With

respect to kinetics, *ZEB1* was upregulated in E-SCCs after 14 days of Twist1-

activation, whereas in M-SCCs an upregulation could already be observed after 7 days

(Figure 5b, d). Additionally, after 14 days of Twist1-activation, *ZEB1* expression levels

were 2.9-fold higher in M-SCCs compared to E-SCCs (Figure 5c). These data were in

line with the observation that *ZEB1* expression was also higher in sorted EPCAM[neg]

HMLE-Twist1-ER cells compared to sorted EPCAM[pos] cells (Figure 2c). Next, we

determined the expression of ZEB1 downstream targets such as *OVOL2* and *MIR200*-

family members, which function in negative feedback loops with ZEB1[20,25,26]. Indeed,

transcription of both *OVOL2* and *MIR200*-family members was downregulated in M-

SCCs, but not E-SCCs during transient Twist1-activation, suggesting that negative

feedback loops restricting ZEB1-activity remained active in E-SCCs, but were disabled

in M-SCCs (Figure 5c, Supplementary Figure 5a). In line with these data, epithelial

ZEB1-target genes such as *OVOL2* and *CDH1* remained repressed after transient

Twist1-activation in M-SCCs, whereas the expression of mesenchymal genes such as

*VIM* and *FN1* remained high (Supplementary Figure 3f). Strikingly, prolonged Twist1-

activation could not break these feedback loops and overcome EMT-resistance in E-

SCCs (Supplementary Figure 3h).

Together these results suggest that differences in ZEB1-activation and -levels are

implicated in the contrasting responses to EMT-induction in E-SCCs and M-SCCs. In

detail, Twist1-activation resulted in higher *ZEB1* expression in EMT-susceptible cells,

which in turn lead to the transcriptional repression of epithelial genes such as *EPCAM*,

*CDH1*, *OVOL2*, and *MIR200*-family members. By contrast, E-SCCs resisted

repression of epithelial genes, which also served to restrict ZEB1-activation since

many of these genes are known to function in a direct negative feedback loop.

To test, whether *ZEB1* was necessary for the irreversible EMT observed in M-SCCs,

we generated M-SCC *ZEB1* knockout (KO) single-cell clones (Figure 5e, f,

Supplementary Figure 5b, c). Upon Twist1-activation through TAM treatment, *ZEB1*

KO clones indeed failed to undergo EMT in contrast to control cells, as evidenced by

the maintenance of downstream epithelial markers like *CDH1* and *OVOL2* (Figure 5e,

f, Supplementary Figure 5d).

These results demonstrate that activation of *ZEB1* is required for EMT and the

resultant trans-differentiation from an epithelial to a mesenchymal phenotype

alongside with the repression of epithelial markers. Therefore, inefficient induction of

*ZEB1* expression in E-SCCs might explain the observed resistance to EMT-induction.

Next, we wished to determine whether *ZEB1* overexpression (OE) was sufficient to

overcome EMT-resistance in E-SCCs. Therefore, we generated E-SCC single-cell

clones conditionally overexpressing *ZEB1*. Treatment with doxycycline and

concomitant induction of *ZEB1* expression for 7 days led to EMT in *ZEB1* OE clones

as indicated by morphology and downregulation of its targets *OVOL2*, *CDH1*, and

EPCAM in contrast to a control clone, which remained resistant to EMT (Figure 5g, h,

Supplementary Figure 5e, f). However, upon doxycycline withdrawal for 14 days, *ZEB1*

OE clones reverted to an epithelial phenotype and regained expression of epithelial

markers such as *CDH1* and *OVOL2* (Figure 5g, Supplementary Figure 5e).

Thus, even though we observed a transient downregulation of epithelial traits in E-

SCCs, *ZEB1* overexpression was not sufficient for trans-differentiation of EMT-

resistant cells into an irreversible mesenchymal state.

Together, these data suggest that a globally acting epigenetic mechanism exists in

EMT-resistant cells that prevents ZEB1 from repressing epithelial genes independently

of *ZEB1* expression levels. The resultant epigenetic stabilization of cellular identity

promotes plasticity by enabling cells to maintain an epithelial identity while co-

expressing mesenchymal markers. Moreover, it appears that initiation of proliferation

in different settings that mimic metastatic outgrowth is directly connected to epithelial

identity, whereas this capacity is suppressed by loss of epithelial traits through

mesenchymal reprogramming.

**Discussion**

In this study, we report that heterogeneity exists with respect to EPCAM levels which

range from low to high in patient-derived metastatic breast cancer samples obtained

from liquid biopsies. We observed that the ability to initiate tumours upon

xenotransplantation was enriched in EPCAM$^{high}$ compared to EPCAM$^{low}$ patient-

derived metastatic breast cancer cells. The transcriptome of EPCAM$^{low}$ breast cancer

cells showed a correlation with EMT related genes, suggesting that the maintenance

of an epithelial identity in EPCAM[high] breast cancer cells promotes metastatic

outgrowth of these cells (Figure 6a). Indeed, using an experimental system based on

human breast cancer cells, we observed that some cells actively resist EMT-induction.

This resistance corresponded to a global epigenetic mechanism serving to restrict the

activity of the transcriptional repressor ZEB1 and preventing the loss of chromatin

accessibility at loci containing epithelial genes including E-cadherin (*CDH1*, Figure 6b).

Indeed, expression of E-cadherin has recently been shown to directly promote

metastatic outgrowth[27].

Importantly, our data not only supports the concept that epithelial features promote

metastatic outgrowth, but reveal that these features are actively maintained. On the

flip side, we show that breast cancer cells that are susceptible to repression of epithelial

features undergo irreversible EMT, a process characterized by high levels of ZEB1

and concomitantly, reduced chromatin accessibility over widespread genomic regions

that include genes determining epithelial identity and, at the same time restricting

ZEB1-activity via negative feedback loops (Figure 6b). When such cells with

compromised epithelial identity are either derived from breast cancer metastatic

biopsies or cell lines, we consistently observe a reduced ability to initiate proliferation

in different settings that mimic metastatic outgrowth.

In conclusion, our study combines evidence from multiple models of the human

disease, consistently indicating that the preservation of epithelial gene expression

during EMT-induction is a fundamental mechanism for metastatic propagation of

breast cancers, whereas EMT-susceptibility results in a permanent mesenchymal state

coupled to growth arrest.

Our findings are supported by a recent study showing that spontaneous epithelial-

mesenchymal trans-differentiation in a subset of HMLE-Ras cells residing in a

mesenchymal state reduces tumorigenicity[28]. This study, together with a

comprehensive analysis of EMT-transition states in murine models of mammary and

cutaneous squamous carcinoma also suggests that a hybrid epithelial-mesenchymal

phenotype is required for tumour progression[11,28]. However, neither the work by

Padmanaban et al.[27] nor our study is necessarily in conflict with these data. On the

contrary, our work suggests a possible mechanistic basis for a hybrid epithelial-

mesenchymal state, defined by active maintenance of epithelial gene expression and

concomitant upregulation of mesenchymal markers. Moreover, our data suggest that

transient entrance into such a hybrid state might enable metastatic progression, which

is in line with several other studies[4,6,7].

However, when focusing on the last step of metastasis, i.e. the outgrowth of

disseminated cells into actively growing macrometastases, our data support a model

suggesting that epithelial features, not necessarily a hybrid phenotype, drive metastatic

outgrowth, as epithelial identity appears to be tied to cellular programs that govern

proliferation. This is particularly important in light of the fact that it is this last step of

metastatic progression that limits patient survival. In line with that, others hypothesized

that repression of EMT will not affect outgrowth of metastases, as epithelial tumour

cells with high proliferative capacity outcompete cells that have undergone an EMT[9].

One obvious question arising from our data is why EPCAM$^{low}$ breast cancer cells are

present in metastatic effusion biopsies in the first place, if they are not capable of

propagating the disease. In this context, it has been previously observed that in primary

tumours, mesenchymal cancer cells might cooperate with epithelial cancer cells to

enable the systemic dissemination of both cell populations[29]. Therefore, one could

hypothesize that EPCAM$^{low}$ cells might promote the systemic dissemination and

subsequent growth of EPCAM$^{high}$ breast cancer cells.

Additionally, we need to keep in mind that patients within this study were treated with

chemotherapy. As it was shown that mesenchymal, EMT cells resist chemotherapy

whereas epithelial, non-EMT tumour cells are sensitive to chemotherapy[9], the

presence of mesenchymal breast cancer cells at the metastatic site could also be

explained by their resistance to the therapy. These cells could potentially remain as

dormant population and thereby counterbalance their proliferative disadvantage.

Because of that, we would like to add an important caveat to these considerations: our

data suggest that EMT might create heterogeneity with respect to proliferative

capacity. Although our data suggest that complete EMT, and hence, the resultant

growth suppression might be permanent, it will nonetheless be important to take the

observed cellular heterogeneity into account when developing new treatment

strategies for metastatic breast cancer.

Most importantly, our results strongly support the emerging evidence linking epithelial

identity to metastatic outgrowth. Additionally, our data provide evidence for a globally

acting, epigenetic mechanism serving to render cells resistant against mesenchymal

reprogramming and thereby serve to actively maintain and anchor epithelial features

in the metastases.

## Methods

### Clinical specimens

Pleural and ascitic effusions were obtained from post-menopausal stage IV patients admitted to the Division of Gynecologic Oncology, National Centre for Tumor Diseases and the Women's Clinic of the Mannheim University Hospital. The study was approved by the ethical committee of the University of Heidelberg (case number S295/2009) and University of Mannheim (2010-024238-46). All samples were transferred to the laboratory no later than 1 hour after thoracentesis or laparocentesis and processed to extract cancer cells as previously described[30]. Patient's diagnostic information and clinical disease characteristics are described in detail in Table 1.

### Xenograft assay for patient-derived metastatic breast cancer cells

Female NOD.Cg-Prkdc[scid] Il2rg[tm1Wjl]/SzJ (NSG) mice were transplanted at 6 to 8 weeks of age. A suspension of FACS-purified cells in sterile PBS was combined to growth factor-reduced Matrigel (BD) in a 1:1 ratio and then injected in the 4th or the 5th mammary fat pad. All mice received subcutaneous implantation of beta-estradiol as solid pellets (Innovative Research of America) with modalities that were already described[2]. Engraftment of cancer cells and growth *in vivo* was monitored by regular palpation of the implantation site. Animal care and procedures followed the German legal regulations and were previously approved by the governmental review board of the state of Baden-Württemberg, operated by the local Animal Welfare Office (Regierungspräsidium Karlsruhe) under the license number G240-11.

### Immunohistochemical analysis of tumour xenografts

Tumour lesions generated in mice and metastatic lungs were freshly collected upon necropsy and embedded in paraffin for downstream histological inspections. Sections

526    were obtained, processed and stained as described[31]. For immunohistochemistry, the

527    following antibodies were used: anti-human Ki-67 (DAKO, clone Ki-67), anti-oestrogen

528    receptor alpha (Thermo Fisher Scientific, clone SP1), anti-progesterone receptor

529    (DAKO, clone PgR636), anti-HER2 (DAKO, polyclonal serum A0485), anti-CDH1

530    (DAKO, clone M3612), and anti-Vimentin (DAKO, clone M0725).

**Targeted next-generation sequencing of somatic mutations**

Genomic DNA was extracted from FACS-purified cancer cells and matched germline

controls (CD45[+] white blood cells purified by FACS sorting from the same metastatic

effusion). The concentration of nucleic acids was assessed by fluorimetric

measurement through QuBit 3.0 and the amount of amplifiable DNA (sequencing-

grade quality) was determined using a quantitative assay (TaqMan RNAseP detection

assay) on a StepOnePlus instrument (both: Thermo Fisher Scientific). Samples were

amplified using a custom-designed gene panel for breast cancer[32] covering the most

recurrent mutations[33]. Library preparation and sequencing were performed using the

multiplex PCR-based Ion Torrent AmpliSeq[TM] technology (Thermo Fisher Scientific)

and Ion S5XL technology, with procedures already described[34].

**RNA-sequencing of patient-derived metastatic breast cancer cells**

RNA extraction was carried out from 40,000 FACS-sorted cells using ARCTURUS

PicoPure RNA isolation kit (Thermo Scientific, Cat. KIT0204). RNA quality was

assessed using BioAnalyzer 2100 (Agilent). Libraries were prepared from 1 µg of total

RNA using a TruSeq Stranded Total RNA Kit with Ribo-Zero Human/Mouse/Rat

(Illumina) according to the manufacturer's instructions. The pooled RNA libraries were

sequenced on an Illumina HiSeq2000 to obtain 100-bp paired-end reads. RNA-

sequencing reads were aligned to the human reference genome hg19 and quantified

using the stranded option of STAR version 2.4.2a30. Furthermore, the DESeq2

package was used to obtain normalized expression values as described[35]. The GSEA

desktop application was utilized for enrichment analysis of the indicated gene sets.

Gene set databases downloaded from the Broad Institute website or custom-derived

signatures were analyzed using default settings with 2000 permutations.

**Cell lines**

HMLE-Twist1-ER, generated as previously described[36,37], were kindly gifted by Robert

A. Weinberg (Whitehead Institute). HMLE-Twist1-ER (CD24[high]) cells were cultured in

Mammary Epithelial Cell Basal Medium containing 0.004 ml/ml BPE, 10 ng/ml EGF, 5

μg/ml Insulin and 0.5 μg/ml hydrocortisone (PromoCell) supplemented with Pen/Strep

(Invitrogen) and Blasticidin S HCl (Thermo Fisher Scientific) at a final concentration of

10 μg/ml. To obtain HMLE-Twist1-ER CD24[high] single-cell clones (SCCs), cell were

seeded to a 96-well plate (0.3 cells/well). Wells were checked by eye for single-cells.

Wells with a single cell were further passaged and expanded. For Twist1-activation,

cells were treated with (Z)-4-Hydroxytamoxifen (TAM, Sigma-Aldrich) at a final

concentration of 20 nM for the indicated number of days. HMLE-Twist1-ER *ZEB1*

overexpression cells were isolated with cloning cylinders (Sigma) and propagated in

medium further supplemented with Puromycin Dihydrochloride (Thermo Fisher

Scientific) at a final concentration of 1 μg/ml. For tracing experiments, E-SCC cells

were lentivirally transduced with pRRL-cPPT-CMV-GFP-W and fluorescence-activated

cell sorting (FACS) was used to select GFP-positive cells. SUM-149PT cells were

cultivated in F12 containing 5% FBS. SUM-149PT cells were authenticated using

Multiplex Cell Authentication (Multiplexion, Heidelberg, Germany) as described[38]. The

SNP profiles matched known profiles or were unique. The purity of SUM-149PT cells

was validated using the Multiplex cell Contamination Test (Multiplexion, Heidelberg)

as described[39]. The medium of all cells was changed every two to three days.

**RNA isolation and qRT-PCR**

RNA was isolated using the miRNeasy Mini Kit (Qiagen) or the mRNeasy Mini Kit

(Qiagen). Reverse transcription of mRNA was performed with the EasyScriptPlus Kit.

For qRT-PCR, the Power SYBR Green-PCR Master Mix (Applied Biosystems) was

used. *RPL32* was used as a control for normalization. Reverse transcription of miRNAs

was performed with the miScript RT Kit (Qiagen) and qRT-PCR was performed with

the Power SYBR Green-PCR Master Mix (Applied Biosystems) and miScript Primer

Assays        (control/normalization:HS-RNU6-2_11,        *MIR141*:HS-miR-141_1,

*MIR200A*:HS-miR-200a_1,    *MIR200B*:HS-miR-200b_3,    *MIR200C*:HSmiR200c_1,

Qiagen). Samples ran on a QuantStudio 12K Flex qPCR system (Life Technologies).

Data were analysed with the ΔCt method and relative expression levels are displayed

as 1000 x $2^{-\Delta Ct}$. Following primer pairs were used:

*CDH1*:    forward    5'-TGCCCAGAAAATGAAAAAGG-3'    and    reverse    5'-

GTGTATGTGGCAATGCGTTC-3',        *EPCAM*:        forward        5'-

ATAACCTGCTCTGAGCGAGTG-3'        and        reverse        5'-

TGCAGTCCGCAAACTTTTACTA-3',        *FN1*:        forward        5'-

CAGTGGGAGACCTCGAGAAG-3' and reverse 5'- TCCCTCGGAACATCAGAAAC-3',

*Twist1*:    forward    5'-GGACAAGCTGAGCAAGATTCA-3'    and    reverse    5'-

CGGAGAAGGCGTAGCTGAG-3',        *OVOL2*:        forward        5'-

ACAGGCATTCGTCCCTACAAA-3' and reverse 5'- CGCTGCTTATAGGCATACTGC-

3', *RPL32*: forward 5'- CAGGGTTCGTAGAAGATTCAAGGG-3' and reverse 5-

'CTTGGAGGAAACATTGTGAGCGATC-3',        *VIM*:        forward        5'-

GAGAACTTTGCCGTTGAAGC-3' and reverse 5'- GCTTCCTGTAGGTGGCAATC-3',

*WNT5A*:    forward    5'- ATGGCTGGAAGTGCAATGTCT-3'    and    reverse    5'-

ATACCTAGCGACCACCAAGAA-3',      *ZEB1*:      forward      5'-

GCACAAGAAGAGCCACAAGTAG-3'      and      reverse      5'-

GCAAGACAAGTTCAAGGGTTC-3'.

**Immunofluorescence**

Cells were cultured on coverslips coated with poly-D-lysine (Sigma-Aldrich), in floating

3D-collagen gels, or on murine lung slices. Cells were fixed with 4% paraformaldehyde

for 10 to 15 minutes and permeabilized with 0.2% Triton X-100. After blocking with

10% normal goat serum in 0.1% BSA (Sigma-Aldrich), coverslips, floating 3D-collagen

gels, and murine lung slices were incubated overnight with primary antibodies in 0.1%

BSA as follows: anti-CDH1 (clone EP700Y, Biozol, 1:250) or anti-CDH1-Alexa-488

(clone 24E10, New England Biolabs, 1:50), anti-Twist1 (clone Twist2C1a, Santa Cruz,

1:500), anti-VIM (clone V9, Abnova, 1:100). Secondary antibodies were coupled to

Alexa-488, -594, or -546 (Life Technologies, 1:250). Cell nuclei were visualized with

40,6-diamidino-2-phenylindole-dihydrochloride (DAPI, Sigma-Aldrich). Slides were

mounted with Aqua-Poly/Mount reagent (Polysciences). Corrected total cell

fluorescence (CTCF) of DAPI was calculated using the following formula: CTCF =

integrated density – (area of selected cells x mean fluorescence of background

readings). Pictures were taken with a Zeiss Axio Imager.M2 using Zen software or with

an Olympus Confocal using FV10-ASW software.

**Fluorescence-Activated Cell Sorting (FACS) and Flow Cytometry**

Metastatic breast cancer cells were stained in a 1% BSA solution containing 2 mM

Ethylenediaminetetraacetic acid (EDTA) under the presence of FcR blocking reagent

(Miltenyi). HMLE-Twist1-ER cell suspensions were stained in Mammary Epithelial Cell

Basal Medium (PromoCell). Propidium Iodide (PI), or 7-AAD (BD Biosciences, 1:125

were used to exclude dead cells, depending on the staining panel. Cells were sorted

and analysed on a FACSAria Illu, an LSR Fortessa, and a FACS Aria Fusion (all BD)

and further analysed with FlowJo. Antibodies: anti-EPCAM-FITC (VU-1D9 clone,

Biozol, 1:25, or REA764 clone, Miltenyi, 1:11), anti-CD45-VioBlue (REA747 clone,

Miltenyi, 1:11).

**Immunoblotting**

Adherent cells were harvested by scraping on ice after 1 wash with PBS. Collected cell

pellets were resuspended in RIPA buffer (50 mM Tris pH 8.0, 150 mM NaCl, 1% NP-

40, 0.5% sodium deoxycholate, 0.1% sodium dodecyl sulphate and 5 mM EDTA pH

8.0) containing a protease and a phosphatase inhibitor (Sigma-Aldrich). Protein

concentration was measured using the DC Protein Assay (Bio-Rad Laboratories).

10 µg protein were separated on 10% SDS-PAGE gels and transferred on PVDF

membranes by wet-blot transfer. Immunoblotting was performed using the following

primary antibodies: CDH1 (clone EP700Y, Biozol, 1:25000), ZEB1 (clone H-102, Santa

Cruz Biotechnology, 1:200) or ZEB1 (Sigma, 1:5000), ACTB (clone AC-15, Abcam,

1:6000) was used as a loading control. Secondary antibodies (anti-mouse and anti-

rabbit) were conjugated to horseradish peroxidase (Jackson Immuno Research,

1:12500). Chemiluminescence reaction was activated with ECL Prime Western

Blotting Detection Reagent (GE Healthcare) and detection was carried out on a

ChemiDoc Imaging System using Image Lab software (Bio-Rad Laboratories).

**Floating 3D-collagen gels**

Floating 3D-collagen gels with a final concentration of 1.3 mg/ml collagen I (collagen

type I from rat tail, Corning) were prepared as previously described[7,15]. Briefly, 300

HMLE-Twist1-ER cells were embedded per collagen gel. Cells were further cultivated

in Mammary Epithelial Cell Basal Medium containing 0.004 ml/ml BPE, 10 ng/ml EGF,

5 µg/ml Insulin and 0.5 µg/ml hydrocortisone (PromoCell) supplemented with

Pen/Strep (Invitrogen) and Blasticidin S HCl (Thermo Fisher Scientific) at a final

concentration of 10 µg/ml. Medium was changed every two to three days. After 10 days

in culture, floating 3D-collagen gels were fixed with 4% paraformaldehyde for 15

minutes. Immunofluorescent staining was performed as described above. To visualize

colonies, floating 3D-collagen gels were incubated in a carmine alum staining solution

(2 g/l carmine (Sigma-Aldrich), 5 g/l aluminium potassium sulphate (Sigma-Aldrich),

two crystals of thymol) at 4°C overnight. Pictures of gels were taken with a Leica

DFC450 C Stereomicroscope with a Plan 0.8x LWD objective and the Leica Application

Suite V4 software. Pictures were stitched with Panorama Stitcher. Carmine positive

colonies were counted using ImageJ.

**Colonizing assay on murine precision cut lung slices (PCLS)**

PCLS were generated as previously described[17]. Briefly, C57BL6/N mice of 8-12

weeks were intubated and after the dissection of the diaphragm, lungs were flushed

through the heart with sterile sodium chloride solution. Using a syringe pump, lungs

were filled with low gelling temperature agarose (2% by weight, A9414; Sigma; kept at

40°C) in sterile cultivation medium (DMEM/Ham's F12 (Gibco) supplemented with 100

U/ml penicillin, 100 µg/ml streptomycin and 2.5 µg/ml amphotericin B (Sigma)).

Separated lobes were cut with a vibratome (Hyrax V55; Zeiss, Jena, Germany) to a

thickness of 300 µm using a speed of 10–12 µm/s, a frequency of 80 Hz and an

amplitude of 1 mm. The PCLS were cultivated in sterile cultivation medium containing

0.1%FCS. HMLE-Twist1-ER cells were lentivirally transduced with pRRL-cPPT-CMV-

GFP-W. Fluorescence-activated cell sorting (FACS) was used to select GFP positive

cells. $2x10^5$ cells were plated per lung slice and cultured in Mammary Epithelial Cell

Basal Medium containing 0.004 ml/ml BPE, 10 ng/ml EGF, 5 µg/ml Insulin and 0.5

µg/ml hydrocortisone (PromoCell) supplemented with Pen/Strep (Invitrogen) for 5

days, changing the medium every day.

**CRISPR/Cas9 of *ZEB1***

We made use of the transient expression of Cas9 and four guide RNAs (gRNAs)

targeting two regions of exons that are found in all 9 transcript variants of *ZEB1*. Guide

RNAs (gRNAs) were designed with Benchling and the MIT CRISPR design tool

(http://crispr.mit.edu/). For creating a vector harbouring all four *ZEB1* gRNAs, gRNAs

were cloned by applying the string assembly gRNA cloning (STAgR) protocol[40]. For

Gibson Assembly, a Gibson Assembly Master Mix (New England Biolabs) was used

according to manufacturer's instructions. For transformation into XL10-Gold

ultracompetent cells (Agilent Technologies) the Gibson Assembly was diluted 1:4.

Purified plasmids were transfected into HMLE-Twist1-ER cells. In detail, 24h before

transfection, $1.5 - 2x10^5$ cells were seeded in 6-well dishes. Cells were then

transfected with a Cas9-GFP-expressing plasmid (pSpCas9(BB)-2A-GFP) and the

gRNA containing STAgR-Neo plasmid using the TransIT-X2 transfection reagent

(Mirus Bio LLC) according to manufacturer's instructions. As a control, cells were

transfected with the Cas9-GFP plasmid and a plasmid harbouring the guide RNA

scaffold and termination sequence. After 2.5 days cells were sorted for GFP

expression on a FACSAriaIIIu (BD Biosciences). To obtain single-cell clones, sorted

cells were seeded into 96-well plates (1 cell/well). Wells were checked by eye for

single-cells. Wells with a single-cell were further passaged and expanded. To screen

for cells with successful deletion, cells were lysed in DNAzol reagent (Thermo Fisher

Scientific) and genomic DNA (gDNA) of *ZEB1* knockout single-cell clones was isolated

with the DNAzol Reagent (Invitrogen) according to the manufacturer's instructions for

adherent cells (monolayer). PCRs of the specific loci were performed to screen for

DNA modifications and *ZEB1* knockout was validated by immunoblotting.

Following gRNAs were used: *ZEB1* NM128128 Exon 5: 5'-

GCCTCTATCACAATATGGAC-3' and 5'-ACAACTCAGCCCTCAATGGA-3', *ZEB1*

NM128128 Exon 7: 5'-AGTTCTGTCACAAGCATGCA-3' and 5'-

TTGCCGTATCTGTGGTCGTG-3'.

Following PCR primers were used: *ZEB1* NM128128 Exon 5: forward 5'-

GCATAGGGACTCAGTGGAAACT-3' and reverse 5'-

AGGAGGCAACTCCCTTTACTAC-3', *ZEB1* NM128128 Exon 7: forward 5'-

GGTCGGTGAAATGGGATAAGAAAAA-3' and reverse 5'-

ACCACCAGTGAAAACCCCATT-3'.

**Overexpression of *ZEB1***

To overexpress *ZEB1*, we made use of the All-in-One piggyBac system designed for

inducible transgene expression[41]. In detail, the *ZEB1* (NM1174096) cDNA was cloned

into the pENTR1A-no ccdb vector (#17398, Addgene) to generate the pENTR1A-*ZEB1*

vector. Next, the Gateway LR Clonase II Enzyme mix (Invitrogen) was used to transfer

the *ZEB1* cDNA from the pENTR1A-*ZEB1* vector into the PB-TAC-ERP2 (#80478,

Addgene) to generate the PB-*ZEB1* vector. Cells were transfected with the linearized

PB-ZEB1 plasmid and the pCMV-hyPBase vector (kind gift of Roland Rad, TU Munich)

in a ratio of 4:1, respectively, using the TransIT-X2 transfection reagent (Mirus Bio

LLC) according to manufacturer's instructions. For selection, cells were cultured in

medium containing 1 µg/ml Puromycin Dihydrochloride (Thermo Fisher Scientific) 48

hours after transfection. Next, single-cell colonies were isolated using cloning cylinders

(Sigma) and expanded for further analysis.

**RNA-sequencing of HMLE-Twist1-ER cells**

Library preparation was performed using the TruSeq Stranded Total RNA Library Prep

Kit with Ribo-Zero (Illumina). Briefly, total RNA was isolated using the miRNeasy Mini

Kit (Qiagen) and RNA integrity number (RIN) was determined with the Agilent 2100

BioAnalyzer (RNA 6000 Nano Kit, Agilent). For library preparation, 1 µg of RNA was

depleted for cytoplasmatic rRNAs, fragmented, and reverse transcribed with the Elute,

Prime, Fragment Mix. A-tailing, adaptor ligation, and library enrichment were

performed as described in the High Throughput protocol of the TruSeq RNA Sample

Prep Guide (Illumina). RNA libraries were assessed for quality and quantity with the

Agilent 2100 BioAnalyzer and the Quant-iT PicoGreen dsDNA Assay Kit (Life

Technologies). RNA libraries were sequenced as 150 bp paired-end runs on an

Illumina HiSeq4000 platform. The STAR aligner (v 2.4.2a) with modified parameter

settings (--twopassMode=Basic) is used for split-read alignment against the human

genome assembly hg19 (GRCh37) and UCSC knownGene annotation[42]. To quantify

the number of reads mapping to annotated genes we use HTseq-count (v0.6.0)[43].

FPKM (Fragments Per Kilobase of transcript per Million fragments mapped) values are

calculated using custom scripts. PCA plots were created with the R package ggplot2[44].

**Omni-ATAC-sequencing of HMLE-Twist1-ER cells**

ATAC-sequencing was done as described previously[45]. Briefly, 50,000 cells (viability

> 90%) were harvested by trypsinisation and pelleted (500 rcf, 4°C, 5 min). If the cell

viability of cell suspensions used for ATAC-seq was below 90%, dead cells were

removed with the Dead Cell Removal Kit (Milteny Biotech) according to the

manufacturer's instructions and then harvested as the others. Cell pellets were

resuspended in ATAC Resuspension Buffer (10mM Tris-HCl pH 7.4, 10 mM NaCl, 3

mM $MgCl_2$) supplemented with 0.1% NP40, 0.1% Tween-20 and 0.01% digitonin for

lysis, incubated on ice for 3 min and then 1 ml of ATAC Resuspension Buffer

supplemented only with 0.1% Tween-20 was added and spun (500 rcf, 4°C, 10 min) to

collect nuclei. Nuclei were subsequently re-suspended in 50 µl of Transposase

Reaction containing 25 µl 2x Tagmentation Buffer (20 mM Tris-HCl pH 7.6, 10 mM

$MgCl_2$, 20% dimethyl Formamide, $H_2O$), 2.5 µl Tn5 Transposase (Illumina Nextera

DNA Library Preparation Kit), 5.25 µl $H_2O$, 16.5 µl PBS, 0.25 µl of 2% digitonin

(Promega) and 0.5 µl of 10% Tween-20. Reactions were incubated for 30 min at 37°C

in a thermomixer shaking at 900 rpm and DNA was then purified using Qiagen PCR

clean-up MinElute kit (Qiagen). Transposed DNA was subsequently amplified in 50 µl

reactions with custom primers as described previously[46]. After 4 cycles amplification,

libraries were then monitored with qPCR: 5 µl PCR sample in a 15 µl reaction with the

same primers. qPCR output was monitored for the ΔRN; 0.25 ΔRN cycle number was

used to estimate the number of additional cycles of the PCR reaction needed for the

remaining PCR samples. Amplified libraries were purified with the Qiagen PCR clean-

up MinElute kit and size selected for fragments less than 600 bp using the Agencourt

AMPure XP beads (Beckman Coulter). Libraries were quality controlled by Qubit and

Agilent DNA Bioanalyzer analysis. Deep sequencing was performed on a HiSeq 1500

system according to the standard Illumina protocol for 50bp single-end reads. ATAC-

seq reads were aligned to the human genome hg38 using Bowtie[47] with options "-q -n

2 --best --chunkmbs 2000 -p 32 -S". ATAC peaks over Input background were

identified using Homer[48] findPeaks.pl with option "-style factor". Peaks from all samples

were merged using mergePeaks resulting in a unified Peak set. The peak list was

filtered for promoter-associated peaks (distance to TSS < 1000bp) with bedtools. Raw

ATAC coverage counts were then calculated with annotatePeaks. PCA analysis was

performed on ATAC peaks coverage data with the R function prcomp. The list of

differential ATAC peaks was determined with the DESeq2 results function for all

possible comparisons between the samples and filtered for adjusted p-value < 0.05

and log2 fold change > 3. The cluster heatmap was generated based on normalized

coverage data of all differential ATAC peaks using the R pheatmap package in

k_means mode with 12 clusters. Cluster-specific peaks were further analysed for

transcription factor motifs using homer findMotifsGenome function in *de novo* mode.

**Data presentation and statistical analyses**

Data are presented as mean±SEM of n=x experiments, with x indicating the number

of independent experiments performed, unless otherwise stated. Statistical analysis

was performed using GraphPad Prism software. In general, a p-value below 0.05 was

considered significant.

**Data availability**

The datasets that support the findings of this study have been deposited in the Gene

Expression Omnibus (GEO) under the accession code GSE138329.

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**Author Contributions**

M.S., L.E., M.R.S., A.T., and C.H.S. conceived the project and designed experiments. L.E., M.S., H.D.M., C.K., J.M.B., M.R., V.V., S.H., and R.W. performed research and analysed the data. M.F., E.E., R.W., E.D., and S.H. analysed the data, provided crucial datasets and contributed to data interpretation. H.D.M. and G.S. performed ATAC-sequencing and data analysis. E.G. and T-M.S. performed RNA-sequencing. T.S. performed RNA-sequencing data analysis. M.L. and M.K. provided lung slices and the according assay. A.S., M.Sü., and S.S. provided patient samples, supervised and managed clinical data. N.P. and W.W. performed next-generation sequencing of mutational hotspots and supervised histopathological analysis of patient-derived xenografts. L.E. and M.S. assembled the figures. L.E., M.S., A.T. and C.H.S. wrote the manuscript. All authors read and approved the manuscript.

**Competing interests**

The authors declare no competing interests.

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**Figure legends**

**Figure 1: High EPCAM expression correlates with disease-propagating potential in metastatic breast cancer cells**

**a,** FACS plot of CD45 and EPCAM of cells from liquid biopsies. Gates used for sorting cells with different EPCAM levels are highlighted. TNBC, Triple Negative Breast Cancer; Pat., Patient. **b,** Number of mice with tumours formed by cells injected into the mammary fat pad in limiting dilution. The number of mice injected (n=x) is indicated at the centre of each chart. The table shows numbers of mice with orthotopic tumour growth (tumour) and no orthotopic tumour growth (no tumour), the calculated TIC frequency of tumour-initiating cells (TIC), and the number of mice with lung metastases. Chi-square test; p-value: $****<0.0001$. ER, oestrogen receptor. **c,** Allelic frequency values in sorted CD45$^+$, EPCAM$^{neg}$ (neg), EPCAM$^{low}$ (low), and EPCAM$^{high}$ (high) cell subsets presented as a single percentage value per mutation per patient. Mutations are ordered as bars from left to right as they are listed in the table below. n=7; Wilcoxon matched-pairs signed rank test; p-values: $*=0.039$, $**=0.0098$, ns=not significant. **d,** Hallmark gene set enrichment analysis of RNA-sequencing data of sorted EPCAM$^{high}$ and EPCAM$^{low}$ cells from an ER$^+$ and an ER$^-$ patient. Signatures with FDR<0.25 are ranked by the % of core-enrichment. Signature size indicates the overall number of genes composing the reference signature. n=4.

**Figure 2: A subset of HMLE-Twist1-ER cells maintains EPCAM expression during EMT-induction**

**a,** FACS plot of EPCAM of HMLE-Twist1-ER bulk cells untreated (-TAM) or treated with Tamoxifen for 21 days (+TAM 21d). The gates used for cell sorting are highlighted. **b,** Flow cytometric staining of EPCAM of sorted EPCAM$^{neg}$ and EPCAM$^{pos}$ cells after 10 days of Tamoxifen withdrawal (+TAM21d/-TAM10d). Gates for EPCAM were set

according to unstained control as indicated by cells only stained for 7AAD (7AAD ctrl).

**c,** log relative mRNA expression levels of *EPCAM*, *CDH1*, *OVOL2*, and *ZEB1* of sorted

EPCAM$^{neg}$ (neg) and EPCAM$^{pos}$ (pos) HMLE-Twist1-ER cells treated as described in

b. n=2; mean $\pm$ SEM; multiple t-tests (Holm-Sidak correction); p-values: $*<0.05$,

$**<0.005$. **d,** Immunofluorescence staining of DAPI (blue), E-cadherin (CDH1, green),

and Vimentin (VIM, red) of organoids formed by sorted EPCAM$^{pos}$ HMLE-Twist1-ER

cells in floating 3D-collagen gels treated as described in b. Scale bar: 50 μm. Positive

control for Vimentin not shown. **e,** Number of carmine positive-stained colonies per gel

of sorted EPCAM$^{neg}$ (neg) and EPCAM$^{pos}$ (pos) HMLE-Twist1-ER cells in floating 3D-

collagen gels treated as described in b. n=2; mean $\pm$ SEM.

**Figure 3: A subset of breast cancer single-cell clones resists complete EMT and**

**maintains the ability to proliferate in different environments**

**a,** Bright field images of a representative E-SCC (E1) and a representative M-SCC

(M2), untreated (-TAM), treated with Tamoxifen for 7 days (+TAM 7d), or treated with

Tamoxifen for 14 days (+TAM 14d). Scale bar: 100 μm. **b,** Immunofluorescence

staining of DAPI (blue), E-cadherin (CDH1, green), and Vimentin (VIM, red) of a

representative E-SCC (E1) and a representative M-SCC (M2), untreated (-TAM),

treated with Tamoxifen for 15 days (+TAM), or treated with Tamoxifen for 15 days

followed by Tamoxifen withdrawal for 9 days (+/-TAM). Scale bar: 20 μm. **c,** Flow

cytometric staining of EPCAM of a representative E-SCC (E1) and a representative M-

SCC (M2), untreated (-TAM) or treated with Tamoxifen for 14 days (+TAM 14d). Gates

for EPCAM were set according to a control as indicated by control cells only stained

for 7AAD (7AAD ctrl). **d,** log relative mRNA expression levels of *EPCAM*, *CDH1*, *VIM*,

and *FN1* in E-SCCs (E1-E3) and M-SCCs (M1-M3), treated as described in a. n=3;

mean $\pm$ SEM; multiple t-tests (Holm-Sidak correction); p-values: $*<0.05$, $**<0.005$,

∗∗∗<0.0005, ns=not significant. **e,** Immunoblot of E-cadherin (CDH1), Vimentin (VIM),

and β-actin (ACTB) of E-SCCs (E1-E3) and M-SCCs (M1-M3), treated as described in

a. **f,** Immunofluorescence staining of DAPI (blue), E-cadherin (CDH1, green), and

Vimentin (VIM, red) of E-SCCs (E1-E3) and M-SCCs (M1-M3), untreated (-TAM) or

after 14 days of Tamoxifen treatment followed by Tamoxifen withdrawal for 7 days

(+14d/-7d) growing in floating 3D-collagen gels. **g,** Number of carmine positive stained

colonies per gel of E-SCCs and M-SCCs treated as described in f. n=3; mean $\pm$ SEM;

multiple t-tests (Holm-Sidak correction); p-values: ∗∗=0.002, ns=not significant.

**h,** Immunofluorescence staining of DAPI (blue) of GFP$^{pos}$ E-SCC (E) cells (green) and

of DAPI (blue) and Vimentin (VIM, green) of M-SCC (M) cells growing on PCLS,

untreated (-TAM) or after 14 days of Tamoxifen treatment followed by 5 days of

Tamoxifen withdrawal (+/-TAM). Scale bar: 50 µm. **i,** log CTCF of DAPI intensity of

representative images of GFP$^{pos}$ E-SCC (E) cells and M-SCC (M) cells growing on

PCLS treated as described in h. n=4; mean $\pm$ SEM; multiple t-tests (Holm-Sidak

correction); p-values: ∗∗=0.0022, ∗∗∗=0.0001. CTCF, Corrected Total Cell

Fluorescence. **j,** Flow cytometric staining of EPCAM of SUM-149PT bulk cells. **k,** Flow

cytometric staining of EPCAM of unsorted bulk, sorted EPCAM$^{neg}$, and EPCAM$^{pos}$

SUM-149PT cells. **l,** Bioluminescence imaging of NSG mice after intra-femoral

injection of an equal number (10,000) of EPCAM$^{neg}$ (neg) and EPCAM$^{pos}$ (pos)

luciferase-labelled SUM-149PT cells showing fold-change to baseline signal at the

termination of the experiment (20 weeks post-injection). The image shows two

representative mice measured 10 weeks post-injection. The colour scale indicates the

radiance of photons/sec/cm$^2$/sr. n=6; mean $\pm$ SEM; unpaired student's t-test with

Welch's correction; p-value: ∗∗∗∗<0.0001. BLI, bioluminescence intensity.

**Figure 4: EMT-induction causes genome-wide chromatin and transcriptional changes**

**a,** Principal component (PC) analysis of ATAC-sequencing data of E-SCCs (△) and M-SCCs (○), untreated (-TAM), treated with Tamoxifen for 7 days (+TAM 7d), treated with Tamoxifen for 14 days (+TAM 14d), or treated with Tamoxifen for 14 days followed by Tamoxifen withdrawal for 7 days (+TAM 14d/-TAM 7d). Each data point represents one SCC at the indicated time point. **b,** Genome browser high resolution screenshot of ATAC-sequencing data of *EPCAM* and *CDH1* of one representative E-SCC (E) and one representative M-SCC (M), untreated (-TAM), treated with Tamoxifen for 7 days (+7d), or treated with Tamoxifen for 14 days (+14d). **c,** Heatmap of chromatin accessibility of 12 clusters of ATAC-sequencing peaks of E-SCCs and M-SCCs treated as described in b. **d,** Top 5 hits of Homer *de novo* transcription factor motif analysis of grouped clusters closing exclusively in M-SCCs (M) during Tamoxifen treatment (+TAM).

**Figure 5: The EMT-transcription factor ZEB1 is required for EMT, but not sufficient to overcome EMT-resistance**

**a,** Heatmap of mean FPKM values of RNA-sequencing data of *GRHL1-3* of 3 E-SCCs (E) and 3 M-SCCs (M), untreated (-TAM), treated with Tamoxifen for 7 days (+7d), or treated with Tamoxifen for 14 days (+14d). **b,** Heatmap of mean FPKM values of RNA-sequencing data of *ZEB1-2* of 3 E-SCCs (E) and 3 M-SCCs (M), treated as described in a. **c,** log relative mRNA expression levels of *ZEB1* and *OVOL2* in E-SCCs (E1-E3) and M-SCCs (M1-M3), treated as described in a. n=3; mean ± SEM; multiple t-tests (Holm-Sidak correction); p-values: ∗<0.05, ∗∗<0.005, ns=not significant. **d,** Immunoblot of ZEB1 and β-actin (ACTB) of E-SCCs (E1-E3) and M-SCCs (M1-M3), treated as described in a. **e,** log relative mRNA expression levels of *ZEB1*, *CDH1*, and

*OVOL2* of a M-SCC control clone and M-SCC *ZEB1* knockout clones (*ZEB1* KO #1-

5), untreated (-TAM) or treated with Tamoxifen for 14 days (+TAM 14d). n=2; mean $\pm$

SEM. **f,** Immunoblot of ZEB1, E-cadherin (CDH1), and β-actin (ACTB) of a M-SCC

control clone and M-SCC *ZEB1* knockout clones (*ZEB1* KO #1-5) treated as described

in e. **g,** log relative mRNA expression levels of *ZEB1*, *CDH1*, and *OVOL2* of an E-SCC

control clone and E-SCC *ZEB1* overexpression clones (*ZEB1* OE #1, #2) treated with

DMSO or with 10 ng/ml, 100 ng/ml, or 1 µg/ml doxycycline (dox) for 7 days (+7d), or

after 7 days of treatment followed by 14 days of withdrawal (+7d/-14d). n=3 technical

replicates; mean $\pm$ SEM. **h,** Flow cytometric staining of EPCAM of an E-SCC control

clone and E-SCC *ZEB1* overexpression clones (*ZEB1* OE #1, #2) treated with DMSO

or with 1 µg/ml doxycycline (dox) for 7 days (7d).

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**Figure 6: Maintenance of epithelial features promotes metastatic outgrowth**

**a,** High EPCAM expression of metastatic breast cancer cells derived from pleural

effusions of stage IV breast cancer patients enriches for tumour initiation and

progression (EPCAM$^{high}$). On the contrary, reduced EPCAM levels of metastatic breast

cancer cells prevent tumour initiation and progression and correlate with EMT

(EPCAM$^{low}$). **b,** Upon Twist1-activation of HMLE-Twist1-ER cells, a subset of cells

expresses high levels of ZEB1, represses the expression of epithelial genes, and

undergoes stable and irreversible EMT, leading to loss of colonizing capacity in a

three-dimensional environment (EPCAM$^{neg}$/M-SCCs). Knockout (KO) of ZEB1 in these

cells inhibits trans-differentiation into a mesenchymal phenotype upon an EMT-

stimulus. Another subset of cells expresses low levels of ZEB1 and resists repression

of epithelial genes, resulting in resistance to EMT and maintenance of the colonizing

capacity (EPCAM$^{pos}$/E-SCCs). Transient overexpression (OE) of ZEB1 within these

cells activates EMT temporarily, but does not allow stable and irreversible trans-

differentiation to a mesenchymal state.

1088

**Supplementary Figure 1: High EPCAM expression correlates with disease-**

**propagating potential in metastatic breast cancer cells**

**a,** Table showing tumour stage, oestrogen receptor (ER) status, clinical subtype,

histology type, and TNM classification of clinical patients #1-10. **b,** Gating strategy for

patient-derived metastatic breast cancer cells. TNBC, Triple Negative Breast Cancer;

Pat., Patient. **c,** Immunohistochemical staining of oestrogen receptor (ER),

progesterone receptor (PR), E-cadherin (CDH1), Ki67, and Vimentin (VIM) of tumour

sections from engrafted mammary fat pads. The xenografts originate from cells of

patients with the indicated breast cancer subtype. Scale bar: 25 µm. TNBC, Triple

Negative Breast Cancer; Pat., Patient. **d,** Immunohistochemical staining of oestrogen

receptor (ER) and Ki67 of spontaneous lung metastases originating from EPCAM$^{high}$

cells of patients with the indicated breast cancer subtype. Scale bar: 25 µm. TNBC,

Triple Negative Breast Cancer; Pat., Patient. **e,** Gene set enrichment analysis (GSEA)

plot of the growth-arresting response to TGF-β of EPCAM$^{high}$ versus EPCAM$^{low}$ cells

from an ER$^+$ and an ER$^-$ patient. NES, normalized enrichment score; FDR, false

discovery rate; P-VAL, p-value. **f,** GSEA plot of the META-PCNA proliferative signature

of EPCAM$^{high}$ versus EPCAM$^{low}$ cells from an ER$^+$ and an ER$^-$ patient. NES,

normalized enrichment score; FDR, false discovery rate; P-VAL, p-value.

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**Supplementary Figure 2: A subset of HMLE-Twist1-ER cells maintains EPCAM**

**expression during EMT-induction**

**a,** Bright field pictures of HMLE-Twist1-ER cells, untreated (-TAM) or treated with

Tamoxifen for 21 days (+TAM 21d). Scale bar: 100 µm. **b,** Bright field pictures of sorted

EPCAM$^{neg}$ and EPCAM$^{pos}$ HMLE-Twist1-ER cells after 10 days of Tamoxifen

withdrawal (+TAM21d/-TAM10d). Scale bar: 100 μm. **c,** Gating strategy for HMLE-

Twist1-ER cells.

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**Supplementary Figure 3: A subset of breast cancer single-cell clones resists**

**complete EMT and maintains the ability to proliferate in different environments**

**a,** Table showing number of epithelial (E-SCCs) and mesenchymal (M-SCCs) single-

cell clones isolated from the HMLE-Twist1-ER bulk population and determined during

Tamoxifen treatment. **b,** Immunofluorescence staining of DAPI (blue) and Twist1 (red)

of E-SCCs (E1-E3) and M-SCCs (M1-M3), untreated (-TAM) or treated with Tamoxifen

for 3 days (+TAM 3d), Scale bar: 20 μm. **c,** Immunoblot of Twist1 and β-actin (ACTB)

of untreated E-SCCs and M-SCCs. Twist1 protein levels were quantified relatively to

β-actin. **d,** log relative mRNA expression levels of *Twist1* and *WNT5A* in E-SCCs (E1-

E3) and M-SCCs (M1-M3), untreated (-TAM), treated with Tamoxifen for 7 days (+TAM

7d), or treated with Tamoxifen for 14 days (+TAM 14d). n=3; mean ± SEM. **e,** Bright

field images of a representative E-SCC (E1) and a representative M-SCC (M2), treated

with Tamoxifen for 14 days followed by Tamoxifen withdrawal for 7 days (+14d/-7d),

14 days (+14d/-14d), or 21 days (+14d/-21d). Scale bar: 100 μm. **f,** log relative mRNA

expression levels of *CDH1*, *VIM*, *FN1*, *OVOL2*, and *ZEB1* in E-SCCs (E1-E3) and M-

SCCs (M1-M3), treated as described in e. n=3; mean ± SEM; multiple t-tests (Holm-

Sidak correction); p-values: ∗<0.05, ∗∗<0.005, ∗∗∗<0.0005, ns=not significant.

**g,** Bright field images of a representative E-SCC (E1) and a representative M-SCC

(M2), treated with Tamoxifen for 21 days (+TAM 21d) or for 28 days (+TAM 28d). Scale

bar: 100 μm. **h,** log relative mRNA expression levels of *CDH1*, *OVOL2*, and *ZEB1* in

E-SCCs (E1-E3) and M-SCCs (M1-M3), treated as described in g. n=3; mean ± SEM;

multiple t-tests (Holm-Sidak correction); p-values: ∗∗<0.005, ns=not significant.

**Supplementary Figure 4: EMT-induction causes genome-wide chromatin and transcriptional changes**

**a,** Principal component (PC) analysis of RNA-sequencing data of E-SCCs (△) and M-SCCs (○), untreated (-TAM), treated with Tamoxifen for 7 days (+TAM 7d), treated with Tamoxifen for 14 days (+TAM 14d), or treated with Tamoxifen for 14 days followed by Tamoxifen withdrawal for 7 days (+TAM 14d/-TAM 7d). Each data point represents one SCC at the indicated time point. **b,** Top 5 hits of Homer *known motif* (upper table) or *de novo* (two lower tables) transcription factor motif analysis of grouped clusters opening in M-SCCs (M) and E-SCCs (E, two upper tables) or opening exclusively in M-SCCs (M, lower table) during Tamoxifen treatment (+TAM).

**Supplementary Figure 5: The EMT-transcription factor ZEB1 is required for EMT, but not sufficient to overcome EMT-resistance**

**a,** log relative mRNA expression levels of *MIR141 and MIR200A-C* in E-SCCs (E1-E3) and M-SCCs (M1-M3), untreated (-TAM), treated with Tamoxifen for 7 days (+TAM 7d), or treated with Tamoxifen for 14 days (+TAM 14d). n=3; mean $\pm$ SEM; multiple t-tests (Holm-Sidak correction); p-values: ∗<0.05, ∗∗<0.005, ∗∗∗<0.0005, ns=not significant. **b,** Schematic illustration of the localisation of guide RNAs (gRNAs) for CRISPR/Cas9 of the *ZEB1* (NM00128128) gene. **c,** PCR products of targeted DNA regions of a M-SCC control clone and M-SCC *ZEB1* knockout clones (*ZEB1* KO #1-5). **d,** Bright field pictures of an M-SCC control clone and a representative M-SCC *ZEB1* knockout clone (*ZEB1* KO #1), untreated (-TAM) or treated with Tamoxifen for 14 days (+TAM 14d). Scale bar: 100 μm. **e,** Bright field pictures of an E-SCC control clone and a representative E-SCC *ZEB1* overexpression clone (*ZEB1* OE #1), treated with DMSO or with 10 ng/ml, 100 ng/ml, or 1 μg/ml doxycycline (dox) for 7 days (+7d)

1163    or treated for 7 days followed by 14 days of withdrawal (+7d/-14d). Scale bar: 100 μm.

1164    **f,** Gating strategy for HMLE-Twist1-ER *ZEB1* overexpression and control cells.

# Figure 1: High EPCAM expression correlates with disease-propagating potential in metastatic breast cancer cells

**a**

**b**

| | EPCAM$^{neg}$ | | | EPCAM$^{low}$ | | | EPCAM$^{high}$ | | |
|---|---|---|---|---|---|---|---|---|---|
| ER subtype: | all | ER$^+$ | ER$^-$ | all | ER$^+$ | ER$^-$ | all | ER$^+$ | ER$^-$ |
| 1-5x10$^5$ cells | n = 16 | n = 13 | n = 3 | n = 14 | n = 9 | n = 6 | n = 14 | n = 9 | n = 7 |
| 1-5x10$^4$ cells | n = 18 | n = 13 | n = 5 | n = 19 | n = 14 | n = 5 | n = 19 | n = 14 | n = 5 |
| 1-5x10$^3$ cells | n = 32 | n = 23 | n = 12 | n = 27 | n = 20 | n = 7 | n = 40 | n = 28 | n = 12 |
| tumour | 4 | | | 13 | | | 32 | | |
| no tumour | 62 | | | 47 | | | 41 | | |
| TIC frequency | 1x10$^{-6}$ | - | 9.1x10$^{-4}$ | 2.1x10$^{-5}$ | 5.6x10$^{-5}$ | 2.4x10$^{-4}$ | 6.3x10$^{-4}$ | 1x10$^{-5}$ | 3.6x10$^{-3}$ |
| Lung metastases | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 2 | 10 |

MFP injections

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**c**

**d**

# Figure 2: A subset of HMLE-Twist1-ER cells maintains EPCAM expression during EMT-induction

DAPI CDH1 VIM

**Figure 3: A subset of breast cancer single-cell clones resists complete EMT and maintains the ability to proliferate in different environments**

# Figure 4: EMT-induction causes genome-wide chromatin and transcriptional changes

**a**

ATAC-sequencing

PC2: 33% variance

PC1: 51% variance

time
■ -TAM
■ +TAM 7d
■ +TAM 14d
■ +TAM 14d/-TAM 7d

type
△ E
○ M

**b**

chr2: 47,367,148 - 47,389,028

-TAM E [0-45]
-TAM M [0-45]
+7d E [0-45]
+7d M [0-45]
+14d E [0-45]
+14d M [0-45]

*EPCAM*

chr16: 68,735,290 - 68,837,542

-TAM E [0-95]
-TAM M [0-95]
+7d E [0-95]
+7d M [0-95]
+14d E [0-95]
+14d M [0-95]

*CDH1*

**c**

time
type

c6
c2
c12
c7
c11
c3
c9
c5
c8
c4
c1
c10

1  2  3  4  5  6  7

time
■ -TAM
■ +TAM 7d
■ +TAM 14d

type
■ E
■ M

**d**

closing exclusively in M during +TAM (c2, c12, c5, c8, c1, c10)

| Rank | Motif | P-value | % of Targets | % of Background | Best match Rank1 | Best match Rank 2 |
|------|-------|---------|--------------|-----------------|------------------|-------------------|
| 1 | | 1E-3737 | 41.56% | 5.68% | Fra1 | JunB |
| 2 | | 1E-753 | 35.51% | 16.18% | **GRHL1** | **GRHL2** |
| 3 | | 1E-660 | 26.98% | 11.07% | **ZEB1** | **ZEB2** |
| 4 | | 1E-475 | 25.11% | 11.62% | KLF5 | KLF6 |
| 5 | | 1E-433 | 14.61% | 5.12% | p53 | p73 |

## Figure 5: The EMT-transcription factor ZEB1 is required for EMT, but not sufficient to overcome EMT-resistance

# Figure 6: Maintenance of epithelial features promotes metastatic outgrowth

**a**

**b**

**Supplementary Figure 1: High EPCAM expression correlates with disease-propagating potential in metastatic breast cancer cells**

**a**

| Patient | Stage | ER | Clinical subtype | Histology type | TNM classification |
|---------|-------|-----|------------------|----------------|--------------------|
| #1 | IV | neg (-) | Triple negative | I. invasive lobular carcinoma | cT4d cNx cM1 |
| #2 | IV | pos (+) | Luminal | I. invasive lobular carcinoma | pT3(m) pN3a M1 |
| #3 | IV | pos (+) | Luminal B | I. invasive ductal carcinoma | ypT4 pN1 M0 |
| #4 | IV | neg (-) | Triple negative | I. invasive mammary carcinoma | pT2 pN0 M0 |
| #5 | IV | pos (+) | Luminal | I. invasive ductal carcinoma | pT3 pN1a(1/13) M0 |
| #6 | IV | pos (+) | Luminal A | I. invasive lobular carcinoma | pT2 pN1a(1/8) cM0 |
| #7 | IV | pos (+) | Luminal B | I. invasive ductal carcinoma | pT1 N0(0/13) cM0 |
| #8 | IV | pos (+) | Claudin-Low | I. invasive mammary carcinoma | pT1b(m) pN1b(2/16) 0/5 |
| #9 | IV | pos (+) | Luminal | I. invasive mammary carcinoma | pT4b pN1 cM0 |
| #10 | IV | neg (-) | Triple negative | I. invasive ductal carcinoma | pT1c pN3a(21/22) cM0 |

**b**

**c**

**d**

**e**

GROWTH-ARRESTING RESPONSE TO TGF-β

NES: -2.93
FDR: 0
P-VAL: 0

**f**

META-PCNA PROLIFERATIVE SIGNATURE

NES: 3.12
FDR: 0
P-VAL: 0

**Supplementary Figure 2: A subset of HMLE-Twist1-ER cells maintains EPCAM expression during EMT-induction**

**Supplementary Figure 3: A subset of breast cancer single-cell clones resists complete EMT and maintains the ability to proliferate in different environments**

## Supplementary Figure 4: EMT-induction causes genome-wide chromatin and transcriptional changes

**a**

RNA-sequencing

**b**

| | Rank | Motif | | Name | P-value | % of Target Sequences with Motif | % of Background Sequences with Motif |
|---|---|---|---|---|---|---|---|
| opening in M and E during +TAM (c3, c4) | 1 | | | TCF4 | 1E-370 | 0.5574 | 0.2005 |
| | 2 | | | Twist | 1E-360 | 0.191 | 0.0174 |
| | 3 | | | Fra1 | 1E-341 | 0.2651 | 0.0438 |
| | 4 | | | Atf3 | 1E-340 | 0.2938 | 0.0558 |
| | 5 | | | JunB | 1E-323 | 0.2614 | 0.0453 |

| | Rank | Motif | P-value | % of Targets | % of Background | Best match Rank1 | Best match Rank 2 |
|---|---|---|---|---|---|---|---|
| opening in M and E during +TAM (c3, c4) | 1 | | 1E-396 | 53.05% | 17.26% | TCF4 | Atoh1 |
| | 2 | | 1E-335 | 35.08% | 8.36% | FOSL2::JUN | AP-1 |
| | 3 | | 1E-93 | 20.73% | 8.15% | RUNX | RUNX1 |
| | 4 | | 1E-55 | 26.07% | 14.57% | TEAD2 | TEAD1 |
| | 5 | | 1E-38 | 11.44% | 5.11% | KLF3 | KLF5 |

| | Rank | Motif | P-value | % of Targets | % of Background | Best match Rank1 | Best match Rank 2 |
|---|---|---|---|---|---|---|---|
| Opening exclusively in M during +TAM (c6, c7, c11, c9) | 1 | | 1E-2032 | 44.97% | 7.05% | BATF | Atf3 |
| | 2 | | 1E-580 | 43.90% | 19.39% | TCF4 | Ascl1 |
| | 3 | | 1E-144 | 6.51% | 1.78% | PGR | GRE |
| | 4 | | 1E-137 | 19.13% | 10.13% | WT1 | Egr2 |
| | 5 | | 1E-121 | 10.27% | 4.26% | ETS:RUNX | PU.1 |

## Supplementary Figure 5: The EMT-transcription factor ZEB1 is required for EMT, but not sufficient to overcome EMT-resistance