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3 Capsid lattice destabilization leads to premature loss of the viral genome and integrase
4 enzyme during HIV-1 infection

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6 Shot title: The HIV-1 capsid lattice protects the vRNPs from degradation in target cells

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27 **ABSTRACT**

28 The human immunodeficiency virus type 1 (HIV-1) capsid (CA) protein forms a
29 conical lattice around the viral ribonucleoprotein complex (vRNP) consisting of a dimeric
30 viral genome and associated proteins, together constituting the viral core. Upon entry
31 into target cells, the viral core undergoes a process termed uncoating, during which CA
32 molecules are shed from the lattice. Although the timing and degree of uncoating are
33 important for reverse transcription and integration, the molecular basis of this
34 phenomenon remains unclear. Using complementary approaches, we assessed the
35 impact of core destabilization on the intrinsic stability of the CA lattice in vitro and fates
36 of viral core components in infected cells. We found that substitutions in CA can impact
37 the intrinsic stability of the CA lattice in vitro in the absence of vRNPs, which mirrored
38 findings from assessment of CA stability in virions. Altering CA stability tended to
39 increase the propensity to form morphologically aberrant particles, in which the vRNPs
40 were mislocalized between the CA lattice and the viral lipid envelope. Importantly,
41 destabilization of the CA lattice led to premature dissociation of CA from vRNPs in target
42 cells, which was accompanied by proteasomal-independent losses of the viral genome
43 and integrase enzyme. Overall, our studies show that the CA lattice protects the vRNP
44 from untimely degradation in target cells and provide the mechanistic basis of how CA
45 stability influences reverse transcription.

46

47 **AUTHOR SUMMARY**

48 The human immunodeficiency virus type 1 (HIV-1) capsid (CA) protein forms a
49 conical lattice around the viral RNA genome and the associated viral enzymes and
50 proteins, together constituting the viral core. Upon infection of a new cell, viral cores are
51 released into the cytoplasm where they undergo a process termed “uncoating”, i.e.
52 shedding of CA molecules from the conical lattice. Although proper and timely uncoating

53 has been shown to be important for reverse transcription, the molecular mechanisms
54 that link these two events remain poorly understood. In this study, we show that
55 destabilization of the CA lattice leads to premature dissociation of CA from viral cores,
56 which exposes the viral genome and the integrase enzyme for degradation in target
57 cells. Thus, our studies demonstrate that the CA lattice protects the viral
58 ribonucleoprotein complexes from untimely degradation in target cells and provide the
59 first causal link between how CA stability affects reverse transcription.

60

61 **INTRODUCTION**

62 Formation of infectious HIV-1 virions is coordinated by the major structural
63 polyproteins Gag and Gag-Pol. Gag selectively packages a dimeric viral genome,
64 targets particle assembly to the plasma membrane, and oligomerizes with other Gag and
65 Gag-Pol polyproteins at the plasma membrane primarily through interactions between
66 the capsid (CA) domains of neighboring Gag molecules [1, 2]. Following the budding of
67 immature virions, the virally encoded protease enzyme cleaves Gag and Gag-Pol
68 polyproteins into their constituent domains triggering virion maturation [1, 2]. Virions
69 undergo a major structural rearrangement, such that the cleaved CA monomers form a
70 conical lattice in which the viral genome condenses with both the cleaved nucleocapsid
71 (NC) domain of Gag and the Pol-encoded viral enzymes, reverse transcriptase (RT) and
72 integrase (IN), to form the viral core [3].

73 The mature HIV-1 core contains ~250 hexameric and 12 pentameric rings of CA
74 that are stabilized through an extensive network of intra- and inter-subunit interactions
75 between CA molecules [4-9]. Within pentamers and hexamers, the N-terminal domain
76 (NTD) of one CA molecule interacts with a groove in the C-terminal domain (CTD) of the
77 neighboring CA molecule. The first three helices of the NTD interact to form an 18-helix
78 bundle (or 15-helix bundle for pentamers) at the center of the hexamer. Inter-hexamer

79 connections forming the hexagonal lattice are mediated through CTD-CTD interactions.
80 In addition, recent studies revealed that a small molecule, inositol hexakisphosphate
81 (IP₆), can facilitate the assembly of the CA lattice [10] and regulate its stability [11].
82 Mutations or compounds that target the critical interactions between individual CA
83 subunits disrupt processes ranging from particle assembly, virion morphogenesis,
84 reverse transcription, and nuclear entry in target cells, underscoring a wide range of
85 functional requirements for the CA protein and/or capsid lattice in multiple steps of the
86 viral life cycle [12-17].

87 Following their release into the cytoplasm of target cells, HIV-1 cores undergo a
88 poorly understood process termed uncoating, i.e. shedding of CA subunits from the core.
89 The current consensus in the field is that viral cores undergo various levels or stages of
90 uncoating [18-20]. First, a large amount of virion-associated CA appears to be lost soon
91 after entry [21-25]. This is likely due to a combination of uncoating as a result of the
92 metastable structure of the CA lattice and dispersal of CA molecules that are
93 incorporated into virions but are not part of the CA lattice [26-29]. A second phase of
94 uncoating takes place during or as a result of reverse transcription [21, 23, 25, 30-32].
95 Additionally, a number of cellular proteins that bind CA have been proposed to regulate
96 core stability and uncoating [33]. Although the majority of virion-associated CA is lost
97 during uncoating, both biochemical and genetic evidence supports the notion that some
98 CA remains associated with the reverse transcription complex (RTC) and pre-integration
99 complex (PIC) that respectively mediate reverse transcription and integration during
100 virus infection: CA is the major determinant for HIV-1 nuclear entry [34-41], a fraction of
101 CA remains physically associated with the PIC [42-47], CA contributes to viral DNA
102 (vDNA) integration into actively transcribed genes [39, 48-51], and CA may influence
103 innate host responses by shielding the reverse transcription products from cGAS-
104 STING-mediated sensing [52-56].

105 Proper uncoating of the HIV-1 core and reverse transcription appear to be
106 interconnected processes. Mutations in CA that destabilize the core in vitro block
107 reverse transcription in target cells [13, 57-59]. Additionally, reverse transcription can
108 accelerate or, if inhibited, delay the uncoating of the CA lattice [30, 31, 60-62]. Exactly
109 how altering the stability of the CA lattice causes defects in reverse transcription is
110 unclear; however, the underlying mechanism may be similar to that which leads to the
111 reverse transcription defects observed upon inhibition of HIV-1 integrase (IN)-RNA
112 interactions.

113 The HIV-1 IN enzyme has recently been shown to carry out a non-catalytic role in
114 particle maturation through its binding to the viral RNA (vRNA) genome [63]. Inhibition of
115 IN-RNA interactions yields morphologically aberrant particles in which the vRNPs
116 composed of the vRNA and associated enzymes are mislocalized outside the CA lattice
117 [63-65]. Much like viruses with altered core stability, these viruses are blocked at an
118 early reverse transcription stage in target cells [63-89], which can be explained partly by
119 the premature degradation of the unprotected vRNA [90]. Curiously, viruses generated in
120 the presence of a CA-targeting compound, C1, also yield morphologically aberrant
121 particles that are blocked at reverse transcription [91]. Whether CA destabilization
122 affects IN-RNA interactions and whether degradation of the unprotected vRNPs
123 underlies the reverse transcription defect upon CA destabilization remains unexplored.

124 HIV-1 uncoating has been a difficult process to study due to the metastable
125 nature of the CA lattice and relatively high particle-to-infectivity ratio of HIV-1
126 preparations that indicate that the vast majority of virus particles are non-infectious [19].
127 Biochemical and microscopy-based approaches are the current standard and have been
128 widely utilized in the field. Recently, a reporter assay system exploiting the cytoplasmic
129 exposure of a virion-associated mRNA was reported [92]. Previous work that identified
130 key mutations in CA important for core stability [13, 16, 93] depended solely on an in

131 vitro core disassembly assay [13, 93]. While the increased rate of core disassembly in
132 this system correlated with reverse transcription defects in cells, it is untested whether
133 core disassembly also occurs in the context of cell infection. Microscopy-based
134 experiments partially fill this gap and can provide single-cell level information about the
135 kinetics of the early stages of virus replication [21, 22, 24, 25]. Such approaches are
136 generally limited by the difficulty in distinguishing infectious from non-infectious virus
137 particles, albeit more elaborate live-cell imaging approaches have recently been
138 developed to address this shortcoming [22, 25]. Another limitation of microscopy-based
139 approaches is their dependence on indirect labeling of core components. Biochemical
140 separation of post-nuclear supernatants from infected cells, referred to as fate of
141 capsid/core assay, addresses some of these shortcomings and provides an easily
142 accessible alternative [90, 94, 95]. The main advantage of this approach is the ability to
143 trace virtually every component of the HIV-1 core [90, 95], and bypass potential artifacts
144 due to indirect labeling of CA or use of fusion proteins. However, as this approach is
145 laborious and has inherent limitations due to the analysis of bulk cell lysates, it has not
146 been widely adopted to study the effects of CA stability on core components in infected
147 cells. Given the discrepancies between microscopy-based and biochemical approaches
148 [21, 23, 30], and the pros and cons of each approach, it is advantageous to utilize
149 complementary assays to study early post-entry events in the HIV-1 life cycle.

150 Here, we took an in depth approach to examine the effects of widely utilized CA
151 stabilizing/destabilizing mutations and a CA targeting compound, C1 [91, 96, 97], on the
152 physical properties of the CA lattice, virion architecture, and fates of core components in
153 target cells. We found that CA destabilizing substitutions (P38A, K203A, Q219A)
154 significantly decreased and a CA stabilizing E45A substitution increased the intrinsic
155 stability of the CA lattice. Unstable CA mutants tended to increase the propensity to form
156 eccentric particles with vRNPs mislocalized between the empty CA lattice and the lipid

157 envelope without impacting IN-RNA interactions. Most notably, we found that CA
158 destabilizing mutations and C1 led to dissociation of CA from vRNPs in target cells,
159 which was accompanied by the premature loss of the vRNA and the IN enzyme. Overall,
160 our studies show that the CA lattice protects the viral core components from untimely
161 degradation in target cells and provide the long sought causal link between core stability
162 and reverse transcription.

163

164 **RESULTS**

165 **Effects of CA stability on general properties of HIV-1 virions**

166 We first assessed the effects of CA substitutions that were previously reported to
167 decrease (i.e. P38A, Q63A/Q67A, K203A, Q219A) or increase (i.e. E45A) the stability of
168 the CA lattice [13, 16] on HIV-1 replication. The locations of the targeted amino acid
169 residues are dispersed throughout the hexameric CA structure, positioned at the NTD-
170 NTD interface (Pro38 and Glu45) or NTD-CTD interface (Gln63A and Gln67) within the
171 hexamer [4], or at the 3-fold CTD-CTD interhexameric interface (Lys203A and Gln219)
172 [12] (Fig. 1A). Missense mutations were introduced into the replication-competent pNL4-
173 3 molecular clone or NL4-3-derived Gag-Pol expression plasmid for use in subsequent
174 assays described below. With the exception of the Q63A/Q67A substitutions, which
175 substantially impaired particle release, none of the other changes measurably affected
176 Gag expression, processing, or particle release (Fig. 1B, D). All substitutions decreased
177 virus titers circa 10- to >100-fold (Fig. 1C, E), as expected from previous observations
178 [13, 16]. In parallel, we assessed the effects of Compound 1 (C1) [91] on HIV-1
179 replication. Addition of C1 to virus-producing cells decreased virus titers 10-20 fold (Fig.
180 1F) without impacting Gag expression, processing (Fig. 1G, H), or particle release (Fig.
181 1H). As previously noted [91], we observed a dose responsive decrease in the levels of

182 unprocessed Gag in virions, without any corresponding change in processed CA levels
183 in virions (Fig. 1H).

184

185 **Effects of CA mutations on virion morphology and IN-RNA interactions**

186 Qualitative assessment of CA destabilizing substitutions on virion morphology
187 has previously revealed the presence of mature particles with fully formed CA cones [13,
188 16]. In contrast, CA destabilization by C1 increased the occurrence of eccentric particles
189 in which vRNPs were mislocalized outside of the CA lattice [91]. Given these seemingly
190 opposite effects, we wanted to reassess quantitatively the impact of CA destabilizing
191 substitutions on virion morphology. As expected, thin-section electron microscopic
192 (TEM) analysis of cell-free wild-type (WT) HIV-1 particles revealed that ~85% of the
193 virions contained conical cores with centrally-located electron dense vRNPs (Fig. 2A, B).
194 The remaining virions were classified as having either an immature or eccentric
195 morphology with vRNPs localized between the viral membrane and an electron-lucent
196 core (Fig. 2A, B). Most of the CA substitutions including P38A, E45A, Q63A/Q67A, and
197 K203A significantly increased the occurrence of eccentric particles (Fig. 2A, B). The
198 Q219A substitution also marginally enhanced the occurrence of the eccentric particle
199 morphology (Fig. 2A, B).

200 Because loss of IN binding to the viral genome significantly extenuates eccentric
201 particle morphology [63-65], we next investigated the extent of IN-RNA interactions
202 within the different CA mutant viruses. IN-RNA complexes were immunoprecipitated
203 from UV-crosslinked virions and the amount of vRNA bound by IN was assessed by end-
204 labeling followed by separation of protein-RNA complexes by gel electrophoresis [63, 98,
205 99]. Equivalent levels of IN-RNA complexes were isolated from WT virions and viruses
206 bearing CA substitutions (Fig. 2C) or WT virus generated in the presence of C1 (Fig.

207 2D). Likewise, CA substitutions and C1 treatment did not seem to impact NC-RNA
208 interactions in virions (Fig. 2C, D).

209

210 **Effects of CA substitutions on the intrinsic stability of the CA lattice**

211 The traditional approach to assess CA stability is based on isolation of cores
212 from envelope-stripped virions followed by equilibrium density sedimentation on linear
213 sucrose gradients, during which the cores migrate to denser fractions [13]. The fraction
214 of CA in the dense fractions is assumed to directly correlate with the extent of uncoating,
215 but the assay does not distinguish between contributions to overall core yield that arise
216 from the intrinsic stability of the capsid lattice versus modulatory effects of other factors.
217 To assess the impact of CA mutations on the intrinsic stability of the mature CA lattice,
218 WT CA and CA proteins bearing the aforementioned substitutions were assembled into
219 capsid-like tubes in vitro in the absence of any other viral or cellular factors, diluted, and
220 analyzed by nano-differential scanning fluorimetry (nano-DSF) during thermal
221 denaturation (Fig. 3A). Dilution of assembled tubes in this assay results in their partial
222 disassembly, and we reasoned that the degree of disassembly would reflect the intrinsic
223 stability of the CA structures. Given the low yield of particles obtained with CA mutant
224 Q63A/Q67A (Fig 1B, D & Fig. 2C), this mutant was excluded from the remainder of the
225 studies described below.

226 Comparison of unassembled WT CA (Fig. 3B) and assembled CA tubes (Fig. 3C,
227 D) revealed the presence of the T3 species with greater thermal stability than initial T1
228 and T2 populations following assembly (Fig. 3C, D). The P38A (Fig. 3E), K203A (Fig.
229 3H), and Q219A (Fig. 3I) substitutions each decreased the levels of the stable T3
230 species as evident by the skewing of the nano-DSF curves towards the left, consistent
231 with a destabilizing effect on the capsid lattice. The opposite was true for the E45A
232 substitution, which showed an increased proportion of the more stable species (Fig. 3G);

233 this result is indicative of lattice stabilization and is in line with previous observations
234 [100]. Adding the T216I substitution (see Fig. 1 for Thr216 location) to P38A, which
235 partially restored the P38A infectivity defect [101], similarly increased CA tube stability
236 (Fig. 3F). Together, these results provide direct evidence that CA mutations can impact
237 the intrinsic stability of the assembled CA lattice in the absence of other virion and core
238 components.

239

240 **Effects of CA mutations on core stability in vitro**

241 We next validated how modulation of CA alters core stability in virions by utilizing
242 a biochemical assay in which the viral lipid envelope is stripped off by brief detergent
243 treatment and core components are separated by equilibrium density sedimentation on
244 linear sucrose cushions [90, 102]. Note that while similar approaches have been
245 previously employed to study the stability of isolated CA mutants [13, 91, 103, 104], a
246 side-by-side comparison of the effects of multiple CA substitutions on the behavior of
247 different core components was lacking.

248 Following centrifugation of envelope-stripped virions, fractions collected from the
249 top of the gradients were analyzed for the presence of CA, IN, MA, and RT activity. For
250 WT viruses, a large fraction of CA migrated in top fractions representing soluble CA that
251 has dissociated from the CA lattice during the assay and/or CA that was incorporated
252 into virions but was not part of the CA lattice [26-28] (Fig. 4A, B). A second population of
253 CA was present in dense fractions 7-10, representing CA that is in complex with dense
254 vRNPs. The P38A, K203A, and Q219A substitutions as well as treatment with C1 each
255 led to a substantial decrease in the levels of CA in dense fractions, whereas the E45A
256 substitution tended to yield modestly higher levels of CA compared to WT viruses (Fig.
257 4A, B, S1A). As anticipated, MA remained primarily in soluble fractions confirming the
258 efficient removal of the viral lipid envelope (Fig. 4C). RT activity traces mirrored those of

259 CA protein, with 10-50-fold less RT activity in dense fractions upon destabilization of the
260 CA lattice (Fig. 4D, S1B). IN (Fig. 4E, F) and vRNA (not shown) remained in dense
261 fractions for all viruses, suggesting that CA mutations and C1 did not alter vRNP
262 condensation, consistent the IN-RNA and NC-RNA binding profiles (Fig. 2C, D).

263 In contrast with its stabilizing effect on CA tubes (Fig. 3F), the T216I substitution
264 that partially compensated the P38A infectivity defect did not counteract the loss of CA
265 (Fig. 5A, B, S2A) or RT (Fig. 5C, S2B) in dense fractions, consistent with a previous
266 study [103]. In contrast, the R132T substitution that conferred resistance to C1
267 significantly increased the amount of CA (Fig. 5D, E, S2C) and RT (Fig. 5F, S2D) in
268 dense fractions. As above, IN remained in dense fractions under all conditions (Fig. 5A,
269 5D). Overall, these results indicate that decreasing the stability of the CA lattice can lead
270 to dissociation of CA and RT from vRNPs, likely without impacting condensation of
271 vRNPs by NC and IN.

272

273 **Destabilization of the CA lattice leads to loss of vRNPs in target cells**

274 The impact of CA destabilization on the fates of viral cores in infected cells is
275 poorly studied. Of note, HIV-1 cores can be stabilized by cytosolic extracts in vitro [105],
276 suggesting that core stability in cells may be different from what is predicted from in vitro
277 assays. While elaborate and powerful microscopy-based approaches have recently been
278 employed to fill this gap [21, 22, 24, 25], an important drawback of these approaches is
279 their dependence on indirect labeling of core components and fusion proteins that may
280 impact functionality. In addition, image-based studies have not assessed the impact of
281 CA destabilization on vRNA and IN, which exist at comparatively lower copy numbers in
282 virions. To fill this knowledge gap, we tracked the fates of individual core components in
283 target cells by utilizing our previously developed biochemical assay [95]. In brief, CHO-
284 derived pgsA-745 cells, which lack surface glycosaminoglycans and as a result can be

285 very efficiently infected by VSV-G-pseudotyped viruses, were synchronously infected
286 with WT or CA mutant viruses. Two hours post-infection (hpi), post-nuclear lysates were
287 separated on linear sucrose gradients and collected fractions were analyzed for viral
288 proteins (i.e. CA, IN, RT) and viral genomic RNA or reverse transcription products by
289 immunoblotting or Q-PCR-based assays, respectively.

290 In cells infected with WT viruses, CA migrated as two populations (Fig. 6A). The
291 first population was present in the top two fractions corresponding to soluble CA proteins
292 that have uncoated from the core or CA that was packaged into virions but was not part
293 of the capsid lattice [26, 106]. A second population of CA was present in fractions 6-8,
294 representing CA that is in complex with vRNPs and RTCs as evident by its co-migration
295 with IN (Fig. 6B), vRNA (Fig. 6C), vDNA (Fig. 6D), and RT (Fig. S3). Notably, in line with
296 the in vitro experiments, CA-destabilizing P38A, K203A, and Q219A substitutions led to
297 loss of CA, whereas the CA-stabilizing E45A substitution yielded similar levels of CA
298 compared to the WT in dense fractions (Fig. 6A). Notably, IN (Fig. 6B) and vRNA (Fig.
299 6C, S4A) were lost from dense fractions without corresponding increases in soluble
300 fractions upon CA destabilization. These changes expectedly led to substantially lower
301 levels of reverse transcription products accumulating in cells (Fig. 6D, S4B). In line with
302 results of Figures 5A-C, the P38A/T216I substitution did not appreciably restore the
303 amount of CA in dense fractions (Fig. 6A), but did modestly increase the amount of IN
304 (Fig. 6B), vRNA (Fig. 6C, S4A), and vDNA (Fig. 6D, S4B). Core destabilization by C1
305 similarly reduced the amount of CA in dense fractions (Fig. 6E), which was accompanied
306 by the loss of IN (Fig. 6F) and vRNA (Fig. 6G, S4C). Importantly, the R132T substitution,
307 which confers resistance to C1, largely restored CA, IN, RNA, as well as reverse
308 transcription products in dense fractions for viruses generated in the presence of C1
309 (Fig. 6E-H, S4C, S4D).

310 To test whether accelerated loss of vRNA upon core destabilization holds true in
311 a cell type relevant to HIV-1 infection, MT-4 T-cells were synchronously infected with
312 VSV-G pseudotyped full-length viruses in the presence of the RT inhibitor nevirapine
313 and levels of cell-associated vRNA assessed by Q-RT-PCR. In line with the above
314 findings, premature loss of the genomic vRNA upon CA destabilization was apparent as
315 early as 2 hpi (Fig. 7A). The viral genomic RNA continued to be lost at a faster rate for
316 CA destabilizing mutations and C1 as compared to WT and E45A virus at later times in
317 infection (Fig. 7A). Importantly, equivalent levels of vRNA were recovered from cells
318 when virus entry was blocked through NH₄Cl treatment (Fig. 7B). These results, together
319 with a previous study that found no difference in the efficiency of VSV-G-mediated viral
320 entry for WT and CA P38A, E45A, and K203A mutant viruses [107], suggest that the
321 observed decrease in vRNA levels is dependent on viral entry. Taken together, these
322 results support our findings from the fate of core assays (Fig. 6) and strongly argue for
323 the role of the CA lattice in protecting vRNPs from degradation in target cells.

324

325 **Loss of vRNPs in target cells upon core destabilization is independent of** 326 **proteasomes**

327 We next tested whether the observed loss of vRNPs is mediated by
328 proteasomes. Of note, it has been previously shown that IN is inherently unstable due to
329 the presence of an N-terminal phenylalanine residue that leads to its proteasomal
330 degradation when expressed alone in cells [108-112]. In agreement with data presented
331 in Fig. 6, CA (Fig. 8A), IN (Fig. 8B), and vRNA (Fig. 8C, S5) were lost from dense
332 fractions for the P38A destabilizing mutant and to a lesser extent for the P38A/T216I
333 mutant virus. Although proteasome inhibition by MG132 treatment during infection
334 modestly increased the levels of these components in mid-gradient fractions (Fig. 8A-C,
335 S5), it had no impact on virus titers (Fig. 8D). Similar results were obtained with K203A

336 and Q219A substitutions whereby CA (Fig. S6A), IN (Fig. S6B), and vRNA (Fig. S6C)
337 levels in mid fractions increased modestly upon proteasome inhibition, yet without
338 detectable changes in virus titers (Fig. S6D).

339

340 **DISCUSSION**

341 In this study, we utilized complementary approaches to study the impact of CA
342 destabilization on the physical properties of the CA lattice in vitro and in virions, and on
343 the subsequent steps of virus replication in target cells. Our in depth study is the first to
344 causally link how destabilization of the HIV-1 CA lattice leads to reverse transcription
345 defects in target cells. In brief, we found that CA destabilization through multiple
346 mutations and a small molecule compound (C1) all led to faster disassembly of the CA
347 lattice and premature loss, possibly due to degradation, of the vRNA genome and IN in
348 target cells (Fig. 9). Thus, we conclude that protection of vRNPs inside the CA lattice is
349 crucial for reverse transcription as well as subsequent steps in HIV-1 replication.

350 In terms of the behavior of CA, our findings from fate of core assays are in
351 perfect alignment with previous studies that utilized live cell microscopy approaches [21,
352 24, 25]. For example, we found that as early as 2 hpi, the majority of virion-associated
353 CA dissociates from the vRNPs of WT viruses. Expectedly, microscopy-based assays
354 that rely on indirect labeling of CA have generally seen a quick loss of CA signal
355 immediately after entry [21, 23-25]. We believe this loss is in part due to uncoating and
356 in part due to the fact that only approximately one-third to one-half of CA monomers in
357 virions form the CA lattice [26, 106], while the remainder diffuses in the cellular milieu
358 upon entry. A third possibility is that CA dissociates from the core during our lysis and
359 fractionation processes. Of note, inclusion of 10 μ M IP₆, which impacts capsid assembly
360 and stability in vitro [10, 11], throughout the fractionation process had no observable
361 impact on the migration behavior of CA and other core components in sucrose gradients

362 (compare Fig. S7 and Fig. 4). Notwithstanding, a small fraction of CA remained
363 associated with vRNPs and the RTC, which was responsive to and was lost upon
364 destabilization of the CA lattice.

365 Our findings suggest that the main impact of CA destabilizing mutations is on the
366 intrinsic stability of the CA lattice, which is largely in agreement with the core stability
367 assessments in virions and in target cells. One exception was the P38A/T216I double
368 mutant, which we found to be more intrinsically stable than WT in vitro, but was largely
369 unstable in virion- and cell-based stability assays, in agreement with previous findings
370 [101]. Notably, we consistently found higher levels of vRNA, IN, and RT products in
371 dense fractions upon infection of target cells with the P38A/T216I mutant compared to
372 the CA destabilizing P38A mutant, which is consistent with partial rescue of P38A
373 infectivity. Our results suggest that the P38A/T216I substitution may slow down the rate
374 or degree of core disassembly, allowing for intermediate levels of reverse transcription
375 and infection.

376 Most notably, our study provides the first direct evidence that exposed vRNA and
377 IN are both lost in target cells without the protection of the CA lattice. This is in contrast
378 to a previous study that utilized an IN-superfolder GFP (IN-sfGFP) fusion protein to track
379 RTCs in target cells [113], in which case IN levels did not seem to be affected upon core
380 destabilization [21]. Possible explanations for this discrepancy include the effect of
381 sfGFP fusion on IN function and stability, as well as the artificial introduction of the IN-
382 sfGFP protein into virions through its fusion to Vpr. A separate study observed that the
383 viral genomic RNA labeled with 5-ethynyl uridine was lost quicker from cells upon CA
384 destabilization by the K203A mutant, and curiously, upon CA stabilization by the E45A
385 change [23]. As this study assessed the stability of vRNA in the absence of RT
386 inhibitors, it is possible that the faster loss of vRNAs with the E45A mutant is due to
387 quicker rates of reverse transcription, and hence RNaseH-dependent degradation. Note

388 that we assessed the fates of vRNAs in the presence of RT inhibitor nevirapine to
389 precisely address this problem and circumvent RNaseH-dependent degradation of the
390 vRNA genome during reverse transcription. In addition, we believe that the direct
391 assessment of the behavior of the vRNA genome is another technical strength of our
392 study.

393 The study of retroviral infection is inherently complicated by the fact that a large
394 fraction of physical particles that enter cells are non-infectious. As a result, it is often
395 assumed that the majority of the infection events studied in biochemical experiments,
396 which depend on the analysis of bulk infected cells, are largely composed of non-
397 infectious viruses [19]. However, we believe that using pgsA-745 cells, which can be
398 very efficiently infected with VSV-G pseudotyped particles (as also observed by others
399 [25]), together with synchronizing the infections, largely mitigates this problem. In fact,
400 while we cannot exclude the possibility that the dense CA containing vRNP complexes
401 that we detect in fate of core assays (Fig, 6, 8) are blocked at downstream events
402 following reverse transcription, they appear to be capable of at least completing reverse
403 transcription. This is based on two observations. First, levels of vRNA detected
404 throughout the gradient decreased substantially if RT inhibitors were omitted during
405 infection, suggesting their efficient reverse transcription (data not shown). This also
406 indicates that the amount of viruses trapped in endosomes, which would appear in
407 middle membrane-containing fractions of the gradients, or viruses being degraded,
408 which would appear in top fractions containing soluble proteins and RNA molecules, is
409 relatively low under these conditions. Second, assuming that the intermediate
410 processing steps work at similar efficiency, the copy numbers of vRNA and vDNA were
411 similar, again suggesting the efficient conversion of vRNA to vDNA by RT.

412 We have previously shown that HIV-1 IN exhibits a key, non-catalytic role in
413 particle maturation that involves its binding to the vRNA genome [63]. Inhibition of IN-

414 RNA interactions leads to mislocalization of vRNPs outside of the CA lattice [63], and
415 subsequent loss of both the vRNA genome and IN in target cells [90]. Similar loss of the
416 vRNA and IN upon destabilization of the CA lattice, without any apparent effect on IN-
417 RNA and NC-RNA interactions, strongly suggests that it is protection by the CA lattice
418 that matters for the stability of vRNPs as opposed to IN-RNA interaction *per se*.

419 It remains unknown why the unprotected vRNA and IN are prematurely lost in
420 target cells. One possible hypothesis is that HIV-1 RNAs are inherently unstable due to
421 their AU-rich nucleotide content [114-116], similar to certain cellular mRNAs encoding for
422 cytokines and growth factors [117]. Another is that virion-associated enzymes nick and
423 deadenylate vRNAs in virions [118-120], predisposing them to degradation upon
424 entering target cells. While IN undergoes proteasomal degradation when ectopically
425 expressed alone in cells [108-112], we have found that proteasome inhibition does not
426 rescue the loss of vRNA or IN during infection (Fig. 8 & [90]). Whether the premature
427 loss of unprotected vRNA and IN from infected cells is due to another cellular
428 mechanism or inherent instability of vRNPs remains to be determined.

429 Our findings may have implications for how HIV-1 nucleic acids are recognized in
430 infected cells by host innate sensors. Shielding of the vRNPs and the resulting reverse
431 transcription products by CA has been proposed to prevent their recognition by cytosolic
432 nucleic acid sensors in immune cells subsets such as dendritic cells and macrophages
433 [53, 56]. For example, perturbation of CA interactions with host cell factors cyclophilin A
434 (CypA) and cleavage and polyadenylation specificity factor subunit 6 (CPSF6) can
435 trigger innate immune responses and interferon (IFN) production in macrophages [55]
436 and monocyte-derived dendritic cells [54]. However the extent of type-I IFN production
437 upon sensing has been variable and dependent on cell-type and culture conditions [121].
438 For instance, the lack of a robust type I IFN response upon HIV-1 infection of
439 macrophages can be explained by degradation of excess reverse transcription products

440 by the cytosolic exonuclease TREX1 [122], as well as negative regulation of host factors
441 by viral accessory proteins [123]. In other settings, cyclic guanosine monophosphate–
442 adenosine monophosphate synthase (cGAS) and the adaptor protein stimulator of
443 interferon genes (STING), as well as other regulators and downstream effectors, have
444 been proposed to be involved in recognition of HIV-1 DNA [52, 124, 125]. It will be
445 important in the future to determine whether the time window between the exposure of
446 vRNPs and their degradation is sufficiently long to allow innate immune recognition to
447 occur.

448 Overall, our findings highlight a critical role for the CA lattice in protecting vRNPs
449 from premature degradation in target cells and causally link how CA stability may impact
450 reverse transcription. Given the broad network of essential interactions between CA
451 molecules within the lattice and cellular factors in target cells, HIV-1 CA is emerging as a
452 viable new target for anti-retroviral therapy [17]. Compounds that target CA can disrupt
453 the assembly of the CA lattice and particle morphogenesis [91, 126-129], alter the
454 stability of the CA lattice and/or uncoating [91, 130-132], and inhibit reverse transcription
455 [91, 126, 129, 130, 132, 133] and nuclear entry [134-136] in target cells. Expectedly, CA
456 is highly sensitive to mutations [137], making it an exceptionally viable drug target as
457 resistance mutations would likely come at a high fitness cost to the virus.

458

459 **MATERIALS AND METHODS**

460 **Chemicals and reagents**

461 Standard laboratory chemicals were obtained from reputable suppliers such as Sigma-
462 Aldrich. The RT inhibitor nevirapine was obtained from the NIH AIDS Repository, while
463 compound C1 was synthesized as described previously [90].

464 **Plasmids**

465 The pNLGP plasmid consisting of the HIV-1_{NL4-3}-derived Gag-Pol sequence inserted into
466 the pCR/V1 plasmid backbone [138] and the CCGW vector genome plasmid carrying a
467 GFP reporter under the control of the CMV promoter [139, 140] were previously
468 described. Mutations in the CA coding sequence were introduced into both the pNLGP
469 plasmid and pNL4-3 by overlap extension PCR. Briefly, forward and reverse primers
470 containing CA mutations were used in PCR reactions with antisense and sense outer
471 primers containing unique restriction endonuclease sites (EcoRI-sense, NotI-antisense
472 for NLGP and BssHII-sense-SphI-antisense or SphI-sense-AgeI-antisense for pNL4-3),
473 respectively. The resulting fragments containing CA mutations were mixed at 1:1 ratio
474 and overlapped subsequently using the outer sense and antisense primer pairs. PCR
475 products were digested with the corresponding restriction endonucleases and ligated
476 with appropriately digested pNLGP or pNL4-3 plasmid vector fragments. Presence of
477 engineered mutations and lack of unwanted extraneous mutations were verified by
478 Sanger sequencing.

479

480 **Cells and viruses**

481 HEK293T cells (ATCC CRL-11268) and HeLa-derived TZM-bl cells (NIH AIDS Reagent
482 Program) were maintained in Dulbecco's modified Eagle's medium supplemented with
483 10% fetal bovine serum. CHO K1-derived pgsA-745 cells (CRL-2242, ATCC) were
484 maintained in Dulbecco's modified Eagle's / F12 (1:1) media supplemented with 10%
485 fetal bovine serum and 1 mM L-glutamine. MT-4 T cells (NIH AIDS Reagents) were
486 grown in RPMI media supplemented with 10% fetal bovine serum. Vesicular stomatitis
487 virus G protein (VSV-G)-pseudotyped virus-like particles (VLPs) were produced by
488 transfection of HEK293T cells with pNLGP-derived plasmids, the CCGW vector genome
489 carrying GFP, and VSV-G expression plasmid at a ratio of 5:5:1, respectively, using
490 polyethyleneimine (PolySciences, Warrington, PA). VSV-G-pseudotyped viruses were

491 produced by transfecting HEK293T cells with the pNL4-3-derived plasmids and VSV-G
492 plasmid at a ratio of 4:1 (pNL4-3:VSV-G).

493

494 **Immunoblotting**

495 Viral and cell lysates were resuspended in SDS sample buffer and separated by
496 electrophoresis on Bolt 4-12% Bis-Tris Plus gels (Life Technologies), blotted onto
497 nitrocellulose membranes, and probed with the following antibodies: mouse monoclonal
498 anti-HIV p24 antibody (183-H12-5C, NIH AIDS reagents), mouse monoclonal anti-HIV IN
499 antibody [141], rabbit polyclonal anti-HIV IN antibody raised in-house against the Q44-
500 LKGEAMHGQVD-C56 peptide. Blots were then probed with fluorophore-conjugated
501 secondary antibodies (LI-COR) and scanned using a LI-COR Odyssey system. IN and
502 CA levels in virions were quantified using the Image Studio software.

503

504 **Equilibrium density sedimentation of virion core components *in vitro***

505 Equilibrium density sedimentation of virion core components was performed as
506 previously described [90]. Briefly, HEK293T cells grown on 10-cm dishes were
507 transfected with NLGP or derivative plasmids. Two days post-transfection, cell-free
508 virions collected from cell culture supernatants were pelleted through a 20% sucrose
509 cushion. Pelleted VLPs were resuspended in 1x PBS and treated with 0.5% Triton X-100
510 for 2 min at room temperature. Immediately after, samples were layered on top of 30-
511 70% linear sucrose gradients in 1X STE buffer (100 mM NaCl, 10 mM Tris-Cl (pH 8.0), 1
512 mM EDTA) and centrifuged for 16 h at 4°C and 28500 rpm, respectively, using an
513 SW55Ti rotor. Fractions (500 µL) collected from the top were analyzed for IN by
514 immunoblotting using a mouse monoclonal anti-IN antibody [141], anti-HIV p24 antibody
515 (183-H12-5C, NIH AIDS reagents), rabbit polyclonal anti-MA antibody (4811, NIH AIDS
516 reagents), and Q-PCR-based assays for RT activity [142] and vRNA.

517 **Analysis of virion core components in infected cells**

518 Biochemical analysis of retroviral cores in infected cells was performed as
519 described previously [95]. Briefly, pgsA-745 cells were mixed with VSV-G pseudotyped
520 single cycle GFP-reporter viruses or its derivatives at 4°C. Following the removal of virus
521 inoculum and extensive washes with 1X PBS, cells were incubated at 37°C for 2 h. For
522 analysis of vRNA, 25 µM nevirapine was included throughout the infections to prevent its
523 degradation during reverse transcription due to RNase H activity. Post-nuclear
524 supernatants were separated by ultracentrifugation on 10-50% linear sucrose gradients
525 using a SW50.1 rotor at 30,000 rpm for 1 h. Ten 500 µl fractions from the top of the
526 gradient were collected, and CA, IN, RT activity, vRNA and vDNA in each fraction were
527 analyzed by either immunoblotting or Q-PCR as above and detailed in [95].

528 **Virus production and transmission electron microscopy**

529 Cell-free HIV-1 virions were isolated from transfected HEK293T cells. Briefly, cells grown
530 in two 15-cm dishes (10^7 cells per dish) were transfected with 30 µg full-length proviral
531 plasmid DNA containing the WT sequence or indicated CA mutations using PolyJet DNA
532 transfection reagent as recommended by the manufacturer (SignaGen Laboratories).
533 Two days after transfection, cell supernatants were filtered through 0.22 µm filters and
534 pelleted by ultracentrifugation using a Beckman SW32-Ti rotor at 26,000 rpm for 2 h at 4
535 °C. Fixative (2.5% glutaraldehyde, 1.25% paraformaldehyde, 0.03% picric acid, 0.1 M
536 sodium cacodylate, pH 7.4) was gently added to resulting pellets, and samples were
537 incubated overnight at 4 °C. The following steps were conducted at the Harvard Medical
538 School Electron Microscopy core facility. Samples were washed with 0.1 M sodium
539 cacodylate, pH 7.4 and postfixed with 1% osmium tetroxide /1.5% potassium
540 ferrocyanide for 1 h, washed twice with water, once with maleate buffer (MB), and
541 incubated in 1% uranyl acetate in MB for 1 h. Samples washed twice with water were

542 dehydrated in ethanol by subsequent 10 min incubations with 50%, 70%, 90%, and then
543 twice with 100%. The samples were then placed in propyleneoxide for 1 h and infiltrated
544 overnight in a 1:1 mixture of propyleneoxide and TAAB Epon (Marivac Canada Inc.). The
545 following day the samples were embedded in TAAB Epon and polymerized at 60 °C for
546 48 h. Ultrathin sections (about 60 nm) were cut on a Reichert Ultracut-S microtome,
547 transferred to copper grids stained with lead citrate, and examined in a JEOL 1200EX
548 transmission electron microscope with images recorded on an AMT 2k CCD camera.
549 Images were captured at 30,000 x magnification, and over 100 viral particles per sample
550 were counted by visual inspection.

551 **Nano differential scanning fluorimetry (NanoDSF) analysis of CA assemblies**

552 Purified HIV-1 CA proteins (WT, P38A, P38A/T216I, E45A, K203A, and Q219A) were
553 obtained using published protocols [143]. CA tubes were assembled by incubating
554 protein (~10 mg/mL) in 50 mM Tris, pH 8.0, 1 M NaCl, 20 mM β-mercaptoethanol for 2 h
555 at 37 °C. Unassembled protein were removed by centrifugation, and samples were then
556 diluted 10-fold into the same buffer and incubated for 10 min at room temperature prior
557 to loading onto nano-capillaries. NanoDSF profiles were measured with a Tycho system
558 (Nanotemper). The first derivative profiles were then fit as a sum of Gaussian curves in
559 Excel (Microsoft).

560

561 **Analysis of vRNA in synchronously infected MT4 cells**

562 MT4 cells ($3-6 \times 10^6$) were cooled to 4°C and infected with HIV-1_{NL4-3}/VSV-G in the
563 presence of 5 μM polybrene and 25 μM nevirapine. Equivalent number of particles for
564 CA mutant viruses (as normalized by RT activity) was used to infect cells in parallel.
565 Cells were incubated with viruses at 4°C for 30 min to allow binding, followed by three
566 washes with ice-cold 1xPBS to remove unbound virus. Cells were then shifted to 37°C in
567 the presence of 25 μM nevirapine to allow virus entry. In some experiments 50 mM

568 ammonium chloride was included at this stage to prevent endosome acidification, and
569 hence viral entry. Infected cells were collected at 0, 2, 4, 6 and 24 hpi and RNA was
570 extracted by Trizol. Resulting RNA was reverse transcribed and subjected to Q-PCR
571 analysis for viral genomic RNA.

572 **FIGURE LEGENDS**

573 **Figure 1. CA stability mutants and compound 1 (C1)-treated viruses. (A)** Location of
574 CA mutations depicted on the top view of the CA hexamer crystal structure (PDB 4XFX
575 [144]). Close-up of two adjacent CA subunits, colored in gray and yellow with substituted
576 residues shown as colored spheres, is depicted on the right. **(B, C)** HEK293T cells were
577 transfected with pNL4-3 bearing the indicated CA mutations. Cell lysates and purified
578 virions were harvested two days post transfection and analyzed by immunoblotting for
579 CA, NC, IN and RT (B). Virus titers were determined on TZM-bl cells (C). **(D, E)**
580 HEK293T cells were transfected with NLGP-expression plasmid bearing the indicated
581 CA mutations alongside a packagable vRNA (V1B) and VSV-G expression plasmid. Cell
582 lysates and purified virions were harvested two days post transfection and analyzed by
583 immunoblotting for CA, NC and IN (D), and virus titers were determined on TZM-bl cells
584 (E). **(F-H)** HEK293T cells transfected with pNL4-3 were treated with indicated
585 concentrations of C1. Resulting virus titers were determined using TZM-bl cells (F). Cell
586 lysates (G) and purified virions (H) were harvested two days post transfection and
587 analyzed by immunoblotting for CA, NC and IN. Data in A-H is representative of 2-4
588 independent experiments.

589 **Figure 2. CA stability mutants alter particle morphology but not IN-RNA and NC-**
590 **RNA complex formation. (A)** Representative TEM images of WT and CA mutant HIV-
591 1_{NL4-3} virions. Magnification is 30,000x (scale bar, 100 nm). Black arrows indicate mature
592 particles containing conical or round cores with associated electron density; triangles
593 indicate eccentric particles with electron dense material situated between translucent

594 cores and the viral membrane; diamonds indicate immature particles. **(B)** Quantification
595 of virion morphologies from two independent experiments (average \pm SD; more than 100
596 particles counted per experiment). Significance of differences versus matched WT
597 morphology was assessed by t-test. $p < 0.05$ (*), $p < 0.01$ (**), $p < 0.001$ (***),
598 $p < 0.0001$ (****). **(C, D)** Representative autoradiograms of IN-RNA and NC-RNA adducts
599 immunoprecipitated from WT or CA mutant HIV-1_{NL4-3} virions (C) or HIV-1_{NL4-3} virions
600 generated from cells treated with 100 μ M C1 (D). The amount of immunoprecipitated
601 material was normalized such that equivalent levels of WT and mutant IN proteins were
602 loaded on the gel, as also evident in the immunoblots shown below. Levels of IN, NC
603 and CA in input virion lysates is shown in the lower immunoblots. Data are
604 representative of three independent replicates.

605 **Figure 3. Estimation of relative intrinsic CA tube stability.** (A) Schematic of the
606 nanoDSF assay. Assembled tubes were diluted 10-fold into assembly buffer and the
607 thermal melting profile of the sample was measured. (B) The first derivative profile (black
608 circles) of unassembled WT protein control can be deconvoluted into two component
609 peaks of distinct melting points, indicated by T1 and T2. The components, which we
610 assign to the NTD (T2) and CTD (T1), are modeled as Gaussian curves (gray). The sum
611 of the Gaussian components is shown by the red curve. (C) The profile of assembled
612 WT CA tubes contains an additional, third component with a higher melting point (T3),
613 which arises from the fraction of protein that remained assembled after dilution. The
614 height of the T3 Gaussian component compared to T2 and T1 indicates the relative
615 proportion of intact tubes that remained after dilution, and is therefore a direct readout of
616 intrinsic CA lattice stability. (D-I) Profiles of the indicated mutants. Data is representative
617 of two independent biological replicates.

618 **Figure 4. Effects of CA mutations and C1 on the stability of HIV-1 cores in vitro.**
619 HIV-1_{NL4-3}-derived virions bearing the indicated CA mutations or grown in the presence of

620 100 μ M C1 were subjected to equilibrium density sedimentation following treatment with
621 0.5% Triton as detailed in Materials & Methods. Ten fractions collected from the top of
622 the sucrose gradients were analyzed for CA (A, B), MA (C), RT (D), and IN (E, F) by
623 immunoblotting or a Q-PCR-based RT activity assay. Results in B & D (mean values \pm
624 SEM) quantify CA content and RT activity from three independent biological replicate
625 experiments; panel F quantifies the results of one of three representative IN
626 immunoblots.

627 **Figure 5. Analyses of compensatory CA mutant viruses. (A-C)** HIV-1_{NL4-3}-derived
628 virions bearing P38A or P38A/T216A substitutions were subjected to equilibrium density
629 sedimentation as in Fig. 4. Ten fractions collected from the top of the sucrose gradients
630 were analyzed for CA (A, B), IN (A) and RT (C) by immunoblotting or a Q-PCR-based
631 RT activity assay. **(D-F)** WT and HIV-1_{NL4-3}-derived virions bearing the CA R132T
632 substitution that confers resistance to C1 were generated in the presence of 100 μ M C1
633 and subjected to equilibrium density sedimentation as in Fig. 4. CA (D, E), IN (D) and RT
634 (F) in collected fractions were detected by immunoblotting or a Q-PCR-based RT activity
635 assay. Data in A and D are representative of four independent experiments. Data in B,
636 C, E, F (mean values \pm SEM) show the quantitation of CA immunoblots and RT activity
637 over four independent experiments.

638 **Figure 6. Fates of viral cores with altered stabilities in infected cells.** PgsA-745
639 cells were synchronously infected with VSV-G pseudotyped GFP reporter HIV-1 bearing
640 the indicated CA substitutions (A-D) or generated in the presence of 100 μ M C1 (E-H).
641 Cells were processed at 2 hpi as explained in Materials and Methods. Proteins in
642 fractions were analyzed by western blotting using antibodies against CA-p24 (A, E) and
643 IN (B, F). Nucleic acids detected by PCR are shown in panels C and G (vRNA) and D
644 and H (vDNA). Immunoblots in A, B, E, F are representative of three independent

645 experiments. Data in C, D, G, H show mean values from three independent experiments,
646 with error bars representing the SEM.

647 **Figure 7. Destabilization of the CA lattice leads to the loss of vRNAs in target**
648 **cells. (A,B)** MT4 cells were synchronously infected with HIV-1_{NL4-3}/VSV-G at the MOI of
649 1 i.u./cell for WT (equivalent particle numbers as normalized by RT activity was used for
650 the mutants). Following synchronization, cells were shifted to 37 °C in the presence of
651 25 µM nevirapine (A) or 25 µM nevirapine and 50 mM ammonium chloride (B). Cell-
652 associated vRNA at indicated time-points was analyzed by Q-RT-PCR. Data in A and B
653 are normalized relative to the amount of RNA detected at T=0 and T=2 hpi, respectively,
654 for each virus. Data are from six independent experiments. Wilcoxon matched-pairs
655 signed rank test was performed to assign significance (*, p<0.05).

656
657 **Figure 8. Inhibition of proteasomes does not rescue the degradation of viral cores**
658 **with decreased stability.** PgsA-745 cells were synchronously infected with the
659 indicated WT or CA mutant virus in the absence or presence (- or +) of 2 µM MG132,
660 and infected cells were processed at 2 hpi. Proteins in fractions were analyzed by
661 western blotting using antibodies against CA (A) and IN (B); vRNA was analyzed by Q-
662 RT-PCR (C). Viral titers from a representative experiment are shown in (D). Data are
663 derived from three independent experiments with error bars representing the SEM.

664 **Figure 9. Model of how CA stability affects downstream events in HIV-1**
665 **replication.**

666 **Figure S1-Supplementary to Figure 4: Statistical analysis of the data presented in**
667 **Figure 4.** Ratio paired one-tailed t-test was performed to determine statistically
668 significant decreases (black asterisks) compared to WT/mock sample in CA (A) and RT
669 (B) levels in fractions 7-10. p<0.05 (*), p<0.01 (**), p<0.001 (***).

670 **Figure S2-Supplementary to Figure 5: Statistical analysis of the data presented in**
671 **Figure 5.** Ordinary one-way ANOVA analysis was performed to determine statistically
672 significant changes in CA (A, C) and RT (B, D) levels in fractions 7-10. Significant
673 differences compared to the WT sample are denoted in black asterisks. Differences
674 between P38A vs. P38A/T216I mutants (A, B), and WT/C1 vs. R132T/C1 (C, D)
675 samples, were assessed by unpaired two-tailed t-test. These significance levels are
676 indicated in red asterisks. ns, not significant ($p>0.05$); $p<0.05$ (*), $p<0.01$ (**), $p<0.001$
677 (***), $p<0.0001$ (****).

678 **Figure S3-Supplementary to Figure 6: Fates of viral RT with altered stabilities in**
679 **infected cells.** PgsA-745 cells were synchronously infected with VSV-G pseudotyped
680 GFP reporter HIV-1, bearing the indicated CA substitutions. RT activity in fractions were
681 analyzed by a Q-PCR-based assay. Data is from three independent experiments.

682 **Figure S4-Supplementary to Figure 6: Statistical analysis of the data presented in**
683 **Figure 6.** Ordinary one-way ANOVA analysis was performed to determine statistically
684 significant changes in RNA (A, C) and DNA levels (B, D) in fractions 6-8. Significant
685 differences compared to the WT sample are denoted in black asterisks. Differences
686 between P38A vs. P38A/T216I mutants (A, B) and WT/C1 and R132T/C1 (C, D)
687 samples were assessed by unpaired two-tailed t-test, with these significance levels
688 indicated in red. $p<0.05$ (*), $p<0.01$ (**), $p<0.001$ (**).

689 **Figure S5-Supplementary to Figure 8: Statistical analysis of the data presented in**
690 **Figure 8.** Ordinary one-way ANOVA analysis was performed to determine statistically
691 significant changes in RNA levels in fractions 4-9. Differences relative to the WT (+)
692 sample are denoted in black asterisks, and differences resulting from MG132 treatment
693 are indicated in red. ns, not significant ($p>0.05$); $p<0.05$ (*), $p<0.01$ (**), $p<0.001$ (***),
694 $p<0.0001$ (****).

695 **Figure S6-Supplementary to Figure 8. Inhibition of proteasomes does not rescue**
696 **the degradation of viral cores with decreased stability.** PgsA-745 cells were
697 synchronously infected with VSV-G pseudotyped GFP reporter HIV-1 bearing the
698 indicated CA substitutions in the absence or presence of 2 μ M MG132, and infected
699 cells were processed at 2 hpi. Proteins in fractions were analyzed by western blotting
700 using antibodies against CA (A) and IN (B). Viral RNA in collected fractions was
701 analyzed by Q-RT-PCR (C). Viral titers from a representative experiments are shown in
702 (D). Data are derived from three independent experiments with error bars representing
703 the SEM.

704 **Figure S7- Effects of CA mutations and C1 on the stability of HIV-1 cores in vitro**
705 **and the impact of IP₆.** Indicated CA mutant viruses or WT grown in the presence of 100
706 μ M C1 were subjected to equilibrium density sedimentation following treatment with
707 0.5% Triton. Both the lysis buffer and gradients were supplemented with 10 mM IP₆. Ten
708 fractions collected from the top of the sucrose gradients were analyzed for CA (A, B),
709 MA (C), RT (D), and IN (E, F) by immunoblotting or a Q-PCR-based RT activity assay.
710 Data are representative of two independent experiments.

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716
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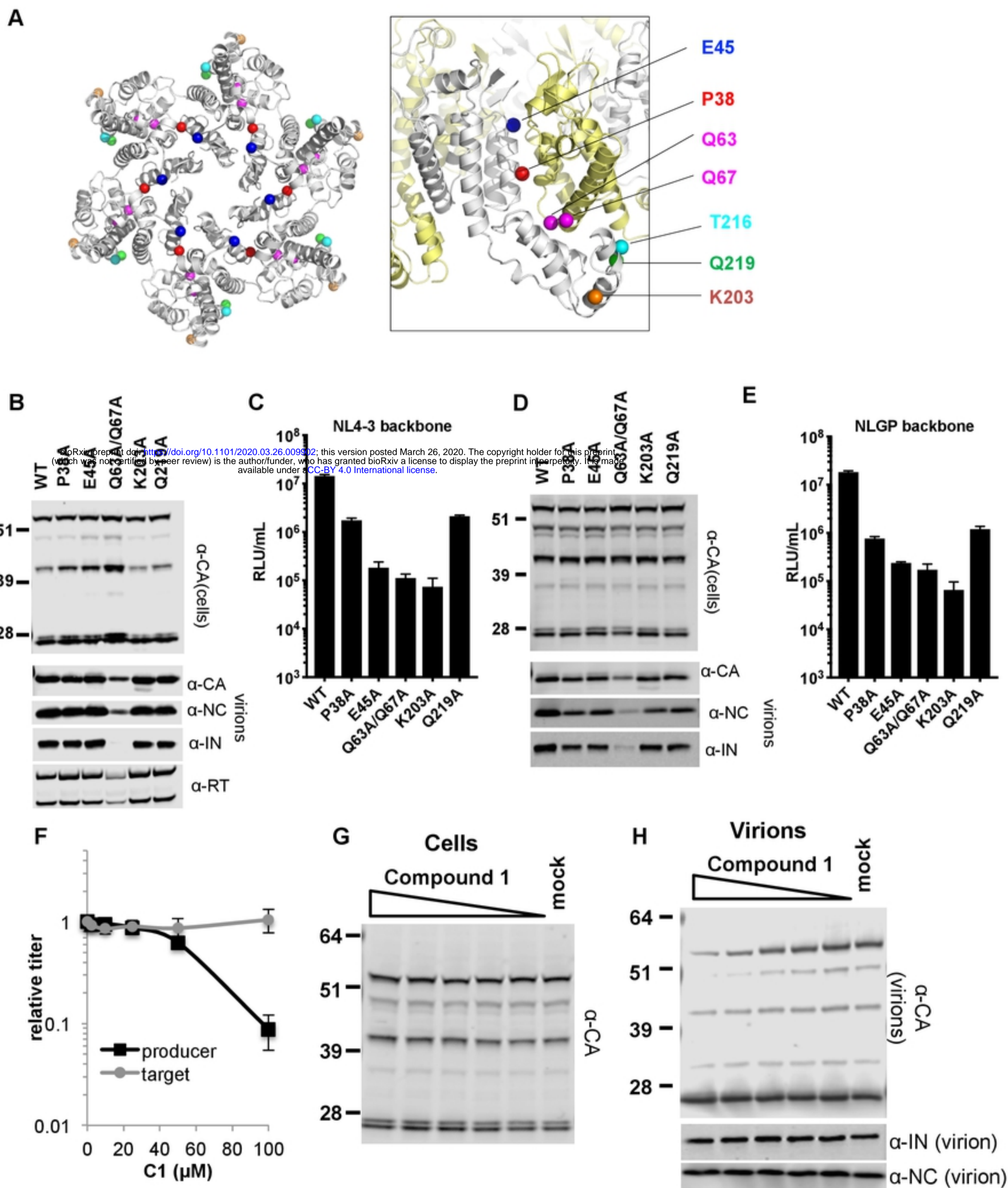
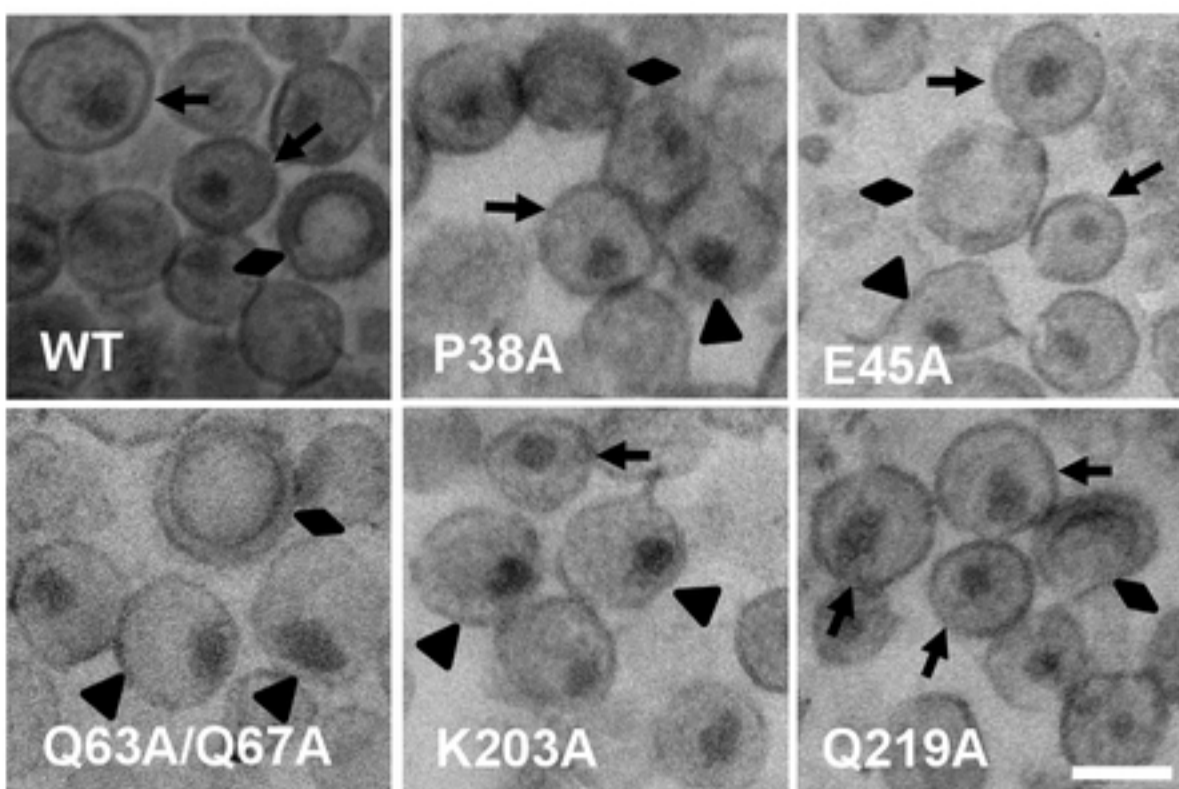
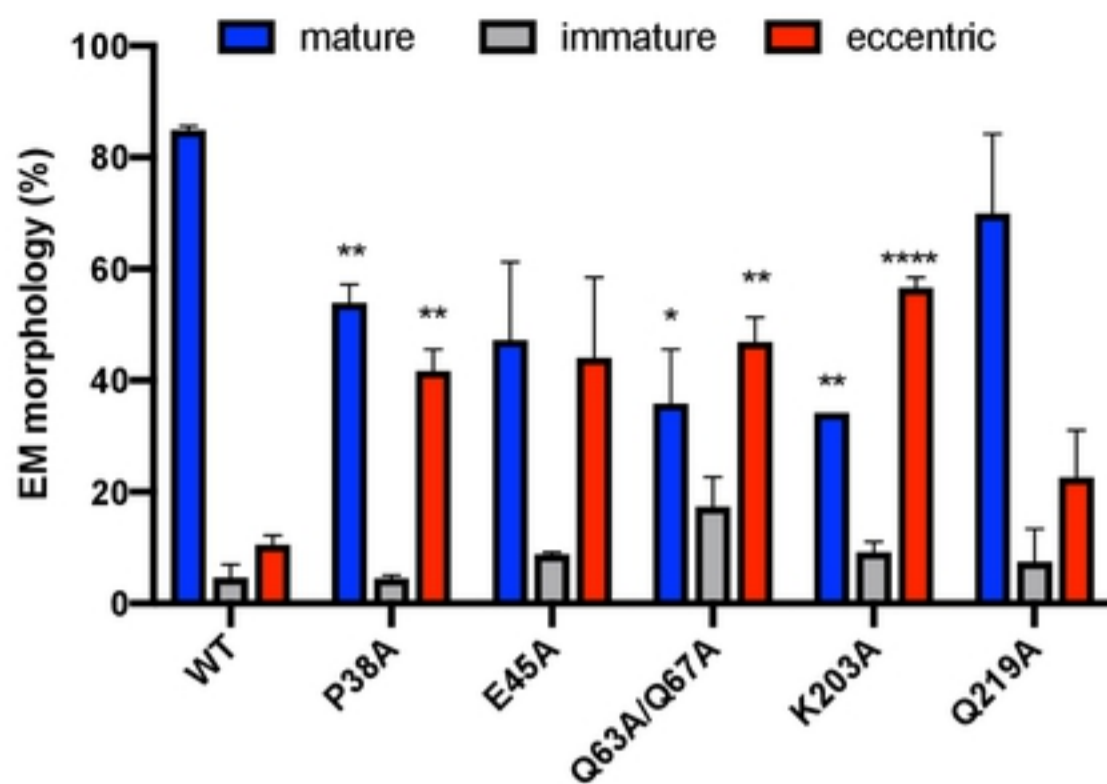


Figure 1

A**B**

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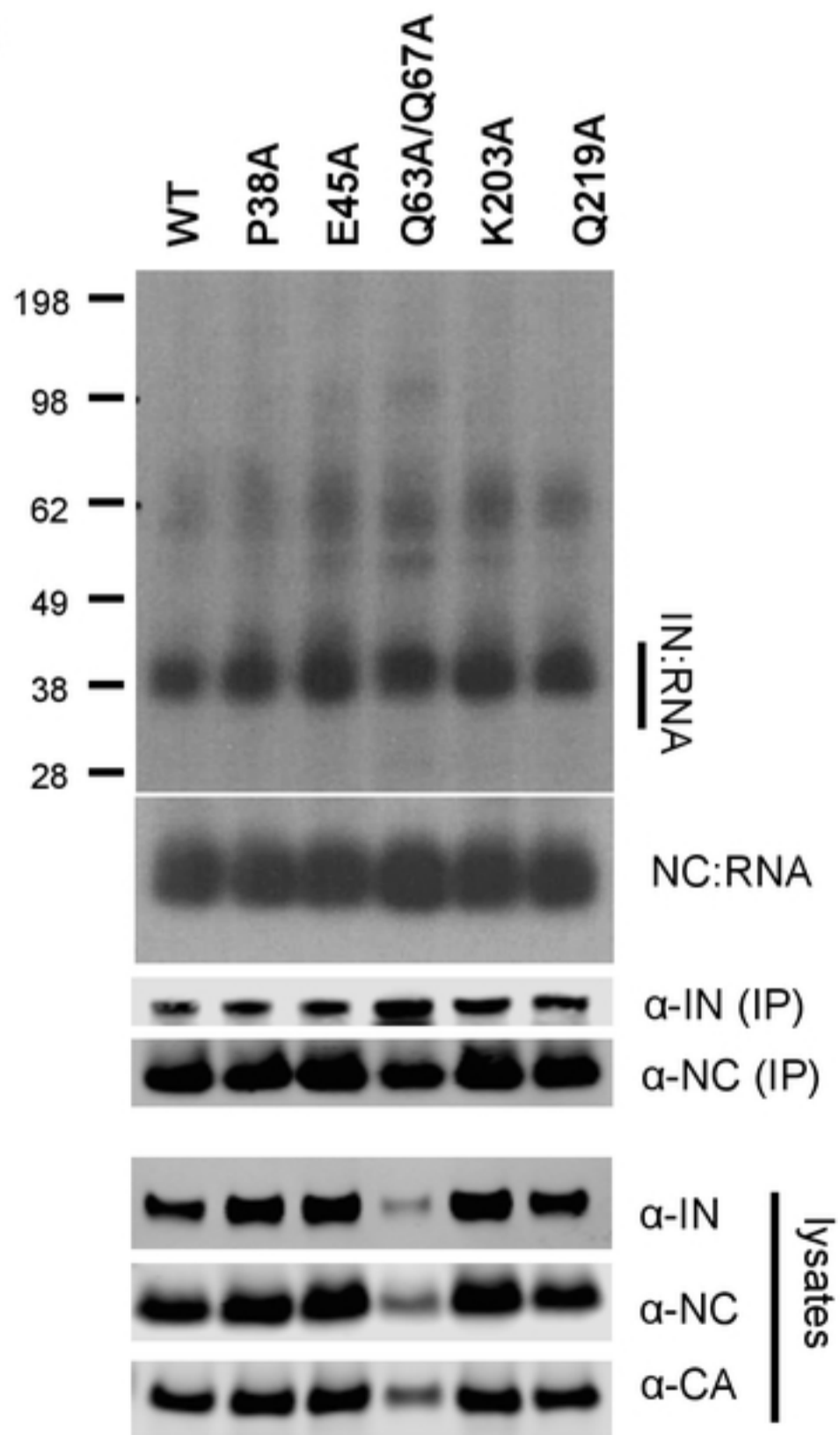
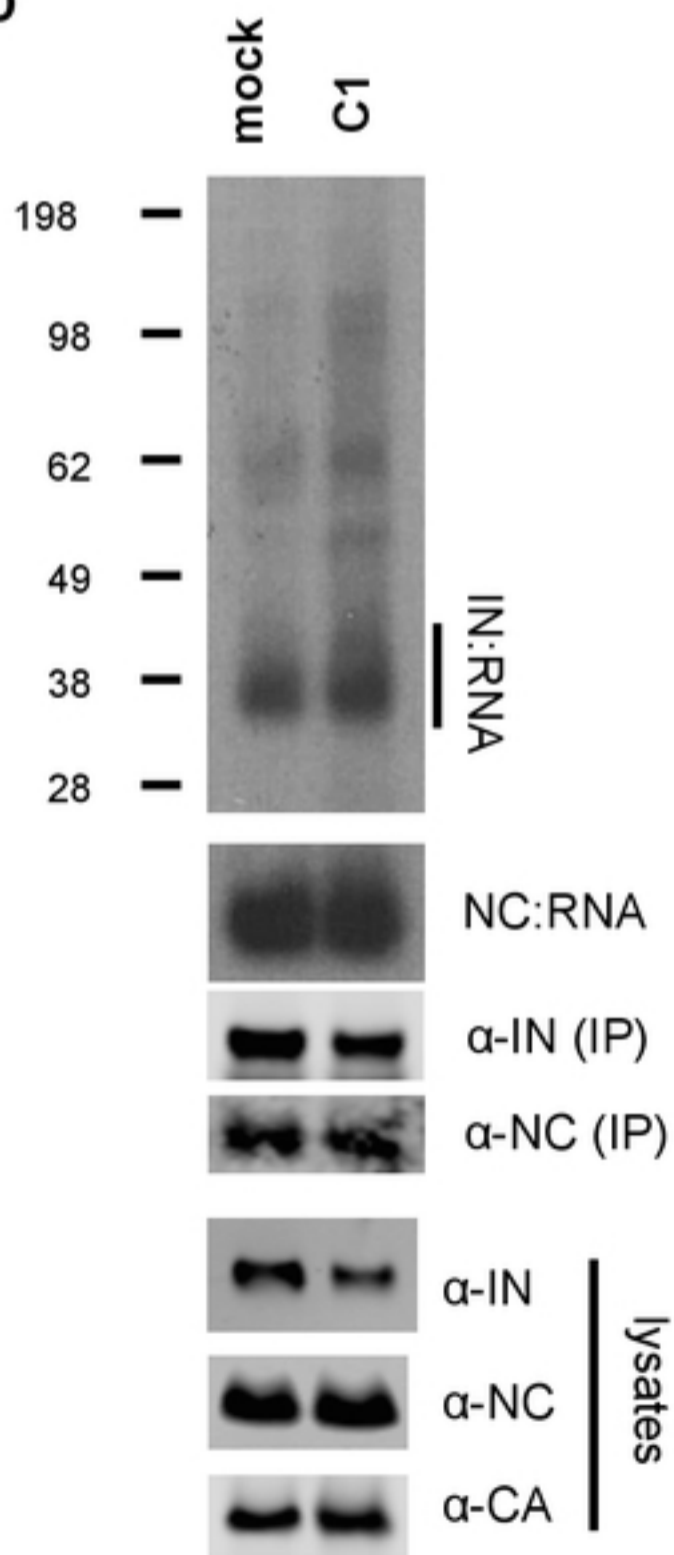
C**D**

Figure 2

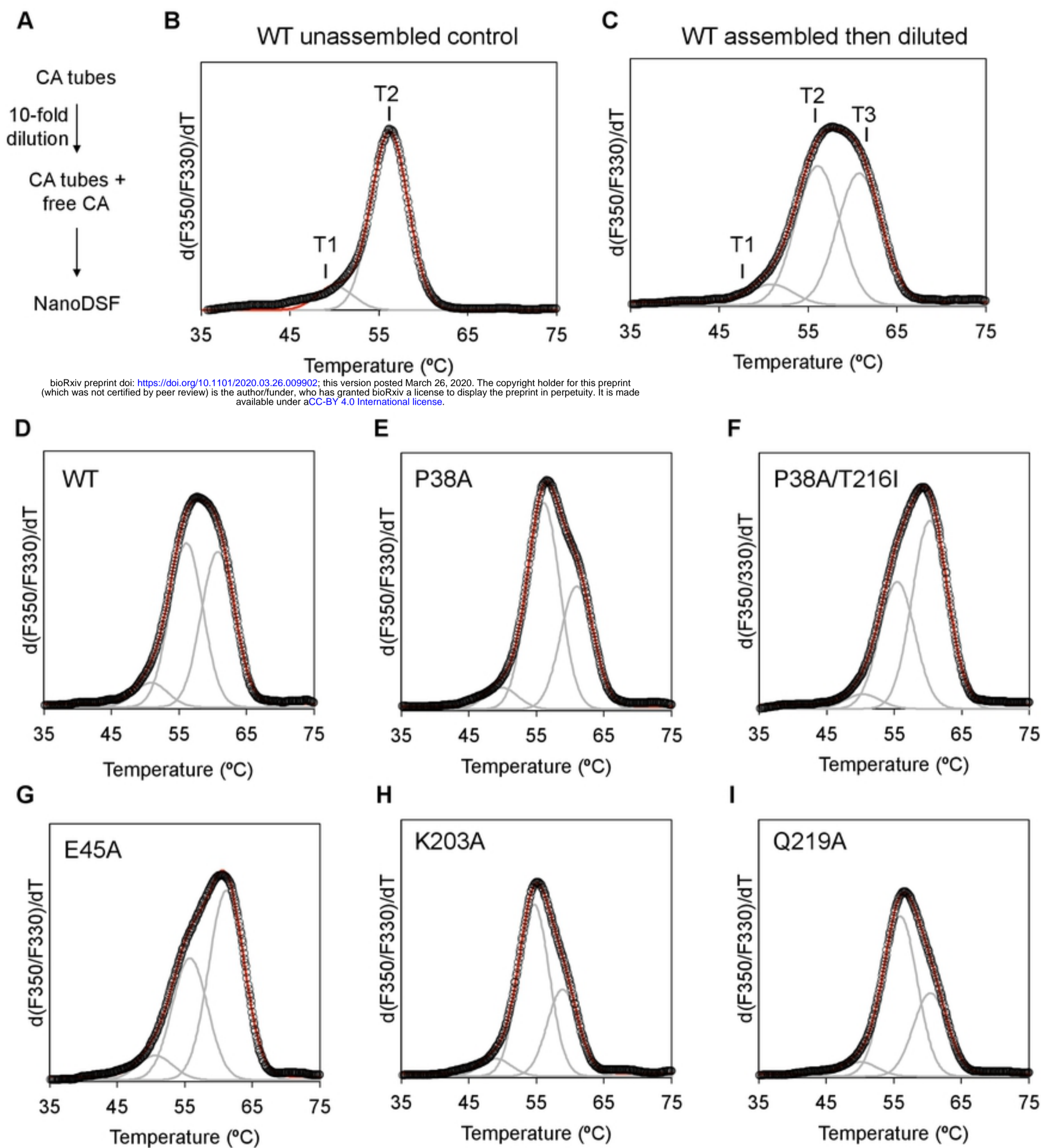


Figure 3

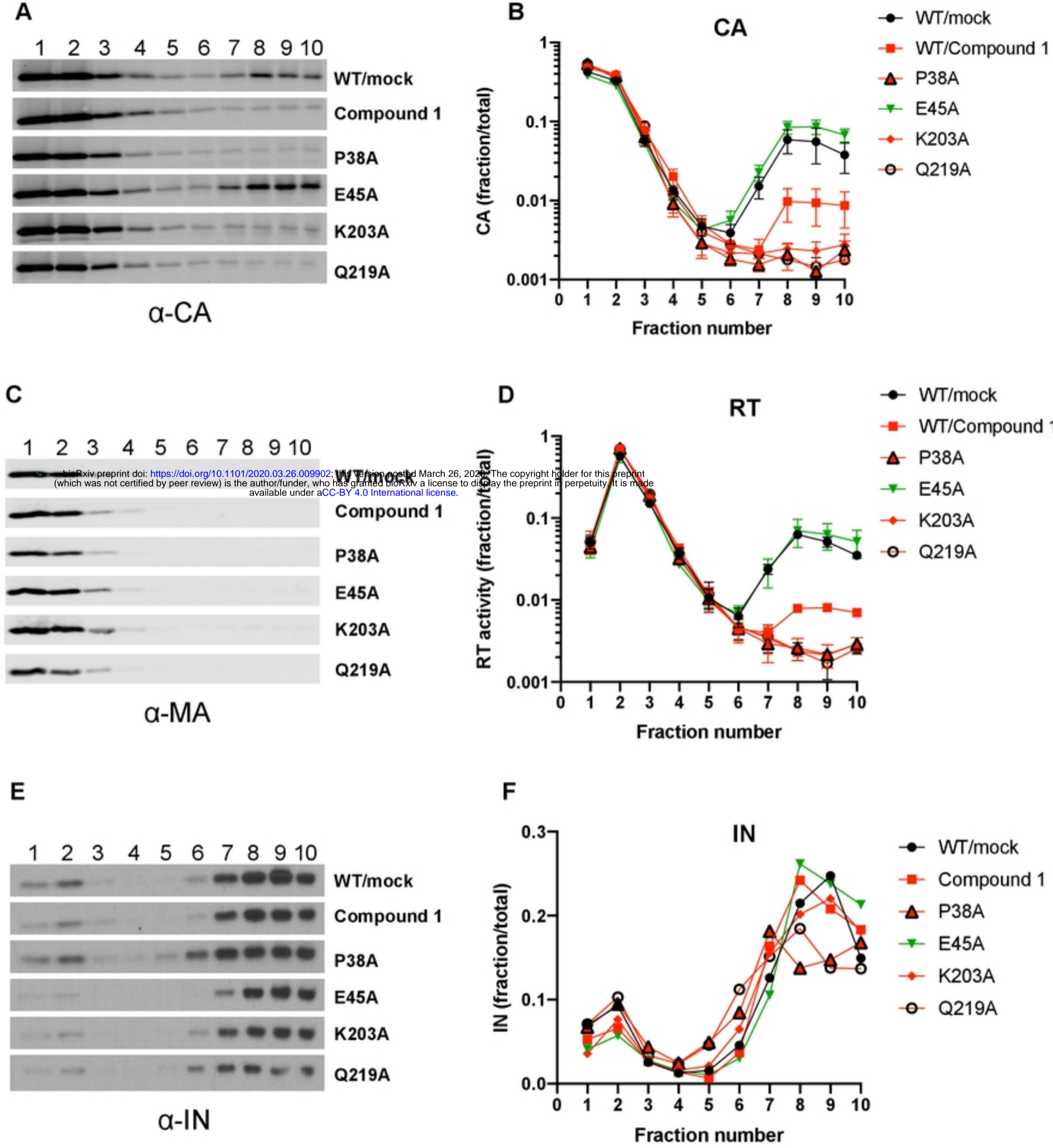


Figure 4

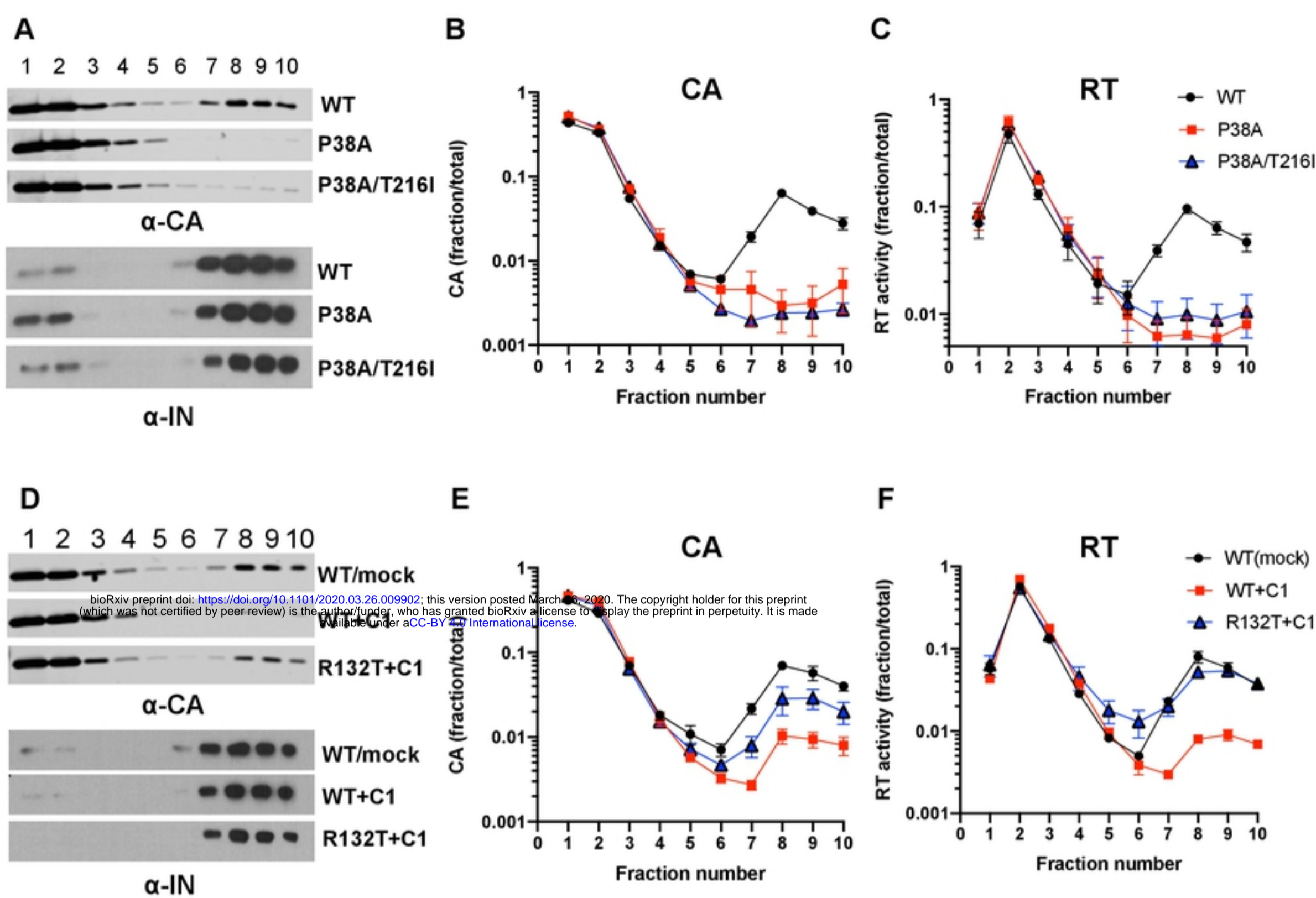
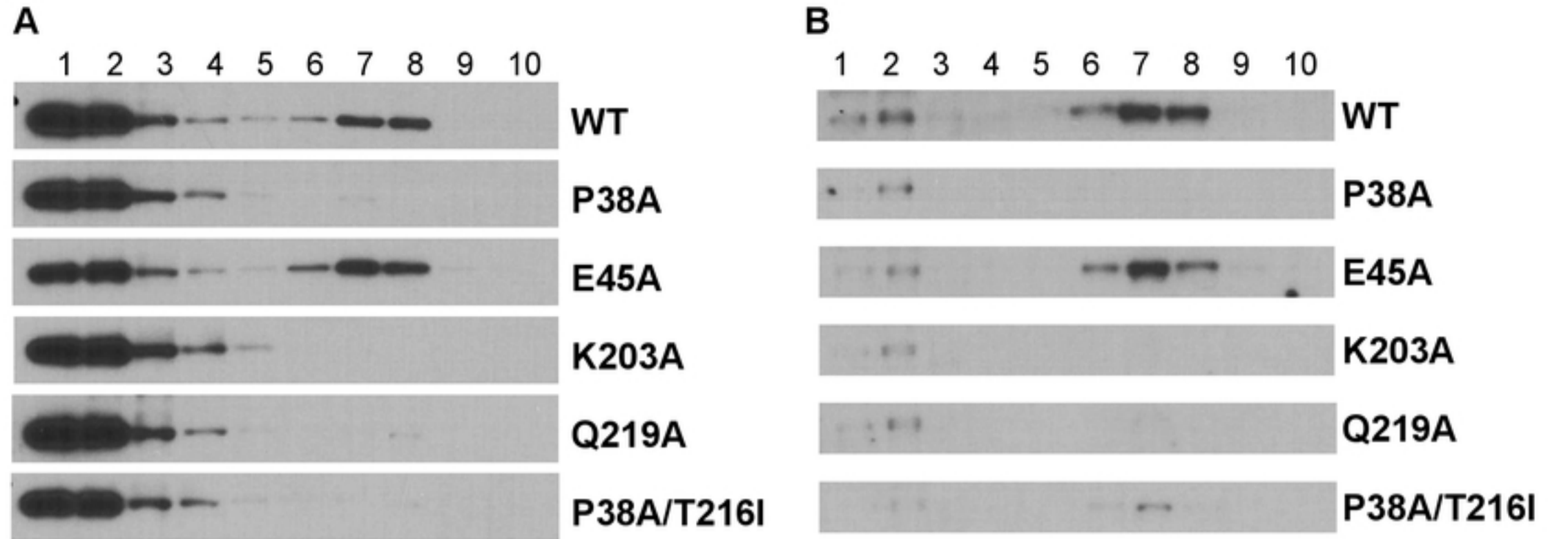
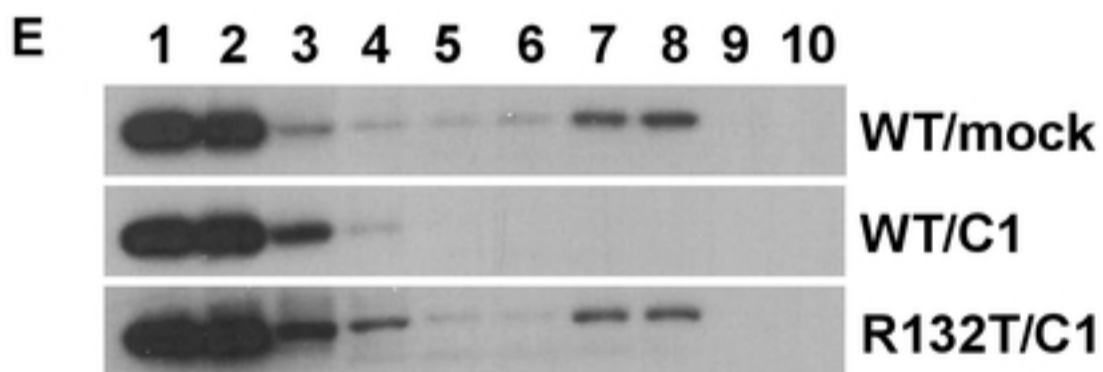
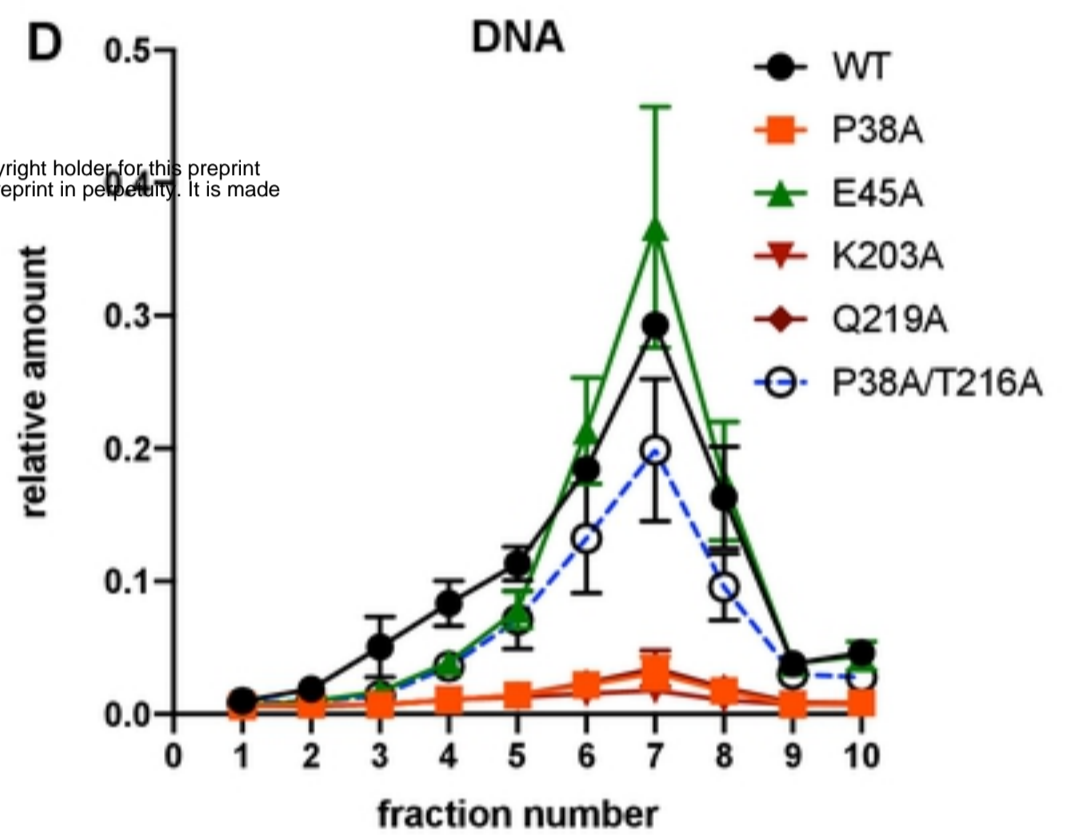
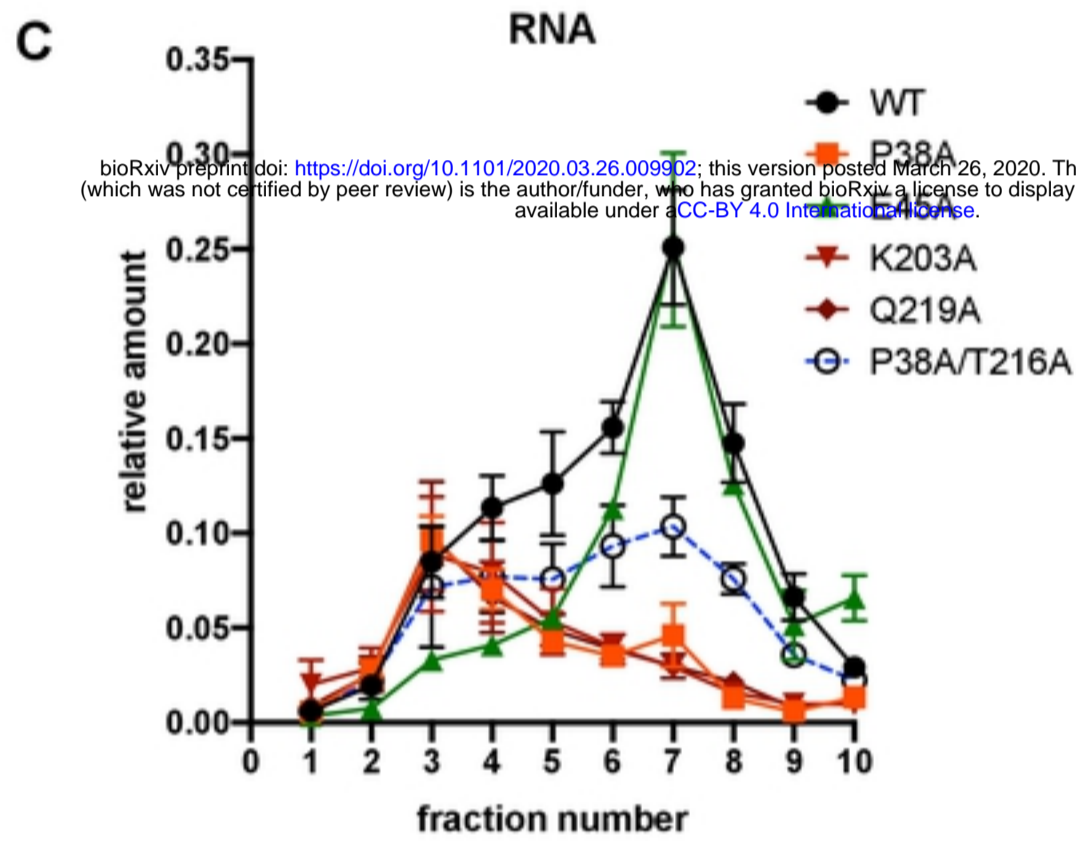


Figure 5

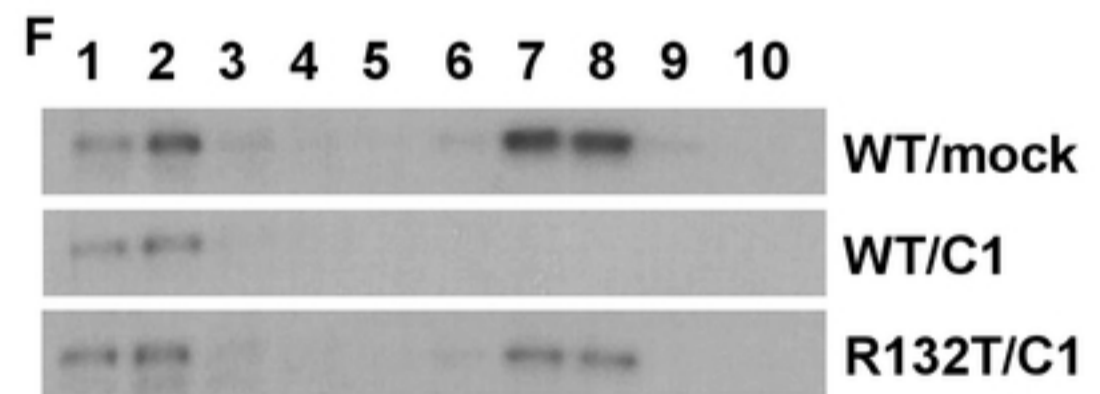


α -CA

α -IN



α -CA



α -IN

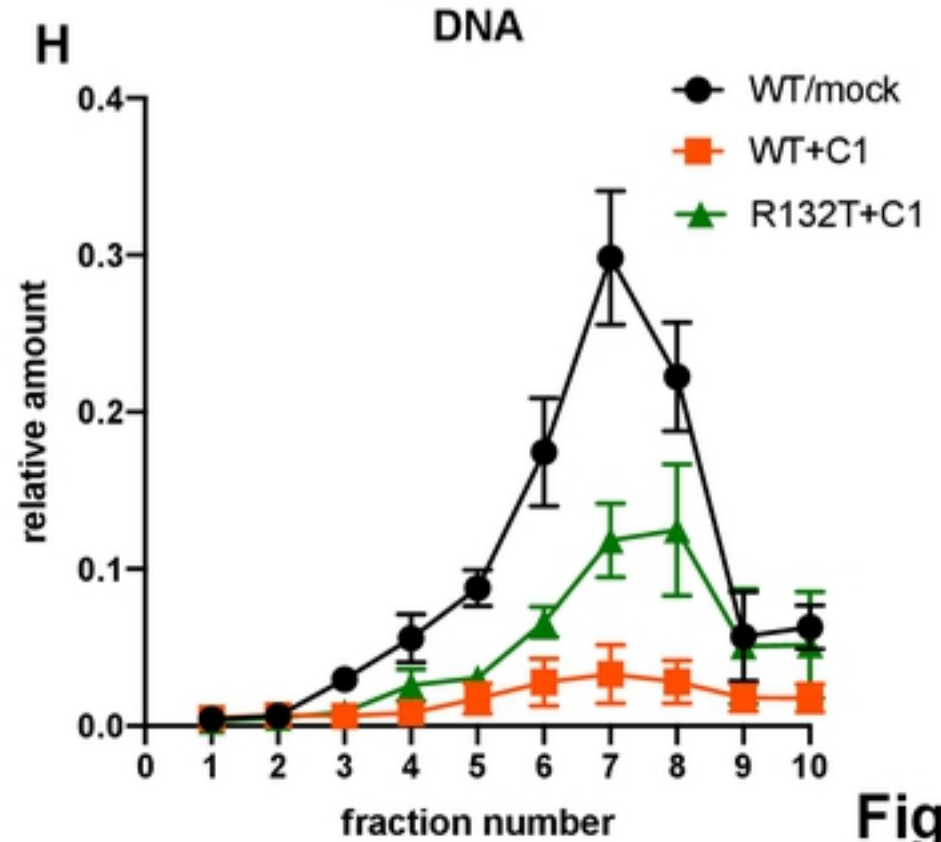
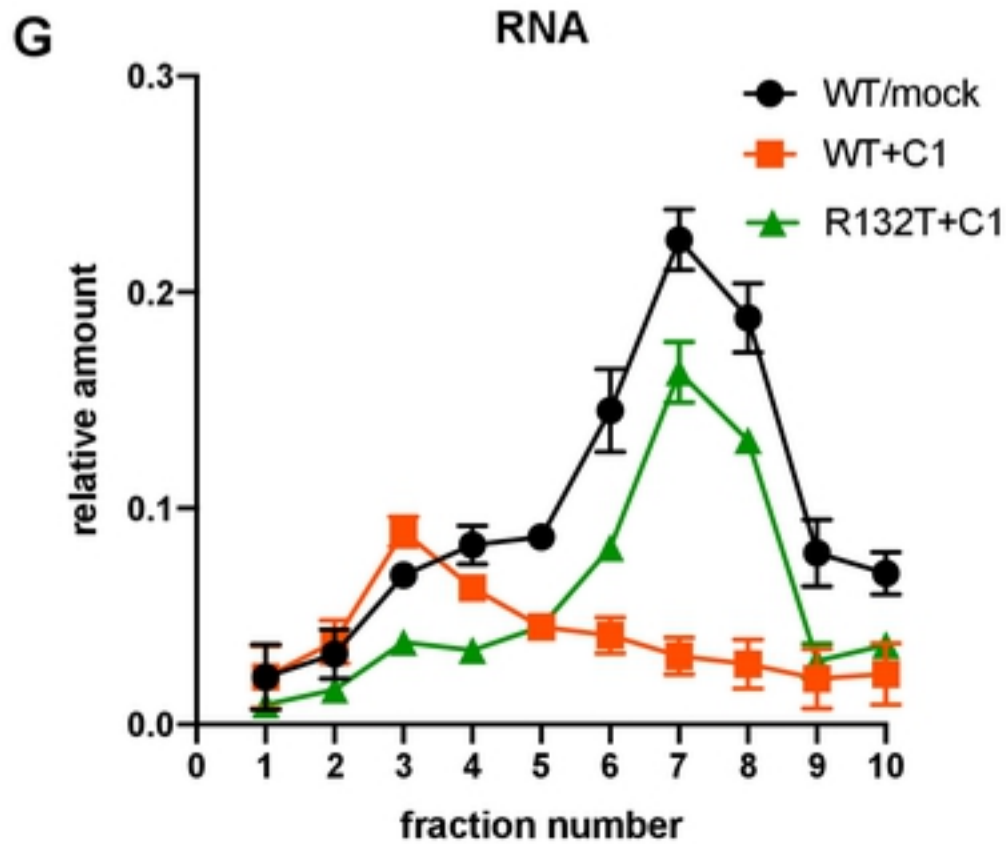
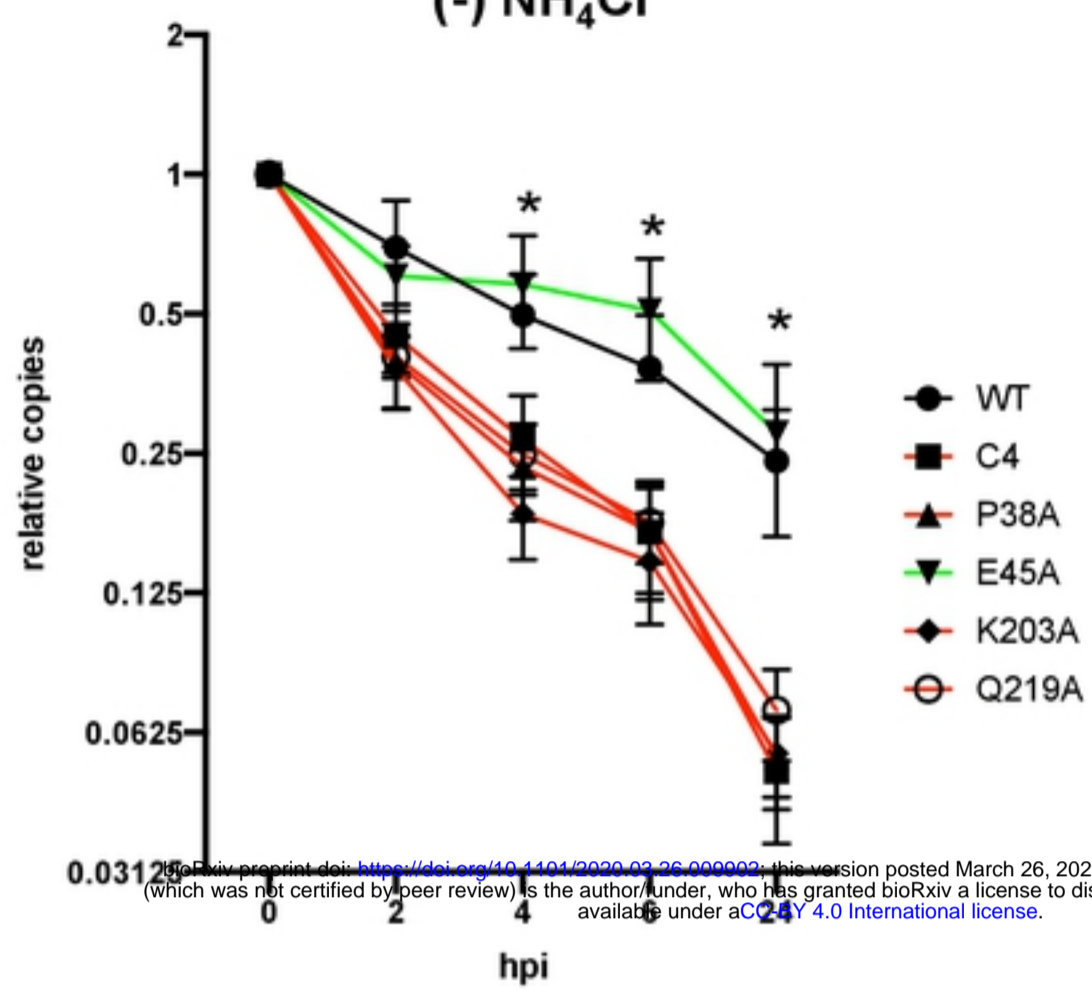


Figure 6

A

(-) NH₄Cl

B

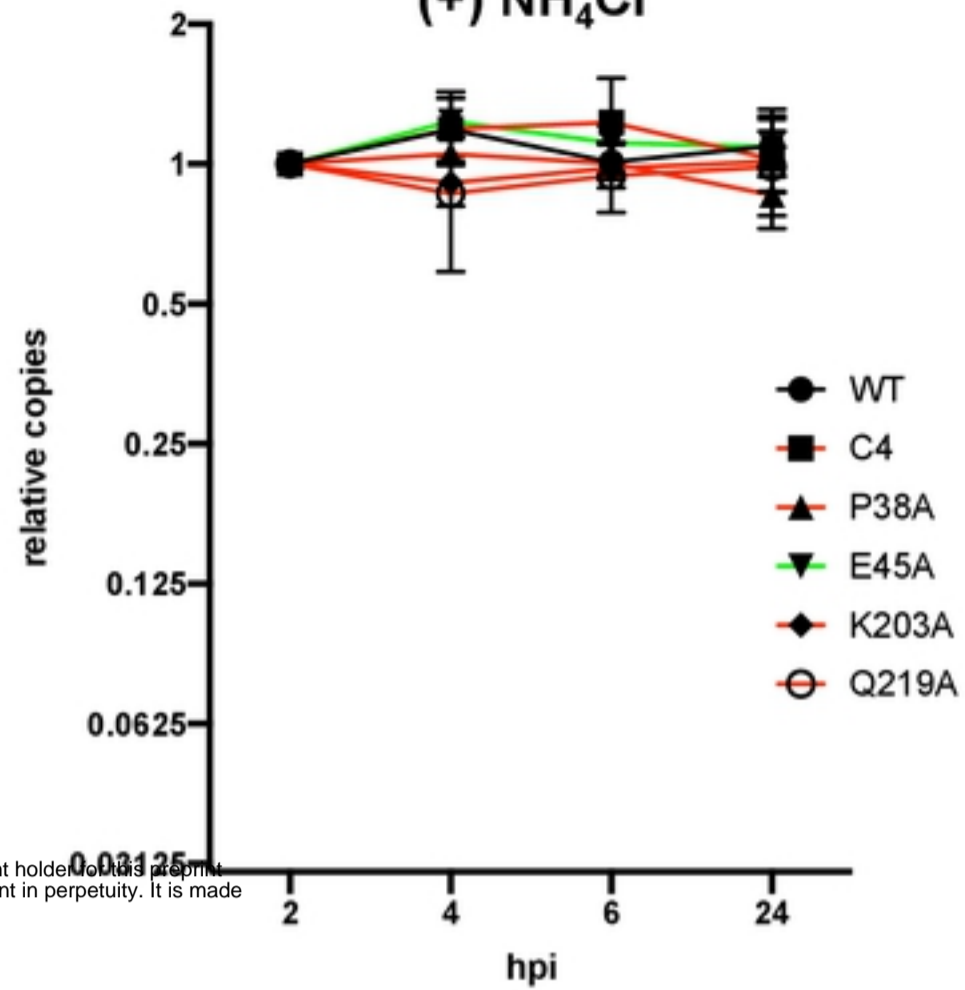
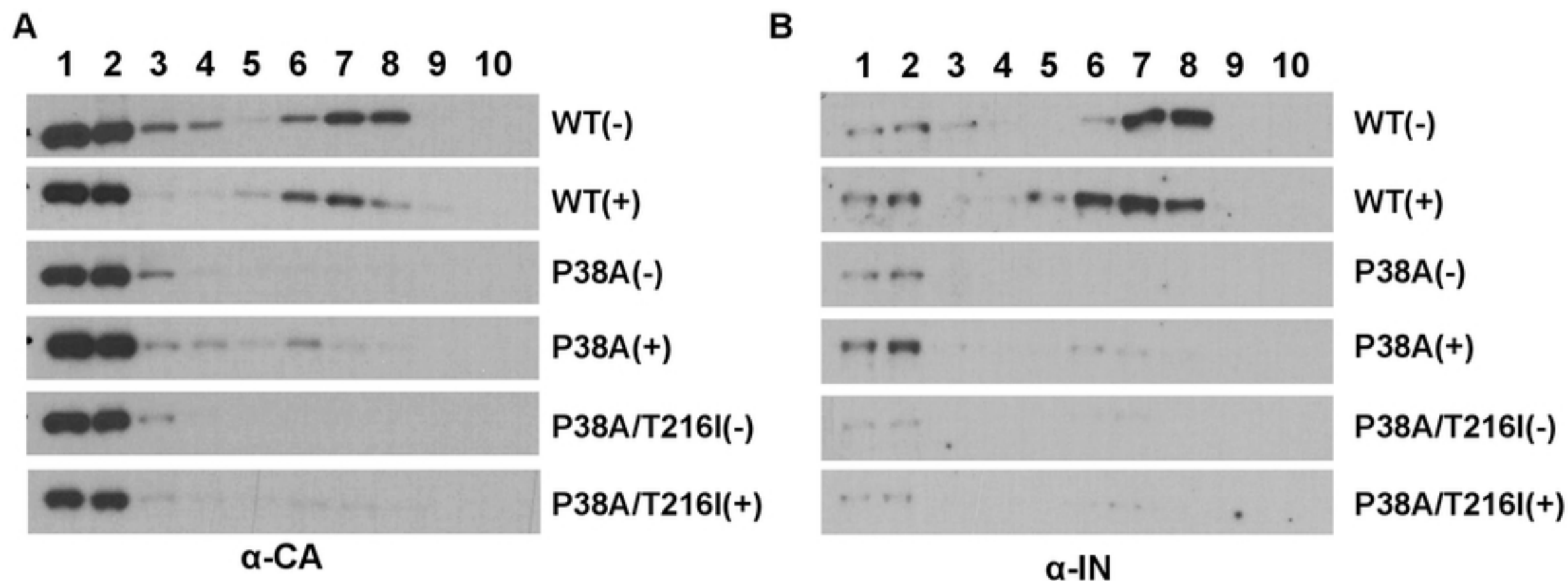
(+) NH₄Cl

Figure 7



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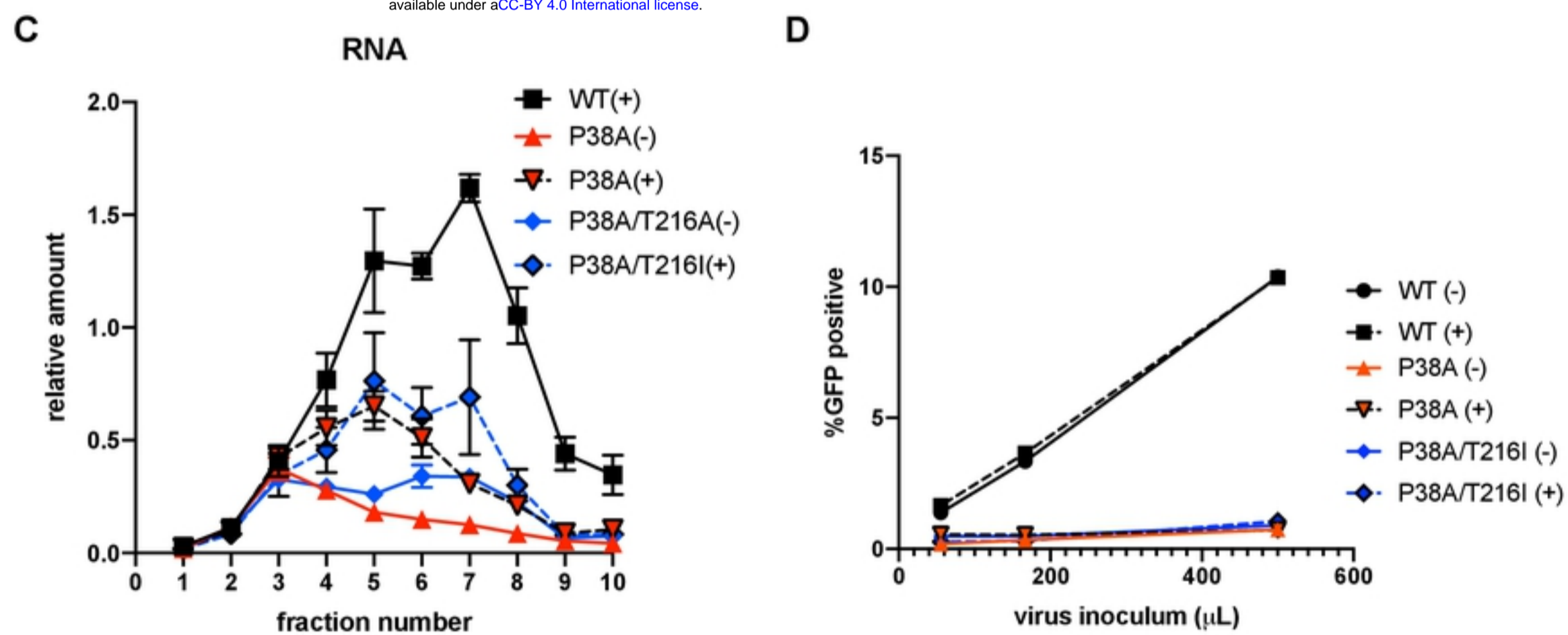


Figure 8

WT HIV-1

HIV-1 with unstable core

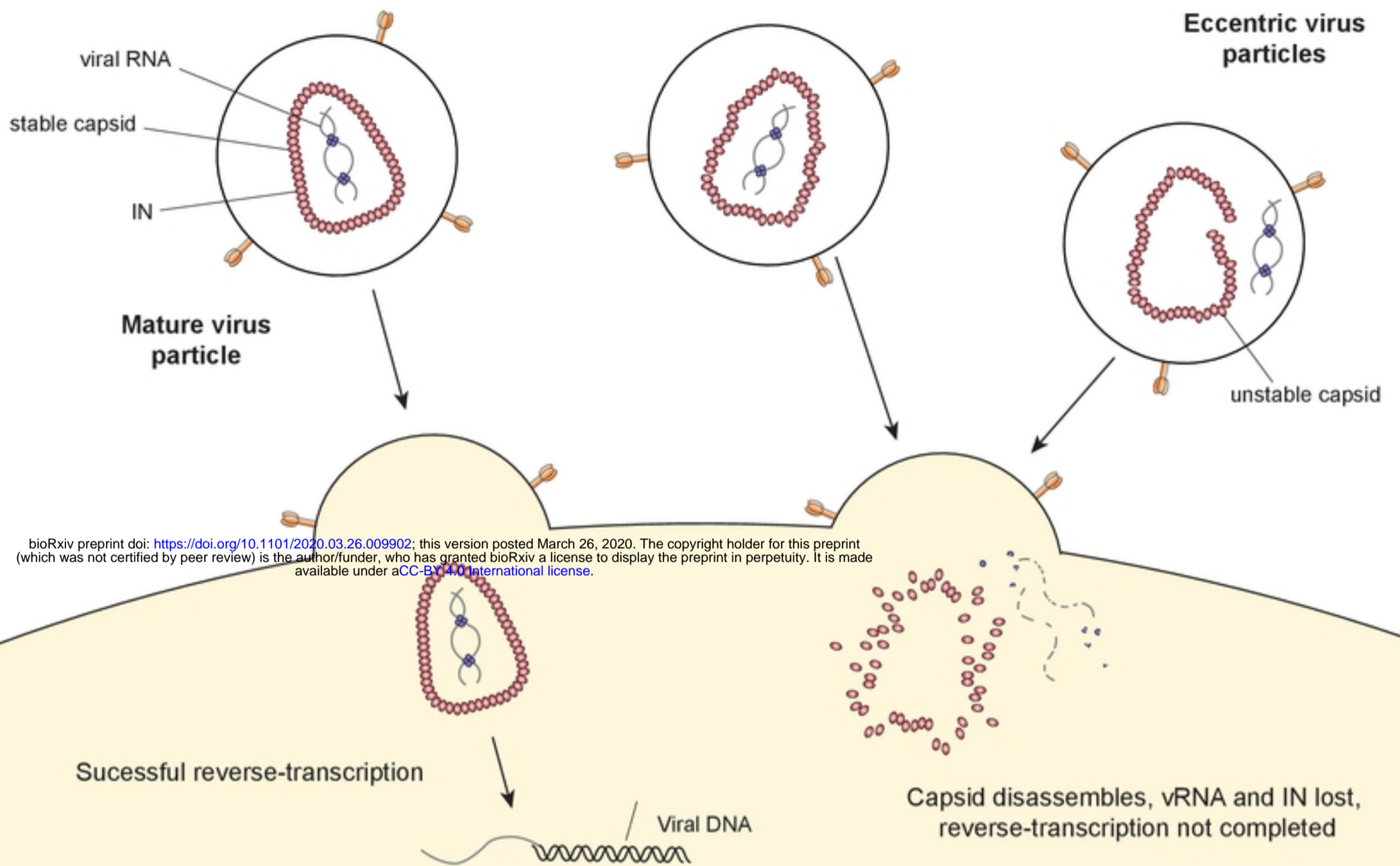
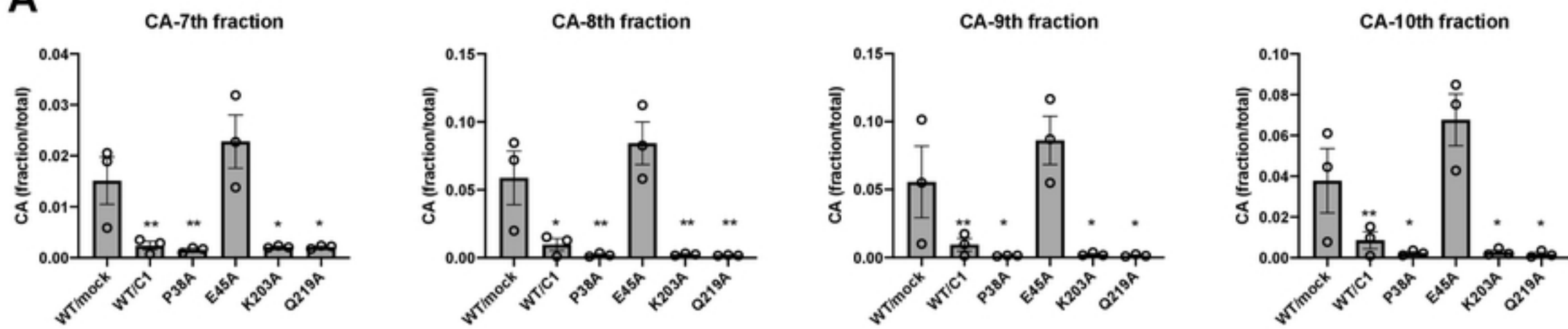
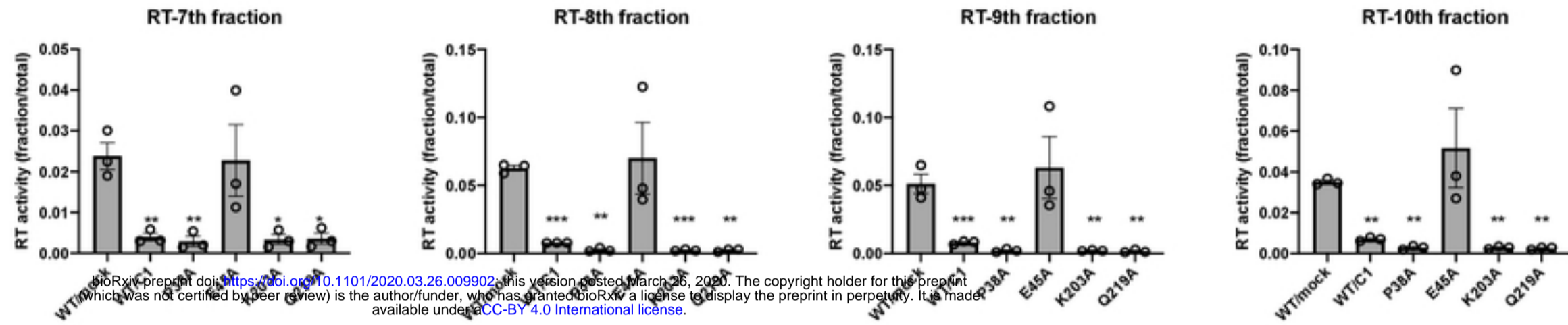


Figure 9

A**B**

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Figure S1-Supplementary to Figure 4

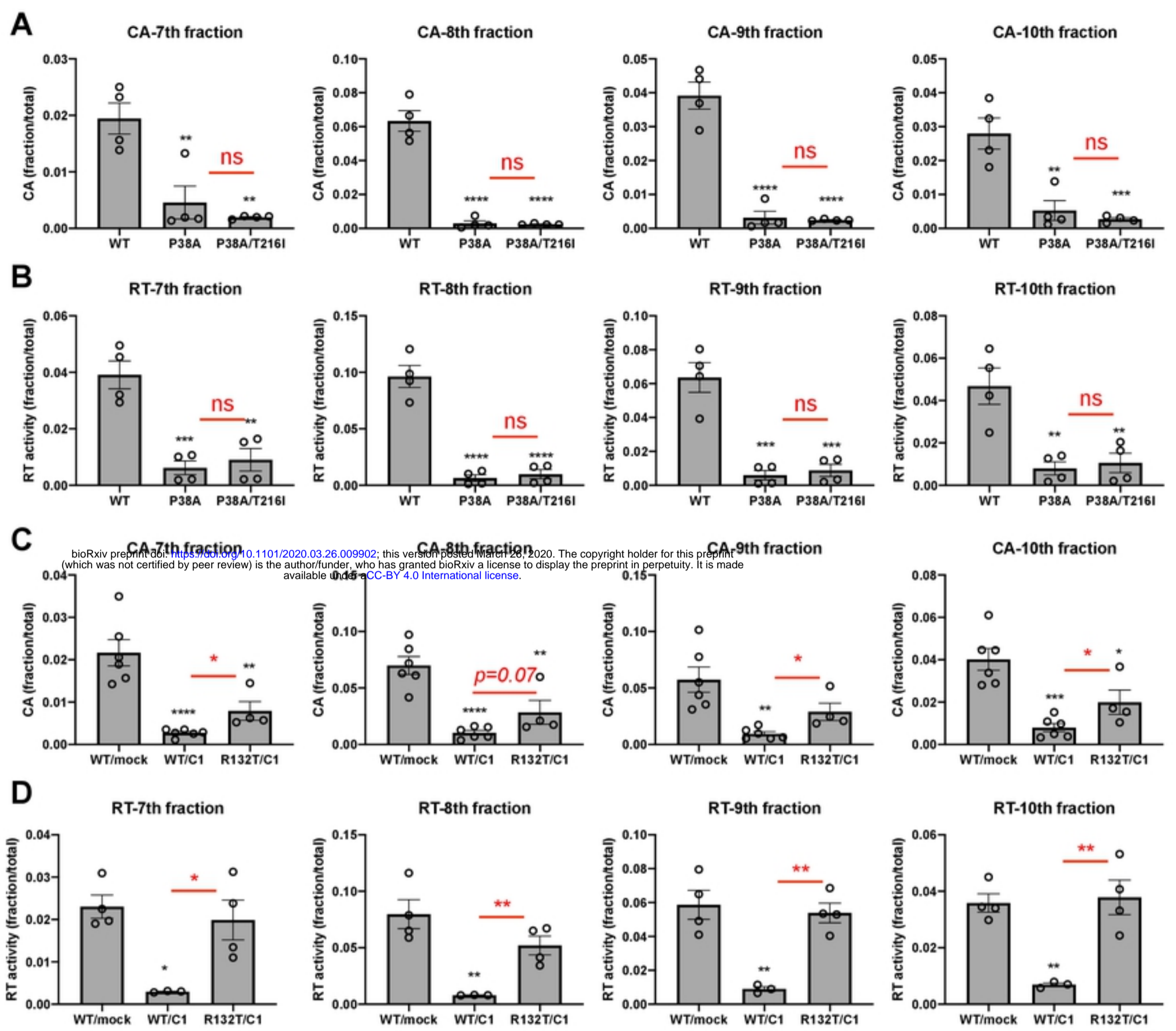
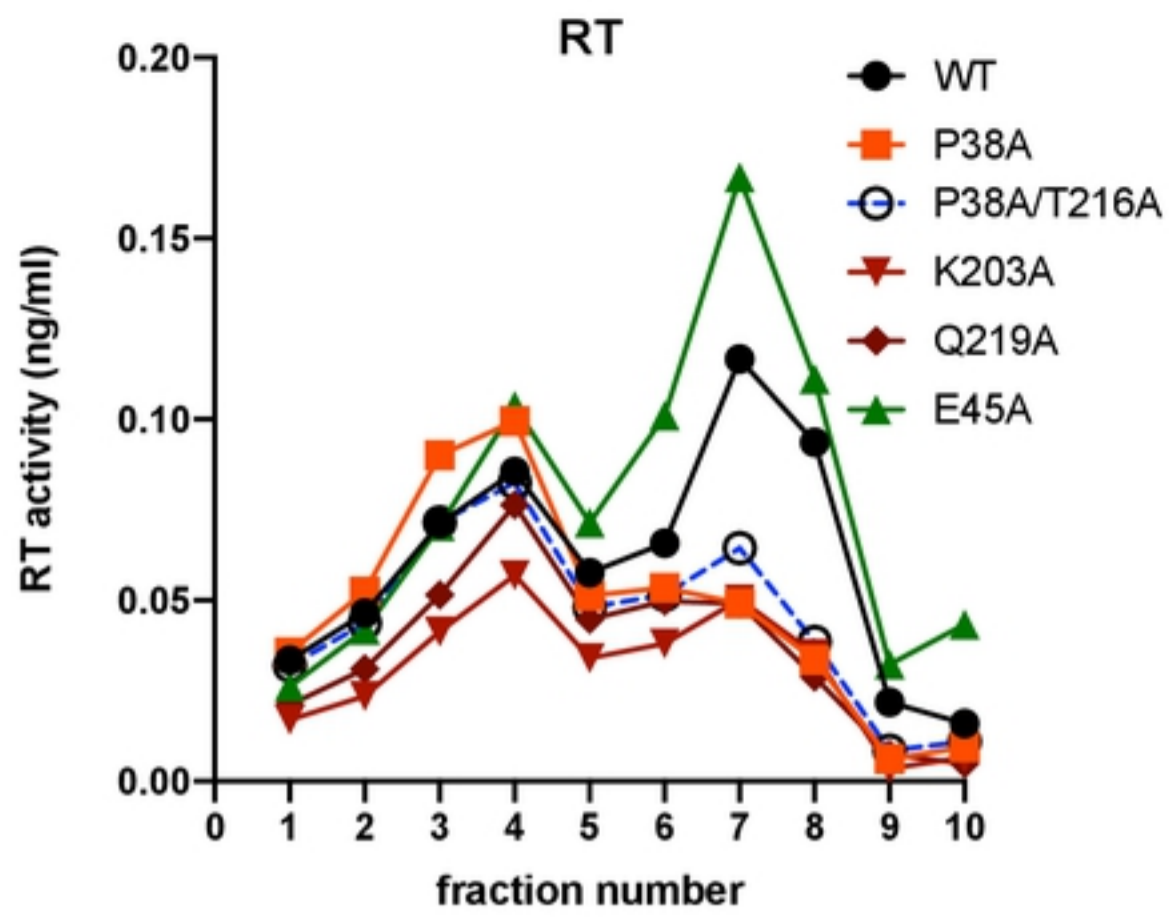


Figure S2-Supplementary to Figure 5



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Figure S3-Supplementary to Figure 6

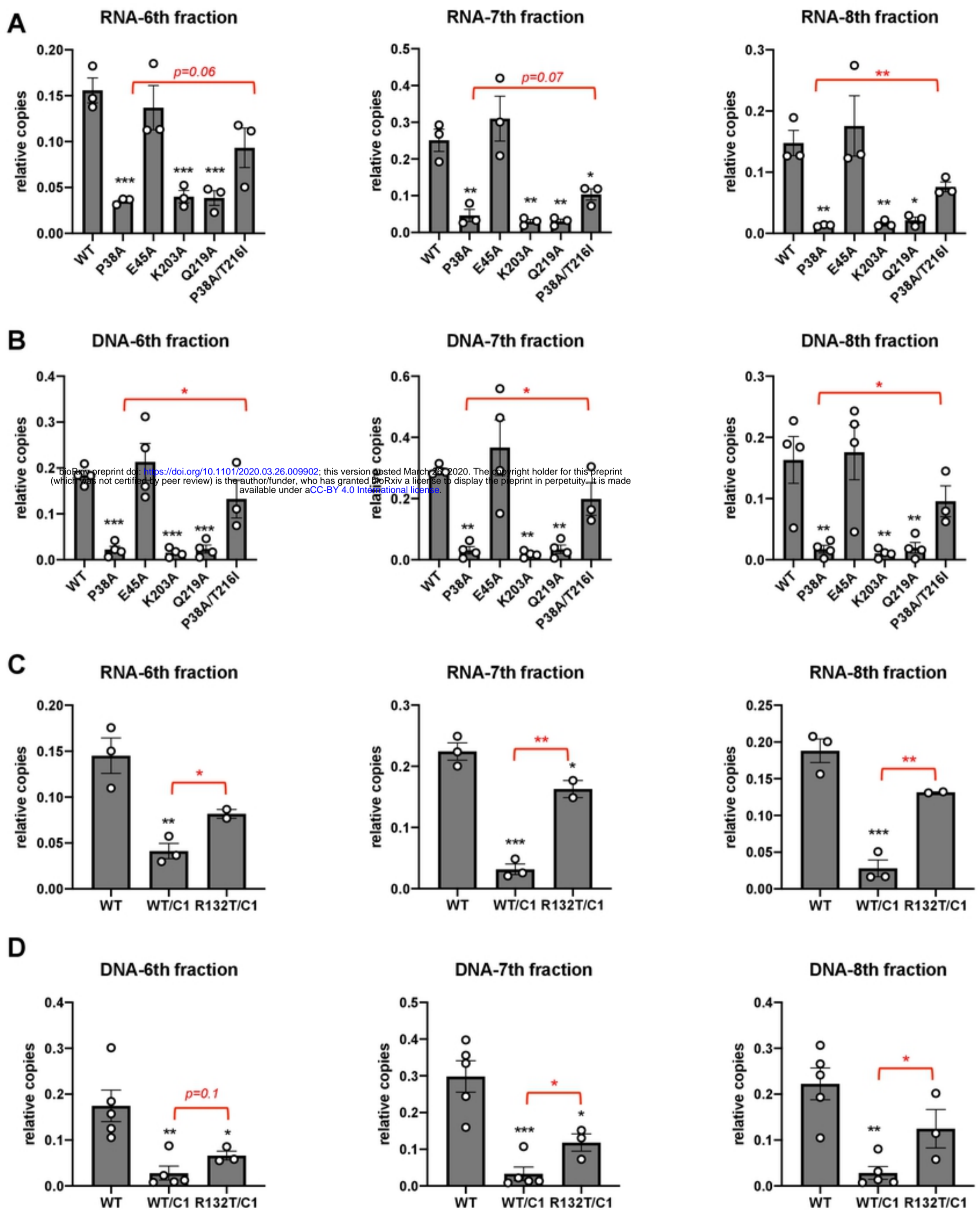


Figure S4-Supplementary to Figure 6

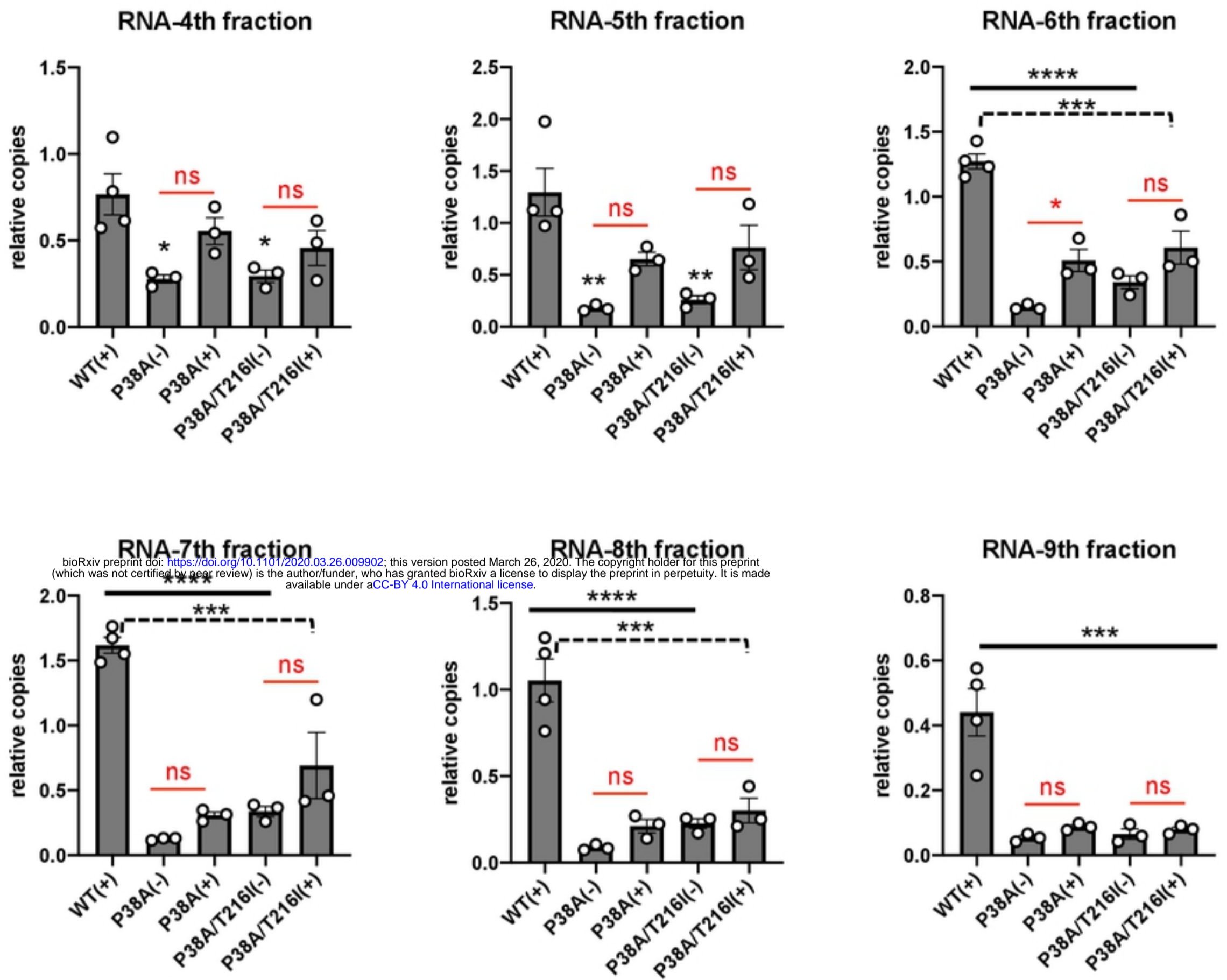
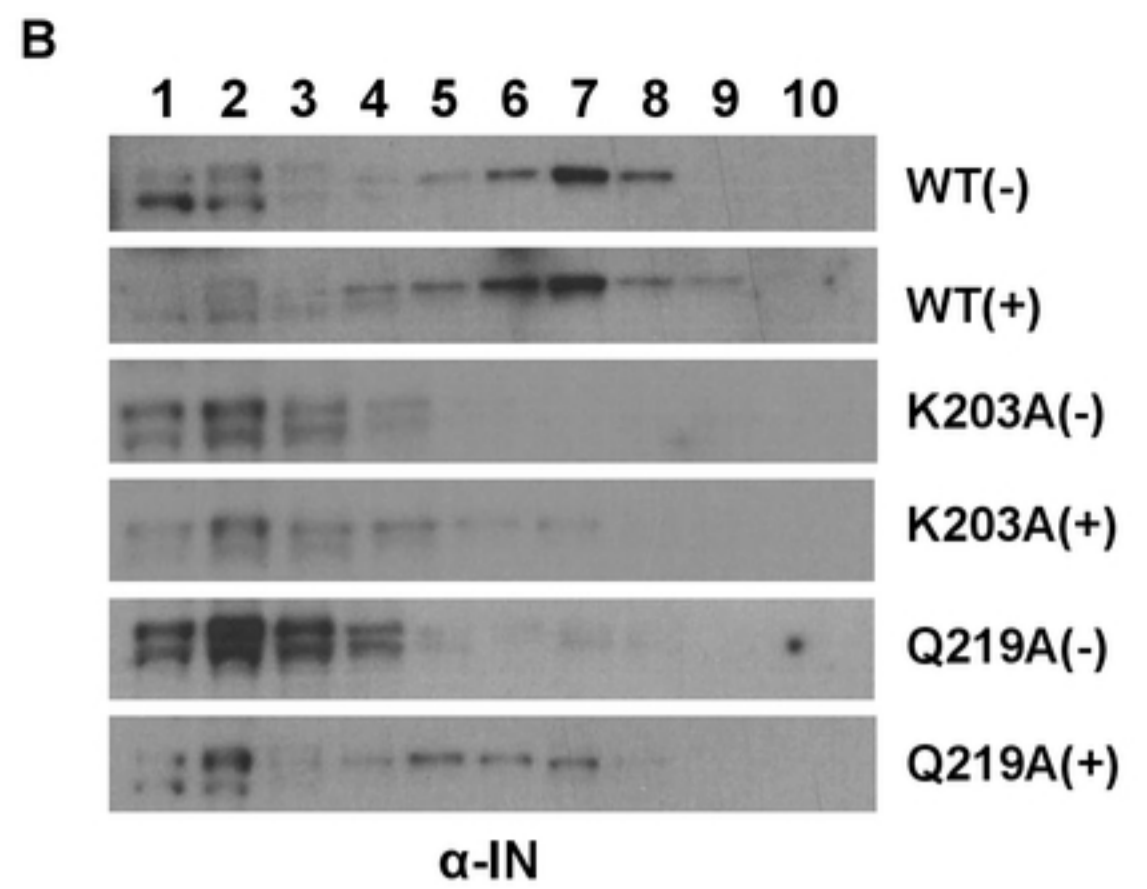
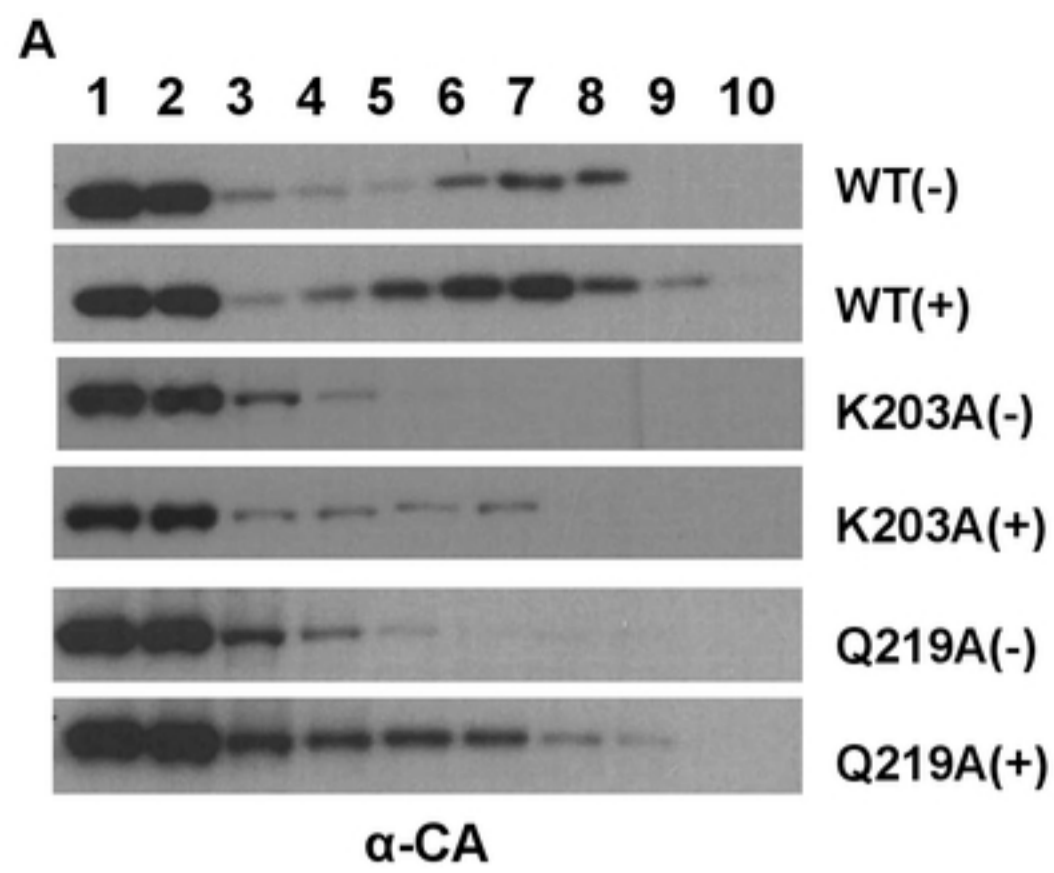


Figure S5-Supplementary to Figure 8



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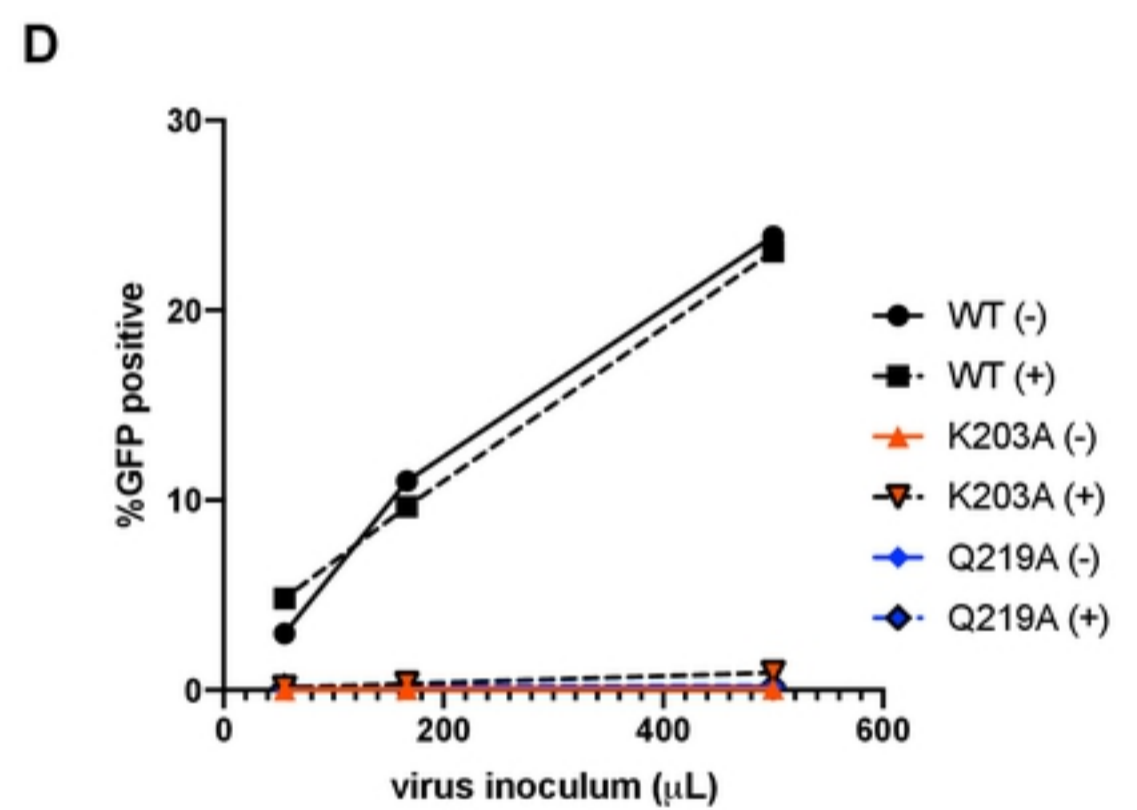
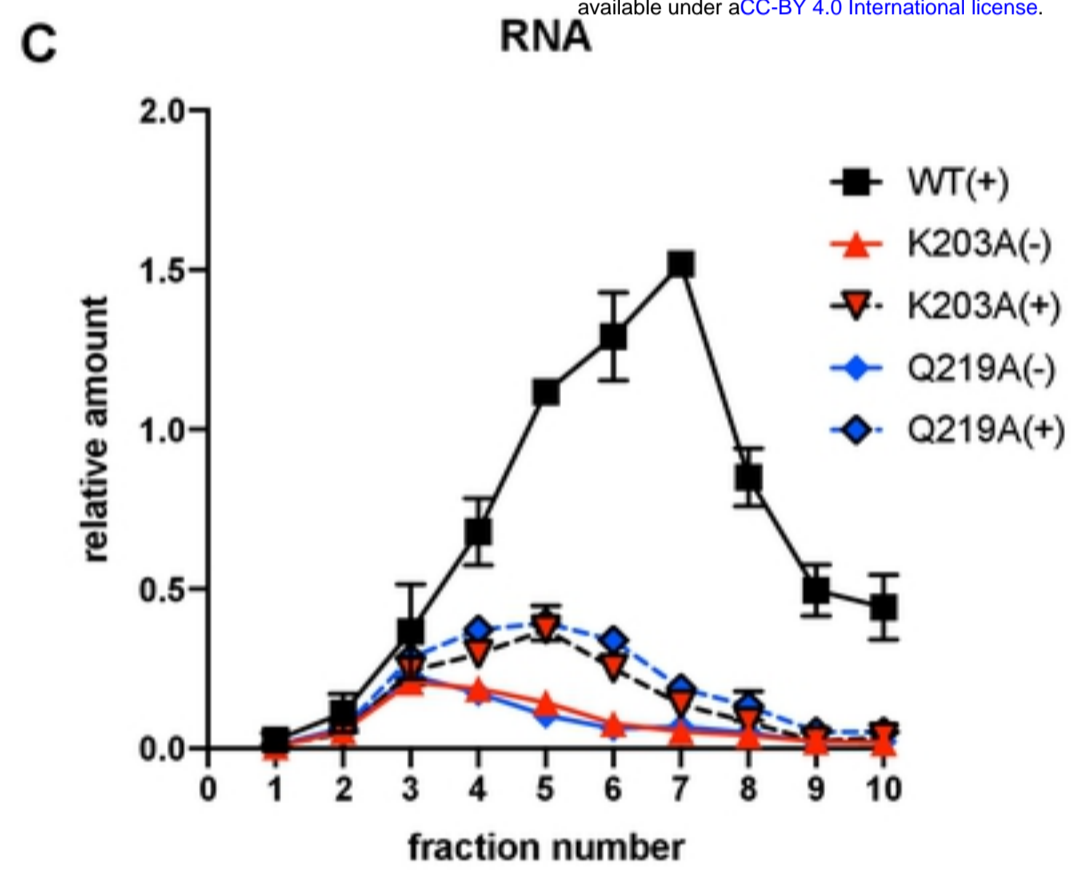


Figure S6-Supplementary to Figure 8

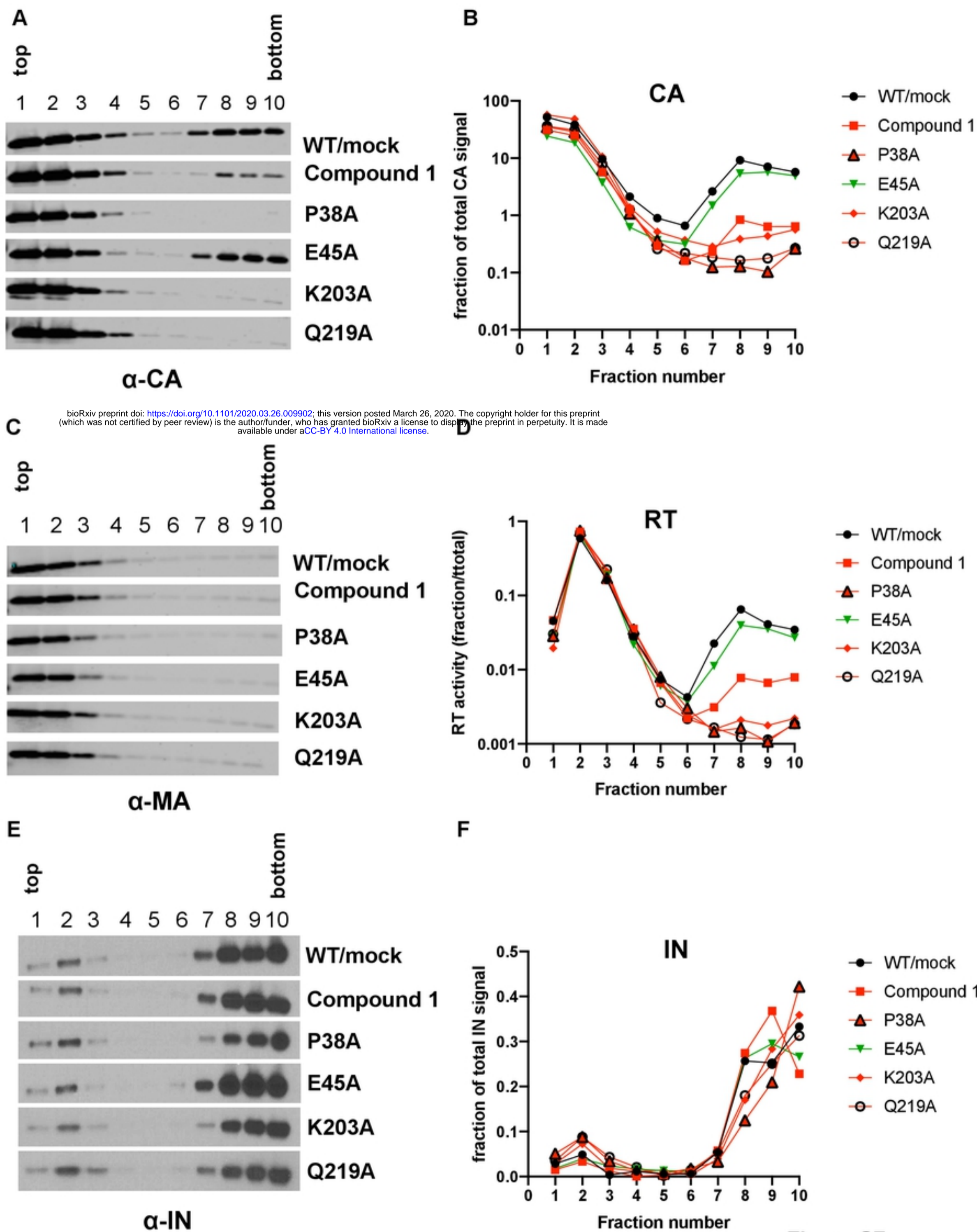


Figure S7