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3	Capsid lattice destabilization leads to premature loss of the viral genome and integrase
4	enzyme during HIV-1 infection
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6	Shot title: The HIV-1 capsid lattice protects the vRNPs from degradation in target cells
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27 ABSTRACT

28 The human immunodeficiency virus type 1 (HIV-1) capsid (CA) protein forms a 29 conical lattice around the viral ribonucleoprotein complex (vRNP) consisting of a dimeric 30 viral genome and associated proteins, together constituting the viral core. Upon entry 31 into target cells, the viral core undergoes a process termed uncoating, during which CA 32 molecules are shed from the lattice. Although the timing and degree of uncoating are 33 important for reverse transcription and integration, the molecular basis of this 34 phenomenon remains unclear. Using complementary approaches, we assessed the 35 impact of core destabilization on the intrinsic stability of the CA lattice in vitro and fates 36 of viral core components in infected cells. We found that substitutions in CA can impact 37 the intrinsic stability of the CA lattice in vitro in the absence of vRNPs, which mirrored 38 findings from assessment of CA stability in virions. Altering CA stability tended to 39 increase the propensity to form morphologically aberrant particles, in which the vRNPs 40 were mislocalized between the CA lattice and the viral lipid envelope. Importantly, 41 destabilization of the CA lattice led to premature dissociation of CA from vRNPs in target 42 cells, which was accompanied by proteasomal-independent losses of the viral genome 43 and integrase enzyme. Overall, our studies show that the CA lattice protects the vRNP 44 from untimely degradation in target cells and provide the mechanistic basis of how CA 45 stability influences reverse transcription.

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47 **AUTHOR SUMMARY**

The human immunodeficiency virus type 1 (HIV-1) capsid (CA) protein forms a conical lattice around the viral RNA genome and the associated viral enzymes and proteins, together constituting the viral core. Upon infection of a new cell, viral cores are released into the cytoplasm where they undergo a process termed "uncoating", i.e. shedding of CA molecules from the conical lattice. Although proper and timely uncoating

has been shown to be important for reverse transcription, the molecular mechanisms that link these two events remain poorly understood. In this study, we show that destabilization of the CA lattice leads to premature dissociation of CA from viral cores, which exposes the viral genome and the integrase enzyme for degradation in target cells. Thus, our studies demonstrate that the CA lattice protects the viral ribonucleoprotein complexes from untimely degradation in target cells and provide the first causal link between how CA stability affects reverse transcription.

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61 INTRODUCTION

62 Formation of infectious HIV-1 virions is coordinated by the major structural 63 polyproteins Gag and Gag-Pol. Gag selectively packages a dimeric viral genome, 64 targets particle assembly to the plasma membrane, and oligomerizes with other Gag and 65 Gag-Pol polyproteins at the plasma membrane primarily through interactions between 66 the capsid (CA) domains of neighboring Gag molecules [1, 2]. Following the budding of 67 immature virions, the virally encoded protease enzyme cleaves Gag and Gag-Pol 68 polyproteins into their constituent domains triggering virion maturation [1, 2]. Virions 69 undergo a major structural rearrangement, such that the cleaved CA monomers form a 70 conical lattice in which the viral genome condenses with both the cleaved nucleocapsid 71 (NC) domain of Gag and the Pol-encoded viral enzymes, reverse transcriptase (RT) and 72 integrase (IN), to form the viral core [3].

The mature HIV-1 core contains ~250 hexameric and 12 pentameric rings of CA that are stabilized through an extensive network of intra- and inter-subunit interactions between CA molecules [4-9]. Within pentamers and hexamers, the N-terminal domain (NTD) of one CA molecule interacts with a groove in the C-terminal domain (CTD) of the neighboring CA molecule. The first three helices of the NTD interact to form an 18-helix bundle (or 15-helix bundle for pentamers) at the center of the hexamer. Inter-hexamer

79 connections forming the hexagonal lattice are mediated through CTD-CTD interactions. 80 In addition, recent studies revealed that a small molecule, inositol hexakisphosphate 81 (IP_6) , can facilitate the assembly of the CA lattice [10] and regulate its stability [11]. 82 Mutations or compounds that target the critical interactions between individual CA 83 subunits disrupt processes ranging from particle assembly, virion morphogenesis, 84 reverse transcription, and nuclear entry in target cells, underscoring a wide range of 85 functional requirements for the CA protein and/or capsid lattice in multiple steps of the 86 viral life cycle [12-17].

87 Following their release into the cytoplasm of target cells, HIV-1 cores undergo a 88 poorly understood process termed uncoating, i.e. shedding of CA subunits from the core. 89 The current consensus in the field is that viral cores undergo various levels or stages of 90 uncoating [18-20]. First, a large amount of virion-associated CA appears to be lost soon 91 after entry [21-25]. This is likely due to a combination of uncoating as a result of the 92 metastable structure of the CA lattice and dispersal of CA molecules that are 93 incorporated into virions but are not part of the CA lattice [26-29]. A second phase of 94 uncoating takes place during or as a result of reverse transcription [21, 23, 25, 30-32]. 95 Additionally, a number of cellular proteins that bind CA have been proposed to regulate 96 core stability and uncoating [33]. Although the majority of virion-associated CA is lost 97 during uncoating, both biochemical and genetic evidence supports the notion that some 98 CA remains associated with the reverse transcription complex (RTC) and pre-integration 99 complex (PIC) that respectively mediate reverse transcription and integration during 100 virus infection: CA is the major determinant for HIV-1 nuclear entry [34-41], a fraction of 101 CA remains physically associated with the PIC [42-47], CA contributes to viral DNA 102 (vDNA) integration into actively transcribed genes [39, 48-51], and CA may influence 103 innate host responses by shielding the reverse transcription products from cGAS-104 STING-mediated sensing [52-56].

105 Proper uncoating of the HIV-1 core and reverse transcription appear to be 106 interconnected processes. Mutations in CA that destabilize the core in vitro block 107 reverse transcription in target cells [13, 57-59]. Additionally, reverse transcription can 108 accelerate or, if inhibited, delay the uncoating of the CA lattice [30, 31, 60-62]. Exactly 109 how altering the stability of the CA lattice causes defects in reverse transcription is 110 unclear; however, the underlying mechanism may be similar to that which leads to the 111 reverse transcription defects observed upon inhibition of HIV-1 integrase (IN)-RNA 112 interactions.

113 The HIV-1 IN enzyme has recently been shown to carry out a non-catalytic role in 114 particle maturation through its binding to the viral RNA (vRNA) genome [63]. Inhibition of 115 IN-RNA interactions yields morphologically aberrant particles in which the vRNPs 116 composed of the vRNA and associated enzymes are mislocalized outside the CA lattice 117 [63-65]. Much like viruses with altered core stability, these viruses are blocked at an 118 early reverse transcription stage in target cells [63-89], which can be explained partly by 119 the premature degradation of the unprotected vRNA [90]. Curiously, viruses generated in 120 the presence of a CA-targeting compound, C1, also yield morphologically aberrant 121 particles that are blocked at reverse transcription [91]. Whether CA destabilization 122 affects IN-RNA interactions and whether degradation of the unprotected vRNPs 123 underlies the reverse transcription defect upon CA destabilization remains unexplored.

HIV-1 uncoating has been a difficult process to study due to the metastable nature of the CA lattice and relatively high particle-to-infectivity ratio of HIV-1 preparations that indicate that the vast majority of virus particles are non-infectious [19]. Biochemical and microscopy-based approaches are the current standard and have been widely utilized in the field. Recently, a reporter assay system exploiting the cytoplasmic exposure of a virion-associated mRNA was reported [92]. Previous work that identified key mutations in CA important for core stability [13, 16, 93] depended solely on an in

131 vitro core disassembly assay [13, 93]. While the increased rate of core disassembly in 132 this system correlated with reverse transcription defects in cells, it is untested whether 133 core disassembly also occurs in the context of cell infection. Microscopy-based 134 experiments partially fill this gap and can provide single-cell level information about the 135 kinetics of the early stages of virus replication [21, 22, 24, 25]. Such approaches are 136 generally limited by the difficulty in distinguishing infectious from non-infectious virus 137 particles, albeit more elaborate live-cell imaging approaches have recently been 138 developed to address this shortcoming [22, 25]. Another limitation of microscopy-based 139 approaches is their dependence on indirect labeling of core components. Biochemical 140 separation of post-nuclear supernatants from infected cells, referred to as fate of 141 capsid/core assay, addresses some of these shortcomings and provides an easily 142 accessible alternative [90, 94, 95]. The main advantage of this approach is the ability to 143 trace virtually every component of the HIV-1 core [90, 95], and bypass potential artifacts 144 due to indirect labeling of CA or use of fusion proteins. However, as this approach is 145 laborious and has inherent limitations due to the analysis of bulk cell lysates, it has not 146 been widely adopted to study the effects of CA stability on core components in infected 147 cells. Given the discrepancies between microscopy-based and biochemical approaches 148 [21, 23, 30], and the pros and cons of each approach, it is advantageous to utilize 149 complementary assays to study early post-entry events in the HIV-1 life cycle.

Here, we took an in depth approach to examine the effects of widely utilized CA stabilizing/destabilizing mutations and a CA targeting compound, C1 [91, 96, 97], on the physical properties of the CA lattice, virion architecture, and fates of core components in target cells. We found that CA destabilizing substitutions (P38A, K203A, Q219A) significantly decreased and a CA stabilizing E45A substitution increased the intrinsic stability of the CA lattice. Unstable CA mutants tended to increase the propensity to form eccentric particles with vRNPs mislocalized between the empty CA lattice and the lipid

envelope without impacting IN-RNA interactions. Most notably, we found that CA destabilizing mutations and C1 led to dissociation of CA from vRNPs in target cells, which was accompanied by the premature loss of the vRNA and the IN enzyme. Overall, our studies show that the CA lattice protects the viral core components from untimely degradation in target cells and provide the long sought causal link between core stability and reverse transcription.

- 163
- 164 **RESULTS**

165 Effects of CA stability on general properties of HIV-1 virions

166 We first assessed the effects of CA substitutions that were previously reported to 167 decrease (i.e. P38A, Q63A/Q67A, K203A, Q219A) or increase (i.e. E45A) the stability of 168 the CA lattice [13, 16] on HIV-1 replication. The locations of the targeted amino acid 169 residues are dispersed throughout the hexameric CA structure, positioned at the NTD-170 NTD interface (Pro38 and Glu45) or NTD-CTD interface (Gln63A and Gln67) within the 171 hexamer [4], or at the 3-fold CTD-CTD interhexameric interface (Lys203A and Gln219) 172 [12] (Fig. 1A). Missense mutations were introduced into the replication-competent pNL4-173 3 molecular clone or NL4-3-derived Gag-Pol expression plasmid for use in subsequent 174 assays described below. With the exception of the Q63A/Q67A substitutions, which 175 substantially impaired particle release, none of the other changes measurably affected 176 Gag expression, processing, or particle release (Fig. 1B, D). All substitutions decreased 177 virus titers circa 10- to >100-fold (Fig. 1C, E), as expected from previous observations 178 [13, 16]. In parallel, we assessed the effects of Compound 1 (C1) [91] on HIV-1 179 replication. Addition of C1 to virus-producing cells decreased virus titers 10-20 fold (Fig. 180 1F) without impacting Gag expression, processing (Fig. 1G, H), or particle release (Fig. 181 1H). As previously noted [91], we observed a dose responsive decrease in the levels of

unprocessed Gag in virions, without any corresponding change in processed CA levelsin virions (Fig. 1H).

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185 Effects of CA mutations on virion morphology and IN-RNA interactions

186 Qualitative assessment of CA destabilizing substitutions on virion morphology 187 has previously revealed the presence of mature particles with fully formed CA cones [13, 188 16]. In contrast, CA destabilization by C1 increased the occurrence of eccentric particles 189 in which vRNPs were mislocalized outside of the CA lattice [91]. Given these seemingly 190 opposite effects, we wanted to reassess quantitatively the impact of CA destabilizing 191 substitutions on virion morphology. As expected, thin-section electron microscopic 192 (TEM) analysis of cell-free wild-type (WT) HIV-1 particles revealed that ~85% of the 193 virions contained conical cores with centrally-located electron dense vRNPs (Fig. 2A, B). 194 The remaining virions were classified as having either an immature or eccentric 195 morphology with vRNPs localized between the viral membrane and an electron-lucent 196 core (Fig. 2A, B). Most of the CA substitutions including P38A, E45A, Q63A/Q67A, and 197 K203A significantly increased the occurrence of eccentric particles (Fig. 2A, B). The 198 Q219A substitution also marginally enhanced the occurrence of the eccentric particle 199 morphology (Fig. 2A, B).

Because loss of IN binding to the viral genome significantly extenuates eccentric particle morphology [63-65], we next investigated the extent of IN-RNA interactions within the different CA mutant viruses. IN-RNA complexes were immunoprecipitated from UV-crosslinked virions and the amount of vRNA bound by IN was assessed by endlabeling followed by separation of protein-RNA complexes by gel electrophoresis [63, 98, 99]. Equivalent levels of IN-RNA complexes were isolated from WT virions and viruses bearing CA substitutions (Fig. 2C) or WT virus generated in the presence of C1 (Fig.

207 2D). Likewise, CA substitutions and C1 treatment did not seem to impact NC-RNA 208 interactions in virions (Fig. 2C, D).

209

210 Effects of CA substitutions on the intrinsic stability of the CA lattice

211 The traditional approach to assess CA stability is based on isolation of cores 212 from envelope-stripped virions followed by equilibrium density sedimentation on linear 213 sucrose gradients, during which the cores migrate to denser fractions [13]. The fraction 214 of CA in the dense fractions is assumed to directly correlate with the extent of uncoating, 215 but the assay does not distinguish between contributions to overall core yield that arise 216 from the intrinsic stability of the capsid lattice versus modulatory effects of other factors. 217 To assess the impact of CA mutations on the intrinsic stability of the mature CA lattice. 218 WT CA and CA proteins bearing the aforementioned substitutions were assembled into 219 capsid-like tubes in vitro in the absence of any other viral or cellular factors, diluted, and 220 analyzed by nano-differential scanning fluorimetry (nano-DSF) during thermal 221 denaturation (Fig. 3A). Dilution of assembled tubes in this assay results in their partial 222 disassembly, and we reasoned that the degree of disassembly would reflect the intrinsic 223 stability of the CA structures. Given the low yield of particles obtained with CA mutant 224 Q63A/Q67A (Fig 1B, D & Fig. 2C), this mutant was excluded from the remainder of the 225 studies described below.

226 Comparison of unassembled WT CA (Fig. 3B) and assembled CA tubes (Fig. 3C, 227 D) revealed the presence of the T3 species with greater thermal stability than initial T1 228 and T2 populations following assembly (Fig. 3C, D). The P38A (Fig. 3E), K203A (Fig. 229 3H), and Q219A (Fig. 3I) substitutions each decreased the levels of the stable T3 230 species as evident by the skewing of the nano-DSF curves towards the left, consistent 231 with a destabilizing effect on the capsid lattice. The opposite was true for the E45A 232 substitution, which showed an increased proportion of the more stable species (Fig. 3G);

this result is indicative of lattice stabilization and is in line with previous observations [100]. Adding the T216I substitution (see Fig. 1 for Thr216 location) to P38A, which partially restored the P38A infectivity defect [101], similarly increased CA tube stability (Fig. 3F). Together, these results provide direct evidence that CA mutations can impact the intrinsic stability of the assembled CA lattice in the absence of other virion and core components.

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Effects of CA mutations on core stability in vitro

We next validated how modulation of CA alters core stability in virions by utilizing a biochemical assay in which the viral lipid envelope is stripped off by brief detergent treatment and core components are separated by equilibrium density sedimentation on linear sucrose cushions [90, 102]. Note that while similar approaches have been previously employed to study the stability of isolated CA mutants [13, 91, 103, 104], a side-by-side comparison of the effects of multiple CA substitutions on the behavior of different core components was lacking.

248 Following centrifugation of envelope-stripped virions, fractions collected from the 249 top of the gradients were analyzed for the presence of CA, IN, MA, and RT activity. For 250 WT viruses, a large fraction of CA migrated in top fractions representing soluble CA that 251 has dissociated from the CA lattice during the assay and/or CA that was incorporated 252 into virions but was not part of the CA lattice [26-28] (Fig. 4A, B). A second population of 253 CA was present in dense fractions 7-10, representing CA that is in complex with dense 254 vRNPs. The P38A, K203A, and Q219A substitutions as well as treatment with C1 each 255 led to a substantial decrease in the levels of CA in dense fractions, whereas the E45A 256 substitution tended to yield modestly higher levels of CA compared to WT viruses (Fig. 257 4A, B, S1A). As anticipated, MA remained primarily in soluble fractions confirming the 258 efficient removal of the viral lipid envelope (Fig. 4C). RT activity traces mirrored those of

CA protein, with 10-50-fold less RT activity in dense fractions upon destabilization of the CA lattice (Fig. 4D, S1B). IN (Fig. 4E, F) and vRNA (not shown) remained in dense fractions for all viruses, suggesting that CA mutations and C1 did not alter vRNP condensation, consistent the IN-RNA and NC-RNA binding profiles (Fig. 2C, D).

263 In contrast with its stabilizing effect on CA tubes (Fig. 3F), the T216I substitution 264 that partially compensated the P38A infectivity defect did not counteract the loss of CA 265 (Fig. 5A, B, S2A) or RT (Fig. 5C, S2B) in dense fractions, consistent with a previous 266 study [103]. In contrast, the R132T substitution that conferred resistance to C1 267 significantly increased the amount of CA (Fig. 5D, E, S2C) and RT (Fig. 5F, S2D) in 268 dense fractions. As above, IN remained in dense fractions under all conditions (Fig. 5A, 269 5D). Overall, these results indicate that decreasing the stability of the CA lattice can lead 270 to dissociation of CA and RT from vRNPs, likely without impacting condensation of 271 vRNPs by NC and IN.

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273

Destabilization of the CA lattice leads to loss of vRNPs in target cells

274 The impact of CA destabilization on the fates of viral cores in infected cells is 275 poorly studied. Of note, HIV-1 cores can be stabilized by cytosolic extracts in vitro [105], 276 suggesting that core stability in cells may be different from what is predicted from in vitro 277 assays. While elaborate and powerful microscopy-based approaches have recently been 278 employed to fill this gap [21, 22, 24, 25], an important drawback of these approaches is 279 their dependence on indirect labeling of core components and fusion proteins that may 280 impact functionality. In addition, image-based studies have not assessed the impact of 281 CA destabilization on vRNA and IN, which exist at comparatively lower copy numbers in 282 virions. To fill this knowledge gap, we tracked the fates of individual core components in 283 target cells by utilizing our previously developed biochemical assay [95]. In brief, CHO-284 derived pgsA-745 cells, which lack surface glycosaminoglycans and as a result can be

very efficiently infected by VSV-G-pseudotyped viruses, were synchronously infected with WT or CA mutant viruses. Two hours post-infection (hpi), post-nuclear lysates were separated on linear sucrose gradients and collected fractions were analyzed for viral proteins (i.e. CA, IN, RT) and viral genomic RNA or reverse transcription products by immunoblotting or Q-PCR-based assays, respectively.

290 In cells infected with WT viruses, CA migrated as two populations (Fig. 6A). The 291 first population was present in the top two fractions corresponding to soluble CA proteins 292 that have uncoated from the core or CA that was packaged into virions but was not part 293 of the capsid lattice [26, 106]. A second population of CA was present in fractions 6-8, 294 representing CA that is in complex with vRNPs and RTCs as evident by its co-migration 295 with IN (Fig. 6B), vRNA (Fig. 6C), vDNA (Fig. 6D), and RT (Fig. S3). Notably, in line with 296 the in vitro experiments, CA-destabilizing P38A, K203A, and Q219A substitutions led to 297 loss of CA, whereas the CA-stabilizing E45A substitution yielded similar levels of CA 298 compared to the WT in dense fractions (Fig. 6A). Notably, IN (Fig. 6B) and vRNA (Fig. 299 6C, S4A) were lost from dense fractions without corresponding increases in soluble 300 fractions upon CA destabilization. These changes expectedly led to substantially lower 301 levels of reverse transcription products accumulating in cells (Fig. 6D, S4B). In line with 302 results of Figures 5A-C, the P38A/T216I substitution did not appreciably restore the 303 amount of CA in dense fractions (Fig. 6A), but did modestly increase the amount of IN 304 (Fig. 6B), vRNA (Fig. 6C, S4A), and vDNA (Fig. 6D, S4B). Core destabilization by C1 305 similarly reduced the amount of CA in dense fractions (Fig. 6E), which was accompanied 306 by the loss of IN (Fig. 6F) and vRNA (Fig. 6G, S4C). Importantly, the R132T substitution, 307 which confers resistance to C1, largely restored CA, IN, RNA, as well as reverse 308 transcription products in dense fractions for viruses generated in the presence of C1 309 (Fig. 6E-H, S4C, S4D).

310 To test whether accelerated loss of vRNA upon core destabilization holds true in 311 a cell type relevant to HIV-1 infection, MT-4 T-cells were synchronously infected with 312 VSV-G pseudotyped full-length viruses in the presence of the RT inhibitor nevirapine 313 and levels of cell-associated vRNA assessed by Q-RT-PCR. In line with the above 314 findings, premature loss of the genomic vRNA upon CA destabilization was apparent as 315 early as 2 hpi (Fig. 7A). The viral genomic RNA continued to be lost at a faster rate for 316 CA destabilizing mutations and C1 as compared to WT and E45A virus at later times in 317 infection (Fig. 7A). Importantly, equivalent levels of vRNA were recovered from cells 318 when virus entry was blocked through NH₄Cl treatment (Fig. 7B). These results, together 319 with a previous study that found no difference in the efficiency of VSV-G-mediated viral 320 entry for WT and CA P38A, E45A, and K203A mutant viruses [107], suggest that the 321 observed decrease in vRNA levels is dependent on viral entry. Taken together, these 322 results support our findings from the fate of core assays (Fig. 6) and strongly argue for 323 the role of the CA lattice in protecting vRNPs from degradation in target cells.

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325 Loss of vRNPs in target cells upon core destabilization is independent of 326 proteasomes

327 We next tested whether the observed loss of vRNPs is mediated by 328 proteasomes. Of note, it has been previously shown that IN is inherently unstable due to 329 the presence of an N-terminal phenylalanine residue that leads to its proteasomal 330 degradation when expressed alone in cells [108-112]. In agreement with data presented 331 in Fig. 6, CA (Fig. 8A), IN (Fig. 8B), and vRNA (Fig. 8C, S5) were lost from dense 332 fractions for the P38A destabilizing mutant and to a lesser extent for the P38A/T216I 333 mutant virus. Although proteasome inhibition by MG132 treatment during infection 334 modestly increased the levels of these components in mid-gradient fractions (Fig. 8A-C, 335 S5), it had no impact on virus titers (Fig. 8D). Similar results were obtained with K203A

and Q219A substitutions whereby CA (Fig. S6A), IN (Fig. S6B), and vRNA (Fig. S6C)
levels in mid fractions increased modestly upon proteasome inhibition, yet without
detectable changes in virus titers (Fig. S6D).

339

340 **DISCUSSION**

341 In this study, we utilized complementary approaches to study the impact of CA 342 destabilization on the physical properties of the CA lattice in vitro and in virions, and on 343 the subsequent steps of virus replication in target cells. Our in depth study is the first to 344 causally link how destabilization of the HIV-1 CA lattice leads to reverse transcription 345 defects in target cells. In brief, we found that CA destabilization through multiple 346 mutations and a small molecule compound (C1) all led to faster disassembly of the CA 347 lattice and premature loss, possibly due to degradation, of the vRNA genome and IN in 348 target cells (Fig. 9). Thus, we conclude that protection of vRNPs inside the CA lattice is 349 crucial for reverse transcription as well as subsequent steps in HIV-1 replication.

350 In terms of the behavior of CA, our findings from fate of core assays are in 351 perfect alignment with previous studies that utilized live cell microscopy approaches [21, 352 24, 25]. For example, we found that as early as 2 hpi, the majority of virion-associated 353 CA dissociates from the vRNPs of WT viruses. Expectedly, microscopy-based assays 354 that rely on indirect labeling of CA have generally seen a quick loss of CA signal 355 immediately after entry [21, 23-25]. We believe this loss is in part due to uncoating and 356 in part due to the fact that only approximately one-third to one-half of CA monomers in 357 virions form the CA lattice [26, 106], while the remainder diffuses in the cellular milieu 358 upon entry. A third possibility is that CA dissociates from the core during our lysis and 359 fractionation processes. Of note, inclusion of 10 µM IP₆, which impacts capsid assembly 360 and stability in vitro [10, 11], throughout the fractionation process had no observable 361 impact on the migration behavior of CA and other core components in sucrose gradients

362 (compare Fig. S7 and Fig. 4). Notwithstanding, a small fraction of CA remained
363 associated with vRNPs and the RTC, which was responsive to and was lost upon
364 destabilization of the CA lattice.

365 Our findings suggest that the main impact of CA destabilizing mutations is on the 366 intrinsic stability of the CA lattice, which is largely in agreement with the core stability 367 assessments in virions and in target cells. One exception was the P38A/T216I double 368 mutant, which we found to be more intrinsically stable than WT in vitro, but was largely 369 unstable in virion- and cell-based stability assays, in agreement with previous findings 370 [101]. Notably, we consistently found higher levels of vRNA, IN, and RT products in 371 dense fractions upon infection of target cells with the P38A/T216I mutant compared to 372 the CA destabilizing P38A mutant, which is consistent with partial rescue of P38A 373 infectivity. Our results suggest that the P38A/T216I substitution may slow down the rate 374 or degree of core disassembly, allowing for intermediate levels of reverse transcription 375 and infection.

376 Most notably, our study provides the first direct evidence that exposed vRNA and 377 IN are both lost in target cells without the protection of the CA lattice. This is in contrast 378 to a previous study that utilized an IN-superfolder GFP (IN-sfGFP) fusion protein to track 379 RTCs in target cells [113], in which case IN levels did not seem to be affected upon core 380 destabilization [21]. Possible explanations for this discrepancy include the effect of 381 sfGFP fusion on IN function and stability, as well as the artificial introduction of the IN-382 sfGFP protein into virions through its fusion to Vpr. A separate study observed that the 383 viral genomic RNA labeled with 5-ethynyl uridine was lost quicker from cells upon CA 384 destabilization by the K203A mutant, and curiously, upon CA stabilization by the E45A 385 change [23]. As this study assessed the stability of vRNA in the absence of RT 386 inhibitors, it is possible that the faster loss of vRNAs with the E45A mutant is due to 387 quicker rates of reverse transcription, and hence RNaseH-dependent degradation. Note that we assessed the fates of vRNAs in the presence of RT inhibitor nevirapine to precisely address this problem and circumvent RNaseH-dependent degradation of the vRNA genome during reverse transcription. In addition, we believe that the direct assessment of the behavior of the vRNA genome is another technical strength of our study.

393 The study of retroviral infection is inherently complicated by the fact that a large 394 fraction of physical particles that enter cells are non-infectious. As a result, it is often 395 assumed that the majority of the infection events studied in biochemical experiments. 396 which depend on the analysis of bulk infected cells, are largely composed of non-397 infectious viruses [19]. However, we believe that using pgsA-745 cells, which can be 398 very efficiently infected with VSV-G pseudotyped particles (as also observed by others 399 [25]), together with synchronizing the infections, largely mitigates this problem. In fact, 400 while we cannot exclude the possibility that the dense CA containing vRNP complexes 401 that we detect in fate of core assays (Fig, 6, 8) are blocked at downstream events 402 following reverse transcription, they appear to be capable of at least completing reverse 403 transcription. This is based on two observations. First, levels of vRNA detected 404 throughout the gradient decreased substantially if RT inhibitors were omitted during 405 infection, suggesting their efficient reverse transcription (data not shown). This also 406 indicates that the amount of viruses trapped in endosomes, which would appear in 407 middle membrane-containing fractions of the gradients, or viruses being degraded, 408 which would appear in top fractions containing soluble proteins and RNA molecules, is 409 relatively low under these conditions. Second, assuming that the intermediate 410 processing steps work at similar efficiency, the copy numbers of vRNA and vDNA were 411 similar, again suggesting the efficient conversion of vRNA to vDNA by RT.

412 We have previously shown that HIV-1 IN exhibits a key, non-catalytic role in 413 particle maturation that involves its binding to the vRNA genome [63]. Inhibition of IN-

RNA interactions leads to mislocalization of vRNPs outside of the CA lattice [63], and subsequent loss of both the vRNA genome and IN in target cells [90]. Similar loss of the vRNA and IN upon destabilization of the CA lattice, without any apparent effect on IN-RNA and NC-RNA interactions, strongly suggests that it is protection by the CA lattice that matters for the stability of vRNPs as opposed to IN-RNA interaction *per se*.

419 It remains unknown why the unprotected vRNA and IN are prematurely lost in 420 target cells. One possible hypothesis is that HIV-1 RNAs are inherently unstable due to 421 their AU-rich nucleotide content [114-116], similar to certain cellular mRNAs encoding for 422 cytokines and growth factors [117]. Another is that virion-associated enzymes nick and 423 deadenylate vRNAs in virions [118-120], predisposing them to degradation upon 424 entering target cells. While IN undergoes proteasomal degradation when ectopically 425 expressed alone in cells [108-112], we have found that proteasome inhibition does not 426 rescue the loss of vRNA or IN during infection (Fig. 8 & [90]). Whether the premature 427 loss of unprotected vRNA and IN from infected cells is due to another cellular 428 mechanism or inherent instability of vRNPs remains to be determined.

429 Our findings may have implications for how HIV-1 nucleic acids are recognized in 430 infected cells by host innate sensors. Shielding of the vRNPs and the resulting reverse 431 transcription products by CA has been proposed to prevent their recognition by cytosolic 432 nucleic acid sensors in immune cells subsets such as dendritic cells and macrophages 433 [53, 56]. For example, perturbation of CA interactions with host cell factors cyclophilin A 434 (CypA) and cleavage and polyadenylation specificity factor subunit 6 (CPSF6) can 435 trigger innate immune responses and interferon (IFN) production in macrophages [55] 436 and monocyte-derived dendritic cells [54]. However the extent of type-I IFN production 437 upon sensing has been variable and dependent on cell-type and culture conditions [121]. 438 For instance, the lack of a robust type I IFN response upon HIV-1 infection of 439 macrophages can be explained by degradation of excess reverse transcription products

440 by the cytosolic exonuclease TREX1 [122], as well as negative regulation of host factors 441 by viral accessory proteins [123]. In other settings, cyclic guanosine monophosphate-442 adenosine monophosphate synthase (cGAS) and the adaptor protein stimulator of 443 interferon genes (STING), as well as other regulators and downstream effectors, have 444 been proposed to be involved in recognition of HIV-1 DNA [52, 124, 125]. It will be 445 important in the future to determine whether the time window between the exposure of 446 vRNPs and their degradation is sufficiently long to allow innate immune recognition to 447 occur.

448 Overall, our findings highlight a critical role for the CA lattice in protecting vRNPs 449 from premature degradation in target cells and causally link how CA stability may impact 450 reverse transcription. Given the broad network of essential interactions between CA 451 molecules within the lattice and cellular factors in target cells, HIV-1 CA is emerging as a 452 viable new target for anti-retroviral therapy [17]. Compounds that target CA can disrupt 453 the assembly of the CA lattice and particle morphogenesis [91, 126-129], alter the 454 stability of the CA lattice and/or uncoating [91, 130-132], and inhibit reverse transcription 455 [91, 126, 129, 130, 132, 133] and nuclear entry [134-136] in target cells. Expectedly, CA 456 is highly sensitive to mutations [137], making it an exceptionally viable drug target as 457 resistance mutations would likely come at a high fitness cost to the virus.

458

459 MATERIALS AND METHODS

460 **Chemicals and reagents**

461 Standard laboratory chemicals were obtained from reputable suppliers such as Sigma-

462 Aldrich. The RT inhibitor nevirapine was obtained from the NIH AIDS Repository, while

463 compound C1 was synthesized as described previously [90].

464 **Plasmids**

465 The pNLGP plasmid consisting of the HIV-1_{NL4-3}-derived Gag-Pol sequence inserted into 466 the pCR/V1 plasmid backbone [138] and the CCGW vector genome plasmid carrying a 467 GFP reporter under the control of the CMV promoter [139, 140] were previously 468 described. Mutations in the CA coding sequence were introduced into both the pNLGP 469 plasmid and pNL4-3 by overlap extension PCR. Briefly, forward and reverse primers 470 containing CA mutations were used in PCR reactions with antisense and sense outer 471 primers containing unique restriction endonuclease sites (EcoRI-sense, NotI-antisense 472 for NLGP and BssHII-sense-SphI-antisense or SphI-sense-AgeI-antisense for pNL4-3). 473 respectively. The resulting fragments containing CA mutations were mixed at 1:1 ratio 474 and overlapped subsequently using the outer sense and antisense primer pairs. PCR 475 products were digested with the corresponding restriction endonucleases and ligated 476 with appropriately digested pNLGP or pNL4-3 plasmid vector fragments. Presence of 477 engineered mutations and lack of unwanted extraneous mutations were verified by 478 Sanger sequencing.

479

480 Cells and viruses

481 HEK293T cells (ATCC CRL-11268) and HeLa-derived TZM-bl cells (NIH AIDS Reagent 482 Program) were maintained in Dulbecco's modified Eagle's medium supplemented with 483 10% fetal bovine serum. CHO K1-derived pgsA-745 cells (CRL-2242, ATCC) were 484 maintained in Dulbecco's modified Eagle's / F12 (1:1) media supplemented with 10% 485 fetal bovine serum and 1 mM L-glutamine. MT-4 T cells (NIH AIDS Reagents) were 486 grown in RPMI media supplemented with 10% fetal bovine serum. Vesicular stomatitis 487 virus G protein (VSV-G)-pseudotyped virus-like particles (VLPs) were produced by 488 transfection of HEK293T cells with pNLGP-derived plasmids, the CCGW vector genome 489 carrying GFP, and VSV-G expression plasmid at a ratio of 5:5:1, respectively, using 490 polyethyleneimine (PolySciences, Warrington, PA). VSV-G-pseudotyped viruses were

491 produced by transfecting HEK293T cells with the pNL4-3-derived plasmids and VSV-G
492 plasmid at a ratio of 4:1 (pNL4-3:VSV-G).

493

494 Immunoblotting

495 Viral and cell lysates were resuspended in SDS sample buffer and separated by 496 electrophoresis on Bolt 4-12% Bis-Tris Plus gels (Life Technologies), blotted onto 497 nitrocellulose membranes, and probed with the following antibodies: mouse monoclonal 498 anti-HIV p24 antibody (183-H12-5C, NIH AIDS reagents), mouse monoclonal anti-HIV IN 499 antibody [141], rabbit polyclonal anti-HIV IN antibody raised in-house against the Q44-500 LKGEAMHGQVD-C56 peptide. Blots were then probed with fluorophore-conjugated 501 secondary antibodies (LI-COR) and scanned using a LI-COR Odyssey system. IN and 502 CA levels in virions were quantified using the Image Studio software.

503

504 Equilibrium density sedimentation of virion core components *in vitro*

505 Equilibrium density sedimentation of virion core components was performed as 506 previously described [90]. Briefly, HEK293T cells grown on 10-cm dishes were 507 transfected with NLGP or derivative plasmids. Two days post-transfection, cell-free 508 virions collected from cell culture supernatants were pelleted through a 20% sucrose 509 cushion. Pelleted VLPs were resuspended in 1x PBS and treated with 0.5% Triton X-100 510 for 2 min at room temperature. Immediately after, samples were layered on top of 30-511 70% linear sucrose gradients in 1X STE buffer (100 mM NaCl, 10 mM Tris-Cl (pH 8.0), 1 512 mM EDTA) and centrifuged for 16 h at 4°C and 28500 rpm, respectively, using an 513 SW55Ti rotor. Fractions (500 µL) collected from the top were analyzed for IN by 514 immunoblotting using a mouse monoclonal anti-IN antibody [141], anti-HIV p24 antibody 515 (183-H12-5C, NIH AIDS reagents), rabbit polyclonal anti-MA antibody (4811, NIH AIDS 516 reagents), and Q-PCR-based assays for RT activity [142] and vRNA.

517 Analysis of virion core components in infected cells

518 Biochemical analysis of retroviral cores in infected cells was performed as 519 described previously [95]. Briefly, pgsA-745 cells were mixed with VSV-G pseudotyped 520 single cycle GFP-reporter viruses or its derivatives at 4°C. Following the removal of virus 521 inoculum and extensive washes with 1X PBS, cells were incubated at 37°C for 2 h. For 522 analysis of vRNA, 25 µM nevirapine was included throughout the infections to prevent its 523 degradation during reverse transcription due to RNase H activity. Post-nuclear 524 supernatants were separated by ultracentrifugation on 10-50% linear sucrose gradients 525 using a SW50.1 rotor at 30,000 rpm for 1 h. Ten 500 µl fractions from the top of the 526 gradient were collected, and CA, IN, RT activity, vRNA and vDNA in each fraction were 527 analyzed by either immunoblotting or Q-PCR as above and detailed in [95].

528 Virus production and transmission electron microscopy

529 Cell-free HIV-1 virions were isolated from transfected HEK293T cells. Briefly, cells grown in two 15-cm dishes (10^7 cells per dish) were transfected with 30 µg full-length proviral 530 531 plasmid DNA containing the WT sequence or indicated CA mutations using PolyJet DNA 532 transfection reagent as recommended by the manufacturer (SignaGen Laboratories). 533 Two days after transfection, cell supernatants were filtered through 0.22 um filters and 534 pelleted by ultracentrifugation using a Beckman SW32-Ti rotor at 26,000 rpm for 2 h at 4 535 °C. Fixative (2.5% glutaraldehyde, 1.25% paraformaldehyde, 0.03% picric acid, 0.1 M 536 sodium cacodylate, pH 7.4) was gently added to resulting pellets, and samples were 537 incubated overnight at 4 °C. The following steps were conducted at the Harvard Medical 538 School Electron Microscopy core facility. Samples were washed with 0.1 M sodium 539 cacodylate, pH 7.4 and postfixed with 1% osmium tetroxide /1.5% potassium 540 ferrocyanide for 1 h, washed twice with water, once with maleate buffer (MB), and 541 incubated in 1% uranyl acetate in MB for 1 h. Samples washed twice with water were

542 dehydrated in ethanol by subsequent 10 min incubations with 50%, 70%, 90%, and then 543 twice with 100%. The samples were then placed in propyleneoxide for 1 h and infiltrated 544 overnight in a 1:1 mixture of propyleneoxide and TAAB Epon (Mariyac Canada Inc.). The 545 following day the samples were embedded in TAAB Epon and polymerized at 60 °C for 546 48 h. Ultrathin sections (about 60 nm) were cut on a Reichert Ultracut-S microtome, 547 transferred to copper grids stained with lead citrate, and examined in a JEOL 1200EX 548 transmission electron microscope with images recorded on an AMT 2k CCD camera. 549 Images were captured at 30,000 x magnification, and over 100 viral particles per sample 550 were counted by visual inspection.

551 Nano differential scanning fluorimetry (NanoDSF) analysis of CA assemblies

552 Purified HIV-1 CA proteins (WT, P38A, P38A/T216I, E45A, K203A, and Q219A) were 553 obtained using published protocols [143]. CA tubes were assembled by incubating 554 protein (~10 mg/mL) in 50 mM Tris, pH 8.0, 1 M NaCl, 20 mM β -mercaptoethanol for 2 h 555 at 37 °C. Unassembled protein were removed by centrifugation, and samples were then 556 diluted 10-fold into the same buffer and incubated for 10 min at room temperature prior 557 to loading onto nano-capillaries. NanoDSF profiles were measured with a Tycho system 558 (Nanotemper). The first derivative profiles were then fit as a sum of Gaussian curves in 559 Excel (Microsoft).

560

561 Analysis of vRNA in synchronously infected MT4 cells

562 MT4 cells ($3-6x10^6$) were cooled to 4° C and infected with HIV-1_{NL4-3}/VSV-G in the 563 presence of 5 µM polybrene and 25 µM nevirapine. Equivalent number of particles for 564 CA mutant viruses (as normalized by RT activity) was used to infect cells in parallel. 565 Cells were incubated with viruses at 4° C for 30 min to allow binding, followed by three 566 washes with ice-cold 1xPBS to remove unbound virus. Cells were then shifted to 37°C in 567 the presence of 25 µM nevirapine to allow virus entry. In some experiments 50 mM

ammonium chloride was included at this stage to prevent endosome acidification, and hence viral entry. Infected cells were collected at 0, 2, 4, 6 and 24 hpi and RNA was extracted by Trizol. Resulting RNA was reverse transcribed and subjected to Q-PCR analysis for viral genomic RNA.

572 **FIGURE LEGENDS**

573 Figure 1. CA stability mutants and compound 1 (C1)-treated viruses. (A) Location of 574 CA mutations depicted on the top view of the CA hexamer crystal structure (PDB 4XFX 575 [144]). Close-up of two adjacent CA subunits, colored in gray and yellow with substituted 576 residues shown as colored spheres, is depicted on the right. (B, C) HEK293T cells were 577 transfected with pNL4-3 bearing the indicated CA mutations. Cell lysates and purified 578 virions were harvested two days post transfection and analyzed by immunoblotting for 579 CA, NC, IN and RT (B). Virus titers were determined on TZM-bl cells (C). (D, E) 580 HEK293T cells were transfected with NLGP-expression plasmid bearing the indicated 581 CA mutations alongside a packagable vRNA (V1B) and VSV-G expression plasmid. Cell 582 lysates and purified virions were harvested two days post transfection and analyzed by 583 immunoblotting for CA, NC and IN (D), and virus titers were determined on TZM-bl cells 584 (E). (F-H) HEK293T cells transfected with pNL4-3 were treated with indicated 585 concentrations of C1. Resulting virus titers were determined using TZM-bl cells (F). Cell 586 lysates (G) and purified virions (H) were harvested two days post transfection and 587 analyzed by immunoblotting for CA, NC and IN. Data in A-H is representative of 2-4 588 independent experiments.

Figure 2. CA stability mutants alter particle morphology but not IN-RNA and NC-RNA complex formation. (A) Representative TEM images of WT and CA mutant HIV- 1_{NL4-3} virions. Magnification is 30,000x (scale bar, 100 nm). Black arrows indicate mature particles containing conical or round cores with associated electron density; triangles indicate eccentric particles with electron dense material situated between translucent

594 cores and the viral membrane; diamonds indicate immature particles. (B) Quantification 595 of virion morphologies from two independent experiments (average \pm SD; more than 100 596 particles counted per experiment). Significance of differences versus matched WT 597 morphology was assessed by t-test. p<0.05 (*), p<0.01 (**), p<0.001 (***), 598 p<0.0001(****). (C, D) Representative autoradiograms of IN-RNA and NC-RNA adducts 599 immunoprecipitated from WT or CA mutant HIV- 1_{NI4-3} virions (C) or HIV- 1_{NI4-3} virions 600 generated from cells treated with 100 µM C1 (D). The amount of immunoprecipitated 601 material was normalized such that equivalent levels of WT and mutant IN proteins were 602 loaded on the gel, as also evident in the immunoblots shown below. Levels of IN, NC 603 and CA in input virion lysates is shown in the lower immunoblots. Data are 604 representative of three independent replicates.

605 Figure 3. Estimation of relative intrinsic CA tube stability. (A) Schematic of the 606 nanoDSF assay. Assembled tubes were diluted 10-fold into assembly buffer and the 607 thermal melting profile of the sample was measured. (B) The first derivative profile (black 608 circles) of unassembled WT protein control can be deconvoluted into two component 609 peaks of distinct melting points, indicated by T1 and T2. The components, which we 610 assign to the NTD (T2) and CTD (T1), are modeled as Gaussian curves (gray). The sum 611 of the Gaussian components is shown by the red curve. (C) The profile of assembled 612 WT CA tubes contains an additional, third component with a higher melting point (T3), 613 which arises from the fraction of protein that remained assembled after dilution. The 614 height of the T3 Gaussian component compared to T2 and T1 indicates the relative 615 proportion of intact tubes that remained after dilution, and is therefore a direct readout of 616 intrinsic CA lattice stability. (D-I) Profiles of the indicated mutants. Data is representative 617 of two independent biological replicates.

Figure 4. Effects of CA mutations and C1 on the stability of HIV-1 cores in vitro.
HIV-1_{NL4-3}.derived virions bearing the indicated CA mutations or grown in the presence of

 100μ M C1 were subjected to equilibrium density sedimentation following treatment with 0.5% Triton as detailed in Materials & Methods. Ten fractions collected from the top of the sucrose gradients were analyzed for CA (A, B), MA (C), RT (D), and IN (E, F) by immunoblotting or a Q-PCR-based RT activity assay. Results in B & D (mean values ± SEM) quantify CA content and RT activity from three independent biological replicate experiments; panel F quantifies the results of one of three representative IN immunoblots.

627 Figure 5. Analyses of compensatory CA mutant viruses. (A-C) HIV-1_{NI4-3}-derived 628 virions bearing P38A or P38A/T216A substitutions were subjected to equilibrium density 629 sedimentation as in Fig. 4. Ten fractions collected from the top of the sucrose gradients 630 were analyzed for CA (A, B), IN (A) and RT (C) by immunoblotting or a Q-PCR-based 631 RT activity assay. (D-F) WT and HIV-1_{NL4-3}-derived virions bearing the CA R132T 632 substitution that confers resistance to C1 were generated in the presence of 100 µM C1 633 and subjected to equilibrium density sedimentation as in Fig. 4. CA (D, E), IN (D) and RT 634 (F) in collected fractions were detected by immunoblotting or a Q-PCR-based RT activity 635 assay. Data in A and D are representative of four independent experiments. Data in B, 636 C, E, F (mean values ± SEM) show the quantitation of CA immunoblots and RT activity 637 over four independent experiments.

638 Figure 6. Fates of viral cores with altered stabilities in infected cells. PgsA-745

639 cells were synchronously infected with VSV-G pseudotyped GFP reporter HIV-1 bearing

640 the indicated CA substitutions (A-D) or generated in the presence of 100 μM C1 (E-H).

641 Cells were processed at 2 hpi as explained in Materials and Methods. Proteins in

642 fractions were analyzed by western blotting using antibodies against CA-p24 (A, E) and

643 IN (B, F). Nucleic acids detected by PCR are shown in panels C and G (vRNA) and D

and H (vDNA). Immunoblots in A, B, E, F are representative of three independent

645 experiments. Data in C, D, G, H show mean values from three independent experiments,

646 with error bars representing the SEM.

647 Figure 7. Destabilization of the CA lattice leads to the loss of vRNAs in target 648 cells. (A,B) MT4 cells were synchronously infected with HIV-1_{NL4-3}/VSV-G at the MOI of 649 1 i.u./cell for WT (equivalent particle numbers as normalized by RT activity was used for 650 the mutants). Following synchronization, cells were shifted to 37 °C in the presence of 651 25 µM nevirapine (A) or 25 µM nevirapine and 50 mM ammonium chloride (B). Cell-652 associated vRNA at indicated time-points was analyzed by Q-RT-PCR. Data in A and B 653 are normalized relative to the amount of RNA detected at T=0 and T=2 hpi, respectively, 654 for each virus. Data are from six independent experiments. Wilcoxon matched-pairs 655 signed rank test was performed to assign significance (*, p < 0.05).

656

Figure 8. Inhibition of proteasomes does not rescue the degradation of viral cores

658 with decreased stability. PgsA-745 cells were synchronously infected with the

indicated WT or CA mutant virus in the absence or presence (- or +) of 2 μ M MG132,

and infected cells were processed at 2 hpi. Proteins in fractions were analyzed by

661 western blotting using antibodies against CA (A) and IN (B); vRNA was analyzed by Q-

662 RT-PCR (C). Viral titers from a representative experiment are shown in (D). Data are

663 derived from three independent experiments with error bars representing the SEM.

664 Figure 9. Model of how CA stability affects downstream events in HIV-1 665 replication.

Figure S1-Supplementary to Figure 4: Statistical analysis of the data presented in
Figure 4. Ratio paired one-tailed t-test was performed to determine statistically
significant decreases (black asterisks) compared to WT/mock sample in CA (A) and RT
(B) levels in fractions 7-10. p<0.05 (*), p<0.01 (**), p<0.001 (***).

670 Figure S2-Supplementary to Figure 5: Statistical analysis of the data presented in

Figure 5. Ordinary one-way ANOVA analysis was performed to determine statistically significant changes in CA (A, C) and RT (B, D) levels in fractions 7-10. Significant differences compared to the WT sample are denoted in black asterisks. Differences between P38A vs. P38A/T216I mutants (A, B), and WT/C1 vs. R132T/C1 (C, D) samples, were assessed by unpaired two-tailed t-test. These significance levels are indicated in red asterisks. ns, not significant (p>0.05); p<0.05 (*), p<0.01 (**), p<0.001 (***), p<0.0001(****).

678 Figure S3-Supplementary to Figure 6: Fates of viral RT with altered stabilities in

679 **infected cells.** PgsA-745 cells were synchronously infected with VSV-G pseudotyped

680 GFP reporter HIV-1, bearing the indicated CA substitutions. RT activity in fractions were

analyzed by a Q-PCR-based assay. Data is from three independent experiments.

682 Figure S4-Supplementary to Figure 6: Statistical analysis of the data presented in

Figure 6. Ordinary one-way ANOVA analysis was performed to determine statistically significant changes in RNA (A, C) and DNA levels (B, D) in fractions 6-8. Significant differences compared to the WT sample are denoted in black asterisks. Differences between P38A vs. P38A/T216I mutants (A, B) and WT/C1 and R132T/C1 (C, D) samples were assessed by unpaired two-tailed t-test, with these significance levels indicated in red. p<0.05 (*), p<0.01 (**), p<0.001 (***).

Figure S5-Supplementary to Figure 8: Statistical analysis of the data presented in Figure 8. Ordinary one-way ANOVA analysis was performed to determine statistically significant changes in RNA levels in fractions 4-9. Differences relative to the WT (+) sample are denoted in black asterisks, and differences resulting from MG132 treatment are indicated in red. ns, not significant (p>0.05); p<0.05 (*), p<0.01 (**), p<0.001 (***), p<0.0001(****).

695 Figure S6-Supplementary to Figure 8. Inhibition of proteasomes does not rescue 696 the degradation of viral cores with decreased stability. PgsA-745 cells were 697 synchronously infected with VSV-G pseudotyped GFP reporter HIV-1 bearing the 698 indicated CA substitutions in the absence or presence of 2 µM MG132, and infected 699 cells were processed at 2 hpi. Proteins in fractions were analyzed by western blotting 700 using antibodies against CA (A) and IN (B). Viral RNA in collected fractions was 701 analyzed by Q-RT-PCR (C). Viral titers from a representative experiments are shown in 702 (D). Data are derived from three independent experiments with error bars representing 703 the SEM.

704 Figure S7- Effects of CA mutations and C1 on the stability of HIV-1 cores in vitro

and the impact of IP₆. Indicated CA mutant viruses or WT grown in the presence of 100 μ M C1 were subjected to equilibrium density sedimentation following treatment with 0.5% Triton. Both the lysis buffer and gradients were supplemented with 10 mM IP₆. Ten fractions collected from the top of the sucrose gradients were analyzed for CA (A, B), MA (C), RT (D), and IN (E, F) by immunoblotting or a Q-PCR-based RT activity assay. Data are representative of two independent experiments.

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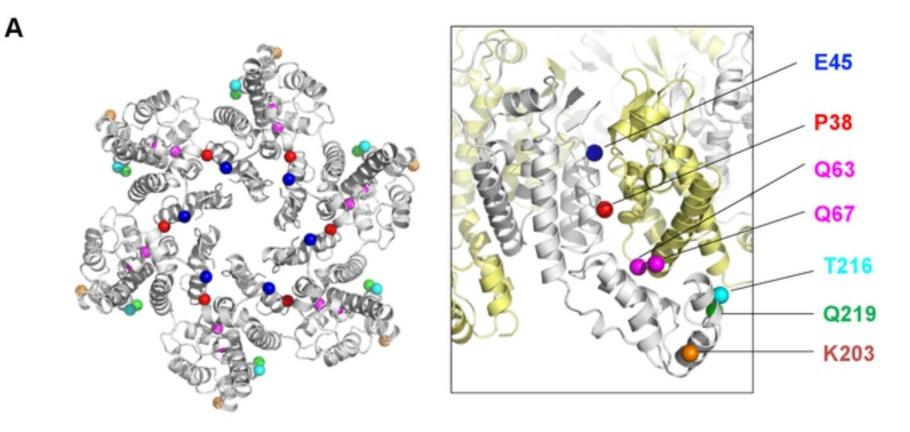
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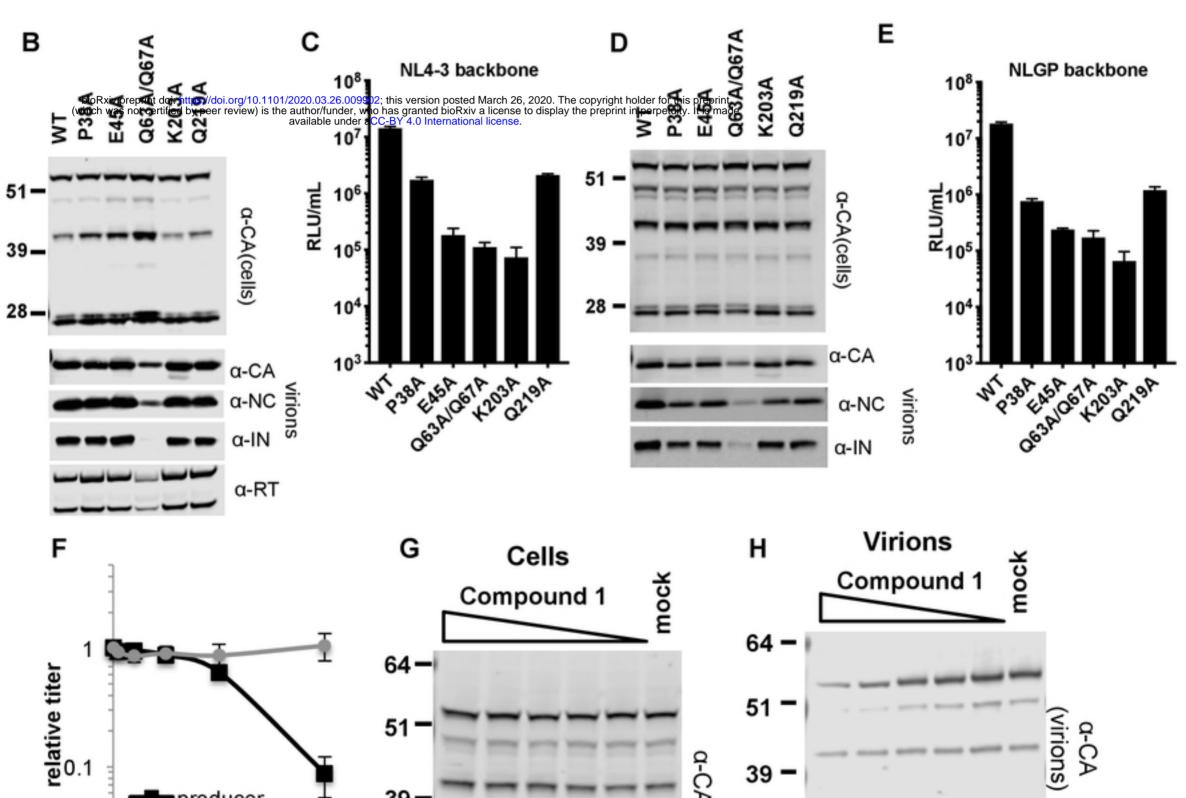
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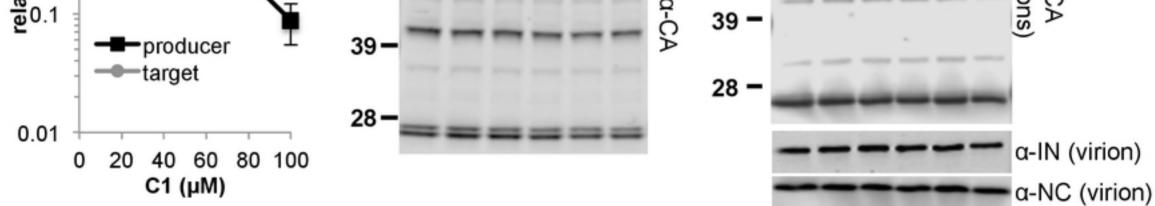
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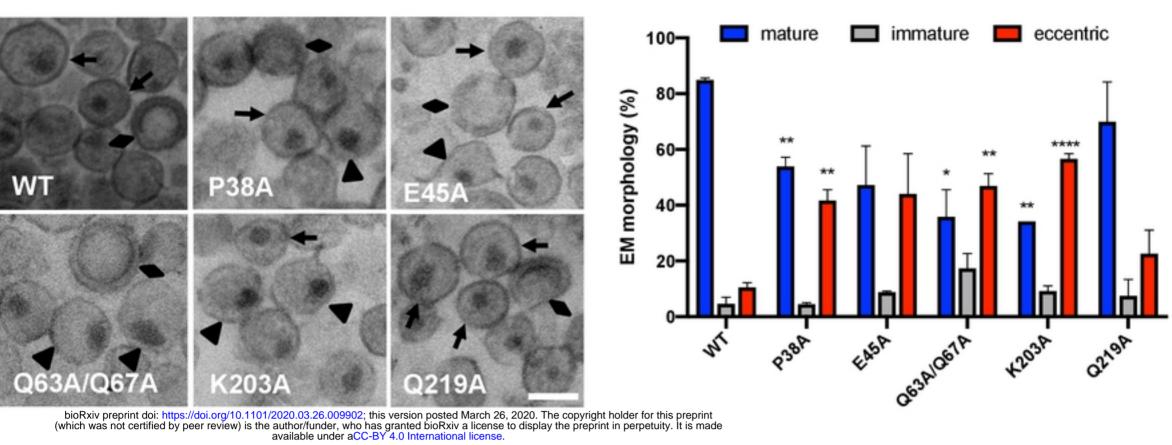


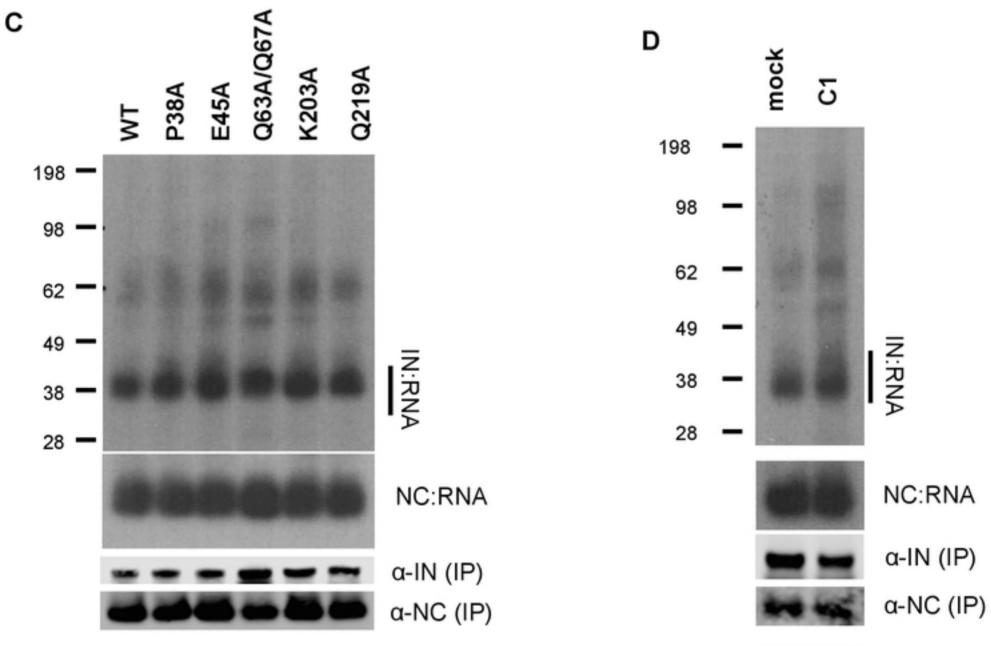


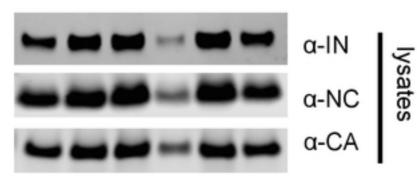


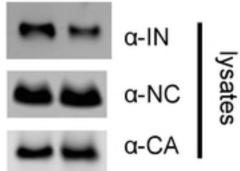


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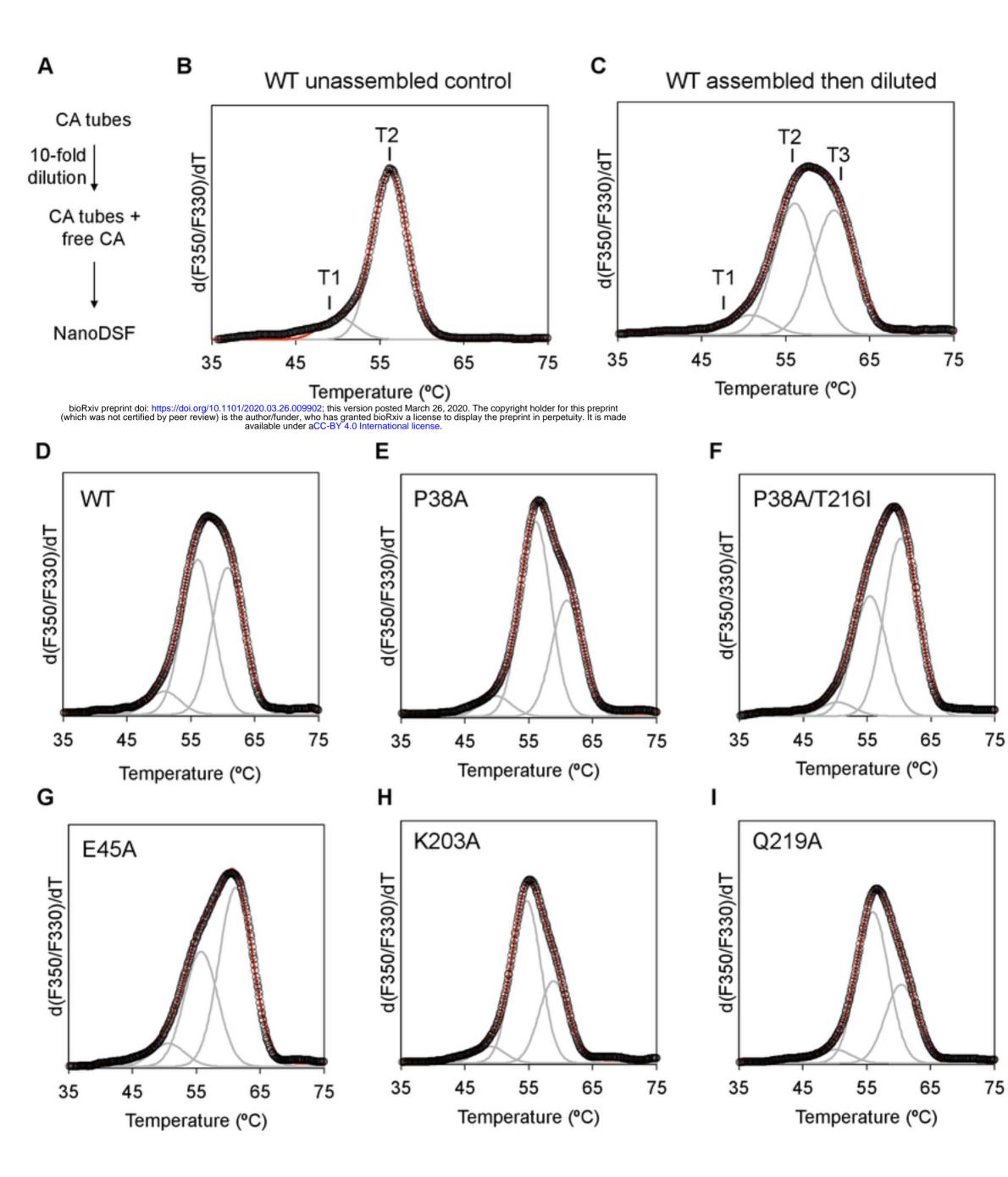


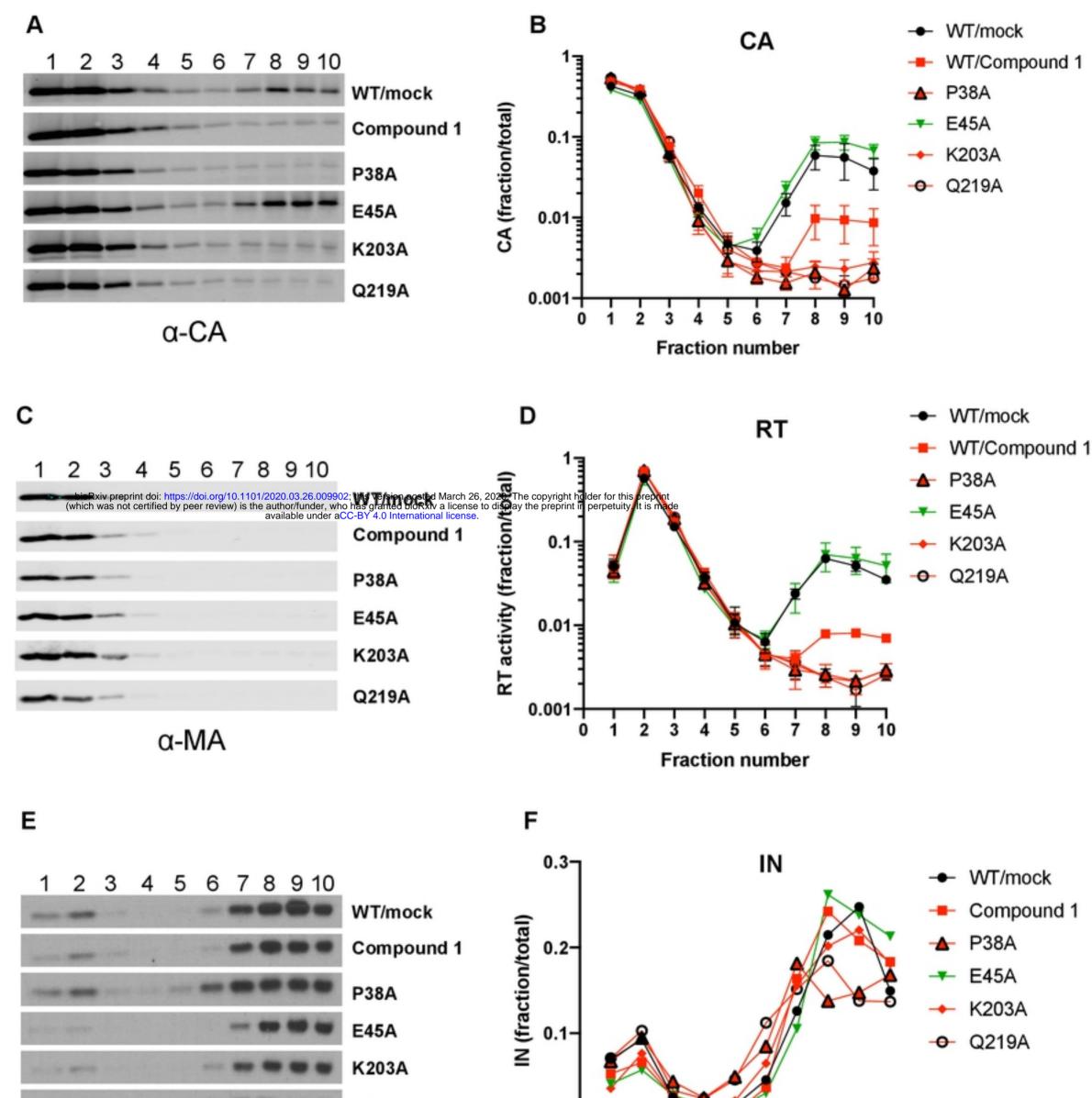






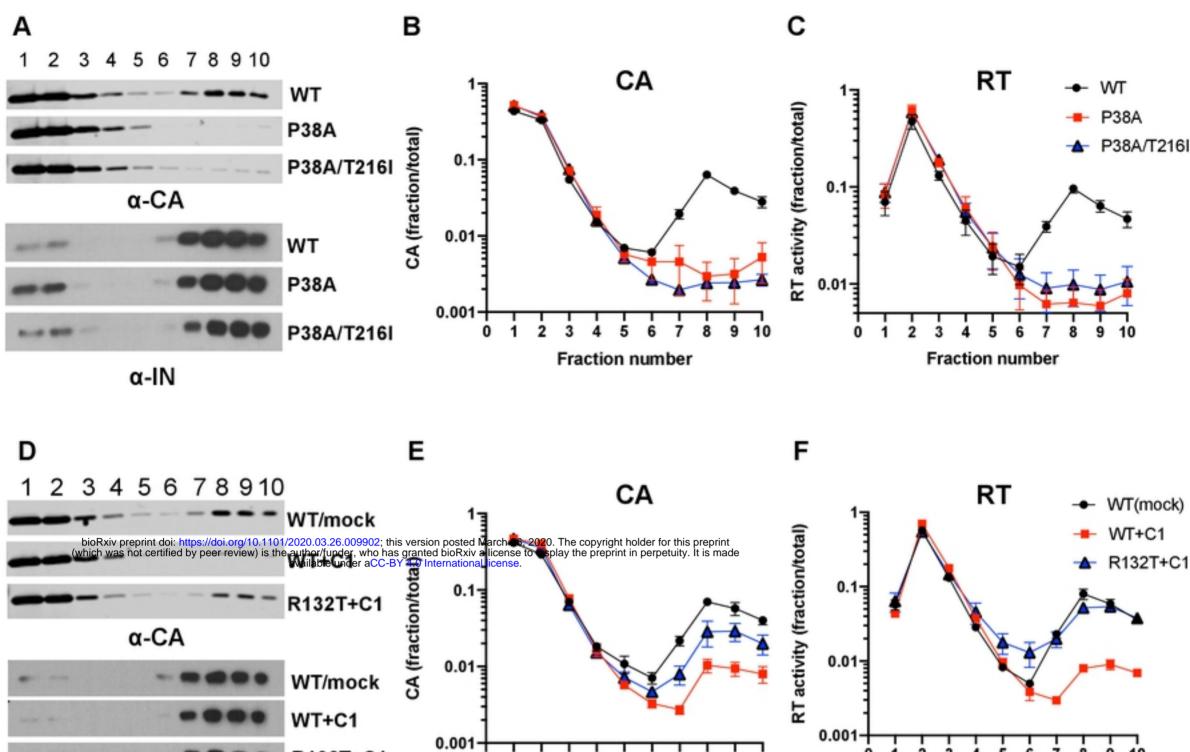












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α-IN

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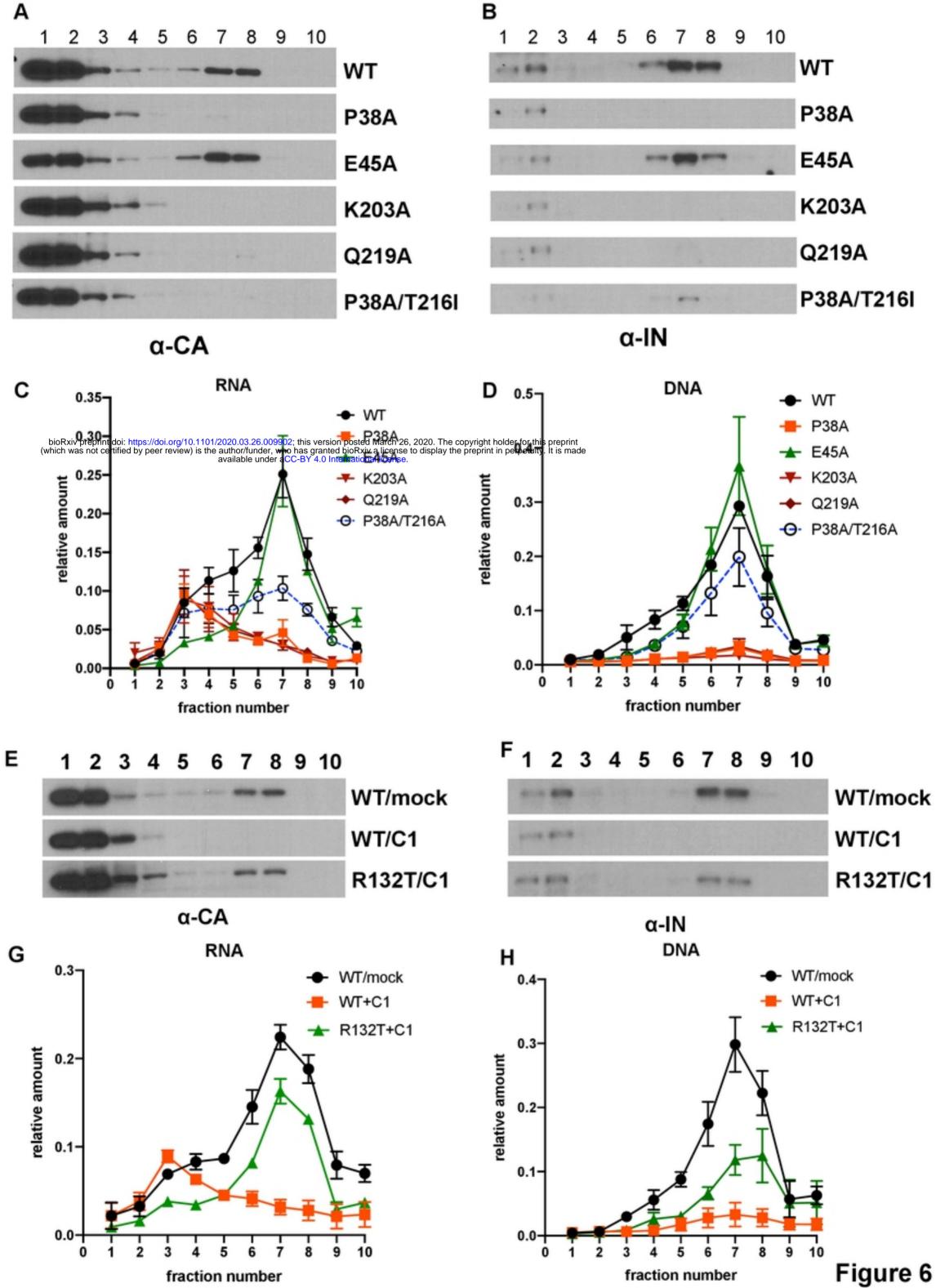
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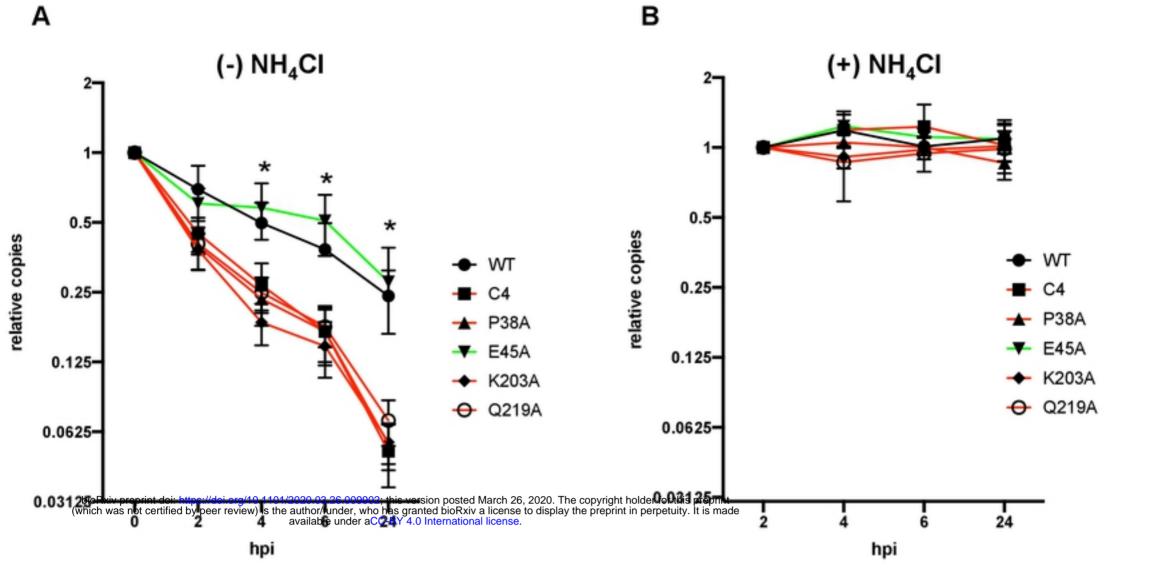
Figure 5

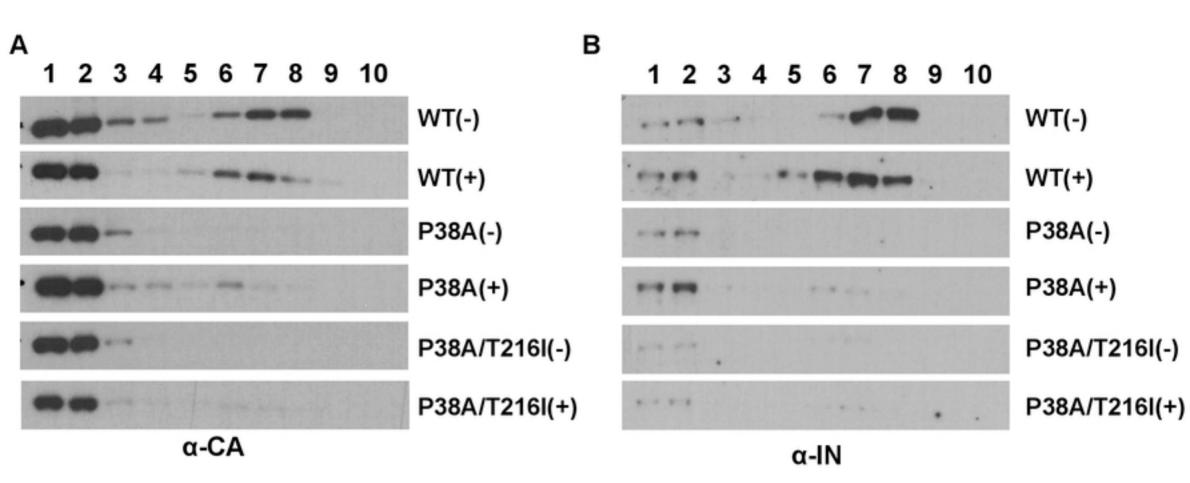
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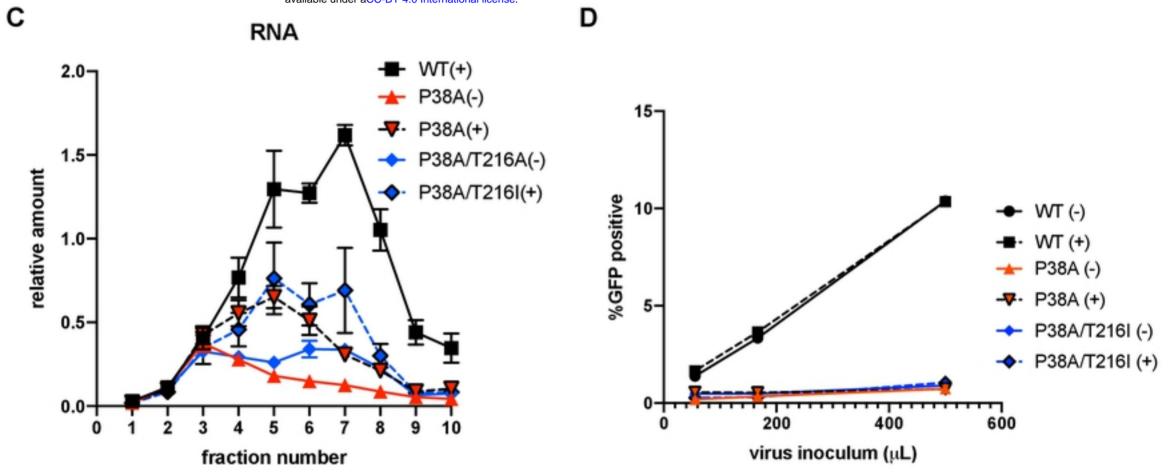
9 10







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HIV-1 with unstable core

WT HIV-1

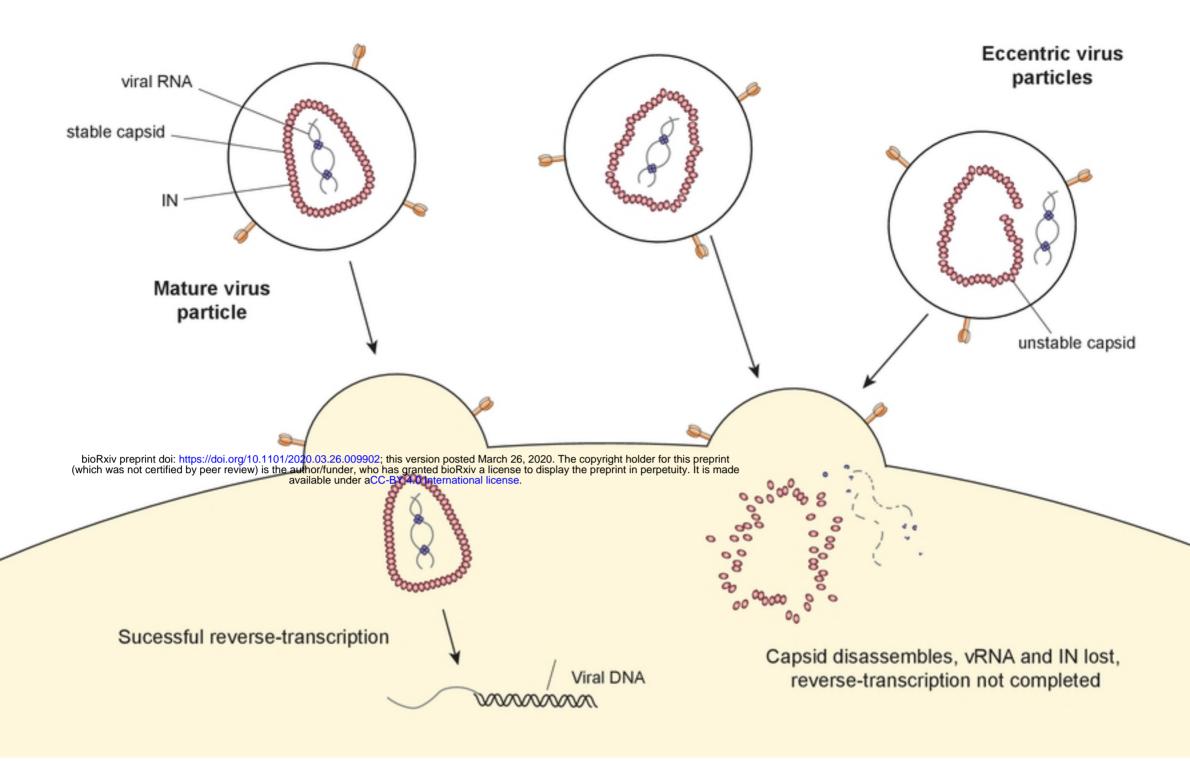


Figure 9

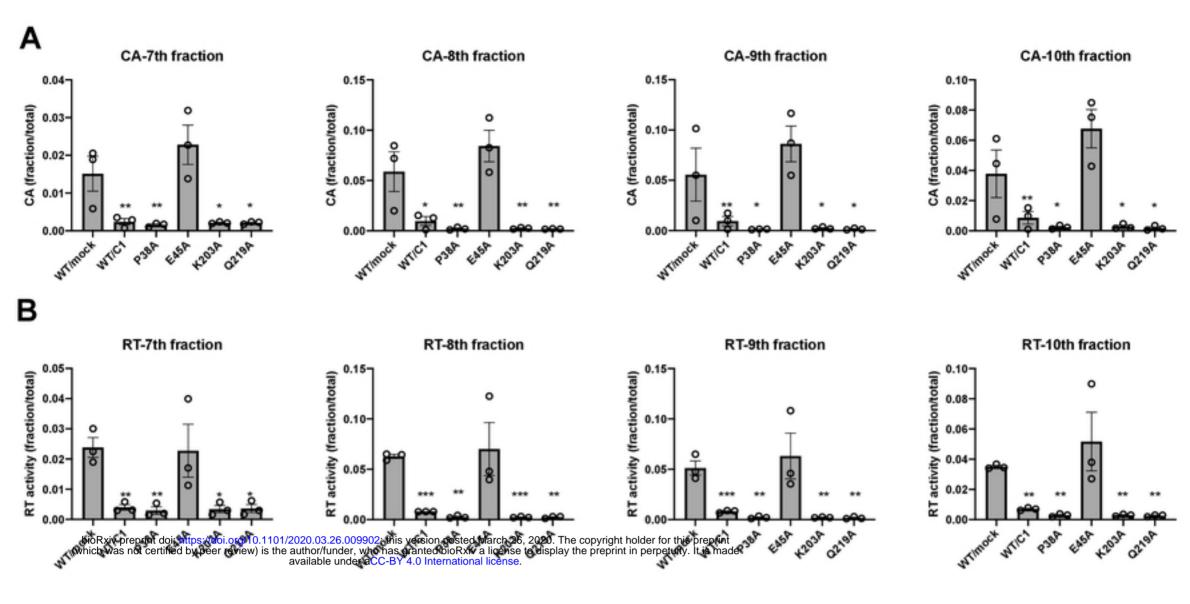


Figure S1-Supplementary to Figure 4

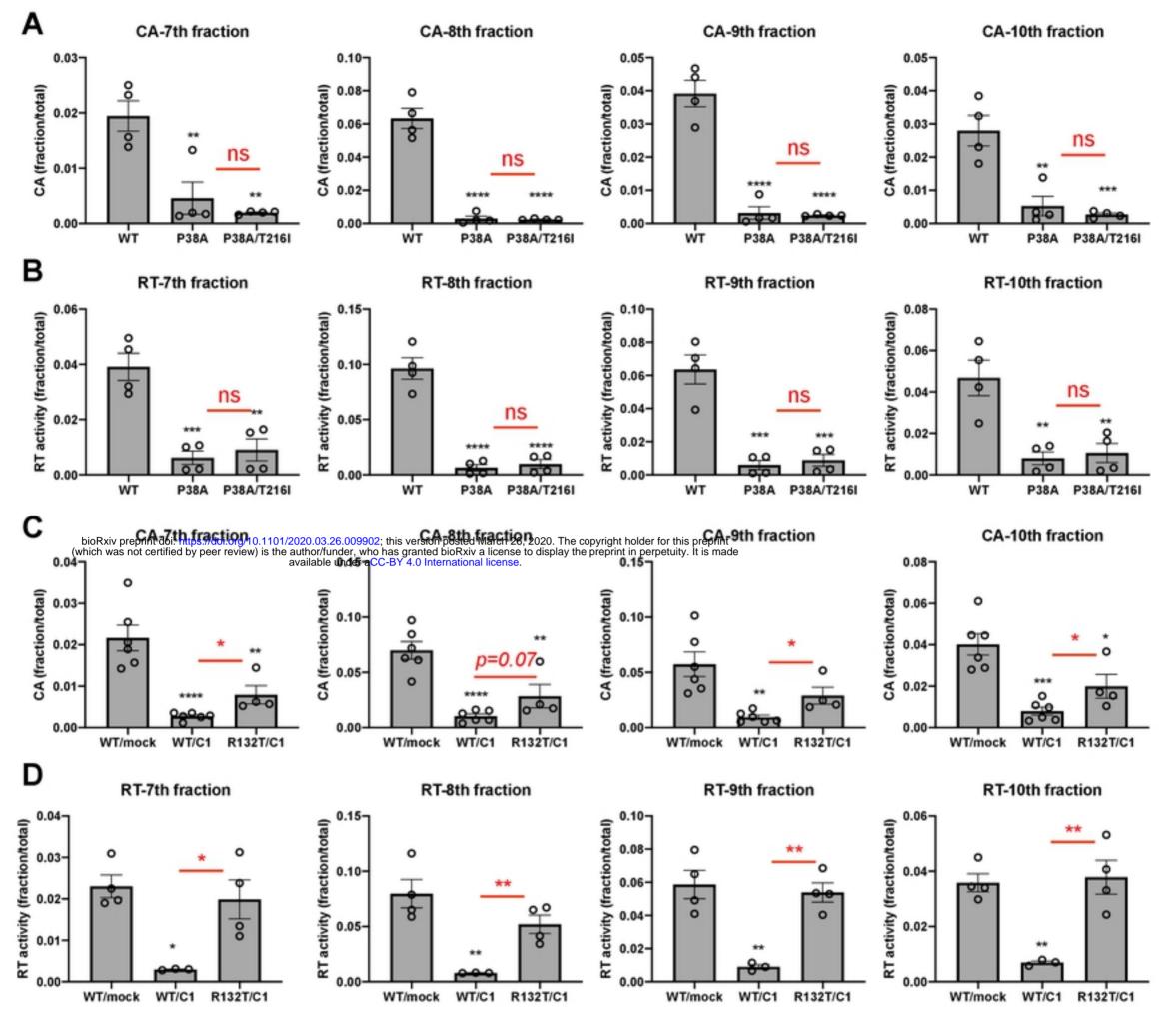
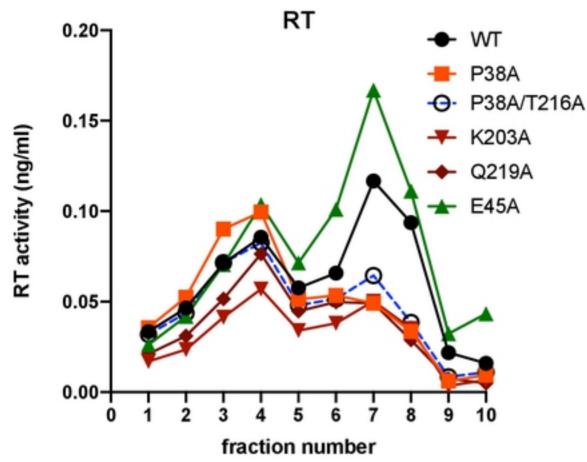
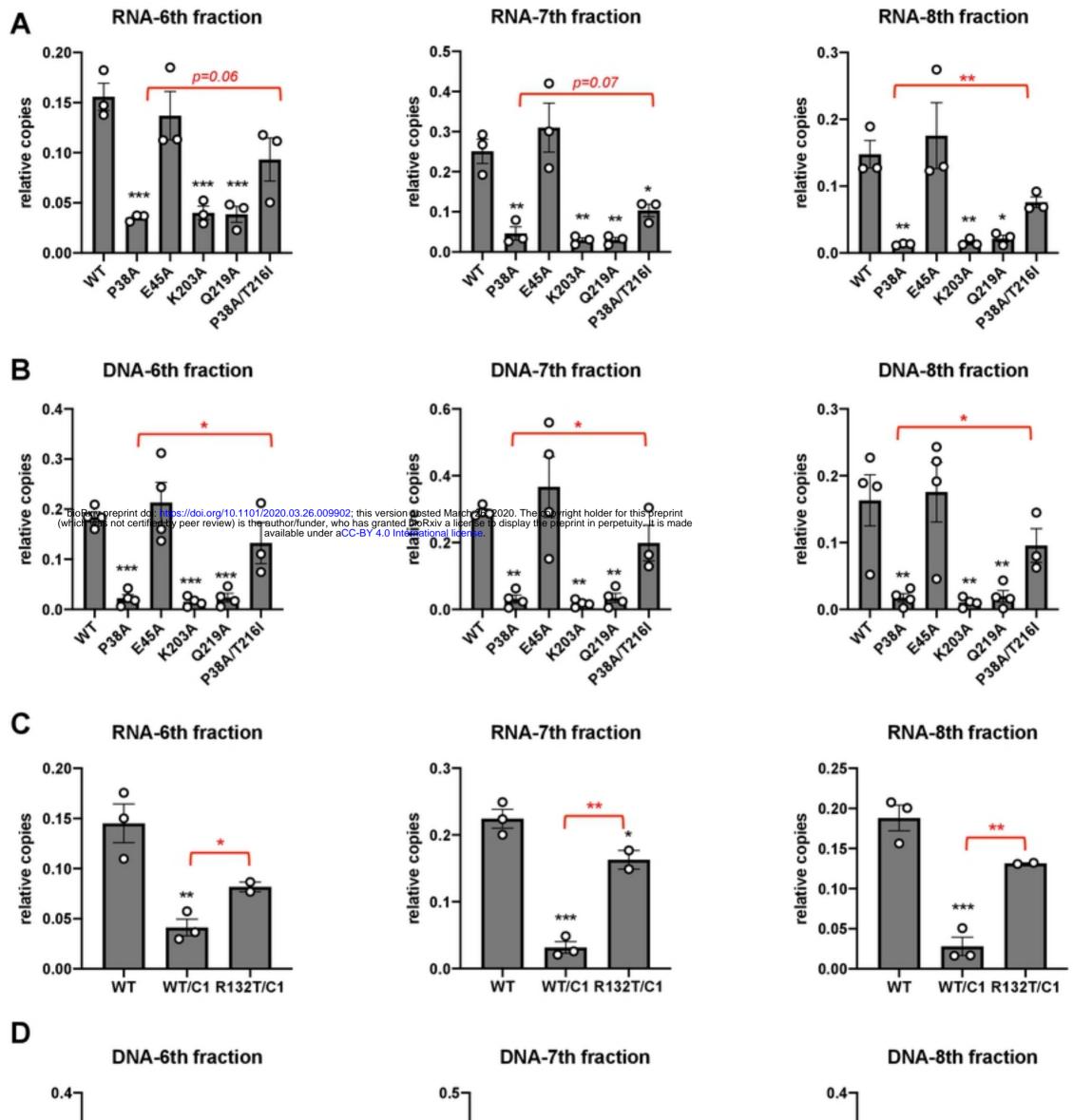


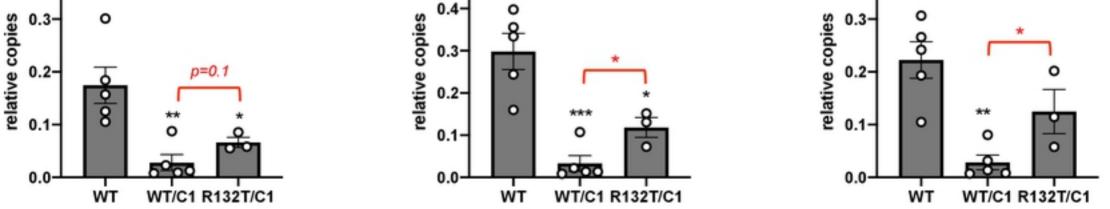
Figure S2-Supplementary to Figure 5



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Figure S3-Supplementary to Figure 6





## Figure S4-Supplementary to Figure 6

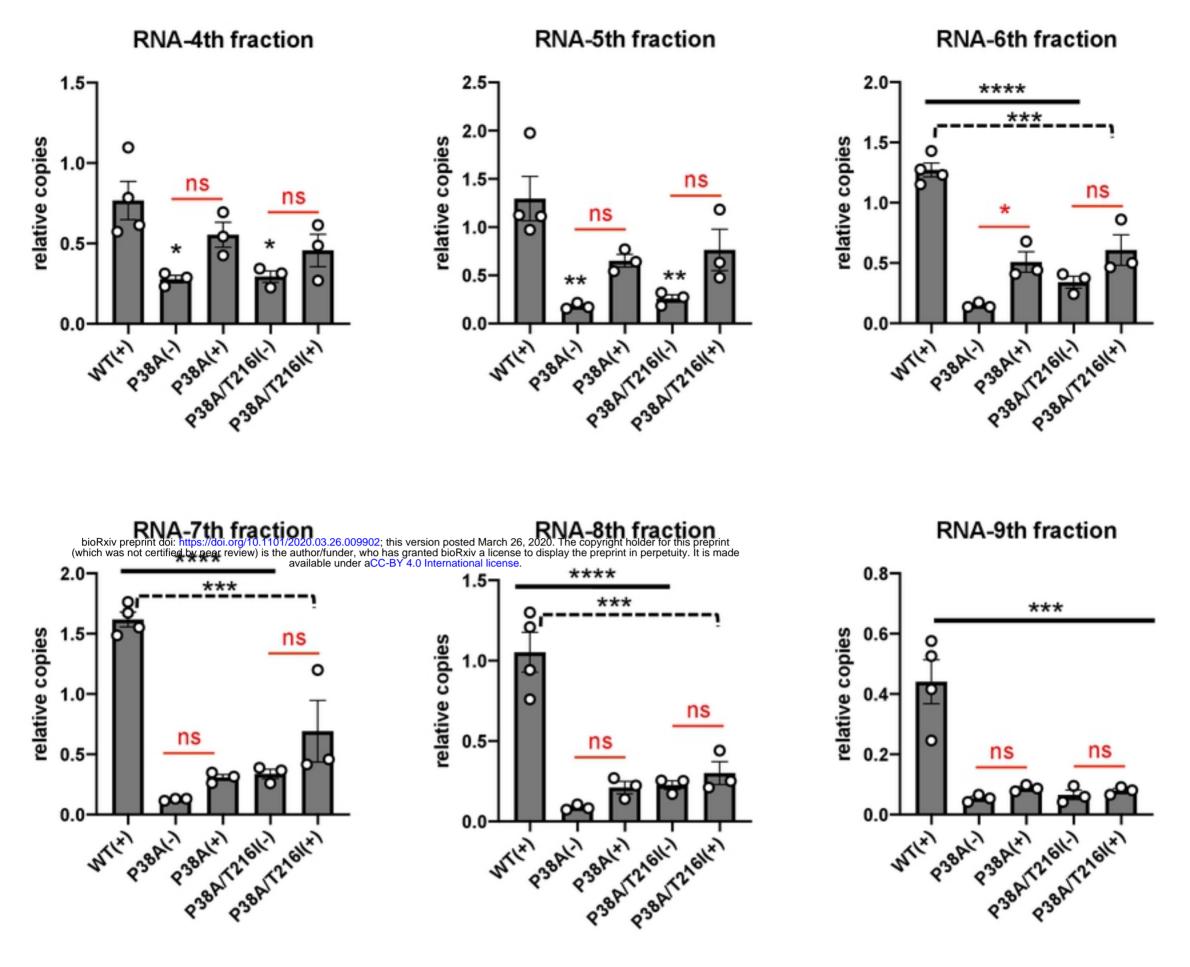
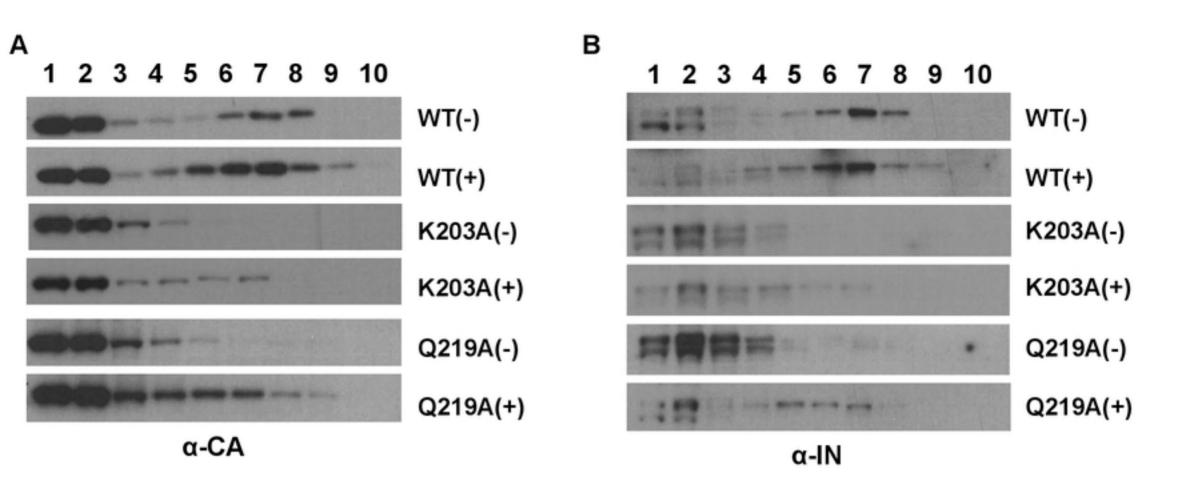


Figure S5-Supplementary to Figure 8



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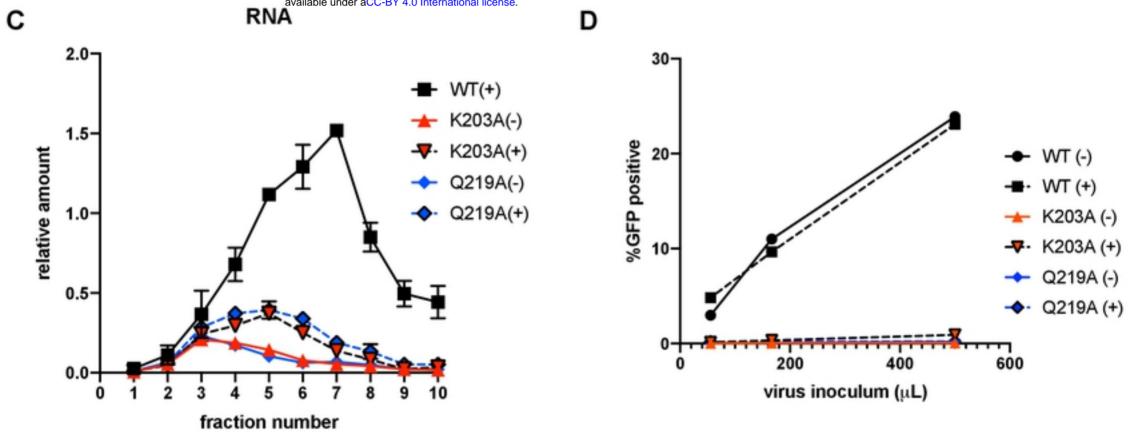


Figure S6-Supplementary to Figure 8

