2 3	Multimodal brain imaging study of 36,678 participants reveals adverse effects of moderate drinking
4 5	One Sentence Summary: Moderate alcohol intake, consuming one or more daily alcohol units, has adverse effects on brain health.
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43 ABSTRACT

44	Heavy alcohol consumption can have significant deleterious neural consequences, including
45	brain atrophy, neuronal loss, poorer white matter fiber integrity, and cognitive decline. However,
46	the effects of light-to-moderate alcohol consumption on brain structure remain unclear. Here, we
47	examine the associations between alcohol intake and brain structure using multimodal imaging
48	data from 36,678 generally healthy middle-aged and older adults from the UK Biobank,
49	controlling for numerous potential confounds. We find negative associations between alcohol
50	intake and global gray matter volume (GMV) and white matter volume (WMV), which become
51	stronger as intake increases. An examination of the associations between alcohol intake and
52	139 regional GMV imaging-derived phenotypes (IDPs) and 375 WM microstructure IDPs yielded
53	304 (59.1%) significant findings, including 125 GMV IDPs that are spread across the brain and
54	179 WM microstructure IDPs across multiple tract regions. In general, findings comport with the
55	existing literature. However, a daily alcohol intake of as little as one to two units – 250 to 500 ml
56	of a 4% beer or 76 to 146 ml of a 13% wine $-$ is already associated with GMV deficits and
57	altered WMV microstructure, placing moderate drinkers at risk.
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Alcohol consumption is one of the leading contributors to the global burden of disease
and to high healthcare and economic costs. Alcoholism, now diagnosed as Alcohol Use
Disorder (AUD)¹, is one of the most prevalent mental conditions worldwide², with harmful effects
on physical, cognitive, and social functioning³. Chronic excessive alcohol use leaves heavy
drinkers vulnerable to direct and indirect adverse effects, including (but not limited to)
cardiovascular disease⁴, nutritional deficiency⁵, cancer⁶, and accelerated aging⁷⁻⁹.

73 Chronic alcohol use affects both brain structure and connectivity⁹⁻¹¹. Decades of 74 neuroimaging studies have shown that chronic excessive alcohol consumption is associated 75 with widespread patterns of macrostructural and microstructural changes, mostly affecting frontal, diencephalic, hippocampal, and cerebellar structures^{9,10,12}. A recent meta-analysis of 76 77 individuals with AUD (n = 433) showed lower gray matter volume (GMV) in the corticostriatal-78 limbic circuits, including regions of the prefrontal cortex, insula, superior temporal gyrus, striatum, thalamus, and hippocampus compared to healthy controls $(n = 498)^{13}$. Notably, lower 79 80 GMV in striatal, frontal, and thalamic regions was associated with AUD duration or lifetime 81 alcohol consumption. Although alcohol consumption can produce global and regional tissue volume deficits, frontal regions are particularly vulnerable to alcohol toxicity¹⁴⁻¹⁶ and the 82 interactive effects of alcohol and age^{9,17}. 83

Alcohol-related white matter (WM) microstructural alterations are a hallmark injury of 84 AUD¹⁸⁻²⁰. Neuroimaging studies have consistently shown WM degeneration of the corpus 85 callosum in AUD^{3,21,22}. However, the effects of chronic alcohol use on WM microstructure, as 86 87 evidenced by decreased fractional anisotropy (FA) and increased mean diffusivity (MD), are not 88 limited to the corpus callosum, but are also seen in the internal and external capsules, fornix, frontal forceps, superior cingulate, and longitudinal fasciculi^{3,21,23}. Further, research indicates 89 90 that anterior and superior WM systems are more vulnerable to heavy drinking than posterior and inferior systems²⁴. However, because conventional diffusion tensor imaging (DTI) measures (FA 91 92 and MD) are based on a simplistic brain tissue microstructure model, they fail to account for the

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complexities of neurite geometry²⁵. For example, the lower FA observed in individuals with AUD
 may reflect lower neurite density and/or greater orientation dispersion of neurites, which
 conventional DTI measures do not differentiate^{26,27}.

96 Despite an extensive literature on brain structure and microstructure in individuals with 97 AUD, research exploring associations between alcohol consumption across the drinking 98 spectrum and brain structure and microstructure measures in the general population is limited. 99 In some studies of middle-aged and older adults, moderate alcohol consumption was associated with lower total cerebral volume²⁸, gray matter atrophy^{29,30}, and lower density of gray 100 matter in frontal and parietal brain regions³⁰. However, other studies have failed to show an 101 association³¹, and one study showed a positive association of light-to-moderate alcohol 102 103 consumption and GMV in older men³². One interpretation of these findings is that an inverse U-104 shaped, dose-dependent association exists between alcohol use and brain structure, with light-105 to-moderate drinking being protective against and heavy drinking being a risk factor for reductions in GMV³². This interpretation was not supported by a longitudinal cohort study, which 106 107 showed no difference in structural brain measures between abstinent individuals and light 108 drinkers, and moderate-to-heavy drinkers showed GMV atrophy in the hippocampi and impaired 109 WM microstructure (lower FA, higher MD) in the corpus callosum³³.

110 The inconclusive nature of the evidence regarding the association between moderate 111 alcohol intake and brain structure in the general population may reflect the literature's patchwork 112 nature, consisting as it does of mostly small, unrepresentative studies with limited statistical power^{34,35}. Moreover, most studies have not accounted for the effects of many relevant 113 114 covariates and, therefore, have yielded potentially biased findings. Potential confounds that may 115 be associated with individual differences in both alcohol intake and neuroanatomy include sex (women are more vulnerable than men)³⁶, body mass index (BMI) (vulnerability increases as a 116 function of BMI)³⁷, age (older adults are more vulnerable than younger adults)^{38,39}, and genetic 117 118 population structure (i.e., biological characteristics that are correlated with environmental

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causes)⁴⁰. Similar to other research fields, progress in this area may also be limited by
 publication bias⁴¹.

121 The current study examines the associations between alcohol intake and measures of 122 GM structure and WM microstructure in the brain in the largest population sample available to 123 date. We address the existing literature's limitations through a preregistered analysis of multimodal imaging data from the UK Biobank (UKB)⁴²⁻⁴⁴ that controls for numerous potential 124 125 confounds. The UKB, a prospective cohort study representative of the United Kingdom (UK) 126 population aged 40-69 years, is the largest available collection of high-guality MRI brain scans, 127 alcohol-related behavioral phenotypes, and measurements of the socioeconomic environment. 128 The UKB brain imaging data include three structural modalities, resting and task-based fMRI, 129 and diffusion imaging⁴²⁻⁴⁴. The WM fiber integrity measures available in the UKB include the 130 conventional FA and MD metrics and neurite orientation dispersion and density imaging (NODDI) measures²⁶. Such measures offer information on WM microstructure and estimates of 131 132 neurite density (i.e., intracellular volume fraction; ICVF), extracellular water diffusion (i.e., 133 isotropic volume fraction; ISOVF), and tract complexity/fanning (i.e., orientation dispersion, OD). 134 This allows us to assess the nature of the association between alcohol intake and WM 135 microstructure in greater detail than any previous studies on the topic. Specifically, we assess 136 associations between alcohol intake (i.e., mean daily alcohol units; one unit=10 ml or 8 g of 137 ethanol) and imaging derived phenotypes (IDPs) of brain structure (total GMV, total WMV, and 138 139 regional GMVs), as well as 375 IDPs of WM microstructure (DTI and NODDI indices), using 139 data from 36,678 UKB participants. Our analyses adjust for numerous covariates (see Methods 140 for an exhaustive list of these).

Our sample size provides us statistical power of 90% to detect effects as small as $f^2 >$ 0.00078 at the 5% significance level, after accounting for multiple hypotheses testing ($p_{uncorrected}$ <1.64x10⁻⁴). Given previous findings, we hypothesized to see a reduction in global GMV and WMV in heavy drinkers. However, the large general population sample provided sufficient

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- sensitivity to qualitatively and quantitatively assess how effects vary across the entire drinking
- spectrum and test at what threshold effects emerge. Our well-powered design also allowed us
- 147 to explore whether the effects of alcohol intake on GMV and WM microstructure are localized in
- 148 specific regions or conversely widespread across the brain and compare the effects across
- 149 various WM integrity indicators.

150 **RESULTS**

- 151 Table 1 summarizes the characteristics of the 36,678 participants (52.8% female),
- 152 including the distributions of age, daily alcohol units and global GMV and WMV. Participants
- 153 were healthy, middle-aged and older adults. We normalize all of the IDPs for head size by
- 154 multiplying the raw IDP by the head size scaling factor.

Variable	Females	Males	All
Sample size	19,390	17,288	36,678
Abstainers	2,006	899	2,905
Age: Mean (SD)	48 63 78 63.09 (7.37)	48 63 78 64.42 (7.60)	48 63 78 63.72 (7.51)
Daily alcohol units: Mean (SD)	o 2 4 0.87 (0.91)	o 2 4 1.49 (1.32)	0 2 4 1.16 (1.16)
Standardized log(1+daily units): Mean (SD)	-2 0 2 -0.24 (0.96)	-2 0 2 0.27 (0.97)	-2 0 2 0.00 (1.00)
Total GMV (cm ³): Mean (SD)	494 616 738 593.42 (48.07)	494 616 738 641.67 (52.27)	494 616 738 616.16 (55.58)
Total WMV (cm ³): Mean (SD)	411 547 683	411 547 683	411 547 683

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	514.06 (47.70)	584.53 (54.08)	547.27 (61.80)
Head size scaling factor (greater for smaller heads): Mean (SD)	1.03 1.30 1.56 1.37 (0.10)	1.03 1.30 1.56 1.21 (0.09)	1.03 1.30 1.56 1.29 (0.12)

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Note. SD = standard deviation, GMV = gray matter volume, WMV = white matter volume.

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Relationship between Global GMV and WMV and alcohol intake. The scatter plots in

159 Figure 1 and Figure 2 illustrate the relationships of the global IDPs (GMV and WMV, both 160 normalized for head size) with age and daily alcohol intake (in log scale) within sex. The figures 161 include local polynomial regression lines (LOWESS), which indicate negative trends on every 162 dimension. This preliminary analysis also demonstrates slight non-linearities in both dimensions, 163 with curves appearing concave. Therefore, our subsequent regression models include both 164 linear and quadratic terms for age and logged alcohol intake, test the joint significance of the 165 associations of the two terms with brain structure via an F-test (see Methods), and quantify the 166 effect size via the IDP variance explained by alcohol intake, above the other covariates (ΔR^2). 167 We estimate linear regressions to quantify the relationships between daily alcohol intake, 168 as well as its interactions with age and sex, and the global IDPs. Our main analyses (N =169 36,585) controls for age, height, handedness, sex, smoking status, socioeconomic status, 170 genetic ancestry, and county of residence (see Methods). Table 2 summarizes the results,

171 revealing that both global IDPs decrease as a function of daily alcohol intake. Alcohol intake

explains 1% of the variance in global GMV and 0.3% of the variance in global WMV across

173 individuals beyond all other control variables (both $p < 10^{-16}$). Additional analyses excluding

abstainers (N = 33,733) or heavy drinkers (N = 34,383), as well as models using an extended set of covariates (addition of BMI, educational attainment, and weight; N = 36,678) yield similar

findings, though the variance explained by alcohol intake beyond other control variables is

177 reduced to 0.4% for GMV and 0.1% for WMV when heavy drinkers are excluded (Extended

178 Data Tables 1 and 2).

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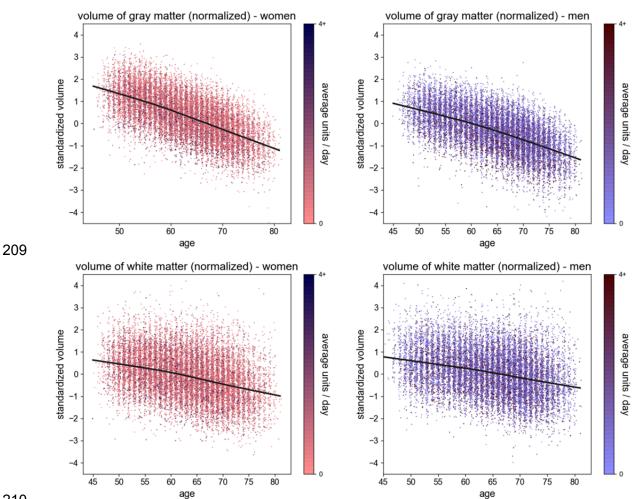
In the eight regressions we tested, the interaction between alcohol intake and sex is not significant at the 1% level, except weakly for GMV when including the extended control variables (BMI, weight, and educational attainment). Given our large sample size, this indicates that if there is any effect, it is negligible. Similarly, the interaction between intake and age is weakly significant for the regressions excluding abstainers only, indicating that it is also negligible if any effect exists. None of the interaction terms are significant at the 0.1% level. Consequently, we excluded the interaction terms from the analyses of local IDPs.

186 To evaluate the magnitude of the main effects of alcohol intake on the global IDPs, we 187 use our regression models to calculate the predicted change in global GMV and WMV 188 associated with an increase of daily alcohol intake by one unit (Table 3A). This prediction is 189 similar when using different sets of control variables and when excluding abstainers or heavy 190 drinkers. Given the non-linear relationship between global IDPs and alcohol intake, the effect 191 varies across the drinking range. There is virtually no change (less than .03 standard deviations) 192 in the predicted global GMV and WMV when shifting from abstinence to one daily alcohol unit. 193 However, the effect of intake increases as the number of daily units increases. An increase from 194 one to two daily units is associated with a decrease of 0.127 and 0.074 standard deviations in 195 predicted global GMV and WMV, respectively. A change from two to three daily units is 196 associated with a 75% greater decrease of 0.223 and 1.28 standard deviations in GMV and 197 WMV, respectively. Table 3B benchmarks the predicted effect magnitudes against the effects 198 associated with aging for an average 50-year-old UKB participant, based on our regression 199 models. For illustration, the effect associated with a change from one to two daily alcohol units 200 is equivalent to the effect of aging 2 years, where the increase from two to three daily units is 201 equivalent to aging 3.5 years.

Figure 3 displays the averages of the two global IDPs in sub-samples binned according to their daily alcohol consumption range, illustrating the non-linear nature of the relationship between daily units and the global measures. The figure includes statistical tests that compare

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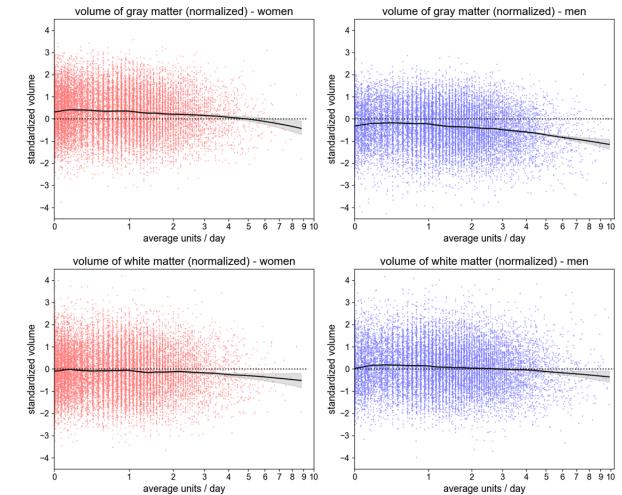
the average of the IDPs in the different sub-samples to their average in participants who
consume one daily unit or less. These tests identify statistically significant effects for all bins of
participants consuming more than one daily units, including those consuming as little as 1-2
daily units. These effects are observed both in the full sample and within sex.



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Figure 1. Scatter plots of whole-brain standardized gray matter volume (women, upper left; men, upper right) and standardized white matter volume (women, lower left; men, lower right), all normalized for head size, against the individual's age (x-axis). The plots also show the LOWESS regression line (smoothness: a=0.2). The 95% confidence interval is indistinguishable from the regression line. The colors are representative of the average daily alcohol consumption.

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Figure 2. Scatter plots of whole-brain standardized gray matter volume (women, upper left; 221 men, upper right) and standardized white matter volume (women, lower left; men, lower right), 222 all normalized for head size, against the individual's daily alcohol consumption (x-axis, in log 223 scale). The plots also show the LOWESS regression line (smoothness: a=0.2), with its 95% 224 confidence interval.

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	Dependent variable: global GMV		Dependent variable: global WMV		
	N: 36,678 (d.f.: 36,585), I	R ² : 0.514	N: 36,678 (d.f.: 36,585), R ² : 0.514		
	Regression Coefficient (S.Err),	t-stat	Regression Coefficient (S.Err),	t-stat	
Variable	95% CI	(p-value)	95% CI	(p-value)	
intake	-0.1095 (0.0058),	-19.0	-0.0650 (0.0078),	-8.4	
ппаке	CI: [-0.1209,-0.0982]	(<i>p</i> < 1.0e-16)	CI: [-0.0802,-0.0498]	(p < 1.0e-16)	
intake ²	-0.0651 (0.0037),	-17.7	-0.0370 (0.0050),	-7.5	
ппаке	CI: [-0.0723,-0.0579]	(<i>p</i> < 1.0e-16)	CI: [-0.0468,-0.0273]	(p = 7.8e-14)	
intoko v molo	0.0174 (0.0080),	2.2	0.0164 (0.0107),	1.5	
intake x male	CI: [0.0018,0.0330]	(p = 2.9e-02)	CI: [-0.0046,0.0374]	(<i>p</i> = 1.2e-01)	
intoko v stal ogo	0.0080 (0.0037),	2.2	0.0111 (0.0050),	2.2	
intake x std. age	CI: [0.0008,0.0152]	(p = 3.0e-02)	CI: [0.0014,0.0208]	(p = 2.5e-02)	
std ago	-0.5991 (0.0038),	-157.0	-0.3213 (0.0051),	-62.6	
std. age	CI: [-0.6066,-0.5916]	(<i>p</i> < 1.0e-16)	CI: [-0.3313,-0.3112]	(<i>p</i> < 1.0e-16)	
std. age ²	-0.0378 (0.0034),	-11.0	-0.0127 (0.0046),	-2.8	
stu. age	CI: [-0.0445,-0.0311]	(<i>p</i> < 1.0e-16)	CI: [-0.0217,-0.0037]	(p = 5.7e-03)	
	Against model without intake and interactions Delta R ² : 0.0099, F-test: <i>p</i> < 1.0e-16		Against model without intake and interactions Delta R ² : 0.0033, F-test: <i>p</i> < 1.0e-16		

Table 2. Regression analysis with global IDPs as outcome variables. All regressions include standard controls. Intake is measured in log(1 + daily units of alcohol).

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Table 3A. Predicted average additional effect (in standard deviations of IDP) of increasing
 alcohol intake by one daily unit on whole-brain gray matter volume and white matter volume, for
 models with different sets of controls (first and second columns), and for standard controls with
 samples excluding abstainers (third column) and heavy drinkers (last column).

	Stan cont			nded trols	Exclu absta	iding iiners		ng heavy kers
Intake changes	Global GMV	Global WMV	Global GMV	Global WMV	Global GMV	Global WMV	Global GMV	Global WMV
0 to 1 unit	-0.030	-0.020	-0.038	-0.017	-0.019	-0.015	-0.034	-0.019
1 to 2 units	-0.127	-0.074	-0.126	-0.073	-0.123	-0.070	-0.107	-0.067
2 to 3 units	-0.223	-0.129	-0.214	-0.129	-0.226	-0.124	-0.181	-0.116
3 to 4 units	-0.319	-0.184	-0.302	-0.185	-0.330	-0.179	-0.255	-0.164
0 to 4 units	-0.699	-0.407	-0.682	-0.404	-0.699	-0.388	-0.577	-0.367

236 *Note*. GMV = gray matter volume; WMV = white matter volume.

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- **Table 3B**. Equivalent effect of ageing in terms of additional years for an average 50-year old
- 241 individual.

	Standard controls			
Intake changes	Global GMV	Equivalent aging at 50	Global WMV	Equivalent aging at 50
0 to 1 unit	-0.030	0.5 years	-0.020	0.5 years
1 to 2 units	-0.127	2.0 years	-0.074	2.0 years
2 to 3 units	-0.223	3.5 years	-0.129	3.5 years
3 to 4 units	-0.319	4.9 years	-0.184	4.9 years
0 to 4 units	-0.699	10.2 years	-0.407	10.4 years

242 *Note.* GMV = gray matter volume.

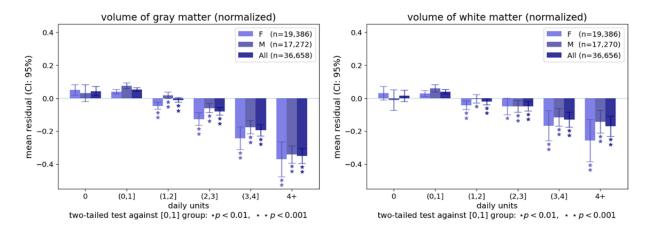


Figure 3. Bar plots representing the average volume of whole-brain gray and white matter volume for individuals grouped by the number of daily alcohol units after controlling for standard control variables (keeping the regression residual). The mean residuals are in terms of standard deviations of the dependent variable. The error bars represent the 95% confidence interval. *p<0.01 and **p<.0001 for groups showing a significant difference against the group consuming up to one alcohol unit daily.

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Relationship between regional GMV and alcohol intake. To investigate whether the

- 252 reduction in global GMV associated with alcohol intake stems effects of drinking in specific
- regions, we estimate regression models to quantify the association of alcohol intake with a total
- of 139 regional GMV IDPs. These IDPs were derived using parcellations from the Harvard-
- 255 Oxford cortical and subcortical atlases and Diedrichsen cerebellar atlas. Of the 139 GMV IDPs,

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256 125 (88.9%) are significantly associated with log alcohol intake (see Extended Data Table 3). 257 We observe the strongest effects in frontal, parietal, and insular cortices, temporal and cingulate 258 regions, putamen, amygdala and the brain stem. In these regions, alcohol intake explains 259 between 0.3%-0.4% of the variance in local GMV above the other covariates. Extended Data 260 Figure 1 illustrates the marginal effect of increasing daily alcohol units on regional GMV IDPs, 261 grouped by lobe. All of the associations are negative, except the association involving the right 262 pallidum – where the effect size is positive but very small ($\Delta R^2 = 0.0005$). Importantly, the 263 largest regional effect was less than half the size of the association between drinking and global 264 GMV, indicating that the global reduction in GMV associated with alcohol intake is the result of 265 aggregating smaller effects that are widespread across the brain (rather than constrained to 266 specific areas).

267 In a similar fashion to the analysis using the global IDPs, we calculate the average 268 localized GMV IDP for each daily alcohol unit bin (Extended Data Figure 2) and test their 269 difference against the average of the group drinking up to one unit per day, within sexes and in 270 the overall sample. As expected, the number of regional GMV IDPs showing a significant 271 negative association with alcohol intake, as well as these associations' magnitudes, increases 272 as the average number of daily alcohol units increases. There are few regions where lower 273 GMV is either not observed as a function of drinking or only apparent among heavy drinkers 274 (e.g., fusiform cortex). However, in most regions, GMV reduction is already visible in the groups 275 that drink moderately (i.e., consuming 1-2 or 2-3 daily units). Thus, the influence of moderate 276 alcohol intake on GMV also appears to be widespread across the brain, and it is detectable in 277 both males and females.

Relationship between regional WM microstructure and alcohol intake. To evaluate
how drinking influences the different indicators of WM integrity at the regional level, we estimate
linear regressions to quantify the association of alcohol intake with 375 IDPs, including FA, MD,
ICVF, ISOVF, and OD measures extracted via averaging parameters across 74 WM tract

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regions⁴⁵. Of the 375 WM microstructure IDPs, 179 (47.7%) are significantly associated with
alcohol intake (Extended Data Table 4). Generally, alcohol intake is related to lower coherence
of water diffusion, lower neurite density, and higher magnitude of water diffusion, indicating less
healthy WM microstructure with increasing alcohol intake.

286 To visualize the magnitude of WM microstructure IDP associations with alcohol intake. 287 Figure 4 displays the statistically significant and non-significant effects, alongside the average 288 change in normalized WM microstructure IDPs associated with a mean daily alcohol intake 289 increasing from 2 to 3 units. Thirteen WM tract regions show consistent significant associations 290 with lower FA and higher ISOVF and MD. The strongest effects of these are in the fornix, where 291 WM integrity was previously found to be affected by drinking in studies of populations with AUD^{3,21,23}. In the fornix, alcohol intake accounts for 0.45% of the variance in ISOVF, 0.35% of 292 293 the variance in MD, and 0.32% of the variance in FA. Other WM tract regions showing a similar 294 pattern yet with effects of weaker magnitude include commissural fibers (genu and body of the 295 corpus callosum, bilateral tapetum), projection fibers (bilateral anterior corona radiata), 296 associative fibers (fornix cres+stria terminalis, left inferior longitudinal fasciculus), and the 297 bilateral anterior thalamic radiations.

Among the NODDI measures, ISOVF showed the strongest effects of alcohol intake all over the brain, most notably in the tract regions discussed above. The associations between drinking and ICVF are also consistently negative yet smaller in size, with daily alcohol intake explaining no more than 0.1% of the variance beyond other control variables in all ICVF IDPs. The associations with OD, which is a measure of tract complexity, are either positive, negative or absent, and while some are statistically significant, they are all very small in size ($\Delta R^2 <$ 0.001 for all IDPs).

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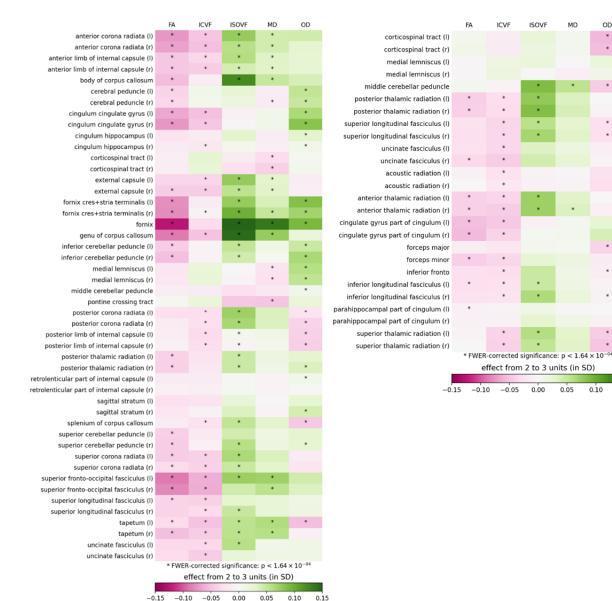


Figure 4. Matrix representing the effect of consuming 2-3 daily alcohol units on water matter microstructure indices of interest across white matter tract regions. r = right, l = left. * $p < 1.64x10^{-4}$

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312 DISCUSSION

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We report a multimodal brain imaging study of 36,678 middle-aged and older adults of
European descent, a population sample whose reported alcohol consumption spanned the
spectrum from abstinence to heavy drinking. The scale and granularity of the data provide
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316 ample statistical power to identify small effects while accounting for important potential

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317 confounds. We observe negative relationships between alcohol intake and global measures of 318 gray and white matter, regional GMVs, and WM microstructure indices. The effects we identify 319 are widespread across the brain, and their magnitude increases with the average absolute 320 number of daily alcohol units consumed. 321 Notably, the negative associations we observe with global IDPs are already detectable in 322 those who consume between 1 and 2 alcohol units daily. This finding has important implications 323 for recommendations regarding safe drinking levels, both in males and females. In 2016, the UK 324 Chief Medical Officers published new "low-risk" alcohol consumption guidelines that advise limiting alcohol intake to 14 units per week³². One alcohol unit is equivalent to 10 ml or 8 g of 325 326 ethanol, the amount contained in 25 ml of 40% spirits, 250 ml of 4% beer, or 76 ml of 13% wine. Many drinking establishments serve drinks that contain 35-50 ml of 40% spirits (1.4-2 units), 327 568 ml of 4% beer (2.27 units), and 175 ml of 13% wine (2.30 units)³³. Thus, in the UK, 328 329 consuming just one alcoholic drink (or two units of alcohol) daily can have negative effects on 330 brain health. This has important public health implications insofar as 57% of UK adults, or an estimated 29.2 million individuals²⁸ endorse drinking during the past week. 331 332 The negative associations between alcohol intake and total GMV and WMV are consistent with prior studies of early middle-aged⁴⁶ and older adults^{28,47}. Because men consume 333

more alcohol units per day and had larger global GMV and WMV, we further examine the effect
of sex in detail. We find negative associations between alcohol intake and the global IDPs for

both sexes and weak evidence for interactive effects between alcohol intake and sex on the brain. These findings are similar to a recent study of early middle-aged adult moderate drinkers that showed smaller brain volumes associated with moderate alcohol consumption in both men and women⁴⁶. The weak sex-by-alcohol interactions also comport with the findings of an earlier longitudinal study in individuals with AUD³⁸; however, other cross-sectional studies have reported greater volume deficits in women than men^{48,49}.

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343 Although nearly 90% of all regional GMVs show significant negative associations with alcohol intake, the most extensively affected regions included the frontal, parietal, and insular 344 345 cortices, with deficits also in temporal and cingulate regions. Associations are also marked in 346 the brain stem, putamen, and amygdala. The share of variance explained by alcohol intake for 347 these regions is smaller in size than for global GMV, suggesting that the latter is the result of 348 aggregation of many small effects that are widespread, rather than a localized effect that is 349 limited to specific regions. Alcohol intake is further associated with poorer WM microstructure 350 (lower FA and higher ISOVF and MD) in specific classes of WM tract regions. The commissural 351 fibers (genu and body of the corpus callosum, bilateral tapetum), projection fibers (bilateral 352 anterior corona radiata), associative bundles (fornix, fornix cres+stria terminalis, left inferior 353 longitudinal fasciculus), and the bilateral anterior thalamic radiations show the most consistent 354 associations with alcohol intake, with the fornix showing the strongest effects. The fornix is the primary outgoing pathway from the hippocampus⁵⁰, and WM microstructural alterations in the 355 fornix are consistently associated with heavy alcohol use and memory impairments^{3,51}. 356 357 Moreover, recent research indicates that one extreme-drinking episode can cause acute WM 358 damage to the fornix, suggesting that the fornix may be particularly vulnerable to alcohol's 359 effects.

Our findings are partly consistent with studies of individuals with AUD^{18,52}. The pattern of 360 361 microstructural alterations in our general population sample show that widespread WM 362 alterations are present across multiple WM systems. Like individuals with AUD, alcohol intake in 363 this healthy population sample is associated with microstructural changes in superficial WM 364 systems functionally related to GM networks, including the frontoparietal control and attention 365 networks, and the default mode, sensorimotor, and cerebellar networks. Deeper WM systems 366 (superior longitudinal fasciculus and dorsal frontoparietal systems, inferior longitudinal 367 fasciculus system, and deep frontal WM) thought to be involved in cognitive functioning by regulating reciprocal connectivity^{52,53} are also associated with alcohol intake. Within these WM 368

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369 systems, alcohol intake is most strongly associated with ISOVF, MD, and FA WM microstructure 370 indices; whereas, associations with ICVF are small, and OD associations are inconsistent or 371 nonexistent. Alcohol intake shows positive associations with ISOVF and MD and negative 372 associations with FA. This pattern of alcohol-associated WM microstructural disruption supports 373 previous research showing excessive intracellular and extracellular fluid in individuals with AUD²⁰. Given that alcohol increases blood-brain permeability⁵⁴ and activates pro-inflammatory 374 375 cytokines in the brain⁵⁵, the association between alcohol intake and higher ISOVF (extracellular 376 water diffusion) may be due to inflammatory demyelination. For example, higher ISOVF is 377 evident in WM lesions of multiple sclerosis, characterized histopathologically by inflammatory demyelination associated with blood-brain permeability and axonal injury^{56,57}. Additional 378 379 research is warranted; however, these findings suggest that even low-moderate alcohol intake 380 increases intracellular and extracellular water diffusion in WM, which may be a result of alcohol-381 induced inflammatory demyelination.

382 Our study is not without limitations, which provide opportunities for further research. 383 First, we rely on a sample of middle-aged individuals of European ancestry living in the UK. We 384 hope that future work will test the generalizability of our findings to individuals from other 385 populations and in other age groups. It is reasonable to expect that the relationship we observe 386 would differ in younger individuals who have not experienced the chronic effects of alcohol on 387 the brain. An additional limitation stems from the self-reported alcohol intake measures in the 388 UK Biobank, which cover only the past year. Such estimates do not adequately reflect drinking prior to the past year and are susceptible to reporting and recall bias^{38,39}. Further, our analyses 389 390 do not account for individuals with a past diagnosis of AUD. Earlier studies have shown that the 391 brain shows some recovery with prolonged sobriety, but this recovery varies with age and sex, and recovery might be incomplete⁵⁸⁻⁶⁰. Thus, a past diagnosis of AUD would likely influence our 392 393 results. We hope that future studies will shed light on how a history of AUD with prolonged 394 recovery influences brain structure in middle-aged and older adults.

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395 In summary, this large-scale brain imaging sample provides additional evidence of 396 alcohol's adverse effects on brain macrostructure and microstructure in a general population 397 sample of middle-aged and older adults. Alcohol intake is negatively associated with global 398 brain volume measures, regional GMVs, and WM microstructure. The associations between 399 alcohol intake and regional GMV are evident across the entire brain, with the largest deficits 400 observed in frontal, parietal, and insular cortices, temporal and cingulate regions, the brain 401 stem, putamen, and amygdala. Alcohol intake is related to WM microstructural alterations in 402 several WM tract regions connecting large-scale networks and deeper WM systems. Most of 403 these adverse effects are already apparent with an average consumption of only one to two 404 daily alcohol units. Thus, this multimodal imaging study highlights the risk that even moderate 405 drinking poses on the brain in middle-aged and older adults.

406 Methods

407 Sample, procedure and exclusion criteria

408 Our sample comprised 36,678 individuals of European ancestry from the UKB, all study 409 participants whose data were available as of September 1, 2020. All UK Biobank 410 (www.ukbiobank.ac.uk) participants provided written informed consent, and ethical approval 411 was granted by the North West Multi-Centre Ethics committee. Participants provided 412 demographic and health information via touchscreen guestionnaires. A nurse conducted a 413 medical history interview, which included self-report of medical diagnoses and other conditions 414 or life events that were used to evaluate eligibility to participate (study details are available at 415 http://www.ukbiobank.ac.uk/key-documents/). Vital signs were obtained, and body mass index 416 was calculated as weight $(kg)/height^2$ (m). 417 The data provided by the UK Biobank and was already subject to quality control⁶¹. We

excluded individuals with IDP values outside a range of four standard deviations (SDs). We
chose this lenient threshold as a non-trivial number of observations (97 for GM, 127 for WM) fall
between three and four SDs away from the mean, given the large sample size. The IDPs

421	beyond the four SD range are likely the results of processing errors, or the corresponding
422	individuals present severe brain irregularities (5 individuals for GM, 7 for WM). Note that the
423	exclusion of these outliers does not change the statistical significance nor the magnitude of the
424	effects that we report. The exclusion of individuals falling within three SDs of the mean does not
425	change the results either.
426	Measures of alcohol consumption
427	Participants self-reported the number of alcohol units (10 ml of pure ethanol) consumed,
428	in "units per week" (for frequent drinkers) or "units per month" (for less frequent drinkers), across
429	several beverage categories (red wine, white wine/champagne, beer/cider, spirits, fortified wine,
430	and "other"). The UKB assessment defined units of alcohol as follows: a pint or can of
431	beer/lager/cider = two units; a 25-ml single shot of spirits = one unit; and a standard glass of
432	wine (175 ml) = two units. We computed the number of weekly units by summing the weekly
433	units consumed in all categories. When reported monthly, the intake was converted to units per
434	week by dividing by 4.3. The number of weekly units was divided by 7 to determine units per
435	day.
436	MRI data acquisition and processing
437	MRI data were acquired using a Siemens Skyra 3T scanner (Siemens Healthcare,
438	Erlangen, Germany) using a standard 32-channel head coil, according to a freely available
439	protocol (http://www.fmrib.ox.ac.uk/ukbiobank/protocol/V4_23092014.pdf), documentation
440	(http://biobank.ctsu.ox.ac.uk/crystal/docs/brain_mri.pdf), and publication ⁴⁰ . As part of the
441	scanning protocol, high-resolution T1-weighted images, three-dimensional T2-weighted fluid-
442	attenuated inversion recovery (FLAIR) images, and diffusion data were obtained. High-
443	resolution T1-weighted images were obtained using an MPRAGE sequence with the following
444	parameters: TR=2000ms; TE=2.01ms; 208 sagittal slices; flip angle, 8°; FOV=256 mm;
445	matrix=256×256; slice thickness=1.0mm (voxel size 1×1×1mm); total scan time=4min 54s. 3D
446	FLAIR images were obtained with the following parameters: TR=1800ms; TE=395.0ms; 192

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sagittal slices; FOV=256mm; 256×256; slice thickness=1.05mm (voxel size $1.05 \times 1 \times 1$ mm); total scan time=5min 52s. Diffusion acquisition comprised a spin-echo echo-planar sequence with 10 T2-weighted (b ≈ 0 s mm⁻²) baseline volumes, 50 b = 1000 s mm⁻² and 50 b = 2000 s mm⁻² diffusion-weighted volumes, with 100 distinct diffusion-encoding directions and 2 mm isotropic voxels; total scan time=6min 32s.

452 Structural imaging and diffusion data were processed by the UK Biobank team and 453 made available to approved researchers as imaging-derived phenotypes (IDPs); the full details of the image processing and QC pipeline are available in an open-access article^{42,62}. IDPs used 454 455 in analyses included whole-brain GMV, whole-brain WMV, 139 regional GMV IDPs derived 456 using parcellations from the Harvard-Oxford cortical and subcortical atlases and Diedrichsen 457 cerebellar atlas (UKB fields 25782 to 25920), and 375 tract-averaged measures of fractional 458 anisotropy (FA), mean diffusivity (MD), intra-cellular volume fraction (ICVF), isotropic volume 459 fraction (ISOVF), and orientation diffusion (OD) extracted by averaging parameters over 74 different white-matter tract regions based on subject-specific tractography⁶³ and from 460 population-average WM masks⁴⁵. Volumetric IDPs were normalized for head size by multiplying 461 462 the raw IDP by the T1-based "head size scaling factor"⁶².

463 Statistical Analyses

464 **Descriptive analysis using global IDPs.** We plot global GMV and WMV in males and 465 females separately, normalized for head size, against age (Figure 1) and alcohol intake (i.e., 466 alcohol units/day on a log scale) (Figure 2).

Global IDPs, regional GMV, and WM microstructure analyses. Our main analysis
estimates a linear regression of several IDPs on alcohol intake in *log(1+daily units)*, including
various control variables and interactions. Given the slight concavity of the LOWESS regression
lines in the descriptive analysis of the global IDPs, we included both linear and quadratic values
for alcohol intake and age in the regression:

472 $IDP_i = \beta_0 + \beta_1 X_i + \beta_2 X_i^2 + \beta_3 X_i \times SEX_i + \beta_4 X_i \times AGE_i + \gamma Z_i + e_i,$

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474 where IDP_i is the IDP normalized for head size, X_i is the standardized alcohol intake in log(1 + 475 daily units), AGE_i is standardized age, Z_i is a vector of control variables, and e_i is an error term. 476 Our analyses comprise of models that include two different sets of control variables. The standard set includes standardized age, standardized age², standardized height, handedness 477 478 (right/left/ambidextrous; dummy-coded), sex (female:0, male:1), current smoker status, former 479 light smoker, former heavy smoker, and standardized Townsend index of social deprivation measured at the zip code level⁶⁴. To control for genetic population structure, the models also 480 include the first 40 genetic principal components⁶⁵ and county of residence (dummy-coded)⁶⁶. A 481 482 second set of extended control variables includes all of the standard controls along with standardized body mass index (BMI), standardized educational attainment⁶⁷, and standardized 483 484 weight. To determine whether observations at the extreme ends of the drinking distribution bias 485 the estimates of the relationship between alcohol intake and IDPs, we also estimate a model 486 that excludes abstainers and a model that excludes heavy drinkers (i.e., women who reported 487 consuming more than 18 units/week and men who consumed more than 24 units/week), both 488 with standard controls. For each of the IDPs, we test the hypothesis that alcohol had no effect 489 on the outcome measure via an F-test that compares our model against a model with only the 490 control variables (excluding alcohol intake and related interaction terms). 491 We separate the analysis into two parts: (1) global analysis and (2) regional GMV and 492 WM microstructure analysis, including 514 IDPs in total (139 GMV IDPs, 375 WM 493 microstructure IDPs). The interactions of alcohol intake variables with sex and age are not 494 significant in the global analysis (p > 0.001), so we exclude these them from the regional

analyses. To control the family-wise error rate in the regional GMV and WM microstructure

496 analysis, we determine the significance thresholds for all regressions using the Holm method⁶⁸,

497 ensuring a family-wise error rate below 5%. When testing for M hypotheses, this method orders

498 the corresponding p-values from lowest to highest: $p_0, ..., p_M$, and identifies the minimal index k

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such that $p_k > 0.05 / (M+1-k)$. All hypotheses with an index m < k are then considered to be statistically significant. In our application, the significance threshold was determined to be 1.64 x 10^{-4} .

502 To quantify and visualize associations between alcohol intake and IDPs (i.e., global 503 GMV and WMV, and regional GMV IDPs), we bin participants in the following six categories 504 based on average alcohol intake: (1) abstainers, (2) individuals who drank less than one 505 unit/day, (3) individuals who drank between one (included) and two (excluded) 506 units/day (recommended maximal alcohol consumption based on the UK Chief Medical Officers "low-risk" quidelines³²). (4) individuals who drank between two (included) and three (excluded) 507 508 units/day, (5) individuals who drank between three (included) and four (excluded) units/day, and 509 (6) individuals who drank at least four units/day. After regressing the influence of the standard 510 control variables, we then calculate the mean residual values (measured in standard deviations 511 of IDPs) and 95% confidence intervals (CI). By first regressing the dependent variables on the 512 standard control variables, the estimated effect can be interpreted as the part of the change in 513 IDP that is not explained by these other variables, and it is represented in terms of standard 514 deviations from the average. All results are available to the readers in extended data figures 515 and tables. Specifically, Extended Data Tables 3 and 4 include the regression coefficients, p-516 values and incremental variance explained above that of control variables for all of the regional 517 IDPs (both significant and non-significant). Extended Data Figure 2 includes the average GMV 518 of all regions tested (both significant and non-significant), in bins of participants with different 519 daily alcohol intake levels.

520 Pre registration. We registered the analysis plan was preregistered with the Open Science
521 Foundation (<u>https://osf.io/trauf/?view_only=a3795f76c5a54830b2ca443e3e07c0f0</u>).

522 Data Availability

523 Data and materials are available via UK Biobank at http://www.ukbiobank.ac.uk/.

524 Code Availability

525	The analysis code used in this study is publicly available with the Open Science Framework
526	(https://osf.io/trauf/?view_only=a3795f76c5a54830b2ca443e3e07c0f0).
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721 Author contributions

722 RD, PK, HRK, GN, and RRW conceived and designed the study. RD analyzed data. RD, GA,

723 KJ, PK, HRK, GN, and RRW interpreted data. RD, GN and RRW wrote the paper. GA, NS, PK,

and HRK, critically edited the work. RD, GN and RRW finalized all edits. All authors approved

the final version to be submitted for publication and agree to be accountable for all aspects ofthis work.

727

728 Competing interests

729 HRK is a member of an advisory board for Dicerna Pharmaceuticals and of the American

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- 732 Otsuka, Pfizer, Arbor, and Amygdala Neurosciences and is named as an inventor on PCT
- patent application #15/878,640 entitled: "Genotype-guided dosing of opioid agonists," filed
- January 24, 2018. All other authors declare no competing interests.