Renewable Fatty Acid Ester Production in *Clostridium*

Jun Feng[1,2,#], Jie Zhang[1,2,#], Pixiang Wang[1,2], Pablo Jiménez-Bonilla[1,2], Yanyan Gu[1,2], Junping

Zhou[1], Mingfeng Cao[3,4], Zengyi Shao [3,4], Ilya Borovok[5], Yi Wang[1,2,*]

[1]Department of Biosystems Engineering, Auburn University, Auburn, Alabama 36849, USA

[2]Center for Bioenergy and Bioproducts, Auburn University, Auburn, AL 36849, USA

[3]Department of Chemical and Biological Engineering, Iowa State University, Ames, IA,

50011, USA

[4]NSF Engineering Research Center for Biorenewable Chemicals, Iowa State University,

Ames, IA, 50011, USA

[5]The School of Molecular Cell Biology and Biotechnology, Faculty of Life Sciences, Tel Aviv

University, Ramat Aviv, 6997801 Tel Aviv, Israel

[*]Corresponding author:

Yi Wang,

Department of Biosystems Engineering,

Auburn University,

350 Mell Street,

Auburn, AL, 36849 USA

Tel: 1-334-844-3503

Fax: 1-334-844-3530

E-mail: yiwang3@auburn.edu

[#]These authors contributed equally to this work.

Abstract

Endproduct toxicity is a key bottleneck for biofuel/biochemical production. Biosynthesis of high-value derivatives that can easily separate would alleviate such toxicity and thus enhance bioproduction efficiency. As a proof of principle, biosynthesis (along with in-line extractive recovery) of fatty acid esters using clostridia was investigated in this study. We hypothesize that solventogenic clostridia are excellent platforms for ester production, because they co-produce acyl-CoAs (acetyl-CoA and butyryl-CoA), acids (acetate and butyrate), and alcohols (ethanol and butanol). Through rational screening for host strains and genes (encoding alcohol acyl transferases and lipase), systematic metabolic engineering, and elimination of putative prophages, we obtained strains that can produce 20.3 g/L butyl acetate and 1.6 g/L butyl butyrate respectively, which were both historically highest levels in microbial hosts. Our principle for engineering microorganisms to produce high-value and easy-recoverable endproducts is highly applicable to other bioprocesses, and could lead to breakthroughs in biofuel/biochemical production and general bioeconomy.

Keywords: clostridia, biofuels and biochemicals, fatty acid ester, butyl acetate, butyl butyrate, ethyl acetate, CRISPR-Cas9, hydrolysates, prophages

Main

Although tremendous efforts have been invested for biofuel and biochemical research, it is still challenging to generate robust strains that can produce target products at desirable levels[1]. One key bottleneck is the intrinsic toxicity of endproducts to host cells[2]. Therefore, the production of high-value bioproducts which can be easily recovered from fermentation might be a solution to tackle the bottleneck in bioproduction. Fatty acid esters, or mono-alkyl esters, can be used as valuable fuels such as diesel components or specialty chemicals for food flavoring, cosmetic and pharmaceutical industries[3]. It is projected that the US market demand for fatty acid esters could reach $4.99 billion by 2025[4]. In addition, esters, with fatty acid and alcohol moieties, are generally hydrophobic and can easily separate from fermentation; thus the production of ester can help mitigate endproduct toxicity to host cells and efficient bioproduction can be achieved.

Conventionally, esters are produced through Fischer esterification which involves high temperature and inorganic catalysts[5,6]. The reaction consumes a large amount of energy and generates tremendous wastes, and thus is not environmentally friendly[5]. On the other hand, ester production through biological routes is becoming more and more attractive because it is renewable and environmentally benign. There are two primary biological pathways for ester production: one is through esterification of fatty acid and alcohol catalyzed by lipases[7], and the other is based on condensation of acyl-CoA and alcohol catalyzed by alcohol acyl transferases (AATs)[5]. Previously, lipases from bacteria or fungi have been employed for catalyzing esterification for ester production[8]. For instance, lipase from *Candida sp*. has been recruited to

drive production of butyl butyrate (BB) with *Clostridium tyrobutyricum*. *C. tyrobutyricum*, a

natural hyper-butyrate producer, could generate 34.7 g/L BB with supplementation of lipase

and butanol[9]. However, in such a process, the supplemented enzyme accounts a big cost and

meanwhile the operation needs to be carefully managed to achieve the optimum performance

of esterification[9]. Therefore, a whole microbial cell factory able of ester production in one pot

is highly desired. *Saccharomyces cerevisiae* has been reported to produce various esters with

its native AATs, but generally at very low levels (< 1 g/L)[10]. Rodriguez et al. metabolically

engineered *E. coli* to produce esters by introducing heterologous AATs[5]. Although the

production of some acetate esters can reach decent levels (such as 17.2 g/L isobutyl acetate),

the production of most of the esters was rather low, probably due to the unavailability of

intrinsic substrates/precursors and limited tolerance of *E. coli* to organic endproducts.

Solventogenic clostridia are well known for solvent production through the Acetone-

Butanol-Ethanol (ABE) pathway[11]. During fermentation, acyl-CoAs (acetyl-CoA and butyryl-

CoA), fatty acids (acetate and butyrate), and alcohols (ethanol and butanol) are co-produced,

either as intermediates or endproducts. Therefore, we hypothesized that clostridia can be

excellent platforms to be engineered for ester production by introducing heterologous AATs

and/or lipase genes. In this study, strains from four well-known clostridial species were

evaluated as hosts for ester production. Through systematic metabolic engineering and

elimination of putative prophages, we ultimately obtained two strains which can produce 20.3

g/L butyl acetate (BA) and 1.6 g/L BB respectively in extractive batch fermentations. These

production levels were both highest in record. Besides, some of our engineered strains

demonstrated great potential for ethyl acetate (EA; 8.4 g/L) production.

Results

Screening of host strains and genes for ester production

We considered clostridia as ideal platforms for ester production thanks to their intrinsic

intermediates (fatty acids, acyl-CoAs, and alcohols) serving as precursors for ester biosynthesis

(Fig. 1). We hypothesized that different flux levels of these precursors within various clostridial

strains would make a big difference for the specific type(s) of ester production. Therefore, we

selected five strains (from four representative species) including *C. tyrobutyricum*

Δ*cat1::adhE1*[12]*, C. tyrobutyricum* Δ*cat1::adhE2*[12], *C. pasteurianum* SD-1[13], *C.*

saccharoperbutylacetonicum N1-4-C[14] and *C. beijerinckii* NCIMB 8052[15] to evaluate their

capabilities for ester production through metabolic engineering (Table S1). We included both

C. tyrobutyricum Δ*cat1::adhE1* and Δ*cat1::adhE2* here because they produce different levels

(and thus ratios) of butanol and ethanol[12,16]. Esters can be synthesized either through

esterification of acid and alcohol catalyzed by lipase, or through condensation of acyl-CoA and

alcohol catalyzed by AATs (Fig. 1). Previously, lipase B (CALB) from *Candida antarctica* has

been employed for efficient ester production through esterification[9,17]. In addition, four AATs

including VAAT[18,19], SAAT[18], ATF1[3,10], EHT1[20] have been recruited for ester production in

various hosts[5,21-23]. Therefore, here, we evaluated all these genes in our clostridial hosts for

ester production.

Six plasmids (pMTL-P$_{cat}$-*vaat*, pMTL-P$_{cat}$-*saat*, pMTL-P$_{cat}$-*atf1*, pMTL-P$_{cat}$-*eht1*, pMTL-

P$_{cat}$-*lipaseB* as well as pMTL82151 as the control) were individually transformed into *C.*

saccharoperbutylacetonicum N1-4-C, *C. pasteurianum* SD-1, *C. tyrobutyricum cat1::adhE1*

and *cat1::adhE2* respectively. While pTJ1-P$_{cat}$-*vaat*, pTJ1-P$_{cat}$-*saat*, pTJ1-P$_{cat}$-*atf1*, pTJ1-P$_{cat}$-

ehtl and pTJ1-P$_{cat}$-*lipaseB* as well as pTJ1 were transformed into *C. beijerinckii* 8052.

Fermentations were performed (Fig. 2a), and results were shown in Fig. 2b & Table S3. Four

types of esters were detected: EA, BA, ethyl butyrate (EB) and BB. Interestingly, control strains

with the empty plasmid (pMTL82151 or pTJ1) also produced noticeable EA, BA and BB. This

could be because: 1) the endogenous lipase in clostridia can catalyze ester production[14]; 2) *catP*

on pMTL82151 encoding a chloramphenicol acetyltransferase (belonging to the same class of

enzymes as AATs) has AAT activities[5,24].

Based on the results, it could be concluded that ATF1 is more favorable for BA production.

All strains with *atf1* produced higher levels of BA compared to the same strain but with the

overexpression of other genes (Fig. 2b & Table S3). While VAAT, SAAT and EHT1 seemed to

have better activities for BB production. Among all the strains, *C. saccharoperbutylacetonicum*

FJ-004 produced the highest titer of 5.5 g/L BA. This is the highest BA production that has

ever been reported through microbial fermentation. *C. acetobutylicum* CaSAAT (with the

overexpression of *saat* from *Fragaria xananassa*) was reported to produce 8.37 mg/L BA[23].

While this manuscript was prepared, a newly engineered *C. diolis* strain was reported to

produce 1.37 g/L BA[25]. The highest BB production of 0.3 g/L was observed in *C. pasteurianum*

J-5 with the overexpression of *eht1*. This is also the highest BB production reported so far

directly from glucose with engineered microorganisms, which is significantly higher than the

recently reported 50.07 mg/L in an engineered *C. acetobutylicum*[23]. Couple of our engineered

strains could also produce small amount of EB with the highest of 0.02 g/L been observed in

C. pasteurianum J-5. With the *lipaseB* overexpression, *C. tyrobutyricum* JZ-6 could generate

8.4 g/L EA. This was 48.2% of the highest EA production that has ever been reported (17.35

g/L with an engineered *Wickerhamomyces anomalus*[26]). As we reported previously, the mother

strain *C. tyrobutyricum* Δ*cat1::adhE1* could produce 20.8 g/L acetate and 5.3 g/L ethanol

(precursors for EA synthesis) during a batch fermentation, which might have enabled high-

level EA production in *C. tyrobutyricum* JZ-6[12].

The production levels of BA and BB achieved above are both significantly higher than the

previously reported in microbial hosts. In comparison, the BA level is much higher than BB

level, and thus has greater potentials towards economic viability. Therefore, in the following

steps, we primarily focused on systematic metabolic engineering of the strain for further

enhanced BA production.

Deletion of *nuoG* increased BA production

Enhancement of the pool of precursors is one common strategy to improve the production

of targeted bioproduct. Butanol and acetyl-CoA are the two precursors for BA production.

Therefore, we set out to increase butanol synthesis to improve BA production. The *nuoG* gene

encodes the NADH-quinone oxidoreductase subunit G, which is a subunit of the electron

transport chain complex I[27]. NADH-quinone oxidoreductase can oxidize NADH to NAD^+ and

transfer protons from cytoplasm to periplasm to form a proton gradient between periplasm and

cytoplasm, which can then contribute to the energy conversion[27] (Fig. S1). It has been reported

that inactivation of *nuoG* could increase both glucose consumption and butanol production in

C. beijerinckii[28]. In this study, we hypothesized that by deleting *nuoG*, BA production would

be boosted because of the potentially increased butanol production. Thus, we deleted *nuoG*

(Cspa_c47560) in N1-4-C and generated FJ-100. Further, FJ-101 was constructed based on FJ-

100 for BA production. Results demonstrated that, although butanol production in FJ-100 was

only slightly improved than N1-4-C, BA production in FJ-101 was remarkably enhanced

compared to FJ-004 (7.8 g/L vs. 5.5 g/L) (Table S4). EA production in FJ-101 was also

increased to 0.9 g/L (vs. 0.5 g/L in FJ-004). We speculate that deletion of *nuoG* saved NADH,

which could be used for enhanced production of alcohols (precursors for BA and EA synthesis),

and thus increased production of BA (and EA).

Enhancement of acetyl-CoA availability to improve BA production

At the end of fermentation with FJ-101, there was still 7.6 g/L of butanol remained (Table

S4). This suggested that limited availability of intracellular acetyl-CoA was likely the

bottleneck for the further improvement of BA production. To enhance the availability of acetyl-

CoA, we firstly introduced isopropanol synthesis (from acetone) pathway (Fig. 3a). We

hypothesized that this could pull flux from acetone to isopropanol, and thus boost the

transferring of CoA from acetoacetyl-CoA to acetate driven by the CoA transferase, resulting

in increased availability of acetyl-CoA. The *sadh* gene in *C. beijerinckii* B593 encoding a

secondary alcohol dehydrogenase can convert acetone into isopropanol[29]. The *hydG* gene in

the same operon as *sadh* encodes a putative electron transfer protein. It has been demonstrated

to play important roles for the conversion of acetone into isopropanol[30,31]. In this study, either

sadh alone or the *sadh-hydG* gene cluster was integrated into the chromosome of FJ-100,

generating FJ-200 and FJ-300, respectively. Further, by introducing pMTL-cat-*atf1*, FJ-201

and FJ301 were obtained. As shown in Fig. 3b and Table S4, compared to FJ-101, about 50%

of the acetone could be converted into isopropanol in FJ-201, while ~95% of the acetone in FJ-

301 could be converted into isopropanol. The total titers of acetone plus isopropanol in FJ-301

and FJ-201 were 6.6 and 5.1 g/L respectively, both of which were higher than 4.8 g/L acetone

in FJ-101. More significantly, BA production in FJ-201 and FJ-301 has been remarkably

increased compared to FJ-101, and reached 10.1 and 12.9 g/L, respectively, likely due to

enhanced 'regeneration' of acetyl-CoA as described above.

Dynamic expression of *atf1* enhanced BA production

In our engineered strain, BA is synthesized through condensation of butanol and acetyl-

CoA catalyzed by ATF1. The constitutively high expression of ATF1would not necessarily lead

to the high BA production. For example, BA production in FJ-008 in which *atf1* was driven by

the constitutive strong promoter P$_{thl}$ from *C. tyrobutyricum* was actually much lower (3.5 g/L

vs 5.5 g/L) than in FJ-004 in which *atf1* was expressed under the promoter P$_{cat}$ from *C.*

tyrobutyricum. We hypothesized that, in order to obtain more efficient BA production, the

synthesis of ATF1 should be dynamically controlled and thus synchronous with the synthesis

of precursors (butanol or acetyl-CoA). Therefore, for the next step, we attempted to evaluate

various native promoters for *atf1* expression, and identify the one(s) that can enable an appropriately dynamic expression of ATF1 and lead to enhanced BA production.

Four promoters associated with the synthesis of acetyl-CoA or alcohols were selected to drive the *atf1* expression, and four strains were constructed correspondingly for BA production (Fig. 3c). Fermentation results were shown in Fig. 3d and Table S5. Indeed, distinct results for BA production were observed in these strains. The BA level was only 1.0 and 1.1 g/L in FJ-305 and FJ-302 with P_{bdh} and P_{pfl} for *atf1 expression*, respectively. P_{ald} is an important promoter in *C. saccharoperbutylacetonicum*, which can sense the acidic state and switch cell metabolism from acidogenesis to solventogenesis[32]. FJ-303 with the *atf1* expression driven by P_{ald} produced 9.9 g/L BA, which was still 20% lower than in FJ-301. Interestingly, FJ-304 in which *atf1* was expressed under P_{adh} produced 13.7 g/L BA, which was about 10.5% higher than in FJ-301. Based on the results, we speculated that the promoter of *adh* (which encodes the key enzyme catalyzing butanol and ethanol production) might have resulted in the more appropriate dynamic expression of *atf1* in line with the flux of the precursors and thus led to enhanced BA production. On the other hand, EA production in FJ-304 was only 0.8 g/L, which was lower than all the other four strains (all > 1.1 g/L). Ethanol production in FJ-304 was also lower than in FJ-301 (0.3 g/L vs 0.6 g/L). The enhanced BA production in FJ-304 consumed more acetyl-CoA, and thus decreased production of ethanol and EA, both of which also needed acetyl-CoA as the precursor.

Rational organization of BA-synthesis enzymes to enhance BA production

Rational organization of enzymes associated with the synthesis of target product is an

effective strategy to improve the bioproduction[33]. In this study, we evaluated several such

approaches to increase BA production (Fig. 4).

PduA* protein, derived from *Citrobacter freundii* Pdu bacterial microcompartment could

form filaments in bacteria like *E. coli*[33]. The CC-Di-A and CC-Di-B are designed parallel

heterodimeric coiled coils and two proteins with each of these self-assembling tags could

combine and shorten the catalytic distance. The enzymes (from the same metabolic pathway),

tagged with one of the coiled coils (CC-Di-A or CC-Di-B) would attach onto the formed

intracellular filaments (its PduA* was tagged by the other coiled coil); thus, the organized

enzymes on the filaments would improve the catalytic efficiency of the target metabolic

pathway. MinD is a membrane-associated protein and the localization of MinD is mediated by

an 8-12 residue C-terminal membrane-targeting sequence. The proteins with MinD C-terminal

sequence were able to be drawn to the cell membrane[33,34]. Thus, the application of MinD C-tag

can facilitate the secretion of target product and enhance its production by mitigating the

intracellular toxicity as well as promoting the catalyzing process (Fig. 4a).

To evaluate whether the organization of enzymes could improve BA production in our

strain, three strategies were recruited: 1) assembling two of the three enzymes (enzymes

associated with BA synthesis: NifJ (related to acetyl-CoA synthesis), BdhA (related to butanol

synthesis) and ATF1) with the CC-Di-A and CC-Di-B tags; 2) organizing the three enzymes

onto PduA* formed scaffold; or 3) introducing MinD C-tag to draw ATF1 onto the cell

membrane.

Firstly, we assembled enzymes for BA synthesis by adding the CC-Di-A tag to ATF1 and

the CC-Di-B tag to NifJ and BdhA (Fig. 4b). Fermentation results showed that the addition of

CC-Di-A tag to the C-terminus of ATF1 in FJ-306 had significantly negative effects on BA

synthesis with only 2.6 g/L BA was produced (Fig. 4e & Table S6). The assembly of ATF1

together with NifJ or BdhA had even more negative effects on BA synthesis and BA production

was only 0.06 and 0.03 g/L in FJ-309 and FJ-310, respectively. Further, we organized ATF1,

NifJ and BdhA onto the PduA* scaffold. PduA* was tagged with CC-Di-B, while the other

three enzymes were tagged with CC-Di-A (Fig. 4c). The generated FJ-311 (harboring the

scaffold and ATF1-CC-Di-A) produced 3.1 g/L BA, while FJ-312 (harboring the scaffold,

ATF1-CC-Di-A and NifJ-CC-Di-A) and FJ-313 (harboring the scaffold, ATF1-CC-Di-A, NifJ-

CC-Di-A and BdhA-CC-Di-A) produced slightly higher amount of BA both at 3.5 g/L. The

scaffold seemed to have some positive effects on BA synthesis but didn't work as efficient as

it was reported in other studies[33]. Moreover, we evaluated the effect of the introduction of MinD

C-tag (to draw ATF1 onto the cell membrane) on BA production (Fig. 4d). Fermentation results

showed that the corresponding FJ-308 strain could produce 16.4 g/L BA, which was 20%

higher than FJ-304 (Fig. 4e & Table S6). The FJ-307 strain (with CC-Di-A-Atf1-*MinD*) could

also produce higher BA of 3.9 g/L compared with FJ-306 (2.6 g/L). All these results indicated

that the addition of the cell membrane associated motif to draw ATF1 onto the cell membrane

could facilitate the BA excretion and mitigate the intracellular toxicity and therefore enhance

BA production. However, the assembly of BA synthetic enzymes or the organization of relevant

enzymes onto the scaffold would significantly decrease BA production.

Elimination of prophages increased BA (and BB) production

During our fermentations, we noticed that the ester production of the strains was not stable

and could be varied from batch to batch. Our industrial collaborator also observed that *C.*

saccharoperbutylacetonicum often had instable performance for ABE production in continuous

fermentatio (data not shown). It has been reported that the N1-4 (HMT) strain contains a

temperate phage named HM T which could release from the chromosome even without

induction[35]. In addition, the N1-4 (HMT) strain can produce a phage-like particle clostocin O

with the induction of mitomycin C[36]. We hypothesized that the instability of fermentations with

C. saccharoperbutylacetonicum might be related to the prophages, and the deletion of

prophages would enable more stable and enhanced production of desired endproducts. We

identified five prophage-like genomes (referred here as P1-P5 respectively) within the

chromosome of N1-4 (HMT) (Fig. 5a)[37]. Based on systematic evaluation through individual

and combinatory deletion of the prophages, we demonstrated that P5 is responsible for the

clostocin O synthesis (Figs. 5f, h & S4) [36], and further confirmed that P1 was HM T phage

genome (Figs. 5g & S6). However, the phage image was different from what was described

before[38]. It was more like HM 7 (a head with a long tail), rather than HM 1 (a head with multiple

short tails). This is the first time that an image of the HM T phage has been reported. Both

ΔP1234 (with the deletion of P1-P4) and ΔP12345 (with the deletion of P1-P5) exhibited

improved cell growth and enhanced butanol production (Figs. 5b-5e, Figs. S2, S7 & S8).

ΔP12345 should be a more stable platform as there is not cell lysis at any conditions of

mitomycin C and norfloxacin induction (Fig. 5i). While ΔP1234 showed similar growth and

even slightly higher butanol production compared to ΔP12345 (Figs. 5d & 5e).

Thus, in a further step, we used ΔP1234 and ΔP12345 as the platform to be engineered for

enhanced and more stable BA production. We deleted *nouG* and integrated *sadh-hydG* cluster

in both ΔP1234 and ΔP12345, and obtained FJ-1200 and FJ-1300 correspondingly. The

plasmid pMTL-P$_{adh}$-*atf1-MinD* was transformed into FJ-1200 and FJ-1300, generating FJ-1201

and FJ-1301, respectively. Fermentation results showed that FJ-1201 and FJ-1301 produced

19.7 g/L and 19.4 g/L BA, respectively, which were both higher than FJ-308 (Fig. 6a & Table

S7). BA production in both FJ-1201 and FJ-1301 could be completed within 48 h, resulting in

a productivity of ~0.41 g/L/h, which was significantly higher than 0.23 g/L/h in FJ-308. BA

yield in FJ-1201 reached 0.26 g/g, which was also higher than FJ-308 (0.24 g/g). We further

determined BA concentrations in the fermentation broth as 0.6 g/L and 0.5 g/L respectively for

the fermentation with FJ-1201 and FJ-1301. Taken together, total BA production in FJ-1201

was 20.3 g/L, which was the highest level that has ever been reported in a microbial host. It is

2400-fold higher than the highest level that has been previously reported[23], and also 14.8-fold

higher than that by the very recently reported *C. diolis* strain[25].

Besides BA production, BB production in FJ-1201 also reached 0.9 g/L, which was

significantly higher than in FJ-308 (0.01 g/L) and in *C. pasteurianum* J-5 (0.3 g/L) (Fig. 2b,

Fig. 6a, & Table S7). This level (0.9 g/L) was 18.6-fold higher than the highest BB production

that has been previously reported (0.05 g/L in *C. acetobutylicum*)[23]. In addition, EA production

in FJ-1201 increased to 0.8 g/L as compared to 0.4 g/L in FJ-308. All these results confirmed

our hypothesis that the elimination of prophages would make more robust host strain for

enhanced and more stable ester production.

Expression of SAAT in FJ-1200 further enhanced BB production

As demonstrated above, SAAT and EHT1 were more relevant for BB production (Fig. 2b

& Table S3). Therefore, to achieve higher BB production, we expressed *saat* and *eht1* in FJ-

1200 and obtained FJ-1202 and FJ-1203, respectively. Fermentation showed that FJ-1202 and

FJ-1203 produced 1.3 and 0.2 g/L BB, respectively (Fig.6d & Table S8). Further, we added

MinD C-tag to the SAAT and introduced the recombinant gene into FJ-1200 and obtained FJ-

1204 for an attempt to further improve BB production as observed for BA production in FJ-

308. However, BB production in FJ-1204 was only 1.0 g/L. Notwithstanding, 1.3 g/L BB

obtained in FJ-1202 is 25.8-fold higher than the highest level that has been previously

reported[23].

Esters production with biomass hydrolysates as substrate

Fermentations were carried out using biomass hydrolysates as the substrate. In the

hydrolysates, besides sugars (57.4 g/L glucose and 27.2 g/L xylose) as carbon source, there

were also nutrients converted from biomass (corn stover). Therefore, we tested the effect of

organic nitrogen (yeast and tryptone) of various levels on ester production. Interestingly, results

showed that the highest BA production of 17.5 g/L was achieved in FJ-1201 (in the extractant

phase) without any exogenous nitrogen source supplemented (Table S9). In addition, 0.3 g/L

BA was detected in aqueous phase, making total BA production 17.8 g/L in FJ-1201 (Fig. 6a

& Table S9). Although this was slightly lower than when glucose was used as substrate (20.3

g/L), fermentation with hydrolysates did not need any supplementation of nutrients, which

could significantly reduce production cost. We further performed fermentation in 500-mL

bioreactor with pH controlled >5.0. BA production reached 16.0 g/L with hydrolysates and

18.0 g/L with glucose as substrate, both of which were lower than results from fermentation

under the same conditions but with serum bottles (Figs. 6b & 6c). During bioreactor

fermentation, we noticed very strong smell of BA around the reactor. We suspected that

significant evaporation during fermentation with bioreactor resulted in the lower level of final

BA titer as compared to fermentation with serum bottle, which was securely sealed with only

minimum outlet for releasing gases (Fig. 2a). An improved bioprocess needs to be carefully

designed for larger scale fermentation to minimize BA evaporation and enhance BA production

and recovery.

Furthermore, we performed fermentation with FJ-1202 for BB production using

hydrolysates in both serum bottle and 500-mL bioreactor. Results demonstrated that BB

production in serum bottle from hydrolysates was 0.9 g/L (compared to 1.3 g/L when glucose

used as substrate; Fig. 6d). BB production in bioreactor from hydrolysates reached 0.9 g/L

compared to 1.6 g/L when glucose was used as substrate (Figs. 6e & 6f). The results were

consistent with the case for BA production that lower-level BB was obtained when hydrolysates

(compared to glucose) was used as substrate. However, interestingly, larger scale fermentation

with bioreactor produced slightly higher-level BB than the fermentation under the same

conditions with serum bottle, which was different from the case for BA production. This might

be because BB is less evaporative (in bioreactor) than BA.

Discussion

Although tremendous efforts have been invested on biofuel/biochemical research

worldwide, very limited success has been achieved. A key bottleneck is that the microbial host

365 is subject to endproduct toxicity and thus desirable production efficiency cannot be obtained[39].

366 Our central hypothesis was that metabolically engineering of microorganisms for high-value

367 and easy-recoverable bioproduct production can help alleviate endproduct toxicity and thus

368 high titer and productivity can be achieved, with which economically viable

369 biofuel/biochemical production can be ultimately established. Engineering solventogenic

370 clostridia for ester (high-value and easy-recoverable) production was the example we

371 implemented here to test this hypothesis. Our group have previously established versatile

372 genome engineering tools for clostridia[12,15,40], putting us at a strong position to perform this

373 study.

374 Based on the systematic screening of host strains and enzymes as well as multiple rounds

375 of rational metabolic engineering: enriching precursors (alcohols and acetyl-CoA) for ester

376 production, dynamically expressing heterologous ester-production pathway, rationally

377 organizing ester-synthesis enzymes, and improving strain robustness by eliminating putative

378 prophages, we ultimately obtained strains for efficient production of esters in both synthetic

379 fermentation medium and biomass hydrolysates. To the best of our knowledge, the production

380 levels of BA and BB we achieved set up the new records. Overall, we demonstrated that

381 clostridia are excellent platforms for valuable biofuel and biochemical production. The general

382 principle that we demonstrated here is highly applicable to other relevant bioprocesses, and

383 may result in breakthroughs in biofuel/biochemical production.

385 **Methods**

Microorganisms and cultivation conditions

All the strains and plasmids used in this study are listed in Table S1. *C. pasteurianum* ATCC 6013 and *C. saccharoperbutylacetonicum* N1-4 (HMT) (DSM 14923) were requested from American Type Culture Collection (ATCC) and Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSMZ), respectively. *C. beijerinckii* NCIMB 8052 was requested from Dr. Hans P. Blaschek[15]. *C. tyrobutyricum* Δ*cat1*::*adhE1* and *C. tyrobutyricum* Δ*cat1*::*adhE2* are hyper-butanol producing mutants constructed in our lab[12]. All the clostridial strains were grown in an anaerobic chamber (N_2-CO_2-H_2 with a volume ratio of 85:10:5) at 35 ºC. Strains of *C. tyrobutyricum*, *C. saccharoperbutylacetonicum* and *C. beijerinckii* were cultivated using tryptone-glucose-yeast extract (TGY) medium[41], while strains of *C. pasteurianum* were cultivated using 2×YTG medium[42]. When required, clarithromycin (Cla) or thiamphenicol (Tm) was supplemented into the medium at a final concentration of 30 µg/mL and 15 µg/mL, respectively. *E. coli* DH5α was used for routine plasmid propagation and maintenance. *E. coli* CA434 was used as the donor strain for plasmid conjugation for *C. tyrobutyricum*. Strains of *E. coli* were grown aerobically at 37 ºC in Luria-Bertani (LB) medium supplemented with 100 µg/mL of ampicillin (Amp), 50 µg/mL kanamycin (Kan) or 34 µg/mL chloramphenical (Cm) as needed.

Plasmid construction

All the plasmids used in this study are listed in Table S1, and all the primers used in this study are listed in Table S2.

The plasmids pMTL82151 and pTJ1 were used as mother vectors for heterogeneous gene

expression[43,44]. The promoter of the *cat1* gene (CTK_C06520) (P_{cat}) and the promoter of the

thl gene (CTK_C01450) (P_{thl}) from *C. tyrobutyricum* ATCC 25755 were amplified and inserted

into pMTL82151 at the *Eco*RI site, and the generated plasmids were named as pMTL82151-

P_{cat} and pMTL82151-P_{thl}, respectively. Promoters of the following gene, *pflA* (Cspa_c13710)

(P_{pfl}), *ald* (Cspa_c56880) (P_{ald}), *adh* (Cspa_c04380) (P_{adh}) and *bdh* (Cspa_c56790) (P_{bdh}), all

from *C. saccharoperbutylacetonicum* N1-4 (HMT) were amplified and inserted into

pMTL82151 at the *Eco*RI site, and the generated plasmids were named as pMTL82151-P_{pfl},

pMTL82151-P_{ald}, pMTL82151-P_{adh} and pMTL82151-P_{bdh}, respectively.

The *vaat* gene from *Fragaria vesca*, the *saat* gene from *F. ananassa* and the *atf1* gene from

S. cerevisiae were amplified from plasmids pDL006, pDL001 and pDL004, respectively[3,22].

The *atf1'* (the codon optimized *atf1* gene), *eht1* from *S. cerevisiae*[20], and *lipaseB* from *Candida*

antarctica[45] were all synthesized by GenScript (Piscataway, NJ, USA). The obtained gene

fragments of *vaat*, *saat*, *atf1*, *atf1'*, *eth1*, and *lipaseB* were inserted between the *Btg*ZI and

*Eco*RI sites in pMTL82151-P_{cat}, generating pMTL-P_{cat}-*vaat*, pMTL-P_{cat}-*saat*, pMTL-P_{cat}-*atf1*,

pMTL-P_{cat}-*atf1'*, pMTL-P_{cat}-*eht1*, and pMTL-P_{cat}-*lipaseB*, respectively. The *atf1* gene was

inserted between the *Btg*ZI and *Eco*RI sites in pMTL82151-P_{thl}, generating pMTL-P_{thl}-*atf1*.

The P_{cat} promoter and the gene fragments of *vaat*, *saat*, *atf1*, *eth1*, and *lipase* were amplified

and ligated into the *Eco*RI site of pTJ1, generating pTJ1-P_{cat}-*vaat*, pTJ1-P_{cat}-*saat*, pTJ1-P_{cat}-

atf1, pTJ1-P_{cat}-*eht1* and pTJ1-P_{cat}-*lipaseB*, respectively. The *atf1* gene was inserted into the

*Eco*RI site of pMTL82151-P_{pfl}, pMTL82151-P_{ald}, pMTL82151-P_{adh} and pMTL82151-P_{bdh},

generating pMTL-P*pfl*-*atf1*, pMTL-P*ald*-*atf1*, pMTL-P*adh*-*atf1* and pMTL-P*bdh*-*aft1*, respectively.

DNA sequences of *CC-Di-A*, *CC-Di-B*, *MinD* and *pduA** were synthesized by GenScript

(Piscataway, NJ, USA). The MinD-tag was fused to the end of *atf1* by PCR and ligated into the

*Eco*RI site of pMTL-P*adh*, generating pMTL-P*adh*-*atf1-MinD*. In addition, the MinD-tag was

fused to the end of *saat* by PCR and inserted between the *Btg*ZI and *Eco*RI sites of pMTL-P*cat*,

generating pMTL-P*cat*-*saat-MinD*.

The synthesized *CC-Di-A* fragment was ligated into the *Eco*RI site of pMTL-P*adh*,

generating pMTL-P*adh*-CC-Di-A. The DNA fragments of *atf1* and *atf1-MinD* were amplified

from pMTL-P*adh*-*atf1* and pMTL-P*adh*-*atf1-MinD* and then inserted into the *Eco*RI site of

pMTL-P*adh*-CC-Di-A, obtaining pMTL-P*adh*-A-*atf1* and pMTL-P*adh*-A-*atf1-MinD*. The *CC-Di-*

B sequence with the *nifJ* gene and *CC-Di-B* with the *bdhA* gene were subsequently inserted

into the *Kpn*I site of pMTL-P*adh*-A-*atf1*, generating pMTL-P*adh*-A-*atf1*-B-*nifJ* and pMTL-P*adh*-

A-*atf1*-B-*nifJ*-B-*bdhA*. The DNA fragments of *CC-Di-B-pduA**, *CC-Di-A-nifJ*, *CC-Di-A-bdhA*

were inserted into the *Eco*RI site of pTJ1-P*cat*, generating pTJ1-P*cat*-B-*pduA**, pTJ1-P*cat*-B-

pduA*-A-*nifJ* and pTJ1-P*cat*-B-*pduA**-A-*nifJ*-A-*bdhA*, respectively.

For the gene deletion or integration in *C. saccharoperbutylacetonicum*, all the relevant

plasmids were constructed based on pYW34, which carries the customized CRISPR-Cas9

system for genome editing in *C. saccharoperbutylacetonicum*[15,40]. The promoter P$_{J23119}$ and the

gRNA (with 20-nt guide sequence targeting on the specific gene) were amplified by two rounds

of PCR with primers N-20nt/YW1342 and YW1339/YW1342 as described previously (N

represents the targeted gene)[40]. The obtained fragment was then inserted into pYW34 (digested

with *Btg*ZI and *Not*I) through Gibson Assembly, generating the intermediate vectors. For gene

deletion, the fragment containing the two corresponding homology arms (~500-bp for each)

for deleting the specific gene through homologous recombination was amplified and inserted

into the *Not*I site of the obtained intermediate vector as described above, generating pYW34-

ΔN (N represents the targeted gene). For gene integration, the fragment containing the two

corresponding homology arms (~1000-bp for each), the promoter and the gene fragment to be

integrated, was amplified and inserted into the *Not*I site of the obtained intermediate vector as

described above, generating the final plasmid for gene integration purpose.

Fermentation with glucose as the substrate

For the fermentation for ester production, the *C. pasteurianum* strain was cultivated in

Biebl medium[46] with 50 g/L glycerol as the carbon source at 35 °C in the anaerobic chamber.

When the OD_{600} reached ~0.8, the seed culture was inoculated at a ratio of 10% into 100 mL

of the same medium in a 250-mL serum bottle and then cultivated at an agitation of 150 rpm

and 30 °C (on a shaker incubator) for 72 h. The *C. beijerinckii* strain was cultivated in TGY

medium until the OD_{600} reached ~0.8. Then the seed culture was inoculated at a ratio of 5%

into 100 mL P2 medium along with 60 g/L glucose and 1 g/L yeast extract in a 250 mL serum

bottle. The fermentation was carried out at an agitation of 150 rpm and 37 °C for 72 h[41]. The

C. tyrobutyricum strain was cultivated in RCM medium at 35 °C until the OD_{600} reached ~1.5.

Then the seed culture was inoculated at a ratio of 5% into 200 mL fermentation medium

(containing: 50 g/L glucose, 5 g/L yeast extract, 5 g/L tryptone, 3 g/L $(NH_4)_2SO_4$, 1.5 g/L

K_2HPO_4, 0.6 g/L $MgSO_4\cdot7H_2O$, 0.03 g/L $FeSO_4\cdot7H_2O$, and 1 g/L L-cysteine) in a 500-mL

bioreactor (GS-MFC, Shanghai Gu Xin biological technology Co., Shanghai, China) and the

fermentation was carried out at an agitation of 150 rpm and 37 °C for 120 h with pH controlled

>6.0[9]. The *C. saccharoperbutylacetonicum* strain was cultivated in TGY medium at 35 °C in

the anaerobic chamber until the OD_{600} reached ~0.8. Then the seed culture was inoculated at a

ratio of 5% into 100 mL P2 medium along with 60 g/L glucose and 1 g/L yeast extract in a 250

mL serum bottle. The fermentation was carried out at an agitation of 150 rpm and 30 °C for

120 h[41]. For the fermentation at larger scales in bioreactors, it was carried out in a 500-mL

fermenter (GS-MFC, Shanghai Gu Xin biological technology Co., Shanghai, China) with a

working volume of 250 mL with pH controlled >5.0, at 50 rpm and 30 °C for 120 h. Samples

were taken every 24 h for analysis.

For all fermentations in the serum bottle, a needle and hosepipe were connected to the top

of bottle for releasing the gases produced during the fermentation (Fig. 2a). For all the

fermentations for ester production, the extractant *n*-hexadecane was added into the

fermentation with a ratio of 1:1 (volume of the extractant vs. volume of fermentation broth) for

in situ ester extraction. The reported ester concentrations were the determined values in the

extractant phase.

Fermentation with biomass hydrolysates as the substrate

The biomass hydrolysates was kindly provided by Dr. Daniel Schell from National Renewable

Energy Laboratory (NREL) which was generated from corn stover through the innovative

'deacetylation and mechanical refining in a disc refiner (DDR)' approach[47]. For the

fermentation, the biomass hydrolysate was diluted and supplemented into the P2 medium as

the carbon source (with final sugar concentrations of 57.4 g/L glucose and 27.2 g/L xylose). In

addition, various concentrations of yeast extract (Y, g/L) and tryptone (T, g/L) were also added

as the nitrogen source to evaluate their effects on the fermentation performance: 0Y+0T;

1Y+3T and 2Y+6T. The fermentation was carried out under the same conditions as described

above at 100 mL working volume in a 250-mL serum bottle.

Analytical methods

Concentrations of acetone, ethanol, butanol, acetic acid, butyric acid and glucose were

measured using a high-performance liquid chromatography (HPLC, Agilent Technologies 1260

Infinity series, Santa Clara, CA) with a refractive index Detector (RID), equipped with an

Aminex HPX-87H column (Bio-Rad Laboratories, Hercules, CA). The column was eluted with

5 mM H_2SO_4 at a flow rate of 0.6 mL/min at 25 °C. The concentration of the ester in the *n*-

hexadecane phase was quantified using a gas chromatography-mass spectrometry (GC-MS,

Agilent Technologies 6890N, Santa Clara, CA) equipped with an HP-5 column (60m×0.25 mm,

0.25 mm film thickness). Helium was used as the carrier gas. The initial temperature of the

oven was set at 30 °C for 2 min, followed by a ramp of 10 °C/min to reach 300 °C, and a ramp

of 2 °C/min to reach the final temperature of 320 °C, which was then held for 2 min. The

detector was kept at 225 °C[9].

Authors' contributions

J.F., Y.W. and I.B. designed the experiments. J.F., J.Z. (Jie Zhang), P.W., Y.G., and M.C. performed the experiments. J.F. and Y.W. drafted the manuscript. P.J.B., Y.G. and J.Z. (Junping Zhou) contributed to improve the figures. M.C. and Z.S. contributed to the manuscript revision. All authors read and approved the manuscript.

Data availability

The materials and data reported in this study are available upon reasonable request from the corresponding author.

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Author information

Affiliations

Department of Biosystems Engineering, Center for Bioenergy and Bioproducts, Auburn

University, Auburn, Alabama 36849, United States

Jun Feng, Jie Zhang, Pixiang Wang, Pablo Jimenez Bonilla, Yanyan Gu, Junping Zhou & Yi

Wang

Department of Chemical and Biological Engineering, NSF Engineering Research Center for

Biorenewable Chemicals, Iowa State University, Ames, IA, 50011, USA

Mingfeng Cao & Zengyi Shao

The School of Molecular Cell Biology and Biotechnology, Faculty of Life Sciences, Tel Aviv

University, Ramat Aviv, 6997801 Tel Aviv, Israel

Ilya Borovok

Corresponding author

Correspondence to Yi Wang.

Competing interests

Auburn University has filed a patent application covering the work described in this article[*].

The application names Y.W. and J.F. as inventors.

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Supplementary information

Supplementary Information

Supplementary Information includes partial of the Methods, Results, Discussion and

Supplementary Figs. 1–8 and Tables 1–11.

   Figure Captions

Fig. 1 Engineering of solventogenic clostridia for fatty acid ester production. Top: Five

strains out of four representative clostridial species were selected and evaluated as the host to

be engineered for ester production in this study. We hypothesized that the different metabolic

fluxes within different strains would make a big difference for the desirable ester production.

The metabolic pathways of the four different species were represented in four different colors.

Bottom: Fatty ester esters could be synthesized through two primary biological pathways: one

is through the esterification of fatty acid and alcohol catalyzed by lipases, and the other is

through the condensation of acyl-CoA and alcohol catalyzed by alcohol acyl transferases

(AATs). Key genes in the pathway: *pta*, phosphotransacetylase; *ack*, acetate kinase; *thl*, thiolase;

hbd, beta-hydroxybutyryl-CoA dehydrogenase; *crt*, crotonase; *bcd*, butyryl-CoA

dehydrogenase; *adh*, alcohol dehydrogenase; *adhE*, Aldehyde-alcohol dehydrogenase; *adc*,

acetoacetate decarboxylase; *ctfAB*, CoA transferase; *ptb*, phosphotransbutyrylase; *buk*, butyrate

kinase; *ald*, aldehyde dehydrogenase.

Fig. 2 Screening strains and enzymes for ester synthesis. (a) Schematic representation of

devices and procedures for ester fermentation with serum bottle. (b) Heatmap results showed

the ester production in various *Clostridium* strains with the overexpression of different

enzymes. Empty plasmid: overexpression of pMTL82151 (for *C. saccharoperbutylacetonicum*

N1-4-C, *C. pasteurianum* SD-1, *C. tyrobutyricum cat1::adhE1* and *cat1::adhE2*) or pTJ1 (for

C. beijerinckii 8052) as the control; EA: ethyl acetate; EB: ethyl butyrate; BA: butyl acetate;

BB: butyl butyrate. Scale bar on the right is in g/L.

Fig. 3 Enhancing BA production through increasing the availability of acetyl-CoA (a & b)

and dynamically expressing the *atf1* gene (c & d). (a) Introduction of isopropanol synthesis

pathway (in red) and deletion of thiolase genes. The pathways in purple represent the

'regeneration' of actyl-CoA. There are five annotated genes encoding thiolase in *C.*

saccharoperbutylacetonicum, only two (in green) of which could be deleted (see

Supplementary materials). (b) The fermentation results for BA production with various mutant

strains corresponding to the genetic manipulations in (a). The reported value is mean ± SD. (c)

Four promoters associated with the biosynthesis of acetyl-CoA or alcohols in the pathway were

selected to drive the expression of *atf1*. (d) The fermentation results for BA production with

various mutant strains in which different promoters were used to drive the expression of *atf1*

as illustrated in (c). The reported value is mean ± SD. Key genes in the pathway: *adh*, alcohol

dehydrogenase; *bdh*, butanol dehydrogenase; *ctfAB*, CoA transferase; *sadh*, secondary alcohol

dehydrogenase; *hydG*, putative electron transfer protein; *pfl*, pyruvate formate lyase; *ald*,

aldehyde dehydrogenase.

Fig. 4 Enhancing BA production through rational organization of the enzymes associated

with BA synthesis. (a) Biological components evaluated in this study for rational organization

of enzymes: (I) CC-Di-A and CC-Di-B tags, (II) PduA* scaffold, (III) MinD C-tag. (b)

Schematic representation of assembling two of the three enzymes for BA synthesis with the

CC-Di-A and CC-Di-B tags. (c) Schematic representation of organizing the three enzymes for

BA synthesis onto the PduA* formed scaffold. (d) Schematic representation of using the MinD

C-tag to draw ATF1 onto the cell membrane. (e) The fermentation results for BA production

with various mutant strains corresponding to the genetic manipulations from (b)-(d). The

reported value is mean ± SD.

Fig. 5 The deletion of the prophage genomes. (a) The gene cluster organization of the HM T

prophage (P1) and another four putative prophages (P2-P5). (b) Comparison of the cell growth

of prophage deleted mutants and the control N1-4-C strain. (c) Comparison of the butanol

production in prophage deleted mutants and the control N1-4-C strain. (d) Comparison of the

cell growth between ΔP1234 and ΔP12345. (e) Comparison of butanol production between

ΔP1234 and ΔP12345; (f) Transmission election microscopy image of clostocin O; (g)

Transmission election microscopy image of the HM T prophage particles; (h, i) Cell growth

profiles of ΔP1234 and ΔP12345 with the induction (at various OD_{600}) using mitomycin C at 2

or 4 μg/ml. "-" indicates that there was no cell lysis; "+" indicates that most of the cells were

lysed. The value at the right side of the cell growth profile figure represents the actual OD_{600}

value at which mitomycin C (with the applied concentration included in the parentheses) was

added for the induction.

Fig. 6 Fermentation results of the engineered strains for fatty acid ester production. (a)

BA production in serum bottles using glucose (FJ-1201 and FJ-1301) or biomass hydrolysates

(FJ-1201(H)) as the substrate. (b) BA fermentation kinetics in FJ-1201 in 500-mL bioreactor

using glucose as the substrate. (c) BA fermentation kinetics in FJ-1201 in 500-mL bioreactor

using biomass hydrolysates as the substrate. (d) BB production in serum bottles using glucose

(FJ-1202, FJ-1203, and FJ-1204) or biomass hydrolysates (FJ-1202(H)) as the substrate. (e)

BB fermentation kinetics in FJ-1202 in 500-mL bioreactor using glucose as the substrate. (f)

BB fermentation kinetics in FJ-1202 in 500-mL bioreactor using biomass hydrolysates as the

substrate.

780 **Fig. 1**

792   **Fig. 2**

806   **Fig. 3**

(a)

(I) CC-Di-A CC-Di-B

(II) Scaffold (PduA*)

(III) Inner-membrane Cytoplasm MinD C-tag

(b)

ATF1 Supply substrate Butanol BdhA Butyraldehyde

ATF1 Supply substrate Acetyl-CoA NifJ Pyruvate+CoA

ATF1-CC-Di-A / BdhA-CC-Di-B   ATF1-CC-Di-A / NifJ-CC-Di-B

(c)

BdhA ATF1 Acetyl-CoA NifJ Pyruvate+CoA Butyraldehyde

ATF1-CC-Di-A
BhdA-CC-Di-A / PduA*-CC-Di-B
NifJ-CC-Di-A

(d)

Inner-membrane Cytoplasm

Acetyl-CoA + Butanol ATF1

ATF1-MinD C-tag

(e)

Fig. 4

Fig. 5

Fig. 6