

1 **Short Title**

2 **Subtilase-mediated parasitism in parasitic plants**

3
4 **TITLE**

5 **Subtilase activity in the intrusive cells mediates haustorium maturation in parasitic plants**

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29 **One sentence summary**

30 Tissue-specific analysis showed that the subtilases specifically expressed in intrusive cells regulate
31 auxin-mediated host-parasite connections in the parasitic plant *Phtheirospermum japonicum*.

32

33 **AUTHOR CONTRIBUTIONS**

34 S.O., T.W., T.S., T.D., S.Y., A.S., and K.S. conceived and designed the study; K.S. supervised the
35 experiments; S.O., T.W., T.S., J.K.I., R.S., T.K., S.Y., and Y.I. performed the experiments; S.O., T.W.,
36 T.S., T.D., S.Y., Y.I., A.S., and K.S. analyzed the data; S.O., T.W., T.S., and K.S. drafted the manuscript;
37 all authors critically revised the manuscript and approved the final version.

38 **ABSTRACT**

39

40 Parasitic plants that infect crops are devastating to agriculture throughout the world. They develop a
41 unique inducible organ called the haustorium, which connects the vascular systems of the parasite and
42 host to establish a flow of water and nutrients. Upon contact with the host, the haustorial epidermal
43 cells at the interface with the host differentiate into specific cells called intrusive cells that grow
44 endophytically towards the host vasculature. Then, some of the intrusive cells re-differentiate to form
45 a xylem bridge that connects the vasculatures of the parasite and host. Despite the prominent role of
46 intrusive cells in host infection, the molecular mechanisms mediating parasitism in the intrusive cells
47 are unknown. In this study, we investigated differential gene expression in the intrusive cells of the
48 facultative parasite *Phtheirospermum japonicum* in the family Orobanchaceae by RNA-Sequencing of
49 laser-microdissected haustoria. We then used promoter analyses to identify genes that are specifically
50 induced in intrusive cells, and used promoter fusions with genes encoding fluorescent proteins to
51 develop intrusive cell-specific markers. Four of the intrusive cell-specific genes encode subtilisin-like
52 serine proteases (SBTs), whose biological functions in parasitic plants are unknown. Expression of an
53 SBT inhibitor in the intrusive cells inhibited their development, inhibited the development of the xylem
54 bridge, and reduced auxin response levels near the site where the xylem bridge normally develops.
55 Therefore, we propose that subtilase activity plays an important role in haustorium development in this
56 parasitic plant.

57

58 **Keywords:**

59 *Phtheirospermum japonicum*; laser microdissection; intrusive cell; subtilase

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61

62 There are about 4500 species of parasitic plants; they are widespread, and those that infect
63 crops are serious threats to agriculture (Yoshida *et al.*, 2016; Clarke *et al.*, 2019). In particular, members
64 of the family Orobanchaceae, such as *Striga* spp. and *Orobanche* spp., are destructive root parasitic
65 plants that invade major crops including rice, sorghum, and maize, often in resource-poor societies,
66 and cause annual economic losses of over 1 billion U.S. dollars (Parker 2009, Runo and Kuria 2018).
67 Parasitic Orobanchaceae plants produce large numbers of tiny seeds that are widely spread by wind,
68 water, and people. To germinate, these seeds require host-derived stimulants such as strigolactones,
69 which are a class of phytohormones (Yoneyama *et al.*, 2010). These seeds can survive for decades in
70 soil without germination, and thus it is difficult to eliminate parasitic plants from agricultural fields
71 (Scholes and Press, 2008; Spallek *et al.*, 2013; Gobena *et al.*, 2017).

72

73 Parasitic plants develop a unique inducible organ called the haustorium that is used for
74 invasion of the host plants. The haustorium connects the vasculature of the parasite with that of the
75 host to establish a flow of nutrients and water from the host to the parasite (Yoshida *et al.*, 2016;

76 Clarke *et al.*, 2019). Upon recognition of host-derived haustorium-inducing factors (Lynn and Chang,
77 1990), the parasite initiates organogenesis by activating cell division and cell expansion. In
78 Orobanchaceae parasites, once the haustorium approaches the host, the epidermal cells in proximity to
79 the host cells differentiate into intrusive cells, which have highly elongated shapes and function by
80 intruding into the host (Musselman and Dickison, 1975). Once intrusive cells reach the host vasculature,
81 some of the intrusive cells differentiate into xylem vessels, and subsequently formation of a xylem
82 bridge (XB) between the parasite and host vasculature systems is initiated (Musselman and Dickison,
83 1975; Cui *et al.*, 2016; Wakatake *et al.*, 2018).

84

85 Despite many studies aimed at analyzing the transcriptional changes that occur during
86 haustorium development and host infection in various species of parasitic plants (Ranjan *et al.*, 2014;
87 Yang *et al.*, 2015; Zhang *et al.*, 2015; Ichihashi *et al.*, 2015, Sun *et al.*, 2018; Yoshida *et al.*, 2019),
88 there have been few functional studies of these haustorium-specific genes. To explore the molecular
89 mechanisms of parasitism, including haustorium organogenesis, we established a model parasitic plant
90 system using *Phtheirospermum japonicum*, a facultative parasitic plant in the Orobanchaceae (Ishida
91 *et al.*, 2016; Spallek *et al.*, 2017). *P. japonicum* is a self-fertilizing plant with a diploid genome,
92 allowing forward genetics studies (Cui *et al.*, 2016). In addition, an efficient root transformation system
93 by *Agrobacterium rhizogenes*-mediated hairy root formation has been established, making functional
94 studies of haustorial genes feasible (Ishida *et al.*, 2011). To identify genes important for parasitism, we
95 previously performed transcriptome analyses using rice-infecting *P. japonicum* and identified genes
96 strongly expressed during the parasitic stage (Ishida *et al.*, 2016). Among these was the auxin
97 biosynthetic gene *YUCCA3*, which contributes to auxin biosynthesis in the haustorium. This auxin
98 undergoes intercellular transportation and leads to the differentiation of tracheary elements, resulting
99 in the formation of the XB that connects the parasite with the host (Ishida *et al.*, 2016, Wakatake *et al.*,
100 2018; Wakatake *et al.*, 2020).

101

102 In this study, we identified differentially expressed genes in *P. japonicum* intrusive cells by
103 using a laser microdissection method (LMD) combined with transcriptome analysis. We then used
104 temporal and spatial promoter analyses to establish intrusive cell-specific gene markers. Among the
105 upregulated genes, we focused on four genes encoding subtilisin-like serine proteases (subtilases;
106 SBTs) that were exclusively expressed in the intrusive cells. We found that expression of an SBT
107 inhibitor protein in the intrusive cells inhibited the maturation of the haustorium. Thus, our findings
108 provide molecular insight about how parasitic plants develop their haustoria via SBTs.

109

110 **RESULTS**

111

112 **Genes specifically expressed in the intrusive cells**

113

114 Intrusive cells only form at the interphase between parasite and a susceptible host and thus
115 likely participate in the invasion into host tissues and the molecular dialogue between parasite and host
116 (Goyet *et al.*, 2019). Despite the distinctive nature of intrusive cells, they have not been studied
117 functionally and in detail yet. To seek molecular markers of their function in *P. japonicum*, we
118 performed LMD coupled with tissue-specific transcriptome analysis. We used rice (*Oryza sativa* cv.
119 Koshihikari) as the host plant because *P. japonicum* forms haustoria with relatively more intrusive
120 cells on rice than on *Arabidopsis* roots (Fig. 1A, B). We separated the intrusive regions from other
121 parts of the haustoria using LMD with cryosectioned haustoria (Fig. 1C, D), and obtained
122 transcriptome profiles using the Illumina MiSeq system. After filtering out rice-derived sequences, the
123 reads were mapped onto the draft genome of *P. japonicum* (Conn *et al.*, 2015) and gene expression
124 values were obtained. Whole transcriptome data are listed in Supplemental Data Set S1. We detected
125 a total of 3079 differentially expressed genes between the intrusive cell region and the remainder of
126 the haustorium (Supplemental Data Set S2). Subsequent Gene Ontology (GO) analysis revealed that
127 nine GO terms, including “cell wall”, “response to biotic stimulus”, “transporter activity”, and
128 “metabolic process” were enriched in both regions, whereas 15 GO terms, including “lipid metabolic
129 process” and “carbohydrate metabolic process” were enriched specifically in other parts of the
130 haustorium (Supplemental Tables S1 and S2). Only one term, “response to stress” was enriched in
131 intrusive cells but not in other parts of the haustorium (Supplemental Table S1).

132

133 Next, we aimed to identify marker genes for intrusive cells as tools to investigate this cell type
134 further. We selected three candidates among the differentially expressed genes that showed strong and
135 specific expression in the intrusive cells: a homolog of *Haesa-like1* (*HSL1*) that we named *Intrusive*
136 *Cell-Specific Leucine-rich repeat receptor-like kinase1* (*ICSL1*), *Germin-Like Protein1* (*GLP1*), and
137 *Constitutive Disease Resistance1* (*CDRI*). These genes encode a leucine-rich repeat receptor-like
138 kinase (LRR-RLK), a germin-like protein, and an aspartic protease, respectively (Xia *et al.*, 2004; Ham
139 *et al.*, 2012; Qian *et al.*, 2018). To test whether these genes show specific expression in intrusive cells,
140 we made constructs containing each gene promoter linked to the sequence encoding a nuclear-localized
141 fluorescent protein (3xVenus-NLS). We used the constructs to transform *P. japonicum* and analyzed
142 the Venus fluorescence in *P. japonicum* haustoria formed after infection of *Arabidopsis thaliana* roots.
143 For all constructs, fluorescence was detected specifically in the intrusive cells at 2 days post-infection
144 (dpi) (Fig. 2A, C, E), and was stronger at 3 dpi (Fig. 2B, D, F). Intrusive cells are derived from
145 epidermal cells, but an epidermis marker construct (*pAtPGP4::3xVenus-NLS*) is not expressed in the
146 intrusive region (Wakatake *et al.*, 2018). To further verify that *ICSL1* expression is specific to intrusive
147 cells, we used the *ICSL1* promoter to drive a fluorescent marker module that localizes to the plasma
148 membrane (3xmCherry-SYP; Wakatake *et al.*, 2018). In *P. japonicum* haustoria that were transformed
149 with both *pAtPGP4::3xVenus-NLS* and *pICSL1::3xmCherry-SYP*, we found mutually exclusive
150 expression patterns for the two constructs at 4 dpi (Supplemental Fig. S1), with only
151 *pICSL1::3xmCherry-SYP* expression in the intrusive cells. Based on these analyses, we defined that

152 *ICSL1* as a reliable intrusive cell marker for further analyses.

153
154 **Phylogeny and expression patterns of subtilases in *P. japonicum***

155 Among the genes that were expressed at higher levels in intrusive cells than in the remainder
156 of the haustorium, we found five genes encoding subtilisin-like serine proteases (subtilases; SBTs).
157 This was consistent with our previous report that *SBTs* are highly expressed during the parasitic stage
158 in *P. japonicum* (Ishida *et al.*, 2016). We therefore hypothesized that SBTs in intrusive cells may
159 contribute to the host invasion process. To classify the *SBT* genes expressed in intrusive cells, we first
160 identified all SBTs in the *P. japonicum* genome (Conn *et al.*, 2015) on basis of their Asp-His-Ser
161 catalytic triad and their peptidase S8 family domain (Smith *et al.*, 1966; Wright *et al.*, 1969). As a
162 result, 97 putative SBTs met these criteria (Fig. 3). A phylogenetic analysis revealed that the five SBTs
163 upregulated in intrusive cells all belong to Group 1 (Taylor and Qiu, 2017; Reichardt *et al.*, 2018) (Fig.
164 3), which contains many SBTs involved in biotic interactions. These genes were thus designated as
165 *SBT1.1.1*, *SBT1.2.3*, *SBT1.5.2*, *SBT1.7.2*, and *SBT1.7.3*. We also found that the many of the 97 *SBT*
166 genes in *P. japonicum* were induced in the haustorium at 3 days post-infection (dpi) or later (Fig. 3),
167 indicating that these *SBTs* were activated after attachment to the host.

168
169 **Subtilases specifically expressed in intrusive cells**

170
171 To confirm the expression patterns of the five *SBTs* up-regulated in intrusive cells, we made
172 constructs containing each gene promoter linked to the 3xVenus-NLS module, transformed *P.*
173 *japonicum* with the constructs, and analyzed Venus fluorescence in *P. japonicum* haustoria after
174 infection of *A. thaliana* roots with a confocal microscope. The Venus signal driven by the putative
175 *SBT1.5.2* promoter was not detected at selected time points. We thus focused on the remaining four
176 *SBTs* in further analyses. An alignment of their protein products is shown in Supplemental Fig. S2. The
177 promoters of *SBT1.1.1*, *SBT1.2.3*, and *SBT1.7.3* were sufficient to drive detectable Venus expression
178 in the intrusive cells at 3 to 7 dpi (Fig. 4A). Expression of *SBT1.7.2* was more transient, with weaker
179 signal at 7 dpi as compared to 3 and 5 dpi. For the *SBT1.7.3* promoter, signals were detected also in
180 vascular cells in the meristematic region (Fig. 4A). We used quantitative reverse transcription PCR
181 (RT-qPCR) to analyze induction of the four *SBTs* in whole haustoria, and found that the levels of
182 induction at 3 and 7 dpi were consistent with the results from the Venus fluorescence analysis (Fig.
183 4B). We also analyzed expression of a 3xVenus-NLS construct driven by the *SBT1.7.1* gene, which is
184 phylogenetically close to *SBT1.7.2* and *SBT1.7.3* (Fig. 3). Florescence from this construct was
185 observed in the epidermal cells but not the intrusive cells (Supplemental Fig. S3). Since the intrusive
186 cells are uniquely found in parasitic plants, *SBT1.1.1*, *SBT1.2.3*, *SBT1.7.2*, and *SBT1.7.3* expression in
187 this cell-type suggest that these *SBTs* function in parasitism.

188
189 **Subtilases play important roles in development of the host-parasite connection via auxin**

190 **signaling**

191 The four *SBT* genes discussed above may be functionally redundant, and silencing multiple
192 genes in *P. japonicum* is challenging due to the lack of a transgenerational transformation method.
193 Therefore, we used an SBT inhibitor protein to analyze the functions of the intrusive cell-specific SBTs.
194 For this purpose, we chose Extracellular proteinase inhibitor 10 (Epi10) from *Phytophthora infestans*.
195 Epi10 carries an atypical Kazal domain and inhibits subtilases but does not inhibit the other major
196 serine proteases, trypsin and chymotrypsin (Tian and Kamoun, 2005; Tian *et al.*, 2005). The tissue-
197 specific inhibition of SBTs has previously been accomplished by expressing *Epi10* under a tissue-
198 specific promoter (Schardon *et al.*, 2016). To specifically inhibit the SBTs expressed in developing
199 haustoria, we used the promoter sequences of *SBT1.1.1* and *SBT1.2.3* to drive expression of the *Epi10*
200 coding region. We compared the development of haustoria in *P. japonicum* roots transformed with
201 these constructs with the development of haustoria in control roots transformed with an empty vector.
202 We found that hairy roots transformed with the *Epi10* constructs showed reduced xylem bridge (XB)
203 formation in the haustoria at 5 dpi after infection of *Arabidopsis* roots when compared with control
204 hairy roots (Fig. 5A–C, Supplemental Fig. S4).

205

206 Next, we investigated whether the *Epi10*-transformed hairy roots would show other
207 developmental abnormalities. We were particularly interested in the effects on intrusive cells, given
208 the specific expression of *SBT1.1.1* and *SBT1.2.3* in intrusive cells. Therefore, we monitored
209 expression of the intrusive cell marker *ICSL1* (Fig. 2A, B) in the *Epi10*-expressing haustoria. To
210 accomplish this, we transformed *P. japonicum* roots with each of the *Epi10* constructs and with a
211 construct encoding the mCherry fluorescent protein with a nuclear localization signal (3xmCherry-
212 NLS) driven by the *ICSL1* promoter. In control roots transformed with *pICSL1::3xmCherry-NLS* but
213 not with *Epi10*, all haustoria showed specific mCherry fluorescence in the intrusive cells. In contrast,
214 less than 45% of the *pSBT1.1.1::Epi10* haustoria, and approximately 67% of the *pSBT1.2.3::Epi10*
215 haustoria showed mCherry fluorescence at 5 dpi (Fig. 5D–F). These results suggest that the intrusive
216 cell-specific SBT activities promote the maturation of haustoria by regulating the development of
217 intrusive cells and the subsequent XB formation. Lack of intrusive cell identity may affect auxin
218 distribution (Ishida *et al.*, 2016, Wakatake *et al.*, 2020). Therefore, we investigated whether *Epi10*
219 expression alters auxin signaling within the haustorium by using the 3xmCherry-NLS module
220 controlled by the synthetic, auxin-responsive *DR5* promoter (Ulmasov *et al.*, 1995). Most of the auxin
221 signaling in the central region of the haustoria, but not around the xylem plate, was diminished by
222 *Epi10* (Fig. 6). Taken together, our results suggest that the SBT activities regulate auxin-dependent
223 maturation of *P. japonicum* haustoria.

224

225 **DISCUSSION**

226

227 We used *P. japonicum* as a model parasitic plant to elucidate the molecular mechanisms that

228 regulate parasitic functions in the intrusive cells of the haustoria. By using tissue-specific RNA-seq
229 analysis coupled with LMD, we identified a number of genes that are up-regulated in intrusive cells
230 (Supplemental Data Set S1). A previous study used the LMD method to reveal genes that are
231 specifically expressed at the host-parasite interface, which includes the intrusive cells, in the facultative
232 hemiparasite *Tryphisaria versicolor* infecting *Zea mays* or *Medicago truncatula* (Honaas *et al.*, 2013).
233 In that study, the GO term “transcription factor activity” was overrepresented, while the term
234 “transporter activity” was underrepresented at the host-parasite interface. In contrast, we found that
235 the term “transporter activity” was enriched in the intrusive cells in *P. japonicum* (Supplemental Table
236 S1). Although a direct comparison of the two experiments is difficult, the results may indicate that *T.*
237 *versicolor* and *P. japonicum* employ different strategies to invade their particular hosts. Enrichment of
238 “transporter activity” in intrusive cells also suggests that these cells may have a function in material
239 transfer, which would be consistent with their position at the interface between host vasculature and
240 parasite haustorium. We found that several GO terms, such as “lipid metabolic process” and
241 “carbohydrate metabolic process”, are strongly enriched in the rest of the haustorium but not in the
242 intrusive cells (Supplemental Tables S1 and S2). Yoshida *et al.* (2019) showed that genes categorized
243 under the GO terms “protein metabolic process”, “carbohydrate metabolic process”, and “catabolic
244 process” are upregulated in rice-infecting *Striga hermonthica* at 7 dpi, when the host-parasite
245 connection in the haustorium is established. This result indicates that metabolically demanding
246 processes such as morphology are activated in the haustoria in the family Orobanchaceae.

247
248 Based on our intrusive cell-specific transcriptome, we established that the three *P. japonicum* genes
249 *ICSL1*, *GLP1*, and *CDRI* showed strong and specific expression in the intrusive cells and could be
250 used as molecular markers for these cells (Fig. 2). *ICSL1* is homologous to the *Arabidopsis* HSL1
251 receptor, which localizes to the plasma membrane and recognizes peptide hormones (Torii, 2004;
252 Macho and Zipfel, 2014; Shinohara *et al.*, 2016). The phylogenetically closest *Arabidopsis* *ICSL1*
253 homolog, however, is AtRLP52, a receptor-like kinase associated with disease resistance and an
254 unknown ligand (Ramonell *et al.*, 2005; Ellendorff *et al.*, 2008) (Supplemental Fig. S5). Thus, it is
255 possible that *ICSL1* also recognizes peptide hormones. Further experiments are required to identify
256 the unknown *ICSL1* ligand and to determine if it originates from the parasite or the host. The second
257 marker gene encodes *GLP1*, which belongs to a *GLP* superfamily, which consists of structurally
258 diverse plant glycoproteins including enzymes such as oxalate oxidases and superoxide dismutases
259 (Rietz *et al.*, 2012; Sakamoto *et al.*, 2015). Phylogenetic analysis revealed that *GLP1* in *P. japonicum*
260 is closely related to *Arabidopsis* *GLP1* and *GLP3*, which lack oxalate oxidase activity, and to
261 GhABP19 in *Gossypium hirsutum*, a superoxide dismutase potentially regulating redox status (Pei *et al.*
262 *et al.*, 2019) (Supplemental Fig. S6). Interestingly, the only other gene with experimentally confirmed
263 expression in intrusive cells encodes a peroxidase in *S. hermonthica* (Yoshida *et al.*, 2019). Also,
264 chemically inhibiting peroxidase activity, and thus altering the redox homeostasis, reduces haustorium
265 formation in *Striga* spp. and *Triphysaria* (Wada *et al.*, 2019; Wang *et al.*, 2019). The expression of a

266 superoxide dismutase in *P. japonicum* intrusive cells further supports a role for redox regulating
267 enzymes in haustorium development. The third intrusive cell-specific gene that we identified encodes
268 CDR1, which belongs to a family of aspartic proteases. The *P. japonicum* CDR1 is a homolog of
269 aspartic proteases that regulate disease resistance signaling in *Arabidopsis* (Xia *et al.*, 2004)
270 (Supplemental Fig. S7). It is currently not known if CDR1 regulates defense responses in *P. japonicum*;
271 however, the expression of defense-related genes in *P. japonicum* haustoria was seen in a previous
272 microarray study (Ishida *et al.*, 2016).

273

274 *ICSL1*, *GLP1*, and *CDR1* show the same spatio-temporal expression pattern, with detectable
275 expression beginning at 2 dpi at the interface with the host (Fig. 2A, C, E). This is the time point when
276 expression of an epidermis marker ceases in the same region (Wakatake *et al.*, 2018). Thus, the
277 developmental switch from epidermis to intrusive cell is likely to be activated around this time point.
278 Considering the mutually exclusive expression patterns of the epidermis marker gene and the intrusive
279 cell marker gene (Supplemental Fig. S1), we would expect that the transcriptional landscapes of these
280 two cell types are substantially different. Specific expression of *SBT1.7.1* in the epidermal cells, but
281 not in the intrusive cells support this idea further (Fig. S3). The intrusive cell-specific markers
282 identified in this study were expressed uniformly in the entire intrusive region (Fig. 2). However, only
283 a fraction of those cells differentiate into tracheary elements to be part of the XB (Wakatake *et al.*,
284 2020). Thus it seems that there are different types of cells in the intrusive cell population. This is likely
285 due to non-uniform auxin response in the intrusive region. Thus further detailed analyses are required
286 to reveal mechanisms by which auxin responses are controlled in intrusive cells.

287

288 A transcriptome analysis of *P. japonicum* haustoria in our previous study revealed that 7 of
289 the 10 genes with the highest, exclusive expression in the parasitic stage were *SBTs* (Ishida *et al.*, 2016)
290 (Supplemental Table S3). Many *SBT* genes are also upregulated in *Striga* spp. upon infection (Yoshida
291 *et al.*, 2019). *SBTs* are a widespread protein family existing in eubacteria, archaeobacteria, eukaryotes,
292 and viruses (Rawlings and Barrett, 1994; Schaller *et al.*, 2018). In plants, *SBTs* are required for the
293 maturation of plant peptide hormones, leading to phenotypic changes such as root elongation,
294 abscission of floral organs, and embryonic cuticle integrity (Matsubayashi, 2014; Ghorbani *et al.*,
295 2016; Schardon *et al.*, 2016; Doll *et al.*, 2020; Reichardt *et al.*, 2020). Here, we identified four *SBTs*
296 that are exclusively expressed in intrusive cells (Figs. 3 and 4), and they all belong to Group 1. Group
297 1 *SBTs* and Group 5 *SBTs* are highly expanded in parasitic plants compared with those in *Arabidopsis*,
298 while Groups 3 and 4 are much smaller in *P. japonicum* than in *Arabidopsis* (Fig. 3, Supplemental Fig.
299 S8). More than 40% of *SBTs* in the parasites *P. japonicum*, *Striga asiatica* and *S. hermonthica* belong
300 to Group 1 *SBTs*. In addition, Group 1 *SBTs* also expanded in plants that undergo symbiosis with
301 nitrogen-fixing bacteria. Group 1 *SBTs* also include many that are involved in plant defense (Taylor
302 and Qiu, 2017; Reichardt *et al.*, 2018). These findings indicate that Group 1 *SBTs* may have evolved
303 for biotic interactions, including parasitism. The molecular functions and substrates of several Group

304 1 SBTs in non-parasitic plants have been investigated. For example, Phytaspase 2, a Group I SBT in
305 tomato, cleaves and activates the peptide hormone PHYTOSULFOKINE (PSK), which induces stress-
306 induced flower drop in tomato, in addition to its well-established growth regulatory and immune-
307 modulating activities (Reichardt *et al.*, 2020). Genes for PSK and its candidate receptor are present in
308 the *P. japonicum* genome. Interestingly, expression of these two genes is upregulated in the haustoria
309 but not in the intrusive cells. If SBT1.1.1 or SBT1.2.3 is involved in PSK precursor processing, the
310 expression of these two proteins in two different cell types would suggest that they may facilitate the
311 communication between haustorial tissues. A similar tissue-tissue dialogue mediated by SBTs was
312 recently shown to operate during *Arabidopsis* seed development (Doll *et al.*, 2020). In contrast,
313 *Arabidopsis* SBT1.2 (alias SDD1), a homolog of *P. japonicum* SBT1.2.3, contributes to stomatal
314 development (von Groll *et al.*, 2002). The substrates of SDD1 have not been identified, but were
315 suggested to also include plant peptide hormones.

316
317 We showed that SBT activity in intrusive cells contributes to haustorium development (Figs.
318 4-6, Supplemental Fig. S4). Intrusive cells *per se* were still formed in Epi10-transgenic hairy roots
319 (Fig. 5B, E; Supplemental Fig. S4). Thus, we can hypothesize that SBTs contribute to differentiation
320 of intrusive cells into xylem vessels, leading to XB formation. The specific expression of SBTs during
321 the parasitic stage is shared between *P. japonicum* and *S. hermonthica*, suggesting that SBTs are
322 important for parasitism in the family Orobanchaceae. Intrusive cell-specific SBTs have not yet been
323 identified in *S. hermonthica*. However, an *S. hermonthica* SBT is expressed specifically in the
324 haustorial hyaline body (Yoshida *et al.*, 2019). The hyaline body consists of parenchymatic tissue in
325 the central region of the haustorium and is characterized by dense, organelle-rich cytoplasm, abundant
326 paramural deposits, and high metabolic activity (Visser *et al.*, 1984). The hyaline body has not yet been
327 identified in *P. japonicum* and it may be morphologically distinct from that in *S. hermonthica*. The
328 further identification of cell-type-specific SBTs in haustoria may facilitate the identification and
329 functional studies of the hyaline body in *P. japonicum*.

330
331 Our data suggest that expression of the *SBTs* may be initiated in cells that eventually become
332 intrusive cells, then the SBT activities contribute to the maturation of the intrusive cells, where the
333 marker gene *ICSL1* is expressed. After expression of intrusive cell-specific markers, intrusive cells
334 may invade host tissue, intrusive cells reach the host vasculature, auxin is transported inward towards
335 the root vasculature, and then the XB is formed (Wakatake *et al.*, 2020). Importantly, treatment with
336 haustorium-inducing factors induces organogenesis of the haustorium in *P. japonicum* without hosts,
337 but the intrusive cells and XB are not formed in these haustoria (Ishida *et al.*, 2016, Goyet *et al.*, 2019).
338 Identification of the unknown host-derived signals required for intrusive-cell specific SBT induction
339 will provide insights into mechanisms of how Orobanchaceae parasites invade the host plants.

340
341 We also found that several *SBTs* were induced at the later stages of the infection both in *P.*

342 *japonicum* and in *S. hermonthica* (Supplemental Fig. S8). The late expression of SBTs during the
343 infection indicates that parasitic plants utilize SBTs also after attachment to the host, possibly in
344 regulating parasitism. We focused our study on SBTs with a role in haustorium development that can
345 be studied with transgenic *P. japonicum* hairy roots (Ishida *et al.*, 2016; Wakatake *et al.*, 2020). To
346 address the role of SBTs in later stages of the infection would require the generation of stable transgenic
347 plants. In addition, many SBT clades were found to be species-specific, suggesting that each parasite
348 has recruited SBTs independently to promote parasitism. Since parasitic plants are able to transfer
349 molecules such as phytohormones and microRNAs (Spallek *et al.*, 2017; Shahid *et al.*, 2018), it is
350 possible that peptides processed by SBTs in the haustorium can be transported from the parasite into
351 the host. Further analyses of peptides in infected hosts will be required to assess this hypothesis. In
352 summary, our study showed that SBTs are required for haustorium development. Functional studies of
353 other parasite SBTs and their targets will provide important insights into parasitism in future studies.

354

355 **MATERIALS AND METHODS**

356

357 **Plant materials and growth conditions**

358 *P. japonicum* (Thunb.) Kanitz and rice (*Oryza sativa* L. subspecies *japonica*, cv Koshihikari) seeds
359 were handled as described previously (Yoshida and Shirasu, 2009; Ishida *et al.*, 2011). For *in vitro*
360 germination, *P. japonicum* seeds were surface sterilized with 10% commercial bleach solution (Kao,
361 Tokyo, Japan) for 5 minutes, followed by 5 rinses with sterilized water. Seeds were then sown on solid
362 half-strength MS medium (0.8% Bacto agar, 1% sucrose, pH 5.8). After stratification at 4°C in the dark
363 overnight, plants were grown either vertically for infection assays or horizontally for transformation,
364 at 25°C under long-day conditions (16-h light, 8-h dark). *Arabidopsis* (*Arabidopsis thaliana*, ecotype
365 Col-0) seeds were surface sterilized with 5% commercial bleach solution for 5 minutes, followed by 5
366 rinses with sterilized water. Seeds were then sown on solid half-strength MS medium. After
367 stratification at 4°C in the dark overnight, plants were grown vertically at 22°C under long-day
368 conditions. Rice seeds were sterilized with 70% ethanol for 3 minutes, followed by incubation in a
369 50% commercial bleach solution for 20 minutes. After 5 rinses with sterilized water, seeds were sown
370 on quarter strength Gamborg's B5 medium (Sigma) with 0.7% agar (INA). Plates were kept vertically
371 at 26°C under long-day conditions.

372

373 **Sample preparation for RNA-seq**

374 Ten-day-old *P. japonicum* seedlings were transferred to quarter-strength Gamborg's B5 medium (0.7%
375 agar; INA) and grown vertically at 25°C under long-day conditions for 2 days. These seedlings and 7-
376 day-old rice seedlings were transferred together to new quarter strength Gamborg's B5 plates for
377 infection at 25°C under long-day conditions. At 5 dpi, haustoria were excised and immediately soaked
378 in chilled RNAlater (Sigma) and stored at 4°C. Samples were embedded in FSC 22 frozen section
379 media (Leica biosystems) in self-made aluminum molds in an acetone bath at -75°C. Frozen blocks

380 were sectioned to 20 μm thickness using a cryostat (Leica CM3050S) with adhesive seals at -30°C .
381 Sections were transferred to room temperature and immediately air-dried. The intrusive regions and
382 the other parts of the haustorium were dissected using a Leica LMD7000. Dissected tissues were
383 collected in the lids of 0.5 mL microtubes filled with RNA extraction buffer. Approximately 20
384 haustoria were used for one biological replicate. Total RNAs were extracted using the Picopure RNA
385 isolation kit (Arcturus) according to the manufacturer's instructions. DNase I (Qiagen) was applied to
386 the column during the procedure to digest genomic DNA. Elution buffer (11 μL) was used to elute the
387 total RNA. The quality and quantity of the total RNA were assessed using a Bioanalyzer (Agilent
388 Technologies) and the RNA 6000 pico kit.

389

390 **Whole-transcripts amplification and library preparation**

391 The procedure for whole-transcript amplification was based on the Quartz-seq method (Sasagawa *et al.*,
392 2013). Approximately 1 ng of total RNA was used as a starting material. Total RNA was denatured
393 (70°C for 90 sec) and primed (35°C for 15 sec) followed by first-strand synthesis (35°C for 5 min;
394 45°C for 20 min; 70°C for 10 min) with reverse transcriptase and oligo-dT-containing RT primers,
395 using the SuperScript III system (Life Technologies). Single stranded cDNA was purified using
396 AMPure XP magnetic beads (Beckman Coulter). The remaining RT primers were digested on the beads
397 with Exonuclease I (TAKARA) (37°C for 30 min; 80°C for 20 min). Subsequently, the poly-A-tailing
398 reaction was performed with terminal transferase (Roche) (37°C for 50 sec; 65°C for 10 min) followed
399 by second strand synthesis using a tagging primer with MightyAmp DNA polymerase (TAKARA)
400 (98°C for 130 sec; 40°C for 1 min; 68°C for 5 min). PCR enrichment was performed using the
401 enrichment primers and MightyAmp DNA polymerase (98°C for 10 sec; 65°C for 15 sec; 68°C for 5
402 min). The total number of PCR cycles was either 14 or 15, depending on the amount of total RNA
403 input. The amplified cDNA was purified using DNA concentrator-5 (Zymo Research) according to the
404 manufacturer's instructions. The size distribution of the amplified cDNA was assessed using the
405 Bioanalyzer with a High sensitivity DNA kit. The amount of cDNA in each sample was measured
406 using the Qubit dsDNA HS assay kit (Thermo Fisher). Library preparation was performed using the
407 Nextera XT kit (Illumina) according to the manufacturer's instructions. The KAPA LA kit (Nippon
408 Genetics) was used for library amplification after fragmentation. PCR cycles were adjusted to 8 or 9
409 depending on the amount of input cDNA. Libraries were pooled and sequenced with 3 runs on the
410 MiSeq using the reagent kit V2 (Illumina).

411

412 **Bioinformatics analysis**

413 The adapter sequences in the primers for library preparation and whole transcript amplification were
414 trimmed and low-quality sequences were removed. Quality-filtered reads were mapped to Nipponbare-
415 reference-IRGSP-1.0 pseudomolecules (Kawahara *et al.*, 2013) using the CLC genomics workbench
416 (ver. 8.0, Qiagen) with a threshold setting of 95% match. The remaining unmapped reads were
417 considered as *P. japonicum*-derived sequences and mapped to the *P. japonicum* draft genome with a

418 threshold setting of 90% match. Unique read counts obtained for each gene model were used for further
419 analysis. Differential gene expression analysis was performed in R with the TCC package (Sun *et al.*,
420 2013) (<https://www.R-project.org/>). Gene ontology analysis was performed with GO seq using the
421 results of the differential gene expression analysis (Young *et al.*, 2010). We used the CLC Main
422 Workbench (ver. 8.0.1, Qiagen) for identification of the putative SBTs in *P. japonicum*, vector design,
423 and sequence analyses.

424

425 **Phylogenetic analysis**

426 Phylogenetic analyses were performed using the CLC Genomics Workbench (ver. 8.0, Qiagen).
427 Predicted amino acid sequences were trimmed using trimAL (Capella-Gutiérrez *et al.*, 2009), followed
428 by alignment. Based on the alignment, the phylogenetic tree was drawn using the maximum-likelihood
429 method. For comparing the SBTs in *P. japonicum* and Arabidopsis, the reliability of the trees was tested
430 by bootstrap analysis with 1000 resamplings. For comparing the SBTs in *P. japonicum*, *S. asiatica*, and
431 *S. hermonthica*, the reliability of the trees was tested by bootstrap analysis with 100 resamplings. The
432 figure was generated by iTOL (ver. 5) (<https://itol.embl.de/>) (Letunic and Bork, 2007).

433

434 **Cloning**

435 Golden Gate cloning technology was used for cloning (Engler *et al.*, 2014). All the *BpiI* and *BsaI*
436 restriction sites within the cloned DNA sequences were mutated. The golden gate modules *3xVenus-*
437 *NLS*, *3xmCherry-SYP*, *pACT::3xmCherry-NLS*, and *pAtPGP4::3xVenus-NLS* were described
438 previously (Ishida *et al.*, 2016; Wakatake *et al.*, 2018).

439

440 *Vectors containing intrusive cell markers:* The *PjICSL1* (2652 bp), *PjGLP1* (2634 bp), and *PjCDRI*
441 (2496 bp) promoter regions were each PCR-amplified as two fragments from *P. japonicum* genomic
442 DNA and cloned separately into pAGM1311. The fragments were then combined into the pICH41295
443 level 0 vector. The promoter sequences were next assembled into level 1 vectors together with the
444 fluorescent protein module and the 3'UTR and *HSP* terminator module. *pICSL1::3xmCherry-SYP* was
445 further combined with *pAtPGP4::3xVenus-NLS* in the binary vector pAGM4723 (Engler *et al.*, 2014).

446

447 *Vectors containing the SBT promoters:* The *SBT1.5.2* (2000 bp) and *SBT1.7.3* (1799 bp) promoter
448 regions were each PCR-amplified as three fragments from *P. japonicum* genomic DNA and cloned
449 separately into pAGM1311. The fragments were then combined into the pICH41295 level 0 vector.
450 The *SBT1.1.1* (1691 bp), *SBT1.2.3* (1955 bp), *SBT1.7.2* (1802 bp), and *SBT1.7.1* (1864 bp) promoter
451 regions were each PCR-amplified as one fragment from *P. japonicum* genomic DNA and cloned into
452 the pICH41295 level 0 vector. The promoter sequences were then assembled into level 1 vectors
453 together with the Venus protein module fused with the nuclear localization signal (NLS), and the
454 3'UTR and terminator module. We also assembled the actin promoter (Wakatake *et al.*, 2018) into a
455 level 1 vector together with the mCherry protein module fused with the membrane localization signal

456 (Syntaxin of Plant 122, SYP122), and the 3'UTR and *HSP* terminator module, to generate the
457 *pACT::3xmCherry-SYP122* transcription unit. Each *pSBT::3xVenus-NLS* unit was further combined
458 with *pACT::3xmCherry-SYP122* in the binary vector pAGM4723.

459

460 *Vectors containing Epi10 sequence:* A codon-optimized Epi10 construct containing the signal peptide-
461 encoding region of *AtSBT1.7* (At5g67360) (Schardon *et al.*, 2016) was amplified with Golden Gate
462 compatible primers and cloned into pAGM9121 to generate a level 0 CDS1 module (Engler *et al.*,
463 2014). The *Epi10* level 0 CDS1 module was then assembled between the *PjSBT* promoter modules and
464 an *HSP* terminator sequence (Wakatake *et al.*, 2018). The final level-1 constructs were combined with
465 the fluorescent transformation marker *p35S:3xVenus-NLS* in the binary vector pAGM4723.

466

467 **Transformation of *P. japonicum***

468 Transformation of *P. japonicum* was performed as previously described by Ishida *et al.* (2011) with
469 several modifications. Silwet L-77 (Bio Medical Science) was added to an *A. rhizogenes* bacterial
470 solution (OD600 = 0.1) to a final concentration of 0.02% (v/v), just prior to transformation. Six-day-
471 old *P. japonicum* seedlings were immersed in the bacterial/Silwet L-77 solution and submitted to
472 ultrasonication using a bath sonicator (Ultrasonic Automatic Washer; AS ONE) for 10 to 15 seconds.
473 The sonicated seedlings were vacuum infiltrated for 5 min. The seedlings were transferred to freshly
474 made co-cultivation medium (Gamborg B5 agar medium with 1% sucrose and 450 μ M acetosyringone)
475 and kept in the dark at 22°C for 2 days. After co-cultivation, the seedlings were transferred to B5 agar
476 medium containing cefotaxime (300 μ g/mL). After 3 to 4 weeks, the transformed roots were used for
477 infection. Identification of the transgenic roots was performed as previously described by Ishida *et al.*
478 (2016).

479

480 **Microscopy**

481 Microscopy with transformed *P. japonicum* was performed as previously described by Spallek *et al.*
482 (2017) with minor modifications. *P. japonicum* with transgenic hairy roots were transferred to water
483 agar plates (0.7% agar; INA) for an additional 2 days before infection of 7-day-old *A. thaliana*
484 seedlings. Infecting plants were then analyzed by confocal microscopy (Leica, TCS SP5 II).

485

486 **RT-qPCR**

487 For extraction of total RNA from the haustoria, *P. japonicum* seedlings were grown vertically for 9
488 days followed by incubation for 2 days on water agar plates before infection of 7-day-old *A. thaliana*
489 seedlings. At 3 and 7 dpi, haustoria were excised and immediately frozen in liquid nitrogen. We
490 removed the *A. thaliana* roots as much as possible. Ten to twenty haustoria were used for each sample.
491 For the 0 dpi samples, we used the root elongation zones from *P. japonicum* seedlings without infection.
492 Total RNA was extracted using the RNeasy plant mini kit (QIAGEN), followed by cDNA synthesis
493 using the ReverTra Ace qPCR RT Kit (TOYOBO). During RNA extraction, we treated with DNase to

494 remove residual genomic DNA. RT-qPCR was performed as previously described by Spallek *et al.*
495 (2017). *PjUBC2* was used as a reference gene. The expression level of each gene was quantified using
496 the ddCt method.

497

498 **Primers**

499 All primers used for library preparation, cloning, and RT-qPCR are listed in Supplemental Table S4.

500

501 **Statistics**

502 Welch's *t* test was performed in Microsoft Excel 2016.

503

504 **Accession Numbers**

505 Sequence data from this article can be found in the GenBank/EMBL libraries under accession
506 number BankIt2316603: MT149970-MT150066 (SBTs); BankIt2324477: MT226912 (ICSL1),
507 MT226913 (GLP1), MT226914 (CDR1).

508 MIAME-compliant (minimum information about a microarray experiment) raw RNA-seq data were
509 deposited at the DNA Data Bank of Japan (<https://www.ddbj.nig.ac.jp/index-e.html>) under accession
510 number SAMD00216292-SAMD00216327.

511

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700

701 **Figure legends**

702

703 **Figure 1 Laser microdissection of the haustorium in *P. japonicum*.** (A) Safranin-O-stained A
704 safranin-O-stained haustorium at 3 dpi. Within the haustorium, *P. japonicum* establishes a vascular
705 connection with the *Arabidopsis* root, called the xylem bridge. The dashed white line outlines the
706 haustorium. Intrusive cells located at the interface with the host (dashed yellow circle). (B-D)
707 Sample preparation for tissue-specific transcriptome analysis of a *P. japonicum* haustorium infecting
708 a rice root. Example of a cryosectioned haustorium before laser microdissection (B), after dissecting
709 the intrusive region (C), and after dissecting the other part of the haustorium (D). Pj, *P. japonicum*
710 root; At, *Arabidopsis thaliana* root; XB, xylem bridge; Os, *Oryza sativa* root. Bar = 100 μ m.

711

712 **Figure 2 Expression dynamics of intrusive cell markers during haustorium development.**

713 Expression patterns of the *ICSL1* (A, B), *GLP1* (C, D), and *CDR1* (E, F) promoters driving a
714 fluorescent marker gene during haustorium development at the indicated time points in *P. japonicum*.
715 Bright-field and Venus fluorescent images were merged. Pj, *P. japonicum* root; At, *A. thaliana* root.
716 Bar = 50 μ m.

717

718 **Figure 3 Phylogeny of the subtilases (SBTs) in *P. japonicum* and *Arabidopsis*.** The 97 SBTs in *P.*
719 *japonicum* are shown in red and the 55 SBTs in *Arabidopsis* are shown in black. According to
720 Rautengarten *et al.* (2005), the SBTs are categorized into 6 groups. The group 6 SBTs represent the
721 outgroup. The green/red squares indicate the *SBT* gene expression levels at different time points in

722 the haustorium of *P. japonicum*. The blue/orange squares indicate the *SBT* gene expression levels in
723 the intrusive cells relative to their expression in other haustorial parts. Stars indicate the *SBTs* with
724 higher expression in the intrusive cells than in the other haustorial parts.

725

726 **Figure 4 Expression dynamics of the SBTs during haustorium development.** (A) Expression
727 patterns of *SBT* promoters in *P. japonicum* during haustorium development at the indicated time
728 points. Bright-field and Venus fluorescent images were merged. Pj, *P. japonicum* root; At, *A. thaliana*
729 root. Bar = 200 μ m. (B) The relative expression level of each *SBT* at 0, 3, and 7 dpi in isolated
730 haustoria. “0 dpi” values represent the expression of the marker genes in the root elongation zones of
731 non-infecting *P. japonicum* roots. Representative data are shown (mean \pm SE of 4 technical
732 replicates). We used *PjUBC* as a reference gene. The experiments were performed three times with
733 similar results. Asterisks indicate statistical significance (* $P < 0.05$, ** $P < 0.01$).

734

735 **Figure 5 Effect of the SBT inhibitor Epi10 on haustorial development.** (A) Representative image
736 of a control haustorium that formed a xylem bridge (XB) at 5 dpi. (B) Representative image of a
737 haustorium expressing the SBT inhibitor Epi10 that did not form an XB at 5 dpi. (C) Ratios of
738 haustoria that formed XBs in *P. japonicum* plants transformed with the empty vector (Control) or
739 with the *Epi10* gene driven by the *SBT1.1.1* or *SBT1.2.3* promoters (mean \pm SE of 3 replicates, $n =$
740 4–7). (D, E) Representative images of haustoria that did (D) and did not (E) form intrusive cells at 5
741 dpi. The *ICSL1* promoter was used as an intrusive cell marker. The bright-field and mCherry
742 fluorescent images are merged. (F) Ratios of haustoria that formed intrusive cells in *P. japonicum*
743 plants transformed with the empty vector (Control) or with the *Epi10* gene driven by the *SBT1.1.1* or
744 *SBT1.2.3* promoters (mean \pm SE of 3 replicates, $n = 4$ –7). Asterisks indicates statistical significance
745 (* $P < 0.1$, ** $P < 0.01$). Pj, *P. japonicum*; At, *A. thaliana*; XB, xylem bridge. Bar = 100 μ m.

746

747 **Figure 6 Effect of the SBT inhibitor on auxin signaling.** (A, B) Representative images of haustoria
748 in which auxin signaling was observed (A) and not observed (B) around the xylem bridge at 5 dpi.
749 The *DR5* promoter was used as an auxin signaling marker. The mCherry fluorescence intensity is
750 depicted in a 5 ramps spectrum. The broken white line indicates the edge of the haustorium. (C)
751 Ratios of haustoria in which auxin signaling was observed around the xylem bridge in *P. japonicum*
752 plants transformed with the empty vector (Control) or with the *Epi10* gene driven by the *SBT1.1.1* or
753 *SBT1.2.3* promoters (mean \pm SE of 2 replicates, $n = 2$ –7). Pj, *P. japonicum*; At, *A. thaliana*; XB,
754 xylem bridge. Bar = 100 μ m.

755 Figure 1

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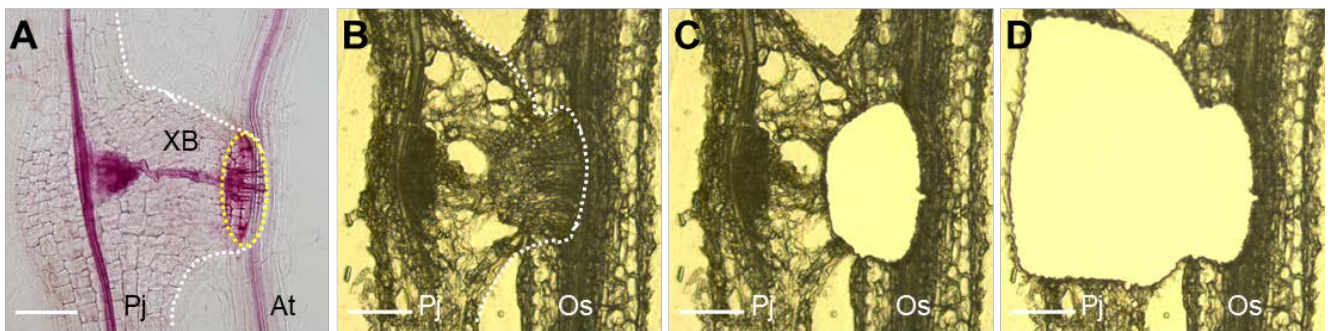
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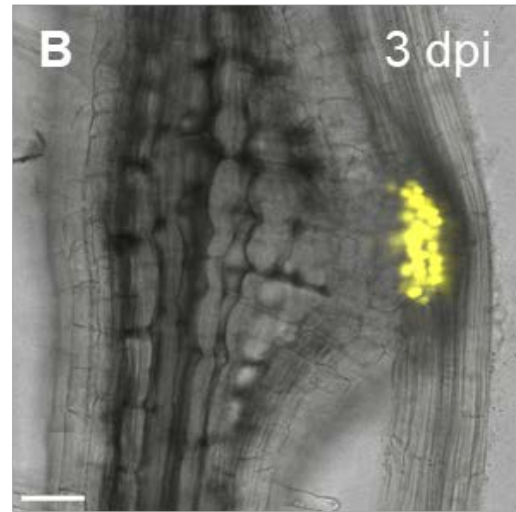
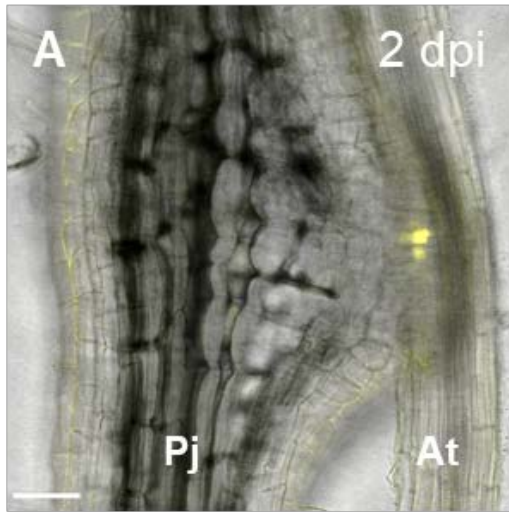
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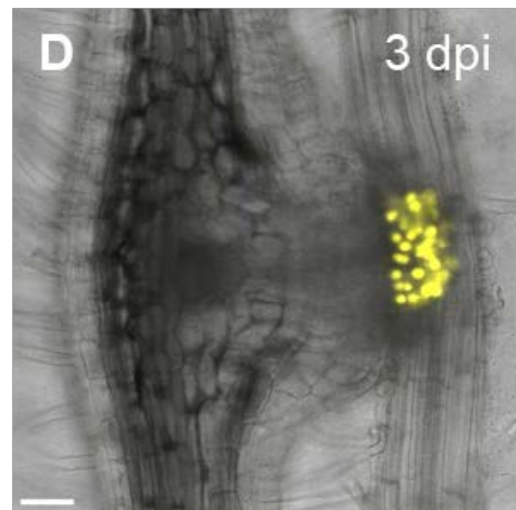
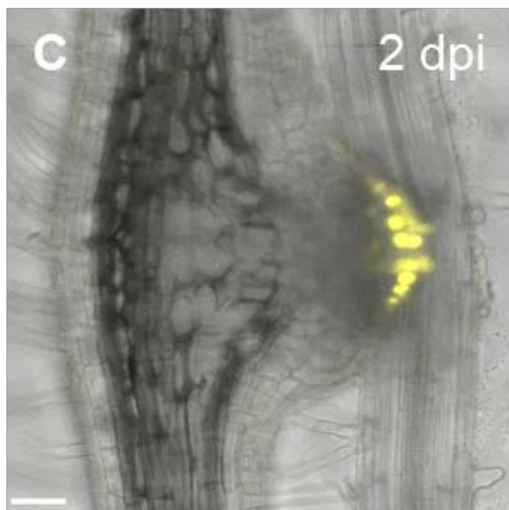
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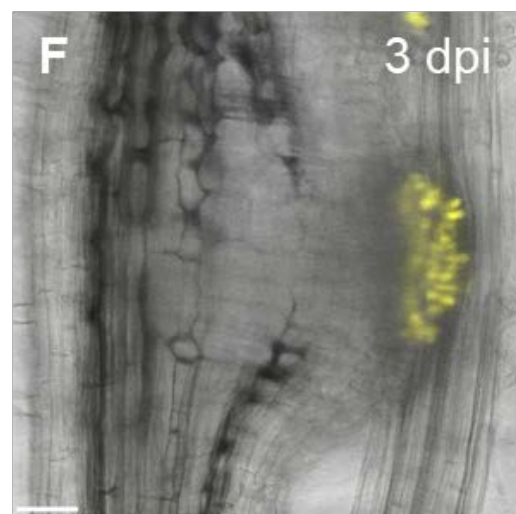
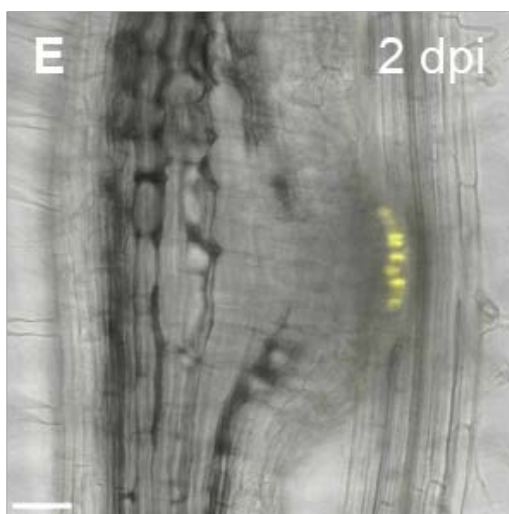
ICSL1::3xVenus-NLS



GLP1::3xVenus-NLS



CDR1::3xVenus-NLS



800 Figure 3

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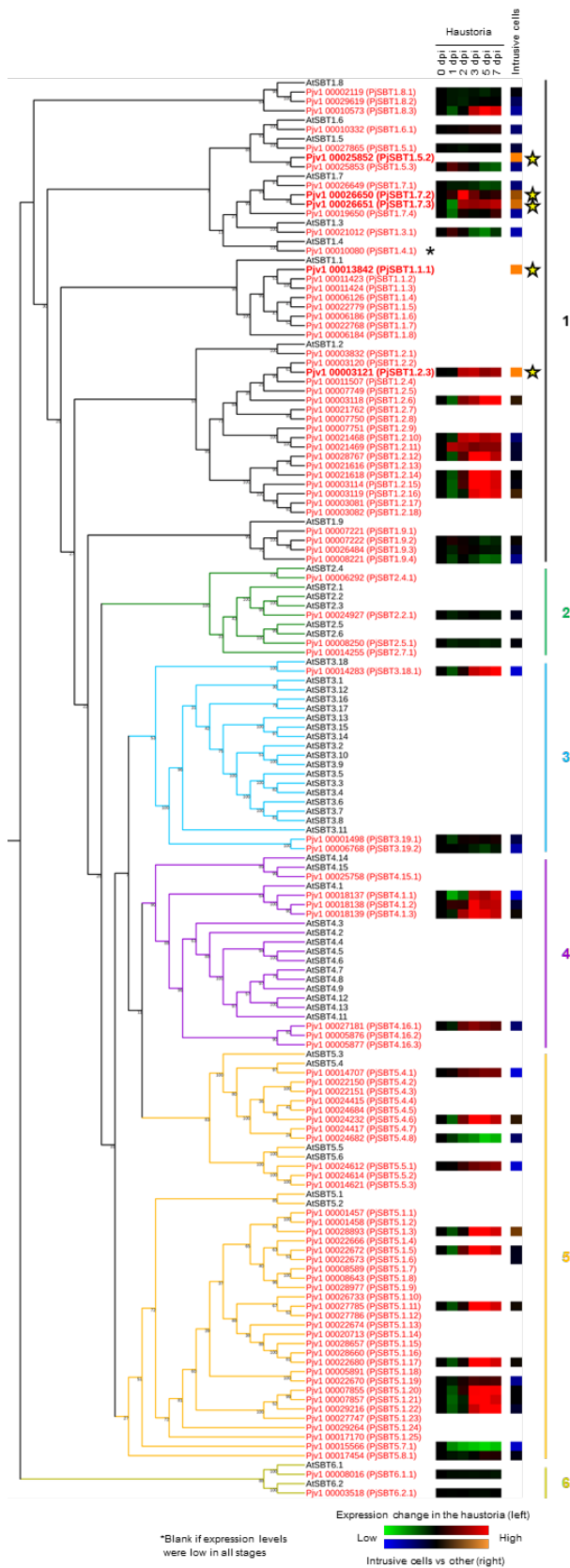
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832 Figure 4

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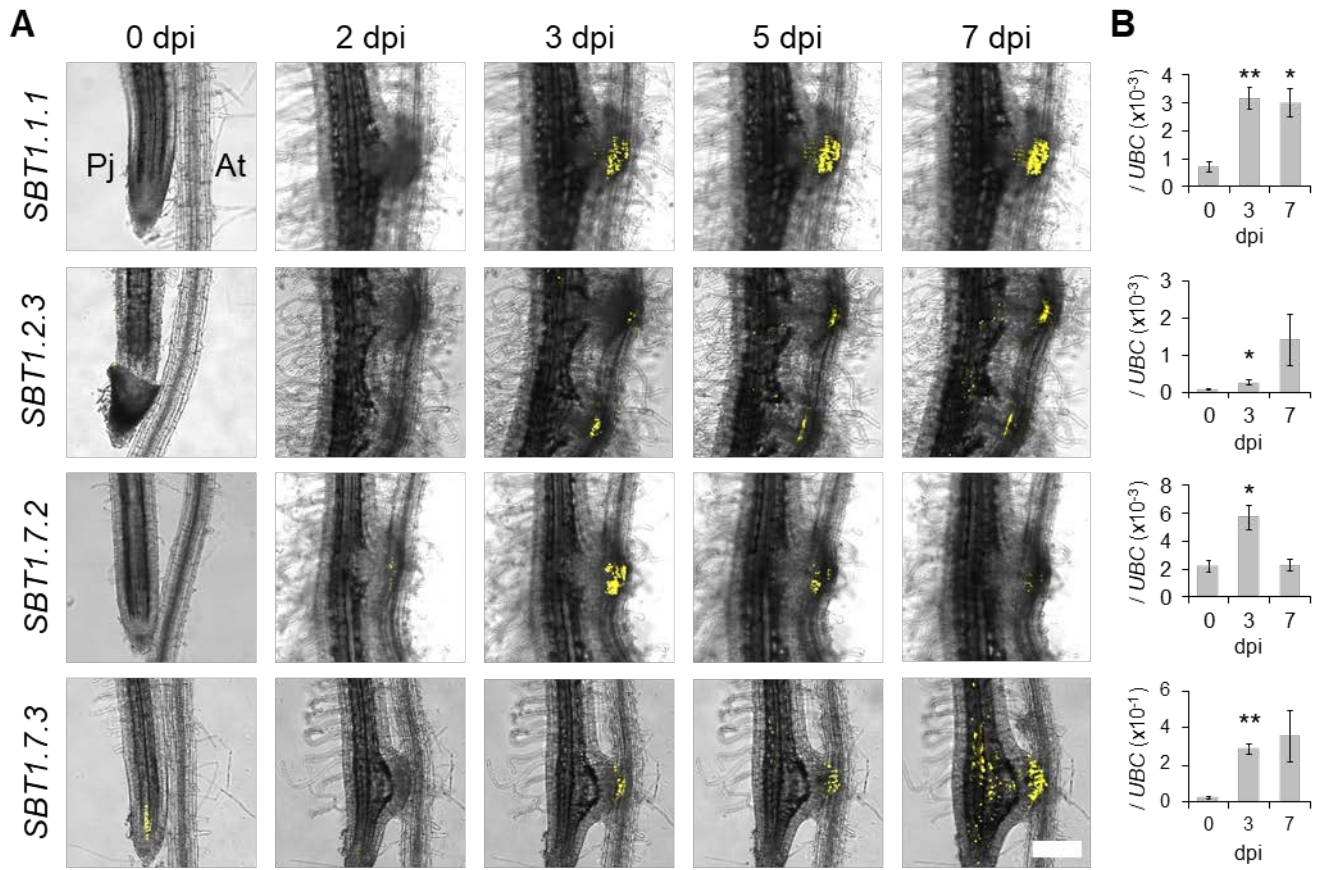
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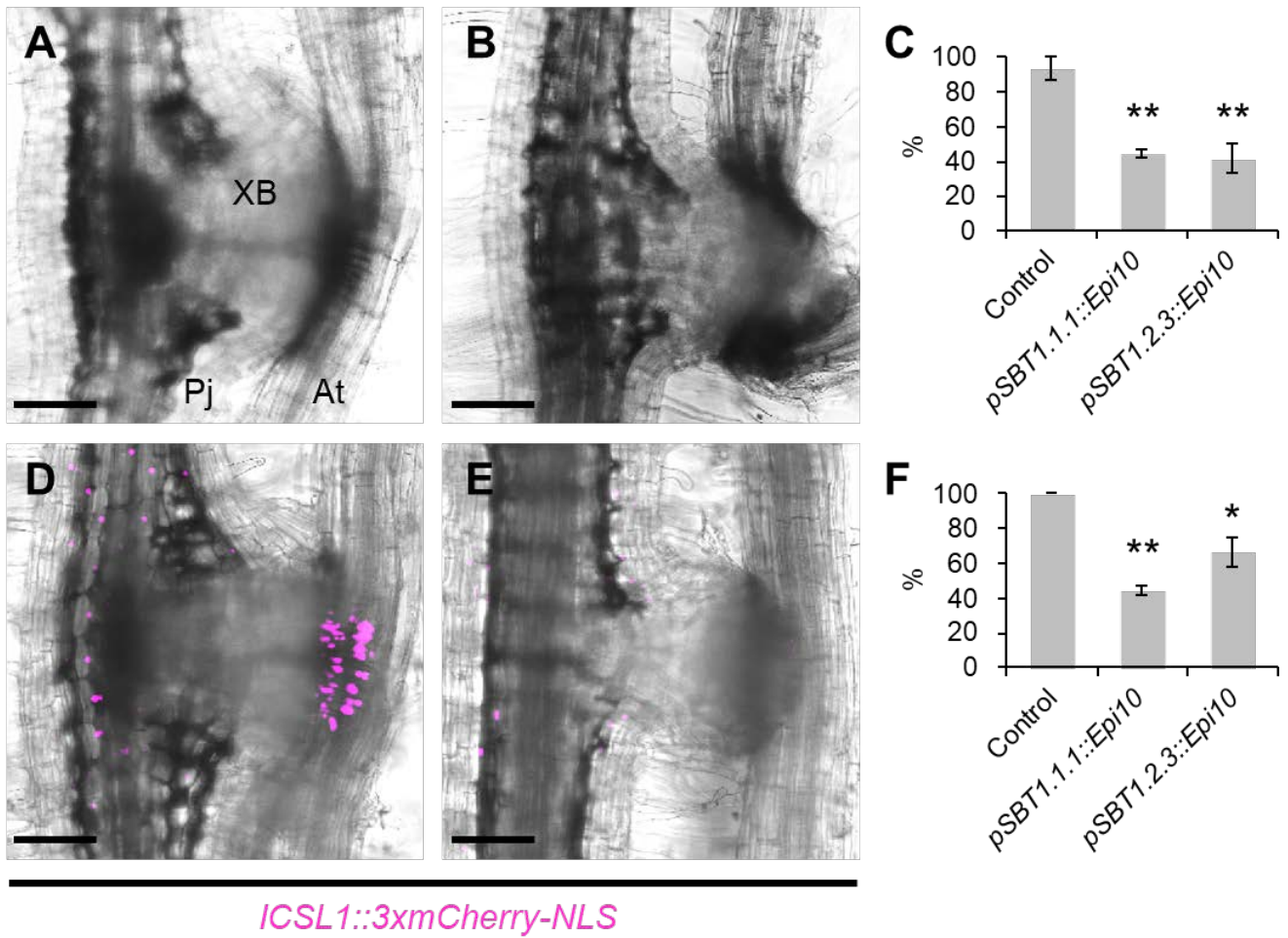
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865 Figure 5

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899 Figure 6

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