1	The Sec63/BiP complex suppresses higher-order oligomerization and RNase activity of
2	IRE1α during ER stress
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45 **Abstract**: IRE1 $\alpha$  is a conserved branch of the unfolded protein response (UPR) that detects 46 unfolded proteins in the lumen of the endoplasmic reticulum (ER) and propagates the signal to 47 the cytosol. We have previously shown that IRE1 $\alpha$  forms a complex with the Sec61 translocon 48 to cleave its substrate mRNAs (Plumb et al., 2015). This complex also regulates IRE1a 49 activation dynamics during ER stress in cells (Sundaram et al., 2017), but the underlying 50 mechanism is unclear. Here, we show that Sec63 is a subunit of the IRE1a/Sec61 translocon 51 complex. Sec63 recruits and activates BiP ATPase through its luminal J-domain to bind onto 52 IRE1a. This Sec63-mediated BiP binding to IRE1a suppresses the formation of higher-order 53 oligomers of IRE1 $\alpha$ , leading to proper attenuation of IRE1 $\alpha$  RNase activity during persistent ER 54 stress. Thus, our data suggest that the Sec61 translocon bridges IRE1a with Sec63/BiP to 55 regulate the dynamics of IRE1 $\alpha$  activity in cells.

56 57

#### 58 Introduction

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60 Secretory and membrane proteins are initially synthesized and folded in the endoplasmic 61 reticulum (ER). The majority of these nascent proteins are delivered to the Sec61 translocon in the ER membrane by the co-translational protein targeting pathway (Rapoport, 2007, Shao and 62 63 Hegde, 2011). The Sec61 translocon facilitates the translocation and insertion of newly 64 synthesized secretory and membrane proteins. Immediately after entering the ER, they are 65 folded and assembled with the help of glycosylation, chaperones, and folding enzymes in the ER (van Anken 2005). However, the ER capacity to fold newly synthesized proteins is often 66 67 challenged by several conditions, including a sudden increase in incoming protein load, 68 expression of aberrant proteins, and environmental stress. Under such conditions, terminally 69 misfolded and unassembled proteins are recognized by the ER associated degradation (ERAD) 70 pathway for the proteasomal degradation (Brodsky, 2012). When misfolded proteins overwhelm 71 the ERAD capacity, they accumulate in the ER, thus causing ER stress, which in turn triggers a 72 signaling network called the unfolded protein response (UPR) (Walter and Ron, 2011). The UPR 73 restores the ER homeostasis by both reducing incoming protein load as well as increasing the 74 protein folding capacity of the ER. If ER stress is unmitigated, the UPR has been shown to 75 initiate apoptosis to eliminate non-functional cells (Hetz, 2012). The UPR-mediated life-and-76 death decision is implicated in several human diseases, including diabetes, cancer, and 77 neurodegeneration (Wang and Kaufman, 2016). 78

79 Three major transmembrane ER stress sensor proteins are localized in the ER, namely 80 IRE1 $\alpha$ , PERK and ATF6 (Walter and Ron, 2011). IRE1 $\alpha$  is a conserved transmembrane 81 kinase/endonuclease, which is activated by self-oligomerization and trans-autophosphorylation 82 during ER stress conditions (Cox et al., 1993; Mori et al., 1993). Once activated, IRE1a 83 mediates nonconventional splicing of XBP1 mRNA (Yoshida et al., 2001; Calfon et al., 2002), 84 which is recruited to the Sec61 translocon through its ribosome nascent chain (Yanagitani et al., 85 2011; Plumb et al., 2015; Kanda et al., 2016). Nearly all endogenous IRE1α molecules exist in a 86 complex with the Sec61 translocon in cells (Plumb et al., 2015). The cleaved fragments of XBP1 mRNA are subsequently ligated by the RtcB tRNA ligase (Lu et al., 2014; Jurkin et al., 2014; 87 88 Kosmaczewski et al., 2014) with its co-factor archease (Poothong et al., 2017). The spliced

89 XBP1 mRNA is translated into a functional transcription factor, which induces the expression of 90 chaperones, quality control factors, and protein translocation components (Lee et al., 2003). 91 IRE1α can also promiscuously cleave many ER-localized mRNAs through the regulated Ire1-92 dependent decay (RIDD) pathway, which is implicated in reducing the incoming protein load to 93 the ER (Hollien and Weissman, 2006; Han et al., 2009). PERK is a transmembrane kinase and 94 is responsible for phosphorylating the  $\alpha$  subunit of eIF2 during ER stress, which causes global 95 inhibition of translation in cells, thus alleviating the burden of protein misfolding in the ER 96 (Harding et al., 1999; Sood et al., 2000). ATF6 is an ER-localized transcription factor and is 97 translocated to Golgi upon ER stress where it is cleaved by intramembrane proteases (Haze et 98 al., 1999; Ye et al., 2000). This causes the release of the cytosolic transcription domain into the 99 cytosol and to the nucleus where it upregulates genes encoding ER chaperones and guality 100 control factors to restore ER homeostasis (Lee et al., 2003; Shoulders et al., 2013).

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102 The activity of all three UPR sensors are tightly regulated both under homeostatic and ER stress conditions, but the underlying mechanisms are poorly understood. In particular, it is 103 104 important to understand the regulation of IRE1a activity since sustained activation of IRE1a is 105 implicated in many human diseases including type 2 diabetes (Lin et al., 2007; Ghosh et al., 106 2014). On the other hand, hyperactivated IRE1α can produce excess of XBP1 transcription 107 factor, which can be beneficial for tumor cell growth in a hostile environment (Cubillos-Ruiz et 108 al., 2017). Recent studies have identified many IRE1 $\alpha$  interacting proteins that have been 109 shown to regulate IRE1 $\alpha$  activation and inactivation during ER stress (Eletto et al., 2014; 110 Sundaram et al., 2017; Sepulveda et al., 2018). One of the key factors that regulate IRE1 $\alpha$ 111 activity is the luminal Hsp70 like chaperone BiP ATPase (Bertolotti et al., 2000; Okamura et al., 112 2000; Pincus et al., 2010; Amin-Wetzel et al., 2017). IRE1α binding to BiP inhibits its 113 oligomerization, thereby suppressing its RNase activity. However, it is unclear how the luminal 114 protein BiP is efficiently recruited to the membrane-localized IRE1 $\alpha$  in cells. Our previous 115 studies have shown that IRE1α interaction with the Sec61 translocon is essential to regulate its 116 oligomerization and RNase activity in cells (Sundaram et al., 2017). However, the molecular mechanism by which the Sec61 translocon limits IRE1a activity is unclear. In this study, we 117 118 found that the Sec61 translocon bridges the interaction between IRE1a and Sec63. The J 119 domain of Sec63 is responsible for recruiting and activating the luminal BiP ATPase to bind onto 120 IRE1 $\alpha$ , thus suppressing IRE1 $\alpha$  higher order oligomerization and RNase activity. 121

#### 122 Results

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#### 124 Sec61 translocon-mediates the interaction between IRE1α and Sec63

To determine the mechanism by which the Sec61 translocon limits IRE1α oligomerization and
 RNase activity, we looked back at our previous results on IRE1α interacting proteins (Plumb et

- al., 2015 and Sundaram et al., 2017). In addition to the Sec61 translocon, Sec63 is also
- 128 enriched in the affinity purified IRE1α sample. Sec63 is a conserved translocon interacting
- 129 membrane protein involved in protein translocation into the ER (Deshaies et al., 1991; Panzner
- 130 et al., 1995; Meyer et al., 2000). While Sec63 is known to play a key role in the post-
- 131 translational protein translocation into the ER, it can also assist the co-translational protein
- translocation into the ER (Brodsky et al., 1995; Young et al., 2001; Conti et al., 2015). We first

133 investigated whether IRE1g interacts with Sec63 through the Sec61 translocon. To test this, we 134 immunoprecipitated several translocon interaction defective IRE1a mutants and looked for an 135 association with Sec63 (Figure 1A). These IRE1α mutants showed a weak association with 136 Sec63 as well, suggesting that IRE1 $\alpha$  interacts with Sec63 via the Sec61 translocon (Figure 1A. 137 B). Interestingly, IRE1 $\alpha$  did not coimmunoprecipitate with Sec62, which is known to form a 138 complex with Sec61/Sec63 (Panzner et al., 1995). In addition to the previously described 139 luminal juxtamembrane region (Plumb et al., 2015), we identified that the transmembrane 140 domain (TMD) of IRE1 $\alpha$  also important for the interaction with Sec61/Sec63 since replacing 141 IRE1 $\alpha$  TMD with the TMD from calnexin abolished the interaction with the translocon complex 142 (Figure 1A, B). We reasoned that if IRE1 $\alpha$  interacts with Sec63 through the translocon, 143 depletion of Sec63 would have less effect on the interaction between IRE1 $\alpha$  and the translocon. 144 To test this, we generated HEK293 Sec63-/- cells using CRISPR/Cas9. Immunoprecipitation of 145 IRE1a from wild type cells revealed an interaction between IRE1a and the Sec61/Sec63 complex (Figure 1C). As expected, the translocon interaction defective mutant IRE1 $\alpha\Delta 10$ 146 147 showed almost no association with Sec63. The knockout of Sec63 slightly reduced but did not abolish the interaction between IRE1 $\alpha$  and Sec61, suggesting that IRE1 $\alpha$  can interact with the 148 149 translocon independent of Sec63 (Figure 1C). Again, IRE1α selectively interacted with a Sec61 150 translocon complex that contains Sec63, but not Sec62. This observation is further supported by 151 the evidence that Sec63 mutants that poorly interacted with the Sec61 translocon also showed 152 less interaction with the endogenous IRE1α (Figure 1D; Figure 1 - figure supplement 1). 153 Sec61/Sec63 selectively interacted with the IRE1α branch of the UPR since they did not interact 154 with either PERK or ATF6 (Figure 1D). We next asked whether the interaction between Sec63 155 and IRE1 $\alpha$  is preserved during ER stress conditions to regulate IRE1 $\alpha$  RNase activity. To test 156 this, we immunoprecipitated Sec63 from Sec63-/- cells complemented with wild type Sec63-157 FLAG that were treated with or without ER stress inducers, thapsigargin (Tg), tunicamycin (Tm) 158 or dithiothreitol (DTT) (Figure 1E). Sec63 interaction with the endogenous IRE1 $\alpha$  was slightly 159 disrupted upon treatment of the cells with Tq, TM, or DTT for 4h, suggesting that Sec63 may 160 play an important role in regulating IRE1α activity during ER stress. However, a longer ER stress treatment with DTT (8h) severely disrupted the IRE1/Sec63/Sec61 complex (Figure 1E). 161 162

#### 163 Sec63 suppresses the higher-order oligomerization of IRE1α

164 It is known that IRE1a forms higher-order oligomers or clusters in cells upon ER stress, which 165 correlate with IRE1 $\alpha$  RNase activity (Li et al., 2010). We have previously shown that IRE1 $\alpha$ 166 interaction with the Sec61 translocon is crucial for limiting IRE1 $\alpha$  clusters in cells during ER 167 stress conditions (Sundaram et al., 2017). We speculated that this activity was mainly due to the 168 Sec61 translocon-associated Sec63, which can recruit BiP through its luminal J domain to 169 suppress IRE1 $\alpha$  higher-order oligomers. To test this idea, we performed siRNA mediated 170 knockdown of Sec63 in cells and monitored IRE1g clustering by confocal immunofluorescence 171 after treatment with the ER stress inducing agent Tg. IRE1a was localized to the ER without 172 clustering under homeostatic conditions, while a small number of cells exhibited clusters upon 173 ER stress in control siRNA treated cells (Figure 2A, B, C). By contrast, IRE1a clusters were 174 increased in Sec63 depleted cells treated with ER stress (Figure 2A, B, C). An alternative 175 explanation for IRE1 $\alpha$  clustering in Sec63 depleted cells is that it may be caused by defects in 176 protein translocation into the ER in these cells. To rule out this possibility, we performed siRNA

mediated knockdown of Sec62, which is also a core component of the post-translational
translocation machinery. Unlike Sec63, transient depletion of Sec62 did not significantly
increase IRE1α clusters upon ER stress compared to control siRNA treated cells (Figure 2A, B,
C). To further differentiate the role of Sec63 in regulating IRE1α oligomerization from assisting
protein translocation into the ER lumen, we used the IRE1α CNX-TMD mutant, which we
identified in this study as a Sec61/Sec63 interaction defective mutant (Figure 1B). Consistent
with our previous translocon interaction defective IRE1α mutants (Sundaram et al., 2017), the

- cells expressing IRE1α CNX-TMD displayed significantly more clusters than the cells
- 185 expressing wild type IRE1α upon treatment with either Tg for 1h and 2h or Tm for 2h (Figure 2D,
- 186 E). However, both the wild type and IRE1α CNX-TMD formed robust clusters upon treatment of
- 187 cells with Tm for 4h, although the clusters were slightly bigger in cells expressing the mutant
- 188 (Figure 2D, E). We next determined if the J-domain of Sec63 is required for limiting IRE1 $\alpha$
- 189 clustering in cells. The cells expressing Sec63 J-domain mutant (HPD/AAA) exhibited more
- 190 IRE1α clusters upon ER stress compared to cells expressing wild type Sec63 (Figure 2F, G, H).
- 191 Taken together, these results suggest that IRE1 $\alpha$  forms robust clusters in cells upon ER stress,
- either if it cannot interact with Sec61/Sec63 or in cells depleted of Sec63.
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#### 194 Sec63 limits IRE1α RNase activity in cells during ER stress

195 The aforementioned data suggest that Sec63 inhibits the formation of higher-order

- 196 oligomerization of IRE1 $\alpha$  during ER stress. We next wanted to determine if Sec63 also limits
- 197 IRE1 $\alpha$  RNase activity. To test this, we first transiently depleted Sec63 in cells using siRNA
- oligos and monitored IRE1α activation under homeostatic conditions by probing its
   phosphorylation status using a phos-tag based immunoblotting. We found that only a small
- phosphorylation status using a phos-tag based immunoblotting. We found that only a small
   fraction of IRE1α was activated in Sec63 depleted cells under homeostatic conditions (Figure 3)
- 201 figure supplement 1A). This small activation was likely caused by defects in protein
- translocation into the ER in Sec63 depleted cells because a similar level of IRE1α activation
- was observed in cells depleted of Sec62, which is also a subunit of the protein translocation
- 204 complex (Figure 3 figure supplement 1A). To determine the role of Sec63 in suppressing
- 205 IRE1α activity during ER stress conditions, we monitored IRE1α phosphorylation and its RNase-
- 206 mediated splicing of XBP1 mRNA in both wild type and Sec63-/- cells treated with Tg. A
- significant proportion of IRE1 $\alpha$  was activated after one hour of ER stress as represented by
- 208 phosphorylated IRE1α (Figure 3A). Consistent with our previous studies, IRE1α was mostly
- 209 inactivated or dephosphorylated within eight hours of ER stress in wild type cells. The
- 210 phosphorylation status of IRE1 $\alpha$  was comparable with IRE1 $\alpha$ -mediated splicing of XBP1 mRNA
- 211 (Figure 3A). The ER stress-dependent BiP upregulation was also correlated with the inactivation
- of IRE1 $\alpha$  in wild type cells. Corroborating the result from siRNA-mediated depletion of Sec63, a
- 213 proportion of IRE1α was constitutively phosphorylated in Sec63-/- cells even under homeostatic
- 214 conditions. Upon ER stress, IRE1 $\alpha$  was fully activated in Sec63-/- cells, but it showed a severe
- defect in inactivation of IRE1α as reflected by efficient phosphorylation of IRE1α even during the
   later hours of ER stress compared to wild type cells (Figure 3A). The continuous IRE1α
- 210 later hours of ER stress compared to wild type cells (Figure SA). The continuous IRE TO
- phosphorylation during persistent ER stress correlated with its ability to mediate the splicing of
- 218 XBP1 mRNA (Figure 3A). Interestingly, although BiP was highly upregulated in Sec63-/- cells
- 219 (Figure 3 figure supplement 2C), it could not inactivate IRE1 $\alpha$  in the absence of Sec63. We
- also obtained a similar result when cells were treated with the ER stress inducer Tm (Figure 3 –

figure supplement 1B), arguing against that defective attenuation of IRE1α in Sec63-/- cells was
 specific to the ER stress inducer Tg.

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224 To exclude the possibility that the knockout of Sec63 had indirect effects on IRE1a 225 activity, we wanted to rescue IRE1a inactivation defects by complementing wild type Sec63 into 226 Sec63-/- cells. The complementation of Sec63 partially restored activation and inactivation 227 kinetics of IRE1a as shown by both IRE1a phosphorylation and XBP1 mRNA splicing (Figure 228 3B and Figure 3 - figure supplement 1C). By contrast, a proportion of IRE1 $\alpha$  was constitutively 229 activated even under homeostatic conditions in Sec63-/- cells complemented with Sec63 J-230 domain mutant, which is deficient in activating BiP ATPase (Figure 3B and Figure 3 - figure 231 supplement 1C). Upon ER stress, IRE1 $\alpha$  was efficiently activated in these cells but could not be 232 attenuated even up to 24h of ER stress, suggesting that the J-domain of Sec63 is required for 233 suppressing IRE1a activity during ER stress. We also complemented Sec63-/- cells with Sec63 234 mutants ( $\Delta$ 367-760 and  $\Delta$ 637-760) that have intact J-domains but poorly interacted with the Sec61 translocon (Figure 1 – figure supplement 1). These mutants failed to rescue IRE1 $\alpha$ 235 236 attenuation defects observed in Sec63-/- cells during ER stress (Figure 3 – figure supplement 237 1D). This result implies that Sec63-mediated recruitment of BiP to the ER membrane is not 238 sufficient to inactivate IRE1α, but rather IRE1α must be close to Sec63/BiP for an efficient 239 attenuation of its activity during persistent ER stress. Since Sec63 is involved in protein 240 translocation into the ER, we wanted exclude the possibility that IRE1α attenuation defects were 241 not caused by an inefficient protein translocation into the ER. We therefore created 242 CRISPR/Cas9-mediated knockout cells of Sec62, which did not interact with IRE1a (Figure 1B, 243 C). In sharp contrast to Sec63-/- cells, the activation of IRE1α was mostly inhibited in Sec62-/-244 cells upon ER stress compared to wild type cells (Figure 3 – figure supplement 1E). Because 245 Sec63 is still present in Sec62-/- cells, it is likely that it can efficiently recruit BiP, which was 246 highly upregulated in these cells, and suppress the activation of IRE1 $\alpha$  during ER stress. This 247 notion is supported by our previous study that overexpression of recombinant BiP into HEK293 248 cells can suppress the activation of endogenous IRE1 $\alpha$  (Sundaram et al., 2018). 249

250 To determine whether Sec63 also regulates the activities of two other major UPR sensors, PERK and ATF6, we monitored their activation in wild type and Sec63-/- cells during 251 252 ER stress. Consistent with previous studies, PERK was activated as shown by phosphorylation 253 in wild type cells upon ER stress and remained active throughout the ER stress treatment 254 (Figure 3 – figure supplement 2A, B). We did not detect any appreciable constitutive activation 255 of PERK in Sec63-/- cells under homeostatic conditions. Moreover, it was normally activated 256 upon ER stress induced by either Tg or TM (Figure 3 – figure supplement 2A, B). This result is 257 consistent with the previous study where the depletion of Sec63 did not affect both PERK and ATF6-mediated UPR pathways (Fedeles et al., 2015). We next probed the activation of ATF6 in 258 259 both wild type and Sec63-/- cells by monitoring the loss of signal due to the proteolytic release of the N-terminal fragment after its migration to the Golgi apparatus (Figure 3 – figure 260 261 supplement 2A, B). ATF6 signal was lost after one hour of ER stress, but the signal came back 262 after eight hours of the treatment in the wild type cells. To our surprise, ATF6 was poorly 263 activated in Sec63-/- cells during Tg-induced ER stress, but it was noticeably activated upon Tm 264 treatment (Figure 3 – figure supplement 2A, B). We hypothesized that ATF6 was not fully

265 activated in Sec63-/- cells due to the accumulation of excess of BiP in Sec63-/- cells, which may not be easily sequestered by misfolded proteins induced by the ER stress inducer Tg or Tm. We 266 267 therefore treated Sec63-/- cells with a strong ER stress inducer, DTT, and monitored ATF6 268 activation. Indeed, ATF6 could be activated in Sec63-/- cells as shown by the loss of signal 269 upon DTT treatments, suggesting that ATF6 is functional in Sec63-/- cells (Figure 3 – figure 270 supplement 2C). Lastly, we wanted to determine the role of Sec63 in attenuating IRE1 $\alpha$  activity 271 using an approach that does not disrupt the function of Sec63 in cells. We therefore monitored 272 IRE1a activity in cells expressing either wild type IRE1a or IRE1a CNX-TMD, which cannot 273 interact with Sec61/Sec63. In support of our conclusion. IRE1α CNX-TMD could be efficiently 274 activated upon ER stress but displayed a defect in attenuation compared to wild type IRE1a as 275 shown by both phosphorylation and XBP1 mRNA splicing during persistent ER stress (Figure 276 3C). This result is consistent with our previous results of other IRE1 $\alpha$  mutants that poorly 277 interact with the Sec61 translocon (Sundaram et al., 2017). Taken together, our data suggest 278 that IRE1 $\alpha$  inactivation was significantly impaired during ER stress, either in the absence of 279 Sec63 or if it failed to interact with Sec63.

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#### 281 The Sec61/Sec63 complex recruits BiP to bind onto IRE1α

282 We next wanted to determine IRE1a binding to BiP depends on its interaction with the 283 Sec61/Sec63 complex. To test this, we took advantage of our various Sec61/Sec63 interaction 284 defective IRE1a mutants (Figure 1B) and performed co-immunoprecipitation studies to monitor 285 their interaction with BiP. Wild type IRE1 $\alpha$  associated with BiP along with the Sec61/Sec63 286 complex, whereas the translocon interaction defective IRE1 $\alpha$  mutants showed a significantly 287 less interaction with BiP (Figure 4A). IRE1 $\alpha$  mutant that is deleted of the luminal domain (LD) 288 showed a very little binding to BiP, although its interaction with Sec61/Sec63 was mostly 289 unaffected (Figure 4A). This result suggests that BiP binds to the luminal domain of IRE1 $\alpha$ , but 290 not to the Sec61/Sec63 complex. To further support our conclusion that IRE1a binds to BiP but 291 not to the Sec61/Sec63 complex, we co-immunoprecipitated IRE1α using either digitonin or 292 NP40/Deoxycholate detergent buffer. IRE1 $\alpha$  associated with BiP under both conditions, while its 293 interaction with Sec61/Sec63 was almost abolished when immunoprecipitations were performed 294 using the buffer containing NP40/Deoxycholate compared to the digitonin buffer (Figure 4 -295 figure supplement 1A, B). The recruitment of BiP to IRE1 $\alpha$  was also dependent on the J-domain 296 of Sec63 since overexpression of Sec63 J-domain mutant in cells reduced BiP binding to IRE1a 297 compared to cells overexpressing wild type Sec63 (Figure 4 - figure supplement 1C). We next 298 confirmed whether BiP binding to IRE1a is sensitive to ER stress as previously reported 299 (Bertolotti et al., 2000). Immunoprecipitation of IRE1α from cells treated without or with ER 300 stress induced by DTT, Tg, or Tm revealed that BiP was dissociated from IRE1 $\alpha$  under all ER 301 stress conditions compared non-treated cells (Figure 4B). As expected, BiP binding to a 302 translocon interaction defective mutant IRE1α CNX-TMD was significantly reduced even under 303 unstressed conditions, and that the interaction was almost abolished upon treatment with ER 304 stress inducers (Figure 4B). We observed that BiP was upregulated in Tm treated cells 305 compared to DTT or Tg treated cells. This is likely due to the longer time treatment (4h) of Tm 306 while others were treated for a shorter time (2h). We also noticed that IRE1 $\alpha$  interaction with 307 Sec63/Sec61 was slightly reduced under ER stress conditions compared to unstressed 308 conditions (Figure 4B). We next asked whether Sec61/Sec63 is necessary and sufficient to

309 mediate BiP binding to IRE1 $\alpha$ . To address this, we purified the IRE1 $\alpha$ /Sec61/Sec63 complex 310 from HEK293 cells stably expressing 2xStrep-tagged IRE1α-FLAG as previously described 311 (Sundaram et al., 2017) (Figure 4C). A coomassie stained gel revealed that IRE1α was about 312 three times more than Sec61/Sec63 because the complex was purified from cells 313 overexpressing IRE1 $\alpha$ . We also similarly purified IRE1 $\alpha\Delta$ 10, which lacks the interaction with the 314 Sec61/Sec63 complex, as a control. We expressed and purified recombinant BiP from E. coli 315 (Figure 4D). We first prepared anti-FLAG antibody beads bound to the IRE1 $\alpha$  complex or 316 IRE1 $\alpha$  10. We then incubated the beads with or without BiP in the presence or absence of 317 ATP. In the absence of ATP, BiP bound to both the IRE1α/Sec61/Sec63 complex and 318 IRE1 $\alpha$  10. BiP was mostly dissociated from IRE1 $\alpha$  10 in the presence of ATP (Figure 4E), 319 likely due to ATP bound BiP has higher substrate dissociation rates (Misselwitz et al., 1998). In 320 sharp contrast, BiP binding to IRE1α/Sec61/Sec63 was intact even in the presence of ATP 321 (Figure 4E). This result suggests that the J-domain of Sec63 stimulated ATP hydrolysis of BiP to 322 bind onto IRE1α. We also obtained a similar result of Sec61/Sec63 dependent BiP binding onto 323 IRE1a when the components were incubated in solution, followed by immunoprecipitation with 324 anti-FLAG beads (Figure 4 – figure supplement 1D). Taken together our results suggest that 325 Sec61/Sec63 is necessary and sufficient to mediate BiP binding to IRE1 $\alpha$  in the presence of ATP.

326 327

#### 328 Discussion

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330 We and others have previously shown that IRE1 $\alpha$  forms a complex with the Sec61 translocon complex (Plumb et al., 2015; Acosta-Alvear et al., 2018; Ishikawa et al., 2019). The complex 331 332 formation allows IRE1α to access its substrate mRNAs, including XBP1u mRNA, which is 333 delivered to the Sec61 translocon through its ribosome-nascent chain (Plumb et al., 2015; 334 Kanda et al., 2016). Also, IRE1a association with the Sec61 translocon inhibits its higher-order 335 oligomerization and RNase activity during ER stress (Sundaram et al., 2017). In this study, we 336 show that the translocon associated factor Sec63 recruits and activates BiP ATPase via its 337 luminal J-domain to bind onto IRE1α, thus suppressing higher-order oligomerization and RNase 338 activity of IRE1a during ER stress (Figure 5).

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340 It has long been known that BiP plays a central role in regulating all three UPR sensors 341 (Preissler and Ron, 2019). Recent studies have provided further insights into how BiP regulates 342 oligomerization and activation of IRE1α (Carrara et al., 2015; Kopp et al., 2018; Amin-Wetzel et 343 al., 2017). More recently, the formation of higher-order oligomers or clusters of IRE1 $\alpha$  has been 344 shown to be regulated by BiP during ER stress (Ricci et al., 2019). However, it is unclear how 345 the luminal BiP is recruited to the membrane localized IRE1 $\alpha$ , which is extremely low abundant 346 (Kulak et al., 2014), to regulate IRE1 $\alpha$  oligomerization and activation. Our previous studies have 347 shown that most of the endogenous IRE1 $\alpha$  proteins are in complex with the Sec61 translocon 348 complex (Plumb et al., 2015). In this study, we show that Sec63 is a part of the IRE1 $\alpha$ /Sec61 349 translocon complex. Since Sec63 contains a J domain that is known to recruit and activate BiP 350 to bind onto translocating nascent chains (Matlack et al., 1999), we hypothesized that Sec63 351 recruited BiP might also bind and suppress IRE1a oligomerization and activation. Our 352 interaction studies suggest that the Sec61 translocon bridges the interaction between IRE1a

353 and Sec63. Although Sec62 is known to associate with Sec63, it is not enriched in IRE1 $\alpha$ 354 immunoprecipitates, suggesting that IRE1a selectively interacts with a Sec61 translocon 355 complex that contains Sec63, but not sec62. This is consistent with the depletion of Sec63, but 356 not Sec62, induces the formation of IRE1α clusters upon ER stress. Specifically, the J domain 357 of Sec63 is required for suppressing IRE1a clusters. It is unlikely that IRE1a clustering in Sec63 358 depleted cells is induced by defects in the protein translocation into the ER since Sec62 359 depleted cells display less IRE1 $\alpha$  clusters upon ER stress. This notion is further supported by 360 our observation that the Sec61/Sec63 interaction defective mutants are able to form robust 361 clusters upon ER stress (Sundaram et al., 2017).

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363 Our studies show that increased levels of IRE1a clusters in Sec63 deficient cells lead to 364 a severe defect in attenuation of IRE1 $\alpha$  RNase activity during persistent ER stress. This 365 observation resembles the attenuation defects of IRE1a mutants that cannot efficiently interact 366 with Sec61/Sec63. We envision that such defects in attenuation of IRE1 $\alpha$  signaling may be 367 detrimental to cells burdened with high levels of secretory proteins such as pancreatic beta cells 368 (Back and Kaufman, 2012). Surprisingly, Sec63 mutants that have a functional luminal J-369 domain, but do not interact with the Sec61 translocon also fail to rescue IRE1a attenuation 370 defects in Sec63-/- cells. This result emphasizes that the J-domain containing protein must be 371 close proximal to IRE1a in order to recruit BiP and suppress higher-order oligomerization and 372 RNase activity of IRE1 $\alpha$  during ER stress. This view is further supported by our observation that 373 highly upregulated BiP in Sec63-/- cells cannot inhibit the activation of IRE1α during ER stress. 374 Conversely, the activation of IRE1 $\alpha$  is completely inhibited in Sec62-/- cells during ER stress, 375 likely due to the presence of Sec63 in these cells can efficiently recruit highly upregulated BiP to 376 bind onto IRE1 $\alpha$ .

378 The ER contains seven J-domain containing proteins localized in the ER lumen where 379 they can interact with BiP (Pobre et al., 2019). It is conceivable that other J-domain containing 380 proteins can compensate the J-domain function of Sec63 in Sec63-/- cells or cells expressing 381 IRE1 $\alpha$  mutants that cannot interact with Sec63. Indeed, a small fraction of IRE1 $\alpha$  can be 382 attenuated in Sec63-/- cells, but the majority of IRE1a cannot be inactivated during persistent 383 ER stress. Although our data show that Sec63 plays a major role in attenuating IRE1 $\alpha$  activity 384 during ER stress, our studies do not provide evidence on whether Sec63 controls the initial 385 activation of IRE1a upon ER stress as the depletion Sec63 only partially activates IRE1a under 386 homeostatic conditions. This partial activation of IRE1 $\alpha$  is likely caused by the accumulation of 387 misfolded proteins in the ER lumen since the inhibition of protein synthesis can attenuate IRE1a 388 activity in Sec63 depleted cells (Fedeles et al., 2015).

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Two of our experimental evidence suggest that Sec63 is responsible for recruiting luminal BiP to bind and suppress IRE1 $\alpha$  higher-order oligomerization and RNase activity during ER stress. First, IRE1 $\alpha$  mutants that are deficient in interacting with Sec61/Sec63 show a significantly less binding to BiP. This result also suggests that BiP that binds to IRE1 $\alpha$  is mainly recruited through Sec63 in cells. It is possible that these IRE1 $\alpha$  mutants also disrupt their interaction with other luminal proteins such as other ERdj proteins. However, this is unlikely since the Sec61/Sec63 interacting region is localized in both luminal juxtamembrane and

397 transmembrane regions of IRE1α, which should not interfere with IRE1α luminal domain 398 interaction with soluble luminal proteins. Second biochemical reconstitution experiments with 399 purified proteins suggest that Sec61/Sec63 is sufficient and necessary to mediate BiP binding to 400 IRE1a in the presence of ATP. Although BiP binding to IRE1a/Sec61/Sec63 is persistent in the 401 presence of ATP, but its binding to IRE1 $\alpha$  is not significantly increased compared to the 402 condition without ATP. This is likely due to three times less amount of Sec63 over IRE1a in our 403 in vitro reactions, while the concentration of Sec63 is vastly abundant than IRE1 $\alpha$  in cells (Kulak 404 et al., 2014). Also, the presence of detergent, which is added to keep the membrane proteins 405 soluble, in reactions may disrupt the efficient binding of BiP to IRE1a.

406

407 Since the Sec61 translocon selectively associates with the IRE1 $\alpha$  branch of the UPR. 408 depletion of Sec63 has less effects on activation PERK and ATF6. This is consistent with 409 previous studies that either depletion of Sec63 or Sec61 selectively activated IRE1a (Adamson et al., 2016; Fedeles et al., 2015). However, ATF6 activation is significantly inhibited in Sec63-/-410 cells upon ER stress. Although it is not clear the exact cause for this effect, one explanation is 411 412 that highly upregulated BiP in these cells can effectively suppress ATF6 activation. This notion 413 is supported by our previous studies that the overexpression of recombinant BiP in cells mostly 414 inhibits the activation of ATF6 and IRE1 $\alpha$  but has a little effect on the activation of PERK during 415 ER stress (Sundaram et al., 2018). Furthermore, ATF6 in Sec63-/- cells can be activated using 416 the strong ER stress inducer DTT. Since the attenuation kinetics of ATF6 during ER stress 417 closely resembles IRE1 $\alpha$  in wild type cells, it may associate with an unknown J-domain protein 418 to recruit and bind onto ATF6, thus preventing its translocation into Golgi when ER stress is 419 alleviated.

420

421 Our studies show that IRE1 $\alpha$  tightly associates with Sec61/Sec63 through luminal its 422 juxtamembrane and transmebrane regions. Recent structural studies suggest that Sec63 423 binding to the translocon sterically hinders the ribosome binding to the translocon (Wu et al., 424 2019; Itskanov and Park, 2019). Future studies are warranted to determine whether Sec63 is 425 dissociated from the translocon when the ribosome-nascent chain complex is recruited to the 426 Sec61/IRE1a complex. Intriguingly, a recent study also shows that IRE1a can directly bind to 427 ribosomes (Acosta-Alvear et al., 2018), suggesting that IRE1a forms an intricate complex with 428 the Sec61 translocon-ribosome complex. Future structural and biochemical studies are needed 429 to visualize this complex to understand how IRE1a monitors and controls protein translocation into the ER. 430

431

432

### 433 Materials and methods

434

### 435 Antibodies and Reagents.

436 Many antibodies and reagents have been previously described (Plumb et al., 2015 and

437 Sundaram et al., 2017). Rabbit anti-Sec61α, anti-Sec62, anti-Sec63, and anti-HA antibodies,

438 Sec62 siRNA, Sec63 siRNA were a generous gift from Dr. Ramanujan Hegde (Medical

- 439 Research Council, UK). Antibodies purchased: anti-IRE1α (3294, Cell Signaling, Danvers, MA,
- 440 RRID:AB\_823545), anti-PERK (3192, Cell Signaling, RRID:AB\_2095847), anti-Tubulin

441 (ab7291, Abcam, Cambridge, UK, RRID; AB 2241126), antiXBP1s (658802, BioLegend, 442 RRID:AB 2562960), anti-BiP (3177, Cell Signaling, Danvers, MA), anti-PERK (Cell Signaling 443 #3192, RRID:AB 2095847), anti-ATF6α (Cell Signaling #65880), Anti-mouse Goat HRP (11-444 035-003, Jackson Immunoreserach), anti-rabbit Goat HRP (111-035-003, Jackson Immunoreserach, RRID:AB 2313567), anti-Rabbit Cy3 (711-165-152, Jackson Immuno 445 446 Research). Resins were purchased: anti-HA magnetic beads (88836, Fisher Scientific, 447 Waltham, MA), anti-FLAG (651503, Biolegend), 448 Reagents purchased: DMEM (10–013-CV, Corning, Corning, NY), FBS (16000044. 449 450 Gibco, Gaithersburg, MD), Horse Serum (H0146, Sigma, St Louis, MO), Penicillin/Streptomycin 451 (15140122, Gibco, ), Lipofectamine 2000 (11668019, Invitrogen, Carlsbad, CA), Doxycycline 452 (631311, Clontech, Mountain View, CA), Hygromycin (10687010, Invitrogen), Blasticidin 453 (InvivoGen), Thapsigargin (BML-PE180-0005, Enzo Life Sciences, Farmingdale, New York), Protease inhibitor cocktail (11873580001, Roche), poly-L-lysine (OKK-3056, Peptides 454 455 International), Digitonin (300410, EMD Millipore, Billerica, Massachusetts), Sec62 siRNA 456 (68875, Qiagen), Sec63 siRNA (68711 and 68715, Qiagen), Fluoromount G (0100-01, 457 SouthernBiotech, Birmingham, AL), Phos-tag (300–93523, Wako, Japan), SuperSignal West

- 458 Pico or Femto Substrate (34080 or 34095, Thermo Scientific). All other common reagents were 459 purchased as indicated in the method section.
- 460
- 461

## 462 DNA constructs,

463 For mammalian cell expression, cDNAs were cloned into pcDNA5/FRT/TO (Invitrogen, 464 Carlsbad, CA) (Plumb et al., 2015). Constructs encoding IRE1 $\alpha$ -HA and its mutants were 465 previously described. The TMD of IRE1a was replaced with calnexin TMD to create IRE1a-466 CNX-TMD-HA using the protocol previously described (Volmer et al., 2013). Mouse Sec63 467 plasmid was a kind gift from Dr. Stefan Somlo (Yale School of Medicine). Sec63 truncation 468 constructs,  $\Delta$ 367-760,  $\Delta$ 637-760,  $\Delta$ 230-300, and  $\Delta$ 230-760, were made using phosphorylated 469 primers with the Phusion Site-Directed Mutagenesis protocol. The tripeptide HPD in the J-470 domain was replaced with AAA to create the J-domain mutant of Sec63 using site-directed 471 mutagenesis (Zheng et al., 2004). Rat BiP lacking the N-terminal signal sequence (1-18 amino 472 acids) was cloned into pET-28a (+) using a standard cloning procedure. 3% DMSO was 473 included in all PCR reactions to enhance amplification. The coding regions of all constructs

- 474 were verified by sequencing performed in the Yale Keck DNA Sequencing Facility.
- 475

# 476 Cell culture and stable cell lines

477 HEK 293-Flp-In T-Rex cells (Invitrogen) were cultured in high glucose DMEM containing 10% 478 FBS at 5% CO2. HEK293 IRE1 $\alpha$ -/- cells stably expressing IRE1 $\alpha$ -HA, IRE1 $\alpha$ -CNX-TMD-HA 479 were generated as previously described (Plumb et al., 2015). HEK293 cells stably expressing 480 2xStrep-IRE1 $\alpha$ -FLAG or 2xStrep-IRE1 $\alpha$   $\Delta$ 10-FLAG were previously described (Sundaram et al., 481 2017). To establish HEK293 Sec63-/- cells stably expressing either IRE1 $\alpha$  variants or Sec63 482 variants were created by transfecting with 1.5µg of pOG44 vector (Invitrogen) and 0.5µg of FRT 483 vectors containing IRE1 $\alpha$  or Sec63 using Lipofectamine 2000 (Invitrogen). After transfection,

484 cells were plated in 150 μg/ml hygromycin (Invitrogen) and 10 μg/ml blasticidin (InvivoGen, San

Diego, CA). The medium was replaced every three days until colonies appeared. The colonies

- 486 were picked and the protein expression was evaluated by immunoblotting. We have not tested
- the cell lines used in this study for the presence of mycoplasma, but many cell lines were used
- in immunofluorescence assays with Hoechst staining that should reveal presence of
- 489 mycoplasma. The cells were assumed to be authenticated by their respective suppliers and
- 490 were not further confirmed in this study. However, Sec63 knock out cell lines were verified by
- 491 immunoblotting with anti-Sec63 antibodies.
- 492

# 493 CRISPR/Cas9-mediated knock out cell lines

- 494 IRE1α-/- HEK293-Flp-In T-Rex cells created by CRISPR/Cas9 were previously described
  495 (Plumb et al., 2015). The human Sec63 targeting sequence (5' GTGTATGTGGTATCGTTTA 3')
- 496 or human Sec62 targeting sequence (5' AGTATCTTCGATTCAACTG 3') was cloned into the
- 497 gRNA expression vector (Mali et al., 2013) in order to direct Cas9 nuclease activity. HEK 293-
- 498 Flp-In T-Rex cells were plated in a six-well plate and transfected at 70% confluence with 500 ng
- of the gRNA expression vector and 500 ng of the pSpCas9(BB)-2A-Puro (Ran et al., 2013)
- 500 plasmid with Lipofectamine 2000. Expression of Cas9 was selected by puromycin treatment (2.5
- 501 μg/ml) for 48 hr, after which cells were returned to non-selecting media for 72 hr. Cells were
- 502 then plated at 0.5 cell/well in 96 well plates and expanded for 3 weeks. Individual clones were
- 503 examined for Sec63 or Sec62 by immunoblotting.
- 504

# 505 Immunoprecipitations

- To test the interaction between IRE1 $\alpha$  and the Sec61 translocon complex, 0.8 million HEK 293 506 507 cells were plated on a poly-L-lysine (0.1mg/ml) coated 6 well plate. The cells were transiently 508 transfected with 2µg of HA-tagged or FLAG-tagged constructs using 5µl of lipofectamine 2000 509 and treated with 100 ng/ml doxycycline unless otherwise indicated in the figure legends to 510 induce protein expression. 24 hr after transfection, cells were harvested in 1xPBS and 511 centrifuged for 2 min at 10,000g. The cell pellet was lysed in 200ul of Buffer A (50 mM Tris pH 512 8.0, 150 mM NaCl, 5 mM MgAc) including 2% digitonin by incubating on ice for 30min. The 5% 513 digitonin (EMD Millipore) stock was boiled for 5 min just before adding into Buffer A to avoid 514 digitonin precipitating during IP. The supernatant was collected by centrifugation at 15,000g for 515 15 min. For co-immunoprecipitation, the supernatant was rotated with 12µl anti-FLAG-agarose 516 (Biolegend) or 15µl anti-HA magnetic beads (Thermo Scientific) for 1h 30min in the cold room. The beads were washed 3x with 1 ml of Buffer A including 0.1% digitonin. The bound material 517 518 was eluted from the beads by directly boiling in 50 µl of 2x SDS sample buffer for 5 min and analyzed by immunoblotting. 519
- 520 To test the interaction between BiP and IRE1 $\alpha$ , 0.8 million cells were plated on a 6 well 521 plate and transiently transfected with  $2\mu g$  of IRE1 $\alpha$  or its variants. The cells were washed and 522 harvested in 1xPBS and centrifuged for 2 min at 10,000g. The cell pellet was lysed in either 523 200ul of Buffer A including 2% digitonin or NP40 buffer (50 mM Tris, pH 7.5, 150 mM NaCl, 524 0.5% deoxycholic acid, and 0.5% NP40). Apyrase (10 U/ml) and 10 mM CaCl2 were included in 525 both buffers and incubated for 30 min on ice. The cell lysate was centrifuged at 15,000g for 15 526 min. The supernatant was incubated with anti-HA magnetic beads (Thermo Scientific) for 1h 30 527 min in the cold room. The beads were washed 3 times with either 1ml of Buffer A including 0.1% 528 digitonin or 1 ml of NP40 buffer and eluted by directly boiling in 50 µl of 2x SDS sample buffer

for 5 min and analyzed by immunoblotting. In Figure 4B, HEK293 IRE1α-/- cells complemented
 with IRE1α-HA or IRE1α CNX-TMD-HA were plated as above and induced with 1ng of
 Doxycycline for overnight. The cells were harvested, immunoprecipitated, and analyzed as

532 above.

533

#### 534 Purification of the IRE1 $\alpha$ /Sec61/Sec63 complex, IRE1 $\alpha$ $\Delta$ 10, and BiP

535 The IRE1 $\alpha$ /Sec61/Sec63 complex and IRE1 $\alpha$  $\Delta$ 10 were purified as described previously 536 (Sundaram et al., 2017). Briefly, microsomes were prepared from HEK293 cells stably 537 expressing either 2xStrep IRE1α-FLAG or 2xStrep IRE1α Δ10-FLAG as described previously. 538 2ml of microsomes (OD280 = 50) were lysed with an equal volume of lysis buffer (50 mM Tris 539 pH8, 600 mM NaCl, 5 mM MqCl2, 2% digitonin (boiled prior to use) 1x protease inhibitor cocktail 540 and 10% glycerol) by incubating 30min on ice. The lysates were centrifuged at 25 000g for 25 541 min at 4°C. Supernatant was collected and passed through a column packed with 1ml of 542 compact StrepTactin beads (IBA, Germany) by gravity flow. Flow-through was collected and 543 beads were washed with 6x 1ml of wash buffer (50 mM Tris pH 8.0, 150 mM NaCl, 5 mM 544 MqCl2, 10% Glycerol and 0.1% digitonin), 2xStrep IRE1α or IRE1α Δ10-FLAG was eluted from 545 the beads using 20 mM desthiobiotin (EMD Millipore) included in the wash buffer. The 546 desthiobiotin eluted material was further purified by passing through a cation exchange 547 chromatography (SP Sepharose beads, GE Healthcare). Briefly beads were prepared in a 2 ml 548 Bio-Rad column and washed 5x using no salt buffer (20 mM Tris pH 6.0, 2 mM MgAc and 0.4% 549 DBC). Purified protein was diluted 5x with no salt buffer and pass-through the S-column. Beads 550 were washed 5x column volume with no salt buffer and eluted with 500 mM NaCl buffer (50 mM 551 Tris pH8, 2 mM MqAc, 10% glycerol, and 0.4% DBC). BiP that is bound to IRE1α is mostly 552 removed by this step because BiP does not bind to a cation exchange resin. Purified IRE1 $\alpha$ /Sec63/Sec61 or IRE1 $\alpha$   $\Delta$ 10 were subjected to coomassie staining and guantified using 553 554 BSA standards (Sigma).

555

556 The pET-28a (+) plasmid encoding N-terminally 6X His-tagged rat BiP lacking the N-557 terminal signal sequence was expressed and purified from E. coli as descripted by Amin-Wetzel 558 et al., 2017. Briefly, pET-28a (+) His-BiP was transformed into BL21 Rosetta (DE3) cells. The 559 overnight culture of His-BiP was inoculated into fresh liquid LB and grown to OD600 of ~ 0.8 at 560 37°C. The culture was cooled down to 18°C and induce with 0.5mM imidazole. After 16h 561 induction, the cells were harvested and resuspended with buffer A (50 mM Tris pH7.4, 500 mM NaCl, 10% glycerol, 1 mM MgCl2, 0.2% (v/v) Triton X-100, 20 mM imidazole). The suspension 562 563 was passed through the high-pressure homogenizer for 4 times. The lysate was spun at 564 35000rpm for 40min at 4°C using Ti45 rotor. The supernatant was incubated with the prewashed 2mL of Ni-NTA beads and washed with 20ml of Buffer B (50 mM Tris pH 7.4, 500 565 mM NaCl, 10% glycerol, 1 mM MgCl2, 0.2% (v/v) Triton X-100, 30 mM imidazole). 566 567 Subsequently, the column was washed with 10ml of Buffer C (50 mM Tris pH 7.4, 1M NaCl, 568 10% glycerol, 5 mM MgCl2, 1% (v/v) Triton X-100, 30 mM imidazole, 5mM ATP) and further 569 washed with 10ml of Buffer D (50 mM Tris pH 7.4, 500 mM NaCl, 10% glycerol, 1 mM MgCl2, 570 30 mM imidazole). The bound proteins were eluted with Buffer E (50 mM Tris pH 7.4, 500 mM 571 NaCl, 10% glycerol, 1 mM MgCl2, 250 mM imidazole). The peak fractions containing BiP was

pooled and dialyzed against Buffer F (50 mM Tris pH 7.4, 150 mM NaCl, 10% glycerol, 5 mM
 MgCl2, 1mM CaCl2). The purified proteins were flash frozen and stored at -80°C.

574

#### 575 In vitro reconstitution of Sec61/Sec63-mediated BiP binding to IRE1α

576 IRE1α binding to BiP was adapted from Amin-Wetzel et al., 2017 with the following

577 modifications. 12µl of Anti-FLAG beads was incubated with either 0.15µg of the 2X Strep-578 IRE1α-FLAG/Sec61/Sec63 complex or 0.15µg of 2X Strep-IRE1g ∆10-FLAG in 500µl of wash 579 buffer (50 mM Tris pH 8.0, 150 mM NaCl, 10 mM MgCl2, 0.4% DBC) for 1h at 4°C. The beads 580 were washed twice with 1ml of wash buffer. IRE1 $\alpha$  bound beads were resuspended with 50ul of 581 binding buffer (50 mM Tris pH 8.0, 150 mM NaCl, 10 mM MgCl2, 1mM CaCl2, 0.1% Triton X-582 100) including either BiP (1µg) and ATP (2mM). A negative control reaction was performed by 583 incubating empty anti-FLAG beads with the buffer, BiP, and ATP. After incubation at 32°C for 30 584 min, the beads were quickly washed with ice-cold wash buffer including 2mM ADP. The wash 585 was repeated one more time with wash buffer excluding ADP. The bound proteins were eluted 586 from beads 50µl of 2X SDS sample buffer and analyzed by immunoblotting. We used the 587 following protocol to BiP binding to IRE1 $\alpha$  in Figure 4 – figure supplement 2. 0.15 $\mu$ g of the 2X 588 Strep-IRE1α-FLAG/Sec61/Sec63 complex or 0.15μg of 2X Strep-IRE1α Δ10-FLAG was 589 incubated with and without 5µg BiP in 50ul of binding buffer (50 mM Tris pH 8.0, 100 mM NaCl, 10 mM MgCl2, 1mM CaCl2, 2mM ATP, 0.2% DBC) for 30min at 32°C. A negative control 590 591 reaction was performed by mixing the buffer, BiP, and ATP. The reactions were terminated by

592 diluting with ice-cold NP40 buffer (50 mM Tris, pH 7.5, 150 mM NaCl, 0.5% deoxycholic acid,

and 0.5% NP40) and incubated with 12μl of anti-FLAG beads for 1h 30min at 4°C. After

incubation, the beads were washed twice with 1ml of NP40 buffer. The bound proteins were

eluted by boiling beads with  $50\mu$ l of SDS sample buffer and analyzed by immunoblotting.

## 596

#### 597 Immunofluorescence

598 HEK293 IRE1 $\alpha$ -/- cells stably complemented with IRE1 $\alpha$ -HA (0.12 X 10<sup>6</sup>) were plated on 12 mm 599 round glass coverslips (Fisher Scientific) coated with 0.1 mg/mL poly-lysine for 5 hr in 24-well 600 plates. For Figure 2A, the cells expressing IRE1α-HA were transfected with either 20 pmole of 601 Sec62 siRNA or Sec63 siRNA using 2ul of lipofectamine 2000 and induced with 5ng/ml of doxycycline to induce IRE1 $\alpha$  expression. After 30 hr of transfection, cells were treated with 5 602 µg/ml of thapsigargin (Tg) for 1.5 h before fixing and immunostaining as described previously 603 (Sundaram et al., 2017). For Figure 2D, HEK293 IRE1α-/- cells stably expressing either WT 604 605 IRE1α-HA or IRE1α CNX-TMD-HA were induced with 5ng/ml doxycycline and treated with 5 606 µg/ml of Tm or Tg for the indicated time points. The treated cells were fixed and processed for 607 immunostaining. For Figure 2F, the cells expressing IRE1 $\alpha$ -HA were transfected with 0.1 $\mu$ g of Sec63 or Sec63 HPD/AAA using 1μl of lipofectamine 2000. IRE1α expression was induced with 608 doxycycline (5ng/ml) for 16 hr before treatment with 5 µg/ml Tg for 1.5 h followed by fixed and 609 610 immunostained with anti-HA antibodies for IRE1 $\alpha$ . The cells were imaged on Leica scanning 611 confocal microscope and IRE1a clusters were quantified as previously described (Sundaram et 612 al., 2017) with the following modifications. For each condition, we randomly chose at least 10 613 fields-of-view and took images. First, we identified the total number of cells per frame by 614 manually counting Hoechst-stained nuclei. We counted more than 300 cells from the 10 images

- of each condition and looked for cells with IRE1α clusters. Of those cells, we calculated the
- 616 percentage of cells with IRE1α clusters. Data was graphed using GraphPad Prism and
- 617 represented with standard error of the mean from two independent experiments.
- 618

#### 619 XBP1 mRNA splicing assay

- 620 Total RNA was extracted from cells using Trizol reagent (Ambion) according to the
- 621 manufactures protocol. 2µg of total RNA was treated with 1U/ul DNase I (Promega). 0.5µg of
- 622 DNAse-treated RNA was reverse transcribed into cDNA using Oligo(dT)20 primer (Qiagen) and
- 623 M-MLUV reverse transcriptase (NEB). cDNA was amplified by standard PCR with TaqDNA
- 624 polymerase using the primers: 5'-AAACAGAGTAGCAGCTCAGACTGC -3', 5'-
- 625 TCCTTCTGGGTAGACCTCTGGGAG -3' (Calfon et al., 2002). PCR products of XBP1 were
- resolved by 2% agarose gel and stained with ethidium bromide. The intensities of DNA bands
- 627 were quantified on image analyzer (Image J, NIH).
- 628

#### 629 Phostag-based immunoblotting

- Typically, 0.15 X 10<sup>6</sup> cells were plated on 24 well poly-lysine coated plates. The following day,
- 631 cells were treated with 5µg/ml Tg for various time points indicated in Figure 3. The cells were
- directly harvested in 100 ul of 2X sample buffer and boiled for 5 to 10 minutes. IRE1 $\alpha$
- 633 phosphorylation was detected by the previously described method (Yang et al., 2010). Briefly,
- $\,$  5% SDS PAGE gel was made using 25  $\mu M$  Phos-tag (Wako). SDS-PAGE was run at 100 V for
- 635 2 hr and 30 min. The gel was transferred to nitrocellulose (Bio-Rad, Hercules, CA) and followed
- 636 with immunoblotting. The intensities of the Phos-tag bands were quantified on image analyzer
- 637 (Image J, NIH). To probe the phosphorylation of PERK, the samples were run on a 7.5%
- Tris/Tricine gel for 2 h and 30 min and transferred to nitrocellulose membrane and blotted usinga standard procedure.
- 640

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- 645

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#### 874

#### Figure 1. The Sec61 translocon bridges the interaction between IRE1α and Sec63

(1) A diagram showing the Sec61 translocon interaction region in IRE1α. Triangle depicts amino
 acid residues of IRE1α that are important for the interaction with the Sec61 translocon (Plumb et
 al., 2015). (B) The cell lysates of the indicated versions of HA-tagged IRE1α were

- immunoprecipitated using an anti-HA antibody, eluted with sample buffer, and analyzed by
- immunoblotting. IRE1 $\alpha$   $\Delta$ 10 lacks amino acids 434 to 443 in human IRE1 $\alpha$ . IRE1 $\alpha$  VD/AA, L/A,
- and M/A are mutations within the 10-amino acid region shown in panel A. The TMD of IRE1 $\alpha$  is
- replaced with the TMD of calnexin in the IRE1 $\alpha$  CNX-TMD construct. (**C**) HEK293 or HEK293 Sec63-/- cells were transfected with either wild type IRE1 $\alpha$ -HA or IRE1 $\alpha$   $\Delta$ 10-HA and
- immunoprecipitated using an anti-HA antibody and eluted with sample buffer. The
- immunoprecipitates were analyzed by immunoblotting for the indicated antigens. The amount of
- 886 IRE1 $\alpha$  bound to Sec61 $\alpha$  was taked as 100%. (**D**) The cell lysates from FLAG-tagged wild type
- (WT) Sec63, the J-domain mutant, and  $\Delta 230-300$  were immunoprecipitated using an anti-FLAG
- antibody and immunoblotted for the indicated antigens. (E) Sec63-/- cells complemented with
- 889 Sec63-FLAG were either treated with DMSO, 5ug/ml thapsigargin (Tg) or 5ug/ml tunicamycin
- (Tm) for 4h. The DTT treatment was done with 4mM for either 4h or 8h. Sec63 was
   immunoprecipitated from these cell lysates and immunoblotted for the indicated antigens. The
- $R_{892}$  amount of IRE1 $\alpha$  bound to Sec63 from DMSO treated sample was set as 100%. The
- $rac{1}{2}$  anound of RE1 $\alpha$  bound to Secos non Dirico freated sample was set as 100 %. The percentage of IRE1 $\alpha$  bound to Secos upon Tg, Tm, or DTT treated sample was calculated with
- 894 respect to the DMSO treated sample.
- 895

### 896 Figure 1 – figure supplement 1. IRE1α interacts with Sec63 through the Sec61 translocon

(A) A diagram showing the topology of Sec63. (B) The cell lysates of the indicated versions of
FLAG-tagged Sec63 were immunoprecipitated with anti-FLAG beads and analyzed by
immunoblotting for the indicated antigens. The HPD tripeptide in the J-domain was replaced
with AAA to create the J-domain mutant of Sec63.

901

### 902 Figure 2. Sec63 inhibits IRE1α clustering during ER stress in cells.

903 (A) HEK293 IRE1 $\alpha$ -/- cells complemented with IRE1 $\alpha$ -HA were transfected with either control, 904 Sec63, or Sec62 siRNA. The expression of IRE1 $\alpha$ -HA was induced with 5ng/ml doxycycline. 905 After 30h of transfection, the cells were either left untreated or treated with 5µg/ml Tg for 1.5h and processed for immunostaining with anti-HA antibodies for IRE1 $\alpha$ . Scale bars are 10  $\mu$ m. (B) 906 907 Quantification of the number of cells with IRE1a clusters from the panel A. Error bar represents 908 standard deviation (n=2). (C) HEK293 IRE1 $\alpha$ -/- cells were treated with the indicated siRNAs. 909 After 30h of transfection, the cells were harvested and analyzed by immunoblotting for the 910 indicated antigens. (D) HEK293 IRE1 $\alpha$ -/- cells expressing either IRE1 $\alpha$ -HA or IRE1 $\alpha$ -CNX-TMD-911 HA were induced with 5ng/ml doxycycline and treated with either Tg or Tm for the indicated time 912 points. The cells were then processed for immunostaining as in A. (E) The number of cells with 913 IRE1 $\alpha$  clusters were quantified from the panel D. Error bar represents standard deviation (n=2). 914 (F) HEK293 IRE1 $\alpha$ -/- cells expressing IRE1 $\alpha$ -HA were transfected with either empty vector, wild 915 type (WT) Sec63 or the J-domain mutant of Sec63. The expression of IRE1α-HA was induced 916 with 5ng/ml doxycycline. After 24h of transfection, the cells were either left untreated or treated 917 with 5µg/ml Tg for 1.5h and subsequently processed for immunostaining with anti-HA antibodies

- 918for IRE1α. (G) Quantification of the number of cells with IRE1α clusters from the panel F. Error919bar represents standard deviation (n=2) (H) The cells were transfected as in the panel F, but
- 920 analyzed by immunoblotting for the indicated antigens.
- 921

# Figure 3. The J domain of Sec63 is essential for attenuating IRE1α activity during ER stress in cells.

- 924 (A) Wild type HEK293 or Sec63-/- cells were treated with  $5\mu g/ml$  of Tg for the indicated time
- points and analyzed by immunoblotting as well as the XBP1 mRNA splicing assay. p-IRE1 $\alpha$
- 926 denotes the phosphorylated form of IRE1 $\alpha$ , which migrates slower in the phos-tag
- 927 immunoblotting. The percentage of IRE1α phosphorylation is shown underneath phos-tag
- 928 immunoblots. The percentage of spliced XBP1 mRNA is shown underneath agarose gels.
- 929 XBP1u Unspliced XBP1 mRNA, XBP1s spliced XBP1 mRNA. (B) Sec63-/- cells
- 930 complemented with either WT or the J-domain mutant of IRE1 $\alpha$  were treated and analyzed as in
- 931 the panel A. (C) HEK293 IRE1 $\alpha$ -/- cells stably complemented with either WT IRE1 $\alpha$  or IRE1 $\alpha$ -
- 932 CNX-TMD were treated with 5µg/ml of Tg for the indicated time points and analyzed by
- 933 immunoblots as well as the XBP1 mRNA splicing assay.
- 934

# Figure 3 – figure supplement 1: Sec63 but not Sec62 is required for attenuating IRE1α RNase activity during ER stress.

- 937 (A) HEK293 cells were treated with either control, Sec62, or Sec63 siRNA for the indicated time
   938 points and analyzed by immunoblotting for the indicated antigens. IRE1α phosphorylation was
   939 probed by phos-tag-based immunoblotting. The percentage of IRE1α phosphorylation is shown
   940 underneath phos-tag immunoblots. (B) Wild type HEK293 or Sec63-/- cells were treated with
- 940 5µg/ml of Tm for the indicated time points and analyzed by immunoblotting for the indicated
- antigens. (C) Sec63-/- cells complemented with either WT or the J-domain mutant of IRE1α
- 943 were treated with 5µg/ml of Tg for the indicated time points and analyzed by immunoblotting.
- 944 The percentage of IRE1α phosphorylation is shown underneath phos-tag immunoblots. (**D**)
- 945 HEK293 or Sec63-/- cells were transiently transfected with the indicated versions of Sec63 and
- 946 treated with 5µg/ml of Tg for the indicated time points. The treated cells were directly harvested
- 947 in SDS sample buffer and analyzed by immunoblotting for the indicated antigens. (E) Wild type
- 948 HEK293 or Sec62-/- cells were treated with 5µg/ml of Tg for the indicated time points and
- analyzed by the immunoblotting for the indicated antigens.
- 950 951

# Figure 3 – figure supplement 2. The effects of Sec63 depletion on activation of PERK and ATF6 during ER stress.

- 954 (A) The samples from Figure 3A were analyzed by immunoblotting for the indicated antigens.
- 955 (B) The samples from Figure 3B were analyzed by immunoblotting for the indicated antigens.
- 956 (C) HEK293 or Sec63-/- cells were treated with DTT for the indicated time points with the
- 957 indicated concentrations. The cells were directly harvested in SDS sample buffer and analyzed
- 958 by immunoblotting for the indicated antigens.
- 959

# 960 Figure 4. Biochemical reconstitution of Sec61/Sec63-mediated BiP binding to IRE1α.

961 (A) HEK293 cells were transiently transfected with either IRE1 $\alpha$ -HA or its variants and 962 immunoprecipitated using an anti-HA antibody and analyzed by immunoblotting. BiP binding to 963 wild type IRE1 $\alpha$  was set as 100%, and the percentage of BiP binding to IRE1 $\alpha$  mutants were 964 calculated with respect to wild type IRE1 $\alpha$ . (B) HEK293 IRE1 $\alpha$ -/- cells stably expressing IRE1 $\alpha$ -965 HA or IRE1α-CNX-TMD-HA were treated with DMSO for 2h, 4mM DTT for 2h, 10μg/ml Tg for 966 2h, or 5µg/ml TM for 4h. The treated cells were harvested and analyzed as in A. The amount of 967 Sec63 bound to wild type IRE1 $\alpha$  from DMSO treated sample was set as 100%. The percentage 968 of Sec63 bound IRE1α upon DTT, Tg, or Tm treated sample was calculated with respect to wild 969 type IRE1 $\alpha$  treated with DMSO. (C) A coomassie blue stained ael showing the purified 970 IRE1α/Sec61/Sec63 complex or IRE1αΔ10 from HEK293 cells stably expressing either 2xStrep-971 IRE1 $\alpha$ -FLAG or 2xStrep-IRE1 $\alpha$   $\Delta$ 10-FLAG. (**D**) A coomassie blue stained gel showing purified 972 His-BiP from E. coli. (E) The purified IRE1 $\alpha$ /Sec61/Sec63 complex, IRE1 $\alpha$ Δ10 was bound to 973 anti-FLAG beads and incubated with or without BiP in the presence or absence of ATP as 974 shown. After incubation, IRE1α bound anti-FLAG beads were washed, eluted with sample 975 buffer. A negative control reaction was performed by incubating empty anti-FLAG beads with 976 the buffer, BiP, and ATP. The samples were analyzed by immunoblotting for the indicated 977 antigens. BiP bands were quantified and presented as arbitrary units (a.u) after subtracting the 978 buffer background.

979

#### 980 Figure 4 – figure supplement 1. Sec61/Sec63-mediated BiP binding to IRE1α.

981 (A, B) HEK293 Sec63-/- cells complemented with wild type Sec63 were transiently transfected 982 with either IRE1 $\alpha$ -HA or its variants. The cell lysates were prepared either using the buffer 983 containing NP40/deoxycholate or digitonin, followed by immunoprecipitation with an anti-HA 984 antibody and analyzed by immunoblotting for the indicated antigens. (C) HEK293 cells were co-985 transfected with IRE1α-HA and empty vector or Sec63-FLAG or J-domain mutant of Sec63-986 FLAG. The cell lysates were immunoprecipitated with an anti-HA antibody and analyzed by 987 immunoblotting for the indicated antigens. The amount of BiP binding to IRE1α that was co-988 transfected with empty vector was taken as 100%. (D) The purified IRE1a/Sec61/Sec63 989 complex or IRE1 $\alpha\Delta$ 10 was incubated with or without BiP in the presence ATP. After incubation, 990 IRE1a was immunoprecipitated using anti-FLAG beads. A negative control reaction was 991 performed by mixing the buffer, BiP, and ATP, followed by immunoprecipitation with anti-FLAG 992 beads. The samples were analyzed by immunoblotting for the indicated antigens. Note that 993 IRE1 $\alpha\Delta$ 10 contains a residual amount of the Sec61 translocon (long exposure blot), suggesting 994 that weak binding between BiP and IRE1 $\alpha$   $\Delta$ 10 may be due to the presence of a small amount 995 of Sec61/Sec63 in the sample. BiP bands were quantified and presented as arbitrary units (a.u) 996 after subtracting the buffer background.

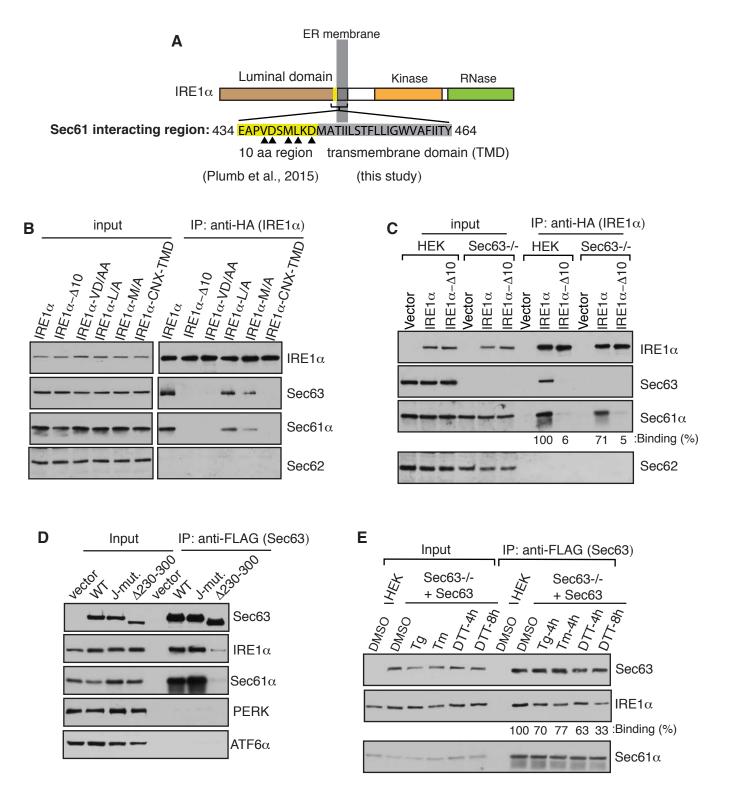
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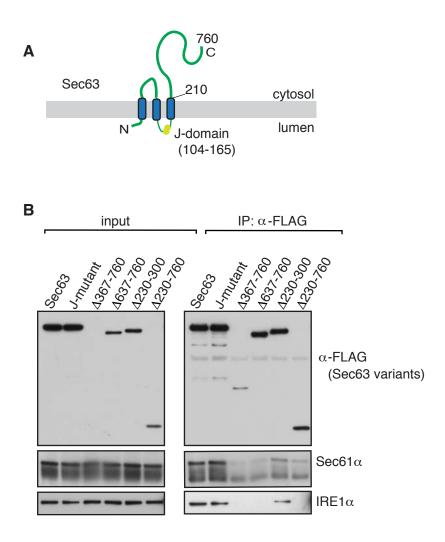
# Figure 5. A model of the Sec61/Sec63/BiP complex-mediated regulation of IRE1α signaling.

(A) Step 1: The Sec61 translocon-associated Sec63 recruits and activates BiP ATPase via its
 luminal J-domain to bind onto IRE1α, thus preventing inappropriate activation of IRE1α under
 homeostatic conditions. Step 2: Upon ER stress, IRE1α is oligomerized and activated because
 Sec63 cannot efficiently recruit BiP, which is sequestered by misfolded proteins. The activated
 IRE1α mediates the splicing of the XBP1u mRNA that is bound to the Sec61 translocon through

its ribosome-nascent chain. Step 3: During prolonged ER stress, the level of BiP is significantly increased in the ER lumen. Hence, Sec63 can efficiently recruit and activate BiP to bind onto IRE1a to inhibit the oligomerization and activity of IRE1a. (B) Step 1: IRE1a can be partially activated if it either fails to interact with the Sec61/Sec63 complex or in Sec63 depleted cells. Step 2: Upon ER stress, IRE1a quickly forms higher-order oligomers or clusters, leading to hyper activation of IRE1 $\alpha$ . Step 3: During prolonged ER stress, BiP cannot be efficiently recruited to inhibit IRE1α higher-order oligomerization in the absence of Sec63 despite the presence of excess BiP in the ER lumen. This leads to a severe defect in attenuation of IRE1a during persistent ER stress. 

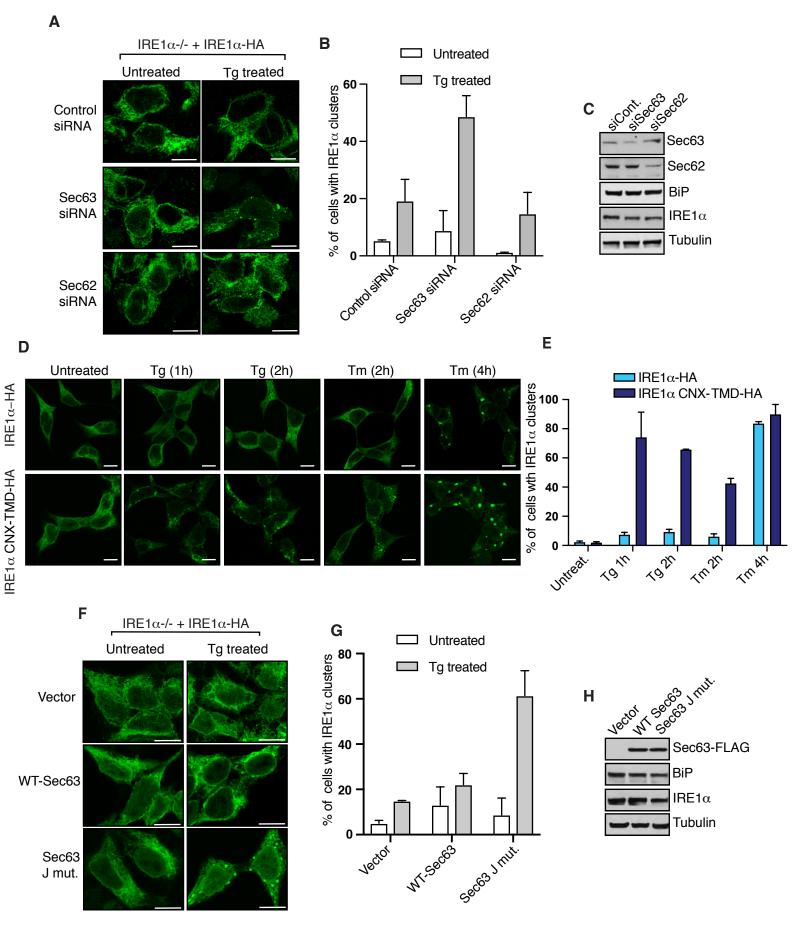
#### Li et al., Figure 1

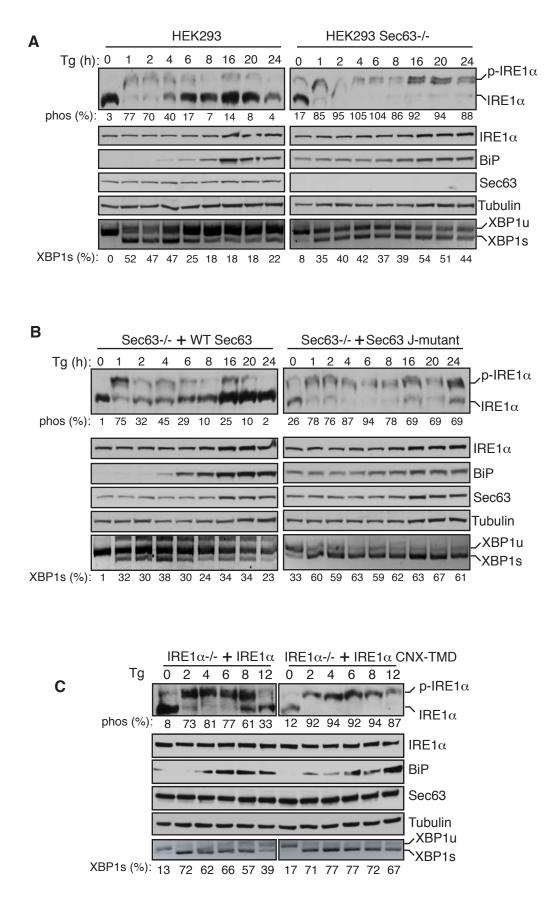




#### Li et al., Figure 2

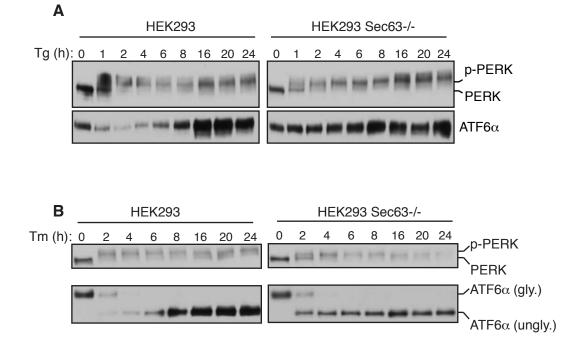
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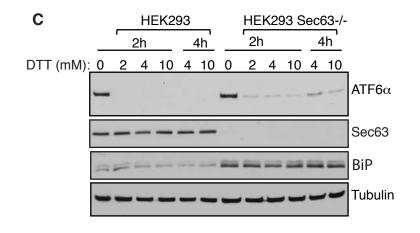




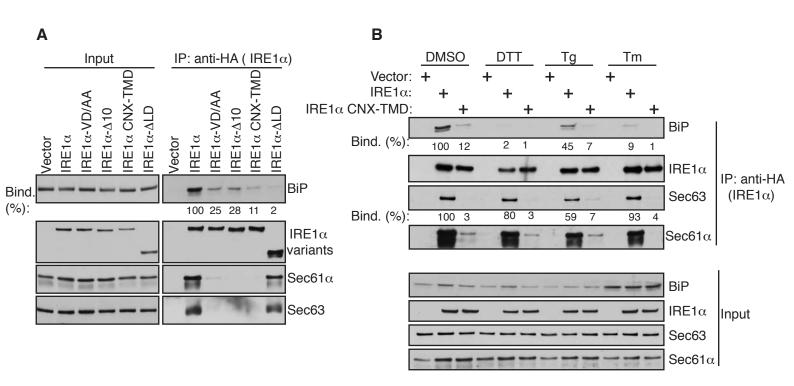
В Α 30h 48h 72h 10002 **HEK293** HEK293 Sec63-/siCont Sicor Tm (h): 0 20 24 0 2 6 8 16 20 2 4 6 8 16 4 24 ,p-IRE1α \_p-IRE1α IRE1α phos (%): 51 59 45 12 35 50 59 57 56 61 57 5 12 4 18 21 ·IRE1α  $IRE1\alpha$ 6 22 19 :phos. (%) 3 8 7 13 11 7 BiP Sec62 Sec63 Sec63 Tubulin Tubulin Sec63-/-Sec63-/-Sec63-/-D С + + + Sec63-/-Sec63-/-HEK J-mut.  $\Delta 367-760$ Δ637-760 + Sec63 J mut. + Sec63 Tg (h): 0 2 0 2 8 0 2 8 0 2 8 8 2 Tg (h): 0 2 4 6 4 6 8 Ω 8 1 p-IRE1α .p-IRE1 IRE1α IRE1 phos. (%): 53 49 39 30 28 47 84 84 81 81 80 2 % of phos .: 5 66 24 19 44 58 21 69 69 35 76 68 IRE1α IRE1α XBP1s BiP XBP1s Sec63 Tubulin  $\alpha$  -FLAG (Sec63 variants) Ε **HEK293 HEK293** Sec62-/-Tg (h): 0 2 6 2468 1 4 8 0 1 ,p-IRE1α IRE1α phos. (%): 5 63 50 33 31 29 0 5 2 6 1 4 Sec62 IRE1α BiP Sec63

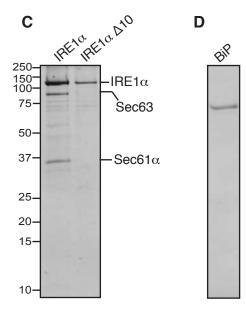
Tubulin



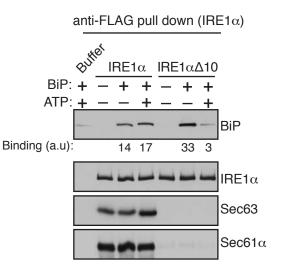


Li et al., Figure 4

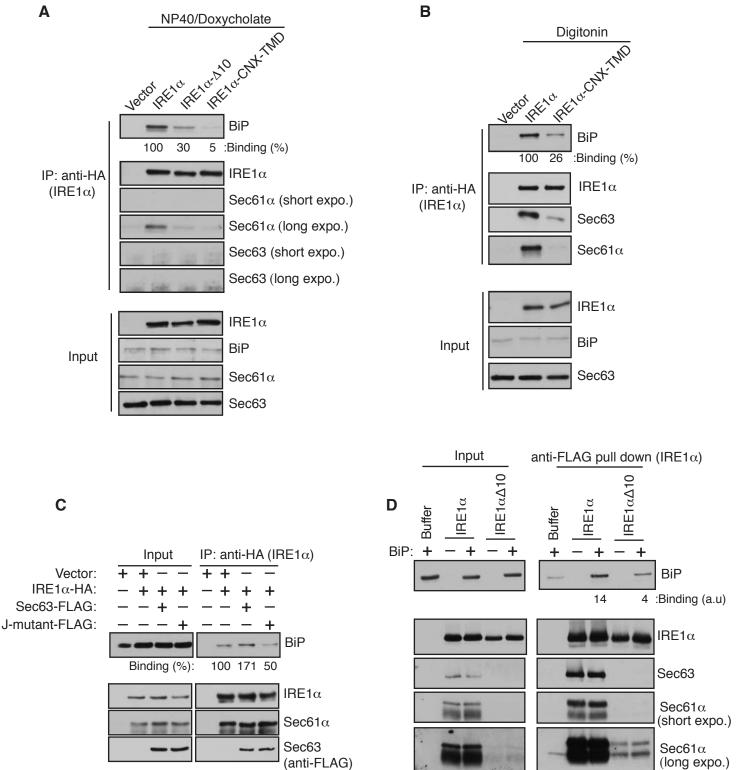




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(long expo.)

Li et al., Figure 5

