Identity-by-descent relatedness estimates with uncertainty characterise departure from isolation-by-distance between *Plasmodium falciparum* populations on the Colombian-Pacific coast

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Keywords: malaria; *Plasmodium falciparum*; relatedness; identity-by-descent; molecular epidemiology;
 spatial connectivity; isolation-by-distance

18 Abstract

Characterising connectivity between geographically separated biological populations is a common goal in 19 many fields. Recent approaches to understanding connectivity between malaria parasite populations, with 20 implications for disease control efforts, have used estimates of relatedness based on identity-by-descent (IBD). 21 However, uncertainty around estimated relatedness has not been accounted for to date. IBD-based relat-22 edness estimates with uncertainty were computed for pairs of monoclonal Plasmodium falciparum samples 23 collected from five cities on the Colombian-Pacific coast where long-term clonal propagation of *P. falciparum* 24 is frequent. The cities include two official ports, Buenaventura and Tumaco, that are separated geographically 25 but connected by frequent marine traffic. The fraction of highly-related sample pairs (whose classification 26 accounts for uncertainty) was greater within cities versus between. However, based on both the fraction 27 of highly-related sample pairs and on a threshold-free approach (Wasserstein distances between parasite 28 populations) connectivity between Buenaventura and Tumaco was disproportionally high. Buenaventura-29 Tumaco connectivity was consistent with three separate transmission events involving parasites from five 30 different clonal components (groups of statistically indistinguishable parasites identified under a graph theo-31 retic framework). To conclude, P. falciparum population connectivity on the Colombian-Pacific coast abides 32 by accessibility not isolation-by-distance, potentially implicating marine traffic in malaria transmission with 33 opportunities for targeted intervention. Further investigations are required to test this and alternative hy-34 potheses. For the first time in malaria epidemiology, we account for uncertainty around estimated relatedness 35 (an important consideration for future studies that plan to use genotype versus whole genome sequence data 36 to estimate IBD-based relatedness); we also use a threshold-free approach to compare parasite populations, 37 and identify clonal components in a statistically principled manner. The approaches we employ could be 38 adapted to other recombining organisms with mixed mating systems, thus have broad relevance. 39

Introduction 40

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In many research fields genetic data are used to help characterise connectivity between geographically dis-41 parate biological populations, with numerous applications in conservation, agriculture, and public health. 42

Patterns of genetic similarity between pathogen populations help us understand how the disease spreads. 43

Patterns of relatedness (a measure of genetic similarity) between malaria parasites in different human pop-44

ulations, for instance, help characterise the connectivity between them, thus guide the design of targeted 45

public health interventions [1]. 46

Several methods are employed to measure genetic similarity and thus characterise connectivity. Phylo-47 genetic methods, in which genetic distances between individuals are measured in units of mutation [2], are 48 most applicable to rapidly mutating organisms that do not recombine (e.g. RNA viruses) [3]. Studies of re-49 latedness, in which relatedness is a measure of probability of identity-by-descent (IBD) between individuals, 50 are applicable to organisms that do recombine (e.g. malaria parasites). Population genetic parameters of 51 allelic variation (e.g. F_{ST}) are applicable to all organisms (those that do and do not recombine), but do not 52 generate measures of genetic distance or similarity on an inter-individual level, thus provide less granularity. 53 Moreover, among recombining organisms, inter-population allelic variation tends to accumulate more slowly 54 than inter-individual variation in IBD [4]. As such, analyses of relatedness can sometimes nearby and recent 55 connectivity where analyses of F_{ST} cannot [5]. 56 Malaria parasites are protozoan parasites that undergo an obligate stage of sexual recombination in the 57 mosquito midgut. Like many organisms (e.g. many plants [6,7]), malaria parasites have a mixed mating 58

system that encompasses both inbreeding and outcrossing. The extent to which malaria parasites outcross depends on transmission intensity and is not fully understood [8]. In any event, for outcrossing to occur 60 a mosquito must ingest genetically distinct gametocytes. Humans can be infected by multiple genetically distinct parasite clones that are either co-transmitted via inoculation from a single mosquito, in which case 62 they are likely recombinants so inter-related, or transmitted independently by multiple mosquitoes, in which 63

case the parasite clones are likely unrelated [9, 10]. The latter can occur in a setting where the entomological 64 inoculation rate is high; recent work suggests co-transmission is important in both low and high transmission 65 settings [10]. 66

Malaria genomic epidemiology studies of connectivity are increasingly common, especially in the context 67 of public health and using genotype (versus whole genome sequence) data [5, 11–14]. Using IBD-based 68 relatedness but not F_{ST} , evidence of isolation-by-distance among *P. falciparum* populations along a 100 69 km stretch of the Thailand-Myanmar border was found [5]. This study was based, in part, on analyses of 70 monoclonal P. falciparum samples genotyped at 93 single nucleotide polymorphisms (SNPs). Based on F_{ST} 71 estimated using P. falciparum samples genotyped at 250 SNPs, a different study found evidence of departure 72 from isolation-by-distance among P. falciparum populations along a 500 km stretch of the Colombian-Pacific 73 coast where transmission is mixed (low and high in some regions) and outcrossing limited [11,15]. Departure 74 from isolation-by-distance on the Colombian-Pacific coast was based on F_{ST} alone [11]. In the current study, 75 we re-explore departure from isolation-by-distance with more granularity using IBD-based relatedness. For 76 the first time in malaria epidemiology, we account for uncertainty in relatedness estimates; we also use a 77 threshold-free approach to compare parasite populations, and identify clonal components in a statistically 78 principled manner. The original study [11] and our response to it are described in more detail below. 79

Malaria epidemiology in Colombia is associated with a multitude of ecological, evolutionary and social 80 factors (Table A.1), including human migration due to deforestation, illegal crops, gold mining [16–20], and 81 the mass emigration of people fleeing the humanitarian crisis in Venezuela [21–24]. Understanding the in-82 terplay between e.g. migration, parasite population connectivity and the spread of antimalarial resistance 83 is critical [16, 18]. In preparation for studies of resistance, Echeverry et al. genotyped P. falciparum sam-84 ples from four provinces on the Colombian-Pacific coast [11]. Clonality, population structure and linkage 85 disequilibrium (LD) were characterised using a suite of population genetic analyses. The results were highly 86 informative: the vast majority of successfully genotyped P. falciparum samples were deemed monoclonal 87 (325 of 400) with a strong association between clonality and incidence. Among the 325 monoclonal samples, 88 136 unique haploid multilocus genotypes (MLGs) were identified using relatedness based on identity-by-state 89 (IBS), which is a correlate of IBD [25] (and has been used elsewhere to characterise connectivity between 90 nearby malaria parasite populations [12–14]). Of the 136 MLGs, 44 infected two or more patients (max. 91 28 patients), 45 persisted for two or more days (max. 8 years), and 7 of the 15 most common MLGs were 92

sampled in two or more provinces (max. all four provinces). Panmixia was rejected based on evidence of 93 four sympatric but geographically structured subpopulations; and, overall, LD decayed at a rate that was 94 faster than expected for South American *P. falciparum* populations (compare with e.g. [26]). Echeverry et al. 95 concluded that evidence of low genetic diversity, persistent MLGs and population structure is consistent with 96 low transmission and limited outcrossing, while evidence of a relatively fast rate of LD decay and of shared 97 MLGs across provinces is consistent with extensive human movement connecting *P. falciparum* populations. 98 Although the study by Echeverry et al. features analyses of IBS-based relatedness (i.e. MLGs), evidence 99 of departure from isolation-by-distance was based on F_{ST} alone. To explore in more granularity while ac-100 counting for uncertainty, we compute IBD-based relatedness estimates and confidence intervals for all pairs of 101 325 monoclonal parasite samples. Akin to previous studies (e.g. [5]), highly-related parasites were classified 102 using a threshold; however, confidence intervals allow uncertainty to be accounted for. This is important 103 because relatedness estimated using limited genotype data can be overwhelmed by uncertainty [25]. Our ap-104 proach includes two additional contributions. First, we complement our analysis of highly-related parasites 105 with a threshold-free approach based on optimal transport using Wasserstein distances between parasite 106 populations. Second, we identify groups of statistically indistinguishable parasites, which we call clonal com-107 ponents, using the simple concept of components from graph theory and confidence intervals. Confidence 108 intervals circumvent reliance on an arbitrary clonal threshold (i.e. some number of differences tolerated 109 between parasites samples considered clonal). Graph components circumvent reliance on unsupervised clus-110 tering methods that are notoriously brittle [27]. Overall, our approach could be adapted to viruses and 111 bacteria that show recombination or reshuffling of segments as well as clonal propagation [28–31], to other 112 protozoans (e.g. Toxoplasma, Cryprosporidium [32–34]), and to the many fungal pathogens [35], plants [6,7], 113 and animals with mixed mating systems. Due to our treatment of uncertainty, it is especially relevant for a 114 growing number of studies that plan estimate IBD-based relatedness using genotype (versus sequence) data. 115

116 **Results**

¹¹⁷ Relatedness estimates between *P. falciparum* sample pairs

Relatedness was estimated for all 52650 pairwise comparisons of 325 previously published monoclonal *P. falciparum* samples with data on 250 biallelic single nucleotide polymorphisms (SNPs) [11]. The parasite samples were collected between 1993 and 2007 from symptomatic patients participating in studies at five cities on the Colombian-Pacific coast (Table A.2). Despite considerable uncertainty, all estimates are informative (Figure 1). That is to say, there are no relatedness estimates whose 95% confidence intervals span entirely from zero to one. The vast majority of relatedness estimates were classified unrelated.

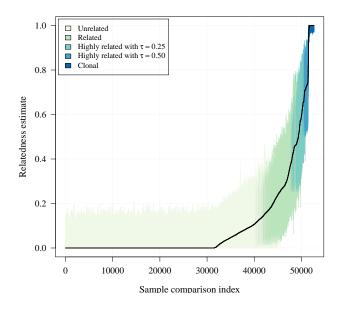


Figure 1: Estimates of relatedness with colourcoded 95% confidence intervals for all 325 choose two (52650) *P. falciparum* sample pairs in order of increasing relatedness estimate. Confidence intervals are coloured according to classifications based on lower and upper confidence interval bounds (Table 1).

Classification	Interpretation	Definition
Unrelated	\hat{r} statistically indistinguishable from zero	$LCI < \epsilon$
Related	\hat{r} statistically distinguishable from zero	$LCI > \epsilon$
highly-related	\hat{r} statistically distinguishable from a specified threshold	$LCI > \tau$
Clonal	\hat{r} statistically indistinguishable from one	$\mathrm{UCI} > 1-\epsilon$

Table 1: Classification of parasite sample pairs. Lower and upper confidence interval bounds (LCI and UCI, respectively) are used to classify pairs with $\epsilon = 0.01$, $\tau = 0.25$ (main analysis, Figure 2) and $\tau \in \{0.25, 0.50\}$ (sensitivity analysis, Figure A.1).

highly-related *P. falciparum* sample pair fractions partitioned in space and time

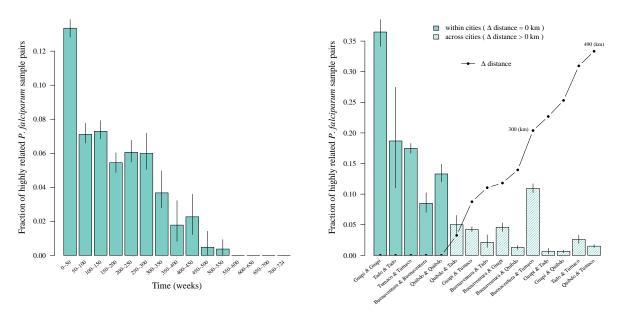
In our main analysis (Figure 2), highly-related parasite samples were classified using a high-relatedness 125 threshold of 0.25 (Table 1). Despite few highly-related *P. falciparum* sample pairs overall, there are three 126 notable observations regarding their fraction partitioned in space and time. First, there is a greater fraction 127 of highly-related sample pairs among those collected close together in time versus far apart (Figure 2a). 128 Second, the fraction of highly-related sample pairs is generally greater within cities versus between, with 129 Guapi having the largest fraction of highly-related pairs and Buenaventura having the lowest (Figure 2b). 130 However, third, the fraction shared between Buenaventura and Tumaco is exceptionally high considering 131 inter-city distance (Figure 2b). These observations are largely robust to different high-relatedness thresholds 132 (Figure A.1). Spatial trends evaluated using a threshold-free approach are also consistent: they show a 133 general increase in 1-Wasserstein distance with geographic distance between cities besides Buenaventura and 134 Tumaco (Figure 3). The 1-Wasserstein distance can be interpreted as the effort required to transform a 135 distribution of parasite samples from one city into a distribution of parasite samples from another [16]. The 136 small 1-Wasserstein distance between Buenaventura and Tumaco is thus consistent with elevated gene flow 137 between P. falciparum populations sampled from these cities. 138

Figure 4a shows the inter-city *P. falciparum* population connectivity plotted in Figure 2b projected onto 139 a map of the Colombian-Pacific coast. Buenaventura and Tumaco are the two largest official ports on the 140 Colombian-Pacific coast (Buenaventura is the largest) and are connected by frequent marine traffic (Figure 141 4b). Although Tumaco is connected to Buenaventura via the pan-american highway, which connects all sites 142 but Guapi, primary access to Tumaco is via the port due to difficult and unsafe country roads in Nariño. 143 Guapi, which is effectively unreachable by road and not an official port, is connected by marine traffic 144 but with less frequency (Figure 4b). Consistent with its isolation, the fraction of highly-related parasite 145 146 pairs is relatively large within Guapi (Figure 2b), and very small between Guapi and the two inland cities, Quibdó and Tadó (Figures 2b and 4a). Moreover and importantly regarding the elevated fraction of highly-147 related samples pairs within both Guapi and Tadó (Figures 2b), all samples from Guapi and Tadó were 148 collected within a single year (Table A.2). The low fraction of highly-related parasite sample pairs within 149 Buenaventura (Figure 2b) is consistent with it having contributed samples over many years (Table A.2) and 150 with it being the most important port on the Pacific coast (Figure 4b), i.e. a hub through which human 151 traffic and thus potential parasite mixing is high [11]. 152

The apparent association between *P. falciparum* population connectivity and the frequency of marine traffic raises questions about the latter's role in malaria transmission. However, other scenarios could lead to these relationships, for example the high connectivity could result from a single travel event between Buenaventura and Tumaco, followed by expansion of highly-related and clonal parasites. To further explore the genetic signal that supports this association we next consider clonal components.

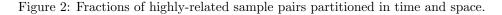
¹⁵⁸ Clonal components

We define clonal components as groups of statistically indistinguishable parasite samples identified under a graph theoretic framework; see Methods. In total, 46 distinct clonal components were detected, ranging in size from 2 to 28 statistically indistinguishable parasite samples (Figure 5). They are spatially clustered. Ten of the 46 contain parasite samples collected from two or more cities. Each clonal component besides one (clonal component four) is on average related to at least one other (Figure 5). The unrelated clonal



(a) Partitioned by time between collection dates.

(b) Partitioned by collection city.



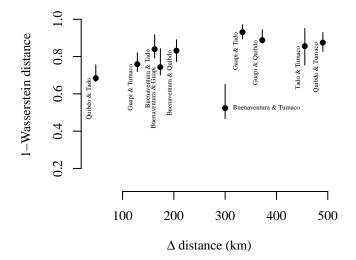
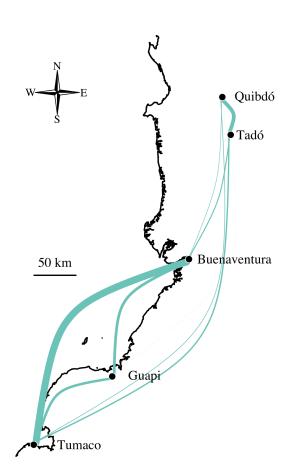


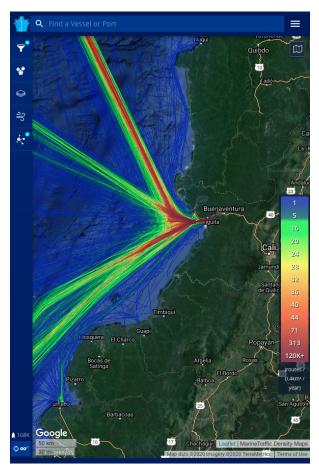
Figure 3: 1-Wasserstein distance between parasite populations across cities versus inter-city distance in kilometres (km).

¹⁶⁴ component is likely a contaminant: it accords with MLG 036 reported in [11], where contamination during ¹⁶⁵ *in vitro* adaptation or DNA manipulation was suspected.

Clonal parasite samples detected in both Buenaventura and Tumaco belong to five distinct clonal compo-166 nents (one, 12, 14, 20 and 40, Figure 5). We thus dismiss a single travel event connecting Buenaventura and 167 Tumaco involving a single parasite clone. We cannot dismiss a single travel event involving multiple parasite 168 clones, however. Indeed, three of the five clonal components are inter-related on average (Table A.3). As 169 such, they could derive from co-transmitted recombinant parasites transported in a single individual with a 170 multiclonal infection. On the contrary, the remaining two clonal components have relatedness estimates that 171 are not statistically distinguishable from zero. As such, they likely derive from different individuals with 172 independent monoclonal infections. Given dates and cities of first detection (Table A.4), it is tempting to 173 suggest some clonal components predate others and originate in specific locations. For example, it is possible 174 that parasite samples from clonal components 1 and 20 in Buenaventura and Tumaco emanated from Guapi, 175



(a) P. falciparum population connectivity: the width of each inter-city edge is proportional to the fraction of highly-related sample pairs across cities plotted in Figure 2b. Note that the edges between Guapi and Quibdó and Guapi and Tadó are plotted but too thin to visually discern.



(b) Screen shot of official marine traffic frequency (routes per 0.4km² per year) taken from www.marinetraffic.com 2020-02-12. The road system, which includes the panamerican highway, is visible (faint orange line) on the land map (Google satellite), as are some of the province boundaries (dashed grey lines). Zoom in to see city names Tumaco, Guapi, Buenaventura, Quibdó and Tadó among others.

Figure 4: Comparison between *P. falciparum* population connectivity and the frequency of marine traffic.

creating a spurious link between Buenaventura and Tumaco. However, because these data are from sparsely 176 sampled symptomatic cases in setting where clonal propagation is frequent, sample collection chronology is 177 not necessarily representative of transmission chain events (Figure 6). 178

Regarding transmission chain events, we note that clonal component 20 relates to the three inter-related 179 clonal components (1, 12 and 14) via an intermediate clonal component detected in Tumaco only (clonal 180 component 15) as well as an intermediate parasite sample from Quibdó that does not belong to a clonal 181 component (Figure A.2). These intermediates likely derive from recombination between parasites related to 182 the clonal components they connect. Several connections consistent with recombinants can be found among 183 the relatedness graphs (Figures 5 and A.2). As such, it seems it may be at least theoretically possible to 184 construct approximate P. falciparum transmission chains given more dense sampling of malaria infections 185

on the Colombian-Pacific coast. 186

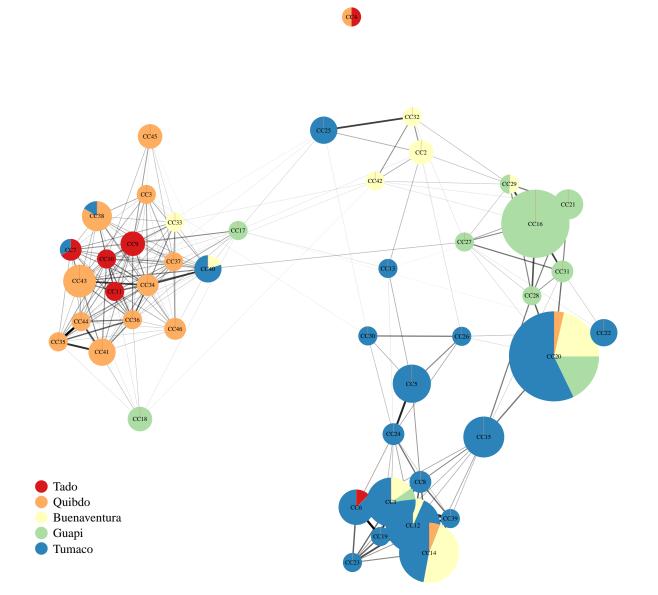


Figure 5: Clonal components (vertices) and the average relatedness between them (edges). Clonal components (CCs) are groups of two or more statistically indistinguishable parasite samples. CC vertices are plotted using the Fruchterman-Reingold layout algorithm [36], thereby clustering inter-related CCs. The size of each CC vertex is proportional to the number of parasite samples per CC, ranging from 2 to 28 statistically indistinguishable parasite samples. CCs are named in order of the collection date of the earliest parasite sample per CC (Table A.4. CCs with parasite samples collected from two or more cities are depicted as pie charts. Colour denotes the city of parasite sample collection. Edge transparency and weight is proportional to average relatedness, ranging from 0.003 to 0.840. Relatedness estimates that are indistinguishable from zero were set to zero. Edges whose average relatedness is zero are not plotted. Each CC besides CC4 is related to at least one other. CC4 contains two samples (one from Tadó, another from Quibdó). It is likely a contaminant; see main text. A plot of CCs that includes singletons (individual parasite samples that do not belong to a CC) can be found in the Appendix (Figure A.2).

187 Discussion

¹⁸⁸ Here we show that estimates of IBD-based relatedness and their associated uncertainty can be used to ¹⁸⁹ uncover epidemiologically meaningful connectivity between *P. falciparum* populations on a relatively local



Parasites that are present but not sampled

True sequence of transmission chain events (single year 2 travel event)

Sequence of transmission chain events inferred from sparsely sampled parasites (two travel events: one between years 1 and 2, another between years 2.5 and 3)

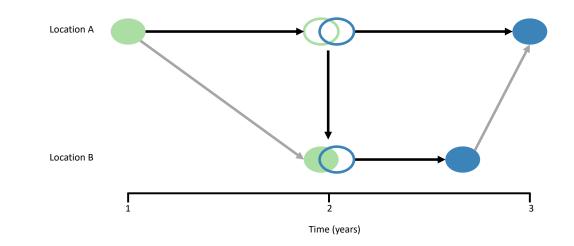


Figure 6: Schematic illustrating why sample collection chronology is not necessarily representative of the true sequence of transmission chain events when sampling is sparse and clonal propagation is frequent. The schematic shows two hypothetical locations A and B where malaria parasites have been sampled sparsely: solid ellipses represent sampled parasites, open ellipses represent parasites that were present but not sampled, different colours denote different parasite genotypes.

spatial scale: along the Colombian-Pacific coast where clonal propagation is frequent. While our approach 190 largely confirms a previous report based on F_{ST} [11], estimates of relatedness provide more granularity while 191 their confidence intervals account for uncertainty thus provide statistical rigor, e.g. when highly-related 192 parasite sample pairs are classified. Our approach includes two additional contributions: concepts from 193 optimal transport (1-Wasserstein distance) are used to compare parasite populations in an entirely threshold-194 free manner; and clonal components are identified using graph components and confidence intervals, thereby 195 circumventing reliance on an arbitrary clonal threshold. Our overall approach could be adapted for analyses of 196 viruses and bacteria that show recombination or reshuffling of segments as well as clonal propagation [28–31], 197 to other protozoans (e.g. Toxoplasma, Cryprosporidium [32–34]), and to the many fungal pathogens [35], 198 plants [6,7], and animals with mixed mating systems. 199

IBD-based relatedness estimates recovered 1) a large fraction of highly-related parasite sample pairs 200 within Guapi, a city on the Colombian-Pacific coast that is relatively isolated besides infrequent marine 201 traffic; 2) a low fraction of highly-related parasite sample pairs within Buenaventura, the most important 202 port on the Colombian-Pacific coast and thus the least isolated city in this study; and 3) a disproportionally 203 large fraction of highly-related parasite pairs between Buenaventura and Tumaco (departure from isolation-204 by-distance), where Tumaco is the second largest port on the Colombian-Pacific coast. These observations 205 accord with several published previously: 1) elevated LD in a P. falciparum subpopulation (identified using 206 STRUCTURE [27,37]) predominant in Guapi; 2) rapid LD decay in a P. falciparum subpopulation predom-207 inant in Buenaventura; and 3) lowest genetic differentiation (based on F_{ST} estimates) between provinces 208 Valle (Buenaventura) and Nariño (Tumaco) [11]. LD, STRUCTURE and F_{ST} analyses all rely on allelic 209 variation. The concordance between results based on relatedness and allelic variation suggests that P. falci-210 *parum* outbreeding on the Colombian-Pacific coast is infrequent enough that both types of analyses generate 211

²¹² insight on approximately the same time scale.

The aforementioned results generate hypotheses around the frequency of marine traffic and malaria 213 transmission on the Colombian-Pacific coast. Notwithstanding long-range windborne dispersal, which may 214 be critical for malaria transmission in Africa [38], anopheline flight range is generally small (around 3.5 215 km [39]). As such, long-range malaria parasite dispersal on the Colombian-Pacific coast is almost certainly 216 human-mediated. A recent study of *P. vivax* proposed that human movement across a "malaria corridor" 217 stretching from the northwest to the south of the Colombian-Pacific Coast likely promotes P. vivax gene 218 flow, and that mining activities may provide transmission "contact zones" [40], similarly proposed for P. 219 falciparum [20]. P. falciparum population connectivity is consistent with the human "malaria corridor" 220 hypothesis, especially since it correlates with accessibility, not isolation-by-distance. Both infected humans 221 and mosquitoes are compatible with this hypothesis, i.e. checks for infected Anopheles spp. on boats may 222 be merited [41, 42]. However, relatively high differentiation between populations of An. albimanus (one of 223 the three primary vectors of malaria in Colombia [43]) from Buenaventura and Tumaco [44], points towards 224 human carriage. 225

The Colombian-Pacific coast has long been associated with the international trade of gold and narcotics, 226 but until recently human migration in the region was largely domestic. The flow of international migrants 227 infected with *Plasmodium spp.* has increased significantly in recent years. In 2019, 2190 of 2288 (95.7%) 228 of non-domestic malaria cases reported in Colombia were from Venezuela; other sources included South 229 America (Peru, Panama, French Guyana, Ecuador, Brazil) and some African countries (Uganda, Republic 230 of the Congo, Nigeria, Ivory Coast, Cameroon, Angola) [45]. Some of the infected Venezuelan nationals are 231 migrating southward to Ecuador and Peru [22]. Other non-domestic cases may be associated with the traffic 232 of people who arrive at Colombian ports with a view towards northward travel e.g. to the USA via Central 233 America and Panama [46]. Genetic surveillance of "international parasites" may help malaria control efforts 234 in Colombia. 235

Considerable violence in the South Pacific region of Colombia between 1993 and 2007 combined with historically high malaria case counts [15] could have caused fleeting connectivity between *P. falciparum* populations. Based on relatedness between clonal components, we refute the hypothesis that connectivity between Buenaventura and Tumaco was due to a single individual with a multiclonal infection. We cannot reject a single travel event involving multiple individuals with independent infections, however. Contemporary data on more densely sampled cases and on mosquito and human movement are required to characterise extant connectivity, its reach beyond Colombia (see e.g. [47]), and to rule out alternative hypotheses.

Regarding alternative hypotheses, heterogeneous vectorial capacity and antimalarial drug pressure could 243 selectively enhance parasite survival in such a way that generates apparent connectivity between Buenaven-244 tura and Tumaco, e.g. if parasites are adapted to local vectors whose distributions are more similar between 245 Buenaventura and Tumaco than elsewhere. Although adult An. albimanus B and An. neivai s.l. have 246 been detected in the vicinities of both cities [44,48], the species distributions in the vicinities of Buenaven-247 tura and Tumaco differ more than those in the vicinities of Tumaco and Guapi [48]. As such, heterogeneous 248 vectorial capacity seems an unlikely alternative hypothesis. Similarly, relatedness may be greater among par-249 asites with comparable antimalarial resistance: a recent study of South East Asian P. falciparum parasites 250 found greater relatedness in the recent past among parasites with artemisinin resistance mutations versus 251 without [49]. This study used size-stratified IBD segments to date relatedness [49].¹ On the Colombian-252 Pacific coast, IBD segment size inference could help identify some recently related parasites. However, it 253 requires whole genome sequence data and is hard (if not presently impossible) to interpret in the face of 254 frequent selfing that is transmission dependent [25]. The development of an ancestral recombination model 255 that incorporates transmission-dependent selfing is a research priority in malaria genetic epidemiology and 256 would aid research on other organisms that show both outbreeding and clonal propagation. 257

¹In malaria, IBD segments (genome segments that are descended from a common ancestor unbroken by recombination [4]) are broken down each time genetically distinct parasites outcross. As such, IBD segment size is distributed according to a number of out-crossed generations, which increase over time, albeit in a complex transmission-dependent manner, which is not yet fully understood [9, 10].

$_{258}$ Methods

259 Data

This study relies entirely on previously published data that are publicly available [11, 25]. In the original 260 study by Echeverry et al., finger-prick blood spot samples were obtained from patients with symptomatic 261 uncomplicated malaria [11]. Samples were collected between 1993 and 2007 from five cities in four provinces: 262 Tadó and Quibdó in Chocó, Buenaventura in Valle, Guapi in Cauca and Tumaco in Nariño (Table A.2) [11]. 263 Informed consent was obtained from all the subjects enrolled, as approved by CIDEIM Institutional Review 264 Board (IRB) [11]. The Colombian-pacific coast is one of the rainiest regions of the world [44, 50]. At that 265 time, Colombia had approximately 100,000 malaria cases per year [11,15]. Collectively Chocó, Valle, Cauca 266 and Nariño accounted for up to 75% of the P. falciparum cases reported, with relatively high transmission 267 in Chocó and relatively low transmission in Valle and Cauca [11]. 268 The data that feature in this descriptive study also feature in a recent methodological study concerning 269

data requirements for relatedness inference [25]. As in [25], we did not post-process the data in any way besides mapping SNP positions to the *P. falciparum* 3d7 v3 reference genome and recoding heteroallelic calls as missing (since all samples with fewer than 10 heteroallelic SNP calls were classified monoclonal previously [11]). The monoclonal data include 325 *P. falciparum* samples with data on 250 biallelic SNPs whose minor allele frequency estimates (the minor allele sample count divided by 325) range from 0.006 to 0.495 (Figure A.3).

276 Relatedness inference and classification of parasite sample pairs and groups

For each pairwise parasite sample comparison, we generated a relatedness estimate and 95% confidence 277 interval using the hidden Markov model and parametric bootstrap described in [25]. Sample pairs were 278 classified as unrelated, related, highly-related and clonal using confidence interval bounds as follows and 279 summarised in Table 1. A pair was classified unrelated if its relatedness estimate, \hat{r} , was statistically 280 indistinguishable from zero with lower confidence interval bound (LCI) less than ϵ . A pair was classified 281 related if its relatedness estimate, \hat{r} , was statistically distinguishable from zero with LCI > ϵ . A pair was 282 considered highly-related if its relatedness estimate, \hat{r} , was statistically distinguishable from some specified 283 threshold, τ , with LCI > τ . A pair was considered clonal if its relatedness estimate, \hat{r} , was statistically 284 indistinguishable from one with upper confidence interval bound (UCI) > $1-\epsilon$. Note that these classifications 285 are possible because all estimates are informative, i.e. no confidence intervals span the entire zero to one 286 range (Figure 1. These classifications are neither necessarily exclusive nor conversely true: a clonal parasite 287 pair is related, but a related parasite pair is not necessarily clonal. Throughout, $\epsilon = 0.01$. In the main 288 analysis (Figure 2) $\tau = 0.25$, in the sensitivity analysis (Figure A.1a) $\tau \in \{0.25, 0.50\}$ 289

In addition to classifying parasite sample pairs, we classify groups of statistically indistinguishable parasite 290 samples, which we call clonal components because they are defined using the simple concept of components 291 from graph theory. First, we construct a super-graph whose vertices are parasite samples connected by edges 292 that are weighted by relatedness estimates. Within the super-graph, a clonal component is a sub-graph 293 within which all parasite samples are connected to one another (directly or not) via edges whose weights are 294 statistically indistinguishable from one, while being connected to parasites samples outside the sub-graph 295 via edges whose weights are not statistically indistinguishable from one. Clonal components tend to be fully 296 connected (i.e. all parasite samples within the clonal component are directly connected to one another by 297 edges whose weights are statistically indistinguishable from one). The igraph package [51] in R [52] was used 298 to identify clonal components and to visualise them using the Fruchterman-Reingold layout algorithm [36]. 299

³⁰⁰ Spatiotemporal trends in *P. falciparum* population connectivity

³⁰¹ Spatiotemporal trends in population connectivity were explored visually by partitioning parasite sample ³⁰² pairs by their collection cities and dates, then plotting the per-partition fraction of highly-related pairs.

pairs by their collection cities and dates, then plotting the per-partition fraction of highly-related pairs. ³⁰³ Error bars were constructed by re-sampling per-partition parasite sample pairs 100 times with replacement

and taking the 2.5th and 97.5th percentiles of the fraction of highly-related pairs as the lower and upper

- limits, respectively. Sensitivity to $\tau = 0.25$ (high relatedness threshold used in Figure 2) was explored using
- alternative $\tau \in \{0.25, 0.50\}$ (Figure A.1) and also by using a threshold-free approach (Figure 3) as follows.

To explore population connectivity using a threshold-free approach, we calculated 1-Wasserstein distances 307 between groups of parasite samples from different cities using the transport [53] package in R [52]. Specifi-308 cally, for a pair of cities a and b, we construct a $n_a \times n_b$ genetic distance matrix, G, of $1 - \hat{r}_{ij}$ (where n_a and 309 n_b are the parasite sample counts from cities a and b, respectively, $i = 1, \ldots, n_a$ and $j = 1, \ldots, n_b$) and two 310 vectors $w_a = (1/n_a, \ldots, 1/n_a)$ and $w_b = (1/n_b, \ldots, 1/n_b)$ of length n_a and n_b , respectively. We then calculate the 311 1-Wasserstein distance, which minimises the total cost of transporting w_a to w_b , where $1 - \hat{r}_{ij}$ is the cost of 312 transporting a single unit, using transport::transport(w_a, w_b , costm = G, method = "shortsimplex"). 313 This amounts to treating parasite samples from different cities as draws from different distributions, where 314 the 1-Wasserstein distance can be interpreted as the effort required to transform a distribution of parasite 315 samples from one city into a distribution from another [16]. City pairs with smaller 1-Wasserstein distances 316 are interpreted as having greater connectivity between the *P. falciparum* populations collected from them. 317 Error bars were constructed by re-sampling parasite sample pairs per inter-city partition 100 times with 318 replacement and taking the 2.5th and 97.5th percentiles of the distribution 1-Wasserstein distances based 319 on the re-sampled sample pairs as the lower and upper limits, respectively. 320

321 Data and code availability

All data analyses were performed in R [52]. The data are publicly available as a .RData files and the code is publicly available as .R scripts at https://github.com/artaylor85/ColombianBarcode.

324 Funding

A.R.T. and C.O.B. are supported by a Maximizing Investigators' Research Award for Early Stage Inves-325 tigators (R35 GM-124715) (https://www.nih.gov/). D.F.E. received financial support from Colciencias, 326 call 656-2014 "Es Tiempo de Volver" award FP44842-503-2014 (https://minciencias.gov.co/). T.J.C.A. 327 is supported by funds from the National Institute of Allergy and Infectious Diseases, National Institutes 328 of Health (R37 AI048071) (https://www.niaid.nih.gov/). This project was funded in part with federal 329 funds from the National Institute of Allergy and Infectious Diseases, National Institutes of Health, Depart-330 ment of Health and Human Services, under grant number U19 AI-110818 to the Broad Institute (D.E.N.) 331 (https://www.niaid.nih.gov/). The funders had no role in study design, data collection and analysis, decision 332 to publish, or preparation of the manuscript. 333

334 Acknowledgments

Thank you to Pierre Jacob for guidance on the calculation of the 1-Wasserstein distances and to James
 Watson, Manuela Carrasquilla and Vladimir Corredor for helpful comments and discussion.

337 Competing interests statement

³³⁸ The authors have declared that no competing interests exist.

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494 Appendix A

Climate e.g. very heavy rainfall and flooding on the Pacific Coast [44, 50] Rich vector diversity [48, 50][†] Parasite resistance to antimalarial drugs [18, 20] Persistent drug pressure due to frequent self-medication [54] Prevalence of glucose-6-phosphate dehydrogenase deficiency [18, 55][†] Prevalence of duffy-negative individuals resistant to *P. vivax* invasion [18, 56][†] Sub-microscopic and asymptomatic infections [18, 20, 56, 57][†] and infections that can evade detection by some rapid diagnostic tests [18, 20, 58–60] Per-urban and urban transmission [18, 61] Human migration due to deforestation and gold mining [16–19]

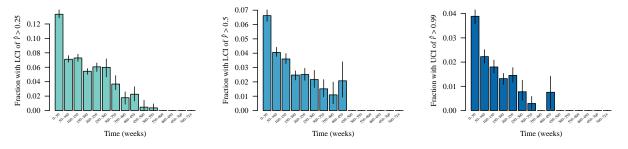
Table A.1: Factors associated with malaria epidemiology in Colombia: a non exhaustive list. †One or more citations are specific to Tierralta and the (South) Pacific coast.

City (Province)	1993	1994	1997	1999	2000	2001	2002	2003	2004	2005	2006	2007	Total
Tumaco (Nariño)	0	0	0	2	2	10	11	59	0	23	0	25	132
Guapi (Cauca)	0	0	0	1	1	0	0	66	0	0	0	0	68
Buenaventura (Valle)	4	1	0	5	0	0	0	0	12	15	10	0	47
Quibdó (Chocó)	0	0	2	0	6	1	0	0	14	6	13	22	64
Tadó (Chocó)	0	0	0	0	0	12	2	0	0	0	0	0	14
Total	4	1	2	8	9	23	13	125	26	44	23	47	325

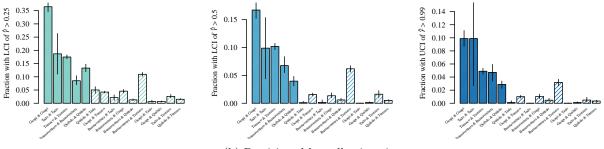
Table A.2: Yearly monoclonal *P. falciparum* sample counts per city.

	CC1	CC12	CC14	CC20
CC12	0.712(0.635)			
CC14	$0.733\ (0.709)$	0.648(0.517)		
CC20	0.000(0.000)	0.000(0.000)	0.000(0.000)	
CC40	$0.000\ (0.000)$	$0.000\ (0.000)$	$0.000 \ (0.000)$	$0.079\ (0.000)$

Table A.3: Average relatedness to three decimal places between clonal components (CCs) 1, 12, 14, 20 and 40 with maximum 2.5% confidence interval bound in parentheses. The maximum 2.5% confidence interval bound indicates that relatedness between C20 and C40 is not statistically distinguishable from zero, for example.



(a) Partitioned by time between collection dates.



(b) Partitioned by collection city.

Figure A.1: Fractions of highly-related sample pairs partitioned in time and space: sensitivity to high-relatedness thresholds. highly-related samples pairs are defined as those with lower confidence interval bound (LCI) of relatedness estimate, \hat{r} , greater than thresholds 0.25 and 0.50; or with upper confidence interval bound (UCI) of $\hat{r} > 0.99$ (i.e. clonal parasite sample pairs; Table 1). Colours correspond to Figure 1.

Clonal component	Date	City
CC1	1999-03-15	Guapi
CC2	1999-04-13	Buenaventura
CC3	2000-04-13	Quibdo
CC4	2000-06-29	Quibdo
CC5	2000-11-23	Tumaco
CC6	2001-01-29	Tumaco
CC7	2001-02-08	Tumaco
CC8	2001-02-17	Tumaco
CC9	2001-06-07	Tado
CC10	2001-06-08	Tado
CC11	2001-12-03	Tado
CC12	2002-04-03	Tumaco
CC13	2002-04-03	Tumaco
CC14	2002-04-04	Tumaco
CC15	2002-04-05	Tumaco
CC16	2003-01-07	Guapi
CC17	2003-03-03	Guapi
CC18	2003-03-07	Guapi
CC19	2003-03-17	Tumaco
CC20	2003-03-22	Guapi
CC21	2003-04-11	Guapi
CC22	2003-05-15	Tumaco
CC23	2003-05-20	Tumaco
CC24	2003-05-29	Tumaco
CC25	2003-09-08	Tumaco
CC26	2003-10-01	Tumaco
CC27	2003-10-03	Guapi
CC28	2003-10-06	Guapi
CC29	2003-10-07	Guapi
CC30	2003-10-21	Tumaco
CC31	2003-11-05	Guapi
CC32	2004-05-31	Buenaventura
CC33	2004-07-23	Buenaventura
CC34	2004-08-24	Quibdo
CC35	2004-10-27	Quibdo
CC36	2004-11-10	Quibdo
CC37	2004-12-10	Quibdo
CC38	2005-02-28	Quibdo
CC39	2005-07-27	Tumaco
CC40	2005-07-28	Tumaco
CC41	2006-08-03	Quibdo
CC42	2006-08-22	Buenaventura
CC43	2006-09-05	Quibdo
~~··	2006-10-10	Quibdo
CC44	2000 10 10	
$\frac{\text{CC44}}{\text{CC45}}$	2007-03-22	Quibdo

Table A.4: Date and city of collection of earliest parasite sample per clonal component.

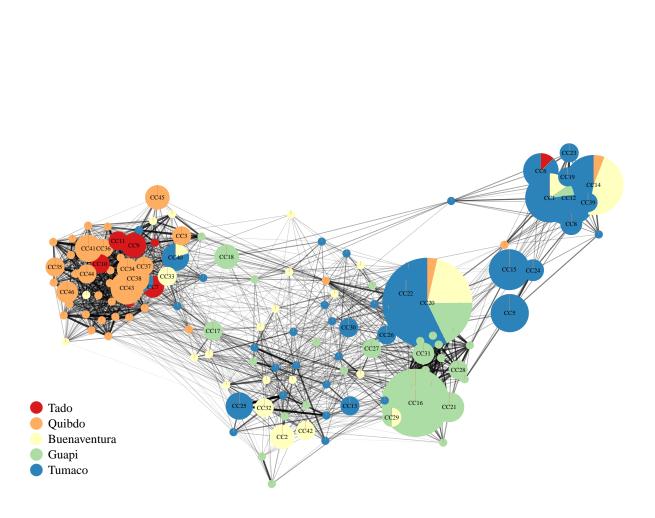


Figure A.2: Clonal components and singletons (vertices) and the average relatedness between them (edges). Clonal components (CCs) are groups of two or more statistically indistinguishable parasite samples. Singletons are individual parasite samples that do not belong to a CC. Vertices are plotted using the Fruchterman-Reingold layout algorithm [36], thereby clustering inter-related vertices. The size of each CC vertex is proportional to the number of parasite samples per CC, ranging from 2 to 28 statistically indistinguishable parasite samples. CCs are named in order of the collection date of the earliest parasite sample per CC (Table A.4). CCs with parasite samples from two or more sites are depicted as pie charts. Colour denotes the city of parasite sample collection. Edge transparency and weight is proportional to average relatedness, ranging from 0.003 to 0.912. Relatedness estimates that are indistinguishable from zero were set to zero. Edges whose average relatedness is zero are not plotted. Each CC besides CC4 is related to at least one other. CC4 is likely a contaminant; see main text. A singleton from Buenaventura, which is loosely related to CC4, may also be a contaminant.

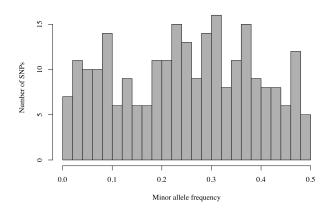


Figure A.3: Histogram of minor allele frequencies estimated using all 325 monoclonal *P. falciparum* samples genotyped at 250 biallelic SNPs.