**TITLE: Evolution in *Sinocyclocheilus* cavefish is marked by rate**

**shifts, reversals and origin of novel traits**

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**ABSTRACT**

Epitomized by the well-studied *Astyanax mexicanus*, cavefishes provide important model

organisms to understand adaptations in response to divergent natural selection. However, the

spectacular *Sinocyclocheilus* diversification of China, the most diverse cavefish clade in the world

harboring nearly 75 species, demonstrate evolutionary convergence for many traits, yet remain

poorly understood in terms of their morphological evolution. Here, using a broad sample of 49

species representative of this diversification, we analyze patterns of *Sinocylocheilus* evolution in

a phylogenetic context. We categorized species into morphs based on eye-related condition: Blind,

Micro-eyed (small-eyed), and Normal-eyed and we also considered three habitat types

(Troglodytic – cave-restricted; Troglophilic – cave-associated; Surface – outside of caves).

Geometric morphometric analyses show Normal-eyed morphs with fusiform shapes being

segregated from Blind/Micro-eyed (Eye-regressed) morphs with deeper bodies along the first

principal component ("PC") axis. The second PC axis accounts for shape complexity related to the

presence of horns. Ancestral character reconstructions of morphs suggest at least three independent

origins of Blind morphs, each with different levels of modification in relation to the typical

morphology of ancestral Normal-eyed morphs. Interestingly, only some Blind or Micro-eyed

morphs bear horns and they are restricted to a single clade (Clade B) and arising from a Troglodytic

ancestral species. Our geophylogeny shows an east-to-west diversification spanning the Pliocene

and the Pleistocene, with Troglodytic species dominating karstic subterranean habitats of the plains

whereas predominantly Surface species inhabit streams and pools in hills to the west (perhaps due

to the scarcity of caves). Integration of morphology, phylogeny and geography suggests

*Sinocyclocheilus* are pre-adapted for cave dwelling. Analyses of evolutionary rates suggest that

lineages leading to Blind morphs were characterized by significant rate shifts, such as a slowdown

in body size evolution and a 3.3 to 12.5 fold increase in the evolutionary rate of eye regression.

Furthermore, body size and eye size have undergone reversals, but horns have not, a trait that seem

to require substantial evolutionary time to form. These results, compared to the *Astyanax* model

47  system, indicate *Sinocyclocheilus* fishes demonstrate extraordinary morphological diversity and

48  variation, offering an invaluable model system to explore evolutionary novelty.

50  **KEYWORDS**: Phylomorphospace, Evolutionary Convergence, Blind fish, Troglodytism,

51  Geophylogeny, Pre-adaptation

## INTRODUCTION

Due to the absence of light, stable mean temperatures, absence of primary productivity, and

paucity of dissolved oxygen, subterranean habitats are among the most challenging environments

for life on earth (Ginet and Decou, 1977, Camacho, 1992). From surface-dwelling ancestral

species, cavefish have secondarily adapted to live in cave systems, often demonstrating a

remarkable array of morphological and behavioral adaptations (Soares and Niemiller, 2013;

Yoshizawa, 2015). These involve enhanced sensation, and also dispensing of traits that incur a

developmental or energetic cost. Cavefish species can be divided into two forms: troglophiles are

closely associated with caves, but do not entirely depend on them, and troglobites are obligate cave

dwellers (Dowling et al., 2002; Jingcheng and Weicheng, 2015). Troglobite fish *may* harbor

special adaptations, such as complete eye loss, loss of pigmentation, changes in cranial symmetry,

proliferation of neuromast sensory organs, development of horns, and in some species flat, hollow

heads (Strecker et al., 2004; Zhao, 2006; Gross et al., 2008). Despite the ~200 cavefish species

from across the world, large diversification of cavefishes is rare. However, one extensive

diversification occurs in *Sinocyclocheilus*, a monophyletic group of cyprinid fishes endemic to

China, which allows a robust analysis of trait evolution relative to troglomorphism in a

phylogenetic context.

The specialized traits cavefish bear have led them to be developed as models of evolution

especially with respect to adaptations to novel environments and evolutionary convergence

(Culver et al., 1995; Dowling et al., 2002; Jeffery, 2001; Li et al., 2008; Strecker et al., 2004;

Yang et al., 2016). A lion's share of knowledge on evolution and development in cavefishes has

come from *Astyanax mexicanus* (Mexican tetra), a species with both surface-dwelling (pigmented

and eyed) and cave-dwelling morphs (depigmented and blind), which can readily interbreed

(Borowsky, 2008). In contrast to this well-studied model system, *Sinocyclocheilus* species not only

include blind and normal-eyed morphs (Lan et al., 2013), but demonstrate a continuum from blind

to normal-eyed species. Indeed, members of the *Sinocyclocheilus* genus display remarkable

morphological evolution with divergent cave-dwelling, cave-associated, and surface-dwelling

species.

*Sinocyclocheilus* species are thought to have shared a common ancestor in the late Miocene,

undergoing a spectacular diversification spanning the Pliocene and Pleistocene across the

southwestern parts of China's 620,000 km$^2$ of karst habitats (Huang et al., 2008), with nearly 75

extant species (Jiang et al., 2019). This resulted in an adaptive diversification into subterranean

refugia traversing the intersection of the Guizhou, Guangxi and Yunnan provinces around the time

of the uplifting of Tibetan/Guizhou plateau (Li et al., 2008).

One of the most striking forms of cave adaptation in *Sinocyclocheilus* is variation in eye

morphology, categorized often into three morphs (Zhao and Zhang, 2009), ranging from Normal-

eyed to Micro-eyed (small-eyed) to Blind species. Of all Chinese hypogean fishes, 56 species show

troglomorphism such as reduction and/or loss of eyes, pigmentation, and the gas bladder. Presence

of a horn-like structure and hyper-development of the dorsal protuberance (similar to a humpback

whale) are two additional unique characters to certain Chinese hypogean species (Romero et al.,

2009). These dramatic adaptations to cave life are reflected in the unique morphology of these fish.

The morphology of *Sinocyclocheilus* is most likely attributed to their habitat and local

adaptations, however the precise function of certain morphologies (e.g., their horns and humps)

remains unknown (Ma and Zhao, 2012). For instance, many blind species are obligate cave

dwellers that have the ability to navigate along cave walls, cave-bottoms and within narrow

passages (Yoshizawa, 2015). Yet, others are open water species that navigate in the manner of

typical fish. There are also intermediate forms between these two principal morphs (Zhao and

Zhang, 2009). However, the morphology of these fishes is so extreme that substantial variation in

morphology is evident even within blind, intermediate and the open water species.

The pattern of body shape evolution in *Sinocyclocheilus* is a conundrum, which has not been

addressed in an evolutionary context. Here, we explore key patterns of morphological evolution in

these fishes, and demonstrate that the evolution in *Sinocyclocheilus* has been associated with

significant rate changes and trait reversals across their phylogenetic history.

**MATERIALS & METHODS**

*Phylogeny estimation*

We compiled sequence data from GenBank for two mtDNA fragments (*NADH4* and *cytb*) of

39 *Sinocyclocheilus* species, and the outgroup species *Linichthys laticeps* (Cyprinidae). In

addition, we generated sequence data for the *cytb* gene fragment of ten additional *Sinocyclocheilus*

species (Table S1). For these species, total genomic DNA was extracted using the DNeasy Blood

and Tissue Kit (Qiagen Inc., Valencia, CA) following the manufacturer's protocols. DNA was

amplified in 25-µL volume reactions: 3 mM $MgCl_2$, 0.4 mM of dNTP, 1X buffer, 0.06 U of Taq

DNA Polymerase, 2 mM of each primer. Thermocycling conditions included an initial step at 94

°C for 3 min, followed by 35 cycles at 45 s at 94 °C, 1 min at 46-50 °C and 45 s at 48-56 °C, and

a final step at 72 °C for 5 min. PCR products were electrophoresed in a 1.5% agarose gel, stained

with ethidium bromide and visualized under UV light. Successfully amplified products were

purified using MicroconTM Centrifugal Filter Units (Millipore, Billerica, MA, U.S.A.).

Sequencing reactions were carried out in 10 µl solutions including the following final

concentrations: 5 ng/µl of template DNA, 0.5 µl of Big DyeTM (Applied Biosystems Inc., Foster

City, CA, U.S.A.), 0.2 µM of each primer and 0.1X of reaction buffer. The final product was

purified using SephadexTM G-50 (GE Healthcare Bio-Sciences AB, Uppsala, Sweden) for

sequencing. Forward and reverse strands were reconciled using Staden v.1.6.0 (Staden, 1996).

Sequences from both genes were concatenated and aligned unambiguously using CLUSTALW

(Thompson et al., 2003), as implemented in MEGA v. 6.0 (Tamura et al., 2013), for a total

alignment length of 2155 bp. We used JMODELTEST v.2.1.10 (Santorum et al., 2014) to determine

the best models of evolution for each fragment, which were implemented in BEAST v.1.10.4

(Drummond and Rambaut, 2007) as a partitioned analysis to estimate the phylogenetic

relationships and relative divergence times within *Sinocyclocheilus*. We calibrated the tree using

the relative time period which diversification of *Sinocyclocheilus* initiated around 11.31 Mya, a

reference point obtained from (Chen et al., 2018). We used a strict molecular clock and a calibrated

Yule tree prior, as well as a GTR+I+G for each partition and ran the analysis for 20 million

generations using the Cipres Science Gateway Server (Miller et al., 2010). Convergence was

assessed by inspecting the log-output file in TRACER v.1.6 (Drummond et al., 2012), and by

ensuring ESS values were above 200. The first 10% of the trees were discarded, and the post burn-

in trees were used to infer the maximum clade credibility tree using TREEANNOTATOR v.1.4.4

(Rambaut and Drummond，2019). The maximum clade credibility tree, as well as a set of 1000

post burn-in topologies, were retained for further analyses (see below).

*Morphometric data acquisition and analyses*

We assembled a database of images of referenced specimens of *Sinocyclocheilus* from scaled

photographs, which was complemented by species that we photographed (Table S2). The final

dataset included 90 images (54 from species descriptions and catalogues and 36 from photographs

by the authors) for 50 species, which included 70% of the total number of described species for

the genus. These images were used for geometric morphometrics analyses, which were based on

15 landmarks (See Fig. 1A) and 180 sliding semi-landmarks, obtained using tpsDig v. 2.16 (Rohlf,

2010). Semi-landmarks were collected as curves outlining the body. These data were subsequently

reduced to equidistant landmarks, and defined as semi-landmarks using tpsUtil v. 1.46 (Rohlf,

2010). We then slid the landmarks using the bending energy method (Gunz & Mitteroecker, 2013)

implemented in GEOMORPH v.3.2.0 (Adams & Otárola-Castillo, 2013). The landmark coordinates

were aligned using a generalized Procrustes superimposition analysis (Adams et al., 2013), and a

principal component analysis (PCA) was used to evaluate shape variation within the sample.

Multiple images for the same species were used to obtain the landmarks and the mean of their

Procrustes coordinates were calculated to be used in later analyses. We also obtained traditional

linear measurements, namely standard length (SL), eye diameter (ED) and standardized eye

diameter (sED, calculated as the ratio between ED and SL).

*Morphological and habitat evolution*

Since shape variation in *Sinocyclocheilus* cavefishes occurs mostly in the anterior end of the

fish and as one of the major features leading to this is eye-related, we considered the absence or

the size of the eye (when present) as a proxy for the categorization of morphs. Since the eye size

has an allometric association with body size, we used the standardized eye diameter (sED) in

placing them into three morphological categories: Blind (eye absent); Micro-eyed (0.0 – 3.0mm);

Normal-eyed (< 3.0mm) respectively. For ease of discussion, we considered Blind and Micro-eyed

together as Regressed-eyed; Micro-eyed and Normal-eyed together as Eyed species.

*Sinocyclocheilus* were also categorized based on their habitat as Troglodytic, Troglophilic,

and Surface species. Troglodytic species live in an obligatory association with caves, and are not

sampled outside of caves. Caves, as meant here represent roofed-caves, submerged caves, and

subterranean waterways that form windows intermittently with the surface. Troglophilic species

live in a close association with caves and are sampled both in the vicinity of cave entrances and

within caves. Finally, Surface species are found in habitats even when a cave is not found in close

proximity and live in normal streams ponds and lakes as typical fish do, but they could venture

into caves (underground water bodies) during unfavorable periods, when water is only available

in caves. It should be noted here that this categorization is strictly habitat based and not

morphology based (for instance, there are Normal-eyed species that are Troglodytic, Troglophilic

or Surface). These habitat associations are based on published literature (Zhao and Zhang, 2009;

Romero et al., 2009) and personal observations as outlined in Table S1.

To infer the number and timing of evolutionary shifts within eye-related morphs, horn

distribution and the habitat type, we used stochastic character mapping (Nielsen, 2002;

Huelsenbeck et al. 2003), as implemented in the make.simmap function in PHYTOOLS. On each of

the 1000 post burn-in trees obtained from BEAST, we used stochastic character mapping to

generate 100 potential histories. This approach therefore considers uncertainty both in the

evolutionary history of the traits as well as in the inferred topology of the phylogeny.

The landmark coordinates obtained were aligned using a generalized Procrustes

superimposition analysis (Adams et al., 2013), and a principal component analysis (PCA) was used

to explore shape variation within the sample. In addition, we described the eye-related

morphological variation in *Sinocyclocheilus* by estimating ancestral states of SL and ED and

visualizing them using traitgrams (Evans et al., 2009) as implemented in the phenogram function

in PHYTOOLS 0.7.20 (Revell, 2012) using the maximum clade credibility tree. We also visualized

the evolution of both traits simultaneously using a phylomorphospace (a projection of the tree into

morphospace, *sensu* Sidlauskas, 2008) using the phylomorphospace function in PHYTOOLS.

*Evolutionary rate variation in eye related morphs*

We tested whether the evolutionary rates of the studied continuous traits (SL, ED, sED) are

significantly different in different morphs. We used 100 potential trait histories from stochastic

character mapping and then fit two alternative models of evolution on each studied trait, one that

fixes the rate of evolution to be identical between morphs against an alternative model in which

the morphs have separate rates. We calculated the Akaike Information Criterion for small sample

size (AICc) from the maximum likelihood estimate on each tree using the brownie.lite function in

PHYTOOLS. Finally, if a multi-rate model provided a better fit to the data, we calculated model-

averaged estimates of evolutionary rates for each morph. Unless otherwise indicated, all analyses

were conducted using R v. 3.6.0 (R Core Team, 2019).

*Geophylogeny analyses*

We could not carry out a formal biogeographical analysis, given that their high endemism and

the complex pattern of underground connections between caves limits the establishment of

reasonable biogeographical areas. However, we assessed the geographical structuring

of *Sinocyclocheilus* diversification by building a geophylogeny on GenGIS v. 2.5.3 (Parks et al.,

2013) based on the maximum credibility tree.

**RESULTS**

The maximum credibility tree of *Sinocyclocheilus* is shown in Fig. 2 together with the

reconstruction of ancestral states for eye-related morphs; we consider four major clades (A,B,C,D),

as previously reported by other authors (Zhao and Zhang, 2009). Despite the inherent uncertainty

in ancestral state reconstructions, it is clear that Blind species evolved at least three times in

*Sinocyclocheilus*. Two of these events involved single species evolving from Normal-eyed

ancestors, namely *S. xunlensis* and *S. anophthalmus*. On the other hand, the third lineage of blind

*Sinocyclocheilus,* Clade B, includes several closely related species of Blind, Micro-eyed and a few

Normal-eyed species, with two cases of reversal from either Micro-eyed or Blind to Normal-eyed

morphs, namely *S. zhenfengensis* and *S. brevibarbatus* (Fig. 2). All four clades contain Regressed-

eyed species and comparatively, clade Blind contains the most cases. Interestingly, clade B

originated around the time of the beginning of the aridification process in China in the late Pliocene,

whereas the other two transitions to blind species were much more recent (Fig. 2).

Interestingly, the evolution of body size and eye diameter seem to have often involved

reversals, with little correspondence between body size (Fig. 3A) or eye diameter (Fig. 3B) and

their corresponding morphs, except for the case of blind species for which eye diameter is

necessarily zero. On the other hand, morphs are clearly distinguished when eye diameter and body

size are visualized simultaneously (Fig. 3C), which suggests that the evolution of different morphs

is achieved by altering the relationships between body size and eye diameter. Habitat associations

traced on the phylomorphospace (Fig. 3D) indicates that species with regressed eyes and small-to-

medium body sizes are obligate cave dwellers. However, normal eyed species can be Troglodytic,

Troglophilic or surface dwellers regardless of their body size. Interestingly, all horned species are

obligate cave dwellers while all cave species are not horned (Fig. 3E).

A more precise description of the overall changes associated with different morphs can be

visualized in the projections build from the geometric morphometrics analyses (Fig. 1B). The first

PC, which accounted for approximately 32% of the variance in the dataset (see Table S3), tended

to distinguish the slender Normal-eyed species on the left and Micro/Blind species on the right,

which were characterized by changes in shape and widening of the anterior dorsal area between

mouth and beginning of the dorsal fin of their body, resulting in a shift from the fusiform shape of

the Normal-eyed forms to a more "boxy" form of the Micro-eyed and Blind forms. The second

PC, which explained approximately 17% of the variance in the dataset, emphasized the differences

in the type of dorsoventral broadening of the mid-section between morphs, with a shortening of

the tail region (Fig. 1B). The variation in this axis is very high among the Micro-eyed and Blind

forms when compared to the Normal morphs.

The multiple-rate model of evolution provided the best fit to the data for all three quantitative

traits ($\Delta$AIC=2.7, 10.7 and 7.9 respectively for ED, sED and SL; Table 1), indicating that the

evolution of different *Sinocyclocheilus* morphs was associated with significant changes in their

evolutionary rates. However, there were intriguing differences between traits in their rates (Table

2). The rates of evolution of eye diameter and standardized eye diameter were similar between

Normal-eyed and Micro-Eyed species, but increased between 3.3 to 12.56 times during shifts

towards Blind species.

Geophylogeny represents the phylogeny overlaid across the geographic location of each

species, where phylogenetic clustering is evident across the landscape. Considering the distribution

of *Sinocyclocheilus,* we mainly see a pattern where the basal, Normal-eyed morphs are placed in

the east, a substantial portion of Blind/Micro-eyed (Regressed-eyed) species are in the center, and

Normal-eyed morphs are predominant towards the western mountains (Fig. 4).

**DISCUSSION**

***Habitat utilization in context of eye-morphology***

Integrating evolution of eye size and habitat manifests interesting and previously

unrecognized evolutionary patterns in the evolution of *Sinocyclocheilus*. The Eye-size based

ancestral reconstruction suggests the base of the phylogeny is most likely an Eyed species (i.e.

Normal- or Micro-), but habitat reconstructions places, with high probability, Troglodytic species

at the base (Fig. S1). This suggests an ancestral Eyed-species evolved a Troglodytic habit before

they became blind. This may be an example of preadaptation in *Sinocyclocheilus*, i.e., the

advancement of a functional change with little or no evolutionary modification (Ardila, 2016). In

*Astyanax* cavefish, surface-dwelling forms are scotophilic, they prefer to remain away from direct

light suggesting that scotophilia may be preadaptive for colonizing the dark, cave environment

(Espinasa et al., 2001). In *Sinocyclocheilus*, since a basal (eyed) species demonstrated preference

for the cave habitat, this preadaptation to darkness may hint towards why certain species tend to

become cave-dwellers while others do not. This pattern is supported by two principal lines of

evidence. First, most of the basal species are eyed, and Troglodytic (except for one species with

an unusual eye-related polymorphic condition that we discuss below). Second, the most westward

group (Clade D; Normal-eyed Surface fish), re-colonized caves whenever cave habitats were

available within that area, suggesting a strong predisposition for cave-dwelling across all

*Sinocyclocheilus*. In other words, when caves were present, members of *Sinocylocheilus,*

irrespective of eye-related condition, preferred the cave habitat.

The preference for caves may not be a preference for darkness, but in fact a preference for

depth, in search of water for survival. In a karstic environment where drying of surface running

water is common, a preference for such deeper habitats may have provided an evolutionary

advantage. In the presence of an array of subterranean waterways, such a predisposition would

have given rise to the eye-regressed forms living close to, or associated with, caves that are

characteristic of the genus.

Furthermore, apart from the Troglodytic and Troglophilic species of Clade D, some of the

putative Surface species of Clade D are often observed at the entrances of caves or at windows to

subterranean rivers (Zhao and Zhang, 2009). Hence, with more intensive ecological studies, some

species recognized as Surface species may indeed be Troglophilic species, bolstering the notion

that *Sinocyclocheilus* are predisposed to seek deeper waters of the karstic caves.

Resource utilization plays a key survival role in harsh environments (Culver and Pipan, 2009).

Some of the Troglophilic, eyed-species are nocturnal, emerging from submerged caves,

presumably to feed at night to reduce competition from other non-cave inhabiting fish species

(personal observations). Some species like *S. altishoulderus, S. donglanensis* (Romero et al., 2009),

*S. bamaensis* (Su et al., 2003), *S. malacopterus* (Chen et al., 2017) and *S. longibarbatus* (personal

observation, video evidence as Supplementary information); are known to come out of caves

during the high water season, presumably to feed and breed. This explains dependence on the cave

as a diurnal refugium, from where these species can exploit the surface habitats at night. Strategies

such as this, where multiple resources are utilized simultaneously, points to the adaptability of

some *Sinocyclocheilus* species, resulting in their evolutionary success in a harsh and changing

environment. Hence, the cave entrances are possibly an important ecotone that is important in

*Sinocyclochelius* diversification and conservation.

Season and time of day seem to be important factors in determining habitat utilization patterns,

but this level of resolution in habitat data is not currently available for a majority of the species to

carry out a comprehensive habitat analysis across the diversification – indeed, many species are

known only from one or a few specimens (Zhao and Zhang, 2009).

***Adaptations in the light of geophylogeny***

In combination with the data analyzed, basal *Sinocyclocheilus* (Clade A) are Normal-Eyed,

predominantly cave dwelling and non-horned species from the Eastern region of their distribution.

As pointed out, this suggests that the earliest ancestors of *Sinocyclocheilus* species where Normal-

eyed and but still lived in close association with caves. The ancestral reconstructions suggest that

the affinity to caves would have evolved early and is present in most *Sinocyclocheilus.* The clade

comprising basal species are from the east of the *Sinocyclocheilus* distribution, i.e. the He Jiang

and Gui Jiang river basin in northeastern Guangxi, and hence, it seems that the diversification of

these fish occurred from East to West (Fig. 4).

Within this predominantly Normal-eyed clade (Clade A), there is an exception,

*Sinocyclocheilus guanyangensis*, a species that we coded as Micro-eyed, has Normal-Eyed, Micro-

eyed and effectively Blind morphs within the same population – polymorphic for this trait. But

these blind morphs have their eyes completely covered by skin and the Micro-eye is not itself

degenerate. This kind of condition has been noted in several other taxa also (*S. xunlensis* and *S.*

*flexuodorsalis* – not available for our analysis), but is uncommon. This suggests a degree of

polymorphism for this trait, suggesting that the earliest ancestors of *Sinocyclocheilus* may have

been able to lose or gain eyes relatively easily as an adaptation to local conditions, this ability

appears several times within this cave-driven diversification.

The major adaptation for cave dwelling evolves predominantly in the expansive karstic area

in northwestern Guangxi (associated with the Liu Jiang basin and Hongshui river basin joining the

main Xijiang River system from the North), in Clade B, the southeastern corner of Guizhou

province (upper reaches of Hongshui River) and the northeastern plateau of Yunnan province. This

region can be considered the center for novel adaptations for *Sinocyclocheilus,* where these fishes

express their full morphological diversity, blindness, micro-eyedness, and their remarkable horns.

In the shape-related analyses, these species cluster on the right of morphospace (Fig. 1B). The

deeper caves and extensive subterranean river system associated with the Guangxi plains (Zhao

and Zhang, 2009) would have facilitated this extensive adaptive diversification (Fig. 4).

The karstic northwestern region that the Guangxi-dominated Clade (Clade B) experiences

drought conditions during much of the year, and one of the major sources of rain for the region is

through storms sweeping from the southeast that are strong enough to persist through the vast

plains of Guangxi, mainly from April to August (Zhao and Zhan, 2009). During unfavorable

periods, these fishes seem to have found refuge in the subterranean caves. The morphologically

most diverse clade being present in the region where the climatic conditions are most unfavorable

for surface fish, reinforces the notion that *Sinocyclocheilus* species adapted to life in caves as

climatic refuges (Zhao and Zhang, 2009).

The distribution of Clade C, characterized by mostly Normal-eyed but Troglodytic species

largely overlaps Clade B. In Clade C, the single Blind species (*S. xunlensis*) and the two Micro-

Eyed species (*S. cyphotergous* and *S. multipunctatus*) are shown within a narrow geographic area

on the Liu Jiang and Hongshui river system (Fig. 4).

Species in the clade that is found in the west (Clade D), predominantly on the hilly terrain of

Yunnan plateau, are predominantly Normal-eyed Surface species lacking horns (Fig. 1, Fig. 4).

However, wherever there are cave habitats and subterranean river systems, some of these putative

surface species have become facultative or obligate cave species. The obligate cave species found

within the region, *S. anophthalmus*, is blind. However, this Blind species stands clustered with the

Normal-eyed morphs in the morphospace, signifying that the shape of the species has not

extensively changed, possibly due to recent (Pleistocene) invasion of the cave habitat from a

Normal-eyed ancestor (Fig. 2) – time since becoming blind is not been long enough for change

into the box-like shape of the Blind species of Clade B.

Horn distributions show several peculiar trends. In most *Sinocyclocheilus* species, a prominent

hump is present (He et al., 2013). However, this hump is markedly low in the Normal-eyed surface

inhabiting species of the Yunnan clade (Fig. 4). For the species that bears a horn, the horn

represents the region in which the dorso-frontal hump is present, and always occurs before the

hump begins, at the boundary of the edge of the dorsal skull. The exception to this is *S.*

*cyphotergous* (Clade C), where a horn like structure is placed on top of the hump. *S. cyphotergous,*

a species found in clade C, is phylogenetically separate from other horned species, suggesting that

the origins of the "horn" for this species is evolutionarily different from the other horned species

(Fig. S2). Though the function of the horn remains unknown (protection of head, anchoring in

strong current and protection of head has been suggested; Zhao and Zhang, 2009), functionally

this structure may be similar to other species, if it is actually anchoring in strong current is the

main function.

The rates of evolution of various traits show some incongruent (non-allometric), but

interesting patterns that can be explained in the context to adaptations to a Troglodytic condition.

The rates of evolution in eye diameter are similar between Normal- and Micro-Eyed species but,

increases dramatically (3.3-12.56 times) with shifts towards blind forms. However, body size

evolution for these morpho groups shows a reversed pattern, with a 0.03 decrease in body size

evolution in the Blind morphs compared to the eyed-morphs. These patterns in rate variation

suggest that the evolution of Blind morphs to a Troglodytic habitat were simultaneously associated

with an increase in the rate of evolution of the eye-size degeneration and a decrease in the rate of

body size evolution. The smaller body size resulting from a sluggish rate of change will facilitate

both navigation within constricted spaces and sustenance on a limited supply of resources expected

to be experienced in subterranean habitats.

Much of our collective knowledge of the patterns and mechanisms of regressive evolution

come from studies of animals that have colonized the subterranean biome. Within this group, a

several studies have focused on the Mexican tetra, *Astyanax mexicanus* (Jeffery, 2009). This

natural animal model system comprises multiple cave-adapted morphs and a surface-dwelling

morph that resides in near the caves themselves (Gross, 2012). Since the discovery of *Astyanax*

cavefish in 1936, countless studies have provided insight to the developmental and genetic bases

for cave-associated traits (Hubbs and Innes, 1936). Indeed, much of this insight has emerged from

the interbreeding studies of conspecific cave and surface morphs (reviewed in Wilkens, 2016).

However, several aspects of regressive evolution and troglomorphic adaptation remain unresolved.

Owing to several of the differences with *Astyanax*, we argue that *Sinocyclocheilus* is well-

positioned to provide important new insights to broader patterns of diversification and adaptation

in cave-dwelling organisms.

For instance, *Astyanax* represents a single species with 30 different interfertile populations

from a relatively small geographic location (Wilkens and Strecker, 2017). In contrast,

*Sinocyclochelius* harbors about 75 species (49 in our study) inhabiting diverse geographic biomes

across a much larger geographic area. Moreover, while *Astyanax* cavefish converge on similar

phenotypes (regressed vision and pigmentation), they are not regarded as having numerous

morphological novelties. In contrast, *Sinocyclocheilus* species have recurrently evolved a unique

"horn" (Soares et al., 2019) from several eyeless species. Similarly, the larger number of

*Sinocyclocheilus* species allows keener resolution for understanding broad phylogenetic processes,

such as trait reversals and directions of diversification. Although a reversal from an eyeless to an

eyed form has been reported for one cave population in *Astyanax* (Caballo Moro; Krishnan and

Rohner, 2017), this phenomenon appears to be much less common than in *Sinocyclocheilus*.

Additionally, a clear polarity of diversification is lacking in *Astyanax* cavefish, rather ancient

stocks of surface-dwelling forms appear to have recurrently invaded caves to the east (i.e., Sierra

de El Abra caves), with more recent invasions having occurred in the northern (Sierra de Colmena)

and the western caves (Sierra de Guatemala; Bradic et al., 2012). However, the well-characterized

gene flow between the cave and surface waters obscures the ability to understand clear boundaries

between different cave groups. Further, most *Astyanax* cave populations are believed to have

diverged over the course of the last ~200 – 500 Ky (Herman et al., 2018). By contrast,

*Sinocyclocheilus* species are much older, and therefore one can determine how longer-term

processes unfold in these cave-dwelling animals. Thus, despite clear phylogenetic differences

between *Astyanax* and *Sinocyclocheilus*, both genera have the ability to provide complementary

and critical insights to the processes underlying cave evolution and diversification.

The integration of morphology, phylogeny, rate analyses, dating and distribution show not

only several remarkable patterns of evolution, but also interesting exceptions to these patterns that

signifies the diversification of *Sinocyclocheilus* as a unique model system to study evolutionary

novelty.

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**Main Figures**

**Fig. 1. (A) A specimen of *Sinocyclocheilus altishoulderus* indicating the position of 15 landmarks (red: larger points indicated by numbers 1-15) and 180 semi-landmarks (red: smaller point) used for the calculation of Procrustes coordinates and traditional linear measurements (SL: standard Length, ED: eye diameter and sED: standardized eye diameter) used in the geometric morphometric analyses.** (B) **PCA showing the variation in body shape of the genus *Sinocyclocheilus* traced with eye morphology and habitat occupation.** PC1 and PC2 accounts for 32% and 17% of the variance respectively. A shift from the fusiform shape of the Normal-eyed surface forms to a more "boxy" form of the Micro-eyed and Blind forms is evident.

Fig. 2. Ancestral state reconstruction of morphology (Blind, Mico-eyed & Normal-eyed) on a time calibrated phylogeny. Posterior probabilities of node support also shown on the tree. **Maximum-likelihood reconstructions for the ancestral state of the eye-trait morphology (Blind, Micro and Normal-eyed morphs) on a time calibrated phylogeny.** A, B, C and D are four major clades. Posterior probabilities of node support values of 100% are indicated by *. Key events of *Sinocyclocheilus* evolution includes; at least three independent evolutionary events for Blind morphs; Blind, Micro-eyed and a few Normal-eyed species in clade B, with two cases of reversal from either Micro-eyed or Blind to Normal-eyed species.

Fig. 3. **Temporal patterns of body size and eye diameter in *Sinocyclocheilus* from the perspective of their eye trait related morphs.** The traitgrams suggests that the evolution of different morphs is attained by altering the allometric relationships between body size and eye

diameter. A. Traitgram of body size ; B. traitgram of eye size; C. Eye related morphs traced on

the phylomorphospace indicating clear separation of the three morphs in the morphospace.

(D)Habitat associations traced on the phylomorphospace showing species having eye diameter

<3mm and small to medium body sizes are obligate cave dwellers whereas species with eye

diameter >3mm can be Troglodytic, Troglophilic or Surface dwellers regardless of their body

size   (E) Horn related morphs traced on the phylomorphospace indicating the presence of a horn

in smaller fish with reduced eye size. Horned species are Troglodytes.

**Fig. 4. Geophylogeny, the phylogeny laid across the geographic distribution of the species**

**considered in the analysis, with eye-related morphology, habitats, and horn-existence of the**

**species traced**. A pattern where basal, Normal-eyed, Troglodytic species are placed in the east,

predominantly Blind/Micro-eyed/Normal-Eyed, Troglodytic species in the center and Normal-

eyed, Surface dwelling species towards the western mountains is evident, indicating an East to

west dispersion of the genus *Sinocyclocheilus* across South and South Western China. Eye

specializations mostly occurred in Clade B, and horn evolution occurred exclusively in Clade B,

within the Central range of the *Sinocyclocheilus* distribution.

**Main Tables:**

**Table 1. Model fit and estimated Brownian rate parameters for three traits (ED, sED and SL) in eye related morphs of *Sinocyclocheilus*.** Multiple-rate models of evolution providing the best fit to the data for all three quantitative traits with ΔAIC >2.

| Trait | Single rate model | | Multiple rate model | | ΔAIC |
|-------|-------|-------|-------|-------|-------|
| | AICc | AIC weights | AICc | AIC weights | |
| ED | 253.9337 | 0.19991263 | 251.1600 | 0.800088 | 2.773681 |
| sED | 246.1558 | 0.004509138 | 235.3616 | 0.995491 | 10.794260 |
| SL | 538.3145 | 0.018806041 | 530.4053 | 0.981194 | 7.909184 |

**Table 2. Model averaged rate parameters for the measured traits in eye related morphs of *Sinocyclocheilus*.** Normal-eyed and Micro-Eyed species indicate similar evolutionary rates with marked shifts towards Blind species.

| Trait | Model Averaged Rate | | |
|-------|-------|-------|-------|
| | Blind | Micro-eyed | Normal-eyed |
| SL | 45.3506 | 1597.9230 | 1275.5080 |
| ED | 11.3935 | 2.9984 | 3.8872 |
| sED | 24.9441 | 1.6925 | 2.2773 |

**Fig.1**

**Fig. 3.**

**Fig.4.**

**Supplementary Information:**

Fig. S1. Maximum-likelihood reconstructions for the ancestral states of the habitat occupation

(Troglodytic, Troglophilic and Surface) on the phylogeny of the genus *Sinocyclocheilus*.

Fig. S2. Maximum-likelihood reconstructions for the ancestral state of the horn related trait

(presence/absence of horn) on the phylogeny of the genus *Sinocyclocheilus*.

Table S1. Species information and GenBank accession numbers of two mtDNA fragments

(*NADH4* and *cytb*) of 39 *Sinocyclocheilus* species. The information of the outgroup species

*Linichthys laticeps* (Cyprinidae) is also indicated along with calculated standard length (SL), eye

diameter (ED), standard eye diameter (sED), discrete trait categories related to eye morphology

(Blind, Micro-eyed, Normal eyed), presence or absence of a horn and habitat occupation

(Troglodytic, Troglophilic and surface) for all species used in the analysis. Accession numbers

indicated as XXXX will be accessible upon the acceptance of the manuscript.

| Species name | SL | ED | SED | CYTB | NADH4 | Morph | Habitat | Horn |
|---|---|---|---|---|---|---|---|---|
| *Linichthys laticeps* | 110.20 | 4.91 | 4.45 | AY854739 | AY854796 | Normal-eyed | Surface | Absent |
| *S. altishoulderus* | 125.10± 16.74 | 3.07±0.35 | 2.46 | AY854724 | AY854781 | Micro-eyed | Troglodytic | Absent |
| *S. anatirostris* | 88.40 | 0.00 | 0 | AY854708 | AY854765 | Blind | Troglodytic | Present |
| *S. angustiporus* | 99.57±19.79 | 5.05±0.77 | 5.07 | AY854702 | AY854759 | Normal-eyed | Surface | Absent |
| *S. anophthalmus* | 99.80 | 0.00 | 0 | AY854715 | AY854772 | Blind | Troglodytic | Absent |
| *S. anshuiensis* | 87.40 | 0.00 | 0 | NC_027169 | NC_039769 | Blind | Troglodytic | Present |
| *S. bicornutus* | 98.80 | 1.39 | 1.41 | AY854730 | AY854787 | Micro-eyed | Troglodytic | Present |
| *S. brevibarbatus* | 137.55±18.17 | 4.50±0.84 | 3.27 | XXXX | - | Normal-eyed | Troglophilic | Absent |
| *S. brevis* | 92.91±6.39 | 3.55±0.14 | 3.83 | XXXX | - | Normal-eyed | Troglodytic | Absent |
| *S. guanyangensis* | 148.92±7.39 | 1.90±1.14 | 1.27 | AY854711 | AY854768 | Micro-eyed | Troglodytic | Absent |
| *S. cyphotergous* | 106.95±13.08 | 1.34±0.61 | 1.25 | AB196440 | - | Micro-eyed | Troglodytic | Absent |
| *S. donglanensis* | 173.37±15.94 | 7.09±0.69 | 4.09 | AY854709 | AY854766 | Normal-eyed | Troglodytic | Absent |
| *S. furcodorsalis* | 91.29±16.36 | 0.00 | 0 | AY854694 | AY854751 | Blind | Troglodytic | Present |
| *S. grahami* | 112.60 | 6.64 | 5.9 | XXXX | - | Normal-eyed | Surface | Absent |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| *S. guilinensis* | 120.68±15.04 | 4.74±0.33 | 3.93 | XXXX | - | Normal-eyed | Troglodytic | Absent |
| *S. guishanensis* | 64.90 | 3.48 | 5.35 | AY854722 | AY854779 | Normal-eyed | Surface | Absent |
| *S. huangtianensis* | 67.32±20.48 | 4.03±0.72 | 5.99 | XXXX | - | Normal-eyed | Troglodytic | Absent |
| *S. huaningensis* | 92.20 | 5.30 | 5.75 | AY854718 | AY854775 | Normal-eyed | Surface | Absent |
| *S. huanjiangensis* | 80.79±17.68 | 3.99±0.45 | 4.94 | XXXX | - | Normal-eyed | Troglodytic | Absent |
| *S. huizeensis* | 85.60 | 4.61 | 5.39 | NC_044072 | NC_039769 | Normal-eyed | Troglophilic | Absent |
| *S. hyalinus* | 59.80±28.43 | 0.00 | 0 | AY854721 | AY854778 | Blind | Troglodytic | Present |
| *S. jii* | 111.43±17.21 | 4.97±0.47 | 4.46 | AY854727 | AY854784 | Normal-eyed | Troglodytic | Absent |
| *S. jiuxuensis* | 125.10 | 2.84 | 2.27 | AY854736 | AY854793 | Micro-eyed | Troglodytic | Absent |
| *S. lateristritus* | 120.00 | 6.52 | 5.43 | AY854703 | AY854760 | Normal-eyed | Surface | Absent |
| *S. lingyunensis* | 77.69±9.35 | 2.75±0.60 | 3.53 | AY854691 | AY854748 | Normal-eyed | Troglodytic | Absent |
| *S. longibarbatus* | 90.63±1.82 | 4.84±0.24 | 5.34 | AY854714 | AY854771 | Normal-eyed | Troglophilic | Absent |
| *S. macrocephalus* | 202.30 | 9.42 | 4.65 | AY854683 | AY854740 | Normal-eyed | Surface | Absent |
| *S. macrolepis* | 93.30 | 4.06 | 4.35 | AY854729 | AY854786 | Normal-eyed | Troglophilic | Absent |
| *S. macrophthalmus* | 73.16±11.50 | 3.96±0.71 | 5.41 | AY854735 | AY854792 | Normal-eyed | Troglodytic | Absent |
| *S. maculatus* | 82.70 | 2.84 | 3.43 | EU366193 | EU366183 | Normal-eyed | Surface | Absent |
| *S. maitianheensis* | 90.00 | 3.96 | 4.4 | AY854710 | AY854767 | Normal-eyed | Surface | Absent |
| *S. malacopterus* | 129.20 | 4.65 | 3.6 | AY854697 | AY854754 | Normal-eyed | Surface | Absent |
| *S. mashanensis* | 107.36±5.72 | 3.13±0.34 | 2.91 | XXXX | - | Micro-eyed | Troglodytic | Absent |
| *S. microphthalmus* | 134.89±10.51 | 1.89±0.38 | 1.4 | AY854687 | AY854744 | Micro-eyed | Troglodytic | Absent |
| *S. multipunctatus* | 188.60 | 4.11 | 2.18 | AY854712 | AY854769 | Micro-eyed | Troglophilic | Absent |
| *S. oxycephalus* | 103.10 | 3.80 | 3.69 | AY854685 | AY854742 | Normal-eyed | Surface | Absent |
| *S. purpureus* | 97.60 | 3.61 | 3.7 | EU366194 | EU366177 | Normal-eyed | Surface | Absent |
| *S. qiubeiensis* | 103.30 | 3.84 | 3.72 | EU366195 | EU366181 | Normal-eyed | Surface | Absent |
| *S. qujingensis* | 99.90 | 4.91 | 4.92 | AY854719 | AY854776 | Normal-eyed | Surface | Absent |
| *S. rhinocerous* | 78.20 | 1.55 | 1.98 | AY854720 | AY854777 | Micro-eyed | Troglodytic | Present |
| *S. ronganensis* | 112.72 | 5.92 | 5.25 | NC_032385 | NC_039769 | Normal-eyed | Troglodytic | Absent |
| *S. tianeensis* | 102.34±12.43 | 0.00 | 0 | AY854717 | AY854774 | Blind | Troglodytic | Present |
| *S. tianlinensis* | 87.96±27.97 | 0.00 | 0 | XXXX | - | Blind | Troglodytic | Present |
| *S. tingi* | 109.00 | 6.42 | 5.89 | AY854701 | AY854758 | Normal-eyed | Surface | Absent |
| *S. wumengshanensis* | 92.80 | 4.52 | 4.87 | NC_039769 | NC_039769 | Normal-eyed | Surface | Absent |
| *S. xunlensis* | 69.01±21.44 | 0.00 | 0 | EU366187 | EU366184 | Blind | Troglodytic | Absent |
| *S. yangzongensis* | 144.50 | 5.56 | 3.85 | AY854725 | AY854782 | Normal-eyed | Surface | Absent |
| *S. yimenensis* | 92.50 | 3.31 | 3.58 | EU366192 | EU366179 | Normal-eyed | Troglophilic | Absent |
| *S. yishanensis* | 105.34±8.15 | 5.23±0.23 | 4.97 | XXXX | - | Normal-eyed | Troglodytic | Absent |

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| *S. zhenfengensis* | 56.78 | 2.41 | 4.24 | MK610342 | MK610347 | Normal-eyed | Troglodytic | Absent |

655　Table S2.  Information of digitized images used in the morphometric geometric analysis. Table

656　indicates the voucher number of the specimen used for the analysis and the reference from which

657　the image was obtained. Images photographed during the current study are also indicated with

658　voucher numbers stated as GXUXXX (GXU: Guangxi University, China).

| Species name | Reference | Voucher # |
|---|---|---|
| *Linichthys laticeps* | Zhang, E., and F. Fang. 2005. Linichthys: A New Genus of Chinese Cyprinid Fishes (Teleostei: Cypriniformes). Copeia 2005:61–67. | *IHB 78X6242* |
| *S. altishoulderus* | Guangxi University (this study). | *GXU001, GXU002, GXU003* |
| *S. anatirostris* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB 84VII255,* |
| *S. angustiporus* | Guangxi University (this study). | *GXU007, GXU008 , GXU009* |
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| *S. brevibarbatus* | Guangxi University (this study). | *GXU010, GXU011, GXU012* |
| *S. brevis* | Guangxi University (this study). Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *GXU013, GXU014* *IHB12209033-* |

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| *S. donglanensis* | Guangxi University (this study). | *GXU015, GXU016, GXU017* |
| *S. furcodorsalis* | Guangxi University (this study). | *GXU018, GXU019, GXU020* |
| *S. grahami* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB 03496* |
| *S. guanyangensis* | Guangxi University (this study). | *GXU004,GXU005, GXU006* |
| *S. guilinensis* | Guangxi University (this study). | *GXU021, GXU022, GXU023* |
| *S. guishanensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *Li980514005* |
| *S. huangtianensis* | Guangxi University (this study). | *GXU024, GXU025, GXU026* |
| *S. huaningensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB79228* |
| *S. huanjiangensis* | Guangxi University (this study). | *GXU027, GXU028, GXU029* |
| *S. huizeensis* | Cheng, C., P. Xiao-Fu, C. Xiaoyong, J. Li, L. Ma, and J. Yang. 2015. A new species of the genus *Sinocyclocheilus* (Teleostei:Cypriniformes), from Jinshajiang Drainage, Yunnan, China. Cave Research 1:1–4. | *KIZ2013001246* |
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| *S. jiuxuensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB102260* |
| *S. lateristritus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209036-865027* |
| *S. lingyunensis* | Guangxi University (this study).<br>Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *GXU030*<br>*ASIZB 73038* |
| *S. longibarbatus* | Guangxi University (this study). | *GXU031, GXU032, GXU033* |
| *S. macrocephalus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209012-662001* |
| *S. macrolepis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209035-87IV457* |
| *S. macrophthalmus* | Guangxi University (this study). | *GXU034,GXU035,GXU036* |
| *S. maculatus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *Li870808001* |

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| *S. maitianheensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209039-874001* |
| *S. malacopterus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *KIZ775831* |
| *S. mashanensis* | Guangxi University (this study). | *GXU037, GXU038, GXU039* |
| *S. microphthalmus* | Guangxi University (this study). | *GXU040, GXU041, GXU042* |
| *S. multipunctatus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB73000* |
| *S. oxycephalus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209013-652047* |
| *S. purpureus* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *IHB12209015-731004* |
| *S. qiubeiensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *Li990527002* |
| *S. qujingensis* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB78790* |
| *S. rhinocerous* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB93907* |
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| *S. tianeensis* | Guangxi University (this study). | *GXU043, GXU044, GXU045* |
| *S. tianlinensis* | Guangxi University (this study). | *GXU052, GXU053, GXU054* |
| *S. tingi* | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *ASIZB60227* |

| S. wumengshanensis | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *KIZ82100006* |
| S. xunlensis | Guangxi University (this study). | *GXU046, GXU047, GXU048* |
| S. yangzongensis | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *KIZ6351069* |
| S. yimenensis | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *Li030509009* |
| S. yishanensis | Romero, A., Y. Zhao, and X. Chen. 2009. The Hypogean fishes of China. Environ Biol Fish 86:211–278. | *GXU049, GXU050, GXU051* |
| S. zhenfengensis | Liu, T., H. Q. Deng, L. Ma, N. Xiao, and J. Zhou. 2018. *Sinocyclocheilus zhenfengensis* , a new cyprinid species (Pisces: Teleostei) from Guizhou Province, Southwest China. J Appl Ichthyol 34:945–953. | *GZNU20120701001* |

661 Table S3. Calculated Principal Component values (PC1, PC2 and PC3) of all the specimens used

662 in the current analysis

| Spname | PC1val | PC2val | PC3cal |
| --- | --- | --- | --- |
| *Linichthys laticeps* | 0.1069618 | -0.006044939 | -0.032010383 |
| *S. altishoulderus* | -0.01804998 | -0.010081536 | -0.046423134 |
| *S. anatirostris* | -0.01766386 | 0.046175863 | 0.051169448 |
| *S. angustiporus* | 0.04334953 | -0.002675304 | 0.034186136 |
| *S. anophthalmus* | 0.02908059 | 0.031606384 | 0.00917709 |
| *S. anshuiensis* | -0.06639039 | -0.000250595 | -0.004873197 |
| *S. bicornutus* | -0.07667729 | 0.060886565 | 0.012973894 |
| *S. brevibarbatus* | -0.05048176 | -0.011045867 | 0.006805293 |
| *S. brevis* | -0.0142754 | -0.011768784 | -0.001763996 |

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|---|---|---|---|
| *S. guanyangensis* | -4.38E-05 | 0.015156895 | -0.025200906 |
| *S. cyphotergous* | -0.1220022 | -0.139796596 | 0.008525168 |
| *S. donglanensis* | 0.004198408 | 0.013428914 | -0.021746042 |
| *S. furcodorsalis* | -0.050741 | -0.000898602 | -0.044412032 |
| *S. grahami* | 0.02231859 | 0.026236004 | 0.022098623 |
| *S. guilinensis* | 0.01963493 | -0.0033727 | -0.023009295 |
| *S. guishanensis* | 0.07886827 | 0.00257981 | -0.059167855 |
| *S. huangtianensis* | 0.05562456 | -0.011114954 | 0.021111628 |
| *S. huaningensis* | 0.04117411 | -0.037450418 | 0.031061221 |
| *S. huanjiangensis* | 0.009958768 | -0.021868616 | 0.007063138 |
| *S. huizeensis* | -0.003779219 | 0.010286192 | 0.031012201 |
| *S. hyalinus* | -0.03974731 | 0.030183657 | 0.039671171 |
| *S. jii* | 0.02155773 | -0.019701057 | -0.005284772 |
| *S. jiuxuensis* | -0.06337799 | 0.004932247 | -0.008080491 |
| *S. lateristritus* | 0.01609448 | -0.006156199 | 0.021918263 |
| *S. lingyunensis* | 0.01666848 | 0.015544267 | 0.002003128 |
| *S. longibarbatus* | 0.0238826 | -0.037134912 | 0.007645544 |
| *S. macrocephalus* | 0.0223646 | -0.03930768 | 0.034945546 |
| *S. macrolepis* | 0.002586613 | 0.0056233 | -0.005281302 |
| *S. macrophthalmus* | 0.01921085 | -0.004423179 | 0.011957836 |
| *S. maculatus* | -0.01164108 | -0.003338214 | -0.043022304 |
| *S. maitianheensis* | 0.04058493 | -0.015399253 | -0.004022456 |
| *S. malacopterus* | 0.03369873 | 0.016341474 | 0.002649988 |
| *S. mashanensis* | -0.03299028 | -0.033529198 | -0.005547116 |
| *S. microphthalmus* | -0.02936266 | 0.061223556 | -0.040563771 |
| *S. multipunctatus* | -0.05126049 | 0.014657848 | -0.010821531 |

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|---|---|---|---|
| *S. oxycephalus* | 0.04140438 | 0.030989746 | -0.036348165 |
| *S. purpureus* | 0.02288238 | -0.045035385 | -0.018448807 |
| *S. qiubeiensis* | 0.03248934 | -0.003880679 | 0.012191068 |
| *S. qujingensis* | 0.000644406 | 0.033555511 | 0.046291334 |
| *S. rhinocerous* | -0.08985807 | 0.03649387 | -0.020510521 |
| *S. ronganensis* | 0.05067357 | -0.004282673 | -0.02013066 |
| *S. tianeensis* | -0.06234116 | 0.02827463 | -0.030564848 |
| *S. tianlinensis* | -0.01905521 | 0.012948686 | -0.00406653 |
| *S. tingi* | 0.01508119 | -0.019031787 | -0.002178747 |
| *S. wumengshanensis* | -0.000171791 | -0.027155405 | 0.003238896 |
| *S. xunlensis* | 0.008420042 | 0.022462118 | 0.047598226 |
| *S. yangzongensis* | 0.02622031 | -0.003887167 | -0.006000758 |
| *S. yimenensis* | -0.01762049 | 0.008265106 | 0.019258774 |
| *S. yishanensis* | 0.007505367 | -0.029610044 | -0.01952727 |
| *S. zhenfengensis* | 0.01938822 | -0.002301361 | 0.003044235 |

**Fig.S1.**

682　　**Fig.S2.**