1	Regional genomic heritability mapping for agronomic traits in sugarcane
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25	polymorphism; sugarcane.
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28	Abstract
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30	Background. The identification of genomic regions involved in agronomic traits is the
31	primary concern for sugarcane breeders. Genome-wide association studies (GWAS) leverage
32	the sequence variations to bridge phenotypes and genotypes. However, their effectiveness is
33	limited in species with high ploidy and large genomes, such as sugarcane. As an alternative, a
34 35	regional heritability mapping (RHM) method can be used to capture genetic signals that may be missed by GWAS by combining genetic variance from neighboring regions. We used
35 36	RHM to screen the sugarcane genome aiming to identify regions with higher heritability
30 37	associated with agronomic traits. We considered percentage of fiber in sugarcane bagasse
37	(FB), apparent percentage of sugarcane sucrose (PC), tonnes of pol per hectare (TPH), and
39	tonnes of stalks per hectare (TSH).
40	Methods. Sequence-capture data of 508 sugarcane (<i>Saccharum</i> spp.) clones from a breeding
41	population under selection were processed for variant calling analysis using the sugarcane
42	genome cultivar R570 as a reference. A set of 375,195 single nucleotide polymorphisms were
43	selected after quality control. RHM was conducted by splitting the sugarcane genome into
44	windows of 2 Mb length.
45	Results. We selected the windows explaining $> 20\%$ of the total genomic heritability for TPH
46	(64 windows - 5,654 genes) and TSH (72 windows - 6,050 genes), and > 15% for PC (16
47	windows - 1,517 genes) and FB (17 windows - 1,615 genes). The top five windows that
48	explained the highest genomic heritability ranged from 20.8 to 24.6% for FB (629 genes),
49 50	18.0 to 22.0% for PC (452 genes), 53.8 to 66.0% for TPH (705 genes), and 59.5 to 67.4% for TSH (412 genes). The functional expectation of genes included in these ten five windows
50	TSH (413 genes). The functional annotation of genes included in those top five windows

51 revealed a set of genes that encode enzymes that integrate carbon metabolism, starch and

52 sucrose metabolism, and phenylpropanoid biosynthesis pathways.

53 **Conclusions.** The selection of windows that explained the large proportions of genomic

54 heritability allowed us to identify genomic regions containing a set of genes that are related to

the agronomic traits in sugarcane. These windows spanned a region of 58.38Mb, which

- 56 corresponds to 14.28% of the reference assembly in the sugarcane genome. We contend that
- 57 RHM can be used as an alternative method for sugarcane breeders to reduce the complexity of
- 58 the sugarcane genome.
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6061 **1. Introduction**

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Sugarcane (*Saccharum* spp.) is a perennial C_4 grass of the Poaceae family, which is an economically important crop for the sugar and biofuels industries. Its cultivars are multiplied through vegetative propagation and are primarily grown in tropical and subtropical regions (Barbosa et al., 2012).

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Improvement of sugarcane is a challenging process guided by conventional methods, which demand time and is hindered by its genomic complexity (Barbosa et al., 2012). Due to its long production cycle, sugarcane breeding programs take at least 11 years to release a new cultivar (Barbosa et al., 2012; Peternelli et al., 2018). Sugarcane breeders also must deal with the complexity of the sugarcane genome that exceeds other crops, which is the product of interspecific hybridization between *Saccharum officinarum* and *S. spontaneum* originated from modern cultivars. These cultivars are highly heterozygous, aneuploid, and have large

genomes with a variable number of chromosomes (Lu et al., 1994; D'Hont et al., 2001;

76 Piperidis, Piperidis & D'Hont, 2010; Garsmeur et al., 2018).

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78 High throughput sequencing technologies have been enabling significant advances in uncovering and understanding the genomic complexity of sugarcane. A comparison of the 79 80 sugarcane genetic maps with other Poaceae species maps revealed microsynteny with the 81 Sorghum bicolor genome (Figueira et al., 2012; Aitken et al., 2014; Yang et al., 2017). 82 Analysis of transcriptomes further confirmed that sugarcane and sorghum transcripts share more than 90% of sequence identity (Nishiyama et al., 2014; Yang et al., 2017). Thus, the 83 84 sorghum genome became the most suitable reference for sequence variation analysis in 85 sugarcane and is of frequent use in linkage and quantitative trait loci (QTL) mapping. 86 However, the recently released reference sequence of the sugarcane cultivar R570 monoploid genome (Garsmeur et al., 2018) opens a new opportunity for sugarcane geneticists. This 87 88 reference is a high-quality sequence that represents the gene space of the sugarcane monoploid genome, which contains genes annotated in their genomic context with the 89 90 respective regulatory elements.

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92 Identification of genomic regions involved in agronomic traits related to yield and disease 93 resistance by performing molecular marker-assisted selection is the primary concern for 94 sugarcane breeders. To increase the resolution of single nucleotide polymorphism (SNP) 95 genotyping, sequence-capture methods have been used as a powerful tool to assess sequence variation in target regions across the genomes and to reduce costs in comparison with whole-96 97 genome sequencing. Genome-wide association studies (GWAS) leverage these sequence 98 variations to bridge phenotypes and genotypes. GWAS also exploit the high amount of 99 linkage disequilibrium (LD) in sugarcane as a more suitable alternative for bi-parental QTL 100 mapping (Raboin et al., 2008). However, many instances of linked markers still will not be

recognized due to the confounding effect of polyploidy of the sugarcane genome (Raboin etal., 2008).

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Additionally, GWAS in high ploidy species, such as sugarcane, is also limited by high 104 105 sequencing depth needed to call variants from the large genome and the difficulty in 106 determining the dosage of markers (Meirmans, Liu & Van Tienderen, 2018). For instance, 107 only a few significantly associated SNPs have been detected in agronomic traits (Yang et al., 108 2018; Fickett et al., 2019). As an alternative, a regional heritability mapping (RHM) method can be used to capture genetic signals that may be missed by GWAS by combining genetic 109 110 variance from neighboring regions (Nagamine et al., 2012; Shirali et al., 2016; Resende et al., 111 2018). Here, we analyzed sequence-capture data of sugarcane clones from a breeding 112 population under selection, which were phenotypically evaluated. We used the RHM method 113 to identify genomic regions that explain significant additive genetic variance in agronomic 114 traits by screening the whole sugarcane genome.

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116117 2. Materials and Methods

118119 2.1. Plant material

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The sugarcane clones analyzed in this study were selected from a breeding population of the Sugarcane Breeding Program (Programa de Melhoramento da Cana-de-Açúcar, PMGCA) at the Federal University of Viçosa (Universidade Federal de Viçosa, UFV). This population consisted of 508 clones from 100 half-sib families, originated from crossings between elite sugarcane clones and commercial cultivars.

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127 These crossings were performed in 2010 at the Serra do Ouro's experimental station located 128 in Murici (Alagoas, Brazil) (09°13' S, 35°50' W, 450 m altitude). The seedlings were produced at PMGCA's experimental station located in Oratórios (Minas Gerais, Brazil) 129 130 (20°25' S, 42°48' W, 494 m altitude). The seedlings were conducted in the first phase trials 131 (T1) performed in 2011 (plant cane) and 2012 (first ration). Sugarcane clones analyzed were 132 originated from plants selected in T1 and advanced to the second phase trial (T2) in July 2012, which was conducted in an augmented block design experiment (Federer & 133 134 Raghavarao, 1975). In the T2 trial, the experimental plots consisted of one 4 m row with a 135 spacing of 1 m between plots. The clones (unreplicated) and two common reference cultivars (checks replicated once each) were arranged in 49 augmented blocks. The reference cultivars 136 were RB867515 (Barbosa et al., 2001) and SP80-1842, which are cultivated in large areas and 137 138 commonly used as checks in breeding experiments in Brazil.

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140 2.2. Phenotypic data

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Sugarcane clones were phenotypically evaluated in plant cane in July 2013 after 12 months of
growth. Ten stalks randomly taken from each plot were used for estimating the tonnes of
stalks per hectare (TSH), percentage of fiber in sugarcane bagasse (FB), apparent percentage
of sucrose in sugarcane (PC), and tonnes of pol per hectare (TPH).

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TSH was obtained from the total number of stalks per row and the wet weight of 10 stalks
determined with a dynamometer (Castro et al., 2016). FB was determined on a wet basis from

- 149 a 500 g sample of the shredded stalk (Tanimoto, 1964; Legendre, 1992) as [FB(%) = ((100)
- $150 \times DM$ (WM × Brix))/(5 × (100 Brix))], where DM and WM are dry and wet mass of

151 the sample removed from a hydraulic press and Brix is the juice Brix measured by 152 refractometer. The apparent percentage of sucrose in juice (polarization, POL) was measured by polarimetric determination after juice extraction from 500 g samples crushed in a hydraulic 153 press (Schneider, 1979) and used to derive the apparent percentage of sucrose in sugarcane 154 (PC), according to the following expression (Baffa et al., 2014): [PC (%) = POL \times (1 - 0.01 155 \times FB) \times (0.9961 - 0.0041 \times FB)]. The trait TPH, expressed as a percentage of apparent 156 157 sucrose on a fresh weight basis, was estimated as [TPH (%) = (TSH \times PC) / 100]. 158 159 2.3. Statistical analysis of phenotypic data 160 161 We analyzed the phenotypic data through the mixed model methodology using the software 162 Selegen-REML/BLUP (Resende, 2016). Variance components were estimated by restricted maximum likelihood (REML), and the genotypic effects of the clones were predicted by 163 164 BLUP. We used the following linear mixed model: 165 166 $\mathbf{y} = \mathbf{1}\mathbf{\mu} + \mathbf{Z}\mathbf{u} + \mathbf{W}\mathbf{b} + \mathbf{e},$ 167 where y is the vector of phenotypic observations for each trait; 1 is a vector of 1s; μ is the 168 overall mean; $\mathbf{u} \sim N(\mathbf{0}, \mathbf{I}\sigma_{u}^{2})$ is the vector of random genotypic effects; $\mathbf{b} \sim N(\mathbf{0}, \mathbf{I}\sigma_{b}^{2})$ is the 169 vector of random block effects; and $\mathbf{e} \sim N(\mathbf{0}, \mathbf{I}\sigma_e^2)$ is the vector of residuals. Z and W are 170 incidence matrices relating the observations to the respective model effects. 171 172 173 The statistical significance of the effects was tested using the likelihood ratio test under the analysis of deviance theory (Resende, 2016). The predicted genotypic values $(\hat{\mu} + \hat{u}_i)$ of the 174 175 clones (i = 1 to n) are listed in Table S1 and were considered as response variables in the 176 RHM analysis. 177 178

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2.4. Genotypic data: Genomic DNA sequencing

The total genomic DNA of sugarcane clones was extracted using the DNeasy Plant Mini Kit 180 181 of Qiagen[®] following the manufacturer's guidelines. The genomic libraries were produced 182 and sequenced by RAPiD Genomics (Florida, USA). In this sequencing, the single-end 183 libraries were built using a capture-seq methodology (Neves et al., 2013), which includes a set 184 of probes to capture non-repetitive and evenly distributed sequences in the sugarcane genome. 185

186 Briefly, a set of 50,000 unique sequences was identified from: i) existing expressed sequence 187 tags (ESTs) from public sugarcane cDNA libraries and ii) whole-shotgun genome sequences 188 available publicly, consistently distributed in the genome and assuming synteny to the sorghum genome. Biotinylated 120-mer probes that complement a segment of each of the 189 190 50,000 target regions of the sugarcane genome were synthesized and were utilized to capture 191 sequences at each target locus. The sequencing yielded a dataset of 4.77 billion reads 192 containing sequences with 100nt or 150nt in length.

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2.5. Bioinformatic and genetic analysis of genotypic data

196 2.5.1. Reference genome

198 To evaluate the sequence variation, we selected the genome of sugarcane cultivar R570

- 199 (Grasmeur et al. 2018) as a reference, which is available at Sugarcane Genome Hub
- 200 (http://sugarcane-genome.cirad.fr/organism/R570-Sugarcane/cultivar). This genome is a

Single Tilling Path (STP) assembly of 408.94Mb containing ten chromosomes and 24,341
 annotated genes. The unplaced contigs were not considered for the mapping analysis.

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204 2.5.2. Mapping analysis

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206 The raw reads of each capture-seq library were first trimmed to remove sequence adapters and 207 poorly sequenced regions using Trimmomatic version 0.38 (Bolger, Lohse & Usadel, 2014). 208 Trimmed reads were mapped to the reference genome using the BWA-MEM algorithm of 209 BWA version 0.7.17 (http://bio-bwa.sourceforge.net/) (Li & Durbin, 2009). A flag identifying 210 the several sugarcane clones was added to each mapping file. Then, the Sequence Alignment 211 Map files were processed using SortSam, MarkDuplicates, and BuildBamIndex tools in 212 Picard version 2.18.27 (https://github.com/broadinstitute/picard/). As a result, we produced 213 ordered and deduplicated Binary Alignment Map files, containing ordered and deduplicated 214 data. A schematic overview of the analysis conducted in the current study is shown in Figure S1. 215

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- 217 2.5.3. Variant calling
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219 Variants were called using FreeBayes version 1.2.0 (https://github.com/ekg/freebayes)

220 (Garrison & Marth, 2012) with a minimum mapping quality of 20, minimum base quality of

221 20, and minimum coverage of 20 reads at every position in the reference genome. After

variant calling, SNPs were filtered using vcftools version 0.16.15

223 (https://vcftools.github.io/index.html), Bcftools version 1.9

224 (https://samtools.github.io/bcftools/), and in-house AWK shell scripts. Among the

225 polymorphic loci detected, we selected those with biallelic SNPs with less than 25% of

missing data. Missing genotypes were imputed by Beagle version 5.1 (Browning, Zhou &
 Browning, 2018), using a flexible localized haplotype-cluster model to group locally simila

Browning, 2018), using a flexible localized haplotype-cluster model to group locally similar
haplotypes into clusters.

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230 2.5.4. Regional heritability mapping (RHM) analysis

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We performed RHM based on the variance component method described in Nagamine et al. (2012) using REACTA (Regional Heritability Advanced Complex Trait Analysis) version 0.97 (Canela-Xandri et al., 2015). We concatenated the ten chromosomes and split the whole sugarcane genome into 409 overlapping windows with an average length of 2 Mb to estimate the proportion of phenotypic variance explained by all genome-wide SNPs or a subset of SNPs using REML. The following mixed model is considered:

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239 $y^* = 1\mu + Zg + Qr + e$,

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where \mathbf{y}^* is the vector of adjusted phenotypic observations (genotypic values), **1** is a vector of 1s, μ is the overall mean, **Z** and **Q** are the design matrices for the whole (without the window) and the regional random effects, respectively. The distributions and covariance structures of **g** and **r** were $\mathbf{g} \sim N(\mathbf{0}, \mathbf{G}\sigma_g^2)$ and $\mathbf{r} \sim N(\mathbf{0}, \mathbf{G}_r\sigma_r^2)$, respectively. The residual term followed $\mathbf{e} \sim$ N($\mathbf{0}, \mathbf{I}\sigma_e^2$). We also run a model using all genome-wide SNPs without any window to estimate the total genomic heritability for each trait (\mathbf{h}_G^2).

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A Bonferroni correction based on the number of independent windows was used to obtain a genome-wide significance threshold for the RHM analysis, $[\alpha_{critical} = 0.05/(0.5 \text{ x Num}.)]$ Windows)], as previously proposed (Nagamine et al., 2012) and implemented (Shirali et al.,
2016) in the literature.

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253 2.5.5. Functional analysis of genomic windows254

Among the analyzed genomic regions, we selected the windows explaining above 20% of the 255 total genomic heritability for TPH and TSH ($h_r^2 / h_G^2 \ge 20\%$) and above 15% for PC and FB 256 $(h_r^2/h_G^2 \ge 15\%)$, where $h_r^2 = \sigma_r^2/(\sigma_g^2 + \sigma_r^2 + \sigma_e^2)$ and $h_G^2 = \sigma_g^2/(\sigma_g^2 + \sigma_r^2 + \sigma_e^2)$. The 257 gene content of these windows was identified using bedtools version 2.28.0 and the gff3 258 259 genome annotation file of the sugarcane cultivar R570 genome. The protein sequences encoded by the genes included in those windows were functionally characterized through 260 261 similarity searches using BLAST version 2.6.0 (Altschul et al., 1990), Blast2GO (Gotz et al., 262 2008), and KAAS (KEGG Automatic Annotation Server) (Moriya et al., 2007). The lists of 263 genes that explained high heritability for each trait were compared through Venn diagrams using the jvenn package (Bardou et al., 2014). Additionally, the gene ontology (GO) terms of 264 265 these genes were further summarized using REViGO (Supek et al., 2011).

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268 **3. Results**

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270 3.1. Phenotypic variation of analyzed traits

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272 Phenotypic analysis of the sugarcane clones showed a considerable phenotypic variation for 273 the traits analyzed suggesting that there is genotypic variability to be exploited (Figure 1; 274 Tables S1 and S2). The genotypic values obtained with the adjusted model, described above, ranged from 8.39 to 16.43 (average of 11.09) for a percentage of FB, from 6.50 to 17.00 (av. 275 276 13.81) for PC, from 6.81 to 20.66 (av. 14.83) for TPH, and from 51.52 to 152.23 (av. 107.96) for TSH (Table S1). Genotypic variance (σ_n^2) and broad-sense heritability (H²) were 2.21 and 277 0.56 for FB; 2.02 and 0.71 for PC; 7.48 and 0.58 for TPH; 389.98 and 0.57 for TSH, 278 279 respectively (Table S2).

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Pearson's pairwise correlations between adjusted genetic values of FB, PC, TPH, and TSH are shown in Figure 1 and evaluated for their significance (P-value ≤ 0.01). We observed FB and PC were negatively correlated (-0.18). TPH was positively correlated with PC (0.46) and highly correlated with TSH (0.86). FB was not significantly correlated with TSH and TPH. We observed a weak and negligible correlation between TSH and PC.

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287 3.2. Genotyping analysis and variant selection

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Among all 4.77 billion reads sequenced from the 508 sugarcane clones, 4.58 billion (96.01%) were trimmed and selected for being mapped on the reference genome (~ 9.02 million of reads per sugarcane clone). These reads were mapped with an average rate of 75.73%

(ranging from 71.39 to 77.94% among the sugarcane clones) and genome coverage of 1.46X

293 (ranging from 1.14 to 1.88 among the sugarcane chromosomes) (Table 1).

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From those reads, 15.41 million polymorphic loci were identified among the sugarcane

clones, and 814,987 SNPs were subsequently filtered by selecting biallelic loci with less than

297 25% of missing data. After SNP imputation and quality control, 375,195 SNPs were selected

for the RHM analysis, which corresponds to a frequency of 917.48 SNPs/Mb in the sugarcane

genome (Table 1). Among sugarcane chromosomes (Sh), Sh05 was the least polymorphic
 (772.81 SNPs/Mb), and Sh04 was the most polymorphic (1,025.88 SNPs/Mb).

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302 3.3. Regional heritability mapping analysis

The calculated total genomic heritability (h_G^2) was 0.798 ± 0.233 for FB, 0.932±0.133 for PC, 0.369 ± 0.256 for TPH, and 0.383 ± 0.210 for TSH. A suggestive significance threshold of 3.61 for -log₁₀ P-value (i.e., P-value = 0.000244) was calculated for window selection in the RHM analysis. None of the analyzed windows were associated with the traits with P-values above this threshold (Figure S2). Therefore, the selection of windows was proceeded based on window heritability (h_r^2) , and the proportion of h_G^2 explained (h_r^2/h_G^2) aiming to identify regions that could be further exploited for prospecting genes (Figure 2).

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Among the analyzed windows, we selected those that explained 15% of h_G^2 for FB (17 312 313 windows - 1,615 genes) and PC (16 windows - 1,517 genes), and those that explained 20% of 314 h_G^2 for TPH (64 windows - 5,654 genes) and TSH (72 windows - 6,050 genes) (Figures 2 and 315 3; Table S3). Even though distributed across all the chromosomes, none of these selected windows was related to all traits jointly. One window was related to FB, PC, and TPH (w146 316 317 - Sh03: 31,787,519 to 34,081,415 - 137 genes), and two windows were related to PC, TPH, 318 and TSH (w90 - Sh02: 25,073,847 to 27,836,987 - 153 genes; w393 - Sh10: 19,693,048 to 22,127,732 - 85 genes). TPH and TSH were the traits that shared the highest number of 319 windows (32 windows - 3,043 genes). 320

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The top five windows with high heritability explained 20.8 to 24.6% of h_G^2 for FB (629 genes), 18.0 to 22.0% for PC (452 genes), 53.8 to 66.0% for TPH (705 genes), and 59.5 to 67.4% for TSH (413) (Figure 3). Among these windows, w297 (Sh07: 14688970 to 17462449 - 121 genes) was the only one related to two traits, and it explained 63.5% of the total heritability for TPH and 66.1% for TSH.

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Functional annotations of all the 2,078 genes included in those top five windows generated a non-redundant list of the biological process containing 68 GOs for FB, PC and TPH, and 48 GOs for TSH (Figure 4). Also, 712 genes (34.26%) were classified into 244 KEGG ortholog groups, which were mapped to 104 KEGG pathways, including carbon metabolism, starch, and sucrose metabolism, and phenylpropanoid biosynthesis pathways (Table 2).

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335 **4. Discussion**

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Genotyping by sequencing (GBS) coupled with capture-seq methods have been a practical 337 approach to survey polymorphisms in the genomes of *Saccharum* species. It reduces the 338 339 complexity of genomes and allows genome-wide analysis aiming to develop molecular 340 markers (Song et al., 2016; Balsalobre et al., 2017; Yang et al., 2017, 2018, 2019; Fickett et al., 2019). However, the complexity of the sugarcane genome still poses some challenges for 341 342 the widespread use of GBS and the adoption of molecular marker-assisted selection in 343 breeding programs. The high and variable ploidy of the allopolyploid genome 344 (Thirugnanasambandam, Hoang & Henry, 2018) makes the identification of SNPs 345 significantly associated with agronomic traits of interest in sugarcane crop a challenging task. 346 The identification of significant SNPs would demand a high coverage when using the GBS approach, which increases the costs involved in population studies (Meirmans, Liu & Van 347 348 Tienderen, 2018; Yang et al., 2018). Studies reporting SNPs associated with agronomic traits

in the sugarcane genome are still scarce. For instance, only 229 SNPs (that explained > 5%
 phenotypic variation at the unadjusted P-value cutoff of 0.05) have been reported for 97
 clones in a breeding population (Fickett et al., 2019) and 191 SNPs (Bonferroni corrected P-

value cutoff of 0.05) for 308 accessions in a germplasm collection (Yang et al., 2018).

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354 Based on the low number of SNPs detected at 5% of significance threshold in previous work, and also because we used a low coverage capture-seq data from our breeding population 355 356 (containing 508 sugarcane genotypes), we performed RHM analysis to investigate regions in 357 the sugarcane genome related to agronomic traits. These sugarcane clones showed 358 comparable phenotypic data, as observed in other studies (Racedo et al., 2016; Yang et al., 359 2018; Fickett et al., 2019). Their average values of FB, PC, TPH, and TSH were 11.09, 13.81, 360 14.83, and 107.96, respectively. Comparable TPH of 17.12 t/ha was observed in Reunion 361 Island (Gouy et al., 2014), 9.22 t/ha in Tucumán (Argentina) (Racedo et al., 2016), and 8.84 t/ha in Louisiana (United States) (Fickett et al., 2019). 362

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The high and positive pairwise correlation observed for TPH with TSH and PC is expected because TPH is estimated as a product of these two variables. On the other hand, the negative pairwise correlation between FB and PC is in agreement with the knowledge about carbon partition and metabolism in sugarcane (Hoang et al., 2017). The estimates of broad-sense heritability ranged from 0.56 to 0.71, similar to the range observed for the same traits in other studies with sugarcane (Gouy et al., 2014; Racedo et al., 2016; Fickett et al., 2019).

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371 The RHM analysis appears to be an interesting approach to screening the sugarcane genome 372 aiming to identify regions related to the agronomic traits. We argued that the regions 373 explaining a higher portion of the genomic heritability could be further explored for 374 molecular marker investigation. Unfortunately, in the present study, none of the analyzed 375 genome windows were significantly associated with the traits considered at the suggestive 376 significance threshold of 3.94 (Figure S2), which could be due to the lower sequencing coverage, heterogeneity of regions covered by the sequencing, and the polyploidy effect. To 377 378 overcome these limitations, we considered a new strategy to infer about the regions of the 379 genome related to the traits under study: the selection of windows showing high heritability 380 (h_r^2) and proceeded with the analysis of their genic content to identify candidate regions to develop molecular markers. The analyzed traits showed regions with higher heritability, 381 explaining 15% or 20% of h_G^2 in almost all sugarcane chromosomes. The number of windows 382 383 above these thresholds was lower for FB (17 windows > 15%; 1,615 genes) and PC (16 windows > 15%; 1,517 genes) when compared to TPH (65 windows > 20%; 5,654 genes) and 384 TSH (73 windows > 20%; 6,050 genes) (Figures 2 and 3). These differences could be due to 385 386 the lower complexity of FB and PC, which might be controlled by a smaller number of genes. The selection of top-5 windows with higher heritability was enough to reduce the complexity 387 388 of the sugarcane genome and to provide insights about regions containing a set of genes 389 possibly related to FB (629 genes), PC (452 genes), TPH (705 genes) and TSH (413 genes) 390 traits.

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Analysis of biological processes attributed to a function of genes located in the windows with
higher heritability for FB (629 genes) indicated GO terms such as "cell wall organization"
(GO: 0071555), "flavonoid biosynthetic process" (GO: 0009813), and "lignin catabolic
process" (GO: 0046274) (Figure 4). Some of these genes encode enzymes that catalyze the
biosynthesis of secondary metabolites such as flavonoids, phenylpropanoids, and lignin.
Among these enzymes are shikimate O-hydroxycinnamoyltransferase (window w268; gene
Sh06_g013010), scopoletin glucosyltransferase (w359/w360; Sh09_g011980), and flavonoid

3'-monooxygenase (w360; Sh09_g012740). Genes related to "carbohydrate metabolic
process" (GO:0005975), "carbon utilization" (GO:0015976), and "sucrose metabolic process"
(GO:0005985) are also included in genomic regions with higher heritability for FB. These
genes encode enzymes such as hexokinase (w268; Sh06_g013660) and malate dehydrogenase
(w353; Sh09_g008010).

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405 Genes related to "carbohydrate metabolic process" (GO:0005975) and "photosynthesis" 406 (GO:0015979) are among those included in the windows with higher heritability for PC (452 407 genes). These genes encode enzymes of glycolysis/gluconeogenesis, pentose phosphate, oxidative phosphorylation pathways, such as fructose-bisphosphate aldolase (w317; 408 409 Sh08_g004080), glucose-6-phosphate 1-epimerase (2 copies in w268), phosphoglycerate 410 mutase (w203; Sh04_g016390), succinyl-CoA synthetase (2 copies in w202), V-type proton 411 ATPase subunit E (w144; Sh03 g016860) and vacuolar ATP synthase 16kDa proteolipid 412 subunit (w317; Sh08 g003930). Genes involved in phenylpropanoid biosynthesis pathways, 413 such as phenylalanine ammonia-lyase (PAL) (3 copies in w202), and phenylalanine/tyrosine 414 ammonia-lyase (PTAL) (w202; Sh04_g015380) are also included in these windows. 415

- Windows with higher heritability for TPH (705 genes) contain genes which are related to 416 417 "carbohydrate metabolic process", "sucrose metabolic process" (GO:0005985), "cell wall organization" (GO:0071555) and "cell redox homeostasis" (GO:0045454). Pyruvate kinase 418 (w020; Sh01_g011250), aconitate hydratase (w063; Sh01_g042590), vacuolar ATPase B 419 subunit (w394/w395; Sh10_g012040) and beta-amylase (w063; Sh01_g042110) are among 420 421 the enzymes encoded by these genes, which are part of the carbon, starch, and sucrose 422 metabolism pathways. Also, two genes that encode the enzymes 4-coumarate-CoA ligase 423 (w063; Sh01_g041030) and coniferyl-aldehyde dehydrogenase (w395; Sh10_g012300), 424 which are part of phenylpropanoid biosynthesis pathways, are also included in these high
- which are part of phenyipropanoid biosynthesis pathways, are also included in these highheritability windows.
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427 Among the genes located in windows with higher heritability for TSH (413 genes), some are

428 related to "carbohydrate metabolic process" (GO:0005975), "cell wall organization"

429 (GO:0071555), "sucrose metabolic process" (GO:0005985), "photorespiration"

430 (GO:0009853), and "ethylene-activated signaling pathway" (GO:0009873). The genes encode
 431 the enzymes ribulose-1,5-bisphosphate carboxylase/oxygenase large subunit (w036;

the enzymes ribulose-1,5-bisphosphate carboxylase/oxygenase large subunit (w036;
Sh01 g020380), alanine transaminase (w036; Sh01 g020340), trehalose 6-phosphate

- 432 shor_go2osoo), annue transaminase (woso, shor_go2os4o), tenalose o-phosphate
 433 phosphatase (w212; Sh04_g021630), alcohol dehydrogenase (w036; Sh01_g020520), aldose
- 1-epimerase (w036; Sh01_g020600), and ethylene receptor (EIN4) (w244; Sh06_g001310).
- 435 In contrast to the other traits, none of the windows selected for TSH contain genes related to
- 436 phenylpropanoid pathways.
- 437

438 Taken together, 14 windows among those top-higher heritabilities for each trait analyzed 439 contain 35 genes that encode enzymes that catalyze biochemical reactions of carbon 440 metabolism, starch and sucrose metabolism, and phenylpropanoid biosynthesis pathways 441 (Table 2). Carbon partitioning is a critical process by which plants distribute the energy of 442 photosynthesis and convert the assimilated carbon into sugar or its derivatives (Wang et al., 443 2013). Most plants store carbon as starch or cellulose (insoluble) with a lower concentration 444 of sucrose (soluble), while sugarcane can store high concentrations of sucrose on its stems 445 (Wang et al., 2013). Sucrose is cleaved into fructose and UDP-Glu, which is a nucleotide 446 sugar precursor for most cell wall polysaccharides (Verbančič et al., 2018). Sugarcane 447 maintains a dynamic balance of degradation of sucrose for respiration or its re-synthesis for 448 storage. During this cycle, the carbon can be partitioned into other metabolites or fixed in

449 polymers that can either be remobilized (such as starch in plastids) or added to structural

450 biomass (such as cellulose, hemicelluloses, and lignin) (Wang et al., 2013). In this balance,

451 the enzymes sucrose synthase (SuSy), sucrose phosphate synthase (SPS), sucrose phosphate

452 phosphatase (SPP), and invertase play a central role in sucrose metabolism. At the same time,

453 cellulose synthesis is catalyzed by enzyme complexes of cellulose synthase (CesA) (Stein &
 454 Granot, 2019). Cell wall biosynthesis can reduce sucrose accumulation since carbon fluxes

455 directed to plant growth, and cell wall expansion may alter carbon partitioning into sucrose

(Papini-Terzi et al., 2009). It is also possible that sucrose accumulation may trigger increased

- 457 lignification (Papini-Terzi et al., 2009).
- 458

459 The STP assembly of the sugarcane genome has multiple copies of SuSy (11 copies), SPS (6 460 copies), SPP (2 copies), invertase (10 copies), and CesA (37 copies) annotated on its sequence. None of them is located in the top windows with higher heritability for the analyzed 461 traits. However, window w194 (which explains 15% of h_G^2 for FB and 32.2% for TSH) 462 contains a gene that encodes a copy of invertase (Sh04 g011120). Among the enzymes 463 464 encoded by genes located in the top windows with higher heritability, phenylalanine ammonia-lyase (PAL) (Sh04_g015390, Sh04_g015400, and Sh04_g015410; w202 which 465 466 explains 18% for PC) stands out as a critical enzyme involved in the phenylpropanoid 467 pathway and biosynthesis of lignin (Zhang & Liu, 2015), which is also related to sucrose 468 content (Papini-Terzi et al., 2009).

469

470471 **5. Conclusions**

472

Throughout the analyses performed here, RHM has shown to be a useful approach to identify 473 474 regions in the sugarcane genome related to agronomic traits. Even with the complexity of the 475 sugarcane genome and its polyploidy impacting the identification of regions containing SNPs 476 significantly associated with the phenotypes analyzed, the selection of windows that explained higher proportions of genomic heritability allows us to identify genomic regions 477 478 containing a set of genes that are related to them. Among the selected windows, we identified 479 a set of genes that encode enzymes that integrate metabolic pathways directly related to the 480 traits analyzed. The selection of windows with higher heritability, therefore, represents an 481 alternative for sugarcane breeders to reduce the complexity of the sugarcane genome since the 482 selected windows span a region of 58.38Mb, which corresponds to 14,28% of the STP 483 assembly of sugarcane genome. These windows correspond to promising genomic regions for 484 the development of gene panels aiming the practice of marker-assisted selection of traits such 485 as percentage of fiber in sugarcane bagasse (FB), apparent percentage of sucrose in sugarcane 486 (PC), tonnes of pol per hectare (TPH) and tonnes of stalks per hectare (TSH). The findings obtained in this study will contribute to the progress of the genetic improvement of sugarcane. 487

488 489

490 **6. Declarations**

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Author's contributions: PMPV, MM, GM, and LAP analyzed data and wrote the
 manuscript; MHPB and LAP planned and designed the research. PMAC conducted the
 experiments. MHPB and LAP coordinated the research. All authors reviewed and approved
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662	Figures
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664	Figure 1. Overview of genotypic values (overall mean + BLUP) of traits evaluated in the
665	sugarcane breeding population. Five hundred eight sugarcane clones were evaluated for
666	percentage of fiber in sugarcane bagasse (FB), apparent percentage of sucrose in sugarcane
667	(PC), tonnes of pol per hectare (TPH), and tonnes of stalks per hectare (TSH). σ_{u}^{2} : genotypic
668	variance. H^2 : broad-sense heritability. ***: P-value < 0.01.
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670	Figure 2. Regional heritability mapping (RHM) analysis of the sugarcane genome. The
671	tilemap of RHM distribution along the genome of 508 sugarcane clones highlights the
672	genomic regions with higher heritability for the percentage of fiber in sugarcane bagasse
673	(FB), apparent percentage of sucrose in sugarcane (PC), tonnes of pol per hectare (TPH), and
674	tonnes of stalks per hectare (TSH). The ten chromosomes sugarcane genome (Sh01 to Sh10)
675	were concatenated and split into windows with 2 Mb length. The color scale on the top-right side of the rolet shows the magnitude of h^2 for each window.
676 677	side of the plot shows the magnitude of h_r^2 for each window.
678	Figure 3. Gene content of sugarcane genomic regions with higher heritability for FB,
679	PC, TSH, and TPH traits in regional heritability mapping analysis. A) Gene counting of
680	all regions with higher heritabilities. All genes are listed in Table S3. B) Gene counting of
681	top-5 windows with higher heritability. All genes are listed in Table S4. C) Detailed
682	information about the top-5 windows. Window 297 is the only one shared by two traits and is
683	marked in bold and underlined. Window 310 spans two chromosomes, comprising a region of
684	2 Mb, which begins in the last window of Sh07 and ends in the first window Sh08, and is
685	marked with an asterisk.
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687	Figure 4. Functional analysis of the genes identified in of top-5 windows of sugarcane
688	genome with higher heritability for FB, PC, TSH, and TPH traits in regional heritability
689	mapping analysis.
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692	Tables
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694	Table 1. Summary of genotyping of analyzed sugarcane genotypes. Capture sequencing
695	data of 508 sugarcane genotypes were mapped to the reference genome, and variant calling
696 697	analysis was performed with the SNP quality control process.

Table 2. Genes located at the top-5 windows with higher heritability and that are related 698 699 to carbon metabolism, starch, and sucrose metabolism, and phenylpropanoid biosynthesis pathways. Genes that were assigned to the KEGG ortholog groups (KOs) and 700 mapped to the KEGG pathways of carbon metabolism (CM), glycolysis and gluconeogenesis 701 (GG), sucrose, and starch metabolism (SSM), phenylpropanoid biosynthesis (PB), and 702 oxidative phosphorylation (OP). 703 704 705 **Supplementary Materials** 706 707 708 **Supplementary Material 1. Tables:** 709 710 Table S1. Adjusted phenotypic observations (genotypic values) of evaluated sugarcane 711 clones. This population consisted of 508 clones from 100 half-sib families, originated from crossings between elite sugarcane clones and commercial cultivars. Sugarcane clones were 712 713 evaluated for the percentage of fiber in sugarcane bagasse (FB), apparent percentage of 714 sucrose in sugarcane (PC), tonnes of pol per hectare (TPH), and tonnes of stalks per hectare 715 (TSH). 716 717 Table S2. Estimates of variance components and genetic parameters for percentage of fiber in sugarcane bagasse (FB), apparent percentage of sucrose in sugarcane (PC), 718 tonnes of pol per hectare (TPH), and tonnes of stalks per hectare (TSH). σ^2_u : genotypic 719 variance. σ_b^2 : variance between blocks. σ_e^2 : residual variance. σ_f^2 : individual phenotypic 720 variance. H²: broad-sense heritability. 721 722 Table S3. Windows with higher heritability in the sugarcane genome for analyzed traits. 723 h_r^2 : window heritability. h_G^2 : genomic heritability of the analyzed trait. h_r^2/h_G^2 : the 724 725 proportion of genomic heritability explained by a window. Analyzed traits: percentage of fiber in sugarcane bagasse (FB), apparent percentage of sucrose in sugarcane (PC), tonnes of 726 727 stalks per hectare (TSH), and tonnes of pol per hectare (TPH). 728 729 Table S4. Functional annotation of genes included in top-5 windows with higher heritability in sugarcane genome for percentage of fiber in sugarcane bagasse (FB), 730 731 apparent percentage of sucrose in sugarcane (PC), tonnes of pol per hectare (TPH) and 732 tonnes of stalks per hectare (TSH). 733 Table S5. Gene Ontology (GO) terms lists summarized by REVIGO. Non-redundant lists 734 of GO terms assigned to the genes included in top-5 windows with higher heritability for 735 percentage of fiber in sugarcane bagasse (FB), apparent percentage of sucrose in sugarcane 736 737 (PC), tonnes of pol per hectare (TPH) and tonnes of stalks per hectare (TSH). 738 739 **Supplementary Material 2. Figures:** 740 741 742 Figure S1. Schematic overview of the genotyping of sugarcane clones performed in this study. The raw reads were processed, mapped to the sugarcane reference genome, and a 743 744 variant calling for SNPs was performed. The software that was used for each step and their respective versions are indicated on the boxes. The command-lines used and the parameters 745 746 which were considered are also shown. 747

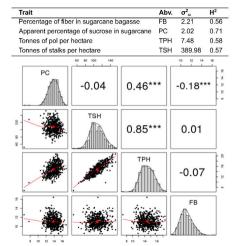
748 Figure S2. Significance analysis of RHM window-trait associations along the genome of

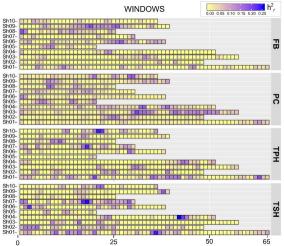
analyzed sugarcane clones. (A). Circular Manhattan plots (-log₁₀ P) of RHM window-trait

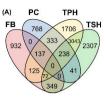
association for the percentage of fiber in sugarcane bagasse (FB), apparent percentage of

sucrose in sugarcane (PC), tonnes of pol per hectare (TPH), and tonnes of stalks per hectare

752 (TSH). (B). Quantile-quantile (QQ) plot of the data shown in the circular Manhattan plots.

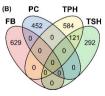


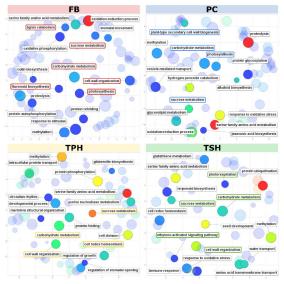




	Genes	h^2/h^2_a	h²,	End	Start	Chr	Window
	193	0.225	0.180	26181300	23227889	Sh06	w268
_	110	0.246	0.196	18340888	15928694	Sh09	w353
B	149	0.208	0.166	24621652	22026041	Sh09	w359
ŝ	117	0.223	0.178	25294245	23221276	Sh09	w360
	51	0.241	0.192	30096520	29293193	Sh07	w310*
	78	0.241	0.192	1012425	1	Sh08	w310*
	Genes	h ² /h ²	h²,	End	Start	Chr	Window
	102	0.220	0.205	31787511	29786348	Sh03	w144
P	75	0.180	0.168	27954338	26497412	Sh04	w202
PC	97	0.190	0.177	28914864	27214734	Sh04	w203
	109	0.183	0.170	7836129	5810517	Sh08	w317
	103	0.189	0.176	30862476	29066364	Sh10	w403
	Genes	h ² ,/h ² a	h²,	End	Start	Chr	Window
	65	0.638	0.244	35318896	33316393	Sh01	w36
1	137	0.674	0.258	37971629	35574173	Sh04	w212
TPH	59	0.595	0.228	3698542	2033903	Sh06	w244
+	79	0.603	0.231	15811781	13845897	Sh07	w296
	121	0.661	0.253	17462449	14688970	Sh07	w297
	121	0.001	0.200	17402443	11000010	onor	
_							
_	Genes	h²,/h² _g	h²,	End	Start	Chr	Window
	Genes 116	h²,/h² _g 0.556	h², 0.206	End 18591486	Start 16169530	Chr Sh01	Window w20
ISI	Genes 116 347	h ² ,/h ² _g 0.556 0.538	h², 0.206 0.199	End 18591486 70914509	Start 16169530 66561582	Chr Sh01 Sh01	Window w20 w63
TSH	Genes 116	h²,/h² _g 0.556	h², 0.206	End 18591486	Start 16169530	Chr Sh01	Window

(C)





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Table 1. Summary of genotyping of analyzed sugarcane genotypes. Capture 1

2 sequencing data of 508 sugarcane genotypes were mapped to the reference genome and

3 4 a variant calling analysis was performed with a SNP quality control (QC) process.

Sugarcane chromosome	Length (Mb)	Number of Genes	Average of mapping coverage	Number of SNPs before QC	Number of SNPs after QC
Sh01	72.29	4,476	1.88	127,817	59,783
Sh02	52.64	3,178	1.55	95,999	44,429
Sh03	54.89	3,399	1.46	115,485	52,545
Sh04	45.58	2,791	1.54	101,102	46,756
Sh05	23.28	1,233	1.14	39,330	17,993
Sh06	35.41	2,108	1.79	74,477	34,853
Sh07	30.10	1,824	1.38	63,170	27,829
Sh08	24.32	1,307	1.25	49,150	23,001
Sh09	36.58	2,082	1.32	76,803	35,419
Sh10	34.65	1,943	1.33	71,654	32,587
Total	408.94	24,341	1.46	814,987	375,195

5

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- 1 Table 2. Genes located at the top-5 windows with higher heritability and that are
- 2 related to carbon metabolism, starch and sucrose metabolism, and
- 3 phenylpropanoid biosynthesis pathways. Genes which were assigned to the KEGG
- 4 ortholog groups (KOs) and mapped to the KEGG pathways of carbon metabolism
- 5 (CM), glycolysis and gluconeogenesis (GG), sucrose and starch metabolism (SSM),
- 6 phenylpropanoid biosynthesis (PB), and oxidative phosphorylation (OP).
- 7

Trait	Gene(window)	КО	Enzyme [EC number]	Pathway
FB	Sh09_g008010(w353)	K00029	malate dehydrogenase [1.1.1.40]	СМ
FB	Sh06_g013660(w268)	K00844	hexokinase [2.7.1.1]	CM, SSM
FB	Sh06_g013010(w268)	K13065	shikimate O-hydroxycinnamoyltransferase [2.3.1.133]	PB
FB	Sh09_g011980(w359/w360)	K23260	scopoletin glucosyltransferase [2.4.1.128]	PB
PC	Sh08_g004080(w317)	K01623	fructose-bisphosphate aldolase [4.1.2.13]	СМ
PC	Sh04_g016390(w203)	K01834	phosphoglycerate mutase [5.4.2.11]	СМ
PC	Sh04_g015250(w202), Sh04_g015260(w202)	K01900	succinyl-CoA synthetase [6.2.1.4 6.2.1.5]	СМ
PC	Sh08_g004580(w317)	K02437	glycine cleavage system H protein	СМ
PC	Sh06_g014550(w268), Sh06_g014560(w268)	K01792	glucose-6-phosphate 1-epimerase [5.1.3.15]	GG
PC	Sh03_g016860(w144)	K02150	V-type H+-transporting ATPase subunit E	OP
PC	Sh08_g003930(w317)	K02155	V-type H+-transporting ATPase 16kDa proteolipid subunit	OP
PC	Sh04_g016300(w203)	K01087	trehalose 6-phosphate phosphatase [3.1.3.12]	SSM
PC	Sh10_g015790(w403), Sh10_g015810(w403)	K01187	alpha-glucosidase [3.2.1.20]	SSM
PC	Sh04_g015390(w202), Sh04_g015400 (w202), Sh04_g015410 (w202)	K10775	phenylalanine ammonia-lyase [4.3.1.24]	РВ
PC	Sh04_g015380(w202)	K13064	phenylalanine/tyrosine ammonia-lyase [4.3.1.25]	PB
TPH	Sh01_g042660(w063), Sh01_g042670(w063)	K00640	serine O-acetyltransferase [2.3.1.30]	СМ
TPH	Sh01_g011250(w020)	K00873	pyruvate kinase [2.7.1.40]	СМ
TPH	Sh01_g042590(w063)	K01681	aconitate hydratase [4.2.1.3]	СМ
TPH	Sh10_g011930(w394/w395)	K01738	cysteine synthase [2.5.1.47]	СМ
TPH	Sh01_g042950(w063)	K03781	catalase [1.11.1.6]	СМ
TPH	Sh10_g012040(w394/w395)	K02147	V-type H+-transporting ATPase subunit B	OP
TPH	Sh01_g042110(w063)	K01177	beta-amylase [3.2.1.2]	SSM
TPH	Sh01_g041030(w063)	K01904	4-coumarateCoA ligase [6.2.1.12]	PB
TPH	Sh10_g012300(w395)	K12355	coniferyl-aldehyde dehydrogenase [1.2.1.68]	PB
TSH	Sh01_g020340(w036)	K00814	alanine transaminase [2.6.1.2]	СМ
TSH	Sh01_g020380(w036)	K01601	ribulose-bisphosphate carboxylase large chain [4.1.1.39]	СМ
TSH	Sh01_g020520(w036)	K00001	alcohol dehydrogenase [1.1.1.1]	GG
TSH	Sh01_g020600(w036)	K01785	aldose 1-epimerase [5.1.3.3]	GG
TSH	Sh04_g021630(w212)	K01087	trehalose 6-phosphate phosphatase [3.1.3.12]	SSM