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Targeted stimulation of an orbitofrontal network disrupts decisions based on inferred, not experienced outcomes

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22 **ABSTRACT**

23 When direct experience is unavailable, animals and humans can imagine or infer the future to
24 guide decisions. Behavior based on direct experience versus inference may recruit distinct but
25 overlapping brain circuits. In rodents, the orbitofrontal cortex (OFC) contains neural signatures of
26 inferred outcomes, and OFC is necessary for behavior that requires inference but not for
27 responding driven by direct experience. In humans, OFC activity is also correlated with inferred
28 outcomes, but it is unclear whether OFC activity is required for inference-based behavior. To test
29 this, we used non-invasive network-based continuous theta burst stimulation (cTBS) to target
30 lateral OFC networks in the context of a sensory preconditioning task that was designed to isolate
31 inference-based behavior from responding that can be based on direct experience alone. We
32 show that relative to sham, cTBS targeting this network impairs reward-related behavior in
33 conditions in which outcome expectations have to be mentally inferred. In contrast, OFC-targeted
34 stimulation does not impair behavior that can be based on previously experienced stimulus-
35 outcome associations. These findings suggest that activity in the targeted OFC network supports
36 decision making when outcomes have to be mentally simulated, providing converging cross-
37 species evidence for a critical role of OFC in model-based but not model-free control of behavior.

38

39 INTRODUCTION

40 Many decisions are made based on expectations about their likely outcomes. Such expectations
41 can reflect what we have experienced in the past, for instance, when ordering your favorite dish
42 at a familiar restaurant. For many other decisions in life, such as deciding to try out a new
43 restaurant or enrolling in a PhD program, direct experience is lacking, and outcome expectations
44 need to be mentally simulated or inferred.

45 Expectations arising from these two different origins, which may compete for control over behavior
46 (Daw et al., 2005; Lee et al., 2014), are thought to recruit distinct but overlapping brain circuits
47 (Balleine and Dickinson, 1998; Daw et al., 2011; O'Doherty et al., 2017). Whereas much research
48 has focused on behavior that is based on direct experience (Schultz, 1998; Tricomi et al., 2009;
49 Wunderlich et al., 2012), less is known about the neural representations that support behavior
50 based on inferred outcomes in humans.

51 Work across animal species suggests that the orbitofrontal cortex (OFC), together with the
52 hippocampus, is particularly important for behavior based on inference (Rudebeck and Murray,
53 2014; Wikenheiser and Schoenbaum, 2016). For instance, in tasks that require mental simulation,
54 neural activity in the rodent OFC represents inferred outcomes in almost the same way as it
55 signals directly experienced outcomes (Takahashi et al., 2013; Sadacca et al., 2018).
56 Interestingly, however, the rat OFC is not required for behavior based on directly experienced
57 outcomes, but it is only necessary when responding requires inference (Jones et al., 2012;
58 Takahashi et al., 2013). This suggests that rodent OFC is selectively required for the simulation
59 of outcomes. Recent work in humans has shown similar neural correlates of inferred outcomes in
60 the OFC (Barron et al., 2013; Wang et al., 2020), but whether human OFC networks are required
61 for behavior based on such inferred outcomes is unclear.

62 Causal studies on human OFC function have traditionally been limited to naturally-occurring
63 lesions (Reber et al., 2017; Vaidya et al., 2019). However, we have recently developed a novel
64 network-based transcranial magnetic stimulation (TMS) approach to non-invasively target activity
65 in human OFC networks (Howard et al., 2020). Similar to previous work targeting the hippocampal
66 network (Wang et al., 2014), this approach uses resting-state functional magnetic resonance
67 imaging (rsfMRI) to individually define stimulation coordinates in the lateral prefrontal cortex
68 (LPFC) that are part of the central/lateral OFC network (Kahnt et al., 2012; Zald et al., 2014). We
69 have recently shown that this targeted TMS protocol selectively affects connectivity in lateral OFC
70 networks, in parallel with disrupting OFC-dependent behavior (Howard et al., 2020).

71 In the current study (**Fig. 1A**), we applied this novel OFC-targeted brain stimulation approach in
72 the context of a sensory preconditioning task that was designed to isolate inference-based
73 behavior from responding that can be based on direct experience (Jones et al., 2012; Wimmer
74 and Shohamy, 2012; Wang et al., 2020). This task consists of three phases (**Fig. 1B**): First, during
75 preconditioning, pairs of sensory cues are repeatedly presented ($A \rightarrow B$, $C \rightarrow D$). Next, during
76 conditioning, the second cue of each pair is associated with reward and no reward, respectively
77 ($B \rightarrow \text{reward}$, $D \rightarrow \text{no reward}$). During the final probe test, reward-related responding to each cue
78 (A, B, C, and D) is probed under extinction conditions. Reward-related responses to cue A indicate
79 that subjects step through the associations $A \rightarrow B$ and $B \rightarrow \text{reward}$ to infer $A \rightarrow \text{reward}$. In contrast,
80 such responses to cue B do not require inference because direct experience with the cue-
81 outcome pairing is available. We predicted that disrupting OFC network activity with OFC-targeted
82 TMS will impair inference-based behavior (responding to cue A), but not behaviors that can be
83 based entirely on direct experience alone (responding to cue B).

84

85

86 **MATERIALS AND METHODS**

87 ***Subjects***

88 In total, 71 healthy adults participated in a screening session. Of these, 52 passed screening,
89 were randomly assigned to the sham (SHAM: $n=25$, 13 female) or stimulation group (STIM: $n=27$,
90 15 female), and participated in the experiment. All participants provided written informed consent
91 to participate and were compensated with \$20 per hour for behavioral testing and \$40 per hour
92 for TMS and MRI scanning. The study protocol was approved by the Northwestern University
93 Institutional Review Board. One participant in the STIM group withdrew during the experiment.
94 Data from four participants (two per group) were excluded from all analyses because their
95 performance in the last run of conditioning was not significantly above chance ($p > 0.05$, binomial
96 test). This left a total of 47 participants (SHAM: $n=23$, 12 female, mean age = 25.24 years \pm 0.86
97 s.e.m; STIM: $n=24$, 13 female, age = 25.30 years \pm 0.70) from whom data was analyzed. Of those,
98 data from four participants (one SHAM, three STIM) from the recognition memory test of the
99 experiment were not recorded due to technical problems.

100

101 ***Stimuli and Odor Delivery***

102 Visual cues consisted of 14 abstract symbols, and 12 of them were randomly grouped into six
103 pairs for each participant. Two pairs served as A1–B1 pairs, two served as A2–B2 pairs, and two
104 served as C–D pairs. The two remaining symbols were used to form two catch-trial pairs (E–E) in
105 which the same symbols were presented twice in a row (i.e., E1–E1, E2–E2). The two symbols
106 constituting a pair were presented in different colors (e.g., first symbol blue, second symbol green;
107 counterbalanced across participants).

108 As in our previous studies, the current experiment used food odors as biologically relevant reward
109 in hungry participants (Howard et al., 2015; Howard and Kahnt, 2017, 2018; Suarez et al., 2019;
110 Howard et al., 2020). Eight food odors (four sweet: strawberry, caramel, gingerbread, and yellow
111 cake; four savory: potato chip, pot roast, garlic, and pizza) were provided by Kerry (Melrose Park,
112 IL) and International Flavors and Fragrances (New York, NY). Odors were delivered to
113 participants' nose using a custom-built and computer-controlled olfactometer (Howard et al., 2020;
114 Wang et al., 2020). The olfactometer was equipped with two independent mass flow controllers
115 (Alicat, Tucson, AZ), which allow dilution of any given odorant with odorless air. Odorless air was
116 delivered constantly during the experiment and odorized air was mixed into the airstream at
117 specific time points. The overall flow rate was kept constant at 3.2 L/min throughout the task, such
118 that odor deliver did not involve a change in overall airflow or any noticeable change in
119 somatosensory stimulation.

120

121 ***Experimental Task and Design***

122 The study was conducted over three days (**Fig. 1A**) and included (1) a screening session, (2) a
123 MRI and TMS motor threshold session, and (3) a main task session. The MRI and TMS motor
124 threshold session was conducted on average 18 days (s.e.m.=4.16) after the screening session.
125 And the average delay between motor threshold and main task sessions was 4 days (s.e.m.=0.94).
126 Participants were instructed to arrive in a hungry state (fast for at least 4 hours) for the screening
127 and main task sessions.

128

129 *Screening Session.* After informed consent and screening for eligibility, participants' rated the
130 pleasantness of eight food odors. In each trial, participants were presented with one of the eight
131 food odors for 2 seconds and were instructed to make a medium sized sniff. They then rated the
132 pleasantness of the delivered odor on a scale from "Most disliked sensation" to "Most liked

133 sensation". Each food odor was presented 3 times in randomized order and ratings were
134 averaged. We then selected one sweet and one savory odor that were both rated as pleasant (i.e.
135 pleasantness above neutral) and as closely matched as possible. The two selected odors were
136 then used as reward for that individual participant in the main task session. If no such two odors
137 were found, participants were excluded from further participation in the study. Next, participants
138 rated the intensity and pleasantness of the two selected odors as well as odorless air. The scale
139 of the intensity rating was from "Undetectable" to "Strongest sensation imaginable".

140

141 *MRI and TMS Motor Threshold Session.* We acquired a T1-weighted structural MRI scan for the
142 purpose of TMS neuronavigation and an 8.5 minutes rsfMRI scan for individually defining OFC-
143 targeted stimulation coordinates (see below). We then measured resting motor threshold (RMT)
144 by delivering single TMS pulses over left motor cortex. RMT was defined as the minimum
145 percentage of stimulator output necessary to evoke 5 visible thumb movements in 10 stimulations.

146

147 *Main Task Session.* The main task session consisted of preconditioning, conditioning, TMS, probe
148 test, and a cue-cue recognition memory test (**Fig. 1B**). In four preconditioning runs, participants
149 were instructed to learn the associations between the two cues in each pair (A→B [A1→B1,
150 A2→B2], C→D [C1→D1, C2→D2], E→E [E1→E1, E2→E2]). The cues in a given pair were
151 presented one after another for 3 seconds each, separated by an inter-stimulus-interval (ISI) of
152 300 ms. A fixation cross appeared between trials for a variable inter-trial-interval (ITI) between 3
153 and 11 seconds. To ensure attention to the cue pairs, participants were instructed to memorize
154 the cue pairs, press a button if the second cue was different from the first cue, and withhold a
155 response if the two cues were identical. To facilitate learning, in the first two runs of
156 preconditioning, each cue pair was repeated three times in a row. In the remaining preconditioning
157 runs, the order of cue pairs was randomized across trials.

158 Next, participants performed three runs of conditioning, during which the second cue of each pair
159 (cues B [B1, B2] and D [D1, D2]) was presented individually for 3 seconds. Participants were
160 instructed to indicate by button press which outcome (e.g. strawberry odor [SB], garlic odor [GA],
161 or no odor [NO]) they expected following the cue. If they expected strawberry, they were asked
162 to select "SB"; if they expected garlic, they were asked to select "GA"; if they expected no odor,
163 they were asked to select "NO". Participants made their prediction by pressing a button with the
164 index, middle or ring fingers of their right hand corresponding to the positions of "SB", "GA" and

165 “NO” on the screen. The positions of the abbreviated names were randomized across trials.
166 Irrespective of their selection, the outcome was presented for 2 seconds immediately after the
167 cue. However, “too slow” was displayed if participants failed to respond within 3 seconds. Each
168 cue-outcome association was repeated four times in each run in pseudorandomized order.

169 After the conditioning phase, participants received OFC-targeted cTBS (see below). The probe
170 test followed immediately after the stimulation. In each trial of the probe test, cue A (A1, A2), B
171 (B1, B2), C (C1, C2), or D (D1, D2) was presented individually under extinction conditions
172 (odorless air was delivered throughout) to prevent further learning. Each cue was presented four
173 times in pseudorandomized order. Participants were instructed to predict the outcome after each
174 cue, as they did during the conditioning phase. They were further instructed to use the cue-cue
175 associations learned in the first phase to infer the outcomes associated with the preconditioned
176 cues (Wang et al., 2020). The durations of cue presentation and the ITI were the same as during
177 the conditioning phase.

178 Following the probe test, participants were tested for their memory of the cue-cue associations in
179 a recognition task. On each trial, participants were presented with either an original cue pair or
180 with a newly recombined pair (i.e., consisting of cues belonging to different pairs). Pairs were
181 presented sequentially as during preconditioning, and participants were asked to indicate using a
182 button press whether a pair was old (O) or recombined (R) after the second cue was presented.

183

184 ***MRI Data Acquisition***

185 MRI data were acquired at the Northwestern University Center for Translational Imaging (CTI)
186 using a Siemens 3T PRISMA system equipped with a 64-channel head coil. rsfMRI scans were
187 acquired with an echoplanar imaging (EPI) sequence with the following parameters: repetition
188 time (TR), 2 s; echo time (TE), 22 ms; flip angle, 90°; slice thickness, 2 mm, no gap; number of
189 slices, 58; interleaved slice acquisition order; matrix size, 104 x 96 voxels; field of view, 208 mm
190 x 192 mm; multiband factor, 2. To minimize susceptibility artifacts in the OFC, the acquisition
191 plane was tilted approximately 25° from the anterior commissure (AC)–posterior commissure (PC)
192 line. The rsfMRI scan consisted of 250 EPI volumes covering all but the most dorsal portion of
193 the parietal lobes. In addition, a 3D 1 mm isotropic T1-weighted structural scan was also collected
194 (TR, 2300 ms; TE, 2.94 ms; flip angle, 9°; field of view, 176 mm x 256 mm x 256 mm)

195

196 ***fMRI Data Preprocessing***

197 Preprocessing of functional images was performed using Statistical Parametric Mapping (SPM12,
198 <https://www.fil.ion.ucl.ac.uk/spm/>). To correct for head motion during scanning, all rsfMRI images
199 were aligned to the first acquired image. The mean realigned images were then co-registered to
200 the anatomical image, and the resulting registration parameters were applied to all realigned EPI
201 images. Finally, co-registered EPI images were resliced and smoothed with a 6 x 6 x 6 mm
202 Gaussian kernel. To generate forward and inverse deformation fields, the anatomical image was
203 normalized to Montreal Neurological Institute (MNI) space using the 6-tissue probability map
204 provided by SPM12.

205

206 ***OFC-targeted Transcranial Magnetic Stimulation***

207 We used our previously established network-based OFC-targeted TMS protocol (Howard et al.,
208 2020). TMS was delivered using a MagPro X100 stimulator connected to a MagPro Cool-B65
209 butterfly coil (MagVenture A/S, Farum, Denmark). We used a cTBS protocol involving a 40 second
210 train of 3-pulse 50 Hz bursts delivered every 200 ms (5 Hz), totaling 600 pulses (Huang et al.,
211 2005). This TMS protocol has inhibitory aftereffects that last for 50-60 minutes over motor cortex
212 (Huang et al., 2005). Stimulation was delivered at an intensity of 80% MT in the STIM group and
213 5% MT in the SHAM group. As in our previous study (Howard et al., 2020), the target coordinate
214 was defined as a location in the right LPFC that showed maximal functional connectivity with the
215 right OFC seed coordinate (see details below). The orientation of the coil was such that the long
216 axis of the figure-of-eight coil was approximately parallel to the long axis of the middle frontal
217 gyrus. All participants were informed that they may experience muscle twitches in the forehead,
218 eye area, and jaw during stimulation. We delivered two single test pulses to test for tolerability
219 before cTBS was delivered. Immediately after the last pulse of cTBS, the time was noted. All
220 subsequent testing (probe test and recognition memory) took place within 33 ± 1.92 minutes of
221 the end of TMS, and this time did not differ between groups ($t=0.24$, $p=0.814$).

222

223 ***Coordinate selection for OFC-targeted TMS***

224 Stimulation coordinates on the right LPFC were determined for each individual participant based
225 on rsfMRI connectivity with a right central-lateral OFC seed region using a previously described
226 procedure (Howard et al., 2020). Briefly, we first created two spherical masks of 8-mm radius

227 around a LPFC coordinate (x=48, y=38, z=20) and a OFC seed coordinate (x=28, y=38, z=-16)
228 in MNI space, both inclusively masked by the gray matter tissue probability map provided by
229 SPM12 (thresholded at >0.1). These masks were then inverse-normalized to each participant's
230 native space using the inverse deformation field generated by normalizing the anatomical image.
231 We then estimated a general linear model with the average rsfMRI time series in the OFC mask
232 as the regressor of interest and realignment parameters as regressors of no interest. The voxel
233 in the LPFC mask that had highest functional connectivity with the OFC seed was defined as
234 stimulation coordinate. We used neuronavigation to apply stimulation to this coordinate.

235

236 **Statistics**

237 Simple between-group effects were tested using unpaired t-tests. Results from parametric tests
238 were confirmed using permutation tests involving 10,000 random group assignments. Interactions
239 were tested using R (R Core Team, 2018) and the lme4 package (Bates, 2010). Specifically, we
240 performed linear mixed effect analysis on odor pleasantness ratings with group (SHAM vs. STIM)
241 and odor (odor vs. odorless) as independent variables. In addition, to test the interaction between
242 group, cue type, and time on reward predictions during conditioning, we used a generalized linear
243 mixed model with group (SHAM vs. STIM), cue (B vs. D), and time (three runs) as independent
244 variables. Finally, the interaction between group and cue type on reward predictions during the
245 probe test was tested using a generalized linear mixed model with group (SHAM vs. STIM) and
246 cue type (A vs. B) as independent variables. In all analyses, subjects were modeled as random
247 intercept effects. There were no obvious deviations from normality or homoscedasticity based on
248 visual inspection of residual plots. We computed p values by likelihood ratio tests (χ^2) of the full
249 model including the effect of interest against the reduced model without the effect of interest.
250 Statistical thresholds were set to $p < 0.05$, two-tailed unless indicated otherwise.

251

252

253 **RESULTS**

254 ***Odor Ratings and Learning Performance***

255 The experiment took place across three days (**Fig. 1A**). Day 1 and 2 consisted of a screening
256 visit and a MRI (anatomical and rsfMRI) session, respectively. Day 3 involved a sensory
257 preconditioning task and network-based OFC-targeted TMS. On day 3, subjects (SHAM, n=23;

258 STIM, n=24) in both groups arrived fasted (not eaten for 11 ± 4.27 hours; group difference,
259 $t(45)=1.00$, $p=0.321$) and with similar levels of hunger ($t(45)=1.28$, $p=0.205$). Subjects first learned
260 associations between pairs of abstract visual cues during preconditioning ($A \rightarrow B$, $C \rightarrow D$, **Fig. 1B**).
261 Next, they learned that a pleasant food odor followed cue B, whereas cue D was always followed
262 by odorless air (**Fig. 1B**). To measure reward expectations, participants were asked to predict the
263 outcome associated with the presented cue via button press.

264 Subjects in both groups rated the food odors as significantly more pleasant than the odorless air
265 (SHAM: $t(22)=11.62$, $p=7.38 \times 10^{-11}$; STIM: $t(23)=12.97$, $p=4.59 \times 10^{-12}$, **Fig. 2A**), demonstrating
266 that food odors were perceived as rewarding. Importantly, there were no differences in the
267 pleasantness ratings between groups (main effect of group: $\chi^2(1)=2.49$, $p=0.115$; group by odor
268 interaction: $\chi^2(1)=1.34$, $p=0.247$). During conditioning, the percentage of trials in which
269 participants expected a food odor after cue B increased across time relative to cue D (3-way
270 [group x time x cue] generalized linear mixed model; main effect of cue, $\chi^2(1)=1736$, $p < 2.2 \times 10^{-16}$;
271 main effect of time, $\chi^2(2)=0.98$, $p=0.613$; cue by time interaction, $\chi^2(2)=254.22$, $p < 2.2 \times 10^{-16}$; **Fig.**
272 **2B**). There were no significant differences between groups in learning across time (main effect of
273 group, $\chi^2(1)=0.096$, $p=0.757$; cue by group interaction, $\chi^2(1)=3.22$, $p=0.072$; time by group
274 interaction, $\chi^2(2)=2.88$, $p=0.24$; cue by time by group interaction, $\chi^2(2)=0.36$, $p=0.834$). Most
275 importantly, performance in the last conditioning run did not differ between groups ($t(45)=0.0045$,
276 $p=0.996$), demonstrating that subjects in both groups learned the associations between the cues
277 and their associated outcomes equally well.

278

279 ***OFC-targeted cTBS disrupts inference-based responding***

280 After conditioning and immediately prior to the probe test, we applied 40 seconds of cTBS to a
281 site in right lateral prefrontal cortex (LPFC) that was individually selected to have maximal resting-
282 state fMRI connectivity with the central/lateral OFC, following previously established procedures
283 (Howard et al., 2020). Specifically, stimulation was administered in the STIM group at a high
284 intensity that we have previously shown disrupts OFC network activity and adaptive behavior in
285 a reinforcer devaluation task. Stimulation in the SHAM group was administered at a low intensity
286 that was not expected to produce any impact on neural function (Howard et al., 2020).

287 We hypothesized that targeting the lateral OFC network with cTBS would selectively disrupt
288 reward expectations based on inference but not those based on direct experience. In line with

289 this, we found a significant interaction between cue type and group ($\chi^2(1)=4.95$, $p=0.026$),
290 indicating that responses to cues A and B were differentially affected by OFC-targeted cTBS
291 compared to the SHAM group. Indeed, follow-up t-tests showed that this interaction was driven
292 by significantly reduced responses to cue A in the STIM relative to the SHAM group ($t(45)=2.40$,
293 $p=0.020$, **Fig. 3A**) whereas there was no group difference in responding to cue B ($t(45)=1.18$,
294 $p=0.245$, **Fig. 3B**). These results were confirmed using permutation tests (group difference in
295 responding to A, $p=0.012$; group difference in responding to B $p=0.127$). This demonstrates that
296 effects of OFC-targeted cTBS were specific for inference-based responding.

297 Reward-related responding to cue A depends not only on the ability to make an inference, but
298 also on knowledge about the reward predicted by cue B, which was acquired through direct
299 experience (B→reward). To further examine the effects of OFC-targeted cTBS on inference-
300 based behavior independent of potential effects on direct experience, we normalized responses
301 to cue A by responses to cue B. The resulting ratio (i.e., A/B) reflects the ability to infer outcomes
302 relative to the knowledge about directly experienced cue-reward association. This ratio was
303 significantly smaller in the STIM compared to the SHAM group ($t(45)=2.33$ $p=0.024$, **Fig. 3C**). We
304 confirmed the statistical significance of this difference using a permutation test ($p=0.013$). Taken
305 together, these results demonstrate that OFC-targeted cTBS selectively impairs behavior based
306 on inferred outcomes but does not disrupt behavior that can be based on directly experienced
307 outcomes.

308

309 ***OFC-targeted cTBS does not disrupt memory for cue-cue associations***

310 Inference also depends on memory of the cue-cue associations learned during preconditioning
311 (Wang et al., 2020). It is therefore possible that the findings reported above reflect a failure of
312 memory rather than inference. Although this is unlikely given that memory of directly experienced
313 cue-reward associations was unimpaired in the STIM group, we measured recognition memory
314 for cue-cue associations after the probe test to rule out this potential explanation. In both groups,
315 recognition memory was significantly above chance (SHAM: $t(21)=5.01$, $p<0.001$; STIM:
316 $t(20)=2.70$, $p=0.013$), and there was no difference between groups ($t(41)=1.34$, $p=0.188$,
317 permutation test, $p=0.129$ **Figure 4A**). Moreover, as in our previous study (Wang et al., 2020),
318 recognition memory was significantly correlated with inference-based responding ($r=0.51$,
319 $p=0.0005$, **Figure 4B**). These correlations were significant within each group (SHAM, $r=0.38$,
320 $p=0.039$, one-tailed; STIM, $r=0.55$, $p=0.01$) and did not differ between groups ($Z=-0.93$, $p=0.178$).

321 Taken together, these findings demonstrate that similar to directly experienced cue-reward
322 associations, OFC-targeted cTBS did not significantly impair memory for cue-cue associations,
323 or how they were used for inference-based behavior.

324

325

326 **DISCUSSION**

327 The current study shows that targeting the human OFC with network-based cTBS impairs reward-
328 related behaviors when outcome expectations need to be mentally simulated, but not when
329 expectations can be based on direct experience. This closely parallels previous findings from rats
330 (Jones et al., 2012), providing converging cross-species evidence for a critical role of OFC
331 networks in model-based but not model-free behavior.

332 As such, our findings suggest that the contribution of OFC to decision making may be limited to
333 situations that require model-based planning, and that choices based on direct experience may
334 rely on value computations in other brain areas, such as the amygdala or striatum (Paton et al.,
335 2006; Cox and Witten, 2019). This proposal is seemingly at odds with a large number of studies
336 across different species that has shown neural correlates of both inferred and directly experienced
337 value in OFC (Hare et al., 2009; Schoenbaum et al., 2009; Barron et al., 2013; Stalnaker et al.,
338 2014; Howard et al., 2015; Padoa-Schioppa and Conen, 2017; Suzuki et al., 2017; Klein-Flugge
339 et al., 2019; Lopez-Persem et al., 2020; Wang et al., 2020). Why would OFC represent value
340 signals if they are not required for behavior? One potential answer is that OFC computes and
341 represents inferred values in all situations, and that these signals may bias choices at any point
342 (Ballesta et al., 2020). However, if direct experience is available, these signals are typically
343 indistinguishable from, and redundant with, cached values represented elsewhere in the brain,
344 such that disruption of OFC does not affect observed behavior. In contrast, because no other
345 brain area computes model-based values, OFC becomes important for behavior when outcomes
346 must be inferred. This proposal would explain why animals and humans with compromised OFC
347 function are capable of making choices, but that these choices reflect previously learned values
348 even if they are no longer valid (Gallagher et al., 1999; Izquierdo et al., 2004; West et al., 2011;
349 Rudebeck et al., 2013; Murray et al., 2015; Gardner et al., 2017; Reber et al., 2017; Gardner et
350 al., 2018; Parkes et al., 2018; Howard et al., 2020).

351 In line with our previous work showing neural correlates of inferred outcomes in OFC (Wang et
352 al., 2020), the current findings suggest that OFC networks are directly involved in stepping
353 through the cue-cue and cue-reward associations when inferring outcomes at the time of decision
354 making. However, alternative explanations have been proposed that do not require inference at
355 this time point. For instance, cue A could be reactivated at the time of conditioning, such that it
356 also acquires model-free value, just like cue B. Several studies have provided correlative
357 evidence for such mediated learning processes in areas of the medial prefrontal cortex and
358 temporal lobe (Shohamy and Wagner, 2008; Wimmer and Shohamy, 2012; Zeithamova et al.,
359 2012; Kurth-Nelson et al., 2015). The strongest evidence against such mediated learning comes
360 from reports that cue A does not support conditioned reinforcement (Sharpe et al., 2017), and
361 that responding to this cue is sensitive to devaluation (Hart et al., 2020), the gold standards for
362 assessing model-free and model-based value, respectively. Moreover, pharmacological
363 inactivation of the OFC in the probe test selectively disrupts responding to cue A without affecting
364 responding to cue B (Jones et al., 2012). If responding to both A and B were based on the same
365 neural mechanisms involving model-free values, then presumably the two would not be
366 differentially affected by OFC inactivation in the final probe test in this earlier experiment or,
367 indeed, in the current study.

368 However, it is important to keep in mind that behavior can be driven by several independent
369 mechanisms and that inference-based behavior may occur in parallel with support from additional
370 mechanisms such as mediated learning (Schlichting and Preston, 2015), which may recruit
371 hippocampus (Shohamy and Wagner, 2008; Wimmer and Shohamy, 2012; Kurth-Nelson et al.,
372 2015) and perirhinal cortex (Wong et al., 2019). Nevertheless, the susceptibility of inference-
373 based responding to OFC-targeted cTBS indicates that at least some amount of behavior in our
374 task is based on real-time model-based computations. In this regard, it is important to note that
375 whereas OFC-targeted cTBS reduced subjects' ability to make inference based decisions, it did
376 not fully abolish this function. This could be related to the fact that we only applied unilateral
377 stimulation, and thus the contralateral OFC network may have remained unimpaired.
378 Alternatively, the remaining performance could be driven by mediated learning processes
379 mentioned above, dependent on areas not impacted by our OFC-targeted manipulation.

380 A limitation of our study is that we did not measure rsfMRI directly after TMS. Therefore, although
381 stimulation sites were selected to have maximal connectivity with the central-lateral OFC, and we
382 have previously shown that an identical OFC-targeted protocol disrupts activity in the lateral OFC
383 network (Howard et al., 2020), we are not able to confirm that this was the case in our current

384 sample. It is therefore possible that local effects of our stimulation on LPFC drove the observed
385 effects. However, we think this is unlikely for the following reasons. First, our TMS protocol was
386 identical to our previous study in which we did not observe any effects on LPFC activity (Howard
387 et al., 2020). Second, our results parallel previous findings with pharmacological inactivation of
388 OFC in animals (Jones et al., 2012). Third, although medial PFC networks have been implicated
389 in inference processes (Zeithamova et al., 2012; Schlichting et al., 2015; Schlichting and Preston,
390 2015), we are not aware of similar findings related to LPFC. However, cTBS could have affected
391 reliability signals in LPFC that have been shown to correlate with the arbitration of behavioral
392 control between model-based and model-free processes (Lee et al., 2014).

393 An additional limitation is our sham condition, which involved stimulation at 5% RMT. This is
394 noticeably different from stimulation at 80% RMT in terms of auditory and somatosensory
395 stimulation. Thus, these unintended peripheral effects of TMS could have driven the observed
396 behavioral effects, rather than the neural changes induced by cTBS. We believe this is unlikely
397 for two reasons. First, effects of cTBS were specific to inference-based behavior, and no
398 differences were found for behavioral responses based on direct experience or memory for cue-
399 cue associations. It is difficult to conceive why peripheral effects of the TMS would have highly
400 disparate effects on two almost identical behaviors that only differ in their requirement for
401 inference. Second, our previous study utilizing OFC-targeted TMS involved an additional control
402 condition that was matched for somatosensory stimulation (Howard et al., 2020). Despite
403 comparable peripheral effects, behavioral and neural effects in this control condition differed
404 significantly from active cTBS but were similar to the 5% sham condition. We therefore think it is
405 unlikely that our results were driven by unintended non-neuronal effects of cTBS.

406 In summary, our results support the idea that human OFC networks are necessary for inference-
407 based behavior, whereas they are not critical to support decision making when direct experience
408 is available. Deficits in decision making are a hallmark of many neuropsychiatric disorders,
409 including substance use disorder (SUD) (Volkow and Fowler, 2000; Franklin et al., 2002;
410 Goldstein et al., 2007; Zilverstand et al., 2018), obsessive compulsive disorder (OCD) (Menzies
411 et al., 2008; Gillan et al., 2011; Nakao et al., 2014). Our findings may offer a conceptual framework
412 for understanding how OFC dysfunction may disrupt behavior in these conditions. For instance,
413 an impaired ability to imagine unobservable states may reinforce checking behaviors in OCD, and
414 a failure to infer the consequences of long-term drug-use may bias drug-taking decisions in SUD.
415 It would be important to develop OFC-targeted TMS protocols that enhance rather than disrupt

416 OFC network activity with the goal to develop novel treatments that target specific behavioral
417 dysfunctions in these disorders.

418

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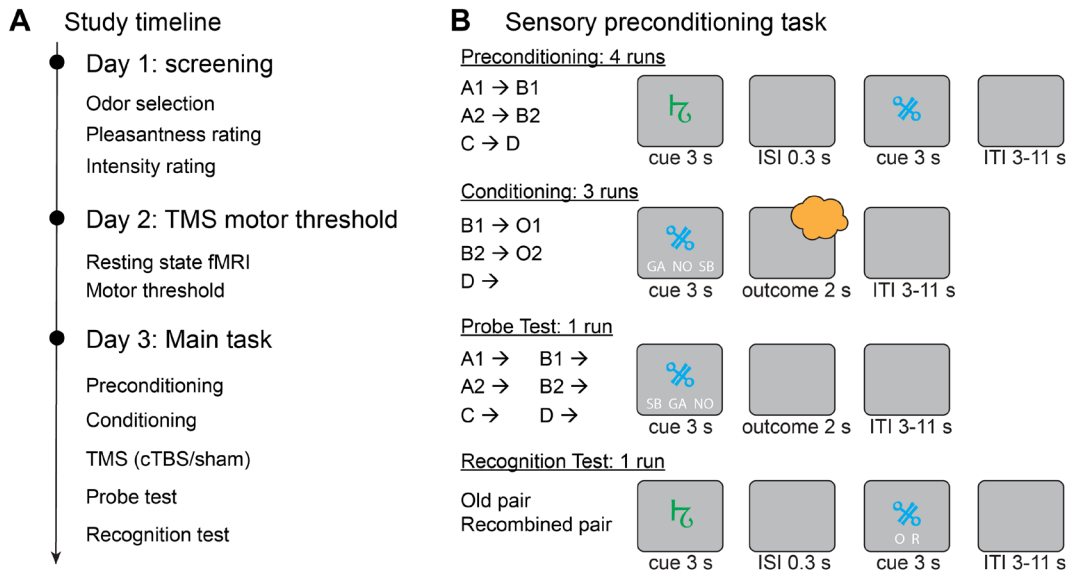
427

428 **Author Contributions**

429 F.W., G.S., J.L.V, and T.K. designed the experiment. F.W. and J.D.H. collected the data. F.W.
430 analyzed the data. F.W., G.S. and T.K. interpreted the results and wrote the manuscript.

431

432 **FIGURE LEGENDS**



433

434 **Figure 1. Experimental design and sensory preconditioning task. A.** Experimental timeline.

435 **B.** Participants learned cue pairs during preconditioning (A→B, C→D). During conditioning, they

436 learned associations between the second cue in each pair and one of two food odors (O1 or O2)

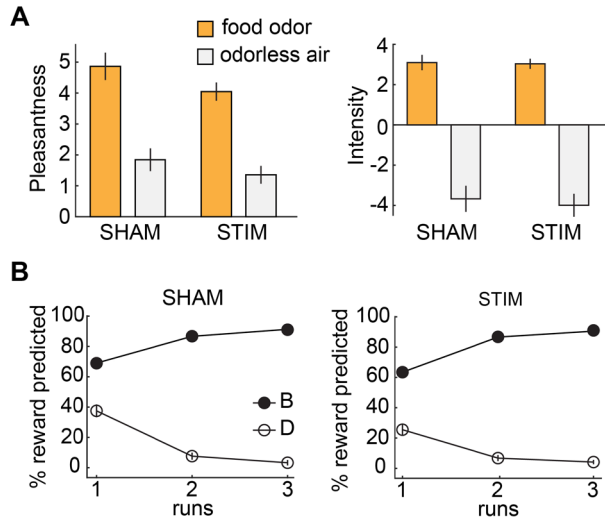
437 or odorless air (B → odor reward, D → odorless air). During the probe test, participants were

438 asked to make outcome predictions to all cues, but no outcomes were delivered. Finally, subjects

439 completed a recognition task testing for memory of cue-cue associations.

440

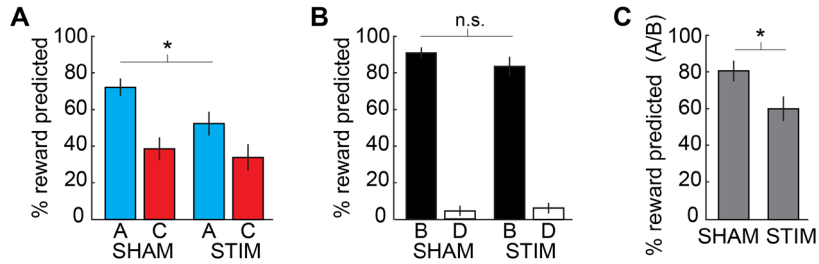
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442

443 **Figure 2. Odor ratings and behavioral performance during conditioning.** **A.** Participants
444 rated the pleasantness (left) and intensity (right) of food odors significantly higher than odorless
445 air ($p < 0.001$), but ratings did not differ between groups (p 's > 0.14). **B.** The percentage of trials in
446 which an odor reward was expected after cue B increased relative to cue D across time during
447 conditioning, and there were no group differences. Error bars depict SEM ($n = 23$ SHAM, $n = 24$
448 STIM).

449



450

451 **Figure 3. Responses based on inferred outcomes are disrupted by OFC-targeted cTBS. A.**

452 The percentage of trials in which participants predicted a reward for cue A was significantly larger

453 in the SHAM compared to the STIM group ($p=0.020$). There was no difference in reward

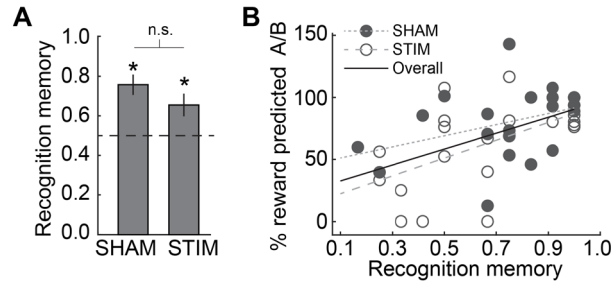
454 predictions for cue C ($p=0.642$). **B.** There was no group difference in responding to cue B

455 ($p=0.245$) or D ($p=0.740$). **C.** Responses to cue A relative to cue B (A/B) were significantly

456 stronger in the SHAM compared to the STIM group ($p=0.024$). Error bars depict SEM (n=23 SHAM,

457 n=24 STIM) and * depicts $p<0.05$.

458



459

460 **Figure 4. Memory for cue-cue associations and its relation to inference-based behavior is**
461 **not altered by OFC-targeted cTBS. A.** Recognition memory for cue-cue pairs does not differ
462 between groups ($p=0.188$). * depicts $p<0.05$. **B.** Recognition memory for cue-cue associations
463 was significantly correlated with responding to preconditioned cues (reward prediction responses
464 to A/B) during the probe test ($r=0.51$, $p<0.001$, solid circles: SHAM; empty circles: STIM), and this
465 correlation did not differ between groups ($Z=-0.93$, $p=0.178$).

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