1 Chromosome-level and haplotype-resolved genome assembly

enabled by high-throughput single-cell sequencing of gamete genomes

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24 novo assembly

25 Generating chromosome-level, haplotype-resolved assemblies of heterozygous 26 genomes remains challenging. To address this, we developed gamete binning, a 27 method based on single-cell sequencing of haploid gametes enabling separation of 28 the whole-genome sequencing reads into haplotype-specific reads sets. After 29 assembling the reads of each haplotype, the contigs are scaffolded to chromosome-30 level using a genetic map derived from the gametes. As a proof-of-concept, we 31 assembled the two genomes of a diploid apricot tree based on whole-genome 32 sequencing of 445 individual pollen grains. The two haplotype assemblies (N50: 25.5 33 and 25.8 Mb) featured a haplotyping precision of >99% and were accurately scaffolded 34 to chromosome-level.

35 Introduction

Currently, most diploid genome assemblies ignore the differences between the homologous chromosomes and assemble the genomes into one pseudo-haploid sequence, which is an artificial consensus of both haplotypes. Such an artificial consensus can result in imprecise gene annotation and misleading biological interpretation^{1,2}. To avoid these problems, it is a common strategy to inbreed or to generate double-haploid genotypes to enable the assembly of homozygous genomes.

42 Recent alternatives allowing for the assembly of both haplotypes include chromosome sorting³, Strand-seg⁴⁻⁶ and high-throughput chromosome conformation capture 43 44 (Hi-C)⁷⁻¹³ sequencing. Chromosome sorting separates individual chromosomes before 45 sequencing, and thus enables the sequencing and assembly of individual haplotypes. 46 However, sorting of particular chromosomes may not always be possible if they cannot be discriminated based on their fluorescence intensity or light scatter¹⁴ and may need tedious 47 generation of specific lines for sorting¹⁵. The more recent method Strand-seg is a single-cell 48 49 technique that requires neither parents nor gametes which can be potentially used to cluster 50 long sequencing reads by chromosome, phase haplotypes, and scaffold using genetic map 51 techniques, however, the difficulty for generating Strand-seq data has limited its application

52 to a narrow number of model species. In contrast, the analysis of the chromosome 53 conformation, including Hi-C technologies which enable the detection of chromatin 54 interactions at an unprecedented scale, has been successfully applied for haplotype phasing and genome scaffolding for a wide range of species ^{7,9-12,16}. However, despite its simple 55 56 application, Hi-C-based phasing can be error prone due to some weaknesses in defining the 57 alleles that distinguish haplotypes, which in turn can lead to haplotype switch errors¹¹ and 58 result in mis-scaffolding of small contigs due to the lack of sufficient informative connections to other contigs^{8,12,13}. Also the reconstruction of whole chromosomes structures can be error-59 60 prone as already one local mis-scaffolding is sufficient to introduce severe mis-assemblies like falsely joining chromosome arms¹⁰. It is therefore necessary to carefully inspect 61 62 assemblies that rely on Hi-C for phasing or scaffolding to identify errors, which in turn require 63 correction based on additional evidence including, for example, the integration of genetic maps^{8,10,17}. 64

An elegant alternative for haplotype phasing, called trio binning, is based on the separation of whole-genome sequencing reads into haplotype-specific read sets before assembly using the genomic differences between the parental genomes². While this is a powerful method, it can be limiting if the parents are not available or are unknown¹⁸. A solution for this is the sequencing of a few gamete genomes (derived from the focal individual), which is sufficient for the inference of genome-wide haplotypes, but relies on existing long-contiguity reference sequences^{19–22}.

72 In addition to resolving haplotypes, the generation of chromosome-level assemblies, 73 which are necessary to understand the full complexity of genomic differences including all kinds of structural rearrangements, is similarly challenging^{23,24}. While recent improvements in 74 long DNA molecule sequencing²⁵ and as mentioned above in Hi-C data generation promise 75 76 the assembly of telomere-to-telomere contigs, genetic maps can reliably help to resolve mis-77 assemblies and guide chromosome-level scaffolding¹⁰. The generation of genetic maps, 78 however, relies on a substantial amount of meiotic recombination which usually implies the 79 genotyping of hundreds of recombinant genomes^{26,27}. Creating and genotyping sufficiently large populations is not possible in some species (like many of the mammals including
humans), and for those species for which it is possible it can be time-consuming and costly,
and may post great challenges if the individuals show long juvenility or sterility¹⁶.

83 To address all these challenges, we present gamete binning, a method for 84 chromosome-level, haplotype-resolved genome assembly - independent of parental 85 genomes or recombinant progenies (Fig. 1). The method starts by isolating gamete nuclei 86 from the focal individual followed by high-throughput single-cell sequencing of hundreds of 87 the haploid gamete genomes. (For clarification, we collectively refer to both gametophytes in 88 plants and gametes in animals collectively as gametes, as both have haploid genomes.) The 89 segregation of sequence variation in the gamete genomes enables a straightforward phasing 90 of all variants into two haplotypes, which subsequently allows for genetic mapping and 91 sorting of whole-genome sequencing reads into distinct read sets - each representing a 92 different haplotype. Assembling these independent read sets leads to haplotype-resolved 93 genome assemblies, which can be scaffolded to chromosome-level using a gamete genome-94 derived genetic map.

95 **Results**

96 **Preliminary diploid-genome assembly**

97 We used gamete binning to assemble the two haploid genomes of a specific, diploid 98 apricot tree (Prunus armeniaca; cultivar 'Rojo Pasion'28), which grows in Murcia, 99 southeastern Spain (Supplementary Figure 1). We first performed a preliminary de novo 100 genome assembly using $Canu^{29}$ with 19.9 Gb long reads (PacBio, Supplementary Figure 2) 101 derived from DNA extracted from fruits and corresponding to 82x genome coverage according to a genome size of ~242.5 Mb estimated by *findGSE*³⁰ (Methods; Supplementary 102 103 Figure 3). After purging haplotype-specific contigs, the curated assembly consisted of 939 104 contigs with a combined length of 230.9 Mb and an N50 of 563.8 kb, which represents a 105 haploid, but mosaic assembly of the apricot genome (Methods).

106 High-throughput single-cell sequencing of pollen

107 To advance this assembly, we isolated pollen grains from ten closed flowers (to avoid 108 contamination of foreign pollen) and released their nuclei following a protocol based on prefiltering followed by bursting³¹ (Fig. 1a; Methods). The nuclei mixture was cleaned up using 109 110 propidium iodide staining plus sorting by flow cytometry, leading to a solution with 12,600 111 nuclei that were loaded into a 10x Chromium Controller in two batches - each with 6,300 112 nuclei (Supplementary Figures 1a-d; Supplementary Figure 4; Methods). With this we 113 generated two 10x single-cell genome (CNV) sequencing libraries, which were sequenced 114 with 95 and 124 million 151 bp paired-end reads (Illumina). By exploring the cellranger-115 corrected cell barcodes within the read data of both libraries, we extracted 691 read sets -116 each with a minimum of 5,000 read pairs (Methods; Fig. 2a).

117 Aligning the reads of each pollen genome to the curated assembly, we found that the 118 reads of 246 sets featured high similarity to thrip genomes or included more than one haploid 119 genome, possibly due to random attachment of multiple nuclei during 10x Genomics library 120 preparation or the uncompleted separation of pollen nuclei during pollen maturation³² 121 (Supplementary Figure 6a-c; Methods). Thus, we selected a set of 445 haploid pollen 122 genomes. In general, the short-read alignments did not show any biases or preferences for 123 specific regions of the genome as reported for some of the single-cell genome amplification 124 kits, but covered nearly all regions (99.1%) of the curated assembly (Fig. 2b; Supplementary 125 Figure 6d).

126 Haplotype phasing and genetic mapping

With short read alignments, we identified 578,209 heterozygous SNPs on 702 contigs with a total length of 218.0 Mb (Fig. 2b; Methods). Even though this implied 1 SNP marker every 377 bp on average, we observed that the distances between some of the SNP markers were larger than the usual long reads, which would hamper the haplotype assignment of reads whenever they aligned to such regions. Overall, we observed 10,452 regions larger than 2 kb without markers (110.9 Mb) including 237 regions (12.5 Mb), that spanned entire 133 contigs. Regions without markers occur if the two haplotypes are identical (which is a 134 common phenomenon in domesticated genomes) or if a region exists only in one of the 135 haplotypes (e.g. a large indel). We distinguished these two cases using the short-read 136 coverage of the combined pollen read sets, assuming that the regions that are only present 137 in one haplotype are supported by only approximately half of the reads (Methods). While 138 7,199 regions (74.5 Mb) were shared between the haplotypes (and were labelled as 139 conserved), we found that 3,253 regions (36.4 Mb) were specific to one of the haplotypes 140 (i.e. deletions; Fig. 2b). Such regions (i.e. deletions) which are specific to one haplotype can 141 also be used as markers. If such deletions were linked to nearby SNP markers, we phased 142 them according to their linked alleles. For deletions on contigs without additional markers, we 143 used the absence and presence of read alignments in the pollen to assign genotypes.

144 The haploid nature of the 445 selected individual pollen genomes allowed us to phase 145 all SNP and deletion markers into two haplotypes simply by using the linkage within the 146 pollen genomes (Fig. 2c-d). To phase the haplotypes across the contigs, we generated two 147 virtual markers for each contig representing the (imputed) alleles at both ends of the contig. 148 The markers were grouped into a genetic map with eight linkage groups (corresponding to 149 the eight homologous chromosome pairs) including 891 contigs with a total length of 228.0 Mb (corresponding to about 99% of the complete assembly) using JoinMap 4.0³³ (Fig. 2e; 150 151 Fig. 3a) (Methods).

152 Haplotype-specific long read separation and chromosome-level assembly

After this, we aligned the PacBio reads to the curated assembly. Using the phased alleles (of the SNP and deletion markers) within each of the individual PacBio read alignments, we separated 93.4% of the reads into one of 16 haplotype-specific clusters representing the two haplotypes of each of the eight linkage groups. Reads that aligned in regions that were conserved between the two haplotypes were randomly assigned to one of the two haplotype-specific clusters (Fig. 3a; Methods). Similarity analyses revealed that most of the remaining 6.6% reads were related to organellar genomes or repetitive sequences.

The 16 haplotype-specific read sets were independently assembled using *Flye*³⁴, which led to 16 haplotype-specific chromosome assemblies with average N50 values ranging from 662.3 to 664.6 kb (Table 1; Methods). Using the genetic map, we combined the contigs of each assembly into a pseudo-molecule. This led to two haplotype-resolved chromosomelevel assemblies, both with N50 above 25.0 Mb (Fig. 3a-b; Methods).

165 To assess haplotype accuracy, we additionally whole-genome sequenced the 166 parental cultivars of 'Rojo Pasion' known as 'Currot' and 'Orange Red'. Using Illumina 167 sequencing technology, we generated 15.7 and 16.2 Gb short reads of each of the diploid 168 parental genomes, respectively. Overall, we found that ~99.1% of the k-mers that were 169 specific to one of the haplotype assemblies could be found in the corresponding parental 170 genome illustrating that almost all of the variation was correctly assigned to haplotypes (Fig. 171 3c; Table 1; Methods). Having proved the haplotype accuracy, the assemblies were polished 172 resulting in final haplotype assemblies. The final haplotype assembly sizes were 216.0 and 173 215.2 Mb for 'Currot'-genotype (8 scaffolds, N50: 25.8 Mb) and 'Orange Red'-genotype (8 174 scaffolds, N50: 25.5 Mb), respectively (Table 1).

We estimated the overall assembly quality by comparing the *k*-mer distributions of the assemblies and the Illumina short read sets of the focal and parental using KAT³⁵ and Merqury³⁶. Both haplotype genome assembly showed very high quality values (QV > 36) and the absence of allelic duplications between the haplotypes, though a fraction of ~7% of the heterozygous *k*-mers in the reads was missing in the assemblies (Supplementary Figures 7c, 8).

To further assess the overall structures of the assembled chromosomes, we compared them to recently assembled chromosomes of very closely-related species such as the heterozygous 'Chuanzhihong' apricot (*Prunus armeniaca*)³⁷, the Japanese apricot (*Prunus mume*)³⁸, and a more distantly-related species, peach (*Prunus persica:* doubledhaploid genome)³⁹ using $SyRl^{23}$ (a tool designed for the comparison of chromosome-level assemblies). Our assemblies showed high consistency in the synteny to these assemblies 187 across entire chromosomes, reflecting the reliability of the genetic map and the assembled188 genome structures (Fig. 3d; Supplementary Figure 6).

As yet another way to assess the quality of the genome we generated two Hi-C libraries from DNA extracted from leaves of Rojo Pasion and sequenced them totaling in 191.2 million read pairs (or ~240x genome coverage). We created Hi-C contact maps using each of the homologous chromosome pairs separately as well as using the entire genome (Fig. 3e; Fig. 4a; Supplementary Figures 9-15). In general, the contiguity of contact signals surrounding the main diagonal of the map again demonstrated the high quality of the structure of the assemblies.

196 Comparing gamete binning with Hi-C based phasing and genome scaffolding

However, the perhaps more interesting way to use the Hi-C data is its application for genome phasing and scaffolding and the comparison of its assembly performance to that of gamete binning.

Applying ALLHiC⁸ to the Hi-C reads sets generated 16 scaffolds (representing the 16 200 201 haploid chromosomes), with sizes ranging from 11.2 to 51.1 Mb (Methods). (Using a different 202 Hi-C-based phasing and scaffolding tool, SALSA2⁴⁰, did not lead to comparable results, thus 203 not compared further.). For comparison, we also generated Hi-C contact maps for ALLHiC 204 based assemblies (Fig. 4b). Interestingly, the contact maps of the gamete binning and 205 ALLHiC based assemblies were strikingly different. Only the gamete binning assembly 206 showed (beside the contact within the haplotypes) the expected contact signals between two 207 different haplotypes, which also were reported for other species ^{8,41}. The absence of these 208 signals in the Hi-C based assembly suggests that the assembly was falsely merging 209 sequences from different haplotypes and the contigs were likely to be scaffolded in the wrong 210 order.

To test if the Hi-C based assemblies were truly a mixture of the two haplotypes, we checked the presence of parental-specific *k*-mers within each of the 16 haplotype-specific chromosome-level assemblies (Fig. 4c). This revealed that the majority of the haplotype specific assemblies were in fact mixtures of the two haplotypes, which is in great contrast with the high haplotyping accuracy of gamete binning. Finally, a whole-genome alignment of the Hi-C based assembly to the genetic map based assembly of gamete binning revealed many ambiguities between the genetic maps and the Hi-C based assembly within essentially all haplotype-specific chromosome assemblies (Fig. 4d).

Taken together, besides its broad application, Hi-C-based phasing and scaffolding was far from being error-free. Some of the errors combined large pieces from different haplotypes, which resulted in falsely arranged chromosomes and severe phasing errors. Though, gamete-binning might be more tedious in its experimental requirements, the improved assembly quality might justify the additional effort.

Haplotype diversity and (non-allelic) meiotic recombination

225 In contrast to conventional diploid genome assemblies where the two haplotypes are 226 merged into one artificial consensus sequence, separate haploid assemblies allow for the 227 analysis of haplotype diversity. Comparing the two haplotype assemblies of 'Rojo Pasion' 228 using $SyRl^{23}$ allowed us to gain first insights into the haplotype diversity within an individual 229 apricot tree. Despite high levels of synteny, the two assemblies revealed large-scale 230 rearrangements (23 inversions, 1,132 translocation/transpositions and 2,477 distal 231 duplications) between the haplotypes making up more than 15% of the assembled sequence 232 (38.3 and 46.2 Mb in each of assemblies; Supplementary Table 1). Using the Hi-C contact 233 maps (Fig. 3e; Supplementary Figures 9-15), we validated the 17 largest rearrangements (> 234 500 kb) between the haplotype assemblies. Using a comprehensive RNA-seq dataset 235 sequenced from multiple tissues of 'Rojo Pasion' including reproductive buds, vegetative 236 buds, flowers, leaves, fruits (seeds removed) and barks as well as a published apricot RNAseq dataset³⁷, we predicted 30,378 and 30,661 protein-coding genes within each of the 237 haplotypes (with an annotation completeness of 96.4% according to a BUSCO⁴² analysis). 238 239 Mirroring the huge differences in the sequences, we found the vast amount of 942 and 865 240 expressed, haplotype-specific genes in each of the haplotypes (Methods; Supplementary 241 Tables 2-3). Such deep insights into the differences between the haplotypes, which are only enabled by chromosome-level and haplotype-resolved assemblies, will generally be of highvalue for the analysis of agronomically relevant variation.

244 Moreover, the chromosome-level assemblies also allow for fine-grained analyses of 245 the haploid pollen genomes, which have already undergone recombination during meiosis. 246 Meiotic recombination is the major mechanism to generate novel variation in offspring 247 genomes. During meiosis new haplotypes are formed by sequence exchanges between two 248 homologous chromosomes. To keep chromosome structures intact during such exchanges, it 249 is essential that recombination only occurs in syntenic regions as otherwise large parts of the 250 chromosome can be lost or duplicated in the newly formed molecules. Re-analyzing the 445 251 pollen nuclei genomes using one of the chromosome-level assemblies as reference, we 252 detected 2,638 meiotic crossover (CO) events (Methods). To improve the resolution of the 253 predicted CO events (6.1 kb), we selected 2,236 CO events detected in 369 nuclei with a 254 sequencing depth above 0.1x genome coverage (Supplementary Table 4). Along the 255 chromosomes. CO events were broadly and positively correlated with the density of protein-256 coding genes and were almost completely absent in rearranged regions as expected (Fig. 5; 257 Methods). By investigating the fine-scale pattern of short read alignment of each nuclei, we 258 identified six CO events located in rearranged regions (0.3% of 2.236 CO events found in 259 1.6% of the pollen genomes), which led to stark chromosomal rearrangements. In each of 260 the six chromosomes we found duplicated read coverage and pseudo-heterozygous variation 261 in the regions that were involved in the chromosome rearrangements as induced by the non-262 allelic CO (Fig. 6). This evidences the existence of non-allelic recombination in pollen 263 genomes and might open up a more detailed view on the actual meiotic recombination 264 patterns as compared to what could be observed in offspring individuals.

265 **Conclusion**

Taken together, following the elegant rationale of haplotype-based read separation before genome assembly introduced by trio binning², we present gamete binning. In contrast to trio binning, gamete binning does not rely on paternal genomes, but instead uses the

269 genomes of individual gametes to resolve haplotypes. In addition, the recombination patterns 270 in these gamete genomes can be used to calculate a genetic map, which in turn enables the 271 generation of chromosome-level assemblies. High-throughput analysis of gamete genomes 272 avoids tedious generation of offspring progeny and allows to sample the required material in 273 its ecological context, which makes it possible to analyze meiotic recombination as it occurs 274 in natural environments. As a result, gamete binning can efficiently and effectively enable 275 haplotype-resolved and chromosome-level genome assembly of any heterozygous individual 276 with accessible gametes.

277 Online Methods

278 DNA extraction, Illumina/PacBio library preparation and sequencing

279 Fresh developing fruits of 'Rojo Pasion' were frozen in liquid nitrogen immediately 280 after being sampled in Murcia, Spain. After being shipped to the Max Planck Institute for Plant Breeding Research (MPIPZ, Cologne, Germany), DNA was extracted from the 281 mesocarp and exocarp of the fruits using the Plant DNA Kit of Macherey-Nagel[™] to create a 282 283 PacBio sequencing library. Meanwhile, fresh leaves were sampled from the parental cultivars 284 ('Currot' and 'Orange Red') at the experimental field of CEBAS-CSIC in Murcia, Spain, and 285 Illumina short read libraries were prepared after DNA extraction using the Plant DNA Kit of 286 Macherey-Nagel[™].

All libraries were sequenced with the respective sequencing machines (Illumina HiSeq 3000 and PacBio Sequel I) at Max Planck Genome-centre Cologne (MP-GC), which led to 19.9 Gb long reads for 'Rojo Pasion' (PacBio; Supplementary Figure 2) and 15.7 and 16.2 Gb short reads for the parental cultivars (Illumina). Note that the parental WGS data were only used for haplotype validation and for sorting the individual chromosome assemblies to two sets of eight chromosomes to match the inheritance of the chromosomes.

293 Pollen nuclei DNA extraction, 10x sc-CNV library preparation and sequencing

294 Dormant shoots of 'Rojo Pasion' bearing developed flower buds were collected in 295 Murcia, Spain. Then, the shoots were shipped at 4 °C to MPIPZ (Cologne, Germany) and 296 were grown in long-day conditions in the greenhouse. Flowers at the pre-anthesis stage were frozen in liquid nitrogen. Anthers from ten 'Rojo Pasion'²⁸ flowers were extracted with forceps 297 and submerged in woody pollen buffer (WPB)⁴³. Around 500,000 pollen grains were 298 299 extracted from anthers by vortexing them in WPB. The nuclei were isolated from the pollen using a modified bursting method³¹. Isolated pollen was prefiltered (100µm) and bursted 300 (30um) using Celltrics[™] sieves and woody pollen buffer. The nuclei were then stained with 301 302 propidium iodide (PI) at 50 µg/mL just before sorting and counting by flow cytometry to remove pollen grain debris using a BD FACSAria Fusion[™] with high-speed sort settings (70 303

μm nozzle and 70 PSI sheath pressure) and 0.9% NaCl as sheath fluid. The nuclei were
identified by PI fluorescence, light scattering, and autofluorescence characteristics
(Supplementary Figure 4). A total of 12,600 nuclei were counted and collected in a solution
of 4.2 μL phosphate-buffered saline with 0.1% bovine serum albumin.

According to manufacturer's instructions, the nuclei were loaded into a $10x^{TM}$ Chromium controller in two batches with 6,300 nuclei each, i.e., two 10x sc-CNV libraries were prepared. In each library, DNA fragments from the same nucleus were ligated with a unique 16-bp barcode sequence (of A/C/G/T). Both libraries were sequenced using Illumina HiSeq3000 in the 2x151 bp paired-end mode, totaling 95 and 124 million read pairs, respectively (61.7 Gb).

314 Hi-C library preparation and sequencing

Approximately 0.5 grams of flash-frozen leaf samples of 'Rojo Pasion', which were collected from the field, were thawed and fixed with 1% formaldehyde for 30 min at room temperature under vacuum. Subsequently, the in situ Hi-C library preparation was performed according to a protocol established for rice seedlings⁴⁴. The libraries were sequenced on an Illumina HiSeq3000 instrument; in total, around *191.2* million pair-end reads were obtained.

320 **RNA extraction and sequencing**

321 Fruits tissue was collected in the same way for the PacBio sequencing library. Tissue 322 from reproductive buds, vegetative buds, flowers, leaves, bark tissues were collected from 323 the same shoots used for pollen nuclei isolation. RNA was extracted from these tissues using 324 the NucleoSpin® RNA Plant of Macherey-Nagel[™] to prepare Illumina libraries.

All libraries were sequenced with Illumina HiSeq 3000 at Max Planck Genome-centre Cologne (MP-GC) in the 150 bp single-end mode, which respectively led to 32.8 (reproductive buds), 28.9 (vegetative buds), 30.2 (flowers), 23.8 (leaves), 18.6 (fruit) and 26.1 (bark) million reads, totaling 24.1 Gb.

329 Genome size estimation

After trimming off 10x Genomics barcodes and hexamers from the 61.7 Gb reads of the two 10x sc-CNV libraries, *k*-mer counting (k=21) was performed with *Jellyfish*⁴⁵. The *k*mer histogram was provided to *findGSE*³⁰ to estimate the size of the 'Rojo Pasion' genome under the heterozygous mode (with '*exp_hom=200*'; Supplementary Figure 3).

334 **Preliminary diploid-genome assembly and curation**

335 With the 19.9 Gb raw PacBio reads of 'Rojo Pasion' (Supplementary Figure 2), a 336 canu²⁹ preliminary diploid assembly was constructed using (with options 337 'genomeSize=242500000 corMhapSensitivity=high corMinCoverage=0 corOutCoverage=100 338 correctedErrorRate=0.105').

All raw Illumina reads from the 10x libraries were firstly aligned to the initial assembly using *bowtie2*⁴⁶. Then the *purge haplotigs* pipeline was then used to remove haplotigs (i.e., haplotype-specific contigs inflating the true haploid genome) based on statistical analysis of sequencing depth, and identify primary contigs to build up a curated haploid assembly⁴⁷. To reduce the false positive rate in defining haplotigs, each haplotig was blasted to the curated assembly; if over 50% of the haplotig could not be covered by any primary contigs, it was recollected as a primary contig.

346 SNP marker selection

After trimming off 10x barcodes and hexamers, all pooled Illumina reads from the 10x sc-CNV libraries (61.7 Gb) were re-aligned to the curated haploid assembly using *bowtie*2⁴⁶. With 87.2% reads aligned, 989,132 raw SNPs were called with *samtools and bcftools*⁴⁸. Three criteria were used to select potential allelic SNPs (578,209), including i) the alternative allele frequency must be between 0.38 to 0.62; ii) the alternative allele must be carried by 60-140 reads; iii) the total sequencing depth at a SNP must be between 120-280x (as compared with genome-wide mode depth of 208x; Fig. 2b).

354 **Deletion marker selection and genotyping**

355 The assemblies included 10,452 regions of over 2 kb without SNP marker (total: 356 110.9 Mb). If the average sequencing depth of such regions was less than or equal to 146x 357 (i.e., the value at the valley between middle and right-most peaks in the sequencing depth 358 distribution; Fig. 2b), it was selected as a deletion-like marker. This revealed 3,253 deletion 359 markers (36.4 Mb), including 237 on contigs without a single SNP marker. The remaining 360 7,199 regions (74.5 Mb) were defined as conserved (homozygous regions) between two 361 haplotypes (Fig. 2b). For each deletion marker and gamete genome, we assessed the 362 normalized read (RPKM value) could of the reads aligned within the deletion using *bedtools*⁴⁹. The genotype at such a deletion marker was initialized as *a* or *n*, where *a* refers 363 364 to the presence of reads (and therefore relates to the haplotype without the deletion) and n 365 refers to the absence of reads (either the deletion haplotype or not having enough 366 information).

367 Haplotype phasing and CO identification

Barcodes in the raw reads were corrected using *cellranger*, with which 182.1 million read pairs (51.0 Gb) were clustered into 691 read sets. Reads of each read set were aligned to the curated assembly using *bowtie2*⁴⁶, bases were called using *bcftools*⁵⁰, and a simple bimarker majority voting strategy was applied to phase the SNPs along each contig (Fig. 2c). After phasing, we identified COs as consistent switches between the haplotypes.

373 Ploidy evaluation of single-cell sequencing

For each nucleus, with short read alignment and base calling to the curated assembly, we counted the number of inter-genotype transitions (genotype *a* to *b* and *b* to *a*) at phased SNP markers over all contigs. Correlating this to the number of covered markers revealed two clusters of nuclei (Supplementary Figure 6c). One cluster with 217 nuclei showed that inter-genotype transitions increased linearly with the number of covered markers (while there were high ratios of more than 5 transitions in every 100 markers), which indicated the sequencing data were mixed from more than one nuclei. The other cluster of

445 nuclei (31.2 Gb with 111.4 million read pairs) showed a limited increase (probably due to
sequencing errors or markers from repetitive regions), which supported the expected haploid
status.

384 Imputation of virtual markers at ends of contigs

Let *a* and *b* denote the parental genotypes. The genotype of a nucleus at both ends of a contig (referred to as virtual markers) can be represented by *aa*, *bb* or *ab* (or *ba*) where *aa/bb* indicates an identical genotype along the contig while *ab* (or *ba*) indicates a CO event in the regions of contig. Then we can build up genotype sequences at the two ends of all contigs (with SNP markers) by imputing at all nuclei. For example, given a contig, sequences of *aaaaaababbbbbbb* (marker 1) and *aaaaaaaaabbbbbbbb* (marker 2) means there is a CO (in bold) at the 7th (of 15) nuclei (Fig. 2c).

392 Linkage grouping and genetic mapping

All virtual markers (defined using SNP markers along contigs) were classified into 8 linkage groups (653 contigs: 212.9 Mb) after pairwise comparison of their genotype sequences using *JoinMap4.0*³³ (with haploid population type: HAP; and logarithm of the odds (LOD) values larger than 3.0).

397 After filtering out contigs with >10% missing nuclei information or nuclei with >10% 398 missing contigs, a high-quality genetic map consisting of 216 contigs (147.3 Mb, 399 corresponding to 622.0 cM; Fig. 3a) was first obtained using regression mapping in *JoinMap* 400 4.0® with the following settings: LOD larger than 3.0, a "goodness-of-fit jump" threshold of 5.0 for removal of loci and a "two rounds" mapping strategy³³. Genotype sequences imputed 401 402 at contig ends or deletions (i.e., respective virtual markers) were used to integrate the 403 remaining 723 contigs into the genetic map. For example, given a deletion marker (e.g., p 404 and q in Fig. 2c-e), if the respective contig had already existed in the genetic map, phasing 405 was only performed at the deletion (according to surrounding phased SNPs); otherwise, 406 phasing plus positioning to the genetic map would be applied. Both operations were based 407 on finding the minimum divergence of the genotype sequence of the marker to that of the

408 other contigs (in the corresponding genetic map). The final genetic map was completed as409 891 contigs of 228.0 Mb.

410 Haplotype-specific PacBio read separation

PacBio reads (19.9 Gb) were classified based on three major cases after being aligned to the curated assembly using *minimap2*⁵¹. First, a read covering phased SNP markers was directly clustered into the haplotype supported by the respective alleles in the read. Second, a read covering no SNP markers but overlapping a deletion marker was clustered into the respective genotype based on its phasing with neighboring imputed markers in genetic map. Third, a read in a conserved region was assigned to one of the haplotypes randomly.

418 Haplotype assembly and chromosome-level scaffolding

Independent assemblies were performed with sixteen sets of reads, i.e., for every two
 haplotypes in each of the eight linkage groups using *Flye*³⁴ with the default settings.

Using the 891 contigs of the curated assembled that were assigned to chromosomal positions with the genetic mapping, we created a pseudo reference genome, with which the newly assembled contigs were scaffolded using $RAGOO^{52}$, leading to chromosome-level assemblies (i.e., those labeled with 'scaf' in Fig. 3b).

425 Haplotype evaluation

The genotypes of the sixteen assemblies were firstly identified by comparing *k*-mers in each assembly with Illumina WGS of the parental cultivar (k=21; Fig. 3c). Although evaluation can always be performed in each linkage group, we combined the eight linkagegroup-wise assemblies for 'Currot'-genotype and the other eight for 'Orange Red'-genotype, respectively.

431 After polishing the assemblies respectively with the 'Currot'-genotype and 'Orange 432 Red'-genotype PacBio reads using $apollo^{53}$, we built up two sets of haplotype-specific *k*-mers 433 from the assemblies, r_c and r_o . Correspondingly, a set of 'Currot'-specific *k*-mers (with 434 coverage from 10 to 60x), $p_{\rm C}$, was selected from the parental Illumina WGS that did not exist 435 in 'Orange Red' short reads (coverage over 1x) but in 'Rojo Pasion' pollen short reads 436 (coverage from 10 to 300x); similarly, a set of 'Orange Red'-specific k-mers, p_0 , was also 437 collected. Then we intersected r_c and r_o with p_c and p_o respectively, leading to four subsets 438 $r_{C} \cap p_{C}$, $r_{C} \cap p_{0}$, $r_{0} \cap p_{C}$, and $r_{0} \cap p_{0}$, which were used to calculate average haplotyping accuracy. 439 All k-mer processing (counting, intersecting and difference finding) were performed with KMC⁵⁴. After haplotype validation, the assemblies were further polished with the respective 440 441 parental short read alignment using pilon⁵⁵ (with options '--fix bases --mindepth 0.85') 442 generating v1.0 of the assemblies. Manual correction of the v.1.0 assemblies was performed 443 according to focal and parental reads to generate assembly v1.1. Finally, k-mer-based assembly validation was performed with KAT³⁵ and Mergury³⁶. 444

445 **Genome annotation**

446 We annotated protein-coding genes for each haplotype assembly (v1.0) by integrating evidences from *ab initio* gene predictions (using three tools Augustus⁵⁶, GlimmerHMM⁶⁷ and 447 448 SNAP⁵⁸), RNA-seq read assembled transcripts and homologous protein sequence 449 alignments. We aligned protein sequences from the database UniProt/Swiss-Prot, 450 Arabidopsis thaliana and Prunus persica to each haplotype assembly using the tool 451 Exonerate⁵⁹ with the options "--percent 60 --minintron 10 --maxintron 60000". We mapped 452 RNA-seq reads from reproductive buds, vegetative buds, flowers, leaves, fruits (except seeds) and bark tissues, as well as a published Apricot RNA-seq dataset³⁷, using HISAT⁶⁰, 453 454 and we assembled the transcripts using StringTie⁶¹. Finally, we used the tool EvidenceModeler⁶² to integrate the above evidence in order to generate consensus gene 455 456 models for each haplotype assembly.

457 We annotated the transposon elements (TE) using the tools *RepeatModeler* and 458 *RepeatMasker* (http://www.repeatmasker.org). We filtered the TE related genes based on 459 their coordinates overlapping with TEs (overlapping percent > 30%), sequence alignment 460 with TE-related protein sequences and *A. thaliana* TE related gene sequences (both 461 requiring *blastn* alignment identity and coverage both larger than 30%).

462 We improved the resulting gene models using in-house scripts. Firstly, we ran a primary gene family clustering using orthoFinder⁶³ based on the resulting gene models from 463 464 each haplotype to find haplotype-specific genes. We then aligned these specific gene 465 sequences to the other haplotype using *blastn*⁶⁴ to check whether it was specific because the 466 ortholog was unannotated in the other haplotype. For these potentially unannotated genes 467 (blastn identity > 60% and blastn coverage > 60%), we checked the gene models from ab 468 initio prediction around the aligned regions to add the unannotated gene if both the gene 469 model and the aligned region had an overlapping rate larger than 80%. We also directly generated new gene models based on the Scipio⁶⁵ alignment after confirming the existence 470 471 of start codon, stop codon and splicing site. Finally, the completeness of assembly and annotation were evaluated by the $BUSCO^{42}$ v4 tool based on 2,326 eudicots single-copy 472 orthologs from OrthoDB v10⁶⁶. A similar process was used to filter for haplotype-specific 473 474 genes (Supplementary Tables 2-3). Finally, a genome annotation lift-over was performed from v1.0 to v1.1 using liftoff⁶⁷ with default parameters. 475

476 Genome assembly comparison

477 All genome assemblies, including 'Rojo Pasion' haplotypes, 'Chuanzhihong' apricot (Prunus armeniaca)³⁷, Japanese apricot (Prunus mume)³⁸ and 'Lovell' peach (Prunus 478 persica)³⁹, were aligned to each other using *nucmer* from the *MUMmer4*⁶⁸ toolbox with 479 480 parameters '-max -l 40 -g 90 -b 100 -c 200'. The alignments were further filtered for 481 alignment length (>100 bp) and identity (>90%), with which structural rearrangements and local variations were identified using SyR^{23} . To follow the nomenclature of the Prunus 482 483 community, the 'Rojo Pasion' chromosomes were numbered according to the numbering in 'Lovell' peach³⁹. 484

485 Hi-C data analysis

486 We used ALLHiC⁸ and SALSA2⁴⁰ for phasing and scaffolding. All 191.2 million Hi-C 487 read pairs were aligned (using BWA version 0.7.15-r1140) to the haplotype-resolved unitigs 488 assembled by Canu. Only uniquely mapped read pairs were selected using 489 *filterBAM_forHiC.pl* from the ALLHiC package. The selected alignments were used as input 490 for ALLHiC_partition ("ALLHiC_partition -b clean.bam -r unitigs.fa -e GATC -k 19") and 491 SALSA2 ("python run pipeline.py -a unitigs.fa -l unitigs.fa.fai -g unitigs.gfa -m yes -b 492 alignment.bed -e GATC -o SALSA2_out -i 8", where the file alignment.bed was generated 493 and sorted from clean.bam using bedtools bamtobed (version v2.29.0) and unitigs.gfa was 494 collected from the Canu output). For ALLHiC, we had to set group number as 19 to get 16 495 linkage groups (of chromosome-level size), and 3 smaller groups below 2.5 Mb, which were 496 not considered further. We continued with ALLHiC pipeline as it provided more accurate than 497 those from SALSA2. The subsequent pipeline of ALLHiC were run by default except for using 498 "-RE GATC" in the "allhic extract" command. For comparison, we also aligned all raw Hi-C 499 reads to haploid assemblies generated by gamete binning, and selected the uniquely 500 mapped read pairs as described above. Hi-C maps were visualized using ALLHiC plot at 501 300-500 kb resolution. Alignments of ALLHiC and gamete binning based assemblies were 502 obtained using minimap2 and dot plot was drawn with script pafCoordsDotPlotly.R at 503 https://github.com/tpoorten/dotPlotly.

504 **Crossover identification**

All 220 million pollen nuclei-derived short read pairs were pooled and aligned to the 'Currot'-genotype assembly, from which 739,342 SNP markers were defined with an alternative allele frequency distribution of 0.38 to 0.62 and alternative allele coverage of 50 to 150x. Then, short reads of 445 nuclei were independently aligned to the 'Currot'-genotype assembly using *bowtie2*⁴⁶ and bases were called using *bcftools*⁵⁰. Finally, *TIGER*⁶⁹ was used to identify COs. The landscape of COs from 369 nuclei with a sequencing depth over 0.1x was calculated within 500 kb sliding windows along each chromosome at a step of 50 kb

512 (Fig. 5), where for each window, the recombination frequency (*cM/Mb*) was defined as 513 $C/n/(w/10^6)^*$ 100%, where *C* is the number of recombinant nuclei in that window, *n* is the 514 total number of nuclei (369) and *w* is the window size. *SNP/Mb* and *gene/Mb* were calculated 515 for the same windows as $x/(w/10^6)$, where *x* was the count of the feature in the respective 516 window.

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528 Author contributions

529 J.A.C, H.S. and K.S. designed the project. J.A.C., B.H., K. F.-D., C.K., D.R., M.R., 530 N.W. and C.L. performed wet-lab experiments. H.S., J.A.C, M.G., and W-B.J. performed all 531 data analysis. J.A.C., H.S. and K.S. wrote the manuscript with input from all authors. All 532 authors read and approved the final manuscript.

533 **Competing interests**

534 The authors declare no competing interests.

535 **Data availability**

536 Data supporting the findings of this work are available within the paper and its 537 Supplementary Information files. Read data sequenced from two 10x sc-CNV libraries, two 538 Hi-C libraries, one PacBio library from 'Rojo Pasion', two Illumina libraries for 'Currot' and 539 'Orange Red' that support the work in this study as well as the haploid assemblies and 540 annotations generated are available in European Nucleotide Archive (ENA) under accession 541 number "PRJEB37669". Data was uploaded to ENA using EMBLmyGFF⁷⁰. All other relevant 542 data are available upon request.

543 Code availability

- 544 Customs scripts supporting this work are available at <u>github.com/schneeberger-</u>
- 545 *lab/GameteBinning*.

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711 Figure legends

Figure 1. Overview of gamete binning. a. Extraction of gamete nuclei. b. Single-cell genome sequencing of haploid gametes and haplotype phasing. c. Genetic map construction based on the recombination patterns in the gamete genomes. d. Long-read sequencing of somatic material. e. Separation of long reads based on genetic linkage groups using phased alleles. f. Independent assembly of each haplotype of each linkage group. g. Scaffolding assemblies to chromosome-level using the gamete-derived genetic map.

719 Figure 2. Single-pollen nuclei sequencing, variant phasing and genetic mapping. a. 720 Sequencing depths of 691 pollen nuclei. b. Sequencing depth histogram of pooled 721 pollen short reads. The left-most peak revealed 0.9% of the genome that were not well 722 covered in the pollen read sets (i.e., ≤5x). The middle peak indicated regions covered 723 only by half of the genomes and present in only one of the haplotypes, and the right-724 most peak indicated regions, which were present in both haplotypes and showed the 725 expected coverage. In regions represented in both haplotypes, 578,209 SNPs were 726 defined. Regions without SNP markers were classified into 3,253 deletions and 7,199 727 conserved regions (Methods). c. SNP phasing along contigs. Genotyping was first 728 performed for each individual nuclei at each SNP marker. As shown, both genotypes 729 (in red and blue) were mixed in the curated but mosaic assembly. After phasing, 8 and 730 7 nuclei were respectively clustered for genotype A and B, and crossover could be 731 identified. With this, representative markers were imputed at ends of contigs. d. 732 Imputation of markers at deletions by genotyping using normalized read count. Two 733 cases were considered for phasing (and positioning) a deletion marker (in the genetic 734 map). If it was linked with surrounding SNP alleles, it could be phased accordingly; 735 otherwise, comparison its genotype sequence to genotype sequences of all other 736 markers (including SNP-derived markers at ends of contigs) would be performed to find 737 its value of phase (and positioning). e. Linkage group and genetic map construction

using the set of imputed markers (SNP-derived markers labeled as 1-8 and deletion
markers as *p* and *q*). For example, the genotype sequences of 6, 8 and *q* needed to be
flipped (i.e., phase values were 1 - contig phasing). Further ordering of the markers
(using *JoinMap*) led to linkage group-wise genetic maps.

742 Figure 3. Genetic mapping, haplotype-specific assembly and validation. a. Top: Genetic 743 map with a total genetic length of 622.0 cM (Methods). Middle: up to 2 Gb reads were 744 assigned to one of the two haplotypes of each linkage group. Bottom: a combination of 745 haplotype-A/B linkage groups led to two assemblies with 214.6 and 215.3 Mb. b. Contig size distributions before (ctg-A, ctg-B) and after scaffolding (scaf-CU for the 746 747 assembly with sequence from 'Currot'; and scaf-OR for the assembly with sequence 748 from 'Orange Red'). After scaffolding, eight chromosome-scale pseudo-molecules were 749 obtained for each haplotype as labeled by "Chrs". c. Haplotype validation for the two 750 assemblies of each linkage group (LG-1-8) using parent-specific k-mers (of 'Orange 751 Red' and 'Currot'). With each linkage group, the two assemblies could be clearly 752 identified as either 'Currot'-haplotype or 'Orange Red'-haplotype using parental k-mers. 753 After combining the 'Currot'-related assemblies and 'Orange Red'-related assemblies 754 to genome-level, k-mer comparison revealed a haplotype accuracy of 99.1%. d. Using 755 the 'Currot'-haplotype as representative and comparing it to the assembly of the double 756 haploid Prunus ssp. reference genome (Prunus persica, and other closely-related 757 species; Supplementary Figure 6) revealed high levels of synteny and thus implies high 758 accuracy of the genetic map and chromosome-level scaffolding. e. Hi-C contact map 759 based on the assemblies of the two haplotypes of chromosome 1 (Currot (CU) and 760 Orange Red (OR)) at a resolution of 300 kb. The contact signal showed a high 761 contiguity within the haplotypes (main diagonal line) and confirmed two large inversions 762 $(v_{11} \text{ and } v_{12})$ which we observed in the assembled sequence of the two haplotypes. 763 (See Supplementary figures 9-15 for chromosomes 2-8).

764 Figure 4. Comparison of Hi-C based phasing and scaffolding with gamete binning. a.

765 Hi-C contact map based on all 16 haplotype assemblies generated with gamete binning 766 (Currot (CU) and Orange Red (OR)). b. Hi-C contact map based on all 16 haplotype 767 assemblies generated with Hi-C data (Currot (CU) and Orange Red (OR)). Note the 768 contact signal along the main diagonal was much weaker as compared to the signal 769 based on the gamete binning assembly, and virtually no contact signals between two 770 different haplotypes could be identified. c. Haplotype validation of each linkage group 771 (LG-1-8) of the Hi-C assembly using parent-specific k-mers. Almost all haplotype-772 specific assemblies included k-mers specific to both of the parental alleles indicating 773 severe errors in the phasing. d. Alignment of the Hi-C based assembly to genetic map 774 derived assembly (i.e. gamete binning derived assembly) revealed mis-joining or 775 splitting of linkage groups within the Hi-C assembly. For example, CUR1G was split 776 into Hi-C:19g1, 19g4; on the other hand, alignments of 19g2 to CUR2G, CUR5G and 777 CUR7G revealed mis-joins of sequences from independent linkage groups.

778 Figure 5. Structural genome variations and meiotic recombination. Top: recombination 779 landscape created with sliding windows of 500 kb at a step of 50 kb with COs detected 780 in all single pollen nuclei (with coverage over 0.1x), coupled with SNP density and 781 gene density. For x-axis, coordinates were based on the haploid assembly of 'Currot'-782 genotype. For y-axis, all features were scaled to 1.0, which stands for a maximum of 783 18 for recombination frequency (cM/Mb), 7,410 for SNP density and 480 for gene 784 density. Bottom: structural variations (>50 kb) identified between the two haploid 785 assemblies. In general, crossovers are almost completely absent in SVs, for example, 786 at LG2:11.0–14.5 Mb (inversion case) and LG5:16.0–18.2 Mb (translocation case). 787 Variants spanning over 500 kb are labelled as v_{xy} , where x denotes the chromosome 788 number and v the identifier of the variant in the chromosome. All these large variants 789 were confirmed within Hi-C contact maps (Fig. 3e, Supplementary Figures 9-16).

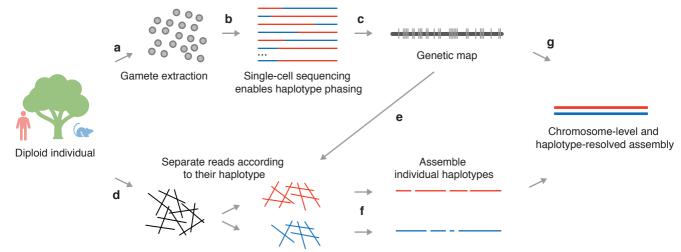
790 Figure 6. Non-allelic crossovers and its consequences. a. Illustration of a non-allelic 791 crossover which results in a chromosomal anomaly. b. Analysis of a single-pollen 792 nuclei, which revealed a non-allelic CO resulting in the duplication of a large 793 chromosomal segment. The short-read alignments of a haploid nuclei revealed a 794 pseudo-heterozygous region with increased read coverage, which is the hallmark of a 795 long duplication specific to this genome. All other chromosomes were haploid (not 796 shown). (Top row: 'Currot' allele frequency, SNP density (in sliding windows of 500 kb 797 at a step of 50 kb), and read coverage scaled by SNP density. Middle row: count of 798 'Currot' or 'Orange Red' alleles at SNP markers. Bottom row: diagram illustrating how a 799 non-allelic CO in transposed regions (as indicated by yellow rectangles) resulted in a 800 large duplication, i.e., the original homologous chromosomal regions labelled with "4" 801 and "5" are now part of the same newly formed chromosome.

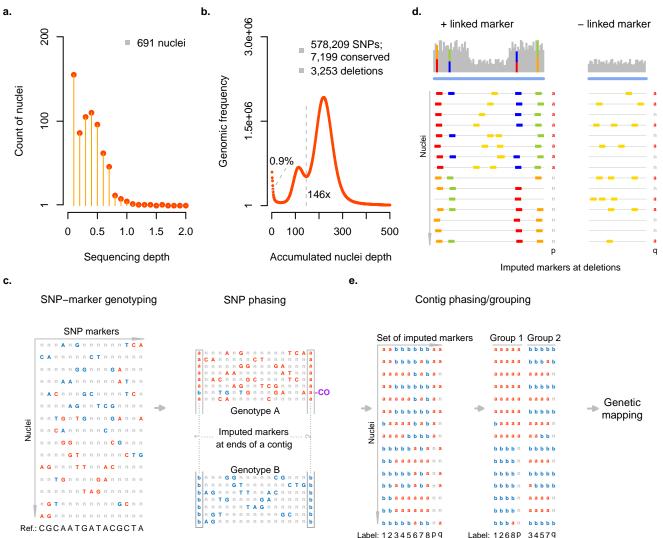
802 Tables

803 Table 1 Assembly and validation statistics of two haplotype-resolved genome assemblies. Note, the eight main chromosome-level scaffolds of

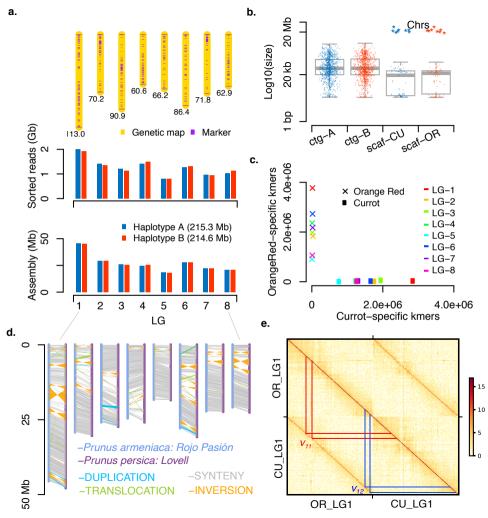
804 each haplotype made up ~99% of the respective assembly.

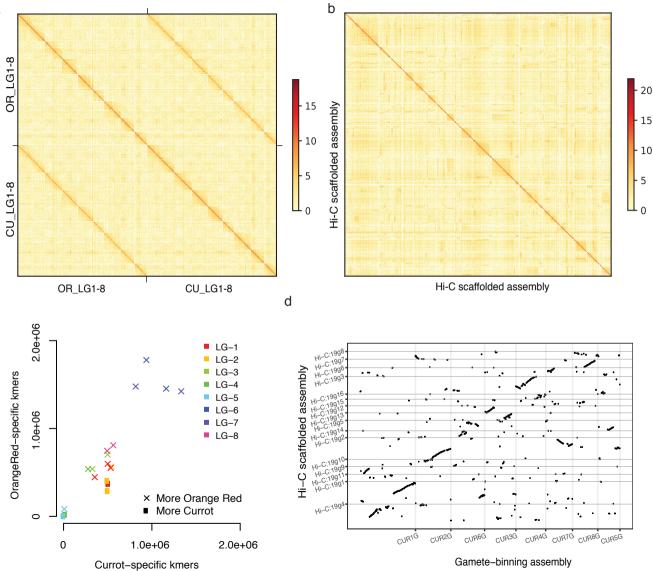
Haploid assemblies of 'Rojo Pasion'	Genome-specific <i>k</i> -mers common with parental WGS		Precision in haplotyping	Size [Mb]	Chromosome scaffolds	Contig N50 [Mb]	N50 [Mb]	Protein-coding genes
•	'Currot'	'Orange Red'						(Total genes)
'Currot'-haplotype	12,983,934	129,874	99.1%	216.0	8	0.662	25.8	30,661 (52,472)
'Orange Red'- haplotype	81,422	16,807,958	99.5%	215.2	8	0.664	25.5	30,378 (51,701)





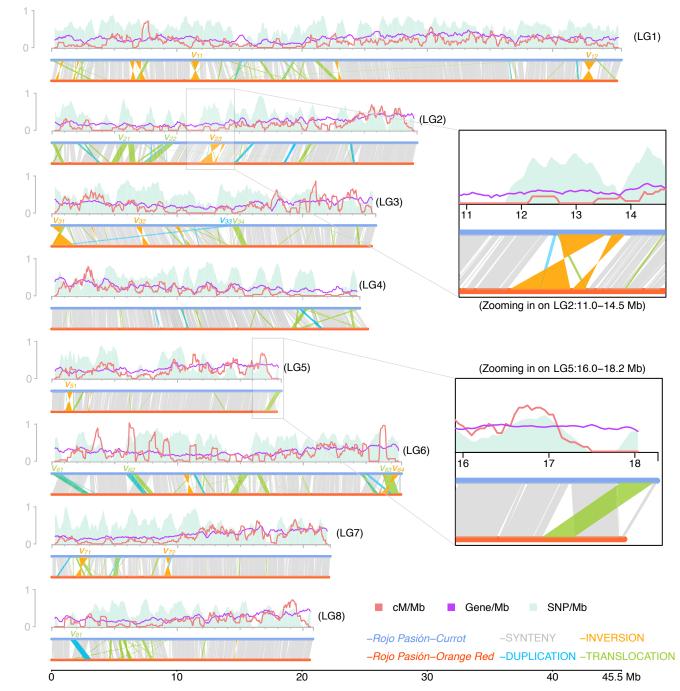
Label: 1268P Phase: 00110 00001

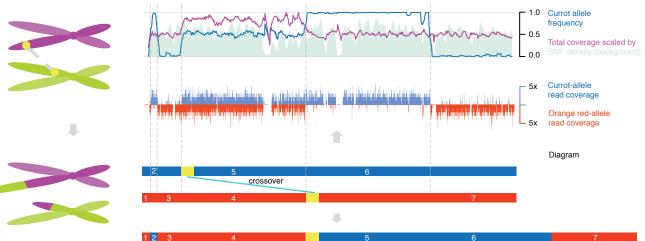




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