Title

Spring-fed streams as flow refugia: Changes in fish assemblages after a rainfall event

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Abstract

Understanding the structure and function of flow refugia in river networks is critical to

freshwater conservation in the context of anthropogenic changes in flow regimes. Safety

concerns associated with field data collection during floods have largely hindered

advances in assessing flow refugia for fishes; however, spring-fed streams can be safely

surveyed during rainfall events owing to their stable flow regimes. In this study, we

assessed temporal changes in fish assemblages in a lowland, spring-fed tributary in

northern Japan after a 48.5 mm rainfall event. A total of seven fish species were

collected, and responses to the flood varied among species. Abundance of salmonids

(*Oncorhynchus keta* and *O. masou masou*) increased immediately after rainfall but

subsequently decreased. Collections of *O. keta* consisted entirely of mature individuals,

while *O. masou masou* collections included only juveniles, suggesting that the former

uses the tributary for spawning whereas *O. masou masou* may evacuate into the

tributary during rainfall events. Benthic species (*Cottus hangiongensis* and

Gymnogobius opperiens) were only observed in the main stream under ordinary flow

conditions, and did not appear in the tributary during the flood, suggesting that other

flow refugia are available to these species. Our results suggest that spring-fed tributaries

may provide flow refugia for nekton species, whereas substrate preferences may be the

major determinant of whether spring-fed tributaries function as flow refugia for benthic

species.

KEYWORDS

flow refugia, flow regime, flood disturbance, groundwater, lowland, river ecosystem

1. INTRODUCTION

Flood disturbance is a key factor in the assembly of stream communities (Junk, Bayley, & Spark, 1989; Poff *et al.*, 1997; Walton *et al.*, 2017). Flooding is one of the most ubiquitous and frequent disturbances in stream ecosystems, and many stream organisms have developed strategies for coping with this disturbance (Lytle & Poff, 2004). For example, stream organisms may avoid the lethal impacts of flooding through strategies such as remaining in their original habitats and enduring the flood, or alternatively, evacuating to locations with relatively mild currents during flood events, and subsequently returning to their usual habitat (Miyake, 2013). These areas of relatively mild currents are called "flow refugia" (Lancaster & Hildrew, 1993a; 1993b). Functionally available flow refugia can be found in shallow bankside areas (Negishi, Inoue, & Nunokawa, 2002; Sueyoshi, Nakano, & Nakamura, 2013), deep pools (Matthews, 1986), complex habitats with woody debris and plant roots (Borchardt, 1993; Palmer, Arensburger, Martin, & Denman, 1996), hyporheic zones (Williams & Hynes, 1974), and tributaries (Koizumi, Kanazawa, & Tanaka, 2013), including spring-fed streams. This indicates that maintaining habitat heterogeneity, including a variety of flow refugia, is important for conserving stream biodiversity (Sedell, Reeves, Hauer, Stanford, & Hawkins, 1990).

Despite the importance of flow refugia, anthropogenic impacts have steadily degraded stream environments and have introduced both temporal and spatial disruptions to flow regimes. Examples include unpredictable and/or massive flood disturbances that result from dam operations (Choi, Yoon, & Woo, 2005; Magilligan & Nislow, 2005) and climate change (Milner, Robertson, McDermott, Klaar, & Brown, 2012; Yin *et al.*, 2018); these may interrupt radical strategies of stream organisms. In

addition, flood control measures can lead to habitat homogenization and the

disappearance of flow refugia such as shallow aquatic-terrestrial transition zones (Junk

et al., 1989). In this context, understanding the responses and strategies of stream

organisms to flood disturbance, and restoring appropriate flow refugia, are important for

the sustainability of stream ecosystems.

While it is important to understand evacuation behavior and flow refugia of

aquatic organisms, conducting field investigations during floods is generally unsafe and

difficult, particularly in the case of fishes. As a result, information regarding the

responses of stream fishes to floods has been primarily derived from artificial flood

experiments (e.g., Koizumi *et al.*, 2013) and simulation models (e.g., Booker, 2002),

and the lack of field-based data has resulted in continued uncertainty regarding the

quality and usage of flow refugia. For example, artificial flooding experiments are

typically spatially restricted and cannot imitate actual precipitation events in broader

stream networks (Miyake, Hiura, Kuhara, & Nakano, 2003; Koizumi *et al.*, 2013), and

as a result they often overestimate the responses of stream fishes to floods. Field

investigations after floods may not capture short-term responses in which species

migrate quickly between flow refugia and their usual habitats. Therefore, investigating

the dynamics of stream fishes in flow refugia that can be safely accessed during floods

may contribute valuable information that can be applied to the conservation and

restoration of aquatic habitats.

Spring-fed streams, which are maintained by permanent groundwater discharge,

constitute unique ecosystems with stable flow regimes (Mattson, Epler, & Hein, 1995;

Lusardi, Bogan, Moyle, & Dahlgren, 2016). Owing to their high stability, spring-fed

streams may function as high-quality flow refugia and are suitable for investigating

community dynamics during flood events. However, substantial information gaps

remain regarding the functional role of spring-fed streams in the dynamics of stream

fishes during floods. Because springs generally provide purified water, spring-fed

streams are frequently conserved for human water supply (LaMoreaux & Tanner, 2001).

Elucidating the ecological functions of spring-fed streams in providing flow refugia

would further highlight their value.

We investigated temporal changes in stream fish assemblages in a spring-fed

tributary after a rainfall event. While access to the surrounding non-spring-fed streams

was prohibitive at the time, the spring-fed tributary was relatively calm and could be

safely accessed for faunal surveys. Here, we report the responses of fishes to the flood

event and discuss differences among species. We also assessed the function of

spring-fed streams as flow refugia, and propose future perspectives for river network

management that will be informative to the conservation of stream biodiversity.

2. METHODS

2.1 Study site

The study was conducted in the Shubuto River basin in Kuromatsunai,

Hokkaido, Japan (42.64° N, 140.34° E), which encompasses 367 km^2 and includes both

montane and lowland regions. The region is underlain by sandstone and mudstone,

including Cenozoic fossil shells and tuff. Mean annual precipitation between 2009 and

2018 was 1615.8 mm, and mean air temperature was 7.5°C, measured at the

Kuromatsunai AmeDAS automated weather station, located 4 km northwest of the site.

The dominant tree species in riparian zones in the study area are *Salix* species and

Quercus crispula, and dominant understory plants are *Sasa kurilensis* and *Reynoutria*

sachalinensis.

Localized areas of groundwater discharge such as flowing wells, seepages, and

springs can be found in lowland regions of the Shubuto River system. Among these,

significant amounts of groundwater discharge (ca. 0.1 m^3/s) have created a spring-fed

stream that connects directly to the Shubuto River. This stream has no artificial

structures that inhibit longitudinal connections, and stream fishes can thus migrate

between the spring-fed tributary and the main stream. We used this spring-fed tributary

as a study site and examined its role as a refugium for stream fishes. We established a

study reach in this tributary ca. 500 m upstream of the confluence with the main stream.

In addition, to determine whether any species evacuate to the tributary from the main

stream, we established a second study reach in the main stream, approximately 500 m

downstream from the confluence. Both study reaches drain into the Kuromatsunai

Lowland, and stream widths in the tributary and main stream reaches were 4.1 and 12.4

m, respectively (Table 1). The bed of the tributary was primarily sandy and muddy

sediments, which are consistently supplied by the source spring; by contrast, the main

stream was dominated by cobbles and boulders (Table 1 and Fig. 1). A facility for

capturing *Oncorhynchus keta* for egg collection is located upstream of the study reach

in the tributary.

2.2 Fish collection

A 40 m long study reach was established in both the tributary and main stream

to investigate fish assemblages. We used different methods in each reach to maximize

the efficiency of collections. In the tributary, we conducted electrofishing using a

backpack electrofisher (LR-20; Smith-Root Inc., Vancouver, WA, USA); this method is

particularly effective for narrow reaches with many obstacles (e.g., vegetation cover). In

the main stream reach, we used cast net fishing owing to the stream width and the lack

of obstacles. Both methods are particularly effective for collecting fishes inhabiting

relatively shallow habitats, and were suitable for our study reaches as neither contained

deep-water habitats, such as pools > 50 cm depth.

The upper and lower limits of the tributary study reach were partitioned using

fishnets to prevent fishes from entering or exiting the reach during collection. Then

three-pass electrofishing was conducted from lower to upper positions to collect as

many fishes as possible (cf. Inoue, Sakamoto, & Kikuchi, 2013). Twenty net casts were

conducted within the study reach in the main stream.

To cover all phenological groups, sampling was conducted on 24–29 August

and 17–20 November 2017, and on 29–30 May and 10–11 October 2018. All

investigations were performed under ordinary flow conditions. In addition to these

seasonal collections, we conducted collection in the tributary during a rainfall event. On

October 6–7, 2018, a temperate low-pressure system (formerly the typhoon Kong-rey)

released a total of 48.5 mm of rain at the study site. According to our visual surveys

immediately after the rainfall event, water levels in most non-spring-fed streams in the

Shubuto River system increased substantially; however, the study tributary did not show

dramatic elevations of water level. We conducted fish collection both before (6

October) and after (7, 9, and 10 October) the rainfall event; hereafter, we refer to these

collections as "before," and "after 1," "after 2," and "after 3" days, respectively (Fig. 2).

Most females and some males of *O. masou masou* become anadromous after

approximately 2 years of growth in the river (Miyazaki, 2017); therefore, small

individuals collected in this area are mainly juveniles and resident males. Because the

rainfall event occurred during the species' reproductive period, we determined whether

small individuals of *O. masou masou* (standard body length = 60–160 mm) were mature

or juvenile based on the presence or absence of nuptial coloration.

2.3 Hydrological monitoring

Water level loggers (HOBO CO-U20L-04, Onset, Bourne, MA, USA) were

installed on the streambed in both study reaches using metal tubes and wire. They were

programmed to collect hourly measurements of hydraulic pressure. Prior to the onset of

the rainfall event, we recorded water levels at monitoring points using a folding scale,

and developed linear regression formulae describing the relationship between water

levels (cm) and hydraulic pressure (kPa). Based on the regression formulae, hydraulic

pressure data recorded by the loggers were transformed into water level data. The water

level at each monitoring point as of 1:00 am on 6 October, 2018 was set at 0 cm in both

study reaches, and we developed hydrographs of ensuing water level changes over the

course of the rainfall event. Hourly rainfall data were obtained from the Kuromatsunai

AmeDAS weather station.

3. RESULTS

After the onset of the rainfall event, water levels in the tributary reach declined

slightly, but overall variation during the monitoring period was clearly lower than that

in the main stream reach (Fig. 2). Water levels in the main stream reflected changes in

rainfall intensity and peaked at 55 cm above the initial water level (Fig. 2).

Seven native fish species were collected before and after the rainfall event (Fig.

3). Because the number of individuals did not decrease between the first and third

passes for most species and sampling timings, we did not apply mathematical removal

methods to estimate abundance (e.g., Hayne 1949; Zippin 1956). Rather, we pooled all

individuals collected in each of the three passes and assessed changes in total abundance

for each species (Fig. 3).

The abundance of four species (*Oncorhynchus masou masou*, *O. keta*,

Lethenteron sp. N, and *Tribolodon* sp.) increased after the rainfall event, but

subsequently decreased (Fig. 3). That of *O. masou masou* peaked at after 2 days,

whereas those of the other decreased after 1 day. We collected multiple individuals of *O.*

masou masou (175 individuals), *O. keta* (35 individuals), and *Lethenteron* sp. N (23

individuals), but only one individual of *Tribolodon* sp. (Fig. 3). All *O. masou masou*,

Tribolodon sp., and *Lethenteron* sp. N were juveniles; by contrast, only mature,

reproductive individuals of *O. keta* were collected.

In contrast to the upward convex responses of the aforementioned species, the

other three species (*Noemacheilus barbatulus toni*, *Salvelinus leucomaenis leucomaenis*,

and *Cottus reinii*) exhibited different patterns (Fig. 3). Total collections of these species

were also relatively low (*N. barbatulus toni* = 6 individuals, *S. leucomaenis*

leucomaenis = 4 individuals, and *C. reinii* = 1 individual).

The species composed of fish assemblage in the spring-fed tributary estimated

by the seasonal collections were completely corresponded to those collected before and

after the rainfall event in October 2018 (Table 3). According to the seasonal collections,

C. hangiongensis and *Gymnogobius opperiens* were absent in the spring-fed tributary

whereas *S. leucomaenis leucomaenis*, *Lethenteron* sp. N, *N. barbatulus toni* were absent

in the mainstream (Table 3).

4. DISCUSSION

211   Lowland, spring-fed streams formed by groundwater discharge are not

typically steep or deep channels (Sear, Armitage, & Dawson, 1999), and their flow

regimes are generally stable (e.g., Mattson *et al.*, 1995; Lusardi *et al.*, 2016). In this

study, water levels in the spring-fed tributary did not exhibit the same marked increase

observed in the main stream during the rainfall event. Rather, they decreased after the

onset of rainfall. This may be attributable to water transmission into the *O. keta* egg

collection facility, because local fishermen know that this species actively migrates to

upstream spawning habitats during floods (Banks, 1969). This was reflected in our

results, which indicated that the abundance of *O. keta* peaked immediately after the

peak of flooding in the main stream. Thus, for *O. keta*, the tributary likely provides

spawning habitat rather than a flow refugium.

222   *Oncorhynchus masou masou* occurs throughout the Shubuto River system

(Miyazaki, Terui, Senou, & Washitani, 2011; Terui & Miyazaki, 2015), and was one of

the most abundant species in our collections from both the tributary and main stream.

The collected *O. masou masou* were regarded as juveniles and did not contain matured

individual. Because the proportion of anadromous populations of *O. masou masou* is

higher in more northerly parts of the Japanese Archipelago (Masuda, Amaoka, Araga,

Uyeno, & Yoshino, 1984), the population of this species we observed was substantially

occupied with future anadromous individuals and less stream resident ones. The

observed absence of mature resident and anadromous individuals may also be

associated with the lack of deep-water habitats, which this species preferentially

inhabits, within the study reaches (Edo & Suzuki, 2003). Hence, our results, combined

with the biology of *O. masou masou*, suggest that the tributary functions primarily as a

refugium for juvenile individuals of the species.

The abundance of *O. masou masou* increased after the rainfall event, but

decreased thereafter, suggesting that this species evacuated from the main stream into

the tributary. To identify evacuated individuals, it is important to accurately distinguish

between tributary residents and evacuated main stream residents. For example, it may

be informative to use video cameras to capture migration of fish from the main stream

to the tributary during floods. Alternatively, stable isotope signatures may distinguish

the origin of collected individuals if food web structure differs between the tributary and

the main stream (e.g., Hobson, 1999; Cunjak *et al.*, 2005).

Lethenteron sp. N was present in the tributary but absent in the main stream

under ordinary flow conditions, suggesting that the tributary is less likely to introduce

the species coming from main stream during floods. Nevertheless, this species

responded to the rainfall event by increasing in abundance immediately after rainfall

and subsequently decreasing. This may reflect a small-scale evacuation event of this

species. Because this species normally inhabits areas of weak current with fine

sediments (Sugiyama & Goto, 2002), rainfall may induce migration from faster flowing

waters in central parts of the channel to slower bankside waters, even under the

generally calm flow conditions of spring-fed streams. Because individuals in bankside

waters are easily captured by electrofishing, small-scale evacuation may explain the

trends we observed; however, testing this hypothesis would require documenting the

location where each individual was collected.

Due to the small number of individuals collected, temporal changes in other

species were not clear compared to the trends observed for *O. keta*, *O. masou masou*,

and *Lethenteron* sp. N. However, species observed only in the tributary, including *S.*

leucomaenis leucomaenis and *N. barbatulus toni*, may exhibit small-scale migration

after rainfall events, similar to *Lethenteron* sp. N. Particularly, *N. barbatulus toni* is a

benthic fish as common with *Lethenteron* sp. N, and thus micro-scale migrations within

the tributary is more likely in *N. barbatulus toni* than in *S. leucomaenis leucomaenis*,

which is a nekton. Although *S. leucomaenis leucomaenis* generally inhabits cool

montane headwaters (Masuda *et al*., 1984), lowland spring-fed streams with stable

water temperatures may also provide suitable habitat for the species.

Meanwhile, for the species only found in the main stream (*C. hangiongensis*

and *G. opperiens*), spring-fed streams may not be attractive as their flow refugia. These

two species are benthic, but their preferred habitats are cobble-boulder dominated riffles

(Masuda *et al*., 1984; Miyazaki, 2017), unlike *Lethenteron* sp. N and *N. barbatulus toni*,

which prefer fine substrates. The habitat preferences of *C. hangiongensis* and *G.*

opperiens suggest that appropriate flow refugia for these species include interstitial

spaces in the coarse riverbed of the main stream. Therefore, spring-fed streams with fine

sediment substrates would not provide suitable refugia. By contrast, *C. reinii*, a relative

of *C. hangiongensis*, tends to inhabit calm waters (Miyazaki, 2017), and was found in

the tributary, although in low numbers.

Tribolodon sp. is a nekton and is assumed to migrate easily between the

tributary and the main stream. However, this species also prefers interstitial habitats

(Katano, Aonuma, & Matsubara, 2001), suggesting it may find suitable flow refugia in

both spring-fed streams and interstitial spaces in coarse riverbeds. We detected a small

peak in the abundance of *Tribolodon* sp. immediately after the rainfall event. Additional

studies with more individuals may clarify the function of spring-fed streams as refugia

for this species.

We previously explored the function of spring-fed streams in providing flow

refugia for different fish species during flood events. Nekton species can easily migrate

into tributaries, and may use spring-fed tributaries as refugia. In the case of benthic

species, species-specific substrate preferences may determine whether spring-fed

streams function as flow refugia or not. Because spring-fed streams are often dominated

by fine substrates (Sear *et al*., 1999), they provide both suitable habitat and flow refugia

for fine-sediment burrowers. Our results, combined with existing information on the

biology of the study species, suggest that the functional role of spring-fed streams as

flow refugia is not generalizable, but rather is species-specific. Therefore, even if

spring-fed tributaries are available within a river system, maintaining the resilience of

the system to food disturbance, particularly for benthic species, requires the

maintenance of multiple types of flow refugia, such as shallow bankside waters and

hyporheic zones.

Because spring-fed streams are valuable habitats that can be safely surveyed

during rainfall events, understanding their function as flow refugia may be valuable to

biodiversity conservation efforts in river networks and the sustainable use of spring-fed

streams. In addition, the stable flow regimes and water temperatures in spring-fed

streams (Mattson *et al*., 1995) may also provide refugia for stream fishes experiencing

thermal stress during cold or hot spells (e.g., Greer, Carlson, & Thompson, 2019).

Examining these functions may further increase our understanding of the role of

spring-fed streams in metacommunity stability in river networks through species

interactions (e.g., Unionoida parasites; Akiyama & Iwakuma, 2007). Here, we have

provided the first report on the potential function of spring-fed tributaries as flow

refugia for stream fishes. Our results will be helpful in the management and

conservation of river biodiversity in the face of environmental change.

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TABLE 1 Abiotic characteristics of the study reaches.

	Spring-fed tributary			Main stream		
	Mean	±	SD	Mean	±	SD
Stream width (m)	4.07	±	0.22	12.40	±	1.28
Water depth (cm)	15.02	±	1.68	26.05	±	4.93
Current velocity (cm/s)	12.43	±	3.92	48.54	±	13.07
% fine sediment deposition*	80.63	±	8.46	9.69	±	5.65

*Estimation method based on Sakai *et al.*, 2013.

435 **TABLE 2** Fish assemblages in the study reaches, estimated based on seasonal

436 investigations; 1 = presence, 0 = absence.

Family	Scientific name	Spring-fed tributary	Main stream
Petromyzontidae	*Lethenteron* sp. N	1	0
Salmonidae	*Oncorhynchus masou masou*	1	1
Salmonidae	*Oncorhynchus keta*	1	1
Salmonidae	*Salvelinus leucomaenis leucomaenis*	1	0
Cyprinidae	*Tribolodon* sp.	1	1
Nemacheilidae	*Noemacheilus barbatulus toni*	1	0
Gobiidae	*Gymnogobius opperiens*	0	1
Cottidae	*Cottus reinii*	1	1
Cottidae	*Cottus hangiongensis*	0	1

FIGURE CAPTIONS

FIGURE 1 Landscapes of the study streams. (a) spring-fed tributary, (b) main stream,

(c) streambed of the study reach in the tributary, (d) streambed of the study reach in the

main stream.

FIGURE 2 Hydrographs for the spring-fed tributary and main stream during 6–10

October, 2018. Red arrows indicate timings of fish collections in the spring-fed

tributary.

FIGURE 3 Temporal changes in number of individuals of the collected seven fish

species in the study spring-fed tributary.

Fig. 3