1   **The TAT Protein Transduction Domain as an Intra-articular Drug-Delivery Technology**
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Abstract
Intra-articular drug delivery holds great promise for the treatment of joint diseases such
as osteoarthritis. The objective of this study was to evaluate the TAT peptide transduction
domain (TAT-PTD) as a potential intra-articular drug delivery technology for synovial joints.
Experiments examined the ability of TAT conjugates to associate with primary chondrocytes and
alter cellular function both *in vitro* and *in vivo*. Further experiments examined the ability of the
TAT-PTD to bind to human osteoarthritic cartilage. The results show that the TAT-PTD
associates with chondrocytes, is capable of delivering siRNA for chondrocyte gene knockdown,
and that the recombinant enzyme TAT-Cre is capable of inducing *in vivo* genetic recombination
within the knee joint in a reporter mouse model. Lastly, binding studies show that the TAT-PTD
preferentially binds to osteoarthritic cartilage. The results suggest that the TAT-PTD is a
promising delivery strategy for intra-articular therapeutics.

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Introduction

Intra-articular drug delivery is important for targeting therapeutic compounds to the

diverse cells and tissues involved in arthritis pathogenesis (*i.e.* cartilage, bone, and synovium).

Joint vasculature includes large pores (~1-3 μm) in synovial blood vessels leading to

fenestrated capillaries[3,16]. These, as well as lymphatic drainage[8,24], create challenges for drug

residence times. Measurements show that most unmodified molecules do not possess sufficient

joint residence time for effective pharmacologic intervention [7,14,20,21,31], demonstrating a need for

new delivery strategies.

Previous studies have explored synovial joint drug delivery using nanoparticles,

microspheres, and phase-transitioning polymers [5,12,18,23,27]. Diclofenac sodium microspheres

delivered by poly(lactic-co-glycolic acid) (PLGA) polymers to antigen-induced chronic arthritic

rabbits formulated for experimentally optimized conditions for extended release found no

significant difference between PLGA treatment groups and control [27]. Zilretta is an FDA-

approved corticosteroid formulation for OA that achieves extended release through PLGA (poly

lactic-co-glycolic acid) microspheres [17,19]. Elastin-like polypeptides (ELPs), a type of phase-

transitioning polymers set to aggregate at 37°C following intra-articular injection, were found to

have increased residency time for protein drug delivery during biodistribution tests in rats versus

a soluble ELP control [5]. These are exciting technologies show partial success in animal models.

The TAT peptide transduction domain (TAT-PTD) is a small, positively charged peptide

that is electrostatically attracted to the negatively charged cell surface [29] and induces uptake in

all cell types by binding to an as yet unidentified surface protein(s) [11]. PTDs have enabled

delivery of small and large (~150 kDa) macromolecules into cells and have been tested in

>2000 patients in over 25 clinical trials [28] and resulted in 2 statistically-significant Phase II trials,

one published [10]. Previous studies suggest that TAT-PTD transduction may be effective for

modulating joint biology [15,22], and PTDs remain to be systematically evaluated for joint disease

applications.

Therefore, the objectives of this study were to first determine if the TAT-PTD could

transduce macromolecules into chondrocytes, and second, to assess the ability of the TAT-PTD

to bind to cartilage. Toward these goals, this study applied both TAT-conjugated peptides and

proteins in *in vitro* and *in vivo* models. The result show that the TAT-PTD is capable of inducing

transduction and binding to the osteoarthritis (OA) cartilage matrix. Future studies may build on
these results to apply the TAT-PTD toward drug delivery in OA.

Materials and Methods

<u>Primary Human Chondrocytes</u>
This study utilized primary chondrocytes harvested from human knee joint femoral and
tibial cartilage. Sources of joint tissue included both healthy tissues obtained from tissue banks
from patients without history of arthritis or any macroscopic signs of joint degeneration and
osteoarthritic patients undergoing joint replacement surgery. Primary human chondrocytes
were harvested from the joint by dissecting the cartilage as described [1], and cultured in DMEM
high glucose media, 10% fetal calf serum (FCS), 1% penicillin and streptomycin (PS), 1%
Glutamine. First passage cells were used in the experiments.

<u>Peptide and Protein Synthesis</u>
The TAT protein transduction domain (RKKRRQRRR) was used to facilitate intracellular
uptake of candidate molecules into primary human chondrocytes and mouse knee joints. For
cell association studies, the TAT PTD and a control PTD were labeled with rhodamine and
synthesized using solid-phase peptide synthesis with Fmoc protection in 25 µM scale on a
Symphony Quartet peptide synthesizer [13]. All peptides were cleaved and deprotected using a
standard protocol (95% trifluoroacetic acid, 1% water, 1% triisopropylsilane). Crude peptides
were precipitated using cold diethylether and purified using prep-scale reversed-phase HPLC
with a C18 column; purity was confirmed by MALDI-TOF mass spectrometry using the matrix α-
CHCA. Recombinant proteins were purified as described previously [4,9]. Plasmids containing
either TAT-Cre [30] or the TAT PTD-DRBD (Protein-Transduction-Domain coupled to a Double-
Stranded RNA Binding Domain) [9] were transformed into BL21 Codon Plus *e. coli.* and expanded
to ~1-2L scale. Expression was induced via IPTG, and cells were harvested after 2-3 hours.
Multi-step purification proceed sequentially via affinity chromatography, cation exchange, and
anion exchange yielding purified proteins for *in vivo* experiments [9]. Purified proteins were
quantified via SDS-PAGE electrophoresis and Coomassie staining against BSA standards.
Activity was confirmed via *in vitro* assays in established cell lines.

<u>Cell Association Experiments</u>

To assess the relevance of the TAT protein transduction domain as a drug delivery tool

for synovial joints, fluorescently labeled TAT peptides and siRNAs were used in cell association

experiments and assayed via flow cytometry and fluorescence microscopy as follows. 50,000

cells per well were plated in 12 well plates. Two days later cells were washed with HBSS and

treated with control or TAT-peptides (1.25, 2.5, or 5 μM) for 1 hour or left untreated. Following

treatment, cells were washed three times with HBSS containing 0.5 mg/mL heparin sulfate,

detached with accutase, and resuspended in growth media for analysis. Cell-associated

fluorescence was measured via flow cytometry. Live cells were discriminated via forward and

side scatter; cell association was quantified using the mean fluorescence intensity. At each

dosage, mean fluorescence values between control and TAT-peptides were compared using

paired t-tests with an a priori significance level of 0.05. These studies were repeated for

chondrocytes from n = 6 donors. A functional assay for TAT-βGal protein transduction was

performed with cells treated in TAT-βGal and βGal (0, 1.25, 2.5, 5 μM) for 1 hour, washed with

HBSS as previously described, treated with fluorescent substrate for 30 minutes, and analyzed

by flow cytometry. To evaluate the dose-dependent association of fluorescently labeled TAT-

peptides, primary human chondrocytes were cultured and treated with either a rhodamine

labeled TAT peptide or labeled control peptide (1-10μM) for 10 minutes to 1 hour. The surface

peptide was removed via HBSS washes and accutase digestion prior to analysis by flow

cytometry.

<u>In vitro siRNA Knockdown</u>

For a functional evaluation of the TAT PTD as a drug delivery method for synovial joints,

siRNA knockdown experiments were performed using the TAT PTD-DRBD [9]. siRNA was

complexed with the PTD-DRBD at a 1:8 molar ratio, and cells were treated at concentrations of

50-400 nM siRNA. Control cells were either untreated or treated by cationic lipids (Lipofetamine

2000, Invitrogen) according to the manufacturer's recommendations. For imaging and flow

cytometry studies, fluorescently-labeled siRNAs were used. Otherwise, siRNAs targeting either

GAPDH or FoxO1 were used. The off-target control siRNA was designed against GFP [9]. siRNA

effectiveness was determined via qPCR (GAPDH normalized to β-macroglobulin) or Western

blotting (FoxO1). Delivery of labeled siRNAs was assessed by both fluorescence imaging and

flow cytometry. Functional siRNA-knockdown was examined via immunoblotting for FoxO1 and

qPCR for GAPDH.

<u>In vivo Genetic Recombination</u>

To assess the *in vivo* ability of TAT PTD fusions, to modulate synovial joint biology, the

protein TAT-Cre [30] was injected into the stifle joints of luciferase reporter mice [25]. TAT-Cre was

prepared as previously described. TAT-Cre was injected (10 µL of 60 µM) into the medial side

of the left knees in the luciferase reporter mice (n=5). The contralateral knees were injected

with buffer as a control. After treatment, *in vivo* luciferase imaging (IVIS Spectrum, Perkin

Elmer, Waltham MA, USA) was performed daily for 4 days following intraperitoneal injection of

D-luciferin (150 mg/kg). After imaging on day 5, a single mouse was euthanized and both knee

joints were dissected to further evaluate the tissue source of the luciferase signal. The

remaining mice were imaged monthly thereafter until 10 months following injection.

<u>Binding studies in Human OA Cartilage</u>

Explants of Grade IV OA cartilage were obtained from knee arthroplasty cases and

equilibrated in sterile tissue culture. Equilibrium peptide uptake was measured with previously

published methods [6]. Briefly, structurally intact 3 mm osteochondral cores (N=3) of human stage

IV OA cartilage were taken from patients undergoing knee replacements. For control studies,

samples were stored in phosphate buffered saline (PBS) with protease inhibitors for 24 hours

prior to experimentation. To investigate the effect of the negative fixed charge density in

cartilage, 500 mM NaCl was added to the PBS to disrupt the negative fixed charge of the

proteoglycans. To investigate how bulk damage effects cartilage, samples were degraded in 5

mM trypsin for 24 hours prior to uptake studies.

During uptake studies, samples were incubated in PBS plus protease inhibitors and 100

mM labeled peptide, a control peptide or TAT, and graded amounts (150-400 mM) of unlabeled

TAT for 48 hours. The control peptide is a peptide with the same fixed solubility and size as TAT

but without the functionality of TAT. The control is referred to as control-TAMRA. The wet weight

of each cartilage disk was measured. The fluorescence excitation of the solution was measured

at 560 nm, and calibration curves were created based on known standards for both the TAT and

control peptides. The sample was dried to measure the dry weight. The dried sample was then

degraded with proteinase K to measure the uptake of peptide by fluorescence excitation. The

uptake ratio was calculated, defined as the concentration of labeled peptide inside the cartilage

divided by concentration of labeled peptide in the solution. We used linear regression to assess

the dependence of uptake ratio on peptide concentration.

<u>Statistical Analysis</u>

Analysis of variance (ANOVA) was used to detect statistical differences in quantitative

measures between experimental groups. Planned comparisons between groups of interest

were made using an *a priori* significance level of α = 0.05 with the Bonferroni correction for

multiple comparisons. Cell association experiments were analyzed by performing a 2-factor

ANOVA using the dependent variable of the mean live-cell fluorescence in the rhodamine

channel via flow cytometry. Independent variables were peptide (TAT-PTD or control) and

dosage. Results are plotted as normalized to the average value achieved in the maximum

group. GAPDH knockdown was assessed using a 1-way ANOVA with groups of untreated,

control-lipofectamine, siGAPDH-lipofectamine, control-PTD-DRBD, and siGAPDH-PTD-DRBD.

Bonferroni post-hoc tests were used to infer specificity of knockdown comparting the siGAPDH

groups to the untreated control groups with an *a priori* significance level of α = 0.05. All data are

expressed as mean ± standard error of the mean. *In vivo* bioluminescence imaging

experiments were assessed by comparing the quantitative luminescence data for the buffer and

TAT-Cre injected knees over time using a 2-way ANOVA with planned comparisons between

the buffer and TAT-Cre injected knees at each timepoint. We used linear regression to assess

the dependence of uptake ratio on peptide concentration.

Results

<u>TAT-PTD Associates with Primary Human Chondrocytes</u>

As a first test of the TAT-PTD, fluorescent dye TAT conjugates were incubated with

primary chondrocytes *in vitro* followed by trypsinization and then either flow cytometry or

fluorescent imaging. The TAT-PTD dye resulted in significantly more cell-associated

fluorescence than the control peptide in a dose-dependent manner (Fig. 1A). The mean

fluorescence intensity (MFI) for TAT-treated cells was significantly greater than for the

chondrocytes treated with the control peptide at all doses (1.25-5 µM, all p $\leq$ 0.02). Cell

association of TAT peptides was observed in both male and female chondrocytes from donors

ranging in age from 24 to 64, and from normal and OA donors (Fig. 2). Because the cells were

trypsinized prior to analysis, these data indicate that the TAT-PTD has strong potential for

intracellular delivery of both experimental and therapeutic molecules in primary human

chondrocytes.

<u>Functional Delivery of siRNA using the TAT-DRBD</u>

To deliver siRNAs into monolayers of primary human chondrocytes in monolayer, the

siRNA was bound by a TAT-PTD-DRBD (Double Stranded RNAi Binding Domain)[9]. The TAT-

PTD was able to efficiently deliver fluorescently-labeled siRNA to primary human chondrocytes

in monolayer. Fluorescence imaging (Fig. 1B) found diffuse fluorescence using the PTD-DRBD

whereas Lipofectamine resulted in punctuate staining. Significant GAPDH mRNA knockdown

was observed with both Lipofectamine (p=0.008) and the PTD-DRBD (p<0.0001, Fig. 3A). In

addition, protein-level knockdown of FoxO1 was achieved with both delivery methods (Fig. 3B).

These data indicate that the TAT-fusion protein PTD-DRBD is capable of delivering functional

siRNAs for gene knockdown in primary articular chondrocytes.

<u>*In Vivo* Efficacy of TAT-Cre using Reporter Mice</u>

To test the *in vivo* efficacy of the TAT PTD, the recombinant enzyme TAT-Cre was

injected intra-articularly into bioluminescent luciferase reporter mice. TAT-Cre injection resulted

in significantly greater bioluminescence (p < 0.001) than buffer at all timepoints (all p < 0.01).

There was no main effect of time after injection on induced bioluminescence (p = 0.112).

Bioluminescence was observed as rapidly as day 1 after injection and was sustained for 196

days (Fig. 4). Bioluminescence was observed in both the femoral condyles and the tibial

plateau after injection of TAT-Cre.

<u>Human OA Cartilage Selectively Uptakes TAT-PTD</u>

To assess the transport properties of the TAT-PTD within human articular cartilage,

fluorescent TAT and control peptides were incubated with cartilage explants (Fig. 5). The uptake

ratio was not dependent on concentration of unlabeled TAT (slope: -0.0006, 95% CI for uptake

ratio: 1.67-3.11. n=48) or control peptide (slope: 0.0002, 95% CI: 0.004-2.31. n=48) (Fig. 5A).

Therefore, the uptake ratio was equivalent to the partitioning coefficient [6]. The mean partitioning

coefficient of the labeled TAT peptide was 2.39, and the 95% confidence interval did not include

1, indicating that TAT causes uptake within human cartilage (Fig. 5A). The partitioning

coefficient of TAT-PTD was reduced when the cartilage disk was treated with trypsin (p=0.045,

n=24, Figure 3), but not 500 mM NaCl (p=0.3, n=24).

Discussion

Discussion

The objectives of this study were first to determine if the TAT-PTD could transduce
macromolecules into chondrocytes *in vitro*, and second to assess the ability of the TAT-PTD to
bind to cartilage and transduce cells that are embedded in the cartilage extracellular matrix.
The *in vitro* studies show that the TAT-PTD associated with primary human chondrocytes and is
capable of delivering functional siRNA for knockdown of gene and protein expression by RNA
interference. Using luciferase reporter mice, *in vivo* injection of TAT-Cre resulted in induction of
luciferase activity indicating that the TAT-PTD can deliver functional enzymes directly to
synovial tissues. Binding studies in human osteoarthritic cartilage showed that the TAT-PTD
selectively binds to cartilage in a trypsin-dependent manner, suggesting that the TAT-PTD may
be capable of extending the residence time of molecules injected into the joint.

The binding studies in human OA cartilage retrieved during joint replacement found
preferential binding of the TAT-PTD. To quantify uptake into cartilage, we defined the uptake
ratio as the ratio of the concentration of labeled peptide inside the cartilage to the concentration
of labeled peptide in the bath. Because the lower bound of the 95% confidence interval for the
uptake ratio of the TAT-PTD is greater than one, these data show that the TAT-PTD increased
uptake and partitioning of a fluorescent marker TAMRA. Furthermore, the equilibrium
distribution of TAT-PTD between cartilage and the fluid indicated a partitioning coefficient
greater than 1. Additionally, trypsin treatment decreased uptake of TAT-PTD. This is notable
because this is a common model for disease conditions such as OA. Because these studies
were performed in late-stage OA cartilage, it was likely that the TAT-PTD may have even
greater uptake and partitioning in healthy and early-stage cartilage, although future studies are
needed to confirm this.

These studies have important limitations. First, while the binding studies were
performed in PBS, it is unclear if the TAT-PTD will have similar transport properties within
synovial fluid of the joint. Thus, there is the possibility that delivery using the TAT-PTD may be
less efficient *in vivo*. The cell-association measurements cannot conclusively be interpreted as
evidence of chondrocyte TAT-PTD internalization. However, because association
measurements were performed after trypsinization these data, as well as the siRNA knockdown
studies, strongly suggest that the TAT-PTD is internalized by primary human chondrocytes.
While the positive results from the injection of TAT-Cre into reporter mice suggest that *in vivo*
delivery is possible, the efficacy of the TAT-PTD to deliver in human joints remains unknown. A

further limitation of these studies is that all studies were examined at equilibrium. The specific

dynamics of TAT-PTD binding to articular cartilage remain unknown. Addressing these

limitations in future studies will enable more-precise modeling and prediction of the dynamics of

the TAT-PTD within synovial joints, and this information will be valuable for assessing residence

times of potential therapeutic compounds.

269   Previous work with AVIDIN, a small positively charged biotin binding protein, shows that

270   electrostatic interactions enhance uptake in intra-articular injections with higher uptake of

271   charged particles in the negatively charged cartilage as compared to surrounding tissues [2].

272   Similarly, the TAT-PTD is positively charged and has the advantage of also inducing

273   intracellular uptake of its bound molecules [26]. Future work will test the correlation between GAG

274   content and TAT-PTD uptake with DMMB blue [6].

276   The data demonstrate that TAT-PTD can be used to deliver siRNA and protein to

modulate chondrocyte biology. Consistent knockdown of GAPDH was achieved using the PTD-

DRBD. TAT fusions penetrate articular cartilage and display prolonged joint residence time.

The TAT protein transduction domain facilitates peptide-uptake in primary human chondrocytes

from diverse donor populations with varying age and OA grades. Taken together, our data

suggest that the TAT-PTD has strong potential for both basic and translational studies of

synovial joint biology and osteoarthritis.

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Figures

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Figure 1 Dose-dependent association of siRNA and TAT-PTDs with primary human
chondrocytes. (A) Primary human chondrocytes were cultured and treated with either a
rhodamine labeled TAT peptide or labeled control peptide. Surface peptide was removed via
HBSS washes and accutase digestion prior to flow cytometry. Significantly more fluorescence
was found for all treatment dosages of TAT peptide compared to control peptide. (B) siRNA
was complexed to the PTD-DRBD TAT delivery protein (PTD-DRBD) or lipofectamine (L2K),
and cells were treated as in A. Following PBS and trypsin washes, cells were imaged via phase
contrast and epifluorescence microscopy.
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Figure 2 Cell association of TAT-PTDs in chondrocytes from a diverse array of donors. Each
panel shows a Safranin-O Fast Green stained section (top) and flow cytometry histogram
(bottom) from representative donors. For histograms, black population is untreated cells, blue is
cells treated with a control rhodamine-labeled peptide, and red is a population treated with 5 μM
rhodamine-labeled TAT peptide. (A) 25-year old male with Mankin 1 deterioration. (B) 39-year
old female with Mankin 2 deterioration. (C) 64-year old male with Mankin 3 deterioration. (D)
64-year old female with Mankin 3 deterioration. Cell association experiments performed on
n=10 donors.

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Figure 3
The TAT fusion protein PTD-DRBD induces RNA silencing in primary human chondrocytes. (A)
Knockdown of GAPDH mRNA.   Primary human chondrocytes were treated for 4 hours with
siRNA designed against either GFP as a control (siGFP) or GAPDH (siGAPDH) as a target
gene. mRNA levels were quantified by qPCR. Both lipofectamine and the PTD-DRBD resulted
in significant knockdown of GAPDH mRNA. (B) Protein-level knockdown of FoxO1. Primary
human chondrocytes treated as in A with control siRNA (siGFP) or siRNA designed against
FoxO1. Immunoblots performed following lysis and SDS-PAGE after 48 hours. Knockdown
experiments using the TAT PTD-DRBD was performed on chondrocytes from n=10 donors.
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Figure 4 TAT-Cre induces genetic recombination in mouse knees. Cre-reporter mice were
injected with either TAT-Cre or buffer in the intra-articular space prior to *in vivo* bioluminescence
imaging. (A) Image of n=5 mice 4 days following injection. (B) Representative dissected
mouse knee. Top shows photograph. Bottom shows bioluminescent image. (C) Quantification
of TAT-Cre induced bioluminescence. Luciferase activity was quantified within circular regions
of interest overlaid on both the control- and TAT-Cre- injected knees.
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Figure 5
Transport studies of the TAT-PTD in human osteoarthritic articular cartilage. (A) Equilibrium
uptake ratio between cartilage samples and bath for the TAT-PTD across a range of peptide
concentrations in the bath. The uptake ratio of TAT-PTD within cartilage showed a slope <
0.01, indicating that partitioning is not concentration dependent. Therefore, the partitioning
coefficient is the uptake ratio. (B) Both high-salt and trypsin resulted in decreased partitioning
coefficients compared to untreated cartilage. This suggests that electrostatic interactions
between cartilage GAGs and the TAT-PTD mediate the uptake of TAT-PTDs into cartilage.
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