Personality, Subjective Well-Being, and the Serotonin 1a Receptor Gene in Common Marmosets (*Callithrix jacchus*)

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1   **Abstract**

Of the three rating-based studies of common marmoset (*Callithrix jacchus*) personality, one

did not find domains resembling those labeled Conscientiousness or Openness. Because this

discrepancy may have been partly attributable to the fact that many purported markers of

Conscientiousness were excluded because of concerns about interrater reliability. We

therefore followed up this study by increasing the number of common marmosets that were

rated, bringing it up to 128 from 77. We also gathered the same amount of new data on

subjective well-being and genetic data related to serotonin 1a receptor polymorphisms. Factor

analysis revealed evidence for an Openness domain and a domain labeled "Impulsiveness"

that combined low Conscientiousness and high emotional instability. The other domains

included Sociability, Dominance, and Negative Affect, and resembled those found in

previous studies of common marmoset personality. Correlations between these factors were

higher than expected and a second-order factor analysis indicated the presence of a domain,

Pro-Sociality, related to high Sociability and both low Dominance and Impulsiveness, and a

domain, Boldness, related to high Openness and low Negative Affect. Further analyses could

not discern the extent to which Pro-Sociality and Boldness were not artifacts, but a higher-

level of personality organization in this species. Correlations between the domains and the

subjective well-being measures were consistent with those found in other species and

supported the construct validity of the factors. There were no reliable associations between

personality and genotype. New analytic methods and larger samples may help to better

understand personality in common marmosets.

Keywords: higher-order, assessment, temperament, behavioral syndrome, callitrichid

Personality, Subjective Well-Being, and the Serotonin 1a Receptor Gene in Common

24   **Marmosets (*Callithrix jacchus*)**

The common marmoset (*Callithrix jacchus*) is a small New-World monkey species

found in South America where they inhabit a wide range of habitats (Rylands et al., 1993;

Rylands & de Faria, 1993). Their small size, fast life history, and other physical and

physiological characteristics make common marmosets an increasingly popular animal model

in biomedical research (Servick, 2018), although there has been some criticism of this trend

(e.g., Preuss, 2019).

Comparative psychologists and behavioral ecologists are also coming to appreciate

common marmosets as research subjects. This is in part due to findings from studies that are

finding that common marmosets display behaviors and capabilities that were once believed to

be the domain of humans and great apes. For example, common marmosets exhibit high

levels of spontaneous cooperative behavior (Burkart et al., 2014; Burkart et al., 2007) and are

capable of discriminating between third parties that do and do not reciprocate (Kawai et al.,

2014). These behaviors and capabilities are believed to be the products of the unique (among

nonhuman primates) reproductive system of common marmosets (see Burkart & van Schaick,

2010 for a review). Specifically, like other callitrichids, are cooperative breeders, and so,

rather than disperse and mate, the adult siblings and offspring of mating pairs often stay

within the family unit to help raise offspring, and so delay or even forego reproduction

(Digby et al., 2010).

Researchers have also been examining the extent to which common marmoset

personality resembles and differs from that of other species. As in other species, behavioral

experiments have revealed the presence of stable traits in common marmosets (Martin et al.,

2019; Šlipogor et al., 2016). However, there is also evidence, at least for personality traits in

this species, for plasticity, either via social facilitation or via social group effects (Koski &

Burkart, 2015). There also appears to be mixed evidence for the construct validity of common

marmoset personality traits, that is, for convergent and discriminant validity between

personality ratings, behavioral observations, and responses to behavioral tests (Šlipogor et

al., 2020). Finally, studies of common marmoset personality that relied on behavioral

measures have revealed an association between a factor labeled "Inquisitiveness" and the

strength of laterality (Tomassetti et al., 2019), and various associations between factors

labeled "Sociability", "Aggressiveness", and "Social Anxiety" and the binding potential of

serotonin transporters in the brain (Yokoyama et al., 2013).

Other studies of common marmosets have focused on the question of how personality

traits are organized (personality structure), that is, the number of domains these traits

represent and what these domains represent. Comparative studies of genus *Macaca* (Adams

et al., 2015) and *Pan* (Weiss et al., 2015), the genera *Saimiri*, *Sapajus*, and *Cebus*

(Fernández-Bolaños et al., 2020; Robinson, Morton, et al., 2016; Wilson et al., 2018), and of

Callitrichidae (Masilkova et al., 2020) indicate that species socioecology influences

personality structure evolution. This appears to also be true for common marmosets, that is,

cooperative breeding appears to have influence how personality traits are organized in this

species. For example, a study of ratings and behavioral observations revealed rating-based

domains, which the authors labeled Extraversion[1], Agreeableness, Conscientiousness, and

Openness, and domains based on behavioral observations, which the authors labeled

Agreeableness, Neuroticism, and Perceptual Sensitivity (Iwanicki & Lehmann, 2015). A later

study (Koski et al., 2017) that used ratings found five domains, four of which resembled

those found by Iwanicki and Lehmann; these domains were labeled Conscientiousness,

Agreeableness, Assertiveness, and Inquisitiveness. The remaining domain, Patience, was

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[1] This domain was more akin to domains labeled "Dominance" and "Assertiveness" in other studies of personality in common marmosets.

thought to perhaps be a ratings-based analogue of Perceptual Sensitivity (Koski et al., 2017).

Thus, traits related to, for instance, perseverance, predictability, and distractibility, are

correlated in such a way to suggest the presence of one or two broad domains, those being

labeled "Conscientiousness", "Patience", and perhaps "Perceptual Sensitivity" in these

studies (Iwanicki & Lehmann, 2015; Koski et al., 2017).

These findings in common marmosets are remarkable in that, although many primate

and non-primate species exhibit individual differences in these traits (Delgado & Sulloway,

2017; MacLean et al., 2014), the only other primate species in which they reflect the presence

of one or two broad personality domains include chimpanzees *Pan troglodytes* (Dutton, 2008;

Freeman et al., 2013; King & Figueredo, 1997; King et al., 2005; Weiss et al., 2009; Weiss et

al., 2007), bonobos *Pan paniscus* (Weiss et al., 2015), and both brown *Sapajus apella*

(Morton et al., 2013) and perhaps golden-bellied capuchin monkeys *Sapajus xanthosternos*

(Fernández-Bolaños et al., 2020), and humans *Homo sapiens* (e.g., McCrae et al., 2005).

Cooperative breeding therefore appears to have led to the evolution of a domain that, until

now, has only been found in larger brained primate species.

The first goal of our study was to follow-up an earlier study of personality in 77

common marmosets that were housed in the Kobe, Japan campus of the Institute of Physical

and Chemical Research (RIKEN), a Japanese research organization for basic and applied

science. This earlier study did not find evidence for a Conscientiousness or Patience domain;

it also did not find evidence for an Openness domain (Inoue-Murayama et al., 2018). Because

Conscientiousness, Openness, and possibly Patience, were found in two previous studies

(Iwanicki & Lehmann, 2015; Koski et al., 2017), the failure to find one or more of these

domains in the RIKEN sample is puzzling. One possible reason for these differences with

regards to Conscientiousness or Patience is that, of the items that Inoue-Murayama et al.

(2018) removed because they had interrater reliability estimates that were less than zero, two

(reckless and unperceptive) were associated with Conscientiousness and three (inventive,

persistent, and quitting) were associated with Patience in Koski et al.'s (2017) study.[2] The

negative interrater reliabilities of these items suggest that there was either very little between-

subject variance in ratings of these items or that there was a large amount of error variance

(Shrout & Fleiss, 1979). A low level of between-subject variance may reflect unmeasured

influences related to the way in which the subjects were housed or bred. It may also have

come about because the personality of individual common marmosets can conform to that of

their group (Koski & Burkart, 2015).

A second goal of this study was to examine the associations between the personality

domains and subjective well-being. Previous studies in humans (DeNeve & Cooper, 1998;

Steel et al., 2008) and in nonhuman primate species, including chimpanzees (King & Landau,

2003; Robinson et al., 2017; Weiss et al., 2009), orangutans *Pongo* spp. (Weiss et al., 2006),

rhesus macaques *Macaca mulatta* (Weiss, Adams, Widdig, et al., 2011), brown capuchin

monkeys (Robinson, Waran, et al., 2016), and common marmosets (Inoue-Murayama et al.,

2018), show similar relationships between personality domains and measures of subjective

well-being and/or welfare. Across these species, personality domains associated with greater

degrees of gregariousness, assertiveness, activity, and other traits associated with

Extraversion (Wilt & Revelle, 2017), were related to higher subjective well-being and

personality domains made up of traits associated with greater vigilance, fearfulness, anxiety,

and other traits associated with Neuroticism (Tackett & Lahey, 2017), were associated with

lower subjective well-being. We therefore tested for associations between the personality

domains and subjective well-being as measured by a reliable, well-validated scale (King &

Landau, 2003). We examined correlations between the personality domains and the items

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[2] Only two of these items were examined in Iwanicki and Lehmann's study, those being reckless, which was associated with Extraversion and inventive, which was associated with Openness.

from this scale, too, for by doing so we could assess the degree to which personality domains

were measures of distinct psychological constructs.

A third goal of this study was to further investigate whether genetic polymorphisms

were associated with personality. In a previous, we found that lower Dominance and lower

Neuroticism in common marmosets were associated with a single nucleotide polymorphism

(SNP), the AA genotype of the μ-opioid receptor gene; lower Neuroticism was additionally

associated with the short form of the arginine vasopressin receptor 1A gene (Inoue-

Murayama et al., 2018). For the present study we focused on polymorphisms of the serotonin

receptor 1a gene. A previous study of chimpanzees identified a SNP (rs25209664: C743A)

causing a proline to glutamine substitution at the 248th amino acid of the serotonin receptor

1a gene. This polymorphism was associated with aggression and sociability in that

chimpanzees who possessed two C alleles engaged in less social grooming and were rated as

being more anxious (Staes et al., 2019). This study also found evidence for some interactions:

males with the CC genotype displayed more and, of chimpanzees with the AC genotype, mid-

ranking individuals had lower proximity scores (Staes et al., 2019).

For the present study, to address the questions about personality and subjective well-

being, we asked three individuals to complete personality and subjective well-being

questionnaires for 51 common marmosets at RIKEN and pooled these with ratings of the 77

subjects from the original study (Inoue-Murayama et al., 2018). To address questions about

the genetic bases of personality in this species, we surveyed the polymorphism of the

serotonin receptor 1a gene around the reported region in chimpanzees, and genotyped all 128

subjects and tested for associations between personality and polymorphisms of the serotonin

1a receptor gene.

142   **Method**

Subjects and Housing

The common marmosets used in this study were recruited in three waves. The

subjects from the first wave had taken part in a previous similar study (see Inoue-Murayama

et al., 2018 for details) and included 68 males and 9 females ranging in age from 1.5 to 15.1

years (mean=6.0, SD=2.6). The subjects from the second and third waves were born at

RIKEN. These subjects included 17 males and 7 females ranging in age from 1.7 to 4.5 years

(mean = 2.6, SD = 0.7), and 14 males and 13 females ranging in age from 2.0 to 4.9 years

(mean = 3.0, SD = 0.8), respectively. The total sample therefore comprised 128 subjects (99

males, 29 females) ranging in age from 1.6 to 15.1 (mean = 4.8, SD = 2.7).

Subjects were housed in the RIKEN Center for Biosystems Dynamics Research in

Kobe, Japan. Of the total sample, 112 were born at the center, 6 were supplied by CLEA

Japan Inc. (Tokyo, Japan), and 10 were supplied by Japan Wild Animal Laboratory Limited

(Amami, Japan). Subjects sourced from other facilities had lived in the center for at least

3years prior to this study.

Subjects' rearing histories and how they were housed varied. At the time the

personality and subjective well-being ratings were carried out, 78 subjects were group

housed. Of these subjects, 66 were reared by their parents, 11 were not reared by their

parents, and 1 had an unknown rearing history. The 50 remaining subjects were single-

housed. Of these subjects, 36 were reared by their parents, 13 were not reared by their

parents, and 1 subject had an unknown rearing history.

Ratings

Questionnaires

Personality. Ratings were made using the Hominoid Personality Questionnaire

(HPQ). The HPQ consists of 54 items, each consisting of a trait adjective paired with one to

three sentences that set the adjective in the context of primate behavior. For example, the trait

adjective "**FEARFUL**" (boldface and capitals in the original) is paired with the descriptor

sentences "Subject reacts excessively to real or imagined threats by displaying behaviors such

as screaming, grimacing, running away or other signs of anxiety or distress." The HPQ's

instructions ask raters to assign a rating of 1 ("Displays either total absence or negligible

amounts of the trait.") to 7 ("Displays extremely large amounts of the trait.") to each item.

The HPQ's instructions also request that raters do not discuss their ratings.

A detailed description of the HPQ's development can be found in Weiss (2017). We

summarize it here. The HPQ grew out the 48-item Orangutan Personality Questionnaire

(Weiss et al., 2006), which grew out of the 43-item Chimpanzee Personality Questionnaire

(King & Figueredo, 1997). Forty-one of the HPQ's 54 items were sampled from Goldberg's

(1990) trait terms of the five major domains of human personality (King & Figueredo, 1997).

The remaining 13 items were adapted from items (McCrae & Costa, 1985) or facets (Costa &

McCrae, 1992) from other human personality inventories, or were created for by the authors

of these instruments (King & Figueredo, 1997; Weiss et al., 2009; Weiss et al., 2006).

We used a Japanese translation of the HPQ. A study of chimpanzees revealed that the

translation did not adversely affect the HPQ's psychometric properties (Weiss et al., 2009).

Subjective Well-Being. Ratings were made on a four-item scale that was based on a

questionnaire used to measure subjective well-being in captive chimpanzees (King & Landau,

2003). Each item was devised so as to assess a different concept of subjective well-being that

have been described in the human literature (Campbell, 1981; Cantor & Sanderson, 1999;

Diener & Emmons, 1984; Diener et al., 1999 cited in King & Landau, 2003; Pavot et al.,

1991). The first item (moods) concerned the extent to which an individual experienced

positive versus negative affect. The second item (social) concerned whether the individual

experienced pleasure from social interactions. The third item (goals) concerned whether the

individual was able to achieve its goals, bearing in mind that different individuals may have

different, personal goals. The fourth item (be marmoset) asked raters how "happy" they

would be if they were that marmoset for a week and was therefore intended to measure global

well-being or happiness. The subjective well-being scale's instructions ask raters to assign a

rating of 1 ("Displays either total absence or negligible amounts of the trait or state.") to 7

("Displays extremely large amounts of the trait.") to each item. The instructions also request

that raters do not discuss their ratings.

We used the Japanese version of the subjective well-being questionnaire. A previous

study of chimpanzees revealed that the translation did not adversely affect this instrument's

psychometric properties (Weiss et al., 2009).

Raters and Ratings

The same three keepers (two men and one woman) who had completed the

questionnaires in the first wave of data collection (see Inoue-Murayama et al., 2018 for

details) completed the questionnaires for the second and third wave of data collection.

The keepers had known the subjects they rated for 1.1 to 9.8 years (mean = 3.7 years,

SD = 2.2). Two of the keepers (one man and one woman) rated all 128 subjects on all of the

HPQ and the subjective well-being questionnaire items. The third keeper rated 81 subjects.

This resulted in a total of 337 ratings or an average of 2.63 ratings per subject.

Genotyping

A buccal swab was taken from each subject and kept in a 90% ethanol solution until

DNA extraction. DNA was extracted by DNeasy Blood and Tissue kit (Qiagen, CA, USA).

PCR amplification was conducted in a 10 μl (the total volume) reaction mixture containing

10ng of DNA template, 0.4μM of each primer (forward: 5'-tggattcccttcctccgaaa-3', reverse:

5'-aggtgttgattccctagggt-3'), 0.5U of LA Taq DNA polymerase, 400 μM of dNTPs, and GC

buffer I (TaKaRa, Shiga, Japan). After denaturing DNA samples at 95°C for 1 min, we set up

40 cycles of 95°C for 30 seconds, 60°C for 30 seconds, 74°C for 1 minute, and a final

extension at 74°C for 10 minutes. A total of 1,473 base pair fragments including whole single

exon region were amplified. We then sequenced the polymerase chain reaction products, both

forwards and backwards, using 3130xl Genetic Analyzer (Applied Biosystems, CA, USA).

The internal primer 5'-tcatgctggttctctatggg-3' was also used for sequencing. Primers were

designed based on the sequence in the Ensembl database Acc No.100895274. In the end, we

identified three novel SNPs (G840C, G841A, and T901A) in the third intracellular loop of the

receptor (see Figure 1). G840C was a synonymous SNP coding alanine at the 280th amino

acid sequence, G841A was a nonsynonymous SNP that caused a methionine substitution at

the 281st amino acid sequence, and T901A was a nonsynonymous SNP that caused a serine to

threonine substitution at the 301st amino acid sequence.

Analyses

Analyses were conducted using version 3.6.3 of R (R Core Team, 2020). Unless

otherwise stated, the functions used were from the version 1.9.12 of the psych package

(Revelle, 2019) and version 1.0.7 of the EFA.MRFA package (Navarro-Gonzalez & Lorenzo-

Seva, 2020).

Item Interrater Reliabilities

We used a custom function in R to compute interrater reliabilities the HPQ and

subjective well-being questionnaire items. This function computed two types of intraclass

correlations (*ICC*s) that were described by Shrout and Fleiss (1979). The first, *ICC*(3,1),

indicated the reliability of individual ratings, that is, it was an estimate of the reliability of the

rating from a single rater. The second, *ICC*(3,*k*), indicated the reliability of the mean rating

coming from *k* raters, which was equal to 2.63 in the present study. We excluded items that

had reliabilities that were not greater than zero (see Weiss, 2017 for a discussion).

Exploratory Factor Analyses

Personality. Analyses were based on the aggregate (mean) of personality ratings for

the 128 subjects. Simulation studies have variously revealed that the number of subjects

required for satisfactory factor recovery is a function of the item communalities, the item

loadings, the ratio of items to factors (de Winter et al., 2009; MacCallum et al., 1999;

Mundfrom et al., 2005). These same simulations indicated that the subjects to items ratio is

irrelevant. Previous rating-based studies of common marmoset personality (Inoue-Murayama

et al., 2018; Iwanicki & Lehmann, 2015; Koski et al., 2017) have found that between 72%

and 97% of questionnaire items were reliable, between three and five factors, median salient

loadings of around .6 or .7, and communalities that were between wide and high[3] (see

MacCallum et al., 1999 for definitions of high and wide communalities). As such, we have a

large enough sample size to conduct factor analyses on these data.

We used the fa function from Revelle's psych package to conduct maximum

likelihood exploratory factor analyses. Before conducting our factor analyses, however, we

used four methods to determine how many factors to extract. First, we inspected the scree

plot. Second, we used Revelle's psych package's fa.parallel function to conduct a parallel

analysis (Horn, 1965). Specifically, we compared the eigenvalues from a principal

components analysis of the actual data to the distribution of 1000 eigenvalues generated from

principal components analysis of resampled and randomly generated data.[4] Third, we used

the VSS function from Revelle's psych package to determine, for solutions that included one

to eight factors, which had the lowest Bayesian Information Criterion (BIC; Schwarz, 1978).

Fourth, we used the hullEFA function from Navarro-Gonzalez and Lorenzo-Seva's

EFA.MRFA package to determine the number of factors via the Hull method (Ceulemans &

Kiers, 2006), which performs well with personality data (Lorenzo-Seva et al., 2011). We also

inspected the factors to ensure that they were interpretable.

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[3] See MacCallum et al. (1999) for definitions of high and wide communalities.
[4] Parallel analysis of principal components yields more accurate estimates than parallel analysis of factors (Auerswald & Moshagen, 2019).

After extracting the factors, we applied an oblique (promax) and an orthogonal

(varimax) rotation. If the promax rotation yielded factors that were strongly correlated and/or

a different structure, we retained and interpreted those factors. Otherwise, we retained and

interpreted the varimax-rotated factors. In interpreting the factors, we specified that salient

loadings were those equal to or greater than |0.4|. We then labeled each factor based on our

interpretation of it and attempted to find suitable labels from previous findings in nonhuman

primates, and humans. If we could not find a label that was a good description of the factor,

we devised our own.

In addition to conducting this first-order factor analysis, we found evidence (results to

be discussed) suggesting that there may be second-order personality factors underlying these

data. We thus conducted an exploratory factor analyses of the factor correlation (Phi) matrix

obtained from the promax-rotated factors. For the same reason, we conducted an additional

item-level factor analysis as a robustness check.

Subjective Well-Being. Analyses were based on the aggregate (mean) of the

subjective well-being ratings for the 128 subjects. Previous work in 77 of these subjects

revealed that a single factor loaded on all four subjective well-being items (Inoue-Murayama

et al., 2018). We therefore used the fa function from Revelle's psych package to conduct a

maximum likelihood exploratory factor analysis in which we extracted a single factor from

these data.

Unit-Weighted Factor Scores

For the remaining analyses, we used a custom R function to compute unit-weighted

factor scores for the personality and subjective well-being data. This involved, for each item,

finding the largest salient loading. If that loading was positive, we assigned it a weight of +1.

If that loading was negative, we assigned it a weight of -1. In all other cases, we assigned a

weight of zero. We then weighted and summed the item ratings.

Factor Reliabilities

For the first- and second-order personality factors, and for subjective well-being, we again used the custom function in R that we used to compute Shrout and Fleiss's $ICC(3,1)$ and $ICC(3,k)$ for the items to compute these ICCs. As with the item-level analyses, k was equal to 2.63. In addition, we used Revelle's psych package to compute Cronbach's alpha (α), a measure of the internal consistency reliability of a scale and to compute McDonald's omega hierarchical (ω_h), a measure of the degree to which a general factor saturates a scale's items.

Personality Factor Comparisons

To compare the first- and second-order factors to factors found in three previous studies of common marmoset personality (Inoue-Murayama et al., 2018; Iwanicki & Lehmann, 2015; Koski et al., 2017), we first generated unit-weighted factor scores based on the personality structures described in these studies. In generating these scores, because there was not a total overlap of questionnaire items across these studies, it was sometimes necessary to substitute items that were similar in meaning or in the constructs that they purportedly assessed. Details about how these unit-weighted scores were created can be found in Table S1.

After computing these unit-weighted factor scores, we obtained correlations between the scores based on the factor loadings from the present study and the scores based on component and factor loadings from previous studies. We compared the absolute magnitude and highlighted the highest correlation or, in the case where the confidence intervals of two or more correlations overlapped, highest correlations.

Personality-Subjective Well-Being Associations

314   We used Pearson correlation coefficients to examine the associations between the

315   first- and second-order personality factors and, both, the subjective well-being items and the

316   total score.

Genetic Associations

To examine the genotype-personality associations, for each first- and second-order

personality factor, we fit a linear model using the lm function. The personality factor scores

in these analyses were standardized (mean $= 0$, SD $= 1$). The variables in the models included

sex (male $= 1$, female $= 0$), age in years, and a categorical variable that indicated genotype.

Because there were problems with genotyping four subjects, these individuals were excluded

from the analyses. In addition, the G840C genotypes for two subjects and T901A genotype

for one subject were unclear, and so these individuals were not included in tests of

associations between personality and the G840C and T901A genotype, respectively. Finally,

only one subject had the AA version of G841A and only nine subjects had the GA version of

this genotype. We therefore decided to not examine associations between this genotype and

personality.

Although the subjects were related to one another, we did not test for these effects

within the context of an animal model (cf. Inoue-Murayama et al., 2018). Moreover, because

we conducted multiple, sometimes non-independent, tests, we applied a Bonferroni

correction to the results.

Ethics

Data were collected at the RIKEN Center for Biosystems Dynamics Research. This

study complied with the current laws of Japan, including the Act on Welfare and

Management of Animals. All experimental and husbandry procedures were performed in

accordance with RIKEN's Guidelines for Conducting Animal Experiments, and in

accordance with the ARRIVE (Animal Research: Reporting of In Vivo Experiments)

guidelines. All procedures were approved by the Animal Care and Use Committee of the

Kobe Institute of RIKEN (MA2009-10-16).

341   **Results**

342   **Interrater Reliabilities of Items**

343   *Personality*

344   The interrater reliabilities of the 54 items from the Hominoid Personality

345   Questionnaire are presented in Table 1. The reliabilities of individual ratings and of mean

346   ratings for the items anxious, persistent, quitting, and unperceptive were negative, and so we

347   excluded these items from further analyses. The reliabilities of individual ratings for the items

348   innovative and decisive were less than 0.01 and the reliability of mean ratings for these items

349   were equal to 0.01, and so we decided to also exclude these items from further analyses.

350   Of the remaining 48 items, the interrater reliabilities of individual ratings ranged from

351   0.02 (inventive) to 0.45 (dominant). The mean and standard deviation for these estimates

352   were 0.21 and 0.11, respectively. The interrater reliabilities of mean ratings for the remaining

353   items ranged from 0.06 (inventive) to 0.68 (dominant). The mean and standard deviation for

354   these estimates were 0.40 and 0.16, respectively.

355   *Subjective Well-Being*

356   The interrater reliabilities of individual ratings were 0.16, 0.10, 0.15, and 0.15 for the

357   item about positive versus negative moods, the pleasure derived from social interactions, the

358   ability to achieve goals, and how happy a rater would be if they were that marmoset. The

359   corresponding reliabilities of mean ratings were 0.33, 0.23, 0.32, and 0.30.

360   **Maximum Likelihood Exploratory Factor Analyses**

361   *Personality*

362   **First-Order Analysis.** Parallel analysis and inspection of the scree plot indicated that

there were five factors (see Figure S1). The Hull method (see Figure S2) also indicated that

there were five factors and the lowest BIC (Table S2) was achieved when extracting five

factors. We therefore extracted five factors. A promax rotation of these factors yielded two

interfactor correlations that were large ($rs \geq |0.5|$) and two that were medium-sized ($rs \geq$

$|0.3|$). The mean and standard deviation of the absolute interfactor correlations were 0.26 and

0.20, respectively. Comparison of the varimax- and promax-rotated factors revealed that the

congruence coefficients for two factors fell below 0.95 (see Table S3) and an inspection of

the factor loadings indicated that the promax-rotated factors differed some from their

varimax-rotated counterparts. Given these results, we interpreted the promax-rotated factors

(see Table 2), which explained 63% of the variance (the varimax-rotated factors are presented

in in Table S4).

374   The first factor loaded on items related to high Extraversion and high Agreeableness

375   in humans (e.g., Goldberg, 1990). Compared to other studies of common marmosets, this

376   factor somewhat resembled the Sociability factor in one study (Inoue-Murayama et al., 2018)

377   and the Agreeableness factor from two other studies (Iwanicki & Lehmann, 2015; Koski et

378   al., 2017).

379   The second factor loaded on items related to high Extraversion and low

380   Agreeableness in humans (e.g., Goldberg, 1990). Compared to other studies of common

381   marmosets, it is best described as a narrow version of factors labeled Dominance (Inoue-

382   Murayama et al., 2018), Extraversion (Iwanicki & Lehmann, 2015), and Assertiveness (Koski

383   et al., 2017). To be consistent with a prior study of a subset of these animals (Inoue-

384   Murayama et al., 2018), we labeled this factor Dominance.

385   The third factor had positive loadings on items related to high Neuroticism and low

Conscientiousness in humans, and also negative loadings on items related to low Neuroticism

and high Conscientiousness (e.g., Goldberg, 1990). In a previous study of a subsample of

these animals (Inoue-Murayama et al., 2018), the Dominance and Neuroticism factor loaded

on some of these items. Compared to other studies of common marmosets, it resembled most

closely factors labeled Conscientiousness and Patience in one study (Koski et al., 2017) and

the Conscientiousness factor in another (Iwanicki & Lehmann, 2015). Humans that are high

in Neuroticism and low in Conscientiousness are described as exhibiting an undercontrolled

style of impulse control (Costa & McCrae, 1998). We thus labeled this factor Impulsiveness.

394   With the sole exception of a negative loading on the item cautious, the fourth factor

395   primarily loaded on items related to high Openness in humans (e.g., Goldberg, 1990).

396   Previous studies of common marmosets have labeled factor such as these Openness (Iwanicki

397   & Lehmann, 2015) and Inquisitiveness (Koski et al., 2017). We therefore labeled this factor

398   Openness.

399   The fifth factor loaded on items related to low Extraversion and high Neuroticism in

humans (e.g., Goldberg, 1990). In the previous study of a subset of these animals (Inoue-

Murayama et al., 2018), Neuroticism had a positive loading on many of these items. In all

three previous studies of this species, factors such as Dominance, Assertiveness, and

Conscientiousness had negative loadings on these items (Inoue-Murayama et al., 2018;

Iwanicki & Lehmann, 2015; Koski et al., 2017). Given that this factor combined aspects of

high Neuroticism and low degrees of Assertiveness or social prowess, we labeled it Negative

Affect.

407   **Second-Order Analysis.** Because there were several non-negligible correlations

408   between the factors, we factor analyzed the factor intercorrelation (Phi) matrix. This enabled

409   us to test whether there were any second-order factors.

410   Parallel analysis and inspection of the scree plot (see Figure S3) indicated that there

were two factors. We also tried to extract a single 'general' factor, but this solution exhibited

poor fit (root mean square of the residuals = 0.14) and the factor did not load on Openness or

Negative Affect. A promax rotation of the two-factor solution indicated that they were close

to being orthogonal, and the loadings of the varimax-rotated factors were nearly identical to

the promax-rotated factors (see Table 3). We therefore interpreted the varimax-rotated

factors. After reflecting (multiplying its loadings by -1) the first factor, it had a positive

loading on Sociability and a negative loading on both Dominance and Impulsiveness. We

thus labeled this factor Pro-sociality. The second factor had a positive loading on Openness

and a negative loading on Negative Affect. We thus labeled this factor Boldness.

Robustness Checks. Previous studies of common marmosets did not find higher-

order factors; the interfactor correlations were modest (Inoue-Murayama et al., 2018;

Iwanicki & Lehmann, 2015; Koski et al., 2017). We therefore conducted two robustness

checks.

The first check was to test whether the higher-order factors reflected the structuring of

data collection. Specifically, because different subjects were rated in each of three waves, this

may have led raters to rate the subjects belonging to each wave as more similar to one

another than to those in other waves. To test this, we residualized the 48 reliable items on a

categorical variable that represented whether the subject was rated in the first, second, or

third wave. We then factor analyzed the residualized item scores.

Inspection of the scree plot suggested that there were five factors and parallel analysis

indicated that there were four factors (see Figure S4). The Hull test indicated that there were

two factors (see Figure S5). The BIC was lowest for a five-factor solution (see Table S2). We

therefore examined promax-rotations after extracting two, four, and then five factors.

For the two-factor solution (see Table S5), the first factor loaded predominantly on

items that belonged to the three factors—Sociability, Dominance, and Impulsiveness—that

loaded on Pro-Sociality. The second factor loaded predominantly on items that belonged to

Openness and Negative Affect, that is, the factors that loaded on Boldness. For the four-

factor solution (see Table S6), the first, third, and fourth factors resembled the Sociability,

Negative Affect, and Openness. The second factor loaded predominantly on items related to

high Dominance and high Impulsiveness. The five-factor solution (see Table S7) yielded five

factors that were similar to the five factors found earlier.

The similarity, as indicated by Tucker's congruence coefficients, between the five

factors obtained before and after item scores were residualized were equal to or greater than

0.98, suggesting that these were the same factors (see Table S8). Factor analysis of the

residualized item scores, then, revealed either the same structure (the five-factor solution) or

structures in which there were stronger associations between factors (the two- and four-factor

solutions). These results are not consistent with the possibility that the higher-order factors

are due to how the data were gathered.

The second check was to test whether the second-order factors are general evaluative

factors that raters used (see, for example, Bäckström et al., 2009 for a discussion and

demonstration). To do so, we factor analyzed ratings from each of the three raters separately.

We also factor analyzed a weighted correlation matrix from which removed possible effects

of raters

$$\mathbf{P}_w = \frac{1}{N} \sum_{i=1}^{k} \mathbf{P}_i n_i$$

where $\mathbf{P}_w$, the weighted correlation matrix, is the sum of the products of the correlation

matrices of each of k (3 in the present case) raters, $\mathbf{P}_i$, and the subjects, n_i rated by that

individual rater, divided by the total number of subjects, N.

For ratings from one keeper who rated all of the subjects, the scree plot and parallel

analysis (see Figure S6), BIC (see Table S2), and Hull method (see Figure S7) indicated that

there were five factors. We thus extracted five factors and subjected them to a promax

rotation (see Table S9). The factors resembled those obtained in the initial factor analysis and

the interfactor correlations were similar in magnitude. We then conducted a second-order

factor analysis of the interfactor correlations. We forced a two-factor solution yielded.

Although one second-order factors just missed our criterion for a salient loading on a first-

order factor, these factors otherwise resembled a reversed Pro-sociality factor and Boldness

(see Table S10).

467   For ratings from the second keeper who rated all of the subjects, the scree plot

indicated that there were four or five factors and parallel analysis indicated that there were

four factors (see Figure S8). BIC (see Table S2) and the Hull method (see Figure S9) also

indicated that there were four factors. We thus extracted four factors and subjected them to a

promax rotation (see Table S11). This solution included what appeared to be an

Agreeableness factor (the first factor), the third factor was a Gregariousness factor (a narrow

facet of Extraversion), and the remaining two factors that were difficult to interpret. The

interfactor correlations were not consistent with there being second-order factors.

475   For ratings from the keeper who rated 81 subjects, the scree plot and parallel analysis

476   (see Figure S10), BIC (see Table S2), and Hull method (see Figure S11) indicated that there

477   were three factors. We thus extracted three factors and subjected them to a promax rotation

478   (see Table S12). These factors included a broad variant of Dominance, a factor that

479   encompassed activity and low levels of negative affect, and a narrow variant of

480   Impulsiveness.

481   For the weighted correlation matrix, the scree plot indicated that there were five

factors and parallel analysis indicated that there were three factors (see Figure S12). The BIC

indicated that there were three factors (see Table S2). We thus extracted three factors and

subjected them to a promax rotation (see Table S13). The first and third factors loaded on

many of the traits that belonged to the factors that made up the second-order Pro-sociality and

Boldness domains, respectively. The second factor was similar to Impulsiveness. The

correlation between the first and third factors was low, but the correlation between

Impulsiveness and Pro-Sociality was between medium and large, and therefore was

consistent with the definitions of these factors. We followed up this analysis by extracting

five factors and subjected them to a promax-rotation. The five factors resembled those from

our initial factor analyses as did the interfactor correlations (see Table S14). The second-

order factor analysis of these correlations revealed a reversed Pro-Sociality factor and a

Boldness factor (see Table S15).

Subjective Well-Being

Parallel analysis and an examination of the scree plot indicated that there was a single

factor (see Figure S13). This factor explained 67% of the variance and had salient loadings on

all four items (see Table 4).

Reliabilities of Factors

Personality

The interrater reliabilities of the individual ratings for Sociability, Dominance,

Impulsiveness, Openness, and Negative Affect were 0.52, 0.39, 0.28, 0.25, and 0.26,

respectively. The interrater reliabilities of mean ratings for these factors were 0.74, 0.63,

0.50, 0.46, and 0.48, respectively. For Pro-Sociality and Boldness, respectively, the interrater

reliabilities of individual ratings were 0.45 and 0.30, and the interrater reliabilities of mean

ratings for these second-order factors were 0.69 and 0.53.

The internal consistency reliability (Cronbach's α) for Sociability, Dominance,

Impulsiveness, Openness, and Negative Affect were 0.95, 0.95, 0.88, 0.85, and 0.81,

respectively. The degree to which a general factor saturated (McDonald's ω_h) Sociability,

Dominance, Impulsiveness, Openness, and Negative Affect was 0.81, 0.85, 0.75, 0.80, and

0.68, respectively.

Subjective Well-Being

For the total subjective well-being score, the interrater reliability of individual ratings

was 0.21 and the interrater reliability of the mean of ratings 0.41. Cronbach's α for this scale

was 0.87.

Personality Factor Comparisons

Iwanicki and Lehmann (2015) found four factors, which they labeled Extraversion,

Agreeableness, Conscientiousness, and Openness. Compared to our first-order factors, their

Extraversion factor was most similar to Dominance, their Agreeableness factor was equally

similar to the Sociability and (low) Dominance, their Conscientiousness factor was most

similar to Sociability, and their Openness was most similar to the same-named factor that we

found (see Table 5). Compared to our second-order factors, Iwanicki and Lehman's

Extraversion was equally similar to (low) Pro-sociality and high Boldness; their

Agreeableness and Conscientiousness factors were most similar to Pro-sociality; and their

Openness factor was most similar to Boldness (see Table 6).

Koski et al. (2017) found five factors, which they labeled Conscientiousness,

Agreeableness, Assertiveness, Patience, and Inquisitiveness. Compared to our first-order

factors, their Conscientiousness factor was equally similar to (low) Dominance and (low)

Impulsiveness; their Agreeableness factor was most similar to Sociability; their Assertiveness

factor was similar to (low) Sociability, Dominance, and (low) Negative Affect; their Patience

was most similar to Sociability; and their Inquisitiveness was most similar to Openness (see

Table 5). Compared to our second-order factors, their Conscientiousness, Agreeableness, and

Patience factors were all most similar to Pro-sociality; their Assertiveness factor was equally

similar to Boldness and (low) Pro-sociality; and their Inquisitiveness factor was most similar

to Boldness (see Table 6).

Inoue-Murayama et al. (2018) found three factors, which they named Dominance,

Sociability, and Neuroticism. Compared to our first-order factors, their Dominance factor was

most similar to Dominance; their Sociability factor was most similar to Sociability; and their

Neuroticism factor was most similar to Negative Affect (see Table 5). Compared to our

second-order factors, their Dominance and Sociability factors were most similar to low and

high Pro-sociality, respectively; their Neuroticism factor was most similar to (low) Boldness

(see Table 6).

Personality and Subjective Well-Being Associations

The correlations between the four subjective well-being items and the personality

factors are presented in Table 7. Sociability was significantly associated with higher scores

on all four of the scale items and subjective well-being. Dominance was not significantly

related to any of the scale's items or the factor. Impulsiveness was significantly related to

lower and Openness was significantly related to higher balance of positive versus negative

moods, how happy raters thought they would be if they were the marmoset, and subjective

well-being. Negative Affect was negatively related to how happy raters would be how happy

raters thought they would be if they were the marmoset. The second-order factor Pro-sociality

was significantly associated with being rated as higher on all four items and higher subjective

well-being. The second-order factor Boldness was not significantly associated with the

pleasure subjects derived from social interactions, but it was significantly related to being

higher in the other three items and in subjective well-being.

Personality-Genotype Associations

G840C Genotypes

Twenty-seven subjects had the GG genotype, 23 had the GG genotype, and 72 were

heterozygous. In the first set of analyses, we compared subjects with the GC genotype and

subjects with the GG type to those with the CC genotype. Compared to subjects with the CC

genotype, subjects with the GC or GG genotypes were significantly higher in Dominance;

these effects, however, did not prevail correction for multiple tests (see Table S16). In a

second set of analyses, we compared the 95 subjects who were carriers of the C allele (CC or

GC genotype) to the 27 subjects with the GG genotype. None of the comparisons were

statistically significant (see Table S17). In a third set of analyses, we compared the 99

subjects who were carriers of the G allele (GG or GC genotype) to the 23 subjects with the

CC genotype. Carriers were significantly higher in Dominance, but again, this effect did not

prevail correction for multiple tests (see Table S17).

T901A genotypes

569   Twelve subjects had the TT genotype, 35 had the AA genotype, and 76 were

570   heterozygous. Because of this imbalance in the number of subjects, we only compared the 88

571   subjects who carried the T allele to the 35 subjects with the AA genotype. None of the

572   comparisons were statistically significant (see Table S18).

573   **Discussion**

574   We found five common marmoset personality domains that we labeled Sociability,

Dominance, Impulsiveness, Openness, and Negative Affect. Moreover, the correlations

between these domains were such that they suggested the presence of second-order factors.

Further analyses revealed that these second-order factors included one that we labeled Pro-

sociality, which had a positive loading on Sociability and negative loadings on Dominance

and Impulsiveness, and another that we labeled Boldness, which had a positive loading on

Openness and a negative loading on Negative Affect. The interrater reliabilities of unit-

weighted factors scores that represented the first- and second-order domains indicated that,

even at the lower end, the degree to which variance in these scores were comprised of true-

score variance was comparable to what has been found in studies of other primate species

(Freeman & Gosling, 2010), including humans (e.g., Costa & McCrae, 1986). The interrater

reliability estimate for subjective well-being was, however, markedly lower than what has

been found in other species, for example, chimpanzees (King & Landau, 2003; Weiss et al.,

2009). The personality factors were related to subjective well-being and its constituent items

in ways consistent with the meaning of the factors. There was no strong evidence that they

were associated with polymorphisms of the serotonin 1a receptor gene.

The personality domains found in our study showed considerable overlap with those

found in prior studies of this species. Openness resembled eponymous domains, or domains

labeled Inquisitiveness, that had been identified in studies in which common marmoset

personality (Iwanicki & Lehmann, 2015; Koski et al., 2017; Koski & Burkart, 2015;

Tomassetti et al., 2019). Although we did not find a clear match to the domain labeled

Conscientiousness in Iwanicki and Lehmann (2015) and Koski et al. (2017), Impulsiveness

and Pro-sociality showed considerable overlap with that construct. Specifically, in addition to

being related to behavioral consistency and reliability, Conscientiousness in these past studies

had negative loadings on traits related to prosocial behavior (Iwanicki & Lehmann, 2015) or

aggression (Koski et al., 2017) whereas Impulsiveness loaded on traits that were associated

with emotionality and reactivity. Finally, Dominance, Sociability, and Negative Affect

resembled domains that had been found in at least one of these earlier studies. On the other

hand, the Patience domain identified by Koski et al. (2017) and possibly by Iwanicki and

Lehmann (2015) was not clearly represented among the domains; the best match was Pro-

sociality.

One major difference between this study and comparable rating-based studies (Inoue-

Murayama et al., 2018; Iwanicki & Lehmann, 2015; Koski et al., 2017) was our identification

of two second-order factors. Our follow-on analyses suggested that these factors may partly

reflect a tendency for some raters to see some traits as more correlated than others, but these

analyses could not exclude the possibility that Pro-Sociality and Boldness belong to a higher-

level of personality organization, perhaps reflecting group personalities (Koski & Burkart,

2015), in this species.

There have been reports of higher-order factors of human personality (DeYoung,

2006; Digman, 1997), including a general factor of personality (e.g., Musek, 2007; Rushton

& Irwing, 2008). However, these and other, similar reports have been criticized on numerous

grounds (see, e.g., Ferguson et al., 2011; Mutch, 2005; Revelle & Wilt, 2013). Moreover,

studies, including of nonhuman primates, that are not beset by the problems noted by these

critics tend to not find evidence for higher-order personality factors or correlations among

lower-order factors (Bäckström et al., 2009; Biesanz & West, 2004; Danay & Ziegler, 2011;

Hopwood et al., 2011; McCrae et al., 2008; Mutch, 2005; Riemann & Kandler, 2010; Weiss,

Adams, & Johnson, 2011).

The problems in human studies identified by these critics are also absent in the

present study: each animal was rated by two or three keepers, the correlations among the

latent variables were considerable, and statistically adjusting for rater effects increased rather

than decreased some correlations among factors. Still, these second-order factors were not

identified in similar studies, including the previous study of this group of common marmosets

(Inoue-Murayama et al., 2018; Iwanicki & Lehmann, 2015; Koski et al., 2017). As such,

replication and an examination of this phenomenon using more flexible modeling techniques

(e.g., Martin et al., 2019) are needed before we can conclude that these second-order factors

represent a higher-level of personality organization and identify whether they are caused by

social processes in common marmosets (cf. Koski & Burkart, 2015).

Together with findings from prior studies, the findings in this study are consistent

with the possibility that common marmosets evolved a personality structure that includes

domains that are more commonly associated with species, such as brown capuchin monkeys

(Morton et al., 2013), chimpanzees (King & Figueredo, 1997), and humans (McCrae & John,

1992). As these species only share distant common ancestors with common marmosets, and

they have very different socioecologies, these traits are not likely to be homologous. Instead,

these traits likely reflect convergent evolution, perhaps to deal with social complexity and

demands that come with cooperative breeding. Likewise, the differences between common

marmoset personality and that of the other species may also be attributable to their being

cooperative breeders or other unique features of their socioecology that we have yet to

discover. In any event, studies that examine the role that Conscientiousness and/or Patience

play in infant rearing, and especially by helpers, among common marmosets and other

cooperative breeders are needed to test this hypothesis.

As in our study of a subsample of these subjects (Inoue-Murayama et al., 2018), we

found a pattern of personality-subjective well-being correlations that were consistent with

those found in studies of humans (DeNeve & Cooper, 1998; Steel et al., 2008) and nonhuman

primates (King & Landau, 2003; Robinson et al., 2017; Robinson, Waran, et al., 2016; Weiss,

Adams, Widdig, et al., 2011; Weiss et al., 2009; Weiss et al., 2006). Similar associations have

also been reported for felids (Gartner et al., 2016; Gartner & Weiss, 2013). These findings,

and those in humans and great apes that indicate that a common genetic background underlies

these traits (Adams et al., 2012; Hahn et al., 2016; Hahn et al., 2013; Weiss et al., 2016;

Weiss et al., 2008; Weiss et al., 2002), are consistent with the possibility that these

relationships are ancestral.

Our failure to find an association between SNPs related to the serotonin 1a receptor

gene is not consistent with previous findings of an association between this genotype and

personality in chimpanzees (Staes et al., 2019). It is possible that our failure to find an

association resulted from the personality measure that we used. However, as the associations

between personality and serotonin-related genes in humans are likely false positives (Munafò

et al., 2009), and so we are led to conclude that we did not find significant associations

between these SNPs and the personality domains that we uncovered because there were none.

This study had three shortcomings. The first was that nearly 40% of the common

marmosets were single-housed. As such, behavioral manifestations related to some of the

rated traits might have been rare or absent. The second was that the factor structure was

compared to those from studies that used different, although partly overlapping, instruments.

It is unclear to what degree this would have obscured similarities or blurred differences

between the structures in these studies. This limitation also prevented us from using other

statistical methods to compare these structures. The third was alluded to earlier, namely that,

to identify genetic effects, considerably larger sample sizes are likely needed. Still, we judged

that it was worth reporting the candidate gene results so that they may contribute to future

meta-analyses.

To use a cliché, this study may be seen as yielding 'more questions than answers'

about personality in common marmosets. Nevertheless, it highlights the promise that large

collaborative studies of this species may hold for better understanding the proximate and

ultimate origins of their personality, and that of our own species, too.

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Table 1

Interrater Reliabilities of Items from the Hominoid Personality Questionnaire

Item	$ICC(3,1)$	$ICC(3,k)$
Sociable	0.45	0.68
Sympathetic	0.43	0.66
Solitary	0.43	0.67
Protective	0.42	0.66
Helpful	0.38	0.62
Friendly	0.36	0.60
Aggressive	0.34	0.57
Gentle	0.34	0.58
Irritable	0.33	0.57
Affectionate	0.32	0.55
Stingy/greedy	0.29	0.51
Dominant	0.28	0.50
Individualistic	0.28	0.51
Submissive	0.28	0.51
Imitative	0.28	0.51
Independent	0.27	0.50
Excitable	0.26	0.48
Conventional	0.26	0.48
Bullying	0.23	0.44
Impulsive	0.23	0.44
Dependent/follower	0.23	0.44
Defiant	0.23	0.45

Stable	0.21	0.41
Jealous	0.21	0.41
Cautious	0.19	0.39
Active	0.19	0.38
Fearful	0.18	0.37
Autistic	0.18	0.36
Thoughtless	0.17	0.36
Erratic	0.17	0.35
Playful	0.16	0.33
Intelligent	0.16	0.33
Reckless	0.15	0.32
Curious	0.14	0.30
Sensitive	0.14	0.30
Inquisitive	0.13	0.28
Cool	0.13	0.29
Predictable	0.13	0.28
Lazy	0.11	0.25
Timid	0.10	0.22
Clumsy	0.09	0.21
Disorganized	0.09	0.20
Vulnerable	0.07	0.16
Unemotional	0.06	0.15
Distractible	0.05	0.13
Manipulative	0.05	0.12
Depressed	0.04	0.09

Inventive	0.02	0.06
Innovative	0.00	0.01
Decisive	0.00	0.01
Anxious	-0.03	-0.08
Persistent	-0.06	-0.19
Quitting	-0.08	-0.24
Unperceptive	-0.12	-0.39

Table 2

Pattern Matrix from the First-Order Factor Analysis of the Hominoid Personality

Questionnaire

	Factor Loadings					
Item	Soc	Dom	Imp	Opn	Neg	h^2
Helpful	**0.89**	0.10	-0.05	0.09	-0.08	0.79
Sympathetic	**0.83**	-0.01	-0.07	0.07	0.08	0.76
Protective	**0.80**	0.01	0.02	0.02	-0.15	0.66
Individualistic	**-0.78**	0.09	-0.05	0.13	0.25	0.71
Dependent/follower	**0.77**	0.06	-0.05	0.23	0.39	0.69
Independent	**-0.73**	0.27	-0.31	0.10	0.08	0.62
Imitative	**0.71**	0.04	0.07	0.20	0.18	0.49
Solitary	**-0.71**	0.05	-0.04	-0.17	0.34	0.74
Affectionate	**0.64**	-0.09	-0.16	0.21	0.12	0.65
Sensitive	**0.64**	-0.08	-0.17	-0.02	0.04	0.61
Sociable	**0.62**	-0.27	-0.16	0.16	-0.15	0.85
Conventional	**0.60**	0.11	-0.33	-0.13	0.19	0.62
Intelligent	**0.59**	0.26	-0.18	0.00	-0.19	0.39
Gentle	**0.50**	-0.35	-0.24	0.15	0.09	0.83
Reckless	**-0.50**	-0.16	0.39	0.38	-0.03	0.62
Friendly	**0.49**	**-0.46**	-0.15	0.13	0.02	0.86
Jealous	0.02	**0.92**	-0.04	0.21	0.15	0.83
Stingy/greedy	-0.08	**0.87**	-0.06	0.28	0.15	0.86
Bullying	-0.04	**0.85**	0.00	0.11	0.07	0.77
Dominant	-0.09	**0.79**	0.06	0.01	-0.07	0.80

Manipulative	0.21	**0.72**	-0.10	0.04	-0.33	0.57
Aggressive	-0.12	**0.69**	0.15	-0.05	-0.17	0.80
Defiant	-0.09	**0.65**	0.20	-0.01	-0.20	0.78
Irritable	0.00	**0.50**	**0.48**	-0.18	-0.11	0.74
Excitable	-0.02	0.16	**0.78**	-0.08	-0.06	0.78
Unemotional	-0.10	0.14	**-0.77**	0.00	0.26	0.52
Impulsive	-0.10	0.06	**0.75**	0.13	0.13	0.76
Cool	0.18	-0.05	**-0.66**	-0.06	0.01	0.65
Fearful	0.23	-0.10	**0.62**	**-0.42**	0.28	0.51
Distractible	-0.11	-0.05	**0.53**	0.23	0.10	0.40
Disorganized	-0.10	0.15	**0.52**	0.18	0.12	0.51
Stable	0.21	-0.19	**-0.46**	0.07	-0.38	0.64
Thoughtless	-0.22	-0.03	**0.41**	0.38	0.02	0.45
Predictable	0.12	-0.08	**-0.40**	0.04	0.02	0.27
Erratic	-0.20	0.32	0.35	-0.06	0.20	0.55
Curious	0.14	0.13	0.08	**0.73**	0.02	0.61
Inquisitive	0.17	0.11	0.06	**0.72**	0.09	0.55
Inventive	0.27	0.18	-0.05	**0.68**	0.11	0.51
Playful	0.23	-0.12	0.32	**0.65**	-0.04	0.60
Cautious	**0.47**	0.08	0.24	**-0.59**	0.22	0.53
Active	0.28	0.18	**0.41**	**0.52**	-0.12	0.69
Autistic	0.04	-0.13	0.10	0.19	**0.68**	0.44
Timid	0.14	0.00	0.34	-0.18	**0.65**	0.58
Depressed	-0.17	0.07	-0.27	-0.04	**0.64**	0.51
Clumsy	-0.04	0.17	-0.06	0.00	**0.58**	0.33

Vulnerable	-0.03	-0.19	-0.02	0.04	**0.57**	0.39
Lazy	-0.23	-0.05	**-0.45**	-0.17	**0.49**	0.59
Submissive	0.33	-0.20	-0.19	0.00	**0.49**	0.62
Proportion of variance	0.20	0.14	0.13	0.08	0.08	

Factor Correlations

Factor	Soc	Dom	Imp	Opn	Neg
Soc	1.00	-0.53	-0.45	0.06	-0.06
Dom	-0.53	1.00	0.57	0.15	-0.18
Imp	-0.45	0.57	1.00	0.18	-0.04
Opn	0.06	0.15	0.18	1.00	-0.38
Neg	-0.06	-0.18	-0.04	-0.38	1.00

Note. $N = 128$. Soc = Sociability, Dom = Dominance, Imp = Impulsiveness, Opn = Openness, Neg = Negative Affect, h^2 = communalities. Factors extracted using a maximum likelihood estimation and rotated using the promax procedure. Factor loadings greater than or equal to |0.4| are in bold.

Table 3

Pattern Matrix from the Second-Order Factor Analysis of Personality Factors

First-Order Factor	Promax Rotation		Varimax Rotation		
	Pro-Sociality	Boldness	Pro-Sociality	Boldness	h^2
Dominance	**-0.79**	0.15	**-0.80**	0.21	0.68
Sociability	**0.72**	0.21	**0.70**	0.15	0.51
Impulsiveness	**-0.67**	0.08	**-0.68**	0.13	0.48
Openness	-0.01	**0.62**	-0.06	**0.62**	0.38
Negative Affect	-0.01	**-0.61**	0.04	**-0.61**	0.37
Proportion of variance	0.32	0.17	0.32	0.17	

Note. $N = 128$. h^2 = communalities. Factors extracted from the factor correlation matrix from Table 2 using a maximum likelihood estimation and rotated using the promax and varimax procedures. The Pro-Social factor for both structures were reflected, that is, the loadings were multiplied by -1. The promax-rotated factors correlated -0.15. Factor loadings greater than or equal to |0.4| are in bold.

Table 4

Results from Factor Analysis of the Subjective Well-Being Scale

Item	Loading	h^2
Be marmoset	0.98	0.97
Balance of moods	0.86	0.73
Ability to achieve goals	0.82	0.67
Pleasure from social interactions	0.55	0.30

Note. $N = 128$. h^2 = communalities.

Table 5

Correlations Between Unit-Weighted Factor Scores Based on Factor Loadings in the Present

Study and Factor Loadings from Three Previous Studies of Common Marmoset Personality

		95% Confidence Interval	
	r	Lower Bound	Upper Bound
Iwanicki and Lehman (2015)			
Extraversion			
Sociability	-0.50	-0.62	-0.36
Dominance	**0.82**	**0.75**	**0.87**
Impulsiveness	0.50	0.36	0.62
Openness	0.54	0.40	0.65
Negative affect	-0.70	-0.78	-0.60
Agreeableness			
Sociability	**0.86**	**0.81**	**0.90**
Dominance	**-0.84**	**-0.88**	**-0.77**
Impulsiveness	-0.76	-0.82	-0.67
Openness	-0.07	-0.24	0.11
Negative affect	0.25	0.08	0.41
Conscientiousness			
Sociability	**0.83**	**0.77**	**0.88**
Dominance	-0.47	-0.59	-0.32
Impulsiveness	-0.63	-0.73	-0.51
Openness	0.00	-0.17	0.18
Negative affect	0.05	-0.13	0.22
Openness			

Sociability	0.11	-0.07	0.28
Dominance	0.34	0.18	0.49
Impulsiveness	0.27	0.10	0.42
Openness	**0.96**	**0.94**	**0.97**
Negative affect	-0.40	-0.53	-0.24

Koski et al. (2017)

Conscientiousness

Sociability	0.62	0.51	0.72
Dominance	**-0.89**	**-0.92**	**-0.84**
Impulsiveness	**-0.84**	**-0.89**	**-0.79**
Openness	-0.39	-0.53	-0.23
Negative affect	0.18	0.01	0.35

Agreeableness

Sociability	**0.95**	**0.92**	**0.96**
Dominance	-0.68	-0.77	-0.58
Impulsiveness	-0.72	-0.79	-0.62
Openness	0.03	-0.14	0.20
Negative affect	0.13	-0.05	0.29

Assertiveness

Sociability	**-0.67**	**-0.76**	**-0.57**
Dominance	**0.67**	**0.57**	**0.76**
Impulsiveness	0.33	0.16	0.47
Openness	0.32	0.15	0.47
Negative affect	**-0.64**	**-0.73**	**-0.53**

Patience

Sociability	**0.74**	**0.65**	**0.81**
Dominance	-0.37	-0.51	-0.21
Impulsiveness	-0.59	-0.69	-0.47
Openness	0.21	0.04	0.37
Negative affect	-0.03	-0.21	0.14

Inquisitiveness

Sociability	0.34	0.18	0.48
Dominance	0.21	0.04	0.37
Impulsiveness	0.17	-0.01	0.33
Openness	**0.82**	**0.76**	**0.87**
Negative affect	-0.56	-0.67	-0.43

Inoue-Murayama et al. (2018)

Dominance

Sociability	-0.71	-0.79	-0.62
Dominance	**0.94**	**0.91**	**0.96**
Impulsiveness	0.83	0.77	0.88
Openness	0.34	0.18	0.49
Negative affect	-0.38	-0.52	-0.22

Sociability

Sociability	**0.93**	**0.90**	**0.95**
Dominance	-0.40	-0.54	-0.25
Impulsiveness	-0.39	-0.52	-0.23
Openness	0.40	0.24	0.54
Negative affect	-0.12	-0.29	0.05

Neuroticism

Sociability	-0.30	-0.45	-0.14
Dominance	-0.03	-0.20	0.14
Impulsiveness	0.35	0.19	0.49
Openness	-0.34	-0.49	-0.18
Negative affect	**0.82**	**0.75**	**0.87**

Note. $N = 128$. The highest correlation between the five factors found in this study and each factor found in a previous study are highlighted in bold. In cases where the confidence intervals of two or more of the highest correlations overlapped, we highlighted both correlations.

Table 6

Correlations Between Unit-Weighted Factor Scores Based on Loadings from the Second-Order Factor Analysis in the Present Study and Factor Loadings from Three Previous Studies of Common Marmoset Personality

		95% Confidence Interval	
	r	Lower Bound	Upper Bound
Iwanicki and Lehman (2015)			
Extraversion			
Pro-sociality	**-0.72**	**-0.80**	**-0.63**
Boldness	**0.73**	**0.64**	**0.80**
Agreeableness			
Pro-sociality	**0.95**	**0.93**	**0.97**
Boldness	-0.18	-0.35	-0.01
Conscientiousness			
Pro-sociality	**0.73**	**0.64**	**0.81**
Boldness	-0.02	-0.20	0.15
Openness			
Pro-sociality	-0.20	-0.36	-0.03
Boldness	**0.83**	**0.76**	**0.87**
Koski et al. (2017)			
Conscientiousness			
Pro-sociality	**0.91**	**0.88**	**0.94**
Boldness	-0.35	-0.49	-0.19
Agreeableness			
Pro-sociality	**0.90**	**0.87**	**0.93**

Boldness	-0.05	-0.22	0.12
Assertiveness			
Pro-sociality	**-0.67**	**-0.76**	**-0.56**
Boldness	**0.56**	**0.43**	**0.67**
Patience			
Pro-sociality	**0.64**	**0.53**	**0.73**
Boldness	0.15	-0.02	0.32
Inquisitiveness			
Pro-sociality	-0.02	-0.19	0.16
Boldness	**0.84**	**0.78**	**0.88**
Inoue-Murayama et al. (2018)			
Dominance			
Pro-sociality	**-0.97**	**-0.98**	**-0.95**
Boldness	0.43	0.27	0.56
Sociability			
Pro-sociality	**0.66**	**0.55**	**0.75**
Boldness	0.32	0.16	0.47
Neuroticism			
Pro-sociality	-0.21	-0.37	-0.04
Boldness	**-0.68**	**-0.76**	**-0.57**

Note. N = 128. The highest correlation between the five factors found in this study and each factor found in a previous study are highlighted in bold. In cases where the confidence intervals of two or more of the highest correlations overlapped, we highlighted both correlations.

Table 7

Correlations Between Subjective Well-Being Scale Items and Factor and Personality Factors

		95% Confidence Interval	
	r	Lower Bound	Upper Bound
Moods			
Sociability	**0.42**	**0.16**	**0.62**
Dominance	-0.18	-0.40	0.06
Impulsiveness	**-0.27**	**-0.50**	**-0.02**
Openness	**0.38**	**0.12**	**0.59**
Negative affect	-0.14	-0.34	0.08
Pro-sociality	**0.33**	**0.07**	**0.55**
Boldness	**0.32**	**0.05**	**0.54**
Social			
Sociability	**0.53**	**0.30**	**0.71**
Dominance	-0.22	-0.45	0.03
Impulsiveness	-0.24	-0.47	0.01
Openness	0.14	-0.08	0.35
Negative affect	-0.11	-0.30	0.09
Pro-sociality	**0.38**	**0.12**	**0.59**
Boldness	0.15	-0.08	0.36
Goals			
Sociability	**0.39**	**0.13**	**0.60**
Dominance	-0.08	-0.25	0.10
Impulsiveness	-0.22	-0.44	0.03
Openness	0.25	-0.01	0.47

Negative affect	-0.22	-0.44	0.03
Pro-sociality	**0.26**	**0.00**	**0.48**
Boldness	**0.28**	**0.02**	**0.51**
Be marmoset			
Sociability	**0.45**	**0.20**	**0.64**
Dominance	-0.18	-0.39	0.06
Impulsiveness	**-0.28**	**-0.50**	**-0.02**
Openness	**0.33**	**0.07**	**0.55**
Negative affect	**-0.27**	**-0.49**	**-0.01**
Pro-sociality	**0.34**	**0.08**	**0.56**
Boldness	**0.36**	**0.10**	**0.57**
Subjective well-being			
Sociability	**0.53**	**0.30**	**0.70**
Dominance	-0.19	-0.41	0.05
Impulsiveness	**-0.30**	**-0.52**	**-0.04**
Openness	**0.32**	**0.06**	**0.54**
Negative affect	-0.21	-0.43	0.03
Pro-sociality	**0.39**	**0.13**	**0.60**
Boldness	**0.32**	**0.06**	**0.54**

Note. $N = 128$. Estimates in bold are significant at $p < .05$.

Figure 1

Locations of the Single Nucleotide Polymorphisms on the Single Exon of the Common

Marmoset Serotonin Receptor 1a Gene

Note. The shaded region corresponds to the third intracellular region