

Personality, Subjective Well-Being, and the Serotonin 1a Receptor Gene in Common Marmosets (*Callithrix jacchus*)

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1 **Abstract**

2 In a previous study of laboratory housed common marmosets (*Callithrix jacchus*), we found
3 that correlations among personality traits indicated the presence of factors that we labeled
4 Dominance, Sociability, and a Neuroticism. Unlike two other studies of this species, we did
5 not find a Conscientiousness, Openness, or Patience factor. Because this discrepancy may
6 have been attributable to the fact that many purported markers of Conscientiousness were
7 excluded because of concerns about reliability, we followed up this study by increasing the
8 sample size from 77 to 128. In addition to this, as we did in our previous study, we gathered
9 data on subjective well-being in these subjects. We also investigated polymorphisms related
10 to the serotonin 1a receptor. We found three personality factors—Sociability, Dominance,
11 and Negative Affect—like those found in our previous study and in other studies of this
12 species. We also found an Openness factor and a factor that we labeled “Impulsiveness”,
13 which resembled, but was not identical to, Conscientiousness. In addition, there was evidence
14 for two higher-order factors: Pro-sociality and Boldness. Further analyses could not rule out
15 the possibility that the higher-order factors represented a higher-level of personality
16 organization. Correlations between the first- and higher-order factors and the subjective well-
17 being measures were consistent with the definitions of the factors. There were no significant
18 associations between personality and genotype. These results are consistent with the
19 possibility that, perhaps because common marmosets are cooperative breeders, personality
20 structure in this species is more labile than in other nonhuman primates and in humans.

21 *Keywords:* behavioral characters, behavioral syndrome, callitrichid, rating, temperament

22 **Introduction**

23 Common marmosets (*Callithrix jacchus*) are small New-World monkeys found in
24 South America where they inhabit a wide range of habitats [1, 2]. Because of their small size,
25 fast life history, and other physical and physiological characteristics, common marmosets are
26 becoming an increasingly popular animal model in biomedical research [3], although some
27 [e.g., 4] have highlighted the shortcomings of marmoset models.

28 Common marmosets are also becoming popular subjects for research on cognition and
29 personality. This trend has been driven partly by findings that common marmosets display
30 behaviors and capabilities once believed to be exclusive to humans and great apes. Common
31 marmosets, for example, exhibit high levels of spontaneous cooperative behavior [5, 6] and
32 can discriminate between third parties that do and do not reciprocate [7]. These capabilities,
33 and others, are believed to have evolved in common marmosets because they, like other
34 callitrichids, but unlike other nonhuman primates, are cooperative breeders [see 8 for a
35 review]. In species that are cooperative breeders, rather than disperse and mate, the adult
36 siblings and offspring of mating pairs often stay within the family unit to help raise offspring,
37 and so delay or forego reproduction [9].

38 Studies of common marmosets have revealed the presence of stable personality traits
39 [10, 11], although one study found that these traits can be modified via social facilitation or
40 social group effects [12]. Studies have also found that personality traits in common
41 marmosets are heritable and related to well-being [13], associated with the strength of
42 laterality [14], and the binding potential of serotonin transporters in the brain [15]. Moreover,
43 different methods, namely those based on behavioral observations and ratings, show evidence
44 of convergent and discriminant validity in that they are correlated when both assess the same
45 psychology construct, and uncorrelated when they do not, respectively [16, 17]. However, at

46 least one study has found that evidence for convergent and discriminant validity is not
47 consistent across different samples [16].

48 One area of personality research to which studies of common marmosets have
49 contributed is the attempt to reconstruct the evolutionary history of personality structure
50 using the comparative method [18, 19]. Personality structure refers to the fact that statistical
51 methods, including factor analysis and principal components analysis [20], but also others
52 [e.g., 21], reveal that individual traits group into higher-order factors, which represent
53 personality *domains*. For example, in humans, factor analysis has shown that traits such as
54 ‘fearful’, ‘vulnerable’ and ‘anxious’ describe the Neuroticism domain while traits such as
55 ‘active’, ‘social’, and ‘assertive’ describe the Extraversion domain [22, 23].

56 Like findings in other nonhuman primate taxa, including *Macaca* [24], *Pan* [25],
57 *Saimiri* [26], *Sapajus* and *Cebus* [27-29], and other Callitrichids [30], four studies of common
58 marmosets [13, 17, 31, 32] have yielded findings consistent with the notion that that the
59 socioecology of a species influences that species’ personality structure [see 33 for a
60 discussion]. Despite differences in the origins and housing of subjects, and in how personality
61 was measured, the five sets of data from these four studies revealed overlapping personality
62 domains (see Figure 1): all five revealed domains related to sociability [13, 17, 31, 32]; four
63 revealed domains related to aggressiveness and competitive prowess [13, 17, 31, 32]; three
64 revealed domains related to anxiety and vigilance [13, 17, 32]; two revealed domains related
65 to exploratory tendencies [17, 31]; and two revealed domains related to self-control [17, 31].
66 In addition, two of these studies found a domain—Perceptual Sensitivity [17] and Patience
67 [31]—that had not been found in other species.

68 The most striking finding from these studies common marmoset personality is that,
69 although many primate and non-primate species exhibit individual differences in traits related
70 to self-control [34, 35], these traits formed one or two broad domains. Similar domains had

71 only been found previously in humans *Homo sapiens* [e.g., 36], chimpanzees *Pan troglodytes*
72 [37-42], bonobos *Pan paniscus* [25], and brown capuchin monkeys *Sapajus apella* [28], all of
73 which are known for having larger brains. Consequently, the findings in common marmosets
74 led the researchers to conclude that the cognitive and behavioral demands associated with
75 cooperative breeding may have led to the evolution of these domains in a small-brained
76 primate [31].

77 The results from the four studies of common marmosets, however, were not entirely
78 consistent. Specifically, although they found evidence that marmosets possess up to seven
79 domains, they varied with respect to which subset of these domains they found. This variation
80 may be attributable to the fact that, besides perhaps from one study [31], these studies did not
81 sample enough traits or individuals to capture all the ways in which individuals may differ in
82 their personality.

83 To address whether this was the case, we followed up our earlier study of personality
84 in 77 (68 male and 9 female) common marmosets housed at the Kobe, Japan campus of the
85 Institute of Physical and Chemical Research (RIKEN) [13]. In that study, we did not find a
86 Conscientiousness, Patience, or, for that matter, an Openness domain. One reason why we
87 may not have found those domains is that several items related to these domains were
88 removed because they had interrater reliability estimates that were less than zero [13]. This
89 can happen if there is not enough between-subjects variance in traits or a large amount of
90 error variance [43].

91 A low level of between-subjects variance may come about when unmeasured
92 influences, for example in how animals are housed or bred, overwhelm or make it difficult to
93 observe or perceive individual differences in one or more traits. However, a study that
94 compared common marmosets that lived in a laboratory to those that lived in the wild [44]

95 suggests that this does not happen. A low level of between-subjects variance may also come
96 about because the personalities of individuals conform to that of their group [12].

97 In the present study, we attempted to rule out the possibility that low between-subjects
98 variance was responsible for the inconsistency between our previous study that only found
99 evidence for three domains—Dominance, Sociability, and Neuroticism—and the studies that
100 found additional domains, including Conscientiousness. We therefore increased the between-
101 subjects variance in the RIKEN sample by increasing the sample size by approximately two-
102 thirds, which also led to our nearly doubling the ratio of females to males.

103 In addition to trying to find these additional domains in the common marmosets
104 housed at RIKEN, we examined associations between any personality domains that we
105 identified and a measure of subjective well-being. Previous studies in humans [45, 46] and in
106 nonhuman primate species, including chimpanzees [40, 47, 48], orangutans *Pongo* spp. [49],
107 rhesus macaques *Macaca mulatta* [50], brown capuchin monkeys [51], and common
108 marmosets [13], have shown a consistent pattern of relationships between personality and
109 measures of well-being or welfare. Specifically, personality domains associated with
110 gregariousness, assertiveness, activity, and other traits associated with Extraversion [52] were
111 related to higher subjective well-being and personality domains made up of traits associated
112 with vigilance, fearfulness, anxiety, and other traits associated with Neuroticism [53] were
113 associated with lower subjective well-being. By testing for associations between the
114 personality domains and subjective well-being in this study, then, we could assess the degree
115 to which the personality domains we find are measures of distinct psychological constructs.

116 Finally, we tested whether a set of genetic polymorphisms were associated with
117 personality. A previous study found that lower Dominance and lower Neuroticism in
118 common marmosets were both associated with the AA genotype of the μ -opioid receptor
119 gene; lower Neuroticism was additionally associated with the short form of the arginine

120 vasopressin receptor 1A gene [13]. For the present study we focused on single nucleotide
121 polymorphisms (SNPs) of the serotonin receptor 1a gene. A study of chimpanzees identified
122 a SNP (rs25209664: C743A) that caused a proline to glutamine substitution at the 248th
123 amino acid of the serotonin receptor 1a gene. This polymorphism was associated with
124 aggression and sociability: chimpanzees who possessed two C alleles engaged in less social
125 grooming and were rated as more anxious [54]. This study also found evidence for some
126 interactions: males with the CC genotype displayed more often and, of chimpanzees with the
127 AC genotype, mid-ranking individuals had lower proximity scores [54].

128 **Method**

129 **Subjects**

130 Subjects were 128 common marmosets (99 males, 29 females) that ranged in age from
131 1.6 to 15.1 (mean = 4.8, SD = 2.7). Subjects were recruited in three waves. The 77 subjects
132 from the first wave had taken part in a similar previous study [13] and included 68 males and
133 9 females ranging in age from 1.5 to 15.1 years (mean=6.0, SD=2.6). Subjects from the
134 second and third waves were born at RIKEN. The 24 subjects from the second wave included
135 17 males and 7 females ranging in age from 1.7 to 4.5 years (mean = 2.6, SD = 0.7) and the
136 27 subjects from the third wave included 14 males and 13 females ranging in age from 2.0 to
137 4.9 years (mean = 3.0, SD = 0.8).

138 **Animal Housing and Husbandry**

139 Subjects were housed in the RIKEN Center for Biosystems Dynamics Research in
140 Kobe, Japan. One hundred and twelve subjects were born at the center, six were supplied by
141 CLEA Japan Inc. (Tokyo, Japan), and 10 were supplied by Japan Wild Animal Laboratory
142 Limited (Amami, Japan). Subjects sourced from other facilities had lived in the center for at
143 least three years prior to this study.

144 At RIKEN, subjects were housed in breeding rooms that had a 12 h light-dark cycle
145 (light: 08:00–20:00). Enclosures (1630 × 760 × 831 mm for families, 660 × 650 × 600 or 660
146 × 450 × 600 mm for pairs or individuals) had wooden perches, a plastic cube-shaped shelter,
147 a food tray, and a water dispenser. There were around twenty cages in each breeding room
148 and so even if animals were individually housed, they were exposed to visual, auditory, and
149 olfactory stimulation from conspecifics. The temperature and humidity in the breeding room
150 were maintained at approximately 28°C and 50%, respectively. In the morning and afternoon,
151 subjects received solid food (CMS-1, CLEA Japan, Inc., Tokyo, Japan) mixed with an
152 appropriate amount of water to soften it, powdered milk formula, honey, gluconic acid,
153 calcium, vitamin C, and lactobacillus probiotic. Food in the afternoon was softened into a
154 paste by soaking it in water and then stirring it. Once a week subjects' diets were
155 supplemented with chopped and boiled eggs or bananas.

156 **Animal Rearing**

157 Animals reared by their parents and/or their family members, including one to five
158 older brothers or sisters, were reared in family cages. At around 14 days after birth, when
159 they were still infants, these subjects were fed a food paste in the afternoon. When these
160 individuals were between 6 and 15 months old, to ensure that they were provided with the
161 required amount of space, they were transferred from their family cage to a home cage (0.21
162 to 0.43m² floor space per animal). Animals living in these home cages were same-sex,
163 mixed-age peers. Individuals that were to be used in brain imaging [55] or in behavioral
164 studies, and individuals that did not get along with their partners, were single housed.

165 Animals that were not reared by their parents, for example, in the event of a triplet
166 birth or parental neglect, were hand-reared in climate-controlled rearing cages by human
167 caregivers. This procedure has been described elsewhere [13]. In short, these animals were
168 housed in a thermal insulation box and a towel roll from one day to 21 days after birth. Then,

169 from 21 days after birth to weaning, these animals were housed in a wire-mesh box sized 390
170 \times 230 \times 300 mm furnished with a hammock, perches, a towel roll, a feeding dish, and a water
171 bottle. These animals were breastfed on the day of their birth and then bottle-fed until
172 weaning. A food paste was introduced at around 28 days and then animals were weaned fully
173 50 to 70 days after birth. After weaning, these animals were housed in a home cage with
174 peers or individually in the breeding room.

175 Of the 77 subjects from the first wave, 30, including 23 parent-reared and seven hand-
176 reared subjects, were housed in a family group ($n = 13$) or with same-sex peers ($n = 17$). The
177 remaining 47 subjects, including 33 that were parent-reared, 13 that were hand-reared, and
178 one with an unknown rearing history, were single-housed. Of the 24 subjects from the second
179 wave, 22, including 18 that were parent-reared, 3 that were hand-reared, and one with an
180 unknown rearing history, were housed in a family group ($n = 7$), with an opposite sex
181 marmoset for breeding ($n = 2$), or with same-sex peers ($n = 13$). The remaining two subjects
182 from the second wave were parent-reared and single-housed. Of the 27 subjects from the
183 third wave, 25 parent-reared subjects and one hand-reared subject were housed in a family
184 group ($n = 1$), with an opposite-sex marmoset for breeding ($n = 4$), with same-sex peers ($n =$
185 19), or single-housed ($n = 2$). The remaining subject was parent-reared and single-housed.

186 **Ratings**

187 *Questionnaires*

188 **Personality.** To assess personality, we used the Hominoid Personality Questionnaire
189 (HPQ).¹ Each of the HPQ's 54 items consists of a trait adjective paired with one to three
190 sentences that set the adjective in the context of primate behavior. For example,
191 “**FEARFUL**” (boldface and capitals in the original) is paired with the descriptor sentence
192 “Subject reacts excessively to real or imagined threats by displaying behaviors such as

¹ The HPQ can be obtained at <https://extras.springer.com/2011/978-1-4614-0176-6.zip>

193 screaming, grimacing, running away or other signs of anxiety or distress.” The HPQ’s
194 instructions ask raters to **a**) judge the standing of each animal on each trait based on the
195 animal’s behavior and interactions with others, and the rater’s own judgement of what
196 constitutes average behavior for this species, **b**) assign a rating of 1 (“Displays either total
197 absence or negligible amounts of the trait.”) to 7 (“Displays extremely large amounts of the
198 trait.”) to each item, and **c**) not discuss their ratings with their fellow raters.

199 A description of the HPQ’s development can be found elsewhere [56]. Briefly, the
200 HPQ grew out the 48-item Orangutan Personality Questionnaire [49], which grew out of the
201 43-item Chimpanzee Personality Questionnaire [39]. Forty-one of the HPQ’s 54 items were
202 sampled from Goldberg’s [57] trait terms of the five major domains of human personality
203 [39]. The remaining 13 items were adapted from items [58] or facets [59] from other human
204 personality inventories, or were created for by the authors of these instruments [39, 40, 49].

205 For this study, we used a version of the HPQ that had been translated into Japanese
206 using a back-translation procedure. A study of chimpanzees revealed that the translation did
207 not affect the HPQ’s psychometric properties [40].

208 **Subjective Well-Being.** Ratings were made on a four-item scale that was based on a
209 questionnaire used to measure subjective well-being in captive chimpanzees [47]. Each item
210 was devised to assess a different concept of subjective well-being that had been described in
211 the human literature [47, 60-64]. The first item (moods) concerned the extent to which an
212 individual experienced positive versus negative affect. The second item (social) concerned
213 whether the individual experienced pleasure from social interactions. The third item (goals)
214 concerned whether the individual was able to achieve its goals, bearing in mind that different
215 individuals may have different, personal goals. The fourth item (be marmoset) asked raters
216 how “happy” they would be if they were that marmoset for a week and was thus meant to
217 measure global satisfaction. The subjective well-being scale’s instructions asked raters to

218 assign a rating of 1 (“Displays either total absence or negligible amounts of the trait or
219 state.”) to 7 (“Displays extremely large amounts of the trait.”) to each item. The instructions
220 also request that raters do not discuss their ratings.

221 For this study, we used a version of the subjective well-being questionnaire that had
222 been translated into Japanese using a back-translation procedure. A study of chimpanzees
223 revealed that the translation did not affect this questionnaire’s psychometric properties [40].

224 ***Raters and Ratings***

225 We asked three keepers (two men and one woman) who had completed the
226 questionnaires in the first wave of data collection [see 13 for details] completed the
227 questionnaires for the second and third wave of data collection. The keepers did not know the
228 results of the previous study or the purpose of collecting the data. The keepers had known the
229 subjects they rated for 1.1 to 9.8 years (mean = 3.7 years, SD = 2.2). Two keepers (one man
230 and one woman) rated all 128 subjects and the third rated 81 subjects. This resulted in a total
231 of 337 ratings or an average of 2.63 ratings per subject. There were no missing ratings data.

232 **Genotyping**

233 A buccal swab was taken from each subject and kept in a 90% ethanol solution until
234 DNA extraction. DNA was extracted by DNeasy Blood and Tissue kit (Qiagen, CA, USA).
235 PCR amplification was conducted in a 10 µl (the total volume) reaction mixture containing
236 10ng of DNA template, 0.4 µM of each primer (forward: 5’-tggattcccttcccgaaa-3’, reverse:
237 5’-aggtgttgattccctagggt-3’), 0.5U of LA Taq DNA polymerase, 400 µM of dNTPs, and GC
238 buffer I (TaKaRa, Shiga, Japan). After denaturing DNA samples at 95°C for 1 min, we set up
239 40 cycles of 95°C for 30 seconds, 60°C for 30 seconds, 74°C for 1 minute, and a final
240 extension at 74°C for 10 minutes. A total of 1,473 base pair fragments including whole single
241 exon region were amplified. We then sequenced the polymerase chain reaction products, both
242 forwards and backwards, using 3130xl Genetic Analyzer (Applied Biosystems, CA, USA).

243 The internal primer 5'-tcatgctggttctctatggg-3' was also used for sequencing. Primers were
244 designed based on the NCBI Reference Sequence NC_013897. In the end, we identified three
245 novel SNPs (G840C, G841A, and T901A) in the third intracellular region of the receptor (see
246 Figures 2 and 3). G840C was a synonymous SNP coding alanine at the 280th amino acid
247 sequence, G841A was a nonsynonymous SNP that caused a methionine substitution at the
248 281st amino acid sequence, and T901A was a nonsynonymous SNP that caused a serine to
249 threonine substitution at the 301st amino acid sequence.

250 **Analyses**

251 We conducted the analyses using version 3.6.3 of R [65]. We used functions from
252 version 1.9.12 of the psych package [66], version 1.0.7 of the EFA.MRFA package [67], and
253 some custom functions.

254 ***Item Interrater Reliabilities***

255 We used a custom function in R to compute the interrater reliabilities of the HPQ and
256 subjective well-being questionnaire items. This function computed two intraclass correlations
257 (*ICCs*) described by Shrout and Fleiss (43). The first, *ICC*(3,1), indicates the reliability of
258 individual ratings, that is, it is an estimate of the reliability of the rating from a single rater.
259 The second, *ICC*(3,*k*), indicates the reliability of the mean rating coming from *k* raters, which
260 was equal to 2.63 in the present study. We excluded items that had reliabilities that were not
261 greater than zero [see 56 for a discussion].

262 ***Exploratory Factor Analyses***

263 **Personality.** We conducted these analyses on the aggregate (mean) of personality
264 ratings for the 128 subjects. Simulation studies [68-70] have shown that the number of
265 subjects required for satisfactory recovery of factors is a function of item communalities, item
266 loadings, and the item:factor ratio, and that the subject:item ratio is irrelevant. Previous
267 rating-based studies of common marmoset personality [13, 17, 31] have found that between

268 72% and 97% of questionnaire items were reliable, a total of three to five factors, median
269 salient loadings of around .6 or .7, and communalities that were between wide and high [see
270 ref 69 for definitions of these types of communalities]. As such, we have a large enough
271 sample size to conduct factor analyses on these data.

272 Before extracting factors using the maximum likelihood procedure, we determined
273 how many factors to extract. To do so, we used the `fa.parallel` function from the `psych`
274 package to generate a scree plot, which we inspected, and to conduct a parallel analysis [71]
275 in which we compared eigenvalues from a principal components analysis of our data to the
276 distribution of 1000 eigenvalues generated from principal components analysis of resampled
277 and randomly generated data. We used principal components analysis for our parallel
278 analysis because a recent study showed that the number of dimensions identified in this
279 manner is more accurate [72]. In addition, we used the `VSS` function from the `psych` package
280 to determine, for one to eight factor solutions, which had the lowest Bayesian Information
281 Criterion [BIC; 73], and the `hulleFA` function from the `EFA.MRFA` package to determine the
282 number of factors via the Hull method [74], which is known to perform well with personality
283 data [75]. Finally, we inspected the factors obtained to ensure that they were interpretable.

284 After we extracted factors using the `fa` function from the `psych` package, we applied
285 an oblique (promax) and an orthogonal (varimax) rotation. If the promax rotation yielded
286 factors that were strongly correlated and/or a different structure, we retained and interpreted
287 those factors. Otherwise, we retained and interpreted the varimax-rotated factors.

288 In interpreting the factors, we specified that salient loadings were those equal to or
289 greater than |0.4|. We labeled factors based on our interpretations of them and attempted to
290 find suitable labels from previous findings in common marmosets, and, if none were
291 available, from studies of nonhuman primates and humans. If we could not find a label from
292 these sources, we devised our own.

293 In addition to conducting this first-order factor analysis, we found evidence (results to
294 be discussed) suggesting that there may be second-order personality factors underlying these
295 data. We thus conducted an exploratory factor analyses of the inerfactor correlation (Φ)
296 matrix obtained from the promax-rotated factors. For the same reason, we conducted an
297 additional item-level factor analysis as a robustness check.

298 **Subjective Well-Being.** We conducted a maximum likelihood factor analysis using
299 the fa function on aggregated (mean) ratings for all 128 subjects. Previous work in 77 of
300 these subjects revealed a single factor [13].

301 *Unit-Weighted Factor Scores*

302 For the remaining analyses, we used a custom R function to compute unit-weighted
303 factor scores [20, 76] for the personality and subjective well-being data. This involved, for
304 each item, finding the largest salient factor loading. If that loading was positive, we assigned
305 it a weight of +1. If that loading was negative, we assigned it a weight of -1. In all other
306 cases, we assigned a weight of zero. After We then summed the weighted item ratings.

307 *Factor Reliabilities*

308 For the first- and second-order personality factors, and for subjective well-being, we
309 used the same custom function to compute Shrout and Fleiss's $ICC(3,1)$ and $ICC(3,k)$ for the
310 items to compute these $ICCs$. As with the item-level analyses, k was equal to 2.63. In
311 addition, we used the alpha function from the psych package to compute Cronbach's alpha
312 (α), a measure of the internal consistency reliability of a scale, and the omega function to
313 compute McDonald's omega hierarchical (ω_h), a measure of the degree to which a general
314 factor saturates a scale's items.

315 *Personality Factor Comparisons*

316 To compare the first- and second-order factors to factors found in previous studies of
317 common marmoset personality [13, 17, 31], we first generated unit-weighted factor scores

318 based on the personality structures described in these other studies. Because there was not a
319 total overlap of questionnaire items across these studies, we sometimes had to substitute
320 items that were similar in meaning or in the constructs that they purportedly assessed. Details
321 about how these scores were created can be found in Table S1. After computing these unit-
322 weighted factor scores, we obtained correlations between the scores based on the factor
323 loadings from the present study and the scores based on component and factor loadings from
324 previous studies. We compared the absolute magnitudes of these correlations and highlighted
325 the highest correlation or, in the case where the confidence intervals of two or more
326 correlations overlapped, highest correlations.

327 *Personality-Subjective Well-Being Associations*

328 We used Pearson correlation coefficients to examine associations between the first-
329 and second-order personality factors and, both, the subjective well-being items and the total
330 of these items. We used Holm's method [77] to adjust for familywise error rates.

331 *Genetic Associations*

332 To examine the genotype-personality associations, for the first- and second-order
333 personality factors, we fit linear models using the lm function. For these analyses, we
334 standardized the personality factor scores (mean = 0, SD = 1). The variables in the models
335 included sex (male = 1, female = 0), age in years, and a categorical variable that indicated
336 genotype. Because there were problems with genotyping four subjects, these individuals were
337 excluded from the analyses. In addition, the G840C genotypes for two subjects and T901A
338 genotype for one subject were unclear, and so these individuals were not included in tests of
339 associations between personality and the G840C and T901A genotype, respectively. Finally,
340 only one subject had the AA version of G841A and only nine subjects had the GA version of
341 this genotype. We therefore did not examine associations between these genotypes and
342 personality.

343 Although subjects were related, we did not test for the effect of genotypes within the
344 context of an animal model [cf. 13]. Moreover, because we conducted multiple, sometimes
345 non-independent, tests, we used the Bonferroni correction to adjust for familywise error rates.

346 **Ethics**

347 This study complied with the current laws of Japan, including the Act on Welfare and
348 Management of Animals. All experimental and husbandry procedures were performed in
349 accordance with RIKEN's Guidelines for Conducting Animal Experiments, and in
350 accordance with the ARRIVE (Animal Research: Reporting of In Vivo Experiments)
351 guidelines. All procedures were approved by the Animal Care and Use Committee of the
352 Kobe Institute of RIKEN (MA2009-10-16).

353 **Results**

354 **Interrater Reliabilities of Items**

355 *Personality*

356 The interrater reliabilities of the 54 HPQ items are presented in Table 1. The
357 reliabilities of individual ratings and of mean ratings for the items 'anxious', 'persistent',
358 'quitting', and 'unperceptive' were negative, and so we excluded these items from further
359 analyses. Although the reliability of mean ratings for the items 'innovative' and 'decisive'
360 were equal to 0.01, the reliabilities of individual ratings for these items were less than 0.01,
361 and so we decided to exclude those items from further analyses.

362 Of the remaining 48 items, the interrater reliabilities of individual ratings ranged from
363 0.02 ('inventive') to 0.45 ('sociable'). The mean and standard deviation for these estimates
364 were 0.21 and 0.11, respectively. The interrater reliabilities of mean ratings for the remaining
365 items ranged from 0.06 ('inventive') to 0.68 ('sociable'). The mean and standard deviation
366 for these estimates were 0.40 and 0.16, respectively.

367 *Subjective Well-Being*

368 The interrater reliabilities of individual ratings were 0.16, 0.10, 0.15, and 0.14 for the
369 moods, social, goals, and global well-being items, respectively. The corresponding
370 reliabilities of mean ratings were 0.33, 0.23, 0.32, and 0.30.

371 **Maximum Likelihood Exploratory Factor Analyses**

372 *Personality*

373 **First-Order Analysis.** The scree plot (see Figure S1) and parallel analysis indicated
374 that there were five factors. The Hull method (see Figure S2) also indicated that there were
375 five factors, and BIC achieved a minimum with five factors. We therefore extracted five
376 factors.

377 A promax rotation of the five-factor solution yielded two interfactor correlations that
378 were large ($r_s \geq |0.5|$) and two that were medium-sized ($r_s \geq |0.3|$). The mean and standard
379 deviation of the absolute interfactor correlations were 0.26 and 0.20, respectively.

380 Comparison of the varimax- and promax-rotated factors revealed that the congruence
381 coefficients for two factors fell below 0.95 (see Table S2) and an inspection of the loadings
382 indicated that the promax-rotated factors differed some from their varimax-rotated
383 counterparts. Given these results, we interpreted the promax-rotated factors (see Table 2),
384 which explained 63% of the variance (the varimax-rotated factors are presented in Table S3).

385 The first factor loaded on items related to high Extraversion and high Agreeableness
386 in humans [e.g., 57]. This factor resembled the Sociability factor in one study [13] and the
387 Agreeableness factor from two other studies [17, 31] of common marmosets.

388 The second factor loaded on items related to high Extraversion and low
389 Agreeableness in humans [e.g., 57]. Compared to other studies of common marmosets, it is
390 best described as a narrow version of factors labeled Dominance [13], Extraversion [17], and
391 Assertiveness [31]. To be consistent with a prior study of a subset of these subjects [13], we
392 labeled this factor Dominance.

393 The third factor had positive loadings on items related to high Neuroticism and low
394 Conscientiousness in humans, and also negative loadings on items related to low Neuroticism
395 and high Conscientiousness [e.g., 57]. In a previous study of a subsample of these animals
396 [13], the Dominance and Neuroticism factor loaded on some of these items. Compared to
397 other studies of common marmosets, it resembled most closely the factors labeled
398 Conscientiousness and Patience in one study [31] and the Conscientiousness factor in another
399 [17]. Humans that are high in Neuroticism and low in Conscientiousness are described as
400 exhibiting an undercontrolled style of impulse control [78]. We thus labeled this factor
401 Impulsiveness.

402 With the exception of a negative loading on the item cautious, the fourth factor loaded
403 primarily on items related to high Openness in humans [e.g., 57]. Previous studies of
404 common marmosets have labeled factor such as these Openness [17] and Inquisitiveness [31].
405 We therefore labeled this factor Openness.

406 The fifth factor loaded on items related to low Extraversion and high Neuroticism in
407 humans [e.g., 57]. In the previous study of a subset of these animals [13], Neuroticism had a
408 positive loading on many of these items. In all three previous studies of this species, factors
409 such as Dominance, Assertiveness, and Conscientiousness had negative loadings on these
410 items [13, 17, 31]. Given that this factor combined aspects of high Neuroticism and low
411 degrees of Assertiveness or social prowess, we labeled it Negative Affect.

412 **Second-Order Analysis.** Because there were several non-negligible correlations
413 between the just-described factors, we factor analyzed the factor intercorrelation (Phi) matrix.
414 This enabled us to test whether there were any second-order factors.

415 Inspection of the scree plot (see Figure S3) and parallel analysis indicated that there
416 were two factors; BIC was lowest for the two-factor solution.² We also tried to extract a
417 single ‘general’ factor, but this solution exhibited poor fit (root mean square of the residuals
418 = 0.14), and the factor did not have a salient loading on Openness or on Negative Affect. A
419 promax rotation of the two-factor solution indicated that they were close to being orthogonal,
420 and the loadings of the varimax-rotated factors were nearly identical to those of the promax-
421 rotated factors (see Table 3). We therefore interpreted the varimax-rotated factors, which
422 accounted for 49% of the variance. After reflecting (multiplying loadings by -1) the first
423 factor, it had a positive loading on Sociability and a negative loading on both Dominance and
424 Impulsiveness. We thus labeled this factor Pro-sociality. The second factor had a positive
425 loading on Openness and a negative loading on Negative Affect. We thus labeled this factor
426 Boldness.

427 **Robustness Checks.** Previous studies of common marmosets did not find evidence
428 higher-order factors, that is, interfactor correlations tended to be modest [13, 17, 31].
429 Therefore, to investigate these findings further, we conducted two robustness checks.

430 The first check was to test whether the higher-order factors reflected the structuring of
431 data collection. Specifically, because different subjects were rated in each wave, this may
432 have led raters to rate the subjects belonging to each wave as resembling one another more
433 than they did subjects in other waves. To test this, we residualized the 48 reliable items on a
434 categorical variable that represented whether the subject was rated in the first, second, or
435 third wave. We then factor analyzed the residualized item scores.

436 Inspection of the scree plot (see Figure S4) suggested that there were four or five
437 factors and parallel analysis indicated that there were four factors. The Hull test indicated that

² We did not conduct a Hull test because the hullIEFA function cannot be used to examine correlation matrices.

438 there were two factors (see Figure S5). The BIC was lowest for a five-factor solution. We
439 therefore examined promax-rotations after extracting two, four, and then five factors.

440 For the two-factor solution (see Table S4), the first factor loaded predominantly on
441 items onto which the factors Sociability, Dominance, and Impulsiveness had loaded. It
442 therefore resembled the higher-order factor Pro-sociality. The second factor loaded
443 predominantly on items onto which the factors Openness and Negative Affect had loaded. It
444 therefore resembled the higher-order factor Boldness. For the four-factor solution (see Table
445 S5), the first, third, and fourth factors resembled Sociability, Negative Affect, and Openness.
446 The second factor loaded predominantly on items related to high Dominance and high
447 Impulsiveness. The five-factor solution yielded five factors that resembled the five factors
448 that has been found earlier (see Table S6).

449 The similarity, as indicated by Tucker's congruence coefficients, between the five
450 factors obtained before and after item scores were residualized were equal to or greater than
451 0.98, suggesting that these were the same factors (see Table S7). Factor analysis of the
452 residualized item scores, then, revealed either the same structure (the five-factor solution) or
453 structures in which there were stronger associations between factors (the two- and four-factor
454 solutions). These results are not consistent with the possibility that the higher-order factors
455 reflect the fact that we collected these data in three stages.

456 The second check was to test whether the second-order factors are general evaluative
457 factors used by raters [cf. 79]. To do so, we factor analyzed ratings from each of the three
458 raters separately. We also factor analyzed a weighted correlation matrix from which removed
459 possible effects of raters:

$$\mathbf{R}_w = \frac{1}{N} \sum_{i=1}^k \mathbf{R}_i n_i$$

460 where \mathbf{R}_w , the weighted correlation matrix, is the sum of the products of the correlation
461 matrices of each of $k = 3$ raters, \mathbf{R}_i , and the subjects, n_i rated by that individual rater, divided
462 by the total number of subjects, N .

463 For ratings from one keeper who rated all the subjects, the scree plot (see Figure S6),
464 parallel analysis, BIC, and Hull method (see Figure S7) indicated that there were five factors.
465 We thus extracted five factors and subjected them to a promax rotation (see Table S8). The
466 factors resembled those obtained in the initial factor analysis and the interfactor correlations
467 were similar in magnitude. We then conducted a second-order factor analysis in which we
468 forced a two-factor solution. Although one second-order factor just missed our criterion for a
469 salient loading on a first-order factor, these factors resembled Pro-sociality (reversed) and
470 Boldness (see Table S9).

471 For ratings from the second keeper who rated all the subjects, the scree plot (see
472 Figure S8) indicated that there were five factors and parallel analysis, the BIC, and the Hull
473 method (see Figure S9) indicated that there were four factors. We thus extracted four factors
474 and subjected them to a promax rotation (see Table S10). The first factor appeared to be a
475 Dominance versus Agreeableness, the third factor was Gregariousness (a narrow facet of
476 Extraversion), and the last two factors were difficult to interpret. The interfactor correlations
477 were not consistent with there being a second-order factor.

478 For ratings from the keeper who rated 81 subjects, the scree plot (see Figure S10) and
479 parallel analysis, the BIC, and Hull method (see Figure S11) indicated that there were three
480 factors. We thus extracted three factors and subjected them to a promax rotation (see Table
481 S11). These factors included Agreeableness versus Dominance, Extraversion, and Negative
482 Affect/Impulsiveness, respectively.

483 For the weighted correlation matrix, the scree plot indicated that there were five
484 factors (see Figure S12) and both the parallel analysis, and the BIC indicated that there were

485 three factors. We thus extracted three factors and subjected them to a promax rotation (see
486 Table S12). The first and third factors loaded on many of the traits that belonged to the
487 factors that made up the second-order Pro-sociality and Boldness domains, respectively. The
488 second factor was an Impulsiveness factor. The correlation between the first and third factors
489 was low, but the correlation between Impulsiveness and Pro-sociality was between medium
490 and large, and therefore was consistent with the definitions of these factors.

491 We then extracted five factors and subjected them to a promax-rotation (see Table
492 S13). The five factors resembled those from our initial factor analyses as did the interfactor
493 correlations. The scree plot indicated that there were two factors (see Figure S13) as did both
494 the parallel analysis and the BIC. The first higher-order factor was, when reflected, a Pro-
495 sociality factor. The second higher-order factor was a Boldness factor (see Table S14).

496 *Subjective Well-Being*

497 Inspection of the scree plot (see Figure S14), parallel analysis, and the BIC all
498 indicated that there was a single factor.³ This factor explained 67% of the variance and had
499 salient loadings on all four items (see Table 4).

500 **Reliabilities of Factors**

501 *Personality*

502 The interrater reliabilities of the individual ratings for Sociability, Dominance,
503 Impulsiveness, Openness, and Negative Affect were 0.52, 0.39, 0.28, 0.26, and 0.25,
504 respectively. The interrater reliabilities of mean ratings for these factors were 0.74, 0.63,
505 0.50, 0.48, and 0.46, respectively. For Pro-sociality and Boldness, respectively, the interrater
506 reliabilities of individual ratings were 0.45 and 0.30, and the interrater reliabilities of mean
507 ratings for these second-order factors were 0.69 and 0.53.

³ We conducted a Hull test for this analysis, too, but doing so produced a warning, which we suspect was attributable to there only being four items. The Hull test nevertheless indicated that there was one factor.

508 The internal consistency reliability (Cronbach's α) for Sociability, Dominance,
509 Impulsiveness, Openness, and Negative Affect were 0.95, 0.95, 0.88, 0.85, and 0.81,
510 respectively. The degree to which a general factor saturated these factors (McDonald's ω_h)
511 was 0.81, 0.85, 0.75, 0.80, and 0.68, respectively.

512 *Subjective Well-Being*

513 For the total subjective well-being score, the interrater reliability of individual ratings
514 was 0.21 and the interrater reliability of the mean of ratings 0.41. Cronbach's α for this scale
515 was 0.87 and McDonald's ω_h was 0.13.

516 **Personality Factor Comparisons**

517 Iwanicki and Lehmann (17) found four factors. Compared to our first-order factors,
518 their Extraversion factor overlapped with Dominance and Negative Affect, their
519 Agreeableness factor overlapped with Sociability and (low) Dominance, their
520 Conscientiousness factor overlapped with Sociability, and their Openness factor overlapped
521 with the same-named factor that we found (see Table 5). Compared to our second-order
522 factors, Iwanicki and Lehman's Extraversion overlapped with (low) Pro-sociality and high
523 Boldness; their Agreeableness and Conscientiousness factors overlapped with Pro-sociality;
524 and their Openness factor overlapped with Boldness (see Table 6).

525 Koski, Buchanan-Smith (31) found five factors. Compared to our first-order factors,
526 their Conscientiousness factor overlapped with (low) Dominance and (low) Impulsiveness;
527 their Agreeableness factor overlapped with Sociability; their Assertiveness factor overlapped
528 with (low) Sociability, Dominance, and (low) Negative Affect; their Patience factor
529 overlapped with Sociability; and their Inquisitiveness factor overlapped with Openness (see
530 Table 5). Compared to our second-order factors, their Conscientiousness, Agreeableness, and
531 Patience factors all overlapped with Pro-sociality; their Assertiveness factor overlapped with

532 Boldness and (low) Pro-sociality; and their Inquisitiveness factor overlapped with Boldness
533 (see Table 6).

534 Inoue-Murayama, Yokoyama (13) found three factors. Compared to our first-order
535 factors, their Dominance factor overlapped with Dominance; their Sociability factor
536 overlapped with Sociability; and their Neuroticism factor overlapped with Negative Affect
537 (see Table 5). Compared to our second-order factors, their Dominance and Sociability factors
538 overlapped with low and high Pro-sociality, respectively; their Neuroticism factor overlapped
539 with (low) Boldness (see Table 6).

540 **Personality and Subjective Well-Being Associations @ @ @**

541 The correlations between the subjective well-being items and the personality factors
542 are presented in Table 7. Sociability was significantly associated with higher scores on all
543 four items and the total subjective well-being score. Dominance was not significantly related
544 to any of the scale's items or the factor. Impulsiveness was significantly related to lower, and
545 Openness was significantly related to higher, balance of positive versus negative moods, how
546 happy raters thought they would be if they were the marmoset, and the total subjective well-
547 being score. Negative Affect was negatively related to how happy raters would be how happy
548 raters thought they would be if they were the marmoset. Of the second-order factors, Pro-
549 sociality was significantly associated with being rated as higher on all items save for the
550 ability to achieve goals, and the total subjective well-being score. Boldness was not
551 significantly associated with the pleasure subjects derived from social interactions, but it was
552 significantly related to being higher in the other three items and in the total subjective well-
553 being score.

554 **Personality-Genotype Associations**

555 *G840C Genotypes*

556 Twenty-seven subjects had the GG genotype, 23 had the CC genotype, and 72 were
557 heterozygous. In the first set of analyses, we compared subjects with the GC genotype and
558 subjects with the GG genotype to those with the CC genotype. Compared to subjects with the
559 CC genotype, subjects with the GC or GG genotypes were significantly higher in Dominance;
560 these associations, however, did not prevail correction for multiple tests (see Table S15). In a
561 second set of analyses, we compared the 95 subjects who were carriers of the C allele (CC or
562 GC genotype) to the 27 subjects with the GG genotype. None of the comparisons were
563 statistically significant (see Table S16). In a third set of analyses, we compared the 99
564 subjects who were carriers of the G allele (GG or GC genotype) to the 23 subjects with the
565 CC genotype. Carriers were significantly higher in Dominance, but this effect did not prevail
566 correction for multiple tests (see Table S16).

567 ***T901A genotypes***

568 Twelve subjects had the TT genotype, 35 had the AA genotype, and 76 were
569 heterozygous. Because of this imbalance in the number of subjects, we only compared the 88
570 subjects who carried the T allele to the 35 subjects with the AA genotype. None of the
571 comparisons were statistically significant (see Table S17).

572 **Discussion**

573 We found five personality domains—Sociability, Dominance, Impulsiveness,
574 Openness, and Negative Affect—in common marmosets and higher-order domains that we
575 labeled Pro-sociality, which had a positive loading on Sociability and negative loadings on
576 Dominance and Impulsiveness, and Boldness, which had a positive loading on Openness and
577 a negative loading on Negative Affect. The interrater reliabilities of these domains were
578 comparable to what has been found in studies of other primate species [80], including
579 humans [e.g., 81] and they were related to subjective well-being and its constituent items in

580 ways consistent with their meaning. We found no strong evidence that either personality or
581 subjective well-being was associated with polymorphisms of the serotonin 1a receptor gene.

582 The personality domains that we found in the present study overlapped, although not
583 completely, with those found in prior studies of common marmosets. Openness resembled
584 eponymous domains, or a domain labeled Inquisitiveness, identified in previous studies [13,
585 17, 31, 32]. Moreover, although we did not find a clear Conscientiousness factor, as did two
586 previous studies [17, 31], Impulsiveness and Pro-sociality overlapped with Conscientiousness
587 in that all three were related to behavioral consistency and reliability, prosociality, tolerance,
588 and low levels of aggression [17, 31]. Impulsiveness, however, was also related to
589 emotionality and reactivity whereas Conscientiousness was not. Finally, Dominance,
590 Sociability, and Negative Affect resembled domains found in earlier studies [13, 17, 31, 32].
591 On the other hand, although they may have been represented by Pro-sociality, we did not find
592 strong evidence for a Patience [31] or a Perceptual Sensitivity [17] (which may be the same
593 construct [31]) domain.

594 Unlike past rating-based studies that did not find higher-order factors in common
595 marmosets [13, 17, 31], we found two second-order factors. In follow-on analyses, we found
596 that these higher-order domains partly reflect a tendency for raters to see some traits as more
597 correlated than others. However, these analyses could not exclude the possibility that these
598 domains represent a higher-level of personality organization, perhaps reflecting group
599 personalities [cf. 12]. Although there have been reports of higher-order factors of human
600 personality [e.g., 82], including the so-called “general factor of personality” [e.g., 83], these
601 reports have been criticized [e.g., 84, 85, 86]. The problems that affect human studies that
602 purportedly find higher-order personality factors were absent in the present study: each
603 animal was rated by two or three keepers, the correlations among the latent variables were
604 considerable, and adjusting for rater effects increased rather than decreased some correlations

605 among factors. Nevertheless, because second-order factors were not identified in other
606 studies of common marmosets [13, 17, 31, 44], including one that included 77 of the same
607 animals, prior to interpreting the meaning of this phenomenon, we urge an attempt to
608 replicate these findings and an analysis of similar data using more flexible modeling
609 techniques [e.g., 11].

610 The findings from the present study are consistent with the possibility that common
611 marmosets evolved a personality structure that includes a domain or domains associated with
612 self-control that are found in larger-brained primate species, such as brown capuchin
613 monkeys [28], chimpanzees [39], and humans [59]. As these species share only a very distant
614 common ancestors with Callitrichids, and have very different socioecologies, these traits are
615 not likely to be homologous. Instead, the presence of traits related to self-control in common
616 marmosets likely reflects convergent evolution that was driven by the need for individuals to
617 meet the demands associated with cooperative breeding. Although, it is worth noting that
618 there is still variability between studies in how these traits group together, studies that
619 examine the role that factors such as Conscientiousness, Patience, or Impulsivity play in
620 infant rearing, especially by helpers, among common marmosets are needed to test this
621 hypothesis.

622 As in our study of a subsample of these subjects [13], we found personality-subjective
623 well-being correlations that were consistent with those found in studies of humans [45, 46]
624 and nonhuman primates [40, 47-51]. These findings, and those in humans and great apes that
625 indicate that a common genetic background underlies these traits [87-92], are consistent with
626 the possibility that these relationships are ancestral.

627 Our failure to find association between SNPs related to the serotonin 1a receptor gene
628 and either personality domains is not consistent with previous findings of an association
629 between this genotype and personality in chimpanzees [54]. It is possible that our failure to

630 find such associations resulted from the personality measure that we used. However, as the
631 associations between personality and serotonin-related genes in humans are likely false
632 positives [93], we suspect that we did not find significant associations because there were
633 none.

634 This study had shortcomings. First, nearly 40% of the subjects were single housed.
635 Behaviors related to some of the traits might therefore have been rare or absent, and so we
636 still may not have been capturing enough between subject variation. Second, the factor
637 structure was compared to studies that used different, although partly overlapping,
638 instruments. It is unclear to what degree the use of different measures may have obscured
639 similarities or blurred differences between the structures in these studies. This limitation also
640 prevented us from using other statistical methods to directly compare these structures. Third,
641 we judged that it was worth reporting the genetic associations so that they may contribute to
642 future meta-analyses, as we alluded to previously, to identify genetic effects considerably
643 larger sample sizes are needed. Fourth, the interrater reliabilities of the subjective well-being
644 variables were lower than those reported in other nonhuman primate species, for example,
645 chimpanzees [40, 47].

646 The cliché that a study's findings can yield more questions than answers is well-suited
647 for the present case. Nevertheless, these findings highlight the need for (and promise of) large
648 collaborative studies if we are to understand the proximate and ultimate origins of personality
649 structure in common marmosets, and other species, including ours.

650

651

Data Availability

652 Data needed to reproduce the analyses are available via the Open Science Foundation

653 website: <https://osf.io/ysrja/>.

MARMOSET PERSONALITY

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Table 1
Interrater Reliabilities of Items from the Hominoid Personality Questionnaire

Item	ICC(3,1)	ICC(3,k)
Sociable	0.45	0.68
Sympathetic	0.43	0.66
Solitary	0.43	0.67
Protective	0.42	0.66
Helpful	0.38	0.62
Friendly	0.36	0.60
Aggressive	0.34	0.57
Gentle	0.34	0.58
Irritable	0.33	0.57
Affectionate	0.32	0.55
Stingy/greedy	0.29	0.51
Dominant	0.28	0.50
Individualistic	0.28	0.51
Submissive	0.28	0.51
Imitative	0.28	0.51
Independent	0.27	0.50
Excitable	0.26	0.48
Conventional	0.26	0.48
Bullying	0.23	0.44
Impulsive	0.23	0.44
Dependent/follower	0.23	0.44
Defiant	0.23	0.45
Stable	0.21	0.41
Jealous	0.21	0.41
Cautious	0.19	0.39
Active	0.19	0.38
Fearful	0.18	0.37
Autistic	0.18	0.36
Thoughtless	0.17	0.36
Erratic	0.17	0.35
Playful	0.16	0.33
Intelligent	0.16	0.33
Reckless	0.15	0.32
Curious	0.14	0.30
Sensitive	0.14	0.30
Inquisitive	0.13	0.28
Cool	0.13	0.29
Predictable	0.13	0.28
Lazy	0.11	0.25
Timid	0.10	0.22
Clumsy	0.09	0.21
Disorganized	0.09	0.20
Vulnerable	0.07	0.16

MARMOSET PERSONALITY

31

Unemotional	0.06	0.15
Distractible	0.05	0.13
Manipulative	0.05	0.12
Depressed	0.04	0.09
Inventive	0.02	0.06
Innovative	0.00	0.01
Decisive	0.00	0.01
Anxious	-0.03	-0.08
Persistent	-0.06	-0.19
Quitting	-0.08	-0.24
Unperceptive	-0.12	-0.39

MARMOSET PERSONALITY

Table 2
Pattern Matrix from the First-Order Factor Analysis of the Hominoid Personality Questionnaire

Item	Factor Loadings					h^2
	Soc	Dom	Imp	Opn	Neg	
Helpful	0.89	0.10	-0.05	0.09	-0.08	0.79
Sympathetic	0.83	-0.01	-0.07	0.07	0.08	0.76
Protective	0.80	0.01	0.02	0.02	-0.15	0.66
Individualistic	-0.78	0.09	-0.05	0.13	0.25	0.71
Dependent/follower	0.77	0.06	-0.05	0.23	0.39	0.69
Independent	-0.73	0.27	-0.31	0.10	0.08	0.62
Imitative	0.72	0.04	0.07	0.20	0.18	0.49
Solitary	-0.71	0.05	-0.04	-0.17	0.34	0.74
Affectionate	0.64	-0.09	-0.16	0.21	0.12	0.65
Sensitive	0.64	-0.08	-0.17	-0.02	0.04	0.61
Sociable	0.62	-0.27	-0.16	0.16	-0.15	0.85
Conventional	0.60	0.11	-0.33	-0.13	0.19	0.62
Intelligent	0.59	0.26	-0.18	0.00	-0.19	0.39
Gentle	0.50	-0.35	-0.24	0.15	0.09	0.83
Reckless	-0.50	-0.16	0.39	0.38	-0.03	0.62
Friendly	0.49	-0.46	-0.15	0.13	0.02	0.86
Jealous	0.02	0.92	-0.04	0.21	0.15	0.83
Stingy/greedy	-0.08	0.87	-0.06	0.28	0.15	0.86
Bullying	-0.04	0.85	0.00	0.11	0.07	0.77
Dominant	-0.09	0.79	0.06	0.01	-0.07	0.80
Manipulative	0.21	0.72	-0.10	0.04	-0.33	0.57
Aggressive	-0.12	0.69	0.15	-0.05	-0.17	0.80
Defiant	-0.09	0.65	0.20	-0.01	-0.20	0.78
Irritable	0.00	0.50	0.48	-0.18	-0.11	0.74
Excitable	-0.02	0.16	0.78	-0.08	-0.06	0.78
Unemotional	-0.10	0.15	-0.77	0.00	0.26	0.52
Impulsive	-0.10	0.06	0.75	0.13	0.13	0.76
Cool	0.18	-0.05	-0.66	-0.06	0.01	0.65
Fearful	0.23	-0.10	0.62	-0.42	0.28	0.51
Distractible	-0.11	-0.05	0.53	0.23	0.10	0.40
Disorganized	-0.10	0.15	0.52	0.18	0.12	0.51
Stable	0.21	-0.19	-0.46	0.07	-0.38	0.64
Thoughtless	-0.22	-0.03	0.41	0.38	0.02	0.45
Predictable	0.12	-0.08	-0.40	0.04	0.02	0.27
Erratic	-0.20	0.32	0.35	-0.06	0.20	0.54
Curious	0.14	0.13	0.08	0.73	0.02	0.61
Inquisitive	0.17	0.11	0.06	0.72	0.09	0.55
Inventive	0.27	0.18	-0.05	0.68	0.11	0.51
Playful	0.23	-0.12	0.32	0.65	-0.04	0.60
Cautious	0.47	0.08	0.24	-0.59	0.21	0.53
Active	0.28	0.18	0.41	0.52	-0.13	0.69
Autistic	0.03	-0.13	0.09	0.19	0.68	0.45

MARMOSET PERSONALITY

33

Timid	0.14	0.00	0.34	-0.18	0.65	0.58
Depressed	-0.17	0.07	-0.27	-0.04	0.64	0.51
Clumsy	-0.04	0.17	-0.05	0.00	0.58	0.33
Vulnerable	-0.02	-0.19	-0.02	0.04	0.57	0.39
Lazy	-0.23	-0.05	-0.45	-0.17	0.50	0.59
Submissive	0.33	-0.20	-0.18	-0.01	0.48	0.61
Proportion of variance	0.20	0.14	0.13	0.08	0.08	

Factor	Factor Correlations				
	Soc	Dom	Imp	Opn	Neg
Soc	1.00				
Dom	-0.53	1.00			
Imp	-0.45	0.57	1.00		
Opn	0.06	0.15	0.18	1.00	
Neg	-0.06	-0.19	-0.04	-0.38	1.00

Note. $N = 128$. Soc = Sociability, Dom = Dominance, Imp = Impulsiveness, Opn = Openness, Neg = Negative Affect, h^2 = communalities. Factors extracted using a maximum likelihood estimation and rotated using the promax procedure. Factor loadings greater than or equal to $|0.4|$ are in bold.

Table 3

Pattern Matrix from the Second-Order Factor Analysis of Personality Factors

First-Order Factor	Promax Rotation		Varimax Rotation		h^2
	Pro-sociality	Boldness	Pro-sociality	Boldness	
Dominance	-0.79	0.15	-0.80	0.21	0.68
Sociability	0.72	0.21	0.70	0.15	0.51
Impulsiveness	-0.67	0.08	-0.68	0.13	0.48
Negative affect	-0.01	0.62	0.04	-0.61	0.38
Openness	-0.01	-0.61	-0.06	0.61	0.38
Proportion of variance	0.32	0.17	0.32	0.17	

Note. $N = 128$. h^2 = communalities. Factors extracted from the factor correlation matrix from Table 2 using a maximum likelihood estimation and rotated using the promax and varimax procedures. The Pro-Social factor for both structures were reflected, that is, the loadings were multiplied by -1. The promax-rotated factors correlated -0.15. Factor loadings greater than or equal to |0.4| are in bold.

Table 4
Results from Factor Analysis of the Subjective Well-Being Scale

Item	Loading	h^2
Be marmoset	0.98	0.97
Balance of moods	0.86	0.73
Ability to achieve goals	0.82	0.67
Pleasure from social interactions	0.55	0.30

Note. $N = 128$. $h^2 =$ communalities.

Table 5
Correlations Between Unit-Weighted Factor Scores Based on Factor Loadings in the Present Study and Factor Loadings from Three Previous Studies of Common Marmoset Personality

	<i>r</i>	95% Confidence Interval	
		Lower Bound	Upper Bound
Iwanicki and Lehman (2015)			
Extraversion			
Sociability	-0.50	-0.62	-0.36
Dominance	0.82	0.75	0.87
Impulsiveness	0.50	0.36	0.62
Openness	0.54	0.40	0.65
Negative affect	-0.70	-0.78	-0.60
Agreeableness			
Sociability	0.86	0.81	0.90
Dominance	-0.84	-0.88	-0.77
Impulsiveness	-0.76	-0.82	-0.67
Openness	-0.07	-0.24	0.11
Negative affect	0.25	0.08	0.41
Conscientiousness			
Sociability	0.83	0.77	0.88
Dominance	-0.47	-0.59	-0.32
Impulsiveness	-0.63	-0.73	-0.51
Openness	0.00	-0.17	0.18
Negative affect	0.05	-0.13	0.22
Openness			
Sociability	0.11	-0.07	0.28
Dominance	0.34	0.18	0.49
Impulsiveness	0.27	0.10	0.42
Openness	0.96	0.94	0.97
Negative affect	-0.40	-0.53	-0.24
Koski et al. (2017)			
Conscientiousness			
Sociability	0.62	0.51	0.72
Dominance	-0.89	-0.92	-0.84
Impulsiveness	-0.84	-0.89	-0.79
Openness	-0.39	-0.53	-0.23
Negative affect	0.19	0.01	0.35
Agreeableness			
Sociability	0.95	0.92	0.96
Dominance	-0.68	-0.77	-0.58
Impulsiveness	-0.72	-0.79	-0.62
Openness	0.03	-0.14	0.20
Negative affect	0.13	-0.05	0.29
Assertiveness			

	Sociability	-0.67	-0.76	-0.57
	Dominance	0.67	0.57	0.76
	Impulsiveness	0.33	0.16	0.47
	Openness	0.32	0.15	0.47
	Negative affect	-0.64	-0.73	-0.53
Patience				
	Sociability	0.74	0.65	0.81
	Dominance	-0.37	-0.51	-0.21
	Impulsiveness	-0.59	-0.69	-0.47
	Openness	0.21	0.04	0.37
	Negative affect	-0.03	-0.21	0.14
Inquisitiveness				
	Sociability	0.34	0.18	0.48
	Dominance	0.21	0.04	0.37
	Impulsiveness	0.17	-0.01	0.33
	Openness	0.82	0.76	0.87
	Negative affect	-0.56	-0.67	-0.43
Inoue-Murayama et al. (2018)				
Dominance				
	Sociability	-0.71	-0.79	-0.62
	Dominance	0.94	0.91	0.96
	Impulsiveness	0.83	0.77	0.88
	Openness	0.34	0.18	0.49
	Negative affect	-0.38	-0.52	-0.22
Sociability				
	Sociability	0.93	0.90	0.95
	Dominance	-0.40	-0.54	-0.25
	Impulsiveness	-0.39	-0.52	-0.23
	Openness	0.40	0.24	0.54
	Negative affect	-0.12	-0.29	0.05
Neuroticism				
	Sociability	-0.30	-0.45	-0.14
	Dominance	-0.03	-0.20	0.14
	Impulsiveness	0.35	0.19	0.49
	Openness	-0.34	-0.49	-0.18
	Negative affect	0.82	0.75	0.87

Note. $N = 128$. The highest correlation between the five factors found in this study and each factor found in a previous study are highlighted in bold. In cases where the confidence intervals of two or more of the highest correlations overlapped, we highlighted both correlations.

Table 6

Correlations Between Unit-Weighted Factor Scores Based on Loadings from the Second-Order Factor Analysis in the Present Study and Factor Loadings from Three Previous Studies of Common Marmoset Personality

	<i>r</i>	95% Confidence Interval	
		Lower Bound	Upper Bound
Iwanicki and Lehman (2015)			
Extraversion			
Pro-sociality	-0.72	-0.80	-0.63
Boldness	0.73	0.64	0.80
Agreeableness			
Pro-sociality	0.95	0.93	0.97
Boldness	-0.18	-0.35	-0.01
Conscientiousness			
Pro-sociality	0.73	0.64	0.81
Boldness	-0.02	-0.20	0.15
Openness			
Pro-sociality	-0.20	-0.36	-0.03
Boldness	0.83	0.76	0.87
Koski et al. (2017)			
Conscientiousness			
Pro-sociality	0.91	0.88	0.94
Boldness	-0.35	-0.49	-0.19
Agreeableness			
Pro-sociality	0.90	0.87	0.93
Boldness	-0.05	-0.22	0.12
Assertiveness			
Pro-sociality	-0.67	-0.76	-0.56
Boldness	0.56	0.43	0.67
Patience			
Pro-sociality	0.64	0.53	0.73
Boldness	0.15	-0.02	0.32
Inquisitiveness			
Pro-sociality	-0.02	-0.19	0.16
Boldness	0.84	0.78	0.88
Inoue-Murayama et al. (2018)			
Dominance			
Pro-sociality	-0.97	-0.98	-0.95
Boldness	0.43	0.28	0.56
Sociability			
Pro-sociality	0.66	0.55	0.75
Boldness	0.32	0.16	0.47
Neuroticism			
Pro-sociality	-0.21	-0.37	-0.04
Boldness	-0.68	-0.76	-0.57

Note. $N = 128$. The highest correlation between the five factors found in this study and each factor found in a previous study are highlighted in bold. In cases where the confidence intervals of two or more of the highest correlations overlapped, we highlighted both correlations.

Table 7
Correlations Between Subjective Well-Being Scale Items and Factor and Personality Factors

	<i>r</i>	95% Confidence Interval	
		Lower Bound	Upper Bound
Moods			
Sociability	0.42	0.26	0.55
Dominance	-0.18	-0.34	< -0.01
Impulsiveness	-0.27	-0.43	-0.11
Openness	0.38	0.22	0.52
Negative affect	-0.14	-0.30	0.04
Pro-sociality	0.33	0.16	0.48
Boldness	0.31	0.15	0.46
Social			
Sociability	0.53	0.40	0.65
Dominance	-0.22	-0.38	-0.05
Impulsiveness	-0.24	-0.40	-0.07
Openness	0.14	-0.03	0.31
Negative affect	-0.11	-0.27	0.07
Pro-sociality	0.38	0.22	0.52
Boldness	0.15	-0.03	0.31
Goals			
Sociability	0.39	0.24	0.53
Dominance	-0.08	-0.25	0.10
Impulsiveness	-0.22	-0.38	-0.05
Openness	0.25	0.08	0.40
Negative affect	-0.22	-0.38	-0.05
Pro-sociality	0.26	0.09	0.41
Boldness	0.28	0.11	0.43
Be marmoset			
Sociability	0.45	0.30	0.58
Dominance	-0.18	-0.34	< -0.01
Impulsiveness	-0.28	-0.43	-0.11
Openness	0.33	0.16	0.48
Negative affect	-0.27	-0.42	-0.10
Pro-sociality	0.34	0.18	0.49
Boldness	0.36	0.20	0.50
Subjective well-being			
Sociability	0.53	0.39	0.65
Dominance	-0.19	-0.36	-0.02
Impulsiveness	-0.30	-0.45	-0.13
Openness	0.32	0.15	0.47
Negative affect	-0.21	-0.37	-0.04
Pro-sociality	0.39	0.23	0.53
Boldness	0.32	0.16	0.47

Note. $N = 128$. Estimates in bold are significant at $P < 0.05$ after adjustment using Holm's method. 95% confidence intervals are based on the nominal (unadjusted) significance level.

Figure 1

Personality domains found in previous studies and grouped by the psychological construct they represent. From left to right, the first two columns are domains found by Iwanicki and Lehmann (2015) in two datasets, the third refers to domains found by Koski et al. (2017), the fourth to domains found by Inoue-Murayama et al. (2018), and the fourth refers to domains found by Yokoyama et al. (2011). Domains in the same ‘box’ measure the same construct. Constructs separated by dotted lines are related constructs.

Agreeableness	Agreeableness	Agreeableness	Sociability	Sociability
	Extraversion	Assertiveness	Dominance	Aggressiveness
Neuroticism			Neuroticism	Social Anxiety
Perceptual Sensitivity	Conscientiousness	Conscientiousness		
	Patience			
	Openness	Inquisitiveness		

Figure 2

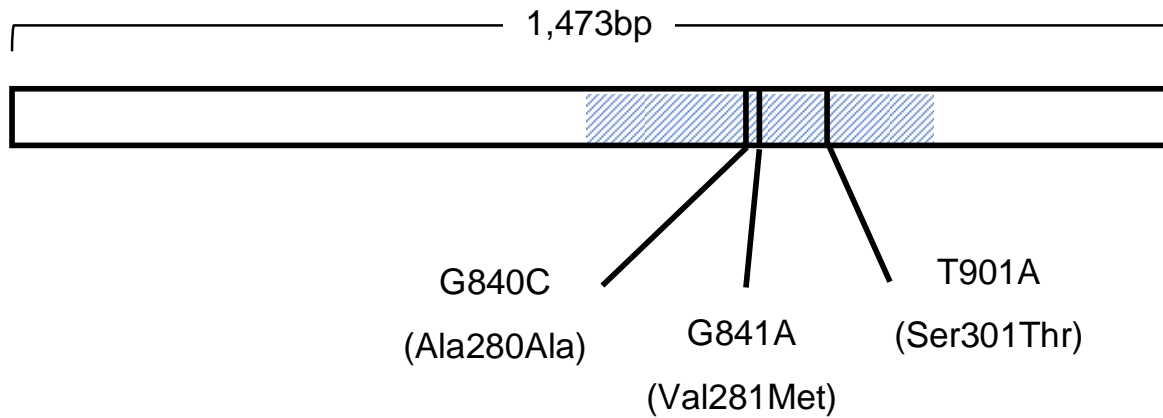
The sequence is based on NC_013897. Primer sequences are underlined. Start and stop codon sequences are in boxes. The third intracellular region is enclosed in the parentheses.

Nucleotide substitutions are shown in capital and bold letters; G840C (A280A), G841A (V281M), T901A (S301T).

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tggattcccttcctccgaaacttccttggagactgggcggaagaccccaggggaagggcgaaagggga  
tcttcgctctgctttttcttccttcctcttccccgcgcggggctcacaggc[atg]gatgtgctcagccc  
tggtcagggcaacaataaccacatcatccccgggtccctttgagaccgccagcaacactactggtatct  
ccgacgtgaccttcggctaccaagtgatcacctctctgctgttgggcacgctcatctctgtgcggtg  
ctgggcaatgcctgcgtggtggctgccatcgccctggagcgctccctgcagaacgtggccaattatct  
tattggctctttggcggtcaccgacctcatggtgtcggtgttgggtgctgcccatggccgcgctgtatc  
aggtgctcaacaagtggactctgggccaggtcacctgcgacctgttcatagccctcgacgtgctgtgc  
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cgactacgtgaacaagaggacgccccggcgcgctgctgcgctcatctcgctcacttggcttattggct  
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accattagcaaggaccacggctacactatctactccaccttcggcgctttctacatcccgctgctgct  
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ggagaatcggggagcaggaactggagactgagcgtggagagcaagtacagagagtgctctgtgcgccaa  
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g]ctgggcatatcatgggtactttcatcctctgctggctgcccttcttcatcgtggctcttgttctgc  
cttctgtgagagcagttgccacatgccaccctgttgggcgctataatcaattggctgggctactcc  
aactctctgcttaaccccgctcatttacgcatacttcaacaaggactttcaaacgcgttaagaagat  
ctttaagtgaagtctgcccgaat[ga]tgatggaggagtacccggccagtgccgggggttacaggatc  
cgccccattcactatgcttggaccaaccctaggggaatcaacacct
```

Figure 3

Locations of the single nucleotide polymorphisms on the single exon of the common marmoset serotonin receptor 1a gene



Note. The shaded region corresponds to the third intracellular region.

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