1 Evidences of histologic Thrombotic Microangiopathy and the

2 impact in renal outcomes of patients with IgA nephropathy

3 Short Title: IgA Nephropathy and Thrombotic Microangiopathy

- 4 Precil D Neves^{1*}, MD, Rafael A Souza^{1*}, MD, Fábio M Torres¹, MD, Fábio A Reis¹, MD,
- 5 Rafaela B Pinheiro², MD, Cristiane B Dias¹, MD, PhD, Luis Yu¹, MD, PhD, Viktoria Woronik¹,
- 6 MD, PhD, Luzia S Furukawa, PhD, Lívia B Cavalcante², MD, Denise M Malheiros², MD, PhD,
- 7 Lectícia B Jorge¹, MD, PhD.
- 8 1. Nephrology Division. 2 Pathology Division.
- 9 University of São Paulo, School of Medicine. São Paulo-SP. Brazil.
- 10
- 11 * Both authors contributed equally to this manuscript
- 12
- 13 Word count of Abstract: 299
- 14 Word count of Manuscript: 2972
- 15 Suggested Academic Editors: Maria Fragiadaki; Peter Hohenstein; Zhanjun Jia
- 16

17 CORRESPONDING AUTHOR:

- 18 Precil Diego Miranda de Menezes Neves, MD
- 19 Nephrology Division University of São Paulo School of Medicine
- 20 Av. Dr. Enéas de Carvalho Aguiar, 255, 7º andar, Cerqueira Cesar, São Paulo-SP. Brazil.
- **21** Tel: 55-11-2661 7165; Fax: 55-11-2661 7165.
- 22 E-mail: precilmed61@yahoo.com.br

23 ABSTRACT

Introduction: IgA nephropathy (IgAN) is the most common primary glomerulopathy worldwide. According to the Oxford Classification, changes in the kidney vascular compartment are not related with worse outcomes. This paper aims to assess the impact of thrombotic microangiopathy (TMA) in the outcomes of Brazilian patients with IgAN.

Materials and Methods: Analysis of clinical data and kidney biopsy findings from patients
with IgAN to assess the impact of TMA on renal outcomes.

30 **Results:** The majority of the 118 patients included were females (54.3%); mean age of 33 years 31 (25:43); hypertension and hematuria were observed in 67.8% and 89.8%, respectively. Median 32 creatinine: 1.45mg/dL; eGFR: 48.8ml/min/1.73m²; 24-hour proteinuria: 2.01g; low serum C3: 33 12.5%. Regarding to Oxford Classification: M1: 76.3%; E1: 35.6%; S1: 70.3%; T1/T2: 38.3%; C1/C2: 28.8%. Average follow-up: 65 months. Histologic evidence of TMA were detected in 21 34 35 (17.8%) patients and those ones presented more frequently hypertension (100% vs. 61%, p<0.0001), hematuria (100% vs 87.6%, p=0.0001), worse creatinine levels (3.8 vs. 1.38 mg/dL, 36 37 p=0.0001), eGFR (18 vs. 60 ml/min/1.73m²), p =0.0001), low serum C3 (28.5% vs. 10.4%, p 38 =0.003), lower hemoglobin levels (10.6 vs. 12.7 g/dL, p < 0.001) and platelet counts (207,000 vs. 39 267,000, p=0.001). Biopsy findings of individuals with TMA revealed only greater proportions 40 of E1 (68% vs. 32%, p = 0.002). Individuals with TMA were followed for less time (7 vs. 65 41 months, p < 0.0001) since they progressed more frequently to chronic kidney disease (CKD) 42 requiring renal replacement therapy (RRT) (71.4% vs. 21,6%, p<0.0001). Male sex, T1/T2, and 43 TMA were independently associated with progression to CKD-RRT. **Conclusions:** In this study patients with TMA had worse clinical manifestations and outcomes. 44 45 In terms of histologic evidence, E1 distinguished patients with TMA from other patients. These

46 findings indicate that vascular compartment may also be a prognostic marker in IgAN patients.

47

48 Keywords: IgA Nephropathy; kidney biopsy; pathology; prognostic factors; thrombotic
49 microangiopathy; complement protein

50 INTRODUCTION

IgA nephropathy (IgAN) is a highly prevalent condition worldwide and ranks as the most common primary glomerulopathy in some countries.¹⁻³ Given the high prevalence of the disease and the fact that about 30% of the patients with IgAN progress to chronic kidney disease (CKD) requiring kidney replacement therapy (KRT),^{1,4} it is imperative to identify clinical and histology markers associated with worse renal outcomes.

The most widely accepted explanation for the IgAN pathogenesis is the 4-hit hypothesis, in which Hit 1 involves the production of hypoglycosylated IgA1; Hit 2 starts with the production of IgG antibodies that recognize hypoglycosylated IgA1; Hit 3 regards the formation of potentially nephritogenic IgG/IgA1 immune complexes; and in the Hit 4 there is deposition of formed complexes in the glomerular mesangium and capillaries, thereby activating the immune system and leading to the recruitment of inflammatory cells, cytokines, and the activation of the complement system.^{1,2,5}

The Oxford Classification (OC)^{6,7} was first published in 2009 as an attempt to identify 63 64 kidney biopsy alterations possibly associated with worse outcomes in patients with IgAN. Mesangial hypercellularity, segmental glomerulosclerosis, and interstitial fibrosis/tubular 65 atrophy have been associated with progression to CKD-KRT, while endocapillary 66 hypercellularity was first correlated with function decline in patients on immunosuppressant 67 68 therapy and later with worse renal outcomes. An updated version of the Oxford Classification was published in 2017,⁸ and cellular crescents were added as markers of worse renal outcomes. 69 It should be mentioned that vascular alterations were not included in the Oxford Classification, 70 71 since they were not associated with worse outcomes in patients with IgAN. However, recent studies9-11 have looked into the role of vascular alterations and their ties with the outcomes of 72 73 patients with IgAN, shedding light on a matter yet unresolved in the literature.

Thrombotic microangiopathy (TMA) is a histology finding of vascular involvement associated with some renal conditions – atypical and typical hemolytic-uremic syndrome,

76	eclampsia, accelerated hypertension, thrombotic thrombocytopenic purpura - that may also be
77	induced by certain drugs. ^{12,13} Histology and serum findings of TMA have been associated with
78	other primary and secondary glomerulopathies - lupus nephritis, ANCA-associated vasculitis,
79	focal segmental glomerulosclerosis, and IgA nephropathy - and correlated with worse renal
80	outcomes in individuals with IgAN. ^{9,10,14,15}

81 This study aimed to assess the impact of histologic findings of TMA on the renal 82 outcomes of individuals with IgAN seen at a healthcare center in Brazil.

83

84 MATERIALS AND METHODS

85

86 Study Design and Population

This retrospective single-center study included patients diagnosed with IgA nephropathy based on kidney biopsy findings. Patients with IgAN secondary to systemic conditions (Henoch-Schönlein purpura, liver disease, autoimmune disease, HIV infection) and individuals with insufficient follow-up or outcome data were excluded, along with patients with fewer than eight glomeruli for analysis via the Oxford Classification.

92 The following clinical data were considered at the time of kidney biopsy: age; sex; 93 serum creatinine (SCr); estimated glomerular filtration rate (e-GFR); 24-hour proteinuria and/or 94 urine protein/creatinine (UPC) ratio; hematuria; hypertension; serum C3 level; serum IgA; 95 hemoglobin; platelet count; lactate dehydrogenase (LDH); and indirect bilirubin. The glomerular filtration rate was estimated based on the CKD-EPI16 equation. Hematuria was 96 97 defined as ≥ 3 red blood cells/high-power field in a sample of urine. Hypertension was defined 98 as a blood pressure ≥ 140 and/or 90mmHg¹⁷. The reference ranges laboratory tests were as follows: C3 (90-180mg/dL); IgA (69-382mg/dL); LDH (135-214U/L); indirect bilirubin (0.2; 99 0.8mg/dL); haptoglobin (30-200mg/dL). Anemia was defined as hemoglobin <12g/dL for 100

females and 13g/dL for males.¹⁸ Thrombocytopenia was defined as having a platelet count
 <150,000/mm³.¹⁹ Presence of schistocytes was verified via peripheral blood smear tests.

Patients were also analyzed for prescription of angiotensin-converting-enzyme (ACE) inhibitors or angiotensin II receptor blockers (ARBs), corticosteroids, and other immunosuppressants. The end of follow-up was defined either by the last visit of the patient to the healthcare unit or by referral to dialysis or kidney transplantation.

107

108 *Histopathology*

109 Kidney biopsy specimens analyzed by light microscopy were stained by hematoxylin and eosin 110 (H&E), Masson's trichrome, periodic acid–Schiff (PAS), and periodic acid silver methenamine 111 stain (PAMS). Analysis by immunofluorescence microscopy included serum anti-IgA, IgG, 112 IgM, C3, C1q, kappa and lambda light chains, and fibrinogen, with positive deposition defined 113 when intensity \geq 1. The biopsies were reviewed by a renal pathologist and classified based on 114 the latest version of the Oxford Classification.⁸

As previously described in the literature,²⁰ acute TMA histologic findings were categorized 115 116 based on renal compartments. In glomeruli: presence of thrombi, edema, or endothelial 117 denudation, fragmented red blood cells, mesangiolysis, microaneurysms; in arterioles: thrombi, 118 edema, or endothelial denudation, intramural fibrin, fragmented red blood cells, edema of the 119 intima, myocyte necrosis; and in arteries: thrombi, myxoid intimal change, intramural fibrin, 120 fragmented red blood cells. Chronic findings included in glomeruli: double contour in 121 capillaries with mesangial interposition; in arterioles hyaline deposits; in arteries fibrous intimal 122 thickening and concentric lamination resembling the bulb of an onion. Histologic findings of 123 TMA were assessed only based on light microscopy examination.

124

125 *CD68 Immunohistochemistry*

126 Formalin-fixed, paraffin-embedded tissue was sectioned at 2µm and stained with rabbit 127 monoclonal anti-CD68 (Santa Cruz Biotechnology) as the primary antibody. All cases were stained by hand using routine protocols, including deparaffinization, followed by antigen 128 129 retrieval (tissue section was boiled in 1mM EDTA, pH 8.0 for 10 min followed by cooling at 130 room temperature for 20 min), protein blocking (DPB-125S; Spring, Pleasanton, CA, USA), 131 incubation for primary antibody at room temperature for 30 min (1:40) and for secondary goat anti-rabbit IgG (DHRR-999; Spring, Pleasanton, CA, USA) at 1:360. Detection was performed 132 133 with streptavidin/horseradish peroxidase (SPB-125; Spring) and developed with Stable DAB (Spring). CD68-positive cells in the glomeruli and tubulointerstitium were quantified and the 134 final count was expressed as number of cells/glomerulus and cells/field, respectively. 135

136

137 Endpoint Analysis

Progression to CKD-KRT was the primary endpoint assessed. Secondary endpoint included
achieving an e-GFR ≤60ml/min/1.73m².

140

141 Statistical Analysis

The distribution of variables was assessed with the Shapiro-Wilk test. Qualitative variables were expressed as proportions and compared against each other via the chi-squared test or Fisher's exact test. Variables following a parametric distribution were expressed as mean values ± standard error and compared against each other with Student's t-test. Variables with nonparametric distributions were expressed as median values (p25 and p75) and compared against each other with the Mann-Whitney U test. Logistic regression was used in multivariate analysis. Statistical significance was attributed to p-values <0.05.

149

150 RESULTS

151 Exclusion criteria accounted for the removal of 50 patients from the original population of 168 individuals. Of the remaining 118 patients, 65 were females (55%), 86 were whites 152 153 (73%), 80 (67.8%) presented with hypertension and 106 (89.8%) hematuria (89.8%). Low 154 serum C3 was detected in 15 (12.5%) patients. Table 1 shows patient data and laboratory tests at 155 the time of kidney biopsy. Histologic findings based on the Oxford Classification were as follows: M1 (76.3%), E1 (35.6%), S1 (70.3%), T1/T2 (38.3%), and C1/C2 (28.8%). Histologic 156 157 evidence of TMA was seen in 21 (17.8%) patients. The median follow-up time was 65 months, 158 and 36 individuals progressed to CKD-KRT.

Table 2 describes the presence of serum findings consistent with TMA in patients with and without histologic evidence of TMA. When compared to individuals without signs of TMA in kidney biopsy, patients with histologic evidence of TMA had more anemia (81.3% vs. 24.7%, p=0.001), more schistocytes in peripheral blood (44.5% vs. 3.1%, p=0.0007), and were more prone to developing thrombocytopenia, albeit not significantly (14.3% vs. 4.1%, p=0.07).

The analysis of patients with and without TMA on kidney biopsy (Table 3) revealed that 164 165 the first group had a greater proportion of hypertensive individuals (100 vs. 61, p < 0.0001) and 166 with hematuria (100 vs. 87.6, p=0.0001). Patients with TMA had worse median serum 167 creatinine levels (3.8 vs. 1.38 mg/dL, p=0.0001), eGFR (18 vs. 60.2 ml/min/1.73m², p=0.0001), 168 and more frequent low serum C3 (28.5 vs. 10.4, p=0.003). There was no difference in the 169 treatment prescribed to the two groups of patients. In regard to the histology parameters of the 170 Oxford Classification, solely a higher proportion of individuals with E1 was observed (68% vs. 171 32%, p<0.002). Patients with histologic evidence TMA were followed for less time (7 vs. 65) 172 months, p<0.0001), since a greater portion of them progressed to CKD-KRT (71.4% vs. 21.6%, p < 0.0001) and in less time (3 vs. 16 months, p=0.003) on account of the quicker eGFR decrease 173 they experienced (-6.8 vs. -0.65 ml/min/1.73m²/year, p=0.01). 174

The comparison of patients that progressed or not to CKD-KRT (Table 4) revealed that
most of the individuals in the first group were males (63.8% *vs.* 36.5%, p=0.01), younger (30 *vs.*

177 34 years of age, p=0.04), had hypertension (86.1% vs. 56.1%, p=0.0016), worse creatinine 178 levels at the biopsy time (3 vs. 1.2mg/dL, p<0.0001), lower eGFR (22.5 vs. 64.8ml/min/1.73m², 179 p<0.0001), and more frequent low serum C3 (25.7% vs 7,3%, p=0.01), without difference in proteinuria. Kidney biopsy findings pointed to a greater proportion of patients with T1/T2 180 181 (54.2% vs. 23,2%, p<0.0002) and a greater proportion of individuals with TMA (41.7% vs. 7,32%, p<0.0001). No difference was seen in the treatment prescribed to both patient groups. 182 183 Patients progressing to CKD-KRT were followed for less time (7 vs. 69 months, p<0.0001) on 184 account of more pronounced eGFR decreases (-8.17 vs. -0.21 ml/min/1.73m²/ano, p<0.0001).

185 Immunohistochemistry for CD68 (Figure 1) was performed to look into macrophage-186 mediated tissue inflammation in 76 patients, nine from the group of 21 patients with TMA 187 (43%) and 67 without TMA (69.1%). Figure 1 shows immunohistochemistry images derived 188 from renal biopsy specimens. No statistically significant difference was found when patients 189 with and without TMA were compared for number of CD68 positive glomerular (4.3 vs. 2.25, 190 p=0.12) or interstitial (25.4 vs. 18.3, p=0.25) cells. The comparison between patients 191 progressing or not to CKD-KRT did not yield significant differences for glomerular cells 192 labeled positive for CD68 (3.35 vs. 2.24, p=0.38), but significantly more CD68 interstitial cells 193 were seen in individuals progressing to CKD-KRT (32.5 vs. 15.6, p<0.0001) (Table 4).

Logistic regression performed to assess risk factors independently related to the primary end point found that female sex was protective against the condition (Hazard Ratio - HR 0.26; 95% CI 0.09-0.72, p=0.01), while T1/T2 scores (HR: 11.9; 95% CI 4.1-35.3, p<0.001) and histologic signs of TMA (HR: 11.5; 95% CI 3.2; 41.7, p<0.001) were associated with progression to CKD-KRT (Table 5). Figure 2 shows the differences in renal survival for patients with and without evidences of TMA in kidney biopsy.

200

201 DISCUSSION

202 Some clinical findings associated with worse outcomes in individuals with IgAN - male 203 sex, older age, persistent microscopic hematuria, hypertension, proteinuria, and creatinine levels 204 on kidney biopsy $^{21-24}$ – have been described as a factor in the progression to CKD-KRT within 205 five years seen in approximately 30% of the individuals with the condition.^{4,22,25} In light of this 206 fact and the variety of histologic findings to consider, the need to standardize the analysis of 207 kidney biopsy of IgAN patients and the search for histology-related prognostic factors led to the 208 creation of histologic classifications,^{6-8,26} with the Oxford Classification standing as the most 209 widely accepted among pathologists and nephrologists. However, the OC does not consider 210 TMA despite its role in disease progression and although it has been described to affect 2.2% to 53% of the individuals with IgAN.^{11,26} Discrepancies in the frequency of involvement by TMA 211 212 may be ascribed to differences among populations, although the adoption of diverse criteria to 213 diagnose the condition – some including electron microscopy examination and 214 immunohistochemistry staining protocols – is a relevant matter to consider.

215 El Karoui *et al*¹¹ studied a multi-center population of patients with IgAN and TMA. The 216 author found that 68 (53.15%) of 128 patients had histologic evidence of TMA, a proportion 217 higher than the reported in other studies. Diagnosis of TMA was based on light microscopy and 218 immunohistochemistry staining for CD61. Patients with TMA progressed more frequently to 219 CKD-KRT. A multicenter study carried out in Spain²⁷ included patients with IgAN and malignant hypertension. In the study, 13/186 patients (7%) were diagnosed with malignant 220 221 hypertension. Patients with the condition were predominantly men in the fourth decade of life. 222 Ten patients were on KRT at the end of the follow-up period. Three of the six individuals submitted to kidney transplantation returned to dialysis due to chronic allograft nephropathy 223 224 associated with recurrent IgAN, although they did not have signs of malignant hypertension. Cai et al¹⁰ studied 944 patients with IgAN from a single center in China, in which 194 patients with 225 226 histologic evidence of TMA were found based on light and electron microscopy examination. 227 Patients with TMA were older than their counterparts. Most were males with worse renal 228 function, more proteinuria, and, interestingly enough, no differences in serum TMA markers. As

229 seen in previous literature reports, patients with TMA reached the target endpoint more frequently and quickly. Haas et al²⁶ looked into 2290 patients with IgAN and found 49 (2.2%) 230 231 with TMA based on light and electron microscopy examination. The patients in this group were 232 younger and had worse renal function and proteinuria on admission. They were not analyzed for 233 progression to CKD-KRT. Other studies support these findings.^{10,29-31} Our study found histologic evidence of TMA in 17.8% of the included patients, confirming some of the clinical 234 235 and workup findings described previously in the literature (more individuals with hypertension, worse renal function, and worse outcomes).⁹⁻¹¹ As reported in other studies,^{9,10} patients often do 236 237 not present with clinical or workup findings indicative of TMA. Therefore, in our study only 238 anemia and increased schistocyte counts stood out in the comparison of individuals with and 239 without histologic evidence of TMA, since the groups were not different in terms of platelet 240 counts, serum LDH, or haptoglobin levels.

241 Cases of IgAN associated with complement factor mutation have been described,³¹⁻³⁴ 242 some of which effectively treated with Eculizumab.³² What is not known is whether patients 243 with IgAN and TMA actually present with a combination of the two diseases or if 244 immunoglobulin deposition associated with the onset of the disease might produce local 245 manifestations of TMA. The early pathogenesis of TMA has been linked to endothelial 246 lesion.^{35,36} Studies have shown that in addition to tropism by immunoglobulin degradation and complement factors in the mesangium, patients with IgAN also produce anti-endothelial cell 247 antibodies,³⁷⁻³⁸ thereby causing endothelial lesion and dissociation of the endothelium and the 248 249 glomerular basement membrane, activation of the immune system (cytokines, inflammatory cells, complement system) and the coagulation cascade, producing local glomerular 250 thrombosis.^{35,39,40} On the other hand, the complement system may be activated via the lectin 251 pathway as hypoglycosylated IgA1 – linked to the pathogenesis of IgAN – binds to endothelial 252 253 cells.⁴¹⁻⁴³ This finding may be demonstrated by the overwhelming positivity for C4d in patients with IgAN and TMA without co-deposition of C1q.^{10,35} Both theories are plausible, since it is 254 255 not rare to find patients with only histologic and no clinical signs of TMA. We did not observe

256 differences in the profile or intensity of immunoglobulin deposition (IgG, IgM) or complement proteins (C3, C1q) in our series between patients with and without TMA or individuals that 257 258 progressed versus patients that did not progress to CKD-KRT. However, none of the patients 259 with TMA had deposition of IgG or C1q, while 10.3% of the patients without TMA had 260 deposition of IgG and 7.3% of C1q. Chua et al⁹ employed markers not used in routine clinical 261 practice to assess the complement system and analyze depositions of C4d, C5b-9, mannose-262 binding lectin and Factor B in the renal tissue of patients with IgAN with and without TMA. 263 The authors found an association between C4d and TMA and the presence of the two conditions 264 with worse renal survival, thus stressing the role of the complement system in the pathogenesis 265 of TMA in patients with IgAN.

266 By approaching the complement system from a systemic standpoint, we found, in our 267 series, more patients with low C3 levels in the TMA group when compared with individuals 268 without TMA and with the group of patients that progressed to CKD requiring KRT. This 269 finding is not reflected in renal tissue, in which C3 deposition did not occur differently in patients with or without TMA (85.7 vs. 77.3, p=0.57) or in individuals progressing or not to 270 271 CKD-KRT (80.5 vs. 78.0, p=0.95). In regard to MEST-C and TMA parameters, we found 272 associations only with parameter E, which identifies active glomerular endothelial lesions in 273 association with findings consistent with TMA. Since parameter E is modifiable via treatment, it 274 is important to notice that no significant difference was seen in the treatment prescribed to the 275 two groups of patients.

Recent studies^{2,43} pointed to the relevance of glomerular tissue expression of CD68 as an additional marker that allows pathologists to more accurately define parameter E for endocapillary hypercellularity, as described by Soares *et* al.⁴³ In our series, this marker did not elicit differences in the number of cells labeled for CD68 in the glomeruli or tubulointerstitium of patients with and without TMA. However, expression was increased in the tubulointerstitium of patients progressing to CKD requiring KRT on account of the histologic evidence of chronic disease described previously by Soares et al⁴³.

The limitations of this study derive from the fact that is was carried out in a single center and that diagnostic examination for TMA was based only on light microscopy, without the aid of immunohistochemistry staining or electron microscopy. However, studies performed in a single center are known for more consistent compliance with procedure, follow-up, and treatment processes.

288 In conclusion, our study found that patients with IgAN and histologic evidences of 289 TMA had clinically worse kidney function, more hypertension and hematuria, greater 290 proportions of low serum C3 at kidney biopsy, with a larger amount of individuals with 291 endocapillary hypercellularity (E1). Histologic evidence of TMA were not concurrent with 292 clinical/laboratory markers of the condition. Patients with histologic evidence of TMA 293 progressed more frequently and quickly to CKD-KRT when compared to individuals without 294 TMA. Having signs of TMA in kidney biopsy specimens was an independent marker for 295 progression to CKD-KRT when compared to other histologic predictors in the Oxford 296 Classification. Additional studies are required to investigate the role of the complement system in TMA and IgAN and support the development of new therapeutic targets. 297

298

299 DISCLOSURE

300 The authors declare no competing interests

301

302 REFERENCES

- Rodrigues JC, Mark Haas M and Reich HN. IgA Nephropathy. *Clin J Am Soc Nephrol.* 2017;12(4):677–686.
- Trimarchi H, Barratt J, Monteiro RC, Feehally J. IgA nephropathy: "State of the art": a
 report from the 15th International Symposium on IgA Nephropathy celebrating the 50th
 anniversary of its first description. *Kidney Int.* 2019;95(4):750-756.
- D'Amico G. Natural history of idiopathic IgA nephropathy and factors predictive of disease
 outcome. *Semin Nephrol.* 2004;24(3):179-196.

4. Wyatt RJ, Julian BA. IgA nephropathy. *N Engl J Med*. 2013;20;368(25):2402-2414.

- 5. Soares MF and Roberts ISD. IgA nephropathy: an update. *Curr Opin Nephrol Hypertens*.
 2017,26(3):165–171.
- 313 6. Working Group of the International IgA Nephropathy Network and the Renal Pathology
- Society, Cattran DC, Coppo R, Cook HT, Feehally J, Roberts IS, Troyanov S, Alpers CE,
- 315 Amore A, Barratt J, Berthoux F, Bonsib S, Bruijn JA, D'Agati V, D'Amico G, Emancipator
- 316 S, Emma F, Ferrario F, Fervenza FC, Florquin S, Fogo A, Geddes CC, Groene HJ, Haas M,
- 317 Herzenberg AM, Hill PA, Hogg RJ, Hsu SI, Jennette JC, Joh K, Julian BA, Kawamura T,
- Lai FM, Leung CB, Li LS, Li PK, Liu ZH, Mackinnon B, Mezzano S, Schena FP, Tomino
- 319 Y, Walker PD, Wang H, Weening JJ, Yoshikawa N, Zhang H. The Oxford classification of
- 320 IgA nephropathy: rationale, clinicopathological correlations, and classification. *Kidney Int*.
- **321** 2009;76(5):534-545.
- 322 7. Working Group of the International IgA Nephropathy Network and the Renal Pathology 323 Society, Roberts IS, Cook HT, Troyanov S, Alpers CE, Amore A, Barratt J, Berthoux F, 324 Bonsib S, Bruijn JA, Cattran DC, Coppo R, D'Agati V, D'Amico G, Emancipator S, Emma 325 F, Feehally J, Ferrario F, Fervenza FC, Florquin S, Fogo A, Geddes CC, Groene HJ, Haas 326 M, Herzenberg AM, Hill PA, Hogg RJ, Hsu SI, Jennette JC, Joh K, Julian BA, Kawamura 327 T, Lai FM, Li LS, Li PK, Liu ZH, Mackinnon B, Mezzano S, Schena FP, Tomino Y, 328 Walker PD, Wang H, Weening JJ, Yoshikawa N, Zhang H. The Oxford classification of 329 IgA nephropathy: pathology definitions, correlations, and reproducibility. Kidney Int. 330 2009;76(5):546-556.
- 8. Trimarchi H, Barratt J, Cattran DC, Cook HT, Coppo R, Haas M, Liu ZH, Roberts IS,
 Yuzawa Y, Zhang H, Feehally J, IgAN Classification Working Group of the International
 IgA Nephropathy Network and the Renal Pathology Society; Conference Participants.
 Oxford Classification of IgA nephropathy 2016: an update from the IgA Nephropathy
 Classification Working Group. *Kidney Int.* 2017;91(5):1014-1021.

- 336 9. Chua JS, Zandbergen M, Wolterbeek R, Baelde HJ, van Es LA, de Fijter JW, Bruijn JA,
- Bajema IM. Complement-mediated microangiopathy in IgA nephropathy and IgA vasculitis
- 338 with nephritis. *Mod Pathol*. 2019;32(8):1147-1157.
- 339 10. Cai Q, Shi S, Wang S, Ren Y, Hou W, Liu L, Lv J, Haas M, Zhang H. Microangiopathic
- Lesions in IgA Nephropathy: A Cohort Study. *Am J Kidney Dis*. 2019;74(5):629-639.
- 11. El Karoui K, Hill GS, Karras A, Jacquot C, Moulonguet L, Kourilsky O, Frémeaux-Bacchi
- 342 V, Delahousse M, Duong Van Huyen JP, Loupy A, Bruneval P, Nochy D. A
- clinicopathologic study of thrombotic microangiopathy in IgA nephropathy. J Am Soc *Nephrol.* 2012;23(1):137-148.
- 345 12. Brocklebank V, Wood KM and Kavanagh D. Thrombotic Microangiopathy and the Kidney.
 346 *Clin J Am Soc Nephrol.* 2018;13(2):300–317.
- 347 13. Radhakrishnan J, Perazella MA. Drug-induced glomerular disease: attention required! *Clin*348 *J Am Soc Nephrol.* 2015;10(7):1287-1290.
- 14. Li C, Yap DYH, Chan G, Wen YB, Li H, Tang C, Li XM, Li XW, Chan TM. Clinical
- Outcomes and Clinico-pathological Correlations in Lupus Nephritis with Kidney Biopsy
 Showing Thrombotic Microangiopathy. *J Rheumatol.* 2019;46(11):1478-1484.
- Buob D, Decambron M, Gnemmi V, Frimat M, Hoffmann M, Azar R, Gheerbrant JD,
 Guincestre T, Noël C, Copin MC, Glowacki F. Collapsing glomerulopathy is common in
 the setting of thrombotic microangiopathy of the native kidney. *Kidney Int.*2016;90(6):1321-1331.
- 16. Levey AS, Stevens LA, Schmid CH, Zhang YL, Castro AF 3rd, Feldman HI, Kusek JW,
 Eggers P, Van Lente F, Greene T, Coresh J; CKD-EPI (Chronic Kidney Disease
 Epidemiology Collaboration). A new equation to estimate glomerular filtration rate. *Ann Intern Med.* 2009;150(9):604-612.
- 360 17. Williams B, Mancia G, Spiering W, Agabiti Rosei E, Azizi M, Burnier M, Clement DL,
- 361 Coca A, de Simone G, Dominiczak A, Kahan T, Mahfoud F, Redon J, Ruilope L, Zanchetti
- 362 A, Kerins M, Kjeldsen SE, Kreutz R, Laurent S, Lip GYH, McManus R, Narkiewicz K,
- 363 Ruschitzka F, Schmieder RE, Shlyakhto E, Tsioufis C, Aboyans V, Desormais I; ESC

Scientific Document Group. 2018 ESC/ESH Guidelines for the management of arterial
 hypertension. *Eur Heart J.* 2018;39(33):3021-3104.

- 366 18. World Health Organization. Nutritional anaemias: Report of a WHO scientific group.
 367 Geneva, Switzerland: *World Health Organization*; 1968.
- 368 19. Williamson DR, Albert M, Heels-Ansdell D, Arnold DM, Lauzier F, Zarychanski R,
- 369 Crowther M, Warkentin TE, Dodek P, Cade J, Lesur O, Lim W, Fowler R, Lamontagne F,
- 370 Langevin S, Freitag A, Muscedere J, Friedrich JO, Geerts W, Burry L, Alhashemi J, Cook
- 371 D; PROTECT collaborators, the Canadian Critical Care Trials Group, and the Australian
- and New Zealand Intensive Care Society Clinical Trials Group. Thrombocytopenia in
- 373 critically ill patients receiving thromboprophylaxis: frequency, risk factors, and outcomes.

374 *Chest.* 2013;144(4):1207-1215.

- 20. Goodship TH, Cook HT, Fakhouri F, Fervenza FC, Frémeaux-Bacchi V, Kavanagh D,
- 376 Nester CM, Noris M, Pickering MC, Rodríguez de Córdoba S, Roumenina LT, Sethi S,
- 377 Smith RJ; Conference Participants. Atypical hemolytic uremic syndrome and C3
- 378 glomerulopathy: conclusions from a "Kidney Disease: Improving Global Outcomes"

379 (KDIGO) Controversies Conference. *Kidney Int.* 2017;91(3):539-551.

- Maixnerova D, Reily C, Bian Q, Neprasova M, Novak J, Tesar V. Markers for the
 progression of IgA nephropathy. *J Nephrol.* 2016;29(4):535-541.
- 22. Lai KN, Tang SCW, Schena FP, Novak J, Tomino Y, Fogo AB, Glassock RJ. IgA
 Nephropathy. *Nat Rev Dis Primers*. 2016;2:16001.
- 23. Xie J, Kiryluk K, Wang W, Wang Z, Guo S, Shen P, Ren H, Pan X, Chen X, Zhang W, Li
- 385 X, Shi H, Li Y, Gharavi AG, Chen N.. Predicting Progression of IgA Nephropathy: New
- 386 Clinical Progression Risk Score. *PLoS One*. 2012;7(6):e38904.
- 387 24. Moriyama T. Clinical and histological features and therapeutic strategies for IgA
 388 nephropathy. *Clin Exp Nephrol.* 2019;23(9):1089-1099.
- 389 25. Barbour S and Reich H. An update on predicting renal progression in IgA nephropathy.
- 390 *Curr Opin Nephrol Hypertens*. 2018,27(3):214-220.

- 26. Haas M. Histologic subclassification of IgA nephropathy: a clinicopathologic study of 244
- 392 cases. *Am J Kidney Dis*. 1997;29(6):829-842.
- 393 27. Sevillano ÁM, Cabrera J, Gutiérrez E, Morales E, Mérida E, Huerta A, Cavero T,
- Hernández E, Moreno JA, Praga M. Malignant hypertension: a type of IgA nephropathy
 manifestation with poor prognosis. *Nefrologia*. 2015;35(1):42-49.
- 396 28. Haas M, Mirocha J. Thrombotic microangiopathy in IgA nephropathy. *Kidney Dis.*397 2018;4:165–166
- 398 29. Nasri H. Thrombotic microangiopathy in IgA nephropathy. *Iran Red Crescent Med J.*399 2013;15(12):e10234.
- 30. Chang A, Kowalewska J, Smith KD, Nicosia RF, Alpers CE. A clinicopathologic study of
 thrombotic microangiopathy in the setting of IgA nephropathy. *Clin Nephrol.*2006;66(6):397–404.
- 31. Schmitt R, Krmar RT, Kristoffersson A, Söderberg M, Karpman D. IgA nephropathy
 associated with a novel N-terminal mutation in factor H. *Eur J Pediatr*. 2011;170(1):107110.
- 32. Nakamura H, Anayama M, Makino M, Makino Y, Tamura K, Nagasawa M. Atypical
 Hemolytic Uremic Syndrome Associated with Complement Factor H Mutation and IgA
 Nephropathy: A Case Report Successfully Treated with Eculizumab. *Nephron.*2018;138(4):324-327.
- 33. Morita S, Sakai T, Okamoto N, Funabiki A, Okada Y, Hasegawa Y, Amano K, Yoshikawa
 N, Kasuga M. Hemolytic uremic syndrome associated with immunoglobulin A
 nephropathy. A case report and review of cases of hemolytic uremic syndrome with
 glomerular disease. *Intern Med.* 1999;38(6):495–499.
- 414 34. Manenti L, Gnappi E, Vaglio A, Allegri L, Noris M, Bresin E, Pilato FP, Valoti E, Pasquali
- 415 S, Buzio C. Atypical haemolytic uraemic syndrome with underlying glomerulopathies. A
- 416 case series and a review of the literature. *Nephrol Dial Transplant*, 2013;28(9):2246–2259.

417	35. Trimarchi	Η	and	Coppo	R.	Glomerular	endothelial	activation,	C4d	deposits	and
418	microangio	path	ny in	immuno	oglol	bulin A neph	ropathy. Nep	hrol Dial Tr	ranspl	ant. 2019	Nov
419	22. [Epub a	ahea	dofr	orint].							

- 420 36. Fujita E, Nagahama K, Shimizu A, Aoki M, Higo S, Yasuda F, Mii A, Fukui M, Kaneko T,
- 421 Tsuruoka S.. Glomerular capillary and endothelial cell injury is associated with the
- 422 formation of necrotizing and crescentic glomerular lesions in glomerulonephritis. J
- 423 *NipponMed Sch.* 2015; 82(1):27–35.
- 424 37. Wang MX, Walker RG, Kincaid-Smith P. Clinicopathologic associations of anti-endothelial
 425 cell antibodies in immunoglobulin A nephropathy and lupus nephritis. *Am J Kidney Dis*.
 426 1993;22(3):378–386.
- 427 38. Coppo R, Amore A, Gianoglio B, Reyna A, Peruzzi L, Roccatello D, Alessi D, Sena LM.
- 428 Serum IgA and macromolecular IgA reacting with mesangial matrix components. *Contrib*429 *Nephrol.* 1993;104:162–171.
- 430 39. Markiewski MM, Nilsson B, Ekdahl KN, Mollnes TE, Lambris JD. Complement and
 431 coagulation: strangers or partners in crime? *Trends Immunol.* 2007;28(4):184–192.
- 432 40. Bettoni S, Galbusera M, Gastoldi S, Donadelli R, Tentori C, Spartà G, Bresin E, Mele C,
- 433 Alberti M, Tortajada A, Yebenes H, Remuzzi G, Noris M. Interaction between Multimeric
- von Willebrand Factor and Complement: A Fresh Look to the Pathophysiology of
 Microvascular Thrombosis. *J Immunol.* 2017;199(3):1021-1040.
- 436 41. Espinosa M, Ortega R, Gómez-Carrasco JM, López-Rubio F, López-Andreu M, López-
- 437 Oliva MO, et al. Mesangial C4d deposition: a new prognostic factor in IgA nephropathy.
 438 *Nephrol Dial Transplant*. 2009;24(3):886-891.
- 42. Endo M, Ohi H, Ohsawa I, Fujita T, Matsushita M, Fujita T. Glomerular deposition
 ofmannose-binding lectin (MBL) indicates a novel mechanism of complement activation in
 IgA Nephropathy. *Nephrol Dial Transplant*. 1998;13(8):1984–1990.
- 442 43. Segarra A, Romero K, Agraz I, Ramos N, Madrid A, Carnicer C, Jatem E, Vilalta R, Lara
- 443 LE, Ostos E, Valtierra N, Jaramillo J, Arredondo KV, Ariceta G, Martinez C. Mesangial
- 444 C4d Deposits in Early IgA Nephropathy. *Clin J Am Soc Nephrol*. 2018;13(2):258-264.

445	44. Soares MF, Genitscha V, Chakerac A, Smitha A, MacEwend C, Bellura SS and Roberts
446	ISD. Relationship between renal CD68+ infiltrates and the Oxford Classification of IgA
447	Nephropathy. Histopathology. 2019;74(4):629-637.
448	
449	
450	
451	
452	
453	
454	
455	
456	
457	
458	
459	
460	
461	
462	
463	
464	
465	
466	
467	
468	
469	

470 TABLES

	N=118
Age (years)	33 (25;43)
Female sex (n/%)	65 / 55
Serum creatinine (mg/dL)	1.45 (0.99;2.6)
e-GFR by CKD-EPI (ml/min/1.73m ²) ^b	48.8 (27.5;78.5)
24h-proteinuria (g)	2.01 (1.1;3.7)
Serum albumin (g/dl)	3.4 (2.9;3.8)
Hematuria (n/%)	106 / 89.8
Hypertension (n/%)	80 / 67.8
Low serum C3 levels (n/%)	15 / 12.5
Follow-up (months)	65 (27;115)
$\Delta eGFR (ml/min/1.73m^2/year)$	-1.25 (-7.11 ; 0.91
CKD-KRT (n/%)	36 / 30.5
Time to CKD-KRT (months)	9 (3;38)
Kidney Histology - Oxford Classification (n/%)	
M1	90 / 76.3
E1	42 / 35.6
S1	83 / 70.3
T1/T2	45 / 38.3
C1/C2	34 / 28.8
Thrombotic Microangiopathy (n/%)	21 / 17.8

471 Table 1. Baseline clinical characteristics and kidney biopsy findings of patients with IgA472 Nephropathy.

eGFR: estimated glomerular filtration rate, *CKD requiring RRT:* Chronic Kidney Disease requiring Renal Replacement Therapy, *M1:* mesangial hypercellularity, *E1:* endocapillary hypercellularity, *S1:* segmental glomerulosclerosis, *T1/T2:* tubular atrophy or interstitial fibrosis, *C1/C2:* cellular crescent

476 477

478 Table 2. Laboratory findings of thrombotic microangiopathy in patients with and without479 histologic evidence of TMA.

	TMA (n=21)	No-TMA (n=97)	р
Anemia* (n/%)	13 / 61.9	24 / 24.7	0.002
Low Platelet count (n/%)	3 / 14.3	4 / 4.1	0.07
High serum LDH level (n/%)	13 / 61.9	45 / 46.4	0.29
Low serum haptoglobin level (n/%)	2 / 9.5	2/2.6	0.29
High serum indirect bilirubin level (n/%)	0 / 0	4 / 4.12	0.77
Schistocytes on peripheral blood smear (n/%)	4 / 19	3/3.1	0.02

480 *LDH: Lactate Dehydrogenase.*

* Defined as hemoglobin <12g/l for females and <13g/l for males²⁰

481 482

⁴⁷³ 474 475

483 Table 3. Analysis of clinical parameters and laboratory findings in IgAN patients with and

⁴⁸⁴ without histologic evidence of TMA.

	ТМА	No-TMA	р
	(n=21)	(n=97)	P
Male (n/%)	10 / 47.6	43 / 44.3	0.62
Age (years)	32 (27;41)	33 (24;44)	0.83
Hypertension (n/%)	21 / 100	59 / 61	< 0.0001
Hematuria (n/%)	21 / 100	85 / 87.6	0.0001
Serum creatinine (mg/dL)	3.8 (2.2;5.8)	1.38 (0.91;1.9)	0.0001
e-GFR by CKD-EPI (ml/min/1,73m ²) ^a	18.3 (9.2;30.5)	60.2 (35.1;87.5)	0.0001
24h-proteinuria (g)	1.9 (0.9;3.96)	2 (1.3;3.6)	0.86
Serum albumin (g/dL)	3.2 (2.55;3.9)	3.5 (3.1;3.8)	0.26
Low serum C3 levels $(n/\%)$	6 / 28.5	9 / 10.4	0.003
Treatment			
ACE inhibitor or ARB alone (n/%)	19 / 89.4	78 / 80.4	0.37
Corticosteroids (n/%)	11 / 52.6	63 / 64.7	0.35
Other immunosuppressants (n/%)	8 / 36.8	34 / 35.2	0.9
Kidney Histology – $Oxford$ Classification (n/%)			
M1	19 / 89.7	75 / 77.3	0.23
E1	14 / 68	31 / 32	0.002
S1	16 / 78.9	70 / 72.1	0.54
T1/T2	12 / 57.9	35 / 36.1	0.07
C1/C2	8 / 32.1	26 / 26.8	0.57
Immunofluorescence positivity (n/%)			
IgM	6 / 28.5	23 / 23.7	0.84
IgG	0 / 0	10 / 10.3	0.26
Č3	18 / 85.7	75 / 77.3	0.57
Clq	0 / 0	7 / 7.2	0.44
CD68 Immunohistochemistry (cells/field)			
Glomeruli	4.3 (3.02;6.0)	2.25 (1.56;5)	0.12
Tubulointerstitium	25.4 (14;34.7)	18.3 (9.8;28.3)	0.25
Follow-up (months)	7 (3;21)	65 (27;115)	< 0.0001
$\Delta eGFR$ (ml/min/1,73m ² /year)	-6.8 (-24;0)	-0.65 (-4.48;2.3)	0.01
CKD-KRT (n/%)	15 / 71.4	21/21.6	< 0.0001
Time to CKD-KRT (months)	3 (3;7)	16 (4;64)	0.003

485 *e-GFR* estimated glomerular filtration rate, *M1*: mesangial hypercellularity, *E1*: endocapillary hypercellularity, *S1*: segmental
 486 glomerulosclerosis, *T1/T2*: tubular atrophy or interstitial fibrosis, *C1/C2*: cellular crescent, *CKD requiring RRT*: Chronic

487 Kidney Disease requiring Renal Replacement Therapy

488 ^aAs determined by the Chronic Kidney Disease–Epidemiology Collaboration equation

489

490

491

492

493

	CKD-KRT (n=36)	No CKD-KRT (n=82)	p
Age (years)	30 (24;40)	34 (26;50)	0.04
Male sex (n/%)	23 (63,8)	30 (36,5)	0.04
Creatinine (mg/dL)	3 (2.3;5.6)	1.2 (0.9;1.7)	< 0.001
eGFR (ml/min/1.73m ²)	22.5 (9.6;36)	64.8 (40;91.7)	<0.0001
Proteinuria (g/day)	2.4 (1.3;4.1)	1.58 (1;2.98)	0.07
Albumin (g/dL)	3.4 (2.7;3.8)	3.5 (3;3.9)	0.61
Hematuria (n/%)	32 / 88.9	70 / 85.3	0.01
Hypertension (n/%)	31 / 86.1	46 / 56.1	0.0016
Consumption of C3 (n/%)	9 / 25.7	6 / 7.3	0.01
Follow-up (months)	7 (3;39)	69 (35;122)	< 0.001
Δ GFR (ml/min/1.73m ² /year)	-8.17 (-31;2.46)	-0.21 (-2.1;2.7)	< 0.0001
Kidney Histology – Oxford Classification (n/%)	0.17 (51,2.10)	0.21 (2.1,2.7)	\$0.0001
M1	21 / 58.33	60 / 73.1	0.13
E1	16 / 44.4	24 / 29.2	0.13
S1	24 / 66.6	51 / 62.2	0.68
T1/T2	20 / 54.2	19 / 23.2	0.002
C1/C2	13 / 36.1	18 / 21.9	0.11
Immunofluorescence positivity (n/%)			
IgM	11/30.5	18 / 21.9	0.44
IgG	3 / 8.3	7 / 8.53	0.74
C3	29 / 80.5	64 / 78	0.95
Clq	2 / 5.5	5 / 6.1	0.75
CD68 Immunohistochemistry (cells/field)			
Glomeruli	3.35 (1.87;5.82)	2.24 (1.47;5.04)	0.38
Tubulointerstitium	32.5 (24.6;51.9)	15.6 (8.99;24.4)	< 0.0001
TMA (n/%)	15/41.7	6 / 7.32	< 0.0001
Treatment			
ACE inhibitor or ARB (n/%)	17 / 47.2	54 / 65.8	0.06
Corticosteroids (n/%)	13 / 36.1	40 / 48.8	0.23
Other immunosuppressants (n/%)	8 / 22.2	16 / 19.6	0.81

494 Table 4. Clinical parameters and laboratory findings of IgAN patients progressing or not to CKD-KRT

495 eGFR estimated glomerular filtration rate. SD standard deviation. M1: mesangial hypercellularity. E1: endocapillary
496 hypercellularity. S1: segmental glomerulosclerosis. T1/T2: tubular atrophy or interstitial fibrosis. C1/C2 cellular
497 crescent. ACEi angiotensin-converting enzyme inhibitor. ARB angiotensin II receptor blocker CKD-RRT: Chronic
498 Kidney Disease requiring Kidney Replacement Therapy

499 ^aAs determined by the Chronic Kidney Disease–Epidemiology Collaboration equation

501 [

- 502 Table 5. Logistic regression analysis for the primary outcome^a adjusted for sex, hypertension,
- 503 creatinine, eGFR, TMA, and the Oxford classification.

		95% Confidence		
Variable	HR	Lower	Upper	р
Female	0.26	0.09	0.72	0.01
T1/T2	11.9	4.1	35.3	< 0.0001
TMA	11.5	3.2	41.7	< 0.0001
HR hazard rat	io. CI co	onfidence interval. T1/2	72 mild to severe tubu	lar atrophy or

504 505 506

507

interstitial fibrosis. TMA: Thrombotic Microangiopathy

^aCKD requiring RRT: Chronic Kidney Disease requiring Renal Replacement Therapy

⁵⁰⁰

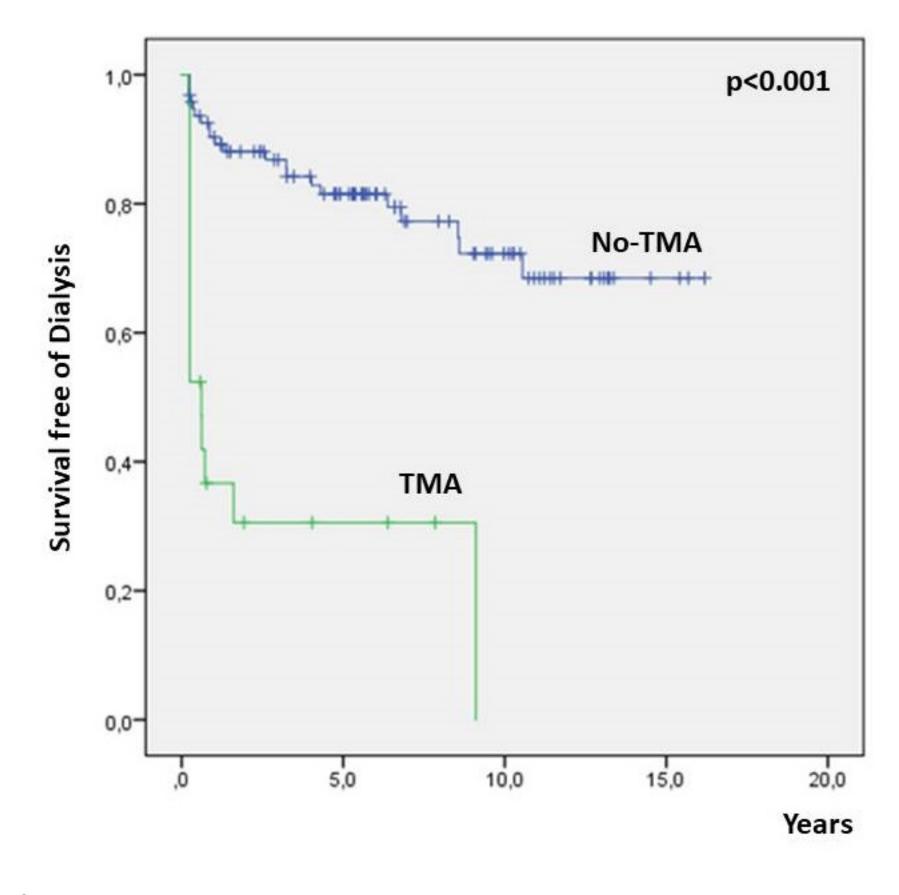
508 FIGURE LEGENDS

- 509 Figure 1. CD68 immunohistochemistry of kidney biopsies of IgAN patients labeled positive in
- 510 the A) glomerulus (x400) and B) tubulointerstitium (x200).
- 511 Figure 2. Survival free of dialysis of patients with IgAN with and without evidence of TMA in
- 512 kidney biopsy specimens.

513

Α В

Figure 1



Figure