

## Assessing the variation within the oral microbiome of healthy adults

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### Abstract:

Over 1000 different species of microbes have been found to live within the human oral cavity where they play important roles in maintaining both oral and systemic health. Several studies have identified the core members of this microbial community, however, the factors that determine oral microbiome composition are not well understood. In this study we exam the salivary oral microbiome of 1049 Atlantic Canadians using 16S rRNA gene sequencing in order to determine which dietary, lifestyle, and anthropometric features play a role in shaping microbial community composition. Features that were identified as being significantly associated with overall composition were then additionally examined for genera and amplicon sequence variants that were associated with these features. Several associations were replicated in an additional secondary validation dataset. Overall, we found that several anthropometric measurements including waist hip ratio, height, and fat free mass, as well as age and sex, were

24 associated with oral microbiome composition in both our exploratory and validation cohorts. We  
25 were unable to validate dietary impacts on the oral microbiome but did find evidence to suggest  
26 potential contributions from factors such as the number of vegetable and refined grain servings  
27 an individual consumes. Interestingly, each one of these factors on their own were associated  
28 with only minor shifts in the oral microbiome suggesting that future biomarker identification for  
29 several diseases associated with the oral microbiome may be undertaken without the worry of  
30 confounding factors obscuring biological signal.

### 31 **Importance:**

32         The human oral cavity is inhabited by a diverse community of microbes known as the  
33 human oral microbiome. These microbes play a role in maintaining both oral and systemic health  
34 and as such have been proposed to be useful biomarkers of disease. However, to identify these  
35 biomarkers, we first need to determine the composition and variation of the healthy oral  
36 microbiome. Within this report we investigate the oral microbiome of 1049 healthy individuals  
37 to determine which genera and amplicon sequence variants are commonly found between  
38 individual oral microbiomes. We then further investigate how lifestyle, anthropometric, and  
39 dietary choices impact overall microbiome composition. Interestingly, the results from this  
40 investigation showed that while many features were significantly associated with oral  
41 microbiome composition no single biological factor explained a variation larger than 2%. These  
42 results indicate that future work on biomarker detection may be encourage by the lack of strong  
43 confounding factors.

44

### 45 **Introduction:**

46           The human oral cavity is colonized by numerous bacteria, fungi, viruses and archaea that  
47   make a rich microbial community known as the oral microbiome. This microbial community is  
48   one of the most diverse sites of microbial growth within the human body being only secondary to  
49   the colon (1). To date over 1000 different bacterial species have been found to colonize the oral  
50   cavity (2) on various surfaces including the tongue, teeth, cheek, and gingivae (1). These  
51   communities of microbes are responsible for various functions that can both maintain and  
52   deplete oral health. For example, the presence of biofilms containing bacterial species such as  
53   *Streptococcus mutans* and other aciduric bacteria can damage hard dental surfaces and lead to  
54   dental caries (3, 4). Furthermore, the oral microbiome is known to play a role in a myriad of  
55   other oral diseases including oral cancer (5), periodontitis (6, 7), and gingivitis (8, 9). In addition  
56   to well-established associations between oral and cardiac health (10), recent work has also begun  
57   to show that the oral microbiome may play a role in the health of other distal sites within the  
58   human body. This includes diseases such as colorectal cancer (11, 12), pancreatic cancer (13),  
59   prostate cancer (14), atherosclerosis (15) and inflammatory bowel disease (16).

60           Due to the associations between these diseases and the oral microbiome, its composition  
61   has been proposed as a useful biomarker for human health and disease. With this in mind,  
62   various studies have attempted to identify core members of the “healthy” oral microbiome (1,  
63   17–20) to help aid in disease detection. These studies have uncovered that, at the genus level, the  
64   oral microbiome remains relatively stable between individuals(1, 20) and across multiple  
65   geographic locations(18, 21), but at deeper taxonomic resolutions, it can be variable. This  
66   variability has indicated that dietary, anthropometric or sociodemographic factors may play a  
67   role in shaping the oral microbiome(17, 19, 22–25). Various studies have focused on individual  
68   factors that may cause shifts in the oral microbiome such as ethnicity(1, 25), alcohol

69 consumption(26), smoking(27), obesity(28, 29), and dietary patterns(30). However, to date only  
70 a small number of studies have looked at the relative contributions of each of these factors to oral  
71 microbiome variability in a single cohort. Takeshita et al., examined the oral microbiome of  
72 2343 adults living in Japan using 16S rRNA gene sequencing and identified that higher  
73 abundances of *Prevotella*, and *Veillonella* species were associated with old age, higher body mass  
74 index (BMI), and poor overall oral health (19). Another study by Renson et al., in adults living in  
75 New York city also found that variation in taxonomic abundances could be linked to marital  
76 status, ethnicity, education and age (23). Further, work by Belstrøm et al., examined the oral  
77 microbiome of 292 Danish individuals with low levels of dental caries and periodontitis using  
78 microarrays and found that while socioeconomic status impacted oral microbiome profiles, diet,  
79 BMI, age, and sex had no statistical impact on microbial abundances (22). This study, however,  
80 was only able to identify the abundances of taxa that had a corresponding probe which, could  
81 explain its disagreement with other work. Overall, these studies have indicated that both  
82 biological differences such as sex and BMI as well as lifestyle and sociodemographic differences  
83 can impact oral microbiome composition.

84         While these studies have shed light on the variation of the oral microbiome, it is currently  
85 unclear to what extent these factors play a role in shaping the oral microbiome of an individual.  
86 Without identifying the effect size of each of these factors relative to one another, it is difficult to  
87 identify the correct variables that should be controlled for in case-control studies of the oral  
88 microbiome. Furthermore, each of these studies have identified different taxa that are impacted  
89 by various factors such as sex, BMI and age. This could be due to many factors, including  
90 systemic bias introduced via the use of different protocols or differences in the studied cohorts.

91 Therefore, the identification of microbes that are impacted by factors such as sex, BMI, or diet  
92 could help identify potential interactions between the oral microbiome, health, and disease.

93 Herein, we report the variation within the healthy oral microbiome by examining 741  
94 samples from non-smoking healthy individuals living within the Atlantic Provinces of Canada.  
95 We then validated our results on a smaller subset of individuals (n=308) from the same cohort  
96 (**Sup Fig 1**). The bacterial oral microbiome composition of these individuals was investigated  
97 through 16S rRNA gene sequencing from saliva samples provided by each participant.

98 Compositions were then compared with 41 different variables including anthropometric, dietary  
99 and sociodemographic factors. In this investigation, we determined which of these factors play a  
100 role in shaping the oral microbiome and to what extent these factors can explain the overall oral  
101 microbiome composition.

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## 105 **Methods:**

### 106 Study design and population:

107 The current study includes the analysis of saliva samples from the Atlantic Partnership for  
108 Tomorrow's Health (PATH) study. Atlantic PATH is part of the Canadian Partnership for  
109 Tomorrow's Health (CanPath) project, a pan-Canadian prospective cohort study examining the  
110 influence of environmental, genetic and lifestyle factors on the development of chronic disease  
111 (31). The applicable provincial and regional ethics boards approved the study protocol and all  
112 participants provided written informed consent prior to participation. The primary inclusion  
113 criteria were that participants were aged 30-74 years at time of recruitment, a resident in one of

114 the Atlantic Canadian provinces (Nova Scotia, New Brunswick, Prince Edward Island, and  
115 Newfoundland and Labrador). Recruitment and baseline data for all participating regions was  
116 collected between 2000 and 2019. Details on participant recruitment and a descriptive cohort  
117 profile have been published elsewhere (31). The questionnaire included sociodemographic  
118 information, health information, behaviours, environmental factors, and self-reported  
119 anthropometric information. Participants also had anthropometric measures (height, weight,  
120 waist and hip circumferences, body composition, blood pressure, grip strength, and resting heart  
121 rate) and biological samples (blood, urine, saliva, and toenails) collected. Approximately 9000  
122 participants in the Atlantic PATH cohort provided a saliva sample. Participants were instructed  
123 to refrain from eating, smoking, or chew gum for at least 30 minutes prior to oral specimen  
124 collection. Oral samples (3 ml) were collected in sterile 50 ml conical tubes after rinsing with  
125 water. Samples were stored at 4°C and batch shipped on ice to the central processing facility at  
126 the QEII Health Sciences Centre in Halifax, Nova Scotia. Samples were processed within 24  
127 hours of collection, aliquoted into cryovials and stored at -80°C until analysis.

128

129 The current analysis includes a total of 1214 saliva samples from healthy Atlantic Canadians  
130 living within the provinces of Nova Scotia, New Brunswick, and Prince Edward Island. Based on  
131 self-reported data, participants were defined as healthy if they had not been diagnosed with any  
132 of the following conditions: hypertension, myocardial infarction, stroke, asthma, chronic  
133 obstructive pulmonary disease, major depression, diabetes, inflammatory bowel disease, irritable  
134 bowel syndrome, chronic bronchitis, emphysema, liver cirrhosis, chronic  
135 hepatitis, dermatologic disease (psoriasis and eczema), multiple sclerosis,  
136 arthritis, lupus, osteoporosis, and cancer. A total of 165 of these samples were removed due to

137 insufficient sequencing depth and of the remaining 1049, 308 were removed due to incomplete  
138 answering of the 41 variables examined in this study. These 308 samples that were removed  
139 were then used in validation analysis (details below) to confirm findings within the larger 741  
140 participant cohort.

141

#### 142 Socio-demographic, lifestyle and anthropometric variables:

143 Questionnaires were used to collect socio-demographic and lifestyle variables. Self-reported  
144 variables included age, sex, education level, household income, rural/urban, province, dental  
145 visits, sleep patterns, alcohol consumption, smoking status, and dietary variables such as food  
146 avoidance, the use of specific types of fat/oil and artificial sweeteners, the frequency of dessert,  
147 soda drinks, soy/fish sauce, seasoning with salt seasoning, and fast food, as well as servings of  
148 vegetables, fruit, juice, whole grains, refined grains, dairy products, eggs, fish, tofu, beans, and  
149 nuts/seeds. Anthropometric measures were collected by trained personnel in assessment centres.  
150 Waist and hip circumferences were measured using Lufin steel tape. Height was measured by a  
151 Seca stadiometer. Height and weight measures were used to calculate body mass index (BMI;  
152 weight in kilograms divided by height in meters squared;  $\text{kg}/\text{m}^2$ ). Body weight, fat mass, and  
153 fat-free mass were measured using the Tanita bioelectrical impedance device (Tanita BC-418,  
154 Tanita Corporation of America Inc., Arlington Heights, Illinois). Table 1 lists all variables that  
155 were used for analysis.

156

#### 157 Oral Microbiome 16S rRNA Sequencing:

158 Frozen saliva samples were thawed at room temperature and aliquoted into 96 well plates. DNA  
159 from samples were then extracted using a QIAamp 96 PowerFecal QIAcube HT Kit following  
160 the manufacturer's instructions using a TissueLyser II and the addition of Proteinase K.  
161 Sequencing of the 16S rRNA gene was performed by the Integrated Microbiome Resource at  
162 Dalhousie University. The V4-V5 region was amplified from extracted DNA in a PCR using 16S  
163 rRNA gene V4-V5 fusion primers (515FB – 926R) (32) and high-fidelity Phusion polymerase.  
164 Amplified DNA concentrations were then normalised and pooled together to be sequenced on an  
165 Illumina MiSeq. Sequencing of samples was conducted over 6 Illumina MiSeq runs producing  
166 300 base pair paired-end reads.

167

168 *16S rRNA Gene Sequence Processing:*

169 Primers were removed from paired-end 300 base pair sequences using cut adapt(33). Primer free  
170 reads were then stitched together using the QIIME2 (v. QIIME2-2018.8)(34) VSEARCH(35)  
171 join-pairs plugin. Stitched reads were then filtered using the QIIME2 plugin q-score-joined using  
172 the default parameters. Quality filtered reads were then input into the QIIME2 plugin Deblur(36)  
173 to produce amplicon sequence variants (ASV). A trim length of 360 base pairs and a minimum  
174 number of reads required to pass filtering was set to 1. Amplicon sequence variants that were  
175 found in an abundance of less than 0.1% of the mean sample depth (18) were then removed from  
176 analysis. This is to keep inline with the approximate bleed-through rate on an Illumina MiSeq  
177 sequencer. After filtering a total of 13248 ASVs were recovered. Representative sequences were  
178 then placed into the Greengenes 13\_8 99%(37) reference 16S rRNA tree using the QIIME2  
179 (2019.7) fragment-insertion SEPP(38, 39) plugin . Rarefaction curves were then generated using  
180 the QIIME2 alpha-rarefaction plugin and a suitable rarefaction depth of 5000 was chosen for



181 diversity analysis based on when the number of newly discovered ASVs came to a plateau (**Sup**  
182 **Fig 2**). Representative sequences were then assigned taxonomy using a custom trained V4-V5  
183 16S rRNA naive Bayesian QIIME2 classifier(40) trained on the 99% Silva V132 database(41).

184

#### 185 Oral Microbiome Composition Analysis:

186 Taxonomic composition tables were generated using the QIIME2 taxa plugin and collapsed at  
187 the genus level. All samples over 5000 reads in depth (1049) were subsampled to a depth of 5000  
188 reads each and taxa that contributed less than a mean relative abundance of 1% were grouped  
189 together under an “Other” category. The composition stacked bar chart was then generated in R  
190 using ggplot2(42) and the x-axis was order based on the PC1 weighted Unifrac coordinates of  
191 each sample.

192

#### 193 Core Oral Microbiome Analysis:

194 Taxonomic tables subsampled previously at 5000 reads were collapsed at the genus and ASV  
195 level using QIIME2. Genera/ASVs were removed at varying different sample presence cut-offs  
196 and the remaining total mean relative abundance of non-filtered out genera/ASVs was then  
197 calculated.

198

#### 199 Oral Microbiome Alpha Diversity analysis:

200 Alpha diversity metrics were generated using QIIME2 (v2019.7) and the previously generated  
201 tree containing both representative sequences and reference sequences. All samples were  
202 subsampled to a depth of 5000 reads. Association between four different alpha diversity metrics  
203 (Faith’s Phylogenetic Diversity, Shannon, Evenness, Number of ASVs) were then tested using

204 general linear models while controlling for DNA extraction. A base model containing only DNA  
205 extraction as a covariate and a testing modelling containing DNA extraction and the covariate of  
206 interest were then compared using an ANOVA and p-values were recorded. Recorded p-values  
207 were then corrected for false discovery (Benjamini and Hochberg(43)) with a chosen alpha of  $q$   
208  $< 0.1$ .

209

#### 210 Oral Microbiome Beta Diversity analysis:

211 Beta diversity metrics were generated using QIIME2 and the previously generated phylogeny.  
212 All sequences were subsampled to a depth of 5000 reads based on the plateauing stage of  
213 rarefaction plots (**Sup Fig 2**). Association between two different beta diversity metrics (weighted  
214 UniFrac distance, Bray Curtis dissimilarity) were then tested using a PERMANOVA (adonis2  
215 function in Vegan(44)) while controlling for DNA extraction. Marginal p values were then  
216 corrected for false discovery (Benjamini and Hochberg) and an alpha value of  $q < 0.1$  was  
217 chosen. Significant features from univariate analysis were then included in a single multivariate  
218 model that underwent backwards covariate selection, where each co-variation with the highest p-  
219 value was removed from the model until all features were found to be significant. Additional  
220 testing using adonis2 on fat free mass and height were done while controlling for both sex and  
221 DNA extraction.

222

#### 223 Differential abundance analysis:

224 Differential abundance analysis was conducted using the Corncob(45) (v 0.1.0) and  
225 Phyloseq(46) R packages. A genus level taxonomic table was generated using QIIME2 (2019.7)  
226 and genera that were not found in at least 10% of samples were removed. The fifteen covariates

227 that were found to be significantly associated to either weighted UniFrac or Bray Curtis  
228 dissimilarities were chosen for testing. Testing of each covariate was done using the  
229 “differentialtest” function in the Corncob package while controlling for differences in DNA  
230 extraction and differential variability across DNA extraction and the covariate of interest.  
231 Heatmaps were then constructed containing any genera/ASV that were significantly associated to  
232 at least one of the covariates that were tested.

233

#### 234 Validation analysis:

235 A total of 308 samples had not completely answered all 41 metadata variables of interest and  
236 therefore were removed from the original analysis. This smaller cohort was used to test our  
237 previous results by removing samples during testing of each covariate that had not answered that  
238 question on the questionnaire. Both beta diversity analysis and differential abundance analysis  
239 were carried out in the same manner as previously explained except for only testing features that  
240 were previously identified as being significantly associated with that covariate/metric.  
241 Furthermore, as there was previous evidence that these features were associated with that  
242 covariate/metric, p-values were not corrected for false discovery but an alpha value of 0.05 was  
243 chosen.

244

#### 245 Results:

##### 246 The Healthy Oral Microbiome is Stable at the Genus Level but Variable at Higher Resolutions:

247 We examined the oral microbiome composition of the overall cohort containing 1049  
248 healthy individuals (**Sup Fig 1**) from Atlantic Canada to understand how anthropometric, socio-  
249 demographic and dietary choices could alter oral microbiome composition. We found that 16

250 genera were found to have a mean relative abundance greater than 1% (**Fig 1A**) with *Veillonella*  
251 having the largest mean contribution (21.49% +- 0.38%) followed by *Neisseria* (13.04% +-  
252 0.40%), *Streptococcus* (11.86% +- 0.26%) and *Prevotella 7* (11.55% +- 0.24%).

253 To characterise the core relative abundance of core genera and ASVs within the oral  
254 microbiome of these samples the mean relative abundance of genera/ASVs that were present in  
255 greater than a specific percentage of samples was analysed. Interestingly, we found that at the  
256 genus level the oral microbiome is relatively stable with 11 genera (**Sup Fig 3A**) present in  
257 greater than 99% of all individuals making up on average a total relative abundance of 77.82%  
258 (**Fig 1B**). However, this was not the case when we examined composition at a higher taxonomic  
259 resolution. We then found that only 5.17% on average of the total relative abundance of the oral  
260 microbiome was made up of 3 ASVs (**Sup Fig 3B**) shared between 99% of all participants in the  
261 study (**Fig 1C**). These ASVs were classified as being in the *Granulicatella*, *Streptococcus*, and  
262 *Gemelli* genera but could not confidently be assigned to a specific species.

263

#### 264 Demographic, Anthropometric, and lifestyle choices have small but significant impacts on oral 265 microbiome composition

266 We examined the relationship of both alpha and beta diversity of the oral microbiome  
267 between 41 different variables that described various demographic, lifestyle, and anthropometric  
268 measures (Table 1). Samples were split into two different cohort based on whether they had  
269 answered all 41 variables of interest. A total of 741 individuals answered all 41 variables and  
270 were included in the exploratory cohort. From this cohort we did not find any significant  
271 associations between any of the 41 variables tested and four different alpha diversity metrics  
272 (Faith's PD, number of ASVs, Shannon, Evenness) after correction for multiple testing using

273 linear models that were adjusted for DNA extraction batch (**Sup file 1**). We did, however, find  
274 ten variables that were associated with differences in beta diversity as measured by both  
275 weighted UniFrac (**Fig 2A**) and Bray Curtis dissimilarity (**Fig 2C**) (PERMANOVA,  $q < 0.1$ )  
276 (**Sup file 2**). We found two additional variables that were only associated with weighted UniFrac  
277 distances and three variables additional variables only associated with Bray Curtis dissimilarity  
278 (PERMANOVA,  $q < 0.1$ ). Principal component analysis of both the weighted UniFrac distances  
279 and Bray Curtis dissimilarity of each sample revealed that anthropometric measures such as  
280 height, weight, waist hip ratio, waist size, and fat free mass were all correlated in similar  
281 directions along PC1, whereas features such as vegetable servings, age, and being female  
282 correlated in opposite directions (**Fig 2C**). As sex plays an important role in determining the  
283 height, fat free mass and waist hip ratio of an individual, we attempted to determine whether sex  
284 was confounding our results from these variables. A separate analysis on weighted UniFrac  
285 distances controlling for sex indicated that fat free mass ( $p=0.02$ ,  $r^2=0.0039$ ) and waist hip ratio  
286 ( $p=0.03$ ,  $r^2=0.0039$ ), but not height ( $p=0.44$ ,  $r^2=0.0012$ ) was significantly associated to  
287 microbial composition despite differences in sex. Examining the amount of variation explained  
288 by each variable by itself after controlling for DNA extraction showed small effect sizes for both  
289 weighted UniFrac distances and Bray Curtis dissimilarities ( $R^2$  0.0030 - 0.009) (**Fig 2B, 2D**). Of  
290 the features that were significant, sleeping light exposure explained the least amount of variation  
291 in both weighted UniFrac distances ( $r^2 = 0.0036$ ) and Bray-Curtis dissimilarity ( $r^2=0.0030$ ). We  
292 also found that fat free mass explained the largest amount of variation in both weighted UniFrac  
293 ( $r^2=0.009$ ) and Bray Curtis dissimilarity ( $r^2=0.006$ ). In generally we found that the rankings of  
294 effect sizes between these two different metrics agreed (Fig 2B, 2D). Also, the directionality of  
295 each feature along PC1 and PC2 were similar between both weighted UniFrac and Bray Curtis

296 dissimilarity (Fig 2A, 2C). Examining each significant factor in our weighted UniFrac analysis  
297 using a backward selected multivariate PERMANOVA, we found that 7.0% of total oral  
298 microbiome variation could be explained by a total of 6 significant factors including DNA  
299 extraction batch despite using the same protocol, equipment and personnel for each round (**Sup**  
300 **Tab 1**). Interestingly, of these 6 factors DNA extraction number explained a considerable  
301 amount of the variation alone (4.18%) (**Sup Table 1**). We found similar results examining beta  
302 diversity variation using Bray Curtis dissimilarity with a slightly higher number of significant  
303 features and lower total variation explained (5.87%) (**Sup Table 2**).

304

305 Various oral bacterial genera and ASVs are associated with anthropometric measurements, and  
306 dietary choices in healthy individuals

307 We next decided to identify genera that were associated with the fifteen features previously  
308 identified as being associated with beta diversity in either the weighted UniFrac or Bray Curtis  
309 dissimilarity analysis. We found 42 genera (**Fig 3A**) and 42 ASVs (**Fig 3B**) that were  
310 significantly associated with at least one of these features after controlling for DNA extraction.  
311 We found that sex, height, and fat free mass shared similar genera and ASV associations. To  
312 control for the possibility of sex confounding our height and fat free mass associations we  
313 reanalysed the data controlling for sex. We found that no ASVs or genera were significantly  
314 associated to fat free mass after controlling for sex and only 3 genera Chloroplast,  
315 Burkholderiaceae unclassified and *Treponema 2* were significantly associated to height.  
316 Interestingly two of these three genera were not previously associated to height in our initial  
317 analysis. These results suggest that many of these features associated to height or fat free mass  
318 may be driven by differences in sex. To test this, we also tested for differences in sex while

319 controlling for both fat free mass and height. Interestingly, we did not find any significantly  
320 associated ASVs and only three significantly associated genera *DeFluvittaleaceae UCG-011*,  
321 *Leptotrichia*, and *Treponema 2*.

322 We did not find any other features that shared similar patterns of taxonomic associations  
323 but there were multiple genera with multiple feature associations. The genus *Prevotella 7* had the  
324 highest number of features (5) associated with its relative abundance including four  
325 anthropometric measurements (height, fat free mass, waist size, waist hip ratio, and weight) and  
326 sex. Interestingly, BMI did not have any genera or ASVs significantly associated despite many  
327 other anthropometric measures showing strong taxonomic signals. We were unable to identify  
328 any single ASVs associated to waist size and weight but were able to identify a small number of  
329 genera including *Prevotella 7*, which was related to both and *Mogibacterium* with waist size. We  
330 also found that for some phyla, all taxa with significant associations had the same effect size  
331 direction. For example, genera in the Actinobacteria or Proteobacteria phyla tended to be  
332 negatively associated with fat free mass, height and being male. We also found several genera in  
333 the Proteobacteria phylum that were significantly associated with the amount of time since an  
334 individuals last dental appointment.

335 In contrast, examining the ASVs associated with each feature we found that in a small  
336 number of cases ASVs in the same genera had opposite directions of association to the same  
337 features. For example, two ASVs classified as *Rothia* uncultured were both significantly  
338 associated to age but in opposite directions suggesting that lower taxonomic resolution is  
339 required to identify some associations. Furthermore, we also identified cases were ASVs that  
340 were associated to a feature were classified in a genus that was found not to be related to that  
341 feature. For example, ASV-4ca02 *Selenomonas* uncultured was strongly associated with being

342 male even though this entire collective genus was not (**Fig 3**). Further examples include ASV-  
343 e2cc4 which was classified in the genus *Alysiella*, and significantly associated with reduced  
344 refined grain servings. Examples of the opposite occurrence are also present with genera such as  
345 *Mycoplasma* being associated with age but no single ASV for this associated could be identified.

346

#### 347 Validation of diversity and differential abundance analysis:

348 To help validate our findings we analyzed an additional 308 samples from a smaller  
349 subset of the Atlantic PATH cohort that had not completely answered all 41 variables of interest.  
350 We found that associations between beta diversity and anthropometric features such as height,  
351 weight, waist hip ratio, and fat free mass were recoverable within our smaller cohort (**Table 2,**  
352 **Sup Fig 4**). Furthermore, we also found that the associations between age and sex with oral  
353 microbiome composition were also recoverable, validating our previous analysis. We were  
354 unable to recover any significant dietary associations within this smaller validation cohort. We  
355 also were unable to recover associations between lifestyle variables such as sleeping light  
356 exposure or the time since an individuals last dental visit. The inability to recover these  
357 differences could have been due to the highly reduced sample size within this validation cohort.

358 We further validated our differential abundance analysis using this cohort and found 8/17  
359 genera associated with sex, 8/16 genera associated with fat free mass, 5/15 genera associated  
360 with height, and 3/11 genera associated with age were recoverable within this smaller cohort.  
361 Additionally, the negative association between *Prevotella 2* and waist hip ratio was also verified  
362 within this cohort. Furthermore, several associations between ASVs and features such as sex  
363 (5/14), height (4/12), fat free mass (2/3) and sleeping light exposure (1/2) were also found within  
364 this smaller validation cohort. All significant effect sizes that were recovered in the validation



365 cohort except for one, between sleeping light exposure and ASV-d4746 *Streptococcus*, remained  
366 in same direction as the original cohort indicating relationships that were robust to sample  
367 choice.

### 368 **Discussion:**

369 Our analysis of 1049 healthy (**Sup Fig 1**) individuals from Atlantic Canada revealed that  
370 much of the oral microbiome of Atlantic Canadians was made up of eleven “core” genera that  
371 belong to six different phyla (*Actinobacteria*, *Fusobacteria*, *Proteobacteria*, *Firmicutes*,  
372 *Bacteroidetes*, and *Fusobacteria*). Interestingly some of these core genera found in 99% of all  
373 samples were found in relatively low abundance (<2% mean abundance) indicating that bacteria  
374 within the oral microbiome can be consistently observed with minor contributions. In contrast,  
375 the composition at the ASV level had only 3 ASVs being present in 99% of samples and only  
376 contributing 5.17% of the total oral microbiome composition on average. Overall, these results  
377 indicate that individuals tend to share similar genera within the oral cavity, but the species/strains  
378 shared between individuals is highly variable. These findings are inline with previous work from  
379 the Human Microbiome project that found the oral microbiome to be relatively stable at the  
380 genus level(1).

381 We found that various anthropometric and lifestyle features were significantly associated  
382 with oral microbiome composition, however, they explained only a small amount of total oral  
383 microbiome variance while controlling for DNA extraction batch (5.87-7.00%). We found that  
384 fat free mass explained the highest amount of variance (0.6-0.9%) of all biological features.  
385 While this feature had many differential abundant genera and ASVs associated with it, we were  
386 unable to recover any of them after controlling for differences in sex. This could indicate that  
387 these associations could be driven by sex and not underlying fat mass, however, we were also

388 unable to recover many relationships between sex and taxonomic abundance while controlling  
389 for fat free mass indicating that both of these factors significant confound the other. However,  
390 despite this issue there is previous evidence to suggest that some bacteria are related to  
391 differences in body size. A study in children found reduced abundance of *Veillonella*, *Prevotella*,  
392 *Selenomonas* and *Streptococcus* in obese children (47). Interestingly, in our adult population we  
393 found similar trends with members of the *Veillonella* family being positively associated with  
394 increasing fat free mass, and members of the *Prevotella* genus also being linked with higher fat  
395 free mass. Another publication on the Southern Community Cohort Study found that both  
396 *Granulicatella* and *Gemella* were associated with obesity (29) which we also found within our  
397 cohort at both the genus and ASV level. One interesting result from our study was our inability  
398 to identify any genera or ASVs linked to body mass index, despite numerous relationships  
399 between anthropometric measurements being identified. These results indicate that future studies  
400 should be advised to include sex and other measurements of body composition, such as lean  
401 body mass, when looking at relationships between the microbiome and obesity.

402 We found two genera *Defluviitaleaceae* UCG-011 and an uncultured genus from  
403 *Veillonellaceae* which were strongly associated with being male. However, neither of these  
404 associations were recovered in our validation cohort indicating that they could either be false  
405 positives or require a larger sample size to recover. Despite this we were still able to recover  
406 eight genus level associations in our validation cohort, however, only a few of these associations  
407 match those that were previously reported. Renson et al. found two genera *Lactobacillus* and  
408 *Actinobacillus* to be higher in males, which we did not find within our study (23). This could  
409 have been due to multiple differences including sampling procedures or systemic protocol bias.  
410 Raju et al. found that there was a high relative abundance of *Haemophilus* in females which we

411 also found in our study, however they also found *Oribacterium* to be increased in females which  
412 was opposite from what was found in this study (47). Differences between these studies and ours  
413 can in part be attributed to differences in samples collection and sequencing primers used  
414 highlighting the importance of standardizing protocols within the field.

415 We were unable to recover any relationships between dietary features within our  
416 validation cohort, however, refined grain servings per day had the largest impact on oral  
417 microbiome composition in our initial analysis. During this initial analysis, we found that  
418 bacteria from four genera *Bergeyella*, *Parvimonas*, *Veillonella*, and *Neisseria* decreased in  
419 relative abundance with increasing refined grain intake. Interestingly, refined grain intake had a  
420 very strong association with inflammatory bowel disease in a previous analysis of this  
421 cohort(48), and alterations in the oral microbiome have been linked to inflammatory bowel  
422 disease in the past (49). Previous work by Said et al., found multiple genera in differential  
423 abundance between individuals with and without IBD including the increased presence of  
424 *Veillonella* (16), which we found to be linked positively with refined grain intake.

425 Other dietary factors we found linked to oral microbiome composition in our original  
426 analysis include both juice servings and vegetable servings. However, were only able to find a  
427 small number of genera and taxa linked to vegetable serving intake and juice serving intake.  
428 Furthermore, we were unable to recover these effects in our validation cohort indicating the  
429 possibility for a false positive or the requirement of a large sample size to see these effects.  
430 Previous work within the field has found conflicting evidence on the role of diet impacting oral  
431 microbiome composition. This previous evidence along with the inability to recover these  
432 relationships within our validation cohort indicates that diet may only have a small impact on

433 oral microbiome variation, and that these effects require large samples to recover them or that  
434 different dietary capture methods have a strong influence on the observed results.

435         Looking at all features that were significantly associated to oral microbiome composition  
436 together in a single model we were only able to explain a small portion of the total variance  
437 between samples (6.75-6.92%). This indicates that while many of these features are significantly  
438 related to microbial composition each one by themselves tends to only cause small shifts in  
439 overall microbial composition. Furthermore, a majority of the variance accounted for was due to  
440 differences in DNA extraction date. This shows that while slight technical variations such as the  
441 time when DNA extraction was done can have larger impacts on sample composition  
442 emphasizing the need to control for these technical variations during large population-based  
443 studies.

444         One large limitation to our study was our lack of detailed dental history information from  
445 participants. While we did record how recently each individual last visited the dentist, we were  
446 unable to retrieve detailed information on dental health, which has been found to have dramatic  
447 impacts on oral microbiome composition (19). This could explain some of the missing variation  
448 that was not accounted for in our study, however, it is unlikely to explain all 93.25% indicating  
449 we are still missing a suitable amount of information on what determines an individual's oral  
450 microbiome composition.

451         In conclusion, our study indicates that the healthy oral microbiome is relatively stable  
452 between individuals at the genus level and is impacted very little by any one factor. Future  
453 studies that attempt to identify oral microbial biomarkers associated with disease may be  
454 encouraged by the lack of major confounding variables and may be justified in controlling only  
455 for sex, body composition, oral health, and basic dietary information.

456

457 **Availability of data and materials:**

458 All sequencing data has been uploaded to the European Nucleotide Archive and is  
459 available under the accession number PRJEB38175. Code used to analysis all data is available at  
460 [https://github.com/nearinj/Nearing\\_et\\_al\\_2020\\_Oral\\_Microbiome](https://github.com/nearinj/Nearing_et_al_2020_Oral_Microbiome). De-identified metadata used  
461 in this project can be accessed by contacting the Atlantic Partnership for Tomorrow's Health  
462 project.

463

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485

486

487 Table 1: Cohort characteristic and variables compared against oral microbiome composition. NA  
488 represents responses of prefer not to answer or missing data.

	Overall
Number of participants	1214
Rural/Urban (%)	
Urban	1050 (86.5)
Rural	126 (10.4)
NA	38 (3.1)
Province (%)	
New Brunswick	124 (10.2)
Nova Scotia	1070 (88.1)
Prince Edward Island	16 (1.3)
NA	Data repressed
Economic Region	
Annapolis Valley	52
Cape Breton	142
Edmundston – Woodstock	Data repressed
Fredericton – Oromocto	44
Halifax	773
Moncton – Richibucto	32
North Shore	41
Prince Edward Island	16
Saint John – St., Stephen	45
Southern Shore	28
Sex (%)	

Female	846 (69.7)
Male	368 (30.3)
Body mass index (mean (SD))	27.30 (4.55)
Waist size (mean (SD))	90.96 (12.79)
Hip size (mean (SD))	104.29 (9.45)
Waist hip ratio (mean (SD))	0.87 (0.08)
Height (mean (SD))	167.06 (8.90)
Weight (mean (SD))	76.39 (14.99)
Age (mean (SD))	55.39 (7.80)
Fat mass (mean (SD))	25.26 (9.55)
Fat free mass (mean (SD))	51.05 (10.87)
Body fat percentage (mean (SD))	32.68 (8.61)
Vegetable servings (mean (SD))	2.56 (1.98)
Fruit servings (mean (SD))	2.00 (1.45)
Juice servings (mean (SD))	0.69 (0.95)
Whole grain servings (mean (SD))	2.11 (1.43)
Refined grain servings (mean (SD))	0.67 (0.86)
Milk product servings (mean (SD))	2.04 (1.29)
Egg servings per week (mean (SD))	3.25 (2.68)
Meat/poultry servings (mean (SD))	1.53 (1.35)
Fish servings (mean (SD))	0.51 (0.67)
Tofu servings (mean (SD))	0.04 (0.18)
Bean servings (mean (SD))	0.36 (0.55)
Nut/seed servings (mean (SD))	0.69 (0.68)
<hr/>	
Dessert Frequency (%)	
Never	109 (9.0)
Less than once a month	153 (12.6)
About once a month	228 (18.8)
2 to 3 times a month	173 (14.3)
Once a week	85 (7.0)
2 to 3 times a week	115 (9.5)
4 to 5 times a week	58 (4.8)
6 to 7 times a week	169 (13.9)
NA	124 (10.2)
<hr/>	
Avoidance of particular foods (%)	
Never	853 (70.3)
Often	11 (0.9)
Prefer not to answer	15 (1.2)
Rarely	163 (13.4)
Sometimes	52 (4.3)
NA	120 (9.9)

<hr/>	
Oil on bread (%)	
Butter	371 (30.6)
Low fat margarine	272 (22.4)
Full fat margarine	300 (24.7)
None	109 (9.0)
Olive oil	36 (3.0)
NA	126 (10.4)
<hr/>	
Artificial sweeteners (%)	
Almost never	976 (80.4)
About 1/4 of the time	24 (2.0)
About 1/2 of the time	16 (1.3)
About 3/4 of the time	12 (1.0)
Almost always or always	53 (4.4)
NA	133 (11.0)
<hr/>	
Non-diet soda frequency (%)	
Zero days a week	432 (35.6)
One to three days per month	459 (37.8)
One to five days a week	167 (13.8)
Six to seven days a week	27 (2.2)
NA	129 (10.6)
<hr/>	
Diet sugar drink frequency (%)	
Zero days a week	513 (42.3)
One to three days per month	356 (29.3)
One to five days a week	156 (12.9)
Six to seven days a week	57 (4.7)
NA	132 (10.9)
<hr/>	
Soy/fish usage (%)	
Never at the table	424 (34.9)
Rarely at the table	441 (36.3)
Sometimes at the table	217 (17.9)
At most meals of eating occasions	9 (0.7)
NA	123 (10.1)
<hr/>	
Salt seasoning (%)	
Never	368 (30.3)
Rarely	347 (28.6)
Sometimes	219 (18.0)
Most meals	157 (12.9)
NA	123 (10.1)
<hr/>	
Fast food frequency (%)	
Never	149 (12.3)



Less than once per month	384 (31.6)
One - three times per month	366 (30.1)
One - six per week	191 (15.7)
One or more times per day	Data Repressed
NA	122 (10.0)
<hr/> <b>Alcohol Frequency (%)</b> <hr/>	
Never	61 (5.0)
Less than once a month	192 (15.8)
About once a month	70 (5.8)
2 to 3 times a month	171 (14.1)
Once a week	170 (14.0)
2 to 3 times a week	259 (21.3)
4 to 5 times a week	127 (10.5)
6 to 7 times a week	112 (9.2)
NA	52 (4.3)
<hr/> <b>Education level (%)</b> <hr/>	
Highschool or below	208 (17.1)
Non-bachelors post secondary	425 (35.0)
Bachelors	334 (27.5)
Graduate	242 (19.9)
NA	Data Repressed
<hr/> <b>Income (%)</b> <hr/>	
Below \$25 000 CAD	41 (3.4)
\$25 000 - \$49 999 CAD	157 (12.9)
\$50 000 - \$74 999 CAD	244 (20.1)
\$75 000 - \$99 999 CAD	244 (20.1)
\$100 000 - \$149 999 CAD	291 (24.0)
Greater than \$150 000 CAD	179 (14.7)
NA	58 (4.8)
<hr/> <b>Sleeping trouble frequency (%)</b> <hr/>	
None	104 (8.6)
A little of the time	411 (33.9)
Some of the time	507 (41.8)
Most of the time	161 (13.3)
All the time	25 (2.1)
NA	Data Repressed
<hr/> <b>Last dental visit (%)</b> <hr/>	
Less than 6 months ago	851 (70.1)
6 months to less than 1 year ago	221 (18.2)
1 year to less than 2 years ago	56 (4.6)

2 years to less than 3 years ago	17 (1.4)
3 or more years ago	24 (2.0)
NA	45 (3.7)

Sleeping light exposure (%)

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Virtually no light	561 (46.2)
Some light	613 (50.5)
A lot of light	36 (3.0)
NA	Data Repressed

DNA extraction batch (%)

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Extraction.1	85 (7.0)
Extraction.10	66 (5.4)
Extraction.11	80 (6.6)
Extraction.12	78 (6.4)
Extraction.13	85 (7.0)
Extraction.14	57 (4.7)
Extraction.15	79 (6.5)
Extraction.16	0 (0.0)
Extraction.17	67 (5.5)
Extraction.2	85 (7.0)
Extraction.3	81 (6.7)
Extraction.4	68 (5.6)
Extraction.5	85 (7.0)
Extraction.6	92 (7.6)
Extraction.7	85 (7.0)
Extraction.8	60 (4.9)
Extraction.9	61 (5.0)

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496 Table 2: Validation of Beta Diversity Results

<b><u>Metric</u></b>	<b><u>Feature</u></b>	<b><u>P-value</u></b>	<b><u>R<sup>2</sup></u></b>
<b>Weighted UniFrac</b>	Waist Hip Ratio	0.0190	0.0116
	Height	0.001	0.0117
	Weight	0.010	0.0102
	Fat Free Mass	0.002	0.0172
	Sex	0.0390	0.0080
	Age	0.0120	0.0105
	<b>Bray-Curtis</b>	Waist Hip Ratio	0.0140
Height		0.0030	0.0118
Weight		0.0020	0.0096
Fat Free Mass		0.0040	0.0110
Waist Size		0.0210	0.0065
Age		0.0020	0.0106
Sex		0.0380	0.0059

497

498 **Figures:**

499 **Figure 1.** Atlantic Canadian oral microbiome composition is dominated by the genus *Veillonella*  
500 and is relatively similar at the genus level but highly variable at the ASV level. Samples from  
501 Atlantic Partnership for Tomorrow's Health project (n=1049). Samples were subsampled to a  
502 depth of 5000 reads. A) Genera that had a mean relative abundance less than 1% were grouped  
503 into "Other". B) Genera were removed at varying different sample presence cut-offs and the  
504 remaining total mean relative abundance of non-filtered genera was then calculated. C) ASVs  
505 were removed at varying different sample presence cut-offs and the remaining total mean  
506 relative abundance of non-filtered ASVs was then calculated.

507 **Figure 2.** Various anthropometric, dietary and lifestyle features are significantly associated to  
508 oral microbiome composition. Saliva samples from Atlantic Partnership for Tomorrow's Health  
509 cohort (n=741). Samples were subsampled to a depth of 5000 reads. Two different metrics were  
510 tested weighted Unifrac distances (A) and Bray-Curtis dissimilarity (C) using a PERMANOVA  
511 test while controlling for differences in DNA extraction and correction for false discovery ( $q <$   
512  $0.1$ ). Arrows point toward the direction each feature correlates along PC1 and PC2 while their  
513 size was scaled by the PERMANOVA  $R^2$  value. Panels B and D show the relative rankings of  
514 the effect sizes ( $R^2$ ) of each significant feature.

515

516 **Figure 3.** Various genera and ASVs are associated with features found to influence oral  
517 microbiome composition. Genera (A) and ASVs (B) meeting an FDR  $< 0.1$  using the Corncob R  
518 package which uses beta binomial regressions. Each feature's false discovery rate was corrected

519 separately, and each tested to control for differences in DNA extraction and differential  
520 variability within that feature. Ordinal variables were converted into a ranked scale for testing,  
521 and all features except for sex were scaled. \*Sex was treated as a categorical value and therefore  
522 the magnitude is not directly comparable to other log odd ratios.

523

524 **Figure 4.** Validation of Genera and ASV association in a smaller Atlantic Partnership for  
525 Tomorrow's Health cohort (n=308). Samples that did not complete all questions examined in this  
526 study were used to validate previous associations identified in the larger cohort. Testing  
527 procedure was done in the same manner as Figure 3 with A representing Genera and B  
528 representing ASVs.

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