1	Clinical performance of SARS-CoV-2 IgG antibody tests and potential protective immunity
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24 Abstract

25 As the current SARS-CoV-2 pandemic continues, serological assays are urgently needed for rapid 26 diagnosis, contact tracing and for epidemiological studies. So far, there is little data on how 27 commercially available tests perform with real patient samples and if detected IgG antibodies 28 provide protective immunity. Focusing on IgG antibodies, we demonstrate the performance of two 29 ELISA assays (Euroimmun SARS-CoV-2 IgG & Vircell COVID-19 ELISA IgG) in comparison to one lateral 30 flow assay ((LFA) FaStep COVID-19 IgG/IgM Rapid Test Device) and two in-house developed assays 31 (immunofluorescence assay (IFA) and plaque reduction neutralization test (PRNT)). We tested follow 32 up serum/plasma samples of individuals PCR-diagnosed with COVID-19. Most of the SARS-CoV-2 33 samples were from individuals with moderate to severe clinical course, who required an in-patient 34 hospital stay.

For all examined assays, the sensitivity ranged from 58.8 to 76.5% for the early phase of infection 35 36 (days 5-9) and from 93.8 to 100% for the later period (days 10-18) after PCR-diagnosed with COVID-37 19. With exception of one sample, all positive tested samples in the analysed cohort, using the 38 commercially available assays examined (including the in-house developed IFA), demonstrated 39 neutralizing (protective) properties in the PRNT, indicating a potential protective immunity to SARS-40 CoV-2. Regarding specificity, there was evidence that samples of endemic coronavirus (HCoV-OC43, 41 HCoV-229E) and Epstein Barr virus (EBV) infected individuals cross-reacted in the ELISA assays and 42 IFA, in one case generating a false positive result (may giving a false sense of security). This need to 43 be further investigated.

45 Background

46 SARS-CoV-2 is a new Coronavirus, belonging to the group of betacoronaviruses, which emerged in 47 December 2019 in Wuhan, China. It is the causative agent of an acute respiratory disease known as coronavirus disease 2019 (COVID-19). The spectrum of clinical signs can be very broad and 48 49 asymptomatic infections are reported. The virus has rapidly spread globally. On 11 March 2020 the 50 World Health Organization (WHO) declared COVID-19 as a pandemic. Nucleic acid amplification 51 testing (NAT) is the method of choice in the early phase of infection (1). However, to acquire 52 knowledge about the seroprevalence of SARS-CoV-2 and to test for (potential) individual immunity, 53 there is an increasing demand in the detection of antibodies - especially of IgG antibodies. 54 Convalescent plasma may be used for therapeutic or prophylactic approaches as vaccines and other 55 drugs are under development (2). For all these purposes, sensitive and especially highly specific 56 antibody assays are needed. The spike (S) protein of SARS-CoV-2 has shown to be highly 57 immunogenic and is the main target for neutralizing antibodies (3). Currently there are many S 58 protein based commercially or in-house developed assays available, but there is limited data on how 59 these tests perform with clinical samples and if the detected IgG antibodies provide protective 60 immunity. This study aims to provide a quick overview on some of these assays (two commercial available ELISA, an LFA, an IFA and a PRNT, focusing on the detection and neutralization capacity of 61 IgG antibodies in follow up serum or plasma samples of individuals with PCR-diagnosed infections 62 63 with SARS-CoV-2. To assess potential cross-reactivity, we examined defined follow-up samples of 64 individuals infected with endemic coronaviruses and other infectious diseases.

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66 Materials and methods

67 Serum and plasma samples

We collected follow up serum or plasma samples (in the following simply stated as samples) from 68 69 individuals with PCR-diagnosed infections with SARS-CoV-2 (n=33) at different time points (table 1). 70 Most of these individuals had a moderate to severe clinical course and required an in-patient hospital stay at the intensive care unit. Additionally, follow up samples of recent PCR-diagnosed infections 71 72 with SARS-CoV (3 patients from the 2003 outbreak), HCoV-OC43 (n=4), HCoV-HKU1 (n=1), HCoV-73 NL63 (n=2), HCoV-229E (n=4) and recent serological/PCR-diagnosed infections with acute EBV (n=4, 74 three serologically EBV-VCA-IgM positive and one PCR- and serologically EBV-VCA-IgM positive) and 75 acute CMV (n=3) (all serologically IgM and PCR-positive) were collected. The samples of individuals 76 infected with endemic human coronavirus, CMV and EBV were used to assess potential cross 77 reactivity and the risk of potential false positive results.

78 Lateral flow assay

The FasStep (COVID-19 IgG/IgM) rapid test cassettes (COV-W32M, Assure Tech (Hangzhou) Co., Ltd,
China) were used according to the manufacturer's recommendation. We have no details on the used
antigen component. 10 µl serum and two drops of sample buffer were applied to the sample well.
Test results were visually evaluated after 10 minutes.

83 ELISA

The CE certified versions of the Euroimmun SARS-CoV-2 IgG ELISA (Euroimmun, Lübeck, Germany) and Vircell COVID-19 ELISA IgG (Vircell Spain S.L.U., Granada, Spain) were used, in an identical manner, according to the manufacturer's recommendation. Both ELISAS use SARS-CoV-2 recombinant antigen from spike glycoprotein (S protein) and the Vircell ELISA additionally Nucleocapsid (N protein). Samples were diluted 1:101 or 1:20, respectively, in sample buffer and incubated at 37° for 60 min in a 96-well microtiter plate followed by each protocols washing and incubation cycles, including controls and required reagents. Optical density (OD) was measured for

both assays at 450 nm using a Virclia microplate reader (Vircell Spain S.L.U., Granada, Spain). The
signal-to-cut-off ratio was calculated and values expressed according to each manufacturer's
protocol.

94 Immunofluorescence assay (IFA)

95 For an immunofluorescence assay Vero cells (african green monkey, ATCC CCL-81 (American Type Culture Collection, Manassas, Virginia, USA)) were infected with SARS-CoV-2 and harvested two days 96 97 post infection. Briefly, cells were trypsinized and washed once with PBS before transferred onto a 10-98 well diagnostic microscope slides. After drying, cells were fixated with 100% ethanol for 10 minutes. 99 Patient samples were diluted in sample buffer (Euroimmun AG, Lübeck, Germany) in a dilution of 100 1:50 and 30 μ l applied per well. The slides were incubated at 37°C for 1 hour and washed three times 101 with phosphate-buffered saline (PBS)-Tween (0.1%) for 5 minutes. 25 µl of goat-anti human 102 fluorescein-labeled IgG conjugate was used as secondary antibody. The slides then were incubated 103 for 30 minutes and washed three times with PBS-Tween for 5 minutes. The microscopic analysis was 104 performed by 200-fold magnification using a Leica DMLS fluorescence microscope (Leica 105 Mikrosysteme Vertrieb GmbH, Wetzlar Germany).

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107 Plaque reduction neutralization test (PRNT)

108 To test for neutralizing capacity of SARS-CoV-2 specific antibodies, Caco-2 cells (human colon 109 carcinoma cells, ATCC DSMZ ACC-169 (American Type Culture Collection, Manassas, Virginia, USA)) 110 were seeded on a 96-well plate 3-5 days prior infection. 2-fold dilutions of the test sera beginning 111 with a 1:10 dilution (1:10; 1:20; 1:40; 1:80; 1:160; 1:320; 1:640 and 1:1280) were made in culture 112 medium (Minimum essential medium, MEM; Gibco, Dublin, Ireland) before mixed 1:1 with 100 113 TCID50 (Tissue culture infectious dosis 50) of reference virus (SARS-CoV-2 FFM1 isolate). FFM1 was 114 isolated from a patient at University Hospital Frankfurt who was tested positive for SARS-CoV-2 by PCR. Virus-serum mixture was incubated for one hour at 37°C and transferred onto the cell 115

- 116 monolayer. Virus related cytopathic effects (CPE) were determined microscopically 48 to 72 hours
- 117 post infection. To determine a potential neutralizing ability of patient serum, CPE at a sample dilution
- of 1:10 is defined as non-protective while a CPE at a dilution of >1:20, is defined as protective.

119 Results

120 In the early phase of infection, from days 5-9 after PCR-confirmed infection with SARS-CoV-2, the in-121 house developed IFA and PRNT showed a sensitivity of 76.5% (13/17), the Vircell ELISA a sensitivity of 70.6% (12/17), the Assure Tech Rapid Test a sensitivity of 62.5% (10/16) and the Euroimmun ELISA a 122 123 sensitivity of 58.8% (10/17). For the later period from days 10-18, the Euroimmun ELISA and Assure 124 Tech Rapid Test showed a sensitivity 93.8% (15/16), the Vircell ELISA, IFA and PRNT of 100% (16/16) -125 (TABLE 1). For selected samples (SARS-CoV samples from the 2003 outbreak excluded, TABLE S2), the 126 Euroimmun ELISA showed a specificity of 95.7%, generating a borderline result for the HCoV-OC43 127 sample, the Vircell ELISA of 95.2%, generating a positive result for HCoV-229E sample and the in-128 house developed IFA of 100% (an unspecific result for one EBV sample was excluded). Including the 129 three SARS-CoV samples from the 2003 outbreak, the Euroimmun ELISA showed a specificity of 130 96.2% (not generating any cross-reactive results for the SARS-CoV samples), the IFA of 86.4% and the 131 Vircell ELISA of 83.3% (both assays generating positive results for all three SARS-CoV samples). The 132 Assure Tech Rapid Test did not generate any false positive results for the tested samples. None of the 133 other tested samples cross-reacted in terms of generating borderline or false positive results.

134 TABLE 1 – Sensitivity and specificity of the examined SARS-CoV-2 IgG assays from days 5-9 and days 10-18.

Days after confirmed SARS-CoV-2 PCR

Company	5-9 (days)	10-18 (days)		
	sensitivity (%	5)	specificity (%)	specificity (%) incl. SARS-CoV (2003)*
Euroimmun (ELISA)	58.8 (10/17)	93.8 (15/16)	95.7 (22**/23)	96.2 (25/26)
Vircell (ELISA)	70.6 (12/17)	100 (16/16)	95.2 (20/21)	83.3 (20/24)
IFA (in-house)	76.5 (13/17)	100 (16/16)	100 (19/19)***	86.4 (19/22)
Assure Tech (Rapid test)	62.5 (10/16)	93.8 (15/16)	100 (13/13)	-
PRNT (in-house)	76.5 (13/17)	100 (16/16)	-	-

135 Details on tested samples see TABLE S1 and S2; *including follow up samples of SARS-CoV (2003 outbreak), which is closely

related to SARS-CoV-2; **one "borderline" result; *** one unspecific result was excluded-, not examined.

138 The signal-to-cut-off (S/CO) ratios of the Euroimmun and Vircell ELISA and the corresponding PRNT 139 titers for the tested samples are shown in FIG 1. In samples 3, 10 and 11, none of the examined 140 assays (including the IFA and Assure Tech Rapid Test), detected SARS-CoV-2 antibodies. In sample 1, 141 only the Vircell ELISA, in sample 4 and 19 only the Vircell ELISA and PRNT (including the IFA) detected 142 antibodies. In samples 12 and 16, only the PRNT (and IFA) detected antibodies (in sample 16 with a 143 titer <1:10). With exception of sample 1, all with the ELISA positive tested samples were also positive 144 tested with the IFA. In the detection of antibodies, the IFA performed like the PRNT on all examined 145 samples. All with the commercially available assays positive tested samples (except of sample 1) 146 showed neutralizing properties in the PRNT (titer >1:20), indicating a potential protective immunity.

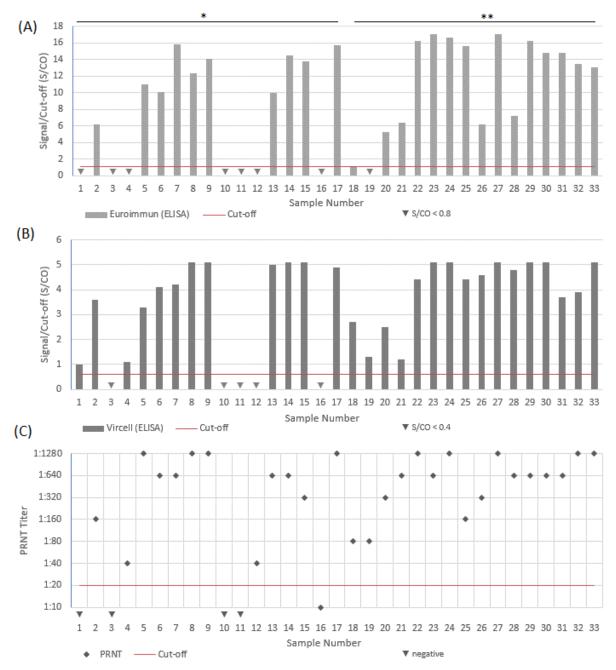


FIG 1 – Results of the for sensitivity tested samples in the ELISA assays and PRNT; (A) Euroimmun ELISA Signal/Cut-off
(S/CO) ratio of tested samples; (B) Vircell ELISA Signal/Cut-off (S/CO) ratio for tested samples; (C) PRNT Titer for tested
samples. *Days 5-9 /**Days 10-18 after confirmed SARS-CoV-2 PCR.

153 Discussion

In terms of sensitivity, our data are consistent with previously published data. In a study from Liu et al., using an rS-based ELISA assay, the group found SARS-CoV-2 IgG antibodies in less than 60% of the samples from days 6-10 after disease onset. The sensitivity increased to >90% in samples from days 16-20 (4). In a study from Wölfel et al., using an in-house developed IFA, the group found seroconversion in all examined follow-up serum samples of COVID-19 patients by day 14 after onset of symptoms. The samples were further analyzed via PRNT, all showed neutralization activity against SARS-CoV-2 (5).

161 An important finding of our study is, that (with exception of sample 1) all detected SARS-CoV-2 IgG 162 antibodies in the analyzed cohort, using the commercially available assays examined, demonstrated 163 neutralizing (protective) properties in the PRNT. The screening for SARS-CoV-2 IgG antibodies 164 [especially for potential protective IgG antibodies against the S protein (6)] using ELISA or lateral flow 165 assays is more convenient and practicable than using the hands on- and time-intensive IFA or PRNT, 166 which can only be performed by experienced personnel, and the PRNT, only in a BSL-3 laboratory. 167 ELISA based assays can be automated and used for larger sample sizes. Lateral flow assays can be 168 used by less experienced personnel in a point-of-care setting, generating results in short time. Some 169 samples, however, were only detected with the IFA and PRNT as gold standard. The titer needed for 170 potential protective immunity is not yet (officially) defined. In one study, it is reported, that a 171 individual cleared SARS-CoV-2 without developing antibodies up to 46 days after illness (7). The 172 mechanism of immunity, especially of protective immunity (if applicable) and how long it will last, 173 need to be further investigated. Besides humoral mediated immunity, there is evidence that T-cell 174 mediated immunity plays a role (8). Most of the SARS-CoV-2 samples analysed in this study were 175 from individuals with moderate to severe clinical course, who required an in-patient hospital stay. 176 We have also tested follow-up samples of individuals PCR-diagnosed with COVID-19 with mild or no 177 symptoms at all, IgG antibodies could only be detected after 6 weeks (data not shown). In terms of 178 specificity, cross-reacting antibodies of endemic coronavirus infected individuals or of individuals

179 with other active infectious diseases (e.g. EBV or CMV) are a known phenomenon (9). The examined 180 assays in our study demonstrated a good specificity. Only the Vircell ELISA generated one positive 181 result for one HCoV-229E sample, whereas the Euroimmun ELISA generated only one borderline 182 result for the HCoV-OC43 sample and the IFA an unspecific signal in one EBV sample. For the Assure 183 Tech Rapid Test, no cross-reactions were observed, however, a larger sample size would be needed 184 to get a clearer picture. The cross-reactivity of the SARS-CoV samples from the outbreak of 2003 in 185 the Vircell ELISA and IFA are of less importance as the virus is known to be eradicated. Nonetheless, 186 as a false positive result might give a false sense of security, efforts should be made to further 187 improve the specificity of the available assays. All in our study examined assays are eligible for the 188 detection of SARS-CoV-2 IgG antibodies, indicating a potential protective immunity. Ideally, to get the 189 maximum sensitivity, testing should be performed in the later phase of infection (\geq 10 days) after 190 PCR-confirmation or disease onset of COVID-19. The Vircell ELISA, IFA and PRNT demonstrated the 191 highest sensitivity throughout our study. At the moment, however, the PRNT is still the method of 192 choice for questions regarding potential SARS-CoV-2 immunity and should be performed when 193 available.

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Supplementary Material

TABLE S1 – For sensitivity tested individual follow-up samples of SARS-CoV-2 PCR-confirmed individuals at different time points and generated results.

Sample Nr.	Day after confirmed SARS-CoV- 2 PCR	Euroimmun (ELISA) S/CO	Vircell (ELISA) S/CO	IFA (in- house) qual.	Assure Tech (Rapid Test) qual.	PRNT Titer
1	5	<0.8	1.0	neg.	neg.	neg.
2	6	6,2	3.6	pos.	pos.	1:160
3	6	<0.8	<0.4	neg.	neg.	neg.
4	6	<0.8	1.1	pos.	pos.	1:40
5	6	11	3.3	pos.	pos.	1:1280
6	6	10.1	4.1	pos.	pos.	1:640
7	7	15.8	4.2	pos.	pos.	1:640
8	7	12.3	5.1	pos.	pos.	1:1280
9	7	14.1	5.1	pos.	pos.	1:1280
10	8	<0.8	<0.4	neg.	neg.	neg.
11	8	<0.8	<0.4	neg.	neg.	neg.
12	8	<0.8	<0.4	pos.	neg.	neg.
13	8	10	5	pos.	pos.	1:640
14	8	14.5	5.1	pos.	pos.	1:640
15	8	13.8	5.1	pos.	-	1:320
16	9	<0.8	<0.4	pos.	neg.	1:10
17	9	15.7	4.9	pos.	pos.	1:1280
18	10	1.13	2.7	pos.	pos.	1:80
19	10	neg	1.3	pos.	neg.	1:80
20	10	5.2	2.5	pos.	pos.	1:320
21	10	6.4	1.2	pos.	pos.	1:640
22	10	16.2	4.4	pos.	pos.	1:1280
23	11	17	5.1	pos.	pos.	1:640
24	13	16.6	5.1	pos.	pos.	1:1280
25	13	15.6	4.4	pos.	pos.	1:160
26	14	6.14	4.6	pos.	pos.	1:320
27	14	17	5.1	pos.	pos.	1:1280
28	16	7.2	4.8	pos.	pos.	1:640
29	16	16.2	5.1	pos.	pos.	1:640
30	16	14.8	5.1	pos.	pos.	1:640
31	17	14.8	3.7	pos.	pos.	1:640
32	17	13.4	3.9	pos.	pos.	1:1280
33	18	13	5.1	pos.	pos.	1:1280

Euroimmun (S/CO <0.8 = negative, 0.8-<1.1 = equivocal, ≥ 1.1 = positive), Vircell (S/CO <0.4 = neg., 0.4-0.6 = equivocal, >0.6 = pos.); pos., positive; neg., negative; -, not tested.

TABLE S2 – For specificity tested follow-up samples of individuals with selected PCR- or serologically-confirmed infections

248	and generated results.	
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Sample Nr.	Recently PCR-/serologically- confirmed infected with	Euroimm un (ELISA) S/CO	Vircell (ELISA) S/CO	IFA (in- house) qual.	Assure Tech (Rapid Test) qual.
1	HCOV-OC43	neg.	neg.	neg.	neg.
2	HCOV-OC43	0.9	neg.	neg.	neg.
3	HCoV-OC43	neg.	neg.	neg.	neg.
4	HCoV-OC43	neg.	neg.	neg.	neg.
5	HKU 1	neg.	neg.	neg.	neg.
6	SARS-CoV-1	neg.	2.2	pos.	-
7	SARS-CoV-1	neg.	3.8	pos.	-
8	SARS-CoV-1	neg.	3.9	pos.	-
9	SARS-CoV-2 neg.	neg.	neg.	neg.	-
10	SARS-CoV-2 neg.	neg.	neg.	neg.	-
11	SARS-CoV-2 (neg.)	neg.	neg.	-	-
12	SARS-CoV-2 + Multiplex* neg.	neg.	neg.	neg.	-
13	HCoV-229E	neg.	neg.	neg.	-
14	HCoV-229E	neg.	1.5	neg.	-
15	HCoV 229E + Parainfluenza Virus Type 3	neg.	neg.	neg.	neg.
16	HCoV-229E	neg.	neg.	neg.	neg.
17	HCoV-229E	neg.	neg.	neg.	neg.
18	HCoV-NL63 + Entero- /Rhinovirus	neg.	neg.	neg.	neg.
19	HCoV-NL63	neg.	neg.	-	-
20	CMV (+ IgM antibody pos.)	neg.	neg.	neg.	neg.
21	CMV (+lgM antibody pos.)	neg.	neg.	neg.	neg.
22	CMV (+ IgM antibody pos.)	neg.	neg.	-	-
23	EBV-VCA-IgM pos.	neg.	neg.	neg.	neg.
24	EBV (+ -VCA-IgM antibody pos.)	neg.	neg.	neg.	neg.
25	EBV-VCA-IgM antibody pos .	neg.	-	neg.	-
26	EBV-VCA-IgM antibody pos .	neg.	-	unsp.	-

249 Euroimmun (S/CO < 0.8 = negative, $0.8 - (1.1 = \text{equivocal}, \ge 1.1 = \text{positive})$; Vircell (S/CO < 0.4 = neg., 0.4 - 0.6 = equivocal, > 0.6

250 = pos.); pos., positive; neg., negative; unsp., unspecific; *Biofire® Filmarray® 20 Target Respiratory Panel (bioMérieux,

251 Nürtingen, Baden-Württemberg, Germany); -, not tested.

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