The legacy of C_4 evolution in the hydraulics of C_3 and C_4 grasses

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Abstract

The anatomical reorganization required for optimal C_4 photosynthesis should also impact plant hydraulics. Most C_4 plants possess large bundle-sheath cells and high vein density, which should also lead to higher leaf hydraulic conductance (K_{leaf}) and capacitance. Paradoxically, the C_4 pathway reduces water demand and increases water-use-efficiency, creating a potential mis-match between supply capacity and demand in C_4 plant water relations. We use phylogenetic analyses, physiological measurements, and models to examine the reorganization of hydraulics in closely-related C_4 and C_3 grasses. Evolutionarily young C_4 lineages have higher K_{leaf}, capacitance, turgor-loss-point, and lower stomatal conductance than their C_3 relatives. In contrast, species from older C_4 lineages show decreased K_{leaf} and capacitance, indicating that over time, C_4 plants have evolved to optimize hydraulic investments while maintaining C_4 anatomical requirements. The initial "over-plumbing" of C_4 plants disrupts the positive correlation between maximal assimilation rate and K_{leaf}, decoupling a key relationship between hydraulics and photosynthesis generally observed in vascular plants.

Introduction

The evolution of C_4 photosynthesis in the grasses— and the attendant fine-tuning of both anatomical and biochemical components across changing selection landscapes[1,2,3]— likely impacted leaf hydraulics and hydraulics-photosynthesis relationships, both within the grass lineages in which C_4 evolved independently > 20 times[4], and as compared to closely-related C_3[5,6]. C_4 plants typically exhibit lower stomatal conductance (g_s) and consequently greater water-use efficiency than C_3, because the concentration of CO_2 inside bundle sheath cells permits reduced intercellular CO_2 concentrations and conservative stomatal behavior[7,8,9]. At the same time, C_4 plants require high bundle sheath to mesophyll ratios (BS:M), which are accomplished with increased vein density and bundle sheath size as compared to C_3 plants. In C_3 species, leaf hydraulic conductance (K_{leaf}) has a positive relationship with vein density[10,11,12,13]. The decreased inter-veinal distance and consequently higher vein density in C_4 species has been predicted to lead to a higher K_{leaf} than closely-related C_3 species[14,15]. Further, increased bundle sheath size was proposed to lead to a higher leaf capacitance in C_4 species[15,16], This would lead to a potential physiological "mis-match", where the evolution of the C_4 pathway simultaneously increases a plant's hydraulic capacity while reducing its transpirational demand.

The significance of such a potential physiological mismatch depends on the potential costs and tradeoffs associated with the building of an 'over-plumbed' leaf. If the costs are high[12,17], then one would expect to see a reduction of K_{leaf} over evolutionary time, as continued selection works to optimize the C_4 metabolism[5,18]. Alternatively, a maintenance of high K_{leaf} over time could result from either a lack of strong selection to reduce K_{leaf}, or a strong evolutionary constraint imposed by the anatomical requirements of C_4 photosynthesis. In other words, the high BS:M ratio required for an efficient C_4 system may directly limit the ability of C_4 plants to optimize their hydraulic architecture.

The evolution of a new photosynthetic pathway that results in multiple potential changes to the plant hydraulic system represents the ideal platform to expand our understanding of the relationship between photosynthesis and water transport. It is generally thought that maximum photosynthetic rate (A_{max}) and hydraulic capacity (K_{leaf}) are tightly linked, because the ability to transport water through leaves to the sites of evaporation at a high rate allows for the maximization of carbon gain. Studies have documented a positive correlation between A_{max} and K_{leaf} across many scales, from a broad phylogenetic spectrum of species spanning vascular plants[11], to smaller clades of closely related species[13]. Grasses are largely absent from previous efforts to examine this relationship, which is unfortunate because of the parallel venation found in grasses and other monocots. With over 20 origins of C_4 photosynthesis with ages that span ~ 30 million years, grasses also present a unique opportunity to examine the influence of C_4 evolution on A_{max}-K_{leaf} relationships. Using a broad sampling of grasses (Fig. 1), we determined whether anatomical differences associated with C_4 evolution result in greater K_{leaf} and leaf capacitance compared to their C_3 relatives. We then compared these properties between closely related C_3 and C_4 clades to determine how C_4 evolution alters the predicted A_{max}-K_{leaf} relationships. Finally, we then quantified evolutionary trends in K_{leaf}, capacitance and turgor loss point after the evolution of C_4 within a lineage by asking whether more recent origins of C_4 are represented by higher K_{leaf} and a greater K_{leaf}-A_{max} mismatch.

Results

Within each phylogenetic cluster, there were no clear patterns between C_3 and C_4 hydraulic traits by conducting ANOVA tests only. C_4 grasses had higher or equivalent K_{leaf}, leaf capacitance leaf turgor loss point, A_{max} and lower or equivalent g_s than their closest C_3 relatives (Fig. 2). The one C_3-C_4 intermediate species, *Steinchisma decipiens*, in our analysis had K_{leaf} similar or equivalent to C_4, but leaf capacitance, leaf turgor loss point, g_s and A_{max} equivalent to C_3 (Fig. 2). By analyzing our data in the context of the evolutionary models (Supplementary Table S1), however, we found clear C_3-C_4 differences in most measured traits. We first fitted evolutionary models of Brownian motion and Ornstein-Uhlenbeck processes to the hydraulic traits based on a reliable dated phylogenetic tree[19]. The best fitting evolutionary model to the data for K_{leaf}, leaf turgor loss point, A_{max} and g_s was Ornstein-Uhlenbeck model, while the Brownian model is the best-fitting model for leaf capacitance, as determined by the AICc and Akaike weights and LRT test (Table 1, Supplementary Tables S2-S6). Higher K_{leaf}, higher A_{max}, lower leaf turgor loss point, and lower g_s are detected C_4 species compared to C_3 (LRT test, all $p<0.01$; all $\Delta AICc<-3$). For leaf capacitance, there is no significant difference for C_3 and C_4 species.

We also looked for evolutionary trends in hydraulic traits after the evolution of a C_4 system to probe for an extended 'optimization' phase of C_4 evolution[3, 20]. Identifying directional trends in continuous character evolution is difficult without fossil taxa, and it is impossible to directly measure hydraulic traits for fossils; however, we can test for trends indirectly using extant species. For example, if reduction in K_{leaf} is selected for subsequent to C_4 evolution we expect older C_4 lineages to have lower K_{leaf} values than younger C_4 lineages. We extracted the evolutionary age of C_4 origin for each of our lineages from the dated phylogeny[19]. Regressions of evolutionary age versus hydraulic traits provide strong evidence for a long-term directional trend in hydraulic evolution following the origin of C_4 photosynthesis (Fig. 3). K_{leaf}, leaf turgor loss point and capacitance showed significant negative correlations with evolutionary age, while A_{max} had a significant positive correlation. In contrast, there was no significant relationship between g_s and evolutionary age. No evolutionary relationships were detected in C_3 species, which indicated the correlations between evolutionary age and hydraulic traits were unique to C_4 species. We also tested for an evolutionary trend by modelling hydraulic trait evolution using a phylogeny with branch lengths scaled to molecular substitutions/site, which provides an estimate of differences in evolutionary rates between lineages[4]. While the second approach requires

many assumptions that are likely violated, the results also provide additional support to a directional trend in K_{leaf} and capacitance in C_4 lineages: comparing 12 different types of models with or without evolutionary trends (supplementary Table S7), we found K_{leaf} and leaf capacitance were best fitted by the Brownian motion model with a significant negative trend for C_4 (Supplementary Table S8, Table S9-13).

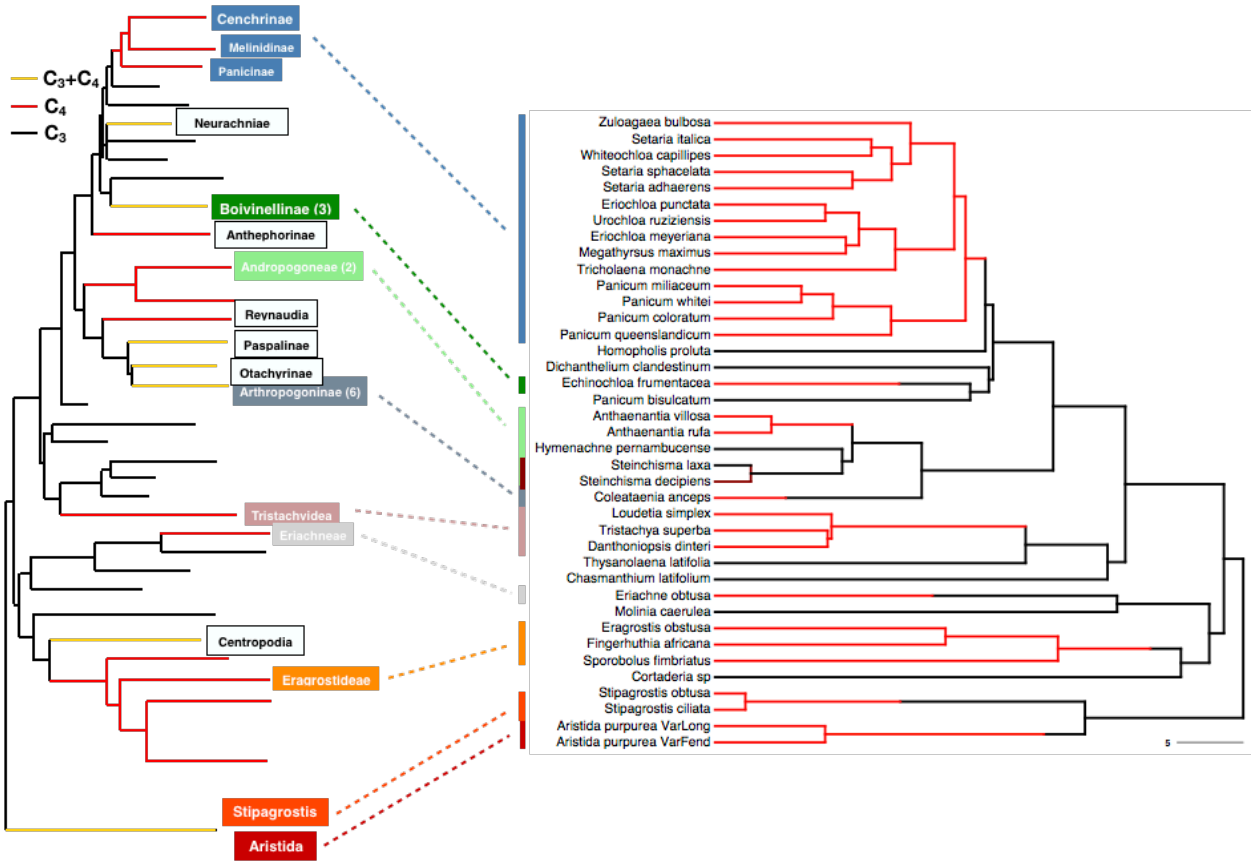

Fig. 1 Phylogenetic sampling of the species for measuring physiological traits and the independent evolutionary lineages corresponding to grass lineages. The figure on the left was a grass phylogeny adapted from GPWGII (2012), on which the tags represent the recommended independent evolution of C_4 for comparative studies in grasses (numbers represent there are multiple origins within a lineage). The figure on the right is the phylogeny for our species, extracted from a dated phylogeny [19] for species sampled in our experiments. We sampled nine independent evolution of C_4 in total.

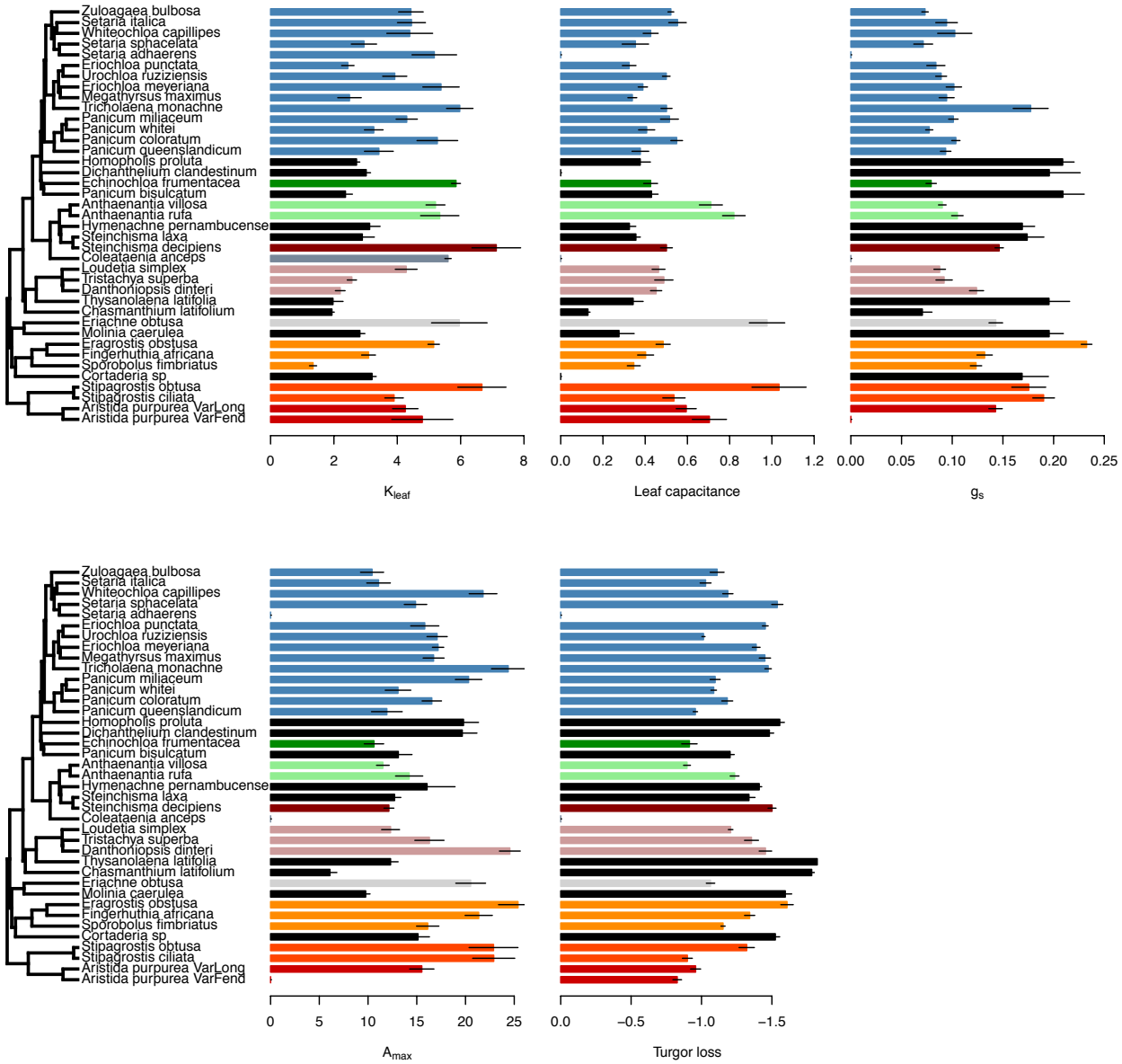

Fig. 2 Hydraulic conductance (K_{leaf}, mmol m^{-2} s^{-1} MPa^{-1}), leaf capacitance (mmol m^{-2} MPa^{-1}), maximal stomatal conductance (g_s, mmol m^{-2} s^{-1}), maximal assimilation rate (A_{max}, μmol m^{-2} s^{-1}), and leaf turgor loss points (Turgor loss, -MPa) of closely related C_3 and C_4 species. Different colored clusters of bars show nine different origins of closely-related C_3 and C_4 species. C_3 species are colored black. Error bars indicated standard errors.

133 Table 1 Phylogenetic results of the best-fitted models and their parameters for hydraulic
134 conductance (K_{leaf}), leaf capacitance (Capacitance), stomatal conductance (g_s), and leaf turgor
135 loss point (Turgor loss) (summarizing Table S2-S6; model description: Table S1).
136

Property	Model	Model type	AICw	Root/Theta	
				C_3	C_4
K_{leaf}	Model 6*	OU2	0.984	2.682	4.295
Capacitance	Model 2	BM1	0.323	0.523	
g_s	Model 6*	OU2	0.980	0.183	0.102
Turgor loss	Model 6*	OU2	0.996	-1.522	-1.192
A_{max}	Model 6*	OU2	0.5292	13.66	17.34

137 * indicates the model fit significantly better than all the other models. Different root or theta values for C_3
138 and C_4 indicates that the evolutionary model with two different values of the root or theta for C_3 and C_4
139 species is a significantly better fit than the evolutionary model with the same root or theta.
140
141 We next explored how A_{max} and hydraulic traits are correlated across the phylogeny, and whether
142 this relationship is different for C_3 and C_4 lineages. The correlations between A_{max} and K_{leaf} were
143 different between C_3 and C_4 (Fig. 4, Table 2, Table S13). A_{max} was significantly positively
144 correlated with K_{leaf} for C_3, but not for C_4 (Fig. 4, Table 2, Table S13). A_{max} was weakly
145 positively correlated with leaf capacitance and g_s and the correlations were not significantly
146 different for C_3 and C_4 (Fig. 4, Table 2, Supplementary Table S21, S22). A_{max} was negatively,
147 but not significantly related with leaf turgor loss point in C_3 and C_4 species (Supplementary
148 Table S23).
149

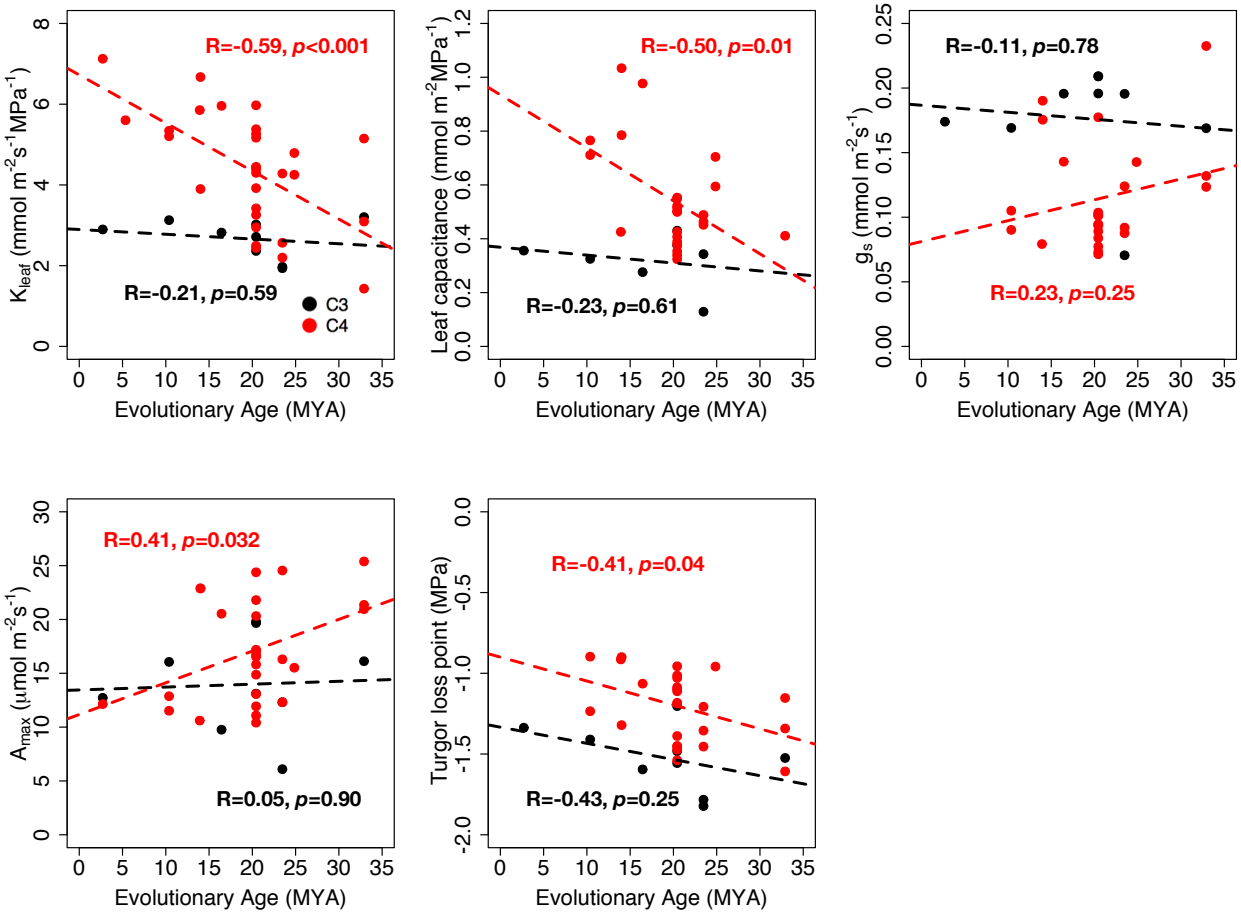

Fig. 3 The regression for hydraulic conductance (K_{leaf}), leaf capacitance, leaf turgor loss point, stomatal conductance (g_s) and maximal assimilation rate (A_{max}) vs. the evolutionary age for the nine origins of C_4 to show the evolutionary trend within C_4 and within their closely-related C_3 species. The evolutionary age for each sampled origin is derived from the dated phylogeny[19].

Table 2 Phylogenetic Correlations between maximal assimilation rates (A_{max}) and hydraulic traits for C_3 and C_4 species (summarizing Table S20-S23; model description: Table S19).

Parameter 1	Parameter 2	Best Model	r for C_3	r for C_4	*p* value
A_{max}	K_{leaf}	CorModel 3	0.695	0.129	0.012/0.51
A_{max}	Capacitance	CorModel 2	0.259		0.027
A_{max}	g_s	CorModel 1	0.533		0.003

A_{max}	leaf turgor loss	CorModel 1	-0.223	0.256

Different r means the best fitted model assuming different correlations for C_3 and C_4. One r means the best fitted model assuming similar correlations for C_3 and C_4. *p* values indicated whether the correlation coefficients are significant.

We used our mechanism-based physiological model[32] to consider how the evolution of higher K_{leaf} would affect the optimal g_s and photosynthesis in C_3 and C_4 plants. An increase in K_{leaf} in the C_3 ancestor selects for higher g_s and increases the steady-state leaf water potential to a limited extent (Fig. 5, S1). Changing K_{leaf} has a smaller effect on the photosynthesis rate of C_4 than that of C_3 (Fig. 6, Table S25), Decreasing K_{leaf} by half or doubling it changes the photosynthesis rate of a C_4 plant by an average of -4.27% and 3.48%, respectively. In contrast, the same shifts in K_{leaf} has average effects of -10.07% and 9.14% on the assimilation rate of a C_3 plant. The sensitivity of the assimilation rate to changes in K_{leaf} decreases with increasing CO_2 concentration and increasing water-limitation for both C_3 and C_4 plants (Table S25). These differences in sensitivity to K_{leaf} were robust to differences in physiological properties between C_3 and C_4 (specifically, the temperature response properties and J_{max}/V_{cmax} ratio; Table S25). The assimilation rate of C_4 plants was still less sensitive to K_{leaf} than that of C_3 species under different CO_2 concentration and water-limited conditions (Table S25). The physiological modeling results indicates that C_4 species maintain lower g_s and higher leaf water potential compared to closely related C_3 species because the CCM reduces transpirational demand. The modeling effects of varying K_{leaf} on photosynthesis confirmed the diminished returns for high-efficiency water transport in C_4 species mentioned above.

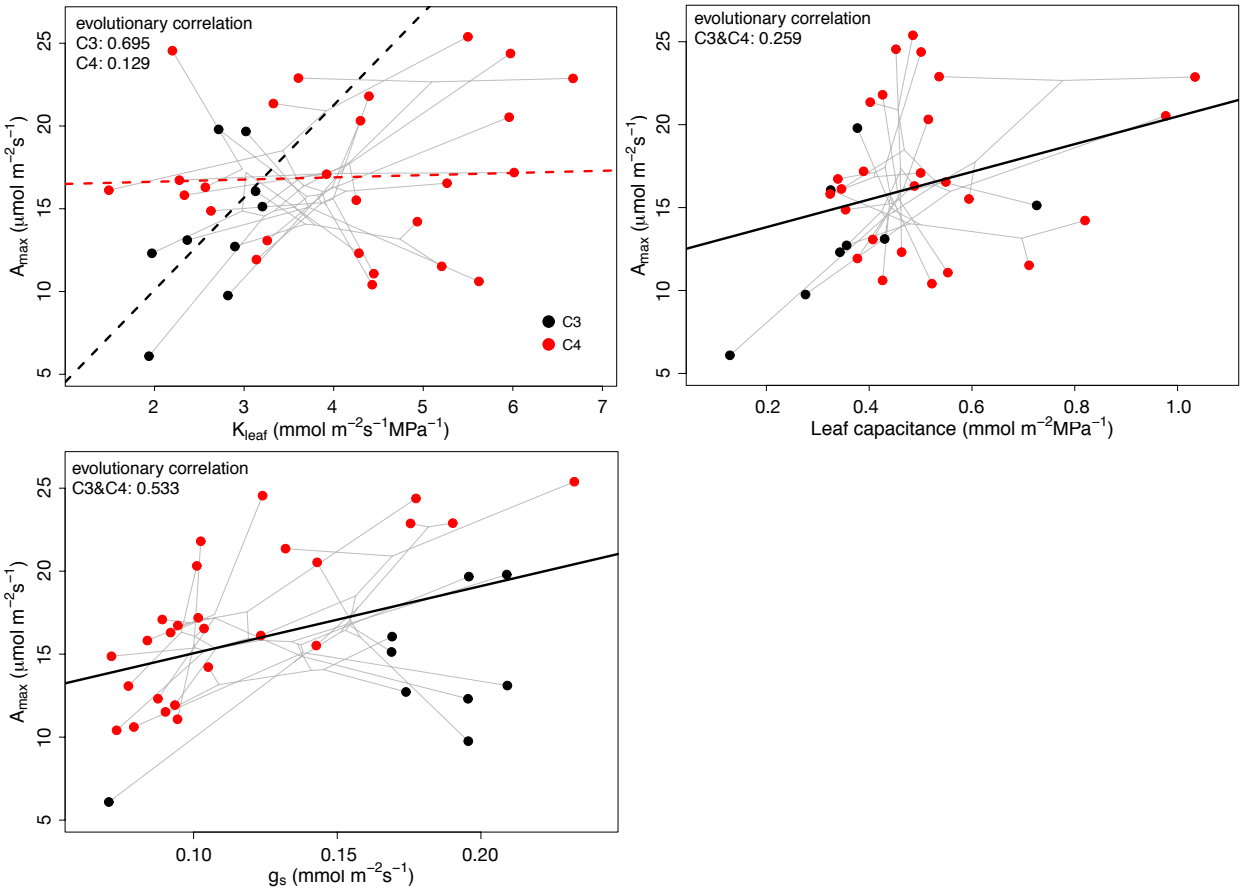

Fig. 4 Phylogenetic correlation for C_3 and C_4 between A_{max} and other hydraulic traits (K_{leaf}, leaf capacitance and g_s). Different/same correlation values on the figure mean C_3 and C_4 have significantly different/same correlations. Detailed phylogenetic correlation models and analysis results are shown in Table 2. Dashed black line: C_3; dashed red line: C_4; solid black line: C_3 and C_4 have the same correlation; grey lines indicate the phylogeny.

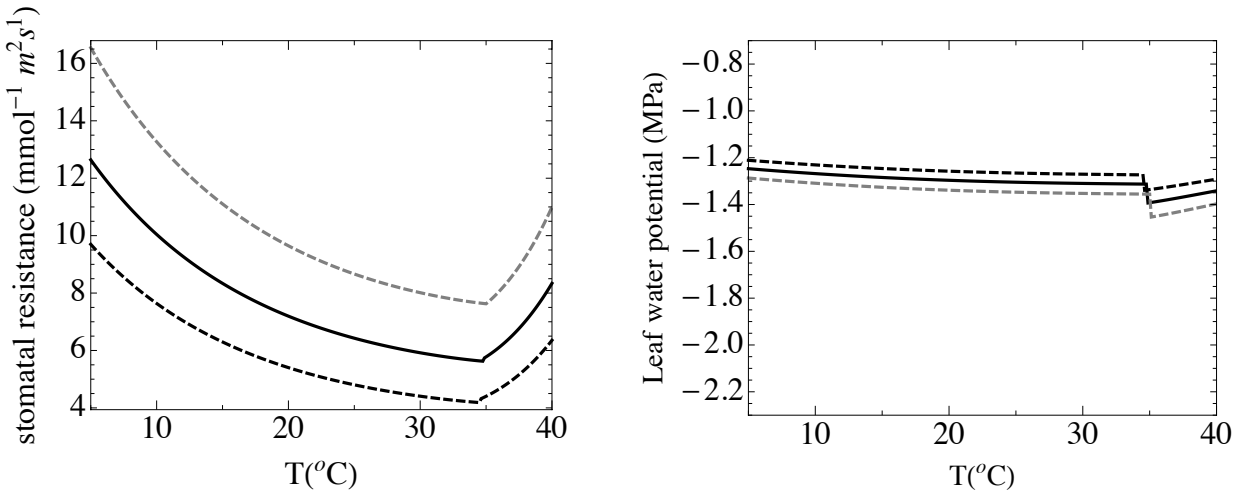

Fig. 5 The effect of changing K_{leaf} on stomatal resistance (the inverse of g_s) and leaf water potential under VPD=1.25 kPa, ψ_s =-1 MPa and CO_2 concentration of 200 ppm for the C_3 model. Solid black line: measured K_{leaf}; dashed black line: K_{leaf} doubled; dashed grey line: K_{leaf} reduced by 50%.

To see if C_4 subtypes varied in hydraulic traits and their evolutionary rates or variance, we also considered evolutionary models where we allowed each variable to have a subtype-specific value (Supplementary Table S1). We found no significant differences in K_{leaf}, leaf capacitance, g_s, leaf turgor loss point and A_{max} among C_4 subtypes (all ΔAICc>0, ΔAICc obtained by AICc of subtype models minus AICc model not considering subtypes; Supplementary Tables S14-18). Although different decarboxylation enzymes are utilized by the three major subtypes (NADP-ME, NAD-ME and PCK), there does not seem to be an evolutionary effect on hydraulic traits. However, a previous study documenting PCK species from the Chloridoideae and Panicoideae lineages with lower leaf turgor loss point[23]. Such differences were not apparent when we compared C_4 subtypes with multiple lineages. Our current representation of different subtypes is, however, somewhat limited. It would be advantageous to increase both lineage and species diversity and to balance subtypes within lineages to more deeply examine C_4 subtypes.

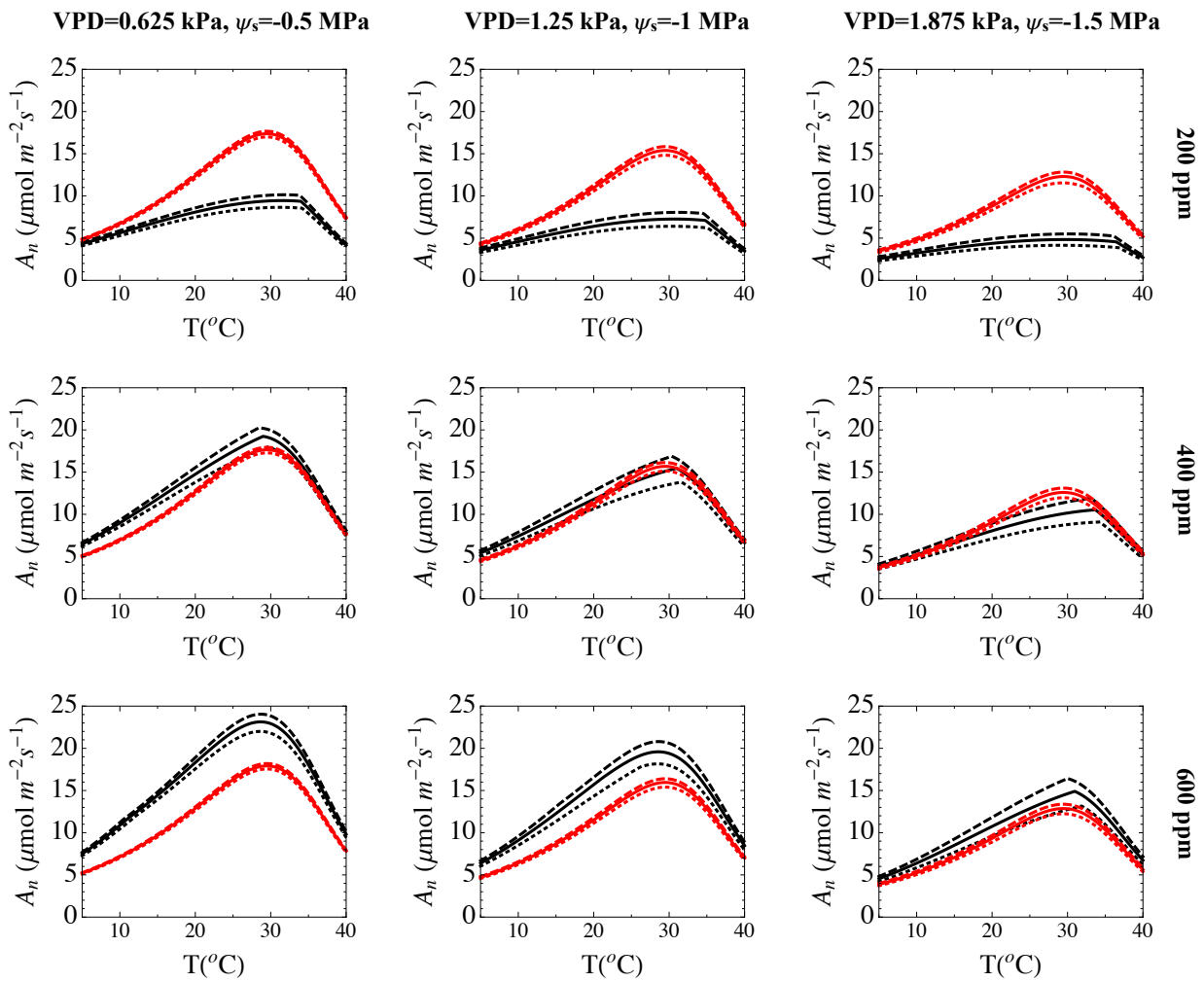

Fig. 6 Modeling results of photosynthesis rates along with different CO_2 concentration, different temperatures and different water limited conditions for C_3 (black lines) and for C_4 (red lines). Solid lines: modeling results for C_3 and C_4 with measured leaf hydraulic conductance; dashed lines: modeling results for C_3 and C_4 with twice of the regular leaf hydraulic conductance; dotted lines: modeling results for C_3 and C_4 with half of the regular leaf hydraulic conductance. C_3 and C_4 parameters are kept the same except for C_4 has the carbon concentration mechanism.

Discussion

The evolution of the C_4 pathway in the grasses caused a series of shifts in hydraulic properties as compared to closely-related C_3 grasses. The anatomical requirements of C_4 initially increased K_{leaf} and leaf capacitance, as predicted by previous studies[14,15,16]; however, K_{leaf} and leaf

221 capacitance appear to decline over evolutionary time, suggesting a long period of physiological
222 optimization after the initial assembly of a new photosynthetic system. Previous examination of
223 leaf hydraulic traits in grasses focused on investigating single species or were not developed
224 within a phylogenetic framework when comparing multiple species[21,22], and phylogenetic
225 studies have assumed trait evolution as simple Brownian motion[23,24]. Hydraulic traits, however,
226 may have evolved along different trajectories before and after the evolution of the C_4 pathway
227 and associated anatomical reorganization, resulting in more complicated evolutionary dynamics.
228 Our evolutionary models indicated C_4 grasses initially had higher K_{leaf}, leaf capacitance, turgor
229 loss point than corresponding C_3, and a lower stomatal conductance (g_s) than grasses consistent
230 with previous studies[25,26]. Decreased vein distance and increased bundle sheath size are thought
231 to be anatomical precursors to the evolution of C_4[27,28], and both are thought to increase K_{leaf}
232 and/or leaf capacitance[14,15]. Therefore, the shifts of K_{leaf} and leaf capacitance likely occurred
233 before, or at the initial formation of, the C_4 CCM. After the full formation of C_4, K_{leaf} and/or leaf
234 capacitance started to decrease, which led to higher or equivalent K_{leaf} and leaf capacitance in the
235 current C_3 and C_4 species (Fig. 2). Liu et al. (2019) found that K_{leaf} in C_4 grasses overlapped with
236 C_3 values[24]. The positive correlation between A_{max} and the evolutionary age also supports an
237 extended optimization phase for C_4. Previous studies have indicated that species from the oldest
238 C_4 lineages (*Chloridoideae* and *Andropogoneae* for example) contain the most productive crops
239 (Sage, 2016), while some recent C_4 lineages are not more productive than C_3 (Ripley et al., 2008;
240 Lundgren et al., 2016). In contrast, the significant decrease of g_s and the increase of leaf turgor
241 loss point occurred with the evolution of a fully operational C_4 CCM, as suggested by our
242 physiological models discussed below. Consistent with this prediction, in clades that possess a
243 range of C_3, C_3-C_4 intermediate and C_4 physiologies, the increased water use efficiency,
244 decreased g_s, and a broadened ecological niche are observed only in plants with a full C_4
245 CCM[29,30].
246
247 The evolution of C_4 significantly alters the widely-accepted A_{max}-K_{leaf} relationships existing in
248 vascular plants. A_{max} is limited by the efficient transport of water through leaves to replace water
249 loss through open stomata, which is the likely cause of a positive correlation between K_{leaf} and
250 A_{max} across and within plant taxa[11,13,31]. We found that A_{max} and K_{leaf} are positively correlated
251 in our C_3 species but not in C_4 (Fig 4). Ocheltree et al. (2016)[22] similarly found no relationship

252 between K_{leaf} and A_{max} in a set of nine C_4 species. We see possible explanations that are not
253 necessarily mutually exclusive. First, the positive relationship of A_{max} and K_{leaf} is weakened
254 under high K_{leaf}, possibly due to diminished returns of further increasing the efficiency of water
255 transport[11 ,31], a conclusion supported by our physiological modeling results below. As K_{leaf}
256 tends to be lower in grasses than in other species, it is possible that the diminishing returns from
257 increasing K_{leaf} manifest at lower values in grasses, and the initial high K_{leaf} resulting from C_4
258 anatomy could be in the A_{max} "saturation" zone. Lastly, we see evidence here that the time-since-
259 C_4-evolution affects several hydraulic traits across and within lineages, and it could be that a
260 walk towards A_{max}–K_{leaf} optimality is slowly occurring within C_4 grass lineages in relatively
261 newfound ecological niches. However, the similar correlations of g_s vs. A_{max} in C_3 and C_4 and
262 lack of evolutionary trend in g_s indicated the evolutionary processes of g_s might be already near
263 the optimal condition or stabilized quickly. Other hydraulic traits of leaf capacitance and leaf
264 turgor loss point do not seem to contribute to the A_{max} directly because of weak correlations.
265
266 We identified the mode and direction of evolution for hydraulic traits in C_3 and C_4 lineages and
267 found evidence that different traits followed different evolutionary processes. Hydraulic
268 conductance and leaf capacitance could therefore evolve with directions in a step-wise fashion
269 due to anatomical constraints, but g_s and leaf turgor loss point might have a more quick process
270 of readjustments, which allows them to stabilize soon. This suggests that there could be greater
271 diversification of K_{leaf} and leaf capacitance in the existing C_4 species and maybe in the future.
272 Also, these rearrangements of hydraulic properties interacted with each other throughout the
273 evolutionary trajectory. For example, increased K_{leaf} and leaf capacitance would lead to an
274 increased water transport efficiency, which enabled greater g_s of the C_4 ancestor (either a C_3
275 grass or a C_3-C_4 intermediate), but the formation of the full C_4 CCM enables a decrease of g_s.
276 Therefore, observed g_s in C_4 grasses reflects a balance of these two contrasting physiologies
277 playing out in a given ecological and phenological background, which may explain why although
278 C_4 g_s was lower than the C_3, the difference was not large. This line of reasoning might also
279 explain the inconsistent observations of g_s comparisons between C_3 and C_4. Most previous
280 studies found that C_4 grasses had lower g_s than C_3 grasses in both closely related and unrelated
281 species[25,33], yet Taylor et al. (2014) found that C_4 grasses maintained a higher or equivalent g_s
282 to closely-related C_3 grasses[34]. Likewise, artificial selection or genetic engineering might have

more success in adjusting these hydraulic traits in advance. Consciously selecting or manipulating narrower xylem, decreasing the expression of aquaporins, or other mechanisms of decreasing leaf conductance while maintain high bundle sheath to mesophyll ratio, together with CCM may increase the water use efficiency of C_4 species further. Our phylogenetic analyses can thus inform both the evolutionary history of C_4 plants and future efforts to modify C_4 crops.

By capitalizing on the multiple origins of C_4 photosynthesis in grasses, we have shown that the vascular organization that is a hallmark of C_4 plants also impacts leaf hydraulics, and disrupts the established link between hydraulic and photosynthetic capacity demonstrated in C_3 plants. C_4 grasses are "overplumbed" relative to their C_3 counterparts, suggesting that the costs associated with the production of an extensive leaf vasculature require re-evaluation in plants with C_4 photosynthetic systems. The gradual decline in K_{leaf} in C_4 lineages over millions of years also requires an explanation. The C_4-K_{leaf} conundrum provides an opportunity to examine what we mean by "evolutionary constraint" and highlights the very dynamic nature of evolutionary trade-offs and functional optimization. First, we assume that the costs of building and maintaining a high K_{leaf} are still significant in C_4 plants[12,35,36,37,38]. The most efficient way to reduce K_{leaf} costs would be to reduce venation density, as veins come with high construction costs[12,17], and also reduce the leaf area that is available for carbon fixation. Yet the anatomical requirements of the C_4 system preclude this option: reducing vein density would result in a highly inefficient C_4 system[15], which would negatively impact the plant's carbon budget, presumably to a much greater extent than the cost of an overbuilt venation system. As vein construction is a primary contribution to the cost of a high K_{leaf}, and high vein densities are now linked to a new function (C_4 carbon fixation), the cost-benefit calculations in optimizing K_{leaf} have shifted, and the tradeoff is in favor of overplumbing in order to maintain a highly efficient new carbon fixation system. In evolutionary vocabulary, what emerges is a new constraint – and in this example, it is clear that the emergence of a new constraint to organismal evolution is simply due to a shift in the tradeoffs associated with characters that influence multiple aspects of organismal function. In other words, we assume a low vein density is a phenotype that is still developmentally achievable for C_4 grasses; what has prevented its emergence is the shift in functional costs associated with reduced vein densities.

314 And yet, we documented a gradual reduction in K_{leaf} over time, which we presume was
315 accomplished via changes in other factors that influence leaf hydraulic capacity– perhaps by
316 changing xylem conduit diameters, shifts in extra-xylary mesophyll conductance, decreased
317 expression of aquaporins, and reorganization of internal air spaces[6,12,37,39,40]. It is possible that
318 these changes resulted from a continued and direct selection pressure to reduce investment in an
319 underutilized hydraulic system. An alternative explanation is that all of the traits that influence
320 K_{leaf} also play important roles in other aspects of leaf function – and the emergent of a new
321 constraint (a high vein density to maintain C_4 function) has ***released*** still other constraints on
322 other traits so that they may be optimized for their other functions. A striking pattern in our data
323 is that older C_4 lineages have achieved both lower K_{leaf} and higher A_{max} – suggesting that they
324 are continuing to optimize their photosynthetic capacity, long after the initial origin of C_4. We
325 suspect that the slow evolutionary decline in K_{leaf} is due in large part to the optimization of traits
326 to increase A_{max} at the expense of K_{leaf}, which is possible only because hydraulic capacity was
327 already "buffered" by the vein density requirements of C_4 – allowing for continued reductions of
328 K_{leaf} at no functional cost. Increased suberization of bundle sheath cells is one example of a
329 potential release of constraint[22]: it allows C_4 plants to gain higher A_{max} through reducing bundle
330 sheath leakiness, but it likely simultaneously reduces water flow from veins out into the
331 mesophyll. Since C_4 plants are already operating in hydraulic excess, bundle sheath suberization
332 may be optimized for C_4 function without any negative repercussions for plant water relations.
333 This hypothesis could also explain the opposing trends in A_{max} and K_{leaf} when viewed as a
334 function of evolutionary age. The examination of C_4 evolution in grasses provides an exciting
335 system to study the evolutionary dynamics of constraints highlighted by the interplay between
336 photosynthesis and plant hydraulics.
337
338 **Methods**
339 ***Plant material***
340 We collected seeds of 39 closely related C_3 (9 species), C_4 species (29 species), representing
341 three C_4 subtypes, nine C_4 origins, and one C_3-C_4 intermediate species. The selected C_3 and C_4
342 species fall into nine identified C_4 lineages belong to the 11 recommended grass lineages for C_3
343 and C_4 study (11 out of the total 24 grass lineages have clear C_3 sister species and are
344 recommended for comparative studies in GPWGII, 2012[4]): *Aristida*, *Stipagrostis*,

345 *Chloridoideae* (*Eragrostideae*), *Eriachne*, *Tristachyideae*, Arthropogoninae, *Otachyrinae*
346 *(Anthaenantia)*, *Panicinae*, *Melinidinae*, and *Cenchrinae* (Fig. 1). In 2015, seeds were surface
347 sterilized before germination and the seedlings were transferred to 6 inch pots with the soil of
348 Fafard #52 (Sungro, Ajawam, MA). Six replicates of each species were randomized in the
349 greenhouse of the University of Pennsylvania supplemented with artificial lighting. The plants
350 were watered twice daily. Daytime/night temperature was controlled at 23.9-29.4/18.3-23.8 ^{o}C;
351 relative humidity was around 50-70%. Plants were fertilized once per week with 300 ppm
352 Nitrogen solution (Jacks Fertilizer; JR Peters, Allentown, PA) and 0.5 tsp of 18-6-8 slow release
353 Nutricote Total (Arysta LifeScience America Inc, NY) per pot was applied when plants were
354 potted into 6 inch pots. To maintain optimal plant growth a 15-5-15 cal-mg fertilizer was used
355 every third week. All measurements were performed on the most-recent fully expanded leaves.
356
357 ***Hydraulic traits***
358 Leaf hydraulic conductance (K_{leaf}) was measured using the evaporative flux method[41], with
359 some adjustments to maintain stability of the evaporative environment to which the leaf was
360 exposed (Supplementary Methods). The evening before measurements, potted plants were
361 brought to the laboratory, watered, and then covered by black plastic bags filled with wet paper
362 towels to rehydrate overnight. For the leaf gasket, a 1 cm diameter, ~ 1 cm long solid silicone
363 rubber cylinder was cut nearly in two, leaving a hinge on one end. The cylinder was placed
364 around the leaf blade near the ligule and glued shut with superglue[42]. The leaf was cut from the
365 plant with a razor blade while submerged in a 15 mmol L^{-1} KCl solution; the rubber gasket was
366 then attached to tubing filled with the same KCl solution. The other end of the tubing was inside
367 a graduated cylinder that sat on a digital balance (Mettler-Toledo). The leaf was then placed
368 inside a custom, environmentally controlled cuvette that allowed for the measurement of entire
369 grass blades. Throughout measurements, cuvette temperature was controlled at 25 ^{o} C and the
370 humidity was 55-65% (VPD range of 1.1-1.4 kPa) across measurements, but remained constant
371 during a particular measurement. Photosynthetically active radiation in the system is 1000 μmol
372 m^{-2} s^{-1}. Flow from the balance was monitored for 45 m to 1h until the flow rates reach steady
373 state. After the measurements, the leaf was detached and was put into a plastic bag to equilibrate
374 for 20 minutes to measure the leaf water potential (Model 1000, PMS Instrument, USA). K_{leaf}
375 values were further standardized to 25 ^{o}C and leaf area to make the K_{leaf} comparable among

376 studies and across species. Data indicating a sudden change of flow and whose leaf water
377 potential was an obvious outlier were deleted.
378
379 We measured pressure-volume (PV) curves for six leaves per species using the bench-drying
380 method[43,44]. A leaf was cut directly from the same plants rehydrated in the lab (as described
381 above) using a razor blade and leaf water potential was measured immediately. Then, the leaf
382 weight was recorded. The leaf was initially allowed to dry on the bench for 2-minute intervals
383 and put into a ziplock bag and under darkness for 10-minute equilibration before measuring the
384 leaf water potential and leaf weight again. Then, the waiting intervals could be adjusted based on
385 the decrease of the leaf water potential (from 2 minutes-1h). Ideally, a decreasing gradient of -
386 0.2MPa for leaf water potential was obtained for the curves, until the leaf weight reached a
387 steady state. At the end of the experiment, leaves were dried in the oven at 70°C for 48h to obtain
388 the dry weight. The PV curves were used in curve fitting to obtain leaf capacitance, and leaf
389 turgor loss point using an excel program from Sack and Pasquet-Kok (2010)[44].
390
391 Maximal assimilation rate (A_{max}) and stomatal conductance (g_s) were measured under saturated
392 light intensity. A_{max} and g_s were obtained using a standard 2 x 3 cm^2 leaf chamber with a red/blue
393 LED light source of LI-6400XT (LI-COR Inc., Lincoln, NE, USA). Light curves were measured
394 with light intensities of 2000, 1500, 1200, 1000, 800, 500, 300, 200, 150, 100, 75, 50, 20, 0 μmol
395 m^{-2} s^{-1} under CO_2 of 400 ppm. Then, A_{max} was estimated from the light curve[45,46]. All the
396 measurements were made under the temperature of 25°C and the leaf temperature to air vapor
397 pressure deficit was controlled around 2kPa. g_s at the saturated light intensity of 2000 μmol m^{-2}
398 s^{-1} was recorded for each plant. The cuvette opening was covered by Fun-Tak to avoid and
399 correct for the leakiness.
400
401 ***Phylogenetic analysis***
402 **Phylogenetic analysis for C_3 and C_4.** We pruned the dated phylogeny from a published grass
403 phylogeny to include only the species in our physiological experiments[19](Fig. 1). Using the
404 dated phylogeny, for each of the hydraulic traits, we fitted evolutionary models to test which
405 evolutionary model best explains observed distribution of traits along the phylogeny and how
406 these models differ between C_3 and C_4 (Table S1). We fitted evolutionary models belonging

407 Brownian Motion model and Ornstein-Uhlenbeck Model using the package "mvMORPH" in
408 R[47]. To determine the best fitted evolutionary model, we compared two criteria, the small-
409 sample-size corrected version of Akaike information criterion (AICc, the lower AICc, the better
410 fit) and Akaike weights (AICw, the higher AICw, the better fit)[48,49,50]. The evolutionary models
411 have nested variants (Models 1-4; Models 5-6), varying in whether C_3 and C_4 species had the
412 same or different fluctuation rates, root states for Brownian motion model and optima for
413 Ornstein-Uhlenbeck model. We used likelihood-ratio test (LRT) to verify whether a specific
414 model variant performs significantly better. The AICc, AICw and LRT allowed us to test
415 evolutionary hypotheses, for instance, if the model in which C_3 and C_4 have different root states
416 fit significantly better than model in which C_3 and C_4 have the same root states, it means there is
417 a shift of physiological trait along with the formation of C_4. To examine the further evolution of
418 hydraulic traits after a full C_4 evolved, we extracted the evolutionary ages for each represented
419 C_4 origin from the dated phylogenetic trees. Then, we regressed the hydraulic traits with
420 evolutionary age. A significant negative correlation between evolutionary age and hydraulic trait
421 will indicate a further decreasing evolutionary direction after C_4 evolved. We also performed an
422 additional analysis to test the original states and further direction together. We extracted
423 molecular phylogeny for all the species from Edwards, GPWG II (2012)[4]. Except for the six
424 evolutionary models mentioned above, the molecular phylogeny allows us to fit for additional six
425 Brownian motion models with trend (Supplementary Table S7). Likewise, if Brownian motion
426 model with trend fits the phylogenetic patterns better than Brownian motion model without trend
427 it means there is an evolutionary trend, and a significant LRT test for a two-trend model suggests
428 that C_3 and C_4 lineages differ in the speed or direction of hydraulic evolution. We also mapped
429 the traits on the phylogeny for potential further references (Fig. S2-S5).

430 To further test whether there are significant differences among C_4 subtypes, evolutionary models
431 with subtypes (Table S1) were used to fit the data. We again used AICc, AICw and LRT
432 methods to find the best model variants: whether there are significant differences for hydraulic
433 shifts and evolutionary trends among three different subtypes. For the leaf capacitance analysis,
434 *Dichanthelium clandestinum* is deleted as it is an obvious outlier.

435 **Phylogenetic analysis for correlations among traits.** Multivariate analysis in "mvMORPH"
436 was used to estimate the correlations between A_{max} and each of the hydraulic traits and to test the

hypotheses that whether such correlations are different between C_3 and C_4. The process of brownian motion with different root for C_3 and C_4 was used for K_{leaf}, g_s and leaf turgor loss and brownian motion with the same root was used for leaf capacitance. Since the Ornstein-Uhlenbeck process is difficult to take the root state difference into consideration, here we used Brownian motion assumptions as approximation for leaf turgor loss. Seven different correlation models are fitted (Table S19). We used LRT for the seven correlation models to test whether the correlation of the two traits is significantly different from 0 and whether the correlation of two traits is significantly different between C_3 and C_4. Such correlation analysis is similar to PGLS considering C_3 and C_4, but with more varieties on the setting of variance and covariance matrix.

Physiological Modeling

Furthermore, we used physiological models that couples the photosynthesis systems and hydraulic systems to predict the effect of changing K_{leaf} on assimilation rate[32]. The change of K_{leaf} was assumed to change the plant hydraulic conductance (K_{plant}) proportionally in the modeling process. We double or reduce by half K_{leaf} relative to the original value to predict the effects on assimilation rates for C_3 and C_4 pathways. We assumed C_4 had the same photosynthetic properties with C_3 species (e.g., Rubisco affinity and specificity, Supplementary Table S24) other than the carbon concentration mechanism, which mimics the initial evolution of C_4 and the closely-related C_3-C_4 system. We also model the additional scenarios in which C_4 had different photosynthetic properties to support the above condition further (Supplementary Table S25).

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Data availability

The data that support the findings of this study are available from the corresponding author upon request.

Code availability

All source code is available upon request.

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