

1 Title

2 Dopamine influences attentional rate modulation in Macaque posterior parietal cortex

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15 Abstract

16 Cognitive neuroscience has made great strides in understanding the neural substrates of
17 attention, but our understanding of its neuropharmacology remains incomplete. Although
18 dopamine has historically been studied in relation to frontal functioning, emerging evidence
19 suggests important dopaminergic influences in parietal cortex. We recorded single- and
20 multi-unit activity whilst iontophoretically administering dopaminergic agonists and
21 antagonists while rhesus macaques performed a spatial attention task. Out of 88 units, 50
22 revealed activity modulation by drug administration. Dopamine inhibited firing rates
23 according to an inverted-U shaped dose-response curve and increased gain variability.
24 Dopamine modulated attention-related rate changes and Fano Factors in broad and narrow-
25 spiking units, respectively. D1 receptor antagonists diminished firing rates according to a
26 monotonic function and interacted with attention modulating gain variability in broad-spiking
27 units. Finally, both drugs decreased the pupil light reflex. These data show that dopamine
28 shapes neuronal responses and modulates attentional processing in parietal cortex.

29

30 Keywords

31 Dopamine, iontophoresis, parietal cortex, pupil light reflex, SCH23390

32

33 Introduction

34 Selective attention refers to prioritization of behaviorally relevant, over irrelevant, sensory
35 inputs. Convergent evidence from human neuropsychological, brain imaging and non-human
36 primate studies shows that fronto-parietal networks are crucial for selective attention

37 (Corbetta and Shulman, 2011; Desimone and Duncan, 1995; Posner, 1990). Neuromodulation
38 of attention-related activity in these networks occurs at least in part via glutamatergic
39 (Dasilva et al., 2021; Herrero et al., 2013) and cholinergic inputs (Dasilva et al., 2019; Furey
40 et al., 2008; Herrero et al., 2008; Levin and Simon, 1998; Nelson et al., 2005; Parikh et al.,
41 2007; Sarter et al., 2005; Warburton and Rusted, 1993). Multiple lines of evidence, however,
42 also suggest dopaminergic modulation (Bellgrove and Mattingley, 2008; Noudoost and
43 Moore, 2011a; Soltani et al., 2013; Thiele and Bellgrove, 2018). Here we sought to
44 understand how dopamine (DA) applied to macaque posterior parietal cortex (PPC)
45 modulates attention-related activity.

46 The functional significance of DA is well established for a number of brain areas, particularly
47 the frontal cortex (executive control) and basal ganglia (motor control). For these regions,
48 substantial across-species similarities allowed the development of mechanistic models with
49 clinical translational value for various disorders (e.g., Parkinson's disease, schizophrenia or
50 attention deficit hyperactivity disorder (ADHD)) (Arnsten et al., 2012; Thiele and Bellgrove,
51 2018). Species differences with respect to dopaminergic innervation do however exist for
52 posterior cortical areas, including the PPC. Although sparse in rodents, dopaminergic
53 innervation of parietal areas in non-human primates is comparable in strength and laminar
54 distribution to prefrontal regions (Berger et al., 1991). Moreover, macaque PPC has high
55 densities of DA transporter (DAT) immunoreactive axons (Lewis et al., 2001). These
56 observations align with dense dopaminergic receptor expression in human PPC (Caspers et
57 al., 2013) and imaging studies of clinical disorders where medications targeting DA receptors
58 or transporters modulate parietal activity (Mehta et al., 2000). Given these data and the
59 clinical significance of PPC function, greater understanding of dopaminergic effects in this
60 region is warranted.

61 Selective attention relies heavily on PPC integrity and multiple lines of evidence suggest that
62 DA modulates attentional processes related to parietal function. First, DA agonists reduce
63 spatial inattention in neurological (Gorgoraptis et al., 2012) and psychiatric patients with
64 disorders such as schizophrenia (Maruff et al., 1995) and ADHD (Bellgrove et al., 2008; Silk
65 et al., 2014). Second, psychopharmacological studies in healthy volunteers suggest that DA
66 antagonists modulate parameters of spatial cueing paradigms (e.g. validity effect), often
67 associated with parietal function (Clark et al., 1989). Third, DNA variation in a
68 polymorphism of the DA transporter gene (DAT1) is associated with individual differences
69 in measures of spatial selective attention (Bellgrove et al., 2009, 2007; Newman et al., 2014).
70 Fourth, non-human primate studies revealed dopaminergic contributions to working memory
71 signals in dorsolateral prefrontal cortex (dlPFC) (Williams and Goldman-Rakic, 1995), and
72 modulation of dopaminergic signaling in frontal eye fields (FEF) affects V4 neurons in a
73 manner similar to attention and biases behavioral choices (Noudoost and Moore, 2011a;
74 Soltani et al., 2013). DA thus contributes to working memory, target selection and probably
75 also spatial attention in dlPFC and FEF (Clark and Noudoost, 2014; Noudoost and Moore,
76 2011a, 2011b; Williams and Goldman-Rakic, 1995). Both areas are critical nodes of fronto-
77 parietal attention networks. In summary, while dopaminergic influences on frontal circuits
78 are comparatively well understood, their effect on attention-related activity in PPC is yet to
79 be established.

80 Here we sought to address this knowledge gap by locally infusing DA or the selective D1
81 receptor (D1R) antagonist SCH23390 into the PPC of two macaque monkeys during a
82 selective attention task. We showed that single and multi-unit (SU, MU) activity is inhibited
83 by iontophoresis of dopaminergic drugs into intraparietal sulcus (IPS) gray matter and that
84 drug application increased trial-to-trial excitability fluctuations, termed gain variability
85 (Goris et al., 2014). The effects of the non-selective agonist DA followed an inverted U-

86 shaped dose-response curve, whereas the dose-response curve of the D1-selective antagonist
87 SCH23390 followed a monotonic function. Additionally, we found cell-type specific effects
88 on attentional modulation whereby DA affected attention-related activity and Fano Factors in
89 broad-spiking and narrow-spiking units, respectively, whereas SCH23390 application
90 affected attention-related gain variability changes in broad-spiking units only. Finally, both
91 drugs reduced the pupillary light reflex.

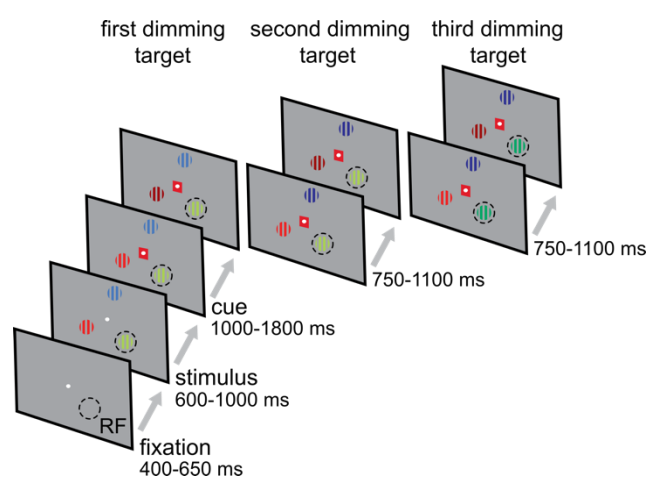
92

93 Results

94 We recorded activity from 88 single and multi-units from intraparietal sulcus (IPS) in two
95 awake, behaving Macaque monkeys performing a selective attention task (Figure 1). Of these
96 units, 74 (84.1%) were modulated by attention, as measured during the 500 ms before the
97 first dimming event (see Figure 2). During recording, we used an electrode-pipette
98 combination to iontophoretically administer dopaminergic drugs in the vicinity of the
99 recorded cells (Thiele et al., 2006). Across the two monkeys, we recorded from 59 units
100 whilst administering the unselective agonist DA and from 29 units during which we
101 administered the selective D1R antagonist SCH23390. Firing rates in 36 (61%) and 14
102 (48.3%) units were modulated by application of DA and SCH23390, respectively. Of these
103 drug-modulated units, 31 (52.5%) and 14 (48.3%) were also modulated by attention. Thus,
104 approximately half the total units were modulated both by attention and drug application.
105 These proportions are comparable to cholinergic modulation of attention induced activity in
106 macaque V1 and FEF (Dasilva et al., 2019; Herrero et al., 2008), and glutamatergic
107 modulation in FEF (Dasilva et al., 2021). As expected given the focal nature of micro-
108 iontophoretic drug application (Herz et al., 1969), and in line with comparable studies (Jacob

109 et al., 2016, 2013), there were no behavioral effects of drug application (i.e., reaction times)
110 (Supplementary figure 1).

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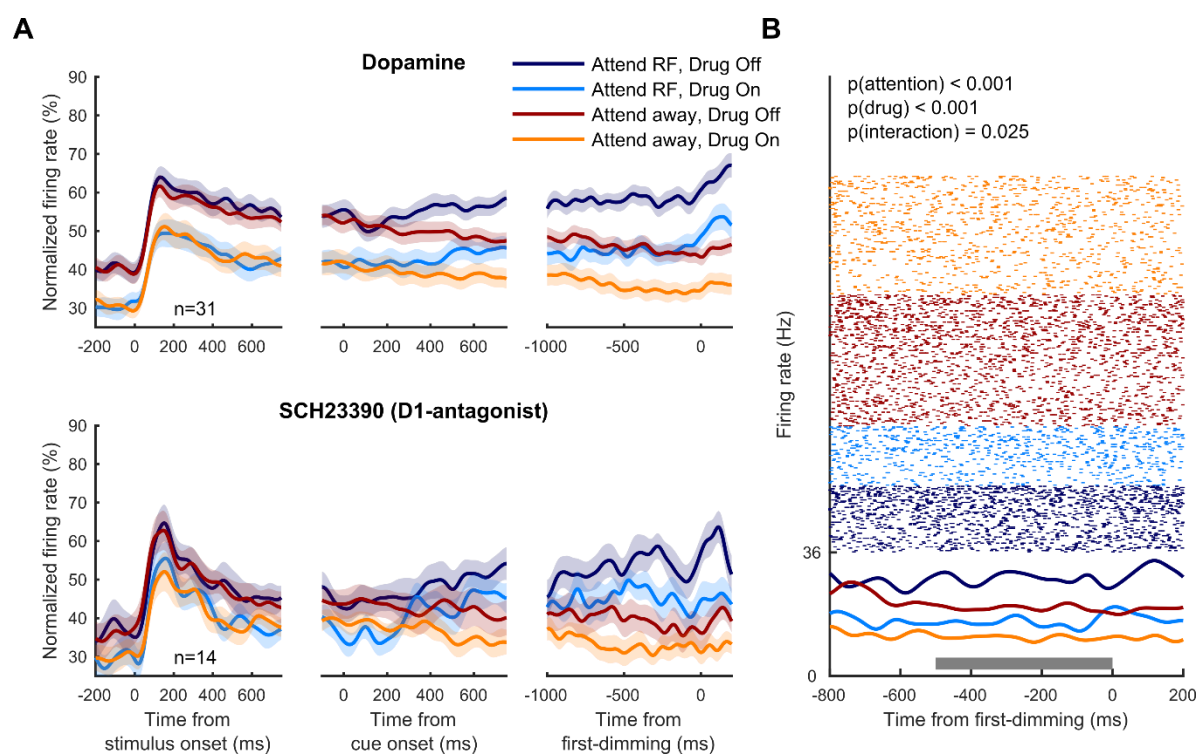
113 Figure 1. Behavioral paradigm. The monkey held a lever and fixated on a central fixation spot to initiate the
114 trial. One of three colored gratings was presented inside the receptive field (RF) of the neurons under study.
115 After a variable delay a cue matching one of the grating colors surrounded the fixation spot, indicating which
116 grating was behaviorally relevant (target). In pseudorandom order the stimuli decreased in luminance (dimmed).
117 Upon dimming of the target, the monkey had to release the lever to obtain a reward.

118

119 Figure 2A illustrates the population activity (from all units) aligned to stimulus onset, cue
120 onset and the first-dimming event, for both the no-drug and the drug conditions. For a given
121 drug condition, neural activity between attention conditions did not differ when aligned to
122 stimulus onset but started to diverge approximately 200 ms after cue onset, indicating which
123 of the three gratings was behaviorally relevant on that trial, and diverged further leading up to
124 the first dimming event. Across the population, DA strongly reduced firing rates throughout
125 the duration of the trial, including during baseline periods as well as stimulus and cue
126 presentation. The effects of SCH23390 were of the same sign but weaker. Control recordings
127 (saline with matched pH) to control for pH or current related effects did not reveal any effects

128 on firing rates (Supplementary figure 2), and thus exclude the possibility that drug effects
129 were the result of recording or application methods. Although drug induced changes to
130 attentional modulation of neural activity appear relatively small at the population level, a
131 subset of neurons revealed an interaction between attention and drug application (n=9), as
132 illustrated for an example neuron in Figure 2B, and these effects depended on the cell types
133 affected (further delineated below). Next, we examined units that were modulated by
134 attention and/or drug application and investigated whether activity modulation due to
135 attention and drug application mapped onto different cell types.

136 Cells were classified as narrow or broad-spiking cells according to the median duration of the
137 peak-to-trough time of the spike waveforms (Figure 3A & B). These cell types have
138 previously been found to respond differently to dopaminergic drug application in frontal
139 cortex (Jacob et al., 2016, 2013). Although narrow and broad-spiking cells have been argued
140 to respectively constitute inhibitory interneurons and excitatory pyramidal cells (Mitchell et
141 al., 2007), a more recent study found that output cells in primary motor cortex (unequivocal
142 pyramidal cells) had a narrow action potential waveform (Vigneswaran et al., 2011), and
143 most pyramidal cells in macaque motor cortex express the Kv3.1b potassium channel,
144 associated with the generation of narrow spikes (Soares et al., 2017). Therefore, the narrow-
145 broad categorization distinguishes between two different cell type categories, without
146 mapping this classification specifically onto interneurons or pyramidal cells.



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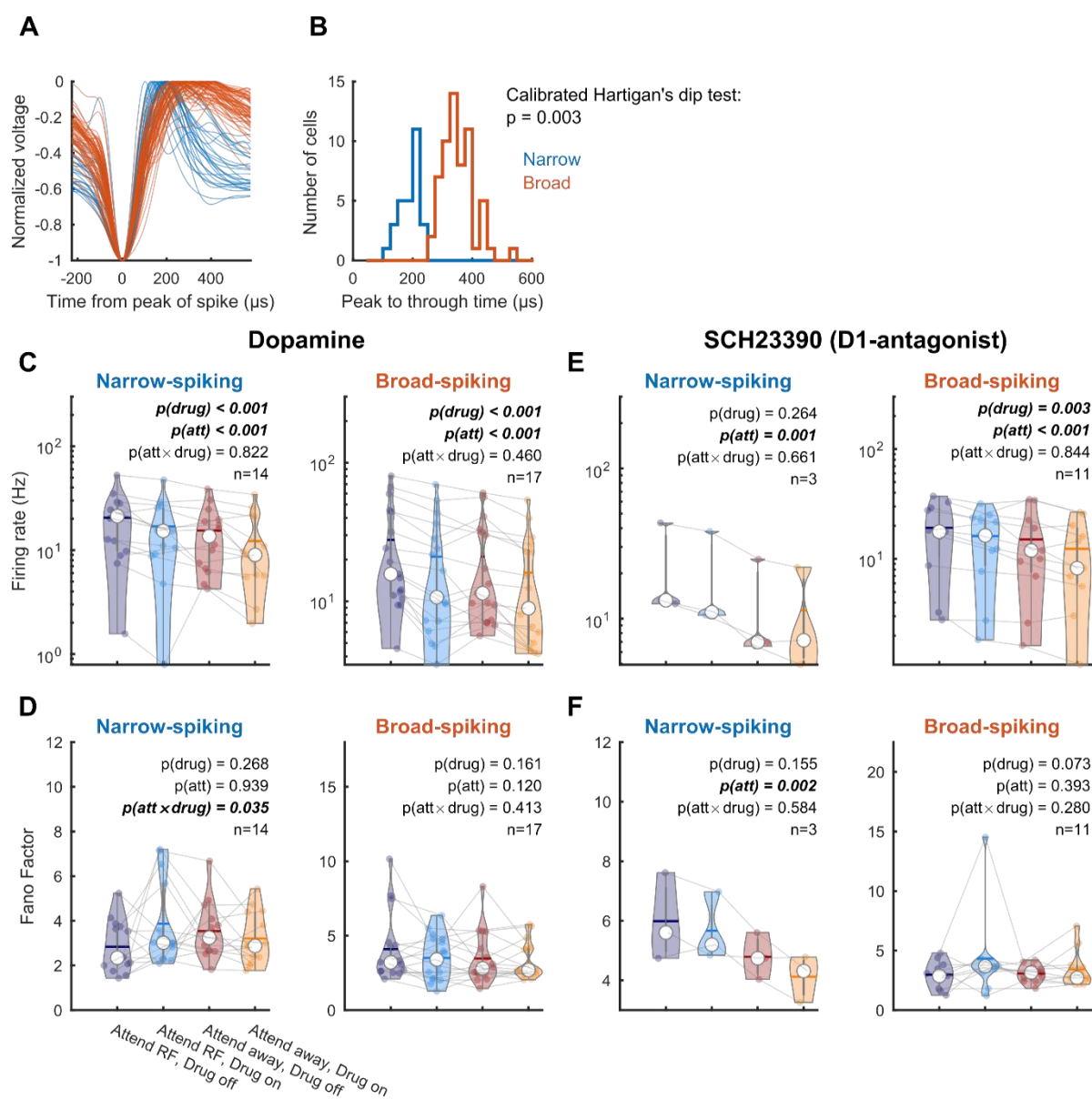
148 Figure 2. Population activity and example unit. **(A)** Population histograms for all units recorded during
149 dopaminergic drug application selective for attention and drug application. Population activity aligned to
150 stimulus onset (left), cue onset (middle) and the first dimming event (right), for the non-specific agonist
151 dopamine (top) and the D1R antagonist SCH23390 (bottom). Activity is normalized for each unit by its
152 maximum activity. Error bars denote ± 1 SEM. **(B)** Activity from a representative cell recorded during dopamine
153 application. This cell's activity, aligned to the first dimming event, was significantly modulated by attention,
154 drug application and showed a significant interaction between these factors. The grey bar indicates the time
155 window used for statistical analyses. Statistics: two-factor ANVOVA.

156 We tested whether DA application affected firing rates or rate variability, as quantified by the
157 Fano Factors (FF) and gain variability, measured during the 500 ms preceding the first
158 dimming, using linear mixed-effect models with categorical (effect coded) factors of drug
159 (on/off), attention (RF/away) and unit type (narrow/broad). Confidence intervals were
160 computed across 5000 bootstrap replicates. To control for Type I errors and to aid
161 interpretation of model fit statistics, we additionally report the Kenward-Roger
162 approximation for performing F tests as well as the Bayes factor (Materials & Methods). We

163 followed these analyses with tests within each unit type, depicted in Figure 3 and Figure 4.
164 For firing rates, we found a main effect of attention ($\beta = 2.67 \pm 0.38$, 95% confidence interval
165 = [1.91, 3.45], $\chi^2_{(1)} = 29.2$, $P = 6.44e^{-8}$, $P_{KR} = 8.19e^{-8}$, $BF = 6.65e^6$) reflecting the firing rate
166 increase when attention is directed towards the RF, and a main effect of drug ($\beta = -2.31 \pm 0.38$,
167 95% confidence interval = [-3.09 -1.55], $\chi^2_{(1)} = 31.1$, $P = 2.44e^{-8}$, $P_{KR} = 3.74e^{-8}$, $BF = 2.06e^7$),
168 indicating that DA application reduced firing rates (Figure 3C). We did not find a main effect
169 of unit type or any interaction. For FF, we did not find any main effects of attention, drug or
170 unit type, but we found a trending interaction effect between drug and unit type ($\beta =$
171 0.18 ± 0.10 , 95% confidence interval = [-0.01 0.38], $\chi^2_{(1)} = 2.97$, $P = 0.084$, $P_{KR} = 0.09$, $BF =$
172 1.08) and a three-way interaction between drug, attention and unit type ($\beta = 0.22 \pm 0.10$, 95%
173 confidence interval = [0.03, 0.42], $\chi^2_{(1)} = 4.75$, $P = 0.029$, $P_{KR} = 0.036$, $BF = 3.37$). This
174 interaction reflects that when attention is directed towards the RF, DA application increases
175 FF, whereas when attention is directed away from the RF, DA application decreases FF in
176 narrow-spiking units (Figure 3D).

177 We performed the same analyses for the application of SCH23390. For firing rates, we found
178 a main effect of attention ($\beta = 3.33 \pm 0.50$, 95% confidence interval = [2.33, 4.30], $\chi^2_{(1)} = 20.9$,
179 $P = 4.92e^{-6}$, $P_{KR} = 7.21e^{-6}$, $BF = 3.22e^4$) reflecting the firing rate increase when attention is
180 directed towards the RF, and a main effect of drug ($\beta = -1.29 \pm 0.50$, 95% confidence interval
181 = [-2.3, -0.29], $\chi^2_{(1)} = 8.47$, $P = 0.004$, $P_{KR} = 0.005$, $BF = 13.3$), indicating that DA application
182 reduced firing rates (Figure 3E). We additionally found an interaction between attention and
183 unit type ($\beta = 1.35 \pm 0.50$, 95% confidence interval = [0.37, 2.33], $\chi^2_{(1)} = 6.72$, $P = 0.01$, $P_{KR} =$
184 0.014 , $BF = 4.9$), indicating that narrow-spiking units increased their firing rates more when
185 attention was directed towards the RF. We did not find any effect of drug application or
186 attention for FF, but we found a trending main effect of unit type ($\beta = 0.85 \pm 0.42$, 95%
187 confidence interval = [-0.002, 1.69], $\chi^2_{(1)} = 3.49$, $P = 0.06$, $P_{KR} = 0.09$, $BF = 0.19$). However,

188 the lack of clear significant effects in conjunction with the low number of narrow-spiking
 189 units for this sample raise doubts about their robustness (Figure 3F).



190

191 Figure 3. Dopaminergic modulation of firing rates across broad and narrow-spiking
 192 waveforms for the population of units. **(B)** Distribution of peak-to-trough ratios. Statistics: calibrated Hartigan's
 193 dip test (Ardid et al., 2015). **(C)** Average firing rates between attention and drug conditions for the non-specific
 194 agonist dopamine for narrow-spiking (left) and broad-spiking (right) units. **(D)** Fano factors between attention
 195 and drug conditions for the non-specific agonist dopamine. **(E-F)** Same conventions as **(C-D)** but for the D1R
 196 antagonist SCH23390. Only units that revealed a main or interaction effect for the factors drug and attention
 197 were included in this analysis. Individual markers represent the average firing rate or Fano Factor for a single

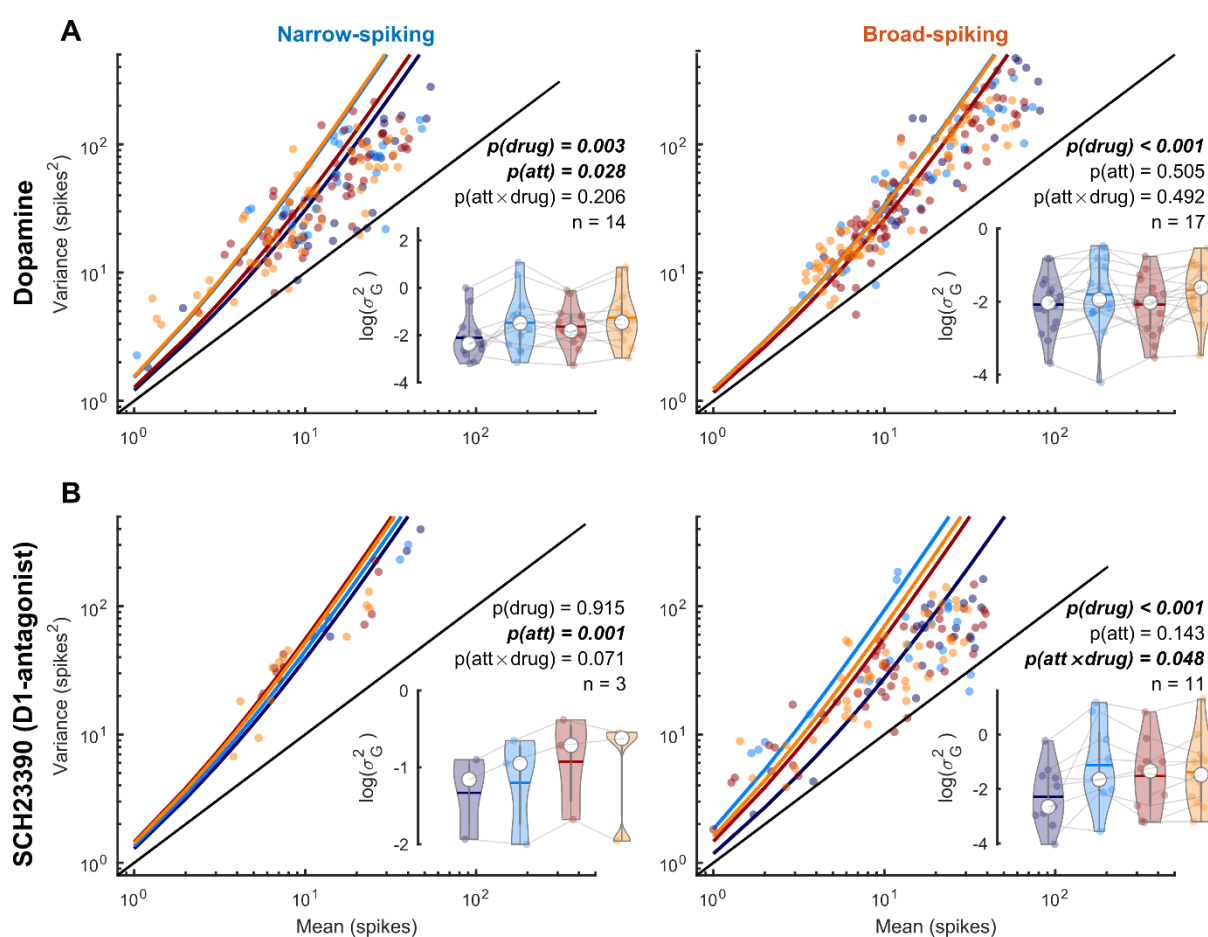
198 unit. The white marker denotes the median and the error bars the interquartile range. Horizontal bars denote the
199 mean. Statistics: linear mixed-effect models.

200

201 We next investigated the effects of drug application and attention on gain variability (Goris et
202 al., 2014). Neural activity often displays super-Poisson variability (larger variance than the
203 mean), resulting from trial-to-trial changes in excitability, that can be modeled by fitting a
204 negative binomial distribution to the spike rate histogram. This distribution is characterized
205 by a dispersion parameter that captures this additional variability and has been proposed to
206 reflect stimulus-independent modulatory influences on excitability (Goris et al., 2014).

207 Whereas FF is a measure of variability that is accurate when the variance is proportional to
208 the mean, gain variability captures the nonlinear variance-to-mean relationship (Thiele et al.,
209 2016). During DA application we found a trending main effect of attention ($\beta = -0.1 \pm 0.041$,
210 95% confidence interval = $[-0.18, -0.02]$, $\chi^2_{(1)} = 3.26$, $P = 0.07$, $P_{KR} = 0.07$, $BF = 0.6$) and a
211 main effect of drug application ($\beta = 0.20 \pm 0.041$, 95% confidence interval = $[0.12, 0.28]$, $\chi^2_{(1)}$
212 = 18.5, $P = 1.72e^{-5}$, $P_{KR} = 2.33e^{-5}$, $BF = 1.38e^4$) on gain variability. This indicates increased
213 variability during drug application and decreased variability when attention was directed
214 towards the RF. We furthermore found a trending interaction between attention and unit type
215 ($\beta = -0.07 \pm 0.041$, 95% confidence interval = $[-0.15, 0.01]$, $\chi^2_{(1)} = 2.72$, $P = 0.099$, $P_{KR} = 0.11$,
216 $BF = 0.65$), revealing a decrease in gain variability in narrow-spiking units when attention
217 was directed towards the RF (Figure 4A). For SCH23390, we found a trending main effect of
218 attention ($\beta = -0.14 \pm 0.081$, 95% confidence interval = $[-0.3, 0.02]$, $\chi^2_{(1)} = 3.52$, $P = 0.061$, P_{KR}
219 = 0.065, $BF = 1.08$) and a main effect of drug application ($\beta = 0.16 \pm 0.081$, 95% confidence
220 interval = $[0.0004, 0.32]$, $\chi^2_{(1)} = 9.04$, $P = 0.003$, $P_{KR} = 0.004$, $BF = 37$), indicating increased
221 gain variability with drug application and decreased variability when attention was directed
222 towards the RF. In addition, there was as a trending interaction effect between drug

223 application and unit type ($\beta = 0.16 \pm 0.081$, 95% confidence interval = $[-0.31, 0.001]$, $\chi^2_{(1)} =$
 224 3.56, $P = 0.059$, $P_{KR} = 0.08$, $BF = 1.33$), indicating a relatively larger difference in gain
 225 variability in broad compared to narrow-spiking units. The model fits within each unit type
 226 revealed a significant main effect of drug application ($\beta = 0.31 \pm 0.088$, $p = 0.0009$) and an
 227 interaction between drug application and attention ($\beta = 0.18 \pm 0.088$, $p = 0.048$) for broad-
 228 spiking units. For narrow-spiking units we found a main effect of attention ($\beta = -0.14 \pm 0.03$, p
 229 $= 0.001$) and a trending interaction effect between drug application and attention ($\beta = 0.06$
 230 ± 0.03 , $p = 0.071$) (Figure 4B).



231

232 Figure 4. Dopaminergic modulation of gain variability across broad and narrow-spiking units. (A) Variance-to-
 233 mean relationship across attention and drug conditions for narrow-spiking (left) and broad-spiking (right) units
 234 for the non-specific agonist dopamine. Individual dots depict the variance and mean across trials for a single
 235 condition. Solid lines show the predicted mean-to-variance relationship given the average fitted dispersion

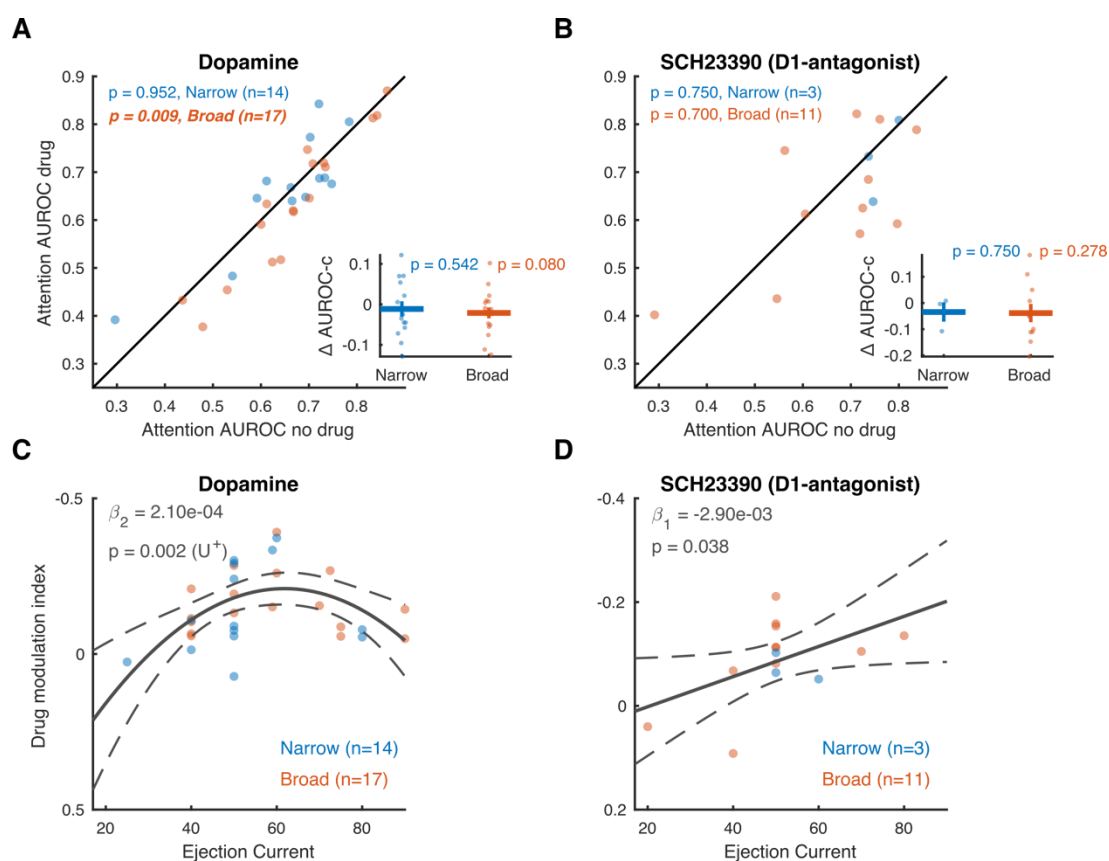
236 parameter (σ_G^2). Insets show σ_G^2 for each unit and their comparison across attention and drug conditions.
237 Individual markers represent the gain variability for a single unit. The white marker denotes the median and the
238 error bars the interquartile range. Horizontal bars denote the mean. **(B)** Same conventions as **(A)** but for the DIR
239 antagonist SCH23390. Only units that revealed a main or interaction effect for the factors drug were included in
240 this analysis. Statistics: linear mixed-effect models.

241
242 To investigate whether DA affected attention-specific activity, we tested if attention AUROC
243 values were modulated by drug application. Attention AUROC values indicate how well an
244 ideal observer can distinguish between neural activity during attend RF or attend away trials.
245 A value of 0.5 indicates that the distributions are indistinguishable, whereas values of 0 or 1
246 indicate perfectly distinguishable distributions. Drug application reduced AUROC values for
247 broad-spiking cells, whereas narrow-spiking cells were unaffected (Figure 5A) [two-sided
248 Wilcoxon signed-rank test; narrow-spiking: Δ -AUROC -0.002 ± 0.01 , $p=0.952$, Cohen's
249 $d=0.030$; broad-spiking: Δ -AUROC -0.034 ± 0.006 , $p=0.009$, Cohen's $d=-0.70$]. Corrected
250 AUROC values (1-AUROC if the AUROC value was smaller than 0.5 without drug
251 application, Materials & Methods) revealed a trending relationship [two-sided Wilcoxon
252 signed-rank test; broad-spiking: Δ -AUROC -0.02 ± 0.01 , $p=0.08$, Cohen's $d=-0.38$].
253 SCH23390 application did not modulate AUROC values for either cell type (Figure 5B). DA
254 thus had a cell-type specific effect on attentional rate modulation, but this was only trending,
255 once corrected values of AUROCs were used.

256 We applied dopaminergic drugs with a variety of iontophoretic ejection currents (20-90 nA).
257 Since DA has previously been shown to modulate neural activity according to an inverted U-
258 shaped dose-response curve (Vijayraghavan et al., 2007), with maximal modulation at
259 intermediate DA levels, we tested whether the ejection current was predictive of the firing
260 rate modulation associated with drug application, estimated by a drug modulation index

261 (MI_{drug}, Materials & Methods). Specifically, we used sequential linear mixed-effect model
262 analyses and likelihood ratio tests to test for linear and quadratic trends. U-shaped trends
263 were verified using the two-lines approach (Materials & Methods). DA displayed a non-
264 monotonic relationship with MI_{drug} ($\chi^2_{(1)} = 9.89$, $p = 0.002$) and revealed an inverted U-
265 shaped curve ($p < 0.05$) in which intermediate ejection currents elicited the most negative
266 MI_{drug}, i.e. the largest inhibition of activity (Figure 5C). For SCH23390, on the other hand,
267 we found a monotonic dose-response relationship ($\chi^2_{(1)} = 4.31$, $p = 0.038$), with more
268 inhibition of firing rates with higher drug ejection currents (Figure 5D). Neither of these
269 dose-response relationships were dependent on unit sub-selection based on their attention or
270 drug selectivity (Supplementary figure 3).

271 To investigate whether drug dosage was also predictive of attentional rate modulation, we
272 performed the same analysis on the difference score (drug – no drug) of attention AUROC
273 values. Neither DA ($\chi^2_{(1)} = 0.95$, $p = 0.330$), nor SCH23390 ($\chi^2_{(1)} = 0.33$, $p = 0.568$) dosage
274 were predictive of attention AUROC, regardless of unit sub-selection (Supplementary figure
275 4).



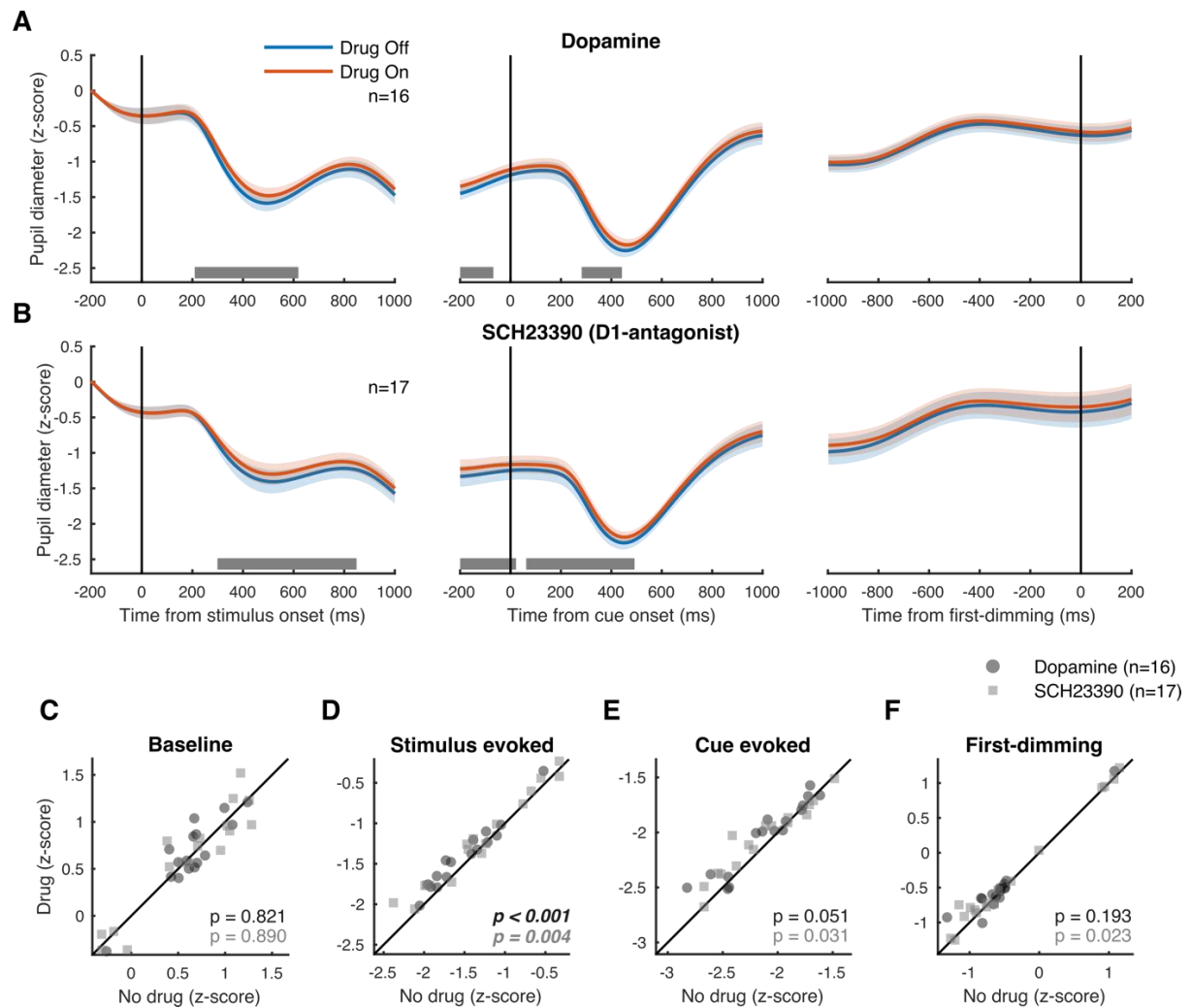
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277 Figure 5. Dopaminergic modulation of AUROC values and dose-response curves. (A-B) Area under the receiver
 278 operating characteristic (AUROC) curve between no drug and drug conditions for the non-specific agonist
 279 dopamine (A) and the D1R antagonist SCH23390 (B). The insets depict the difference (drug-no drug) of the
 280 corrected AUROC values (Materials & Methods). Only cells that revealed a main or interaction effect for the
 281 factors of drug and attention were included in this analysis. Statistics: Wilcoxon signed rank tests (FDR
 282 corrected). Statistics deemed significant after multiple comparison correction are displayed in italic and boldface
 283 fonts. (C-D) Drug modulation index plotted against ejection current for the non-specific agonist dopamine (C)
 284 and the D1R antagonist SCH23390 (D). Note the reversed y-axis. Solid and dotted lines represent significant
 285 model fits (applied to all cells simultaneously) and their 95% confidence intervals, respectively. A monotonic
 286 relationship is shown if a first-order fit was better than a constant fit, and a non-monotonic relationship is shown
 287 if a second-order fit was better than a linear fit. U^+ indicates a significant U-shaped relationship. Only cells that
 288 revealed a main or interaction effect for the factor drug were included in this analysis. Statistics: linear mixed-
 289 effects model analysis.

290

291 Interestingly, we found that the application of both DA and SCH23390 influenced pupil
292 diameter. We conducted a sliding-window Wilcoxon signed rank test analysis for each 200
293 ms window, in 10 ms increments, comparing baseline-normalized pupil diameter on drug
294 compared to no-drug trials (Figure 6A). This analysis revealed a significant difference in
295 pupil diameter that started after stimulus onset and lasted until after cue onset. Specifically,
296 we found a small but significant modulation of the pupillary light reflex (Figure 6). The
297 magnitude of the constriction of the pupil upon stimulus onset was reduced during
298 dopaminergic drug application compared to control trials [two-sided Wilcoxon signed-rank
299 test; DA: Δ -pupil 0.10 ± 0.02 , $p < 0.001$, Cohen's $d = 1.09$; SCH23390: Δ -pupil 0.10 ± 0.03 ,
300 $p = 0.004$, Cohen's $d = 0.79$], but neither drug influenced pupil diameter during any other time
301 window (Figure 6B-E). Another sliding window analysis using a two factor (drug by
302 attention) repeated measures ANOVA revealed no effect of attention (main or interaction) on
303 pupil diameter (data not shown). Thus, locally applied dopaminergic drugs in parietal cortex
304 modulated the pupillary light reflex upon stimulus onset.

305



306

307 Figure 6. Modulation of pupil diameter by dopamine in Parietal cortex. (A) Pupil diameter during sessions
 308 where dopamine was administered aligned to stimulus onset (left), cue onset (middle) and the first dimming
 309 event (right). The grey bar indicates the times where drug application brought about a significant difference in
 310 pupil diameter. (B) As (A) but for sessions where D1R antagonist SCH23390 was applied. (C-F) Average pupil
 311 diameter during pre-stimulus baseline period (C), after stimulus onset (D), after cue onset (E), and before the
 312 first dimming event (F). Shaded regions denote ± 1 SEM. Statistics: Wilcoxon signed rank test (FDR corrected).
 313 Statistics deemed significant after multiple comparison correction are displayed in italic and boldface fonts.

314

315 Discussion

316 We tested the effects of dopaminergic drugs on PPC activity during spatial selective
 317 attention. The non-specific agonist DA inhibited activity according to an inverted U-shaped

318 dose-response curve, whereas the D1R antagonist SCH23390 decreased firing rates for
319 broad-spiking units following a monotonic dose-response curve. We found interaction effects
320 between DA application and attention for Fano Factors in narrow-spiking units, as well as
321 application of SCH23390 and gain variability in broad-spiking units. We further found
322 preliminary evidence that DA reduces attention-related firing rate modulations in broad-
323 spiking units. Finally, we found that local drug application in parietal cortex decreased the
324 pupillary light reflex. This is the first study (to the best of our knowledge) revealing the role
325 of dopaminergic modulation on task-related activity in the parietal cortex of the rhesus
326 macaque.

327

328 [General and cell-type specific dopaminergic modulation in parietal cortex](#)

329 We distinguished between broad and narrow-spiking units. Even though, as discussed above,
330 this classification does not reflect a one-to-one mapping onto interneurons and pyramidal
331 cells, this categorization may explain some of our results (Jacob et al., 2016, 2013). DA has a
332 well-established role in modulating prefrontal signaling, supporting cognitive functions such
333 as working memory and attention (Clark and Noudoost, 2014; Noudoost and Moore, 2011b;
334 Ott and Nieder, 2019; Thiele and Bellgrove, 2018; Vijayraghavan et al., 2007; Watanabe et
335 al., 1997; Williams and Goldman-Rakic, 1995). D1R and D2R are expressed broadly
336 throughout the cortex and fulfil complementary roles in prefrontal cognitive control (Ott and
337 Nieder, 2019). Although D2Rs have been implicated in rule coding (Ott et al., 2014),
338 modulation of working memory is mostly associated with D1R stimulation or blockade
339 (Sawaguchi et al., 1990; Sawaguchi and Goldman-Rakic, 1991, 1994; Williams and
340 Goldman-Rakic, 1995). Moreover, while manipulation of either receptor subtype in FEF can
341 modulate behavioral choices (Soltani et al., 2013), only D1R blockade in FEF elicits activity
342 resembling attentional effects in extrastriate visual areas (Noudoost and Moore, 2011a).

|

343 Interestingly, D1R expression is higher in FEF pyramidal cells compared to interneurons
344 (Mueller et al., 2019, 2018). Here, the effects of dopaminergic drugs were greater for broad-,
345 rather than narrow-spiking units. Although it is unknown whether DA receptor expression
346 differs across cell types in PPC, if expression is similar to the FEF, modulation of parietal
347 attentional signals might rely on higher expression of D1R compared to D2R in broad-
348 spiking putative pyramidal cells.

349 It is remarkable that the majority of the recorded neurons were inhibited by DA and
350 SCH23390 application, as previous studies (in prefrontal cortex) found mixed responses to
351 unselective DA (Jacob et al., 2013) or D1R stimulation (Vijayraghavan et al., 2007; Williams
352 and Goldman-Rakic, 1995). As control recordings using saline did not result in any
353 systematic effects (Supplementary figure 2), these effects were not due to our
354 recording/iontophoresis methods.

355 The effects found may alternatively be explained by drug dosages. Although Jacob et al.
356 (2013) found that the proportion of inhibited and excited cells did not differ across a variety
357 of ejection currents (25-100 nA), activity increases have been found for low, and decreases
358 for high D1R agonist and antagonist dosages (Vijayraghavan et al., 2007; Williams and
359 Goldman-Rakic, 1995). Indeed, while our sample size using lower dosages was small, lower
360 ejection currents predicted positive and less negative modulation. At the dosages used in this
361 study, DA could have mostly inhibitory effects. Vijayraghavan et al. (2007) found that low
362 doses (10-20 nA) of D1R agonists reduced overall firing rates, but increased spatial
363 specificity of prefrontal neurons, whereas high dosages (20-100 nA) further reduced activity
364 and abolished spatially selective information. Given that our study was unrelated to spatial
365 specificity (i.e. saccade field tuning), we were unable to assess this particular feature, but
366 dopaminergic influences may still enhance spatial tuning of PPC despite an overall reduction
367 in activity.

368 Another factor that could explain the low number of DA-excited units is the short block
369 duration used in our task. Cells excited by DA respond more slowly to drug application than
370 inhibited cells, with an average modulation up-ramp time constant of 221.9 s (Jacob et al.,
371 2013). In our task, with a median trial duration of approximately 8 s, a block (36 trials) lasted
372 approximately 288 s. DA-excited neurons could have only started to show modulation
373 towards the end of the block, resulting in a population of largely inhibited units.

374 In sum, dopaminergic effects on (task-related) activity are complex (Seamans and Yang,
375 2004) and depend on various factors not controlled for in this study, such as endogenous
376 levels of DA. Within prefrontal cortex, coding can be enhanced by D1R agonists, and
377 diminished by antagonists (Ott et al., 2014; Vijayraghavan et al., 2007), or vice-versa
378 (Noudoost and Moore, 2011a; Williams and Goldman-Rakic, 1995). Indeed, dopaminergic
379 effects show regional variability across different brain areas, even within PFC (Arnsten et al.,
380 2012). Thus, the mechanisms discussed above might not apply to PPC. Finally, as SCH23390
381 also has high affinity agonistic properties for 5-HT_{2c} (serotonin) receptors (Millan et al.,
382 2001), some of our effects might be unrelated to dopaminergic functioning. Although the
383 effects on attention were modest and our sample size was relatively small, these results
384 encourage future studies with larger sample sizes and a more detailed distinction between cell
385 types to explore cell-type and receptor-subtype specific (dose-dependent) effects of DA in
386 parietal cortex during task performance.

387

388 [Dopaminergic dose-response curve](#)

389 DA receptor stimulation follows an inverted-U shaped dose-response curve whereby too little
390 or too much stimulation leads to suboptimal behavioral performance (Arnsten et al., 1994;
391 Zahrt et al., 1997) or neural coding (Vijayraghavan et al., 2007). Whereas optimal levels of

392 DA receptor stimulation can stabilize and tune neural activity, suboptimal levels decrease
393 neural coding and behavioral performance.

394 Here we found an inverted-U shaped dose-response curve for DA, and a monotonic function
395 for SCH23390. Rather than predicting neural coding for attention, however, ejection currents
396 were merely predictive of drug modulation indices, without any relationship to attention
397 AUROC values. However, these results should be interpreted with caution. First, our sample
398 size, especially for SCH23390, might have been too small to reliably determine the shape of
399 the dose-response curve. Second, since lower and higher ejection currents were not used as
400 often as intermediate currents, it is possible we did not have sufficient data to constrain the
401 function fit at the extremes. Finally, we applied different ejection currents across rather than
402 within cells. Based on these data, it is therefore not possible to conclusively state that
403 individual cells in parietal cortex respond according to a U-shaped dose response curve. It is
404 furthermore important to note that the dopaminergic effects might partly be driven by
405 receptor subtypes (e.g. D2R) not usually associated with modulation of delay period activity.
406 Despite these notes of caution, we believe this study provides evidence for a role of DA in
407 parietal cortex during cognitive tasks and presents opportunities for future research to
408 elucidate the exact underlying mechanisms.

409

410 Dopaminergic modulation of the pupil light reflex

411 The pupil light reflex (PLR) transiently constricts the pupil after exposure to increases in
412 illumination or presentation of bright stimuli (Loewenfeld, 1993; McDougal and Gamlin,
413 2014). Recent studies have shown that covert attention can modulate this behavioral reflex
414 (Binda and Murray, 2015a, 2015b; Naber et al., 2013). Subthreshold FEF microstimulation
415 respectively enhances or reduces the PLR when a light stimulus is presented inside or outside

416 the saccade field (Ebitz and Moore, 2017). The PLR thus depends both on luminance changes
417 and the location of spatial attention. We found that dopaminergic drug application in parietal
418 cortex reduced the PLR. These results are in agreement with the electrophysiological results,
419 as drug administration also reduced attentional rate modulation. Two (non-exclusive)
420 mechanisms have been proposed by which FEF can modulate the PLR (Binda and Gamlin,
421 2017); by direct or indirect projections to the olivary pretectal nucleus, or via indirect
422 projections to constrictor neurons in the Edinger-Westphal nucleus. For the latter, these
423 projections are hypothesized to pass through extrastriate visual cortex and/or the superior
424 colliculus (SC). Subthreshold microstimulation of the intermediate (SCi), but not superficial
425 (SCs), layers of the SC elicits a short latency pupillary dilation (Joshi et al., 2016; Wang et
426 al., 2012). Whereas the SCs receive input from early visual areas, including the retina, the
427 SCi receives input from higher-order association cortices. Along with preparing and
428 executing eye movements, the SCi is involved in directing covert attention (Ignashchenkova
429 et al., 2004; Kustov and Lee Robinson, 1996; Lovejoy and Krauzlis, 2010; Muller et al.,
430 2005), and provides an essential contribution to the selection of stimuli amongst competing
431 distractors (McPeck and Keller, 2004, 2002; reviewed in Mysore and Knudsen, 2011).
432 Moreover, the SC receives dense projections from parietal cortex (Becker, 1989; Kuypers
433 and Lawrence, 1967), and has been hypothesized to play an important role in pupil diameter
434 modulation (Wang and Munoz, 2015). It is currently unclear whether dopaminergic
435 modulation of frontal (or parietal) cortex modulates SC activity, but this pathway seems a
436 strong candidate for the modulation of the PLR (Wang and Munoz, 2015) that we
437 encountered in this study through DA application. Here, dopaminergic drug application
438 reduced parietal activity and brought about a gain modulation (reduction) of a brainstem-
439 mediated reflex to fixed visual input. Although covert attention was not directed at any
440 specific stimulus at the time of stimulus onset, the modulation of the PLR observed here is

441 consistent with previously reported effects of covert attention and FEF microstimulation on
442 the PLR. Speculatively, this modulation could affect the bottom-up attentional capture by the
443 stimulus, but further studies are required to test this hypothesis.

444

445 Conclusion

446 DA is an important modulator of high-level cognitive functions, both in the healthy and
447 ageing brain as well as for various clinical disorders (Arnsten et al., 2012; Robbins and
448 Arnsten, 2009; Thiele and Bellgrove, 2018). Although dopaminergic effects within PFC have
449 been elucidated in some detail, the effects of DA in other brain areas such as parietal cortex,
450 despite its well-established role in cognition and cognitive dysfunction, has largely been
451 overlooked. This study is the first to show dopaminergic modulation of parietal activity in
452 general, and activity specific to spatial attention in the non-human primate. Our work
453 encourages future studies of dopaminergic involvement in parietal cortex, thereby gaining a
454 broader understanding of neuromodulation in different networks for cognition.

455

456 Materials & Methods

457 Procedures

458 All animal procedures were approved by the Newcastle University Animal Welfare Ethical
459 Review Board and performed in accordance with the European Communities Council
460 Directive RL 2010/63/EC, the National Institute of Health's Guidelines for the Care and Use
461 of Animals for Experimental Procedures, and the UK Animals Scientific Procedures Act.
462 Animals were motivated to engage in the task through fluid control at levels that do not affect
463 animal physiology and have minimal impact on psychological wellbeing (Gray et al., 2016).

|

464

465 [Surgical preparation](#)

466 The monkeys were implanted with a head post and recording chambers over the lateral
467 intraparietal sulcus under sterile conditions and under general anesthesia. Surgery and
468 postoperative care conditions have been described in detail previously (Thiele et al., 2006).

469

470 [Behavioral paradigms](#)

471 Stimulus presentation and behavioral control was regulated by Remote Cortex 5.95
472 (Laboratory of Neuropsychology, National Institute for Mental Health, Bethesda, MD).

473 Stimuli were presented on a cathode ray tube (CRT) monitor at 120 Hz, 1280 × 1024 pixels,
474 at a distance of 54 cm.

475 The location of the saccade field (SF) was mapped using a visually- or memory-guided
476 saccade task. Here, monkeys fixated centrally for 400 ms after which a saccade target was
477 presented in one of nine possible locations (8-10° from fixation, distributed equidistantly).
478 After a random delay (800-1400 ms, uniformly distributed) the fixation point was
479 extinguished, which indicated to the monkey to perform a saccade towards the target. In the
480 memory-guided version of the task (used only for saline-control recordings), the visual target
481 was briefly presented in one of four locations. After extinguishing the target, its location
482 needed to be remembered until a saccade was made towards the remembered location (after
483 extinguishing of the fixation point). Online analysis of visual, sustained and saccade related
484 activity determined an approximate SF location which guided our subsequent receptive field
485 (RF) mapping. The location and size of RFs were measured as described previously
486 (Gieselmann and Thiele, 2008), using a reverse correlation method. Briefly, during fixation, a
487 series of black squares (1-3° size, 100% contrast) were presented for 100 ms at

488 pseudorandom locations on a 9×12 grid (5-25 repetitions for each location) on a bright
489 background. RF eccentricity ranged from 2.5° to 17° and were largely confined to the
490 contralateral visual field.

491 The main task and stimuli have been described previously (Ferro et al., 2021; Thiele et al.,
492 2016; van Kempen et al., 2021). In brief, the monkey initiated a trial by holding a lever and
493 fixating a white fixation spot (0.1°) displayed on a grey background (1.41 cd/m^2). After
494 425/674 ms [monkey 1/monkey 2] three colored square wave gratings (2° - 6° , dependent on
495 RF size and distance from fixation) appeared equidistant from the fixation spot, one of which
496 was centered on the RF of the recorded neuron. Red, green and blue gratings (see Table 1 for
497 color values) were presented with an orientation at a random angle to the vertical meridian
498 (the same orientation for the three gratings in any given session). The locations of the colors,
499 as well as the orientation, were pseudorandomly assigned between recording sessions and
500 held constant for a given recording session. Gratings moved perpendicular to the orientation,
501 whereby the direction of motion was pseudorandomly assigned for every trial. After a
502 random delay (570-830/620-940 ms [monkey 1/monkey 2], uniformly distributed in 1 ms
503 steps) a central cue appeared that matched the color of the grating that would be relevant on
504 the current trial. After 980-1780/1160-1780 ms [monkey 1/monkey 2] (uniformly distributed
505 in 1 ms steps), one pseudorandomly selected grating changed luminance (dimmed). If the
506 cued grating dimmed, the monkey had to release the lever to obtain a reward. If a non-cued
507 grating dimmed, the monkey had to ignore this and wait for the cued grating to dim. This
508 could happen when the second or third grating changed luminance (each after 750-1130/800-
509 1130 ms [monkey 1/monkey 2], uniformly distributed in 1 ms steps). Drugs were
510 administered in blocks of 36 trials. The first block was always a control block. Thereafter,
511 drug blocks and recovery blocks were alternated until the animal stopped working (number of
512 block reversals, median \pm interquartile range = 12 ± 6).

513

514 Table 1. Color values used for the 3 colored gratings across recording sessions and subjects, indicated as [RGB]

515 – luminance (cd/m²). a = Undimmed values, b = dimmed values.

	<i>Red</i>	<i>Green</i>	<i>Blue</i>
<i>Monkey 1</i>	a. [255 0 0] - 14.5	a. [0 128 0] – 9.1	a. [60 60 255] - 11.5
<i>Early recordings (n=29)</i>	b. [100 0 0] - 1.4	b. [0 70 0] – 1.9	b. [10 10 140] – 2.2
<i>Monkey 2</i>	a. [220 0 0] – 12.8	a. [0 135 0] – 12.9	a. [60 60 255] – 12.2
<i>Early recordings (n=5)</i>	b. [180 0 0] – 7.7	b. [0 110 0] – 7.3	b. [35 35 220] – 7.4
<i>Monkey 1/2 (n=12/8)</i>	a. [220 0 0] – 12.8	a. [0 135 0] – 12.9	a. [60 60 255] – 12.2
<i>Late recordings</i>	b. [140 0 0] – 4.2	b. [0 90 0] – 4.6	b. [30 30 180] – 4.6

516

517

518 Identification of recording sites

519 The location of the IPS was initially guided by means of postoperative structural magnetic

520 resonance imaging (MRI), displaying the recording chamber. During each recording,

521 neuronal response properties were determined using SF and RF mapping tasks. During the SF

522 mapping task, we targeted cells that showed spatially selective persistent activity and

523 preparatory activity before the execution of a saccadic eye movement.

524

525 Electrode-pipette manufacturing

526 We recorded from the lateral (and in a few occasions medial) bank of the IPS using custom-

527 made electrode-pipettes that allowed for simultaneous iontophoretic drug application and

528 extracellular recording of spiking activity (Thiele et al., 2006). The location of the recording

529 sites in one of the monkeys was verified in histological sections stained for cyto- and
530 myeloarchitecture (Distler and Hoffmann, 2001).

531 The manufacture of the electrodes was similar to the procedures described by Thiele et al.,
532 (2006), with minor changes to the design in order to reach areas deeper into the IPS, such as
533 the ventral part of the lateral intraparietal area (LIPv). We sharpened tungsten wires (125 μ m
534 diameter, 75 mm length, Advent Research Materials Ltd., UK) by electrolytic etching of the
535 tip (10-12 mm) in a solution of NaNO₂ (172.5 g), KOH (85 g) and distilled water (375 ml).
536 We used borosilicate glass capillaries with three barrels (custom ordered, Hilgenberg GmbH,
537 www.hilgenberg-gmbh.de), with the same dimensions as those described previously (Thiele
538 et al., 2006). The sharpened tungsten wire was placed in the central capillary and secured in
539 place by bending the non-sharpened end (approximately 10 mm) of the wire over the end of
540 the barrel. After marking the location of the tip of the tungsten wire, shrink tubing was placed
541 around the top and bottom of the glass. The glass was pulled around the tungsten wire using a
542 PE-21 Narishige microelectrode puller with a heating coil made from Kanthal wire (1 mm
543 diameter, 13 loops, inner loop diameter 3 mm) and the main (sub) magnet set to 30 (0) and
544 the heater at 100. The electrode-pipette was placed such that the tip of the tungsten wire
545 protruded 11 mm from the bottom of the heating coil. After pulling, we filled the central
546 barrel (with the tungsten electrode inside) with superglue using a syringe and fine flexible
547 injection cannula (MicroFil 28 AWG, MF28G67-5, World Precision Instruments, Ltd.). We
548 found that if we did not fill (most of) the central barrel with superglue after pulling, the
549 recorded signal was often very noisy, possibly due to small movements of the animal (such as
550 drinking), which caused the free tungsten wire to resonate inside the glass. Using a micro
551 grinder (Narishige EG-400), we removed excess glass, sharpened the tip of the electrode and
552 opened the flanking barrels of the pipette. This pulling procedure resulted in a pulled

553 electrode part of approximately 2.5 cm length, with gradually increasing diameter, from ~10
554 μm to ~200 μm , over the first 12 mm of the electrode-pipette.

555

556 [Electrode-pipette filling and iontophoresis](#)

557 Electrode-pipettes were back-filled with the same drug in both pipettes using a syringe, filter
558 units (Millex® GV, 22 μm pore diameter, Millipore Corporation) and fine flexible injection
559 cannula (MicroFil 34 AWG, MF34G-5, World Precision Instruments, Ltd.). The pipettes
560 were connected to the iontophoresis unit (Neurophore-BH- 2, Medical systems USA) with
561 tungsten wires (125 μm diameter) inserted into the flanking barrels. Because of the
562 exploratory nature of these recordings (it is unknown whether DA influences parietal neurons
563 during spatial attention tasks and what modulation can be expected with different amounts of
564 drug applied), we used a variety of iontophoretic ejection currents (20 - 90 nA). The choice
565 of current was not based on the characteristics of individual cells (e.g. their responsiveness to
566 the drug). A fixed ejection current of 50 nA was used for the saline-control recordings. The
567 details regarding concentration and pH of the drugs were: DA (0.1M in water for injections,
568 pH 4-5), SCH23390 (0.005-0.1M in water for injections, pH 4-5) and saline with
569 citrate/hydrochloric acid buffer solution (pH 4). We excluded the first two trials after a block
570 change to allow the drugs to wash in/out and avoid sudden rate changes.

571

572 [Data acquisition](#)

573 Stimulus presentation, behavioral control and drug administration was regulated by Remote
574 Cortex 5.95 (Laboratory of Neuropsychology, National Institute for Mental Health, Bethesda,
575 MD). Raw data were collected using Remote Cortex 5.95 (1-kHz sampling rate) and by
576 Cheetah data acquisition (32.7-kHz sampling rate, 24-bit sampling resolution) interlinked

577 with Remote Cortex 5.95. Data were replayed offline, sampled with 16-bit resolution and
578 band-pass filtered (0.6-9 kHz). Spikes were sorted manually using SpikeSort3D (Neuralynx).
579 Eye position and pupil diameter were recorded using a ViewPoint eyetracker (Arrington
580 research) at 220 Hz. Pupil diameter was recorded in 33 out of 47 recording sessions.

581

582 Pupillometry

583 Pupil diameter was low pass filtered (10 Hz) using a second order Butterworth filter. Baseline
584 activity, estimated as the average activity before stimulus onset (-300 to -50 ms), was
585 subtracted from the pupil diameter time course on a trial-by-trial basis. Next, we z-score
586 normalized the pupil diameter data for each session. Pupil diameter was averaged in 250 ms
587 windows around 500 ms following stimulus onset, 500 ms following cue onset and between
588 300 to 50 ms before the first-dimming event.

589

590 Analysis of cell type.

591 We distinguished between different cell types based on the duration of the extracellular spike
592 waveform as described in Thiele et al. (2016). Specifically, we classified cells based on the
593 peak-to-trough ratio, i.e. the duration between the peak and the trough of the interpolated
594 (cubic spline) spike waveform. To test whether the distribution of peak-to-trough distance of
595 the spike waveforms was unimodal (null hypothesis) or bimodal, indicating that our
596 distribution contained different cell types, a modified Hartigan's dip test was used (Ardid et
597 al., 2015; Thiele et al., 2016). We used a cut-off of 250 μ s to classify cells as narrow or
598 broad-spiking, as this was where our distribution revealed the main 'dip' (Figure 3A-B).

599

600 Fano factor

601 The variability of neural responses was quantified using Fano factors (FF), computed as the
602 ratio between the variance (σ^2) and the mean (μ) spike counts within the time window of
603 interest, defined as:

$$604 \quad FF = \frac{\sigma^2}{\mu}$$

605

606 Drug modulation

607 The strength of the effect of drug application on neural activity (firing rates) was determined
608 via a drug modulation index ($drugMI$), defined as:

$$609 \quad drugMI = \frac{drug_{on} - drug_{off}}{drug_{on} + drug_{off}}$$

610 with $drug_{on}$ as the neural activity when drug was applied, and $drug_{off}$ the activity when the
611 drug was not applied. This index ranges from -1 to 1, with zero indicating no modulation due
612 to drug application and with positive values indicating higher activity when the drug was
613 applied and conversely, negative values indicating lower activity.

614

615 Quantification of attentional rate modulation.

616 To quantify the difference between neural responses when attention was directed towards the
617 RF versus away from the RF, we computed the area under the receiver operating
618 characteristic (AUROC) curve. Stemming from signal detection theory (Green and Swets,
619 1966), this measure represents the difference between two distributions as a single scalar
620 value, taking into account both the average difference in magnitude as well as the variability
621 of each distribution. This value indicates how well an ideal observer would be able to

622 distinguish between two distributions, for example the neural response when attention is
623 directed towards versus away from its RF. It is computed by iteratively increasing the
624 threshold and computing the proportion (from the first sample to the threshold) of hits and
625 false alarms (FA), i.e. the correct and false classification as samples belonging to one of the
626 activity distributions. The ROC curve is generated by plotting the proportions of hits against
627 the proportion of FAs, and AUROC is taken as the area under the ROC curve. An AUROC of
628 0.5 indicates that the two distributions were indistinguishable, whereas an AUROC of 0 or 1
629 indicates that the two distributions were perfectly separable. As the difference from 0.5
630 indicates the separability of the distributions, we corrected AUROC values (1-AUROC) for
631 both drug conditions when they were below 0.5 when no drugs were applied, i.e. for those
632 units that displayed higher activity when attention was directed towards the distractors
633 compared to when attention was directed towards the RF.

634

635 Gain variability

636 Neural activity displays super-Poisson variability (larger variance than the mean), resulting
637 from trial-to-trial changes in excitability, that can be modeled by fitting a negative binomial
638 distribution to the spike rate histogram. This distribution is characterized by a dispersion
639 parameter that captures this additional variability and has been proposed to reflect stimulus-
640 independent modulatory influences on excitability (Goris et al., 2014). For each unit, we fit
641 the distribution of firing rates recorded during the 500 ms before the first dimming with a
642 negative binomial distribution and obtained a gain variance (dispersion) term that captures
643 trial-to-trial changes in excitability, separately for each drug and attention condition (but
644 across stimulus direction conditions).

645

646 Experimental design and statistical analysis

647 We recorded single (SU, n=40) and multi-unit (MU, n=48) activity (total 88 units; 64 from
648 monkey 1, 24 from monkey 2) from two male rhesus macaque monkeys (*Macaca mulatta*,
649 age 9-11 years, weight 8-12.9 kg). We recorded an additional 12 units during saline-control
650 recordings from one female macaque monkey (11 years, 9.1 kg).

651 To determine whether DA significantly affected neural activity across the population of units,
652 we used linear mixed-effect models using the R packages *lme4* (Bates et al., 2015) and
653 *lmerTest* (Kuznetsova et al., 2017). The modulation of neural activity (firing rates, Fano
654 Factors or gain variability) was modeled as a linear combination of categorical (effect coded)
655 factors drug (on/off), attention (RF/away), unit type (narrow/broad) and all possible
656 interactions as fixed effects with random intercepts for each unit. We sequentially entered
657 predictors into a hierarchical model and tested the model fit after the addition of each
658 predictor using likelihood ratio tests. For small sample sizes, the χ^2 approximation employed
659 in likelihood ratio tests can lead to misleading conclusions. We therefore additionally report
660 the Kenward-Roger approximation for performing F tests to control for Type I errors
661 (Halekoh and Højsgaard, 2014; Kenward and Roger, 1997; Singmann and Kellen, 2019)
662 using the R package *pbkrtest* (Halekoh and Højsgaard, 2014). To aid interpretation of model
663 fit statistics, we also report Bayes Factors, computed from the sample size, number of
664 predictors and R^2 values (Andraszewicz et al., 2015; Rouder and Morey, 2012) using the R
665 package *BayesFactor* (Morey and Rouder, 2018). Finally, to confirm whether each of the
666 measures had a significant effect on neural activity, we performed “robust regression” based
667 on 5000 bootstrap replicates to calculate the 95% CI around slope estimates for the full
668 model. The reported coefficients are the estimates from the full model and the robust
669 regression. Reported significance values are the results from likelihood ratio tests. We

670 followed these analyses up with linear mixed-effect model tests within each unit type and
671 two-sided paired-sample Wilcoxon signed rank tests.

672 For comparisons within one recording, e.g. spike rates across trials for different conditions,
673 we used analysis of variance (ANOVA) with three factors: attention (towards/away from the
674 RF), drug (on/off) and stimulus direction. To test whether drug application affected
675 behavioral performance, we used sequential linear mixed effects models with attention and
676 drug as fixed effects and with the recording number as a random effect, to account for the
677 repeated measurements in the data.

678 To test for significant linear or quadratic trends in the drug dose-response curve, we used
679 sequential linear mixed effects models and likelihood ratio tests. For each drug, we tested
680 whether a first order (linear) polynomial fit was better than a constant (intercept-only) fit and
681 subsequently whether a second order (non-monotonic) polynomial fit was better than a linear
682 fit. The modulation due to drug application of the neural response y was modeled as a linear
683 combination of polynomial basis functions of the iontophoretic ejection current X :

684
$$y \sim \beta_0 + \beta_1 X + \beta_2 X^2$$

685 , with β as the polynomial coefficients. When a significant quadratic relationship was found,
686 we used the two-lines approach to determine whether this relationship was significantly U-
687 shaped (Simonsohn, 2017).

688 Error bars in all violin plots indicate the interquartile range and the standard error of the mean
689 (SEM) otherwise. We used false discovery rate (FDR) to correct for multiple comparisons.

690 We selected which cells to include in each of the analyses based on the output of the 3-factor
691 ANOVA described above. For example, if we wanted to investigate whether drug application

692 affected attentional modulation of firing rates, we only included cells that revealed a main or
693 interaction effect for both attention and drug application.

694

695 [Data and code availability](#)

696 Data analyses were performed using custom written scripts in Matlab (the Mathworks) and
697 RStudio (RStudio Team (2016). RStudio: Integrated Development for R. RStudio, Inc., Bos-
698 ton, MA URL <http://www.rstudio.com>). Violin plots were created using publicly available
699 Matlab code (Bechtold, 2016). Data and analysis scripts necessary to reproduce these results
700 will be made available upon acceptance of this manuscript.

701

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707

708 [Competing interests](#)

709 There are no conflicts of interest.

710

711

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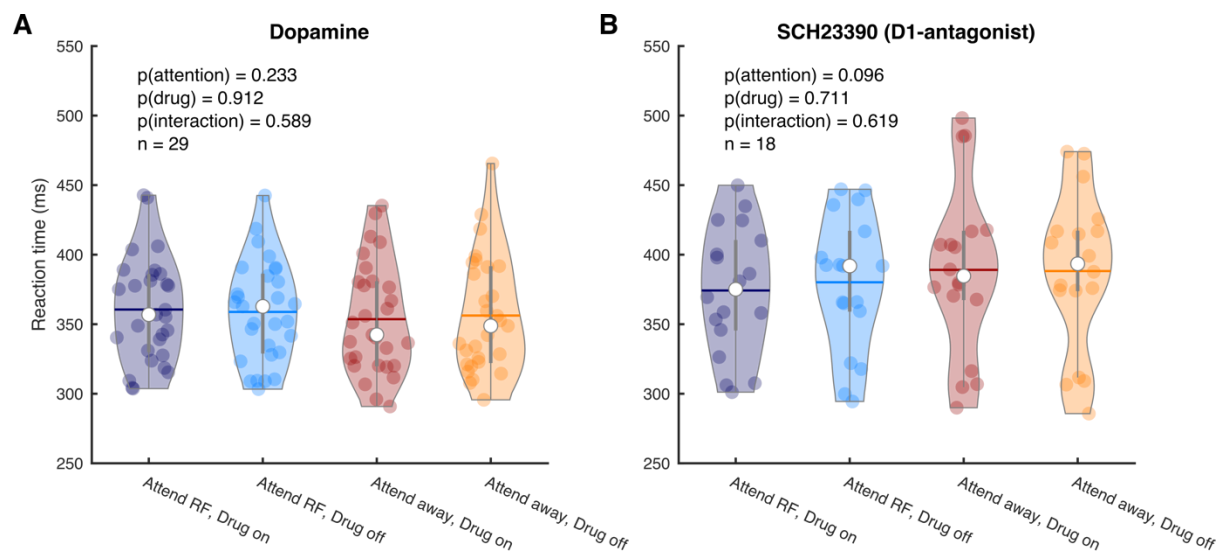
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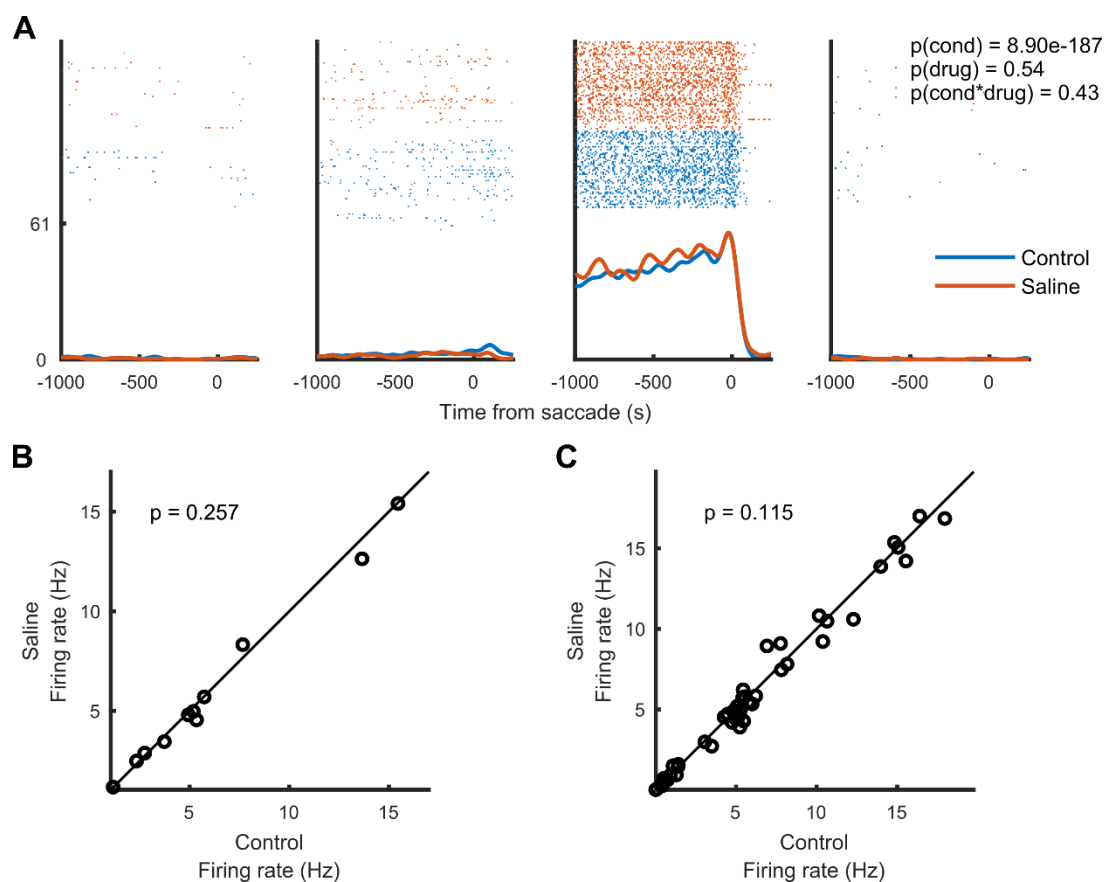
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967 Supplementary figures



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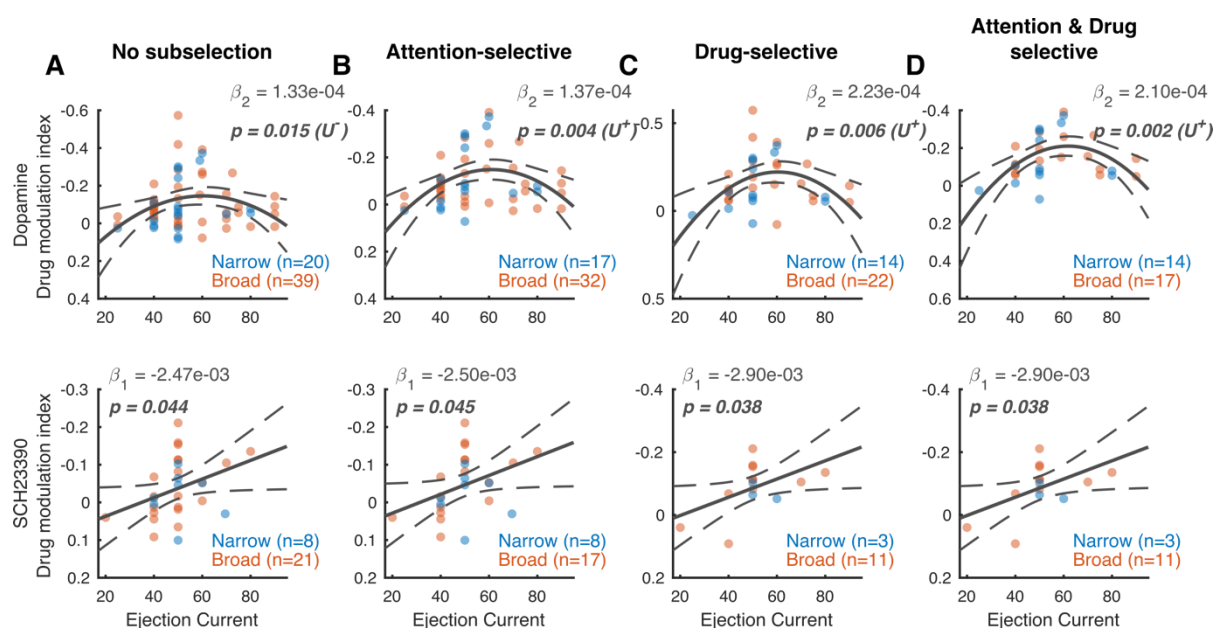
969 Supplementary figure 1. Behavioral performance is unaffected by iontophoretic application of dopaminergic
970 drugs. Average RT on attend RF and attend away trials for the non-specific agonist dopamine (A) and the D1R
971 antagonist SCH23390 (B). Individual markers represent the average RT during a single recording session. Error
972 bars denote the interquartile range. Horizontal bars denote the mean. Statistics: linear mixed-effects model
973 analysis.



974

975 Supplementary figure 2. Application of saline with matched pH did not affect firing rates. (A) Activity from a
976 representative cell recorded during application of saline (with pH matched to the dopaminergic drugs) whilst the
977 monkey performed a memory-guided saccade task. The four panels correspond to the four quadrants in which
978 the visual stimulus was presented. This cell's activity, aligned to saccade onset, was significantly modulated by
979 the spatial location of the stimulus/saccade but not by iontophoretic saline application. Statistics: two-factor
980 ANOVA. (B) Average firing rates between control and saline conditions. Each marker indicates the average
981 activity of one unit across the four conditions. (C) Average firing rates between control and saline conditions.
982 Each marker indicates the average activity of one unit for one of the four conditions. Statistics: two-sided
983 Wilcoxon signed rank test.

984



985

986 Supplementary figure 3. Dose-response curve: drug modulation of firing rates. Drug modulation index plotted

987 against ejection current for the non-specific agonist dopamine (top) and the D1R antagonist SCH23390 (bottom)

988 for (A) All units (B) units that revealed a main or interaction effect for the factor attention (C) units that

989 revealed a main or interaction effect for the factor drug and (D) units that revealed a main or interaction effect

990 for the factors attention and drug. Note the reversed y-axis. Solid and dotted lines represent significant model

991 fits (applied to all cells simultaneously) and their 95% confidence intervals, respectively. A monotonic

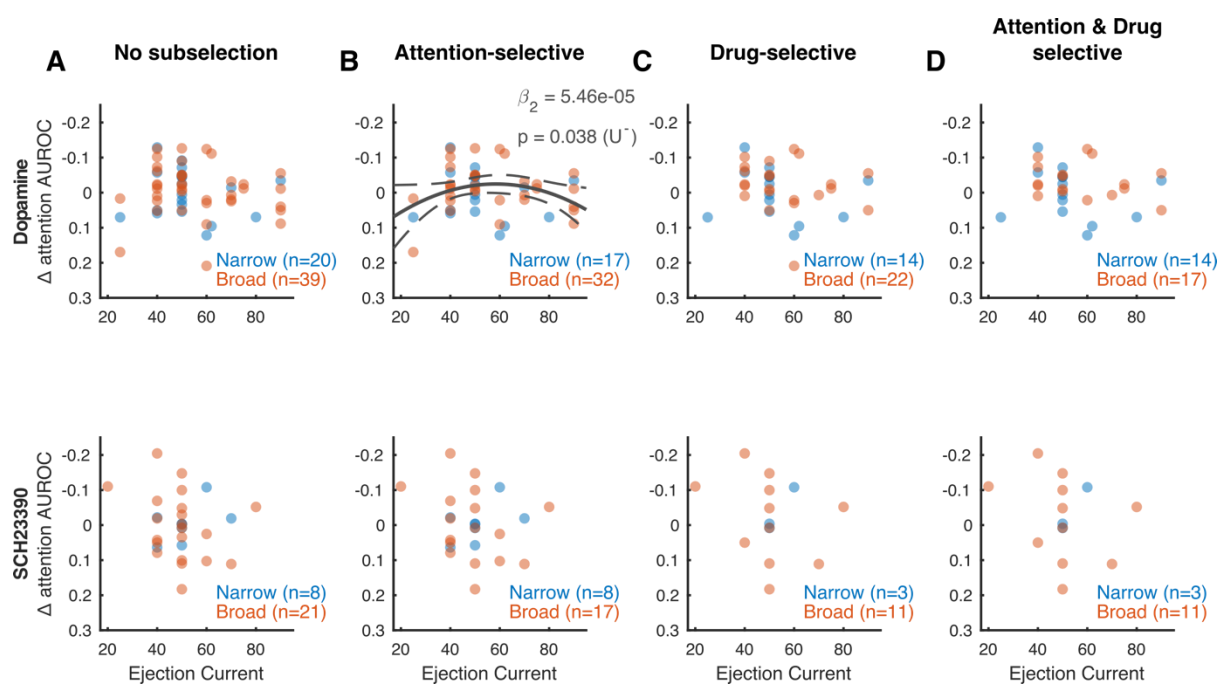
992 relationship is shown if a first-order fit was better than a constant fit, and a non-monotonic relationship is shown

993 if a second-order fit was better than a linear fit. *U*⁺ indicates a significant U-shaped relationship. Statistics:

994 linear mixed-effects model analysis. Statistics deemed significant after multiple comparison correction are

995 displayed in italic and boldface fonts.

996



997

998 Supplementary figure 4. Dose-response curve: drug modulation of attention AUROC values. Attention AUROC

999 difference score (drug-no drug) plotted against ejection current for the non-specific agonist dopamine (top) and

1000 the D1R antagonist SCH23390 (bottom) for (A) All units (B) units that revealed a main or interaction effect for

1001 the factor attention (C) units that revealed a main or interaction effect for the factor drug and (D) units that

1002 revealed a main or interaction effect for the factors attention and drug. Note the reversed y-axis. Solid and

1003 dotted lines represent significant model fits (applied to all cells simultaneously) and their 95% confidence

1004 intervals, respectively. A monotonic relationship is shown if a first-order fit was better than a constant fit, and a

1005 non-monotonic relationship is shown if a second-order fit was better than a linear fit. U⁺ indicates a significant

1006 U-shaped relationship. Statistics: linear mixed-effects model analysis. Statistics deemed significant after

1007 multiple comparison correction are displayed in italic and boldface fonts.