

1 **Field efficacy of guppies and pyriproxyfen (Sumilarv® 2MR) combined with community**

2 **engagement on dengue vectors in Cambodia: a randomized controlled trial**

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16 Abstract

17 Evidence on the effectiveness of low-cost, sustainable biological vector control tools for *Aedes*
18 mosquitoes is limited. Therefore, the purpose of this trial is to estimate the impact of guppy fish,
19 in combination with the use of the larvicide Pyriproxyfen (Sumilarv[®] 2MR), and Communication
20 for Behavioral Impact (COMBI) activities to reduce entomological indices in Cambodia.

21
22 In this cluster randomized, controlled superiority trial, 30 clusters comprising of one or more
23 villages each (with approximately 170 households) will be allocated, in a 1:1:1 ratio, to receive
24 either a) three interventions (guppies, Sumilarv[®] 2MR, and COMBI activities), b) two
25 interventions (guppies and COMBI activities), or c) control (standard vector control).

26 Households were invited to participate, and entomology surveys among 40 randomly selected
27 households per cluster were carried out quarterly. The primary outcome was the population
28 density of adult female *Aedes* mosquitoes (i.e. number per house) trapped using adult resting
29 collections. Secondary outcome measures include the House index, Container index, Breteau
30 index, Pupae Per House, Pupae Per Person, mosquito infection rate, guppy fish coverage,
31 Sumilarv[®] 2MR coverage, and percentage of respondents with knowledge about *Aedes*
32 mosquitoes causing dengue. In the primary analysis, adult female *Aedes* density and mosquito
33 infection rates was aggregated over follow-up time points to give a single rate per cluster. This
34 was analyzed by negative binomial regression, yielding density ratios.

35
36 The number of *Aedes* females was reduced by roughly half compared to the control in both the
37 guppy and PPF arm (Density Ratio (DR)=0.54 [95% CI 0.34-0.85], p=0.0073), and guppy arm
38 (DR=0.49 [95% CI 0.31-0.77], p=0.0021). The extremely low cost of including guppy rearing in

39 community-based health structures along with the effectiveness demonstrated suggest guppies
40 should be considered as a vector control tool as long as the benefits outweigh any potential
41 environmental concerns. PPF was also highly accepted and preferred over current vector control
42 tools used in Cambodia, however product costs and availability are still unknown.

43 Author Summary

44 Dengue is one of the most rapidly spreading mosquito-borne viral diseases in the world,
45 is caused by bites of infected *Aedes* mosquitoes, and can sometimes lead to death. Cambodia has
46 one of the highest per-capita incidence rates in Asia. Without a cure or routinely available
47 efficacious vaccine, dengue control relies largely on reduction and avoidance of mosquitoes. In
48 Cambodia, dengue mosquito control activities are focused on larviciding with temephos and
49 pyrethroid based adulticide sprays to which *Aedes* have been shown to be increasingly resistant.
50 This study was designed to evaluate novel biological vector control tools (guppy fish and a
51 controlled release larvicidal matrix) utilizing an integrated vector management approach with
52 community-based methods tailored to the local context. The results indicate that the tools
53 resulted in a statistically significant reduction in immature and adult *Aedes* mosquito density.
54 The interventions were accepted by and communities were willing to pay for them. The results
55 suggest guppies are an ideal vector control tool as long as the benefits outweigh any potential
56 environmental concerns. PPF was also highly accepted and preferred over current vector control
57 tools used in Cambodia, however product costs and availability are still unknown.

58 Introduction

59 Dengue is the most rapidly spreading mosquito-borne viral disease in the world, and is
60 caused by bites of infected *Aedes* mosquitoes, principally *Aedes aegypti* [1]. Dengue is
61 concentrated in the Asian region, which shoulders 70% of the global disease burden. Although a
62 number of promising vaccine candidates are in preclinical and clinical development [2],
63 innovative methods of genetic control of mosquitoes are being developed [3–6], however these
64 interventions are unlikely to eliminate dengue on their own [7]. Therefore, traditional vector
65 control will remain a key component of dengue control in the short and medium term.

66 In Cambodia, a total of 194,726 dengue cases were reported to the National Dengue
67 Control Program (NDCP) between 1980 and 2008 [8]. However, the real number of cases and
68 cost to society is estimated to be many times higher [9,10]. Previous work showed household
69 water storage jars contained over 80% of *Ae. aegypti* larvae in Cambodia, and these jars became
70 the main target for dengue vector control activities [11].

71 Since the early 1990s, NDCP has used the larvicide temephos (Abate®) to target large
72 (200-400L) household water containers as the primary means of vector control [12]. This has
73 continued despite tests published in 2001, 2007, and 2018 showing resistance of *Ae. aegypti* in
74 several provinces across Cambodia [12–14]. Khun and Manderson (2007) concluded that
75 “continued reliance on temephos creates financial and technical problems, while its inappropriate
76 distribution raises the possibility of larvicide resistance.”[12] These problems led researchers to
77 consider alternative control methods including chemical and biological substances (pyriproxyfen
78 (PPF), and *Bacillus thuringiensis israelensis*) [1,12,15,16], jar covers [11], distribution of
79 larvivoracious copepods and fish [17–19]. The interventions that had the most effective results
80 included the use of larvivoracious fish and PPF[1,18].

81 The use of a larvivorous guppy fish (*Poecilia reticulata*) was evaluated in 14 Cambodian
82 villages [17], and subsequently in a larger study of 28 Cambodian villages [18]. Results from the
83 initial study conducted from 2006-2007 were encouraging as even with low coverage of guppies
84 (in 56% of eligible containers one year after project commencement) there was a 79% reduction
85 in *Aedes* infestation compared to the control area. Despite not having guppies, the smaller or
86 discarded containers in the intervention area had 51% less infestation than those in the control
87 area, suggesting a community-wide protective effect [17]. These results led the WHO and the
88 Asian Development Bank (ADB) to fund a larger scale-up in 2010-2011 which included
89 Communication for Behavioral Impact (COMBI) activities. At the end of the implementation
90 period, an evaluation found that 88% of water jars, tanks, and drums contained guppy fish,
91 suggesting successful establishment of breeding sites. In addition, the Container Index (the
92 percentage of water holding containers infested with *Aedes* larvae or pupae) and the number of
93 indoor resting adult females in the intervention area were near zero, while the control area had a
94 Container Index of 30 [18]. Similarly encouraging results were found in Laos as a part of the
95 same project, although many water containers in the implementation area were too small for
96 guppy survival. This experience indicates that additional tools beyond larvivorous fish are
97 required to target smaller water containers as well as hard-to-reach and cryptic breeding sites.

98 One potential solution to increase coverage of water containers in the communities is the
99 use of PPF, a juvenile hormone analogue that interferes with the metamorphosis of juvenile
100 *Aedes* mosquitoes, preventing their development. It can be used in small or contaminated
101 containers unsuitable for larvivorous fish [20]. Studies of the efficacy of PPF in Cambodia
102 showed inhibition of adult emergence (IE) greater than 87% for six months in 2003 [15], and IE
103 above 90% for 20 weeks, and above 80% for 34 weeks in 2007 [1]. A slow-release PPF matrix

104 release formulation (Sumilarv® 2MR) has been developed and shown to be effective in
105 Myanmar [21]. This new product only requires one distribution every six months (the entirety of
106 the rainy season) so reduces operational costs as compared to temephos or *Bti* which have
107 residual efficacy of 2-3 months [16,22].

108 Yet the efficacy of these measures, like other vector management approaches in the
109 communities, is not only dependent on their entomological efficacy, but requires mobilization
110 and coordination of resources to sustain behavior changes [23]. In particular, a key challenge for
111 vector control in the communities is how local residents can be involved in and sustain vector
112 breeding source reduction efforts [18]. Recent reviews indicate that a strong communication and
113 behavior change approach, such as COMBI, has the potential to support vector management
114 programs with very good outcomes [24,25]. For example, two new cluster randomized trials
115 found that educational messages embedded in a community-based vector control approach were
116 effective at reducing *Ae. aegypti* measured through entomological indices [26,27].

117

118 **Need for a trial**

119 Although there is evidence suggesting the use of guppy fish can be beneficial in dengue
120 vector control, recent reviews show there has never been a cluster randomized trial to evaluate
121 their effect on mosquito indices [28]. This trial has the potential to inform the strategic
122 application of community-based distribution of Pyriproxyfen and larvivorous fish in an outbreak,
123 during inter-epidemic periods or for broad scale application. This trial will also be the first to our
124 knowledge to evaluate the widescale use of the new Sumilarv® 2MR product in the field.
125 Furthermore, they have never been tested in combination. Our study is intended to fill these
126 knowledge gaps.

127

128 **Hypothesis**

129 This trial aims to demonstrate community effectiveness of guppies, PPF, and COMBI
130 activities. The main hypotheses are:

- 131 1. Use of guppies, Sumilarv[®] 2MR and COMBI activities will reduce numbers of *Aedes*
132 mosquitoes, and their infection rates, more than guppies and COMBI alone, or standard
133 vector control activities (such as larval control and information and education material
134 dissemination during outbreaks) as assessed through entomology surveys;
- 135 2. COMBI activities will improve the community's knowledge, attitudes, and behavior
136 related to water use and vector borne disease prevention (such as burning or burying
137 discarded containers, cleaning the environment around the house, and sleeping under a
138 bednet) as assessed through baseline/endline surveys and Focus Group Discussions
139 (FGDs);
- 140 3. Guppies and pyriproxyfen will be acceptable among the target villages as assessed by an
141 endline survey and FGDs.

142 Methods

143 This study followed the Consolidated Standards of Reporting Trials (CONSORT) guidelines [29]
144 (S1 Table).

145 146 **Study design and setting**

147 The study is designed as a cluster randomized, controlled trial with three arms. The study
148 has 30 clusters in the province of Kampong Cham, where each cluster is a village or group of
149 villages with on average 170 households (range 49-405) or 757 individuals (range 250-1769).
150 The rainy season runs from April to November, and the peak dengue season is from May to July.
151 The clusters were selected in areas which had *Aedes* infestation in the past. To minimize
152 potential spillover effects, clusters had to be at least 200 meters from the nearest household
153 outside the cluster since *Ae. aegypti* in this region have an average flight range of 50-100m [30].
154 Every house within the cluster boundaries was invited to participate in the trial.

155 156 **Interventions**

157 Selected villages were randomized into one of three study arms (**Table 1**). Reasons for
158 selecting the interventions for each arm are described above and in more detail in the study
159 protocol [31]. The total trial period for the interventions was 11 months (S1 Figure).

160
161 **Table 1: Interventions randomized to each study arm**

Intervention	Arm 1	Arm 2	Arm 3
Guppy Fish in key containers (>50L)	X	X	
COMBI activities	X	X	

Direct PPF application (Sumilarv [®] 2MR) in smaller containers (10-50 L)	X		
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162

163 *Guppies*

164 Two guppy fish (*Poecilia reticulata*) were placed into each water container greater than
165 50L in intervention villages (Arms 1 and 2). This is based on larval consumption of guppies
166 determined by Seng et al. [17] and past experiences using guppies in vector control in
167 Cambodia [18]. The guppies were sourced from the original NDCP colony, which was started
168 from guppies found in a rural waterway near Phnom Penh roughly fifteen years earlier. The
169 guppy fish were distributed after the baseline activities through a local community network
170 managed by provincial government authorities [31]. CHWs were provided two jars for rearing.
171 Each month CHWs conducted visual checks and ensured all their assigned households have
172 guppies in all large containers and replaced them if necessary.

173

174 *Pyriproxyfen Matrix Release (Sumilarv[®] 2MR)*

175 The product contains pyriproxyfen incorporated in an ethylen copolymer resin disk, and
176 the PPF is gradually released from the polymer material until it reaches an equilibrium state of
177 the dissolved active ingredient with that in the matrix formulation [32]. Each device is designed
178 to provide coverage for 40 L of water, and can be cut into smaller sizes for smaller
179 containers [31]. PPF devices were distributed to containers of size 10-50 liters at the beginning
180 of the trial and replaced after 6 months. Additional devices were left at the HC for CHWs to
181 distribute during their monthly monitoring visit if some were lost or needed to be replaced. The
182 exceptional safety of PPF is reflected in WHO's statements that it is "unlikely to present acute
183 hazard in normal use", "pyriproxyfen does not pose a carcinogenic risk to humans", and PPF "is

184 not genotoxic." As a result of its efficacy, The WHO Pesticide Evaluation Scheme has
185 recommended the use of pyriproxyfen for mosquito control [33]. Animal models suggest a very
186 favorable mammalian toxicity profile, and extremely low risk for humans using this
187 product [30].

188

189 *Communication for Behavioral Impact Activities*

190 A rapid formative assessment consisting of FGDs and In-Depth Interviews (IDIs)
191 regarding knowledge, attitudes, and behaviors of community members was completed. The
192 results formed the basis of well-informed COMBI interventions and were used in a message and
193 material development workshop held with key community and district stakeholders [31]. Two
194 days of training were given to CHWs on communication and facilitation skills, roles and
195 responsibilities, and community participation following which they took the lead role in
196 conducting health education sessions twice every month in their community [31]. Monthly
197 meetings were also conducted with CHWs to assess progress, address issues and challenges, and
198 provide them continuous training.

199

200 **Adherence**

201 In order to improve adherence to the intervention protocols, CHWs performed monthly
202 monitoring checks on each household within the intervention arms, and entomology surveys
203 recorded the presence or absence of each intervention in containers [31]. Project staff also
204 randomly visited CHWs and intervention households to confirm the reliability of data provided.

205

206 **Primary Outcome Measures**

207 The primary outcome measure is the population density (i.e. number of mosquitoes per
208 unit of time spent aspirating) of adult female *Aedes* trapped using adult resting collections.

209

210 **Secondary Outcome Measures**

211 The secondary outcomes for the trial include:

- 212 1. Dengue virus infection rate in adult female *Aedes* mosquitoes
- 213 2. House index (HI): Proportion of houses surveyed positive for *Aedes* larvae and/or pupae
214 in any water container
- 215 3. Container index (CI): Proportion of surveyed containers containing *Aedes* larvae and/or
216 pupae
- 217 4. Breteau index (BI): Number of containers positive for *Aedes* larvae and/or pupae per 100
218 houses surveyed
- 219 5. Pupae Per House (PPH): Number of *Aedes* pupae per household
- 220 6. Pupae Per Person (PPP): Number of *Aedes* pupae per person
- 221 7. Guppy fish coverage: proportion of eligible water containers with ≥ 1 guppy fish
- 222 8. Sumilarv[®] 2MR coverage: proportion of eligible water containers with ≥ 1 MR resin disc
- 223 9. Percentage of respondents with knowledge about *Aedes* mosquitoes causing dengue

224

225 **Sample Size**

226 The guppy fish and pyriproxyfen interventions were assessed by four entomology surveys.
227 A sample size of 10 clusters per arm and 40 HHs per cluster for the survey was devised using the
228 Hemming and Marsh method [34] assuming a mean of 0.1 adult female resting *Aedes* per
229 household in the intervention arms compared to 0.25 in the control arm for each collection based

230 on previous studies. The households were randomly selected each collection. The intracluster
231 correlation (ICC) was assumed to be 0.01 based on previous studies [31]. Additionally, a
232 sensitivity analysis was conducted up to the median value of ICCs for outcome variables (0.03) as
233 found by an analysis conducted by Campbell et al. [35]. Our analysis determined that ICC values
234 between 0.01 to 0.03 would have 91 to 75% power, respectively.

235 The impact of COMBI activities in the communities was evaluated through Knowledge,
236 Attitudes, and Practice (KAP) surveys. A sample size of 10 clusters per arm and 20 HHs per cluster
237 was devised, again using the Hemming and Marsh method [34], assuming a 22.5% change in KAP
238 indicators from 40% to 62.5% in intervention villages and no change in the control villages over
239 the course of one year [31].

240

241 **Allocation**

242 Clusters were randomly assigned with a 1:1:1 allocation through a public randomization
243 process. Village chiefs from all clusters and HC chiefs from all HCs were invited to a central
244 point along with local and national authorities, where allocation took place. Allocation
245 concealment was accomplished by having each cluster representative choose one folded up paper
246 with a printed label referring to arm one, two, or three.

247

248 **Data collection methods**

249 Data were collected at 0, 4, 8, 12 months post-intervention, unless otherwise mentioned.
250 The timing was also meant to capture data over different season (e.g. heavy rain, light rain, and
251 dry seasons). The project employed the following methods:

252

253 *Entomology*

254 A baseline survey was conducted prior to start of interventions. An endline survey was
255 conducted one year after the baseline. Two additional surveys during the dry season (4 months
256 post intervention) and light rain (8 months post intervention - peak dengue season) were also
257 conducted. The survey methodology was developed following the WHO guidelines for
258 entomological collections [36] and detailed in the study protocol[31]. The survey team also
259 completed a rapid assessment tool (Premise Condition Index) (PCI) [37] to identify whether the
260 scores can predict household risk for *Ae. aegypti* infestation [38].

261

262 *Knowledge, Attitudes, and Practices*

263 KAP surveys were conducted at the same time as baseline and endline entomology
264 surveys [31]. The secondary outcome measure included was whether participants knew dengue is
265 transmitted by mosquitoes of the genus *Aedes*, rendered in Khmer as “kala”, meaning feline or
266 tiger.

267

268 *Community Health Worker monthly monitoring*

269 The coverage of guppy fish and PPF Sumilarv[®] 2MR were assessed by ocular inspection
270 of water containers via entomology surveys and the CHW monthly reporting form as described
271 in the adherence section. Coverage is expressed as percentage of containers with at least two
272 guppy fish or one Sumilarv[®] 2MR of the total households or containers examined.

273

274 *Climate*

275 General climate data (rainfall, temperature and humidity) were recorded at one of the
276 intervention health centers using a rain gauge and a Hobo onset data logger. All villages have
277 virtually the same climate.

278

279 *Data Management*

280 The first two entomology surveys and the first KAP survey were recorded on paper, and
281 double data entry was performed using EpiData (EpiData Association, Denmark) by an
282 experienced data processing company. Due to factors including budget, timeliness, and need for
283 data cleaning, the subsequent two entomology surveys and final KAP survey were recorded
284 electronically on Samsung tablets (Samsung Group, South Korea) and uploaded to ONA servers
285 (ONA, USA).

286

287 **Mosquito flavivirus infection**

288 Adults female *Aedes* mosquitoes were pooled together by cluster with a maximum of 10 per
289 pool, and an expected minimum infection rate of 3-7% based on other studies [39,40]. Flavivirus
290 detection in adult female mosquitoes followed the protocol set out by Pierre et al. [41] using a set
291 of universal oligonucleotide primers. Samples identified as positive for flavivirus were then put
292 into a rapid assay for detecting and typing dengue viruses [42]. All pools had positive and
293 negative controls to ensure the tests were working properly.

294

295 *Statistical Methods*

296 All statistical analyses were performed in R version 3.5.0 (Murray Hill, New Jersey) and
297 Stata® version 14.2 (College Station, Texas).

298

299 Primary Outcome

300 Adult female *Aedes* density was summed over follow-up time points to give a single rate
301 per cluster. This was analyzed by negative binomial regression using the number of adults as the
302 response, and the logarithm of the sampling effort (that is, person-time spent aspirating) as an
303 offset. Hence, this analysis yielded density ratios.

304

305 Secondary Outcomes

306 None of the mosquito pools tested were positive for dengue virus; consequently, the minimum
307 infection rate was 0%. The most commonly used entomological indexes (BI and PPP) are
308 reported here, where correlated indices (CI, HI, and PPH) are listed in the supplementary tables
309 (Table S4.1).

310

311 **Data Monitoring**

312 In accordance with the recommendations of Grant et al., we did not establish a Data
313 Safety Monitoring Board for this study as it is not a “clinical trial evaluating a therapy with a
314 mortality or irreversible morbidity endpoint” [43]. However, a Technical Steering Committee
315 (TSC) was established which met at least every six months and addressed any concerns that
316 arose [31]. Participants were told to report any adverse events directly to project staff or CHWs
317 and seek medical attention immediately. CHW monthly monitoring forms include a line to report
318 any adverse events that have taken place. Any report of harm or adverse events was reported
319 directly to the TSC.

320

321 **Access to Data**

322 All co-principal investigators and partners were given access to the cleaned data sets
323 without identifiers, which were stored on the Malaria Consortium Sharepoint site and were
324 password protected. The final anonymized dataset will be stored in the Cambodian National
325 Centre for Parasitology, Entomology, and Malaria Control central repository and the final cluster
326 level dataset used for the analysis in the results section is attached as supporting material (S1
327 Dataset). Entomological specimens are stored for two years at Malaria Consortium offices
328 should other researchers be interested in accessing them.

329

330 **Ethical Approval and Consent to participate**

331 Ethical clearance for this trial was received by the Cambodian National Ethics Committee
332 for Health Research on Oct 9th, 2014 (ethics reference number 0285). Additionally, ethics
333 approval was received from the London School of Hygiene and Tropical Medicine Observational
334 / Interventions Research Ethics Committee (ethics reference number 8812). CHWs explained the
335 trial and received informed consent from the head of the household before providing the
336 interventions [31]. Those who were illiterate or otherwise could not sign their name were given
337 the option of giving their thumb print. All village and respondent names were deleted to ensure
338 no identifying information was included. Data from surveys were stored in a password-protected
339 computer. All qualitative data were collected in concordance with the guidelines of the Code of
340 Ethics of the American Anthropological Association (AAA) [44].

341 Results

342 **Baseline Results**

343 In the baseline results the control arm had a slightly larger number of houses/people than
344 in intervention arms (Table 2). The sex and age distribution of household heads was similar
345 between the three arms. The mean number of containers, positive containers, BI, and PPP at
346 cluster level were all larger in the guppy only arm (arm 2) than others, while the mean number of
347 adult *Aedes* females per cluster was similar between arms.

	Control	Guppies	PPF + Guppies
Number of Clusters	10	10	10
Number of houses	2016	1641	1435
Number of people	8475	7542	6700
Number of houses surveyed	400	400	400
Percentage of Male Household Heads (Range)	22 (10-45)	23 (10-32)	20 (10-35)
Median Age of Household Head (Range)	42 (17-78)	42 (18-84)	45 (18-88)
Mean Number of Containers Per Cluster (Range)	154 (121-190)	186 (160-219)	165 (110-213)
Mean Number of Positive Containers Per Cluster* (Range)	24.7 (18-62)	36.5 (18-62)	27.7 (11-69)
Mean Breteau Index Per Cluster (Range)	62 (20-115)	91 (45-155)	69 (28-173)
Mean Pupae Per Person (Range)	0.9 (0.2-2.7)	4.0 (0.2-17.1)	1.1 (0.5-2.3)
Mean Adult <i>Aedes</i> Female Density Per Cluster (Range)	10 (1-15)	9 (3-24)	11 (2-20)

*Positive is defined as having either *Aedes* pupae or larvae in the container.

348

349 **Primary Outcome**

350 Over the intervention period, the population density of adult female *Aedes* was
351 significantly less in both the guppy + PPF arm (Arm 1) (Density Ratio (DR)=0.54 [95% CI
352 0.34-0.85], p=0.0073), and guppy arm (Arm 2) (DR=0.49 [95% CI 0.31-0.77], p=0.0021)
353 relative to control (Arm 3). However, the difference between the two intervention arms was not

354 significant (DR=1.10 [95% CI 0.69-1.74], p=0.6901) (Table 3). The mean number of adult *Aedes*
 355 females was the highest in the light rain season and lowest in the rainy season. (Fig 1).

356 **Fig 1: Box plots showing mean number of adult *Aedes* females per household by arm and**
 357 **season, October 2015 – October 2016**

358

359 **Secondary Outcomes**

360 No adult female *Aedes* mosquitoes in any arm were found to be positive by PCR for
 361 dengue virus (n=280 pools). The most commonly used entomological indexes (BI and PPP) are
 362 reported here, where correlated indices (CI, HI, and PPH) are listed in the supplementary tables
 363 (S2 Table).

Table 3: Mean population density of adult female <i>Aedes</i> trapped using adult resting collections per cluster by arm and survey			
	Control	Guppies	Guppies + PPF
Baseline (Range)	10 (1-15)	9 (3-24)	11 (2-20)
Dry Season (Range)	20 (3-49)	11 (3-17)	14 (2-25)
Light Rain (Range)	75 (17-181)	29 (4-71)	35 (12-63)
Heavy Rain (Range)	10 (4-23)	12 (2-25)	8 (1-23)
Total (Range)	35 (3-181)	17 (2-71)	19 (1-63)
Density Ratio (95% CI), p-value*	1 (Ref)	0.49 (0.31-0.77), p=0.0021	0.54 (0.34-0.85), p=0.0073
Density Ratio (95% CI), p-value*	**	1 (Ref)	1.10 (0.69-1.74), p=0.6901

*The ratios do not include the baseline data

**The ratio is not given here as it would be redundant

The trapping time was 10 minutes per house

364

365 *Breteau Index*

366 Over the intervention period, the BI was significantly less in both the guppy + PPF arm
 367 (Arm 1) (DR=0.64 [95% CI 0.50-0.85], p=0.0016), and guppy arm (Arm 2) (DR=0.63 [95% CI
 368 0.48-0.82], p=0.0006) relative to control (Arm 3). The difference between the two intervention
 369 arms was not significant (DR=0.97 [95% CI 0.73-1.27], p=0.7982) (Table 4). The biggest
 370 difference between arms was seen during the dry and light rain or rainy seasons (Fig 2).

371 **Fig 2: Box plots showing Breteau index by arm and season, October 2015 – October 2016**

372

Table 4: Immature <i>Aedes</i> indices per cluster by arm and survey			
	Breteau Index		
	Control	Guppies	Guppies + PPF
Baseline (Range)	62 (20-115)	91 (45-155)	69 (28-173)
Dry Season (Range)	88 (18-153)	48 (13-93)	54 (15-93)
Light Rain (Range)	130 (73-188)	81 (40-150)	74 (35-125)
Heavy Rain (Range)	58 (20-150)	51 (15-105)	45 (15-73)
Total (Range)	92 (18-188)	60 (13-150)	58 (15-125)
Density Ratio (95% CI), p-value*	1 (ref)	0.65 (0.50-0.85), p=0.0016	0.63 (0.48-0.82), p=0.0006
Density Ratio (95% CI), p-value*	**	1 (ref)	0.97 (0.73-1.27), p=0.7982
	Pupae Per Person		
	Control	Guppies	Guppies + PPF
Baseline (Range)	0.9 (0.2-2.7)	4.0 (0.2-17.1)	1.1 (0.5-2.3)
Dry Season (Range)	1.0 (0.1-3.3)	0.3 (0-0.9)	0.7 (0-1.7)
Light Rain (Range)	2.2 (0.5-7.0)	1.2 (0.1-3.3)	0.60 (0-1.4)
Heavy Rain (Range)	0.7 (0.1-2.1)	0.6 (0.1-2.9)	0.7 (0-1.8)
Total (Range)	1.3 (0-7.0)	0.7 (0-3.3)	0.7 (0-1.8)
Density Ratio (95% CI), p-value*	1 (ref)	0.56 (0.35-0.91), p=0.0193	0.52 (0.32-0.84), p=0.0075
Density Ratio (95% CI), p-value*	**	1 (ref)	0.92 (0.60-1.49), p=0.7385

*The ratios do not include the baseline data

**The ratio is not given here as it would be redundant

373

374 *Pupae Per Person*

375 Baseline results show significantly higher PPP in the guppy arm (Arm 2) than the other
376 arms (Fig 3). Over the intervention period, the PPP was significantly less in both the guppy +
377 PPF arm (Arm 1) (DR=0.56 [95% CI 0.35-0.91], p=0.0193), and guppy arm (Arm 2) (DR=0.52
378 [95% CI 0.32-0.84], p=0.0075) relative to control (Arm 3). The difference between the two
379 intervention arms was not significant (DR=0.92 [95% CI 0.60-1.49], p=0.7385) (Table 4).

380 **Fig 3: Box plots showing pupae per person by arm and season, October 2015 – October**
381 **2016**

382

383 *Knowledge, Attitudes, and Practice Survey*

384 The secondary outcome related to the KAP survey is reported here, while the full data set
385 from the KAP survey is in the supplementary files (S2 Appendix). High levels of knowledge that
386 dengue is transmitted by *Aedes* mosquitoes were reported at baseline among all arms (range
387 95.5-98%). Endline surveys showed 100% of participants with this knowledge. Ratios of
388 increased knowledge between baseline and endline were not significantly different between arms
389 with the guppy + PPF arm (Arm 1) (RR=0.99 [95% CI 0.86-0.1.14], p=0.915), and guppy arm
390 (Arm 2) (RR=1.01 [95% CI 0.87-1.16], p=0.943) relative to control (Arm 3) (S2 Table).

391

392 *Coverage of Guppy Fish and Sumilarv[®] 2MR*

393 Coverage of guppy fish (proportion of eligible water containers with ≥ 1 guppy fish)
394 before replacement in Arm 2 rose to nearly 80% after one month and stayed close to 70% for
395 most of the intervention period (Fig 4). However, in Arm 1 PPF coverage (proportion of eligible
396 water containers with ≥ 1 Sumilarv[®] MR) rose to 80% after two months and stayed high until

397 dropping in March, after which continued health education messages increased coverage back to
398 near 70-80%. Guppy coverage in Arm 1 was notably lower (near 50%) until guppy use was
399 emphasized in March, after which it increased dramatically and then dropped off back to around
400 50%.

401 **Fig 4: Coverage of guppies and PPF in intervention villages by month, November 2015 -**
402 **September 2016**

403

404 *Climate*

405 The average maximum daily temperature in the shade decreased from 34.4° C in the dry
406 season to 31.3° C in the light rain season. The average relative daily humidity and monthly
407 rainfall increased from 60.0% and 10.7 millimeters to 75.2% and 139 millimeters from the dry to
408 light rain season, respectively (Fig 5). The rainy season saw much larger amounts of rainfall
409 (near 300 millimeters per month) than all other seasons.

410 **Fig 5: Average maximum daily temperature, relative humidity, and rainfall**

411

412 **Adverse Events**

413 No adverse events, harms, or unintended effects were recorded during the trial.

414 Discussion

415 Guppies, whether or not in combination with PPF, were able to decrease the number of
416 *Aedes* females (DR=0.49-0.54) and PPP (DR=0.52-0.56) by roughly half compared to the control
417 and resulted in approximately 35% decrease in the BI (DR=0.63-0.64). All other entomological
418 indices also showed similar and statistically significant reductions in intervention arms as
419 compared to the control. There were no statistical differences identified between the two
420 intervention arms, however it should be noted that the trial was not powered to detect those
421 differences. Regardless, the lack of difference between the arms could also be due to coverage.
422 Guppy coverage was much lower in intervention Arm 1 than in Arm 2 (~50% vs ~80%),
423 therefore suggesting the use of PPF may have contributed to keeping entomological indicators
424 similar to those in Arm 2.

425 Although none of the mosquito pools were found to be positive for dengue virus, all the
426 positive and negative controls performed as expected. Additionally, a model used to simulate the
427 process of mosquito sampling, pooling, and virus testing and found that mosquito infection rates
428 commonly underestimate the prevalence of arbovirus infection in mosquito populations [45].
429 This suggests that in our trial either 1) the minimum infection rate found was the true rate in the
430 population, 2) there was some degradation of RNA which resulted in untrue rates (despite proper
431 cold chain management), or 3) the amount of virus in the pools was below the detection
432 threshold.

433 It was observed that adherence to guppies was high (70-80%) and consistent when only
434 one intervention requiring behavior change (guppies) was assigned. In the intervention arm with
435 guppies and PPF adherence to one intervention was highest when focused health education
436 messages were given on that intervention specifically (e.g. guppy coverage in March was highest

437 when guppy use was emphasized and lowest in December to February when PPF usage was
438 emphasized). Similar dynamics have been found with the use of other vector control tools. A
439 recent review concluded that, when applied as a single intervention, temephos was found to be
440 effective at suppressing entomological indices. However the same effect was not present when
441 applied in combination with other interventions [46]. This suggests that unfortunately no single
442 vector control intervention may be enough to reach elimination of dengue and using multiple
443 interventions which require behavior change may reduce individual intervention effectiveness.
444 Some studies have suggested combining imperfect vector control with an imperfect medium-
445 high efficacy vaccine could be more efficacious and cost-effective way to reduce dengue
446 cases [47,48].

447 The results of the KAP survey showed very high baseline knowledge levels which may
448 have resulted from the high number of cases in the study site and from previous government-led
449 anti-dengue efforts in these areas. The knowledge that dengue is transmitted by *Aedes* mosquitos
450 rose to 100% of respondents by the end of the intervention, however even that was not
451 statistically significant between baseline and endline surveys. Similarly high levels of
452 knowledge on other dengue topics was found in the baseline survey and reported earlier [49].
453 Interestingly, self-reported vector control practices did not match observed practices recorded in
454 the surveys, nor was a correlation found between knowledge and observed practices either [49].
455 Therefore, an education campaign regarding dengue prevention in this setting with high
456 knowledge levels is unlikely to have any significant effect on practices unless it is incorporated
457 in a more comprehensive strategy for behavioral change (e.g. use of the COMBI method). In
458 addition, to bridge the knowledge-practice gap, there is a need to create an enabling environment
459 at the household, community and health facility level to follow the required behaviors. For

460 example, the vector control knowledge will not be enough until they have a continuous supply of
461 the recommended interventions (e.g. guppies, PPF, *Bti*) in order to follow the recommended
462 behaviors.

463 In previously reported Focus Group Discussions (FGDs) and In-Depth Interviews (IDIs),
464 nearly all participants perceived that the interventions resulted in a reduction in *Aedes* mosquitos
465 (both adults and immatures) and dengue cases [50]. Participants showed high demand for both
466 interventions (guppies and PPF) and were willing to pay between 100-500 riel (0.03-0.13 USD).
467 In addition, several participants began rearing guppies in their home for their personal use, for
468 the children to play with, and to possibly sell in the market. The presence of larvae in the water
469 despite the use of PPF was a source of concern for some participants, although this was
470 overcome in most cases with proper health education through health volunteers. Interpersonal
471 communication through health volunteers was the most preferred method of transmitting
472 prevention messages. Together the entomological, KAP, and qualitative results suggest that the
473 interventions were efficacious and accepted by the community.

474 However, there is always a need to balance potential benefits and harms of any
475 intervention. Following the recent Zika outbreaks in 2015-2016, there were two groups of
476 ecologists that noticed public health authorities utilizing non-native larvivorous fish (including
477 guppies) in *Aedes* control [51,52]. Both of these groups wrote opinion pieces that gave three
478 strong messages; 1) the use of larvivorous fish in vector control is not effective, 2) the chances of
479 accidental guppy introduction into local ecosystems are large, and 3) that guppies can easily
480 establish populations and damage these aquatic ecosystems. The first point is contradicted by
481 studies which were available at the time, as well as by the current trial [17,18,28]. However,
482 regarding the other points, guppies are indeed known to be highly plastic and acclimate to new

483 environments [53]. For example, as far back as 1963 guppies have been highly effective in *Culex*
484 control in highly polluted ground pools and waterways in Bangkok, Yangon, and Taipei [54]. In
485 one study it was postulated that female guppies are capable of routinely establishing new
486 populations in mesocosms, and that over 80% of these populations persist for at least two
487 years [55]. Therefore, the key question is what is the ecological impact of guppies being
488 accidentally released into the environment? Despite the strong statements made in the opinion
489 pieces, the underlying evidence seems to be weaker than implied with most introductions made
490 before proper baseline assessments were completed. Studies have shown some effects of guppies
491 on resident fish densities in lab conditions [56,57] , and nitrogen levels in water [58–60],
492 however the extent of these effects across the ecosystem - especially in areas where introduction
493 and naturalization took place many decades ago (such as Cambodia) - are far from settled. A
494 book on evolutionary ecology of the guppy noted that in regards to the impact of exotic guppies
495 “the literature is scant, and the area ripe for research” [53]. The author also noted that manner in
496 which introduced fish species impact native assemblages is incompletely understood, and that
497 issues such as anthropogenic changes to the habitat, such as rise in water temperature, could
498 favor introduced over native species [53].

499 Measures available to control programs to mitigate the risks of introduction include;
500 1) restricting breeding sites to areas which can be locked and controlled by the breeders; 2) only
501 distributing fish to key containers in at-risk areas and away from lakes and streams); 3) only
502 distributing male fish to avoid breeding after accidental release by households; or 4) evaluating
503 which indigenous larvivorous fish exist that have similar predation behaviors to guppies and
504 consider their use. It should be noted that male guppies have been found to consume less larvae

505 than males (123 per day compared to 74 per day) [17], however that consumption rate was more
506 than enough to clear the main breeding jars in Cambodia.

507 In addition to concerns on accidental release of guppies to the environment, some lab
508 experiments have raised the possibility that putting guppies in containers used for drinking water
509 could increase *Escherichia coli* and other bacteria [61]. However, a recent study (Sidavong et
510 al., submitted manuscript) found the addition guppy fish in Lao and Cambodia made no
511 significant difference to high pre-existing baseline levels of contamination. Therefore, the
512 authors concluded that any contaminating effect may be insignificant when compared with the
513 potential for reducing dengue fever cases and advocated for the inclusion of advice on safe water
514 use to be included in any behavior change communication programs for guppy introduction.

515 This study has several limitations. The most important of which is the absence of a
516 primary outcome directly related to dengue incidence rather than an entomological one. Finding
517 the appropriate metric to measure disease impact is bedeviled by the effect of human movement
518 on patterns of transmission, and the pronounced temporal and spatial heterogeneity in
519 transmission, which will necessitate very large cluster-randomized study designs [62,63]. We
520 considered passive surveillance for dengue with rapid diagnostic tests in HCs. Although
521 sensitivity among currently available tests was considered acceptable for routine clinical
522 diagnostics [64] it was not considered high enough for seroconversion studies and no studies
523 were identified that had used rapid diagnostics to estimate seroprevalence. Therefore, more
524 expensive and labor intensive efforts were preferable, such as cohort studies or capture-recapture
525 methods (which have their own limitations[65]) to estimate the true number of cases, or using a
526 more sensitive diagnostic tool such as RT-PCR. However, due to budget limitations it was not
527 possible to employ them. Additionally, unpublished data from a recent cohort study in the

528 proposed districts suggest that, given similar number of cases during this study timeframe, and
529 the resources available to the current project, there would not be enough statistical power to
530 show an impact of the likely size on case numbers [31]. Therefore, the endpoint chosen was the
531 density of adult *Aedes* mosquitoes, which are on the causal pathway to disease.

532 Nevertheless, determining the effect of an entomological outcome on dengue
533 transmission is difficult. Multiple studies in Cuba have suggested that a BI of greater than five
534 can be used to predict dengue transmission, although they note that their results can probably not
535 be extrapolated to areas where dengue transmission is endemic [66,67]. A recent study from Peru
536 did show a statistically significant association between 12-month longitudinal data on *Aedes*
537 *aegypti* abundance (1.01-1.30) and categorical immature indices (1.21-1.75) on risk ratios
538 dengue virus seroconversion (over six months) [66]. However, even the existence of an
539 association remains less clear across geographies, and what the strength of that association would
540 be in Cambodia (with much higher incidence rates) remains difficult to quantify. These efforts
541 are frustrated by the many intersecting factors which determine dengue infection in communities
542 including the probability of infecting and being infected by a mosquito bite, the duration of
543 infection, treatment-seeking behavior, the risk of fever, which serotypes are present, acquired
544 immunity in the host, coverage of interventions and background prevalence of dengue infections.
545 The availability of quality data for each of these factors is limited in most tropical countries
546 where the infection rates are highest.

547 Additional entomological limitations include only having one data collection point in
548 each season, and no measure in the change of parity rate of adult females. The indoor resting
549 collection of *Aedes* adult mosquitoes is subject to many challenges including: (i) individual
550 collector performance & efficiency; (ii) density being time dependent; (iii) and housing

551 conditions, architecture, objects, etc. Another possible source of bias is not having data collectors
552 blind to the intervention; however, in this case it was unavoidable as data collection teams were
553 able to see the fish in the containers which they sample. Additionally, as these data are being
554 collected within one province in Cambodia generalizability could be a concern. However, it is
555 likely that the result of this trial could be generalizable to areas with similar ecology and
556 mosquito densities within the country and in neighboring countries.

557 In conclusion, the results from this trial indicate that the interventions resulted in a
558 statistically significant reduction in immature and adult *Aedes* mosquito density when compared
559 to the control. There were no statistical differences identified between intervention arms,
560 although lower guppy coverage in intervention arm two suggests that PPF did help keep
561 mosquito densities low. Data from the KAP and qualitative assessments showed that the
562 interventions were accepted by communities and that they were willing to pay for them. The
563 extremely low cost of including guppy rearing in community-based health structures along with
564 the effectiveness demonstrated here suggests guppies should be considered as a vector control
565 tool as long as the benefits outweigh any potential environmental concerns. PPF was also highly
566 accepted and preferred over current vector control tools used in Cambodia, however product
567 costs and availability are still unknown. The qualitative assessment suggests that a context
568 specific and well-informed COMBI and community engagement by giving an active role to
569 communities is the key to the successful dengue control. Additional studies could be done to
570 confirm these results and explore the effect of the interventions in different ecological conditions.

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778 Supporting information captions

779

780 S1 Figure: CONSORT 2010 Flow Diagram

781 S1 Table: CONSORT 2010 checklist of information to include when reporting a randomized trial

782 S2 Table: Remaining Secondary Outcome Tables

783 S1 Dataset: Entomology data at cluster level used for analysis

Figure 1: Box plots showing mean number of adult *Aedes* females per household by arm and season, October 2015 – October 2016

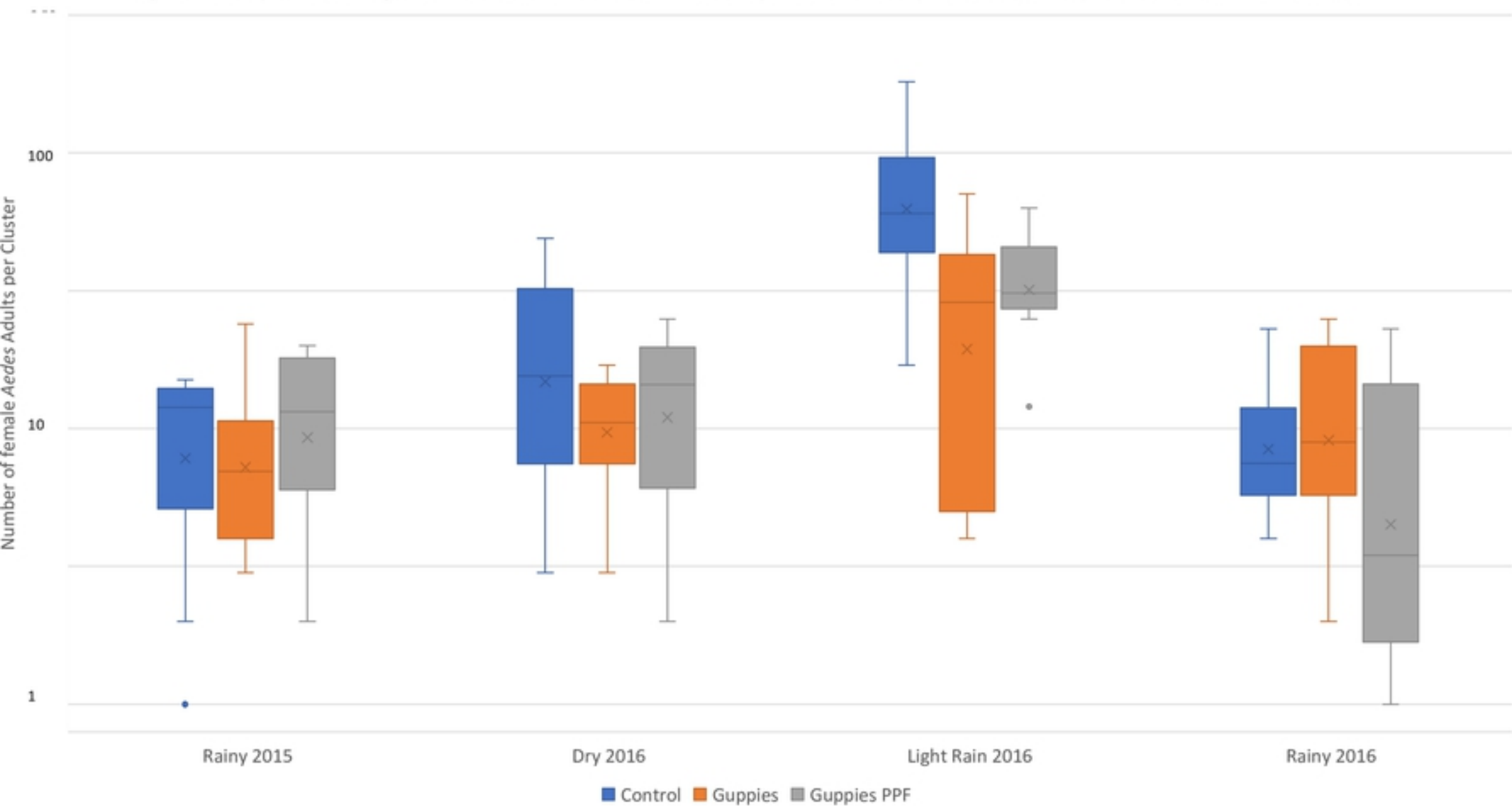


Figure 1

Figure 5: Average maximum daily temperature, relative humidity, and rainfall

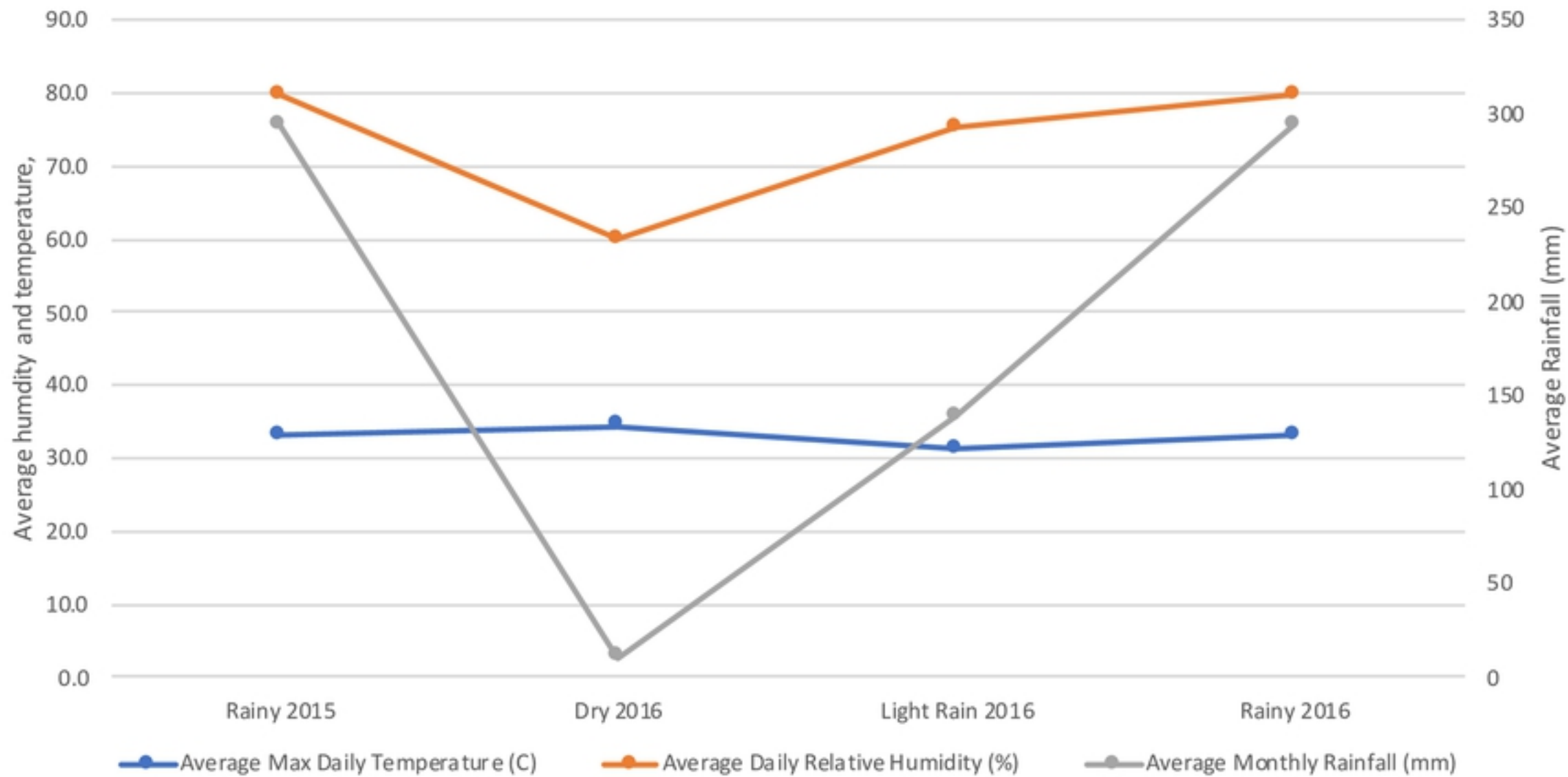


Figure 5

Figure 3: box plots showing pupae per person by arm and season, October 2015 – October 2016

Average Pupae Per Person by Cluster

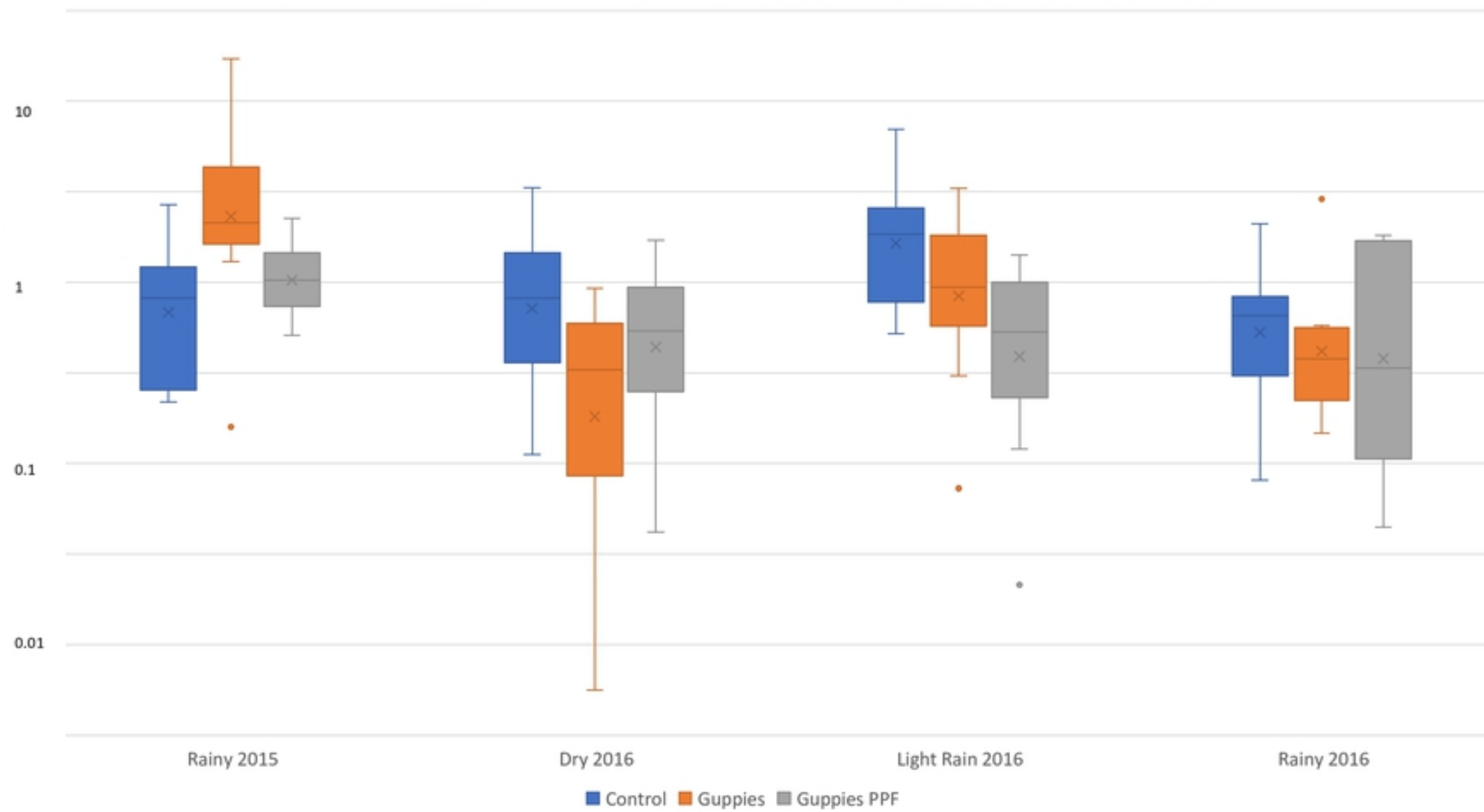


Figure 3

Figure 2. Box plots showing Breteau index by arm and season, October 2015 – October 2016

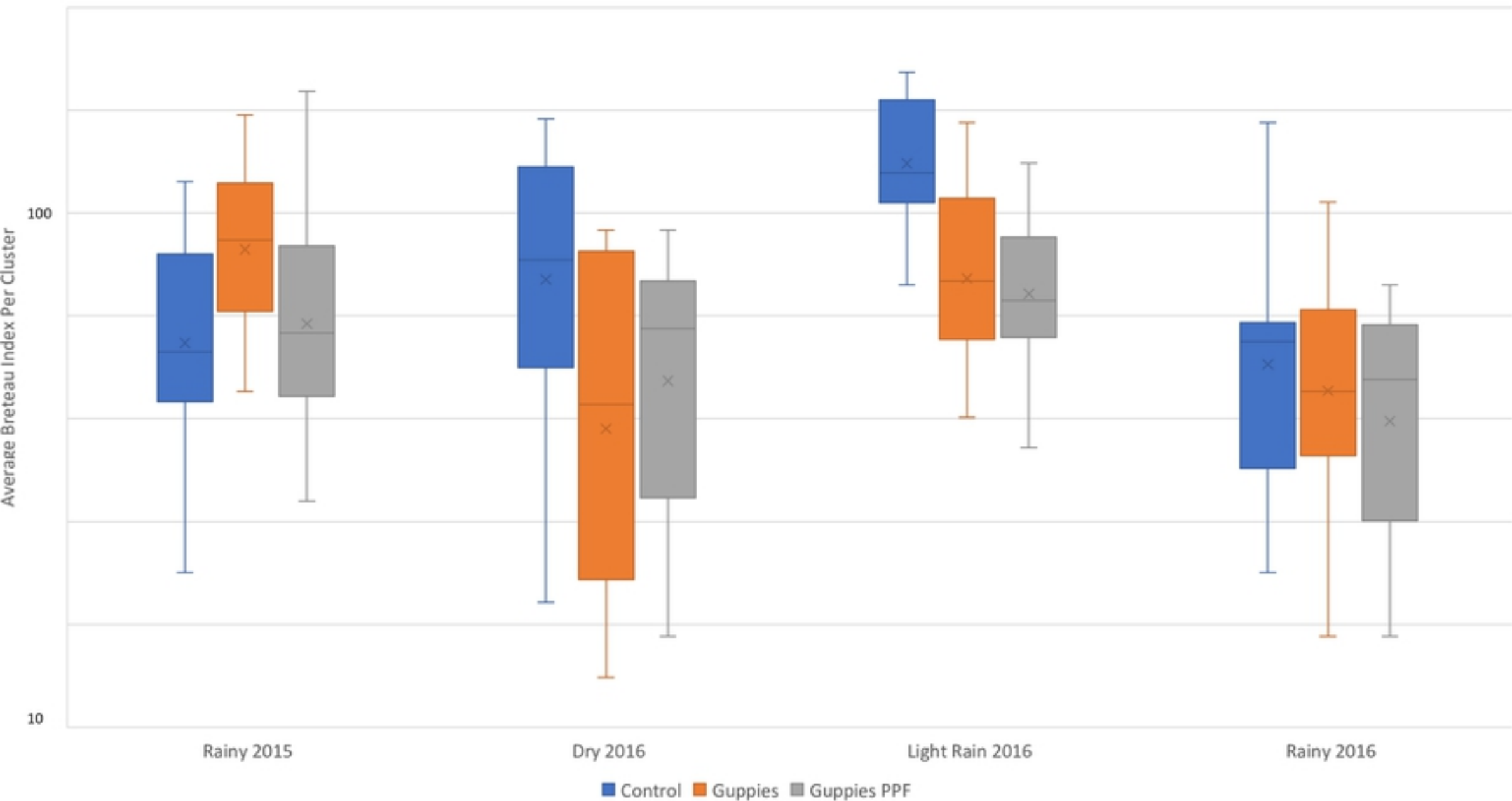


Figure 2

Figure 4: Coverage of guppies and PPF in intervention villages by month, November 2015 - September 2016

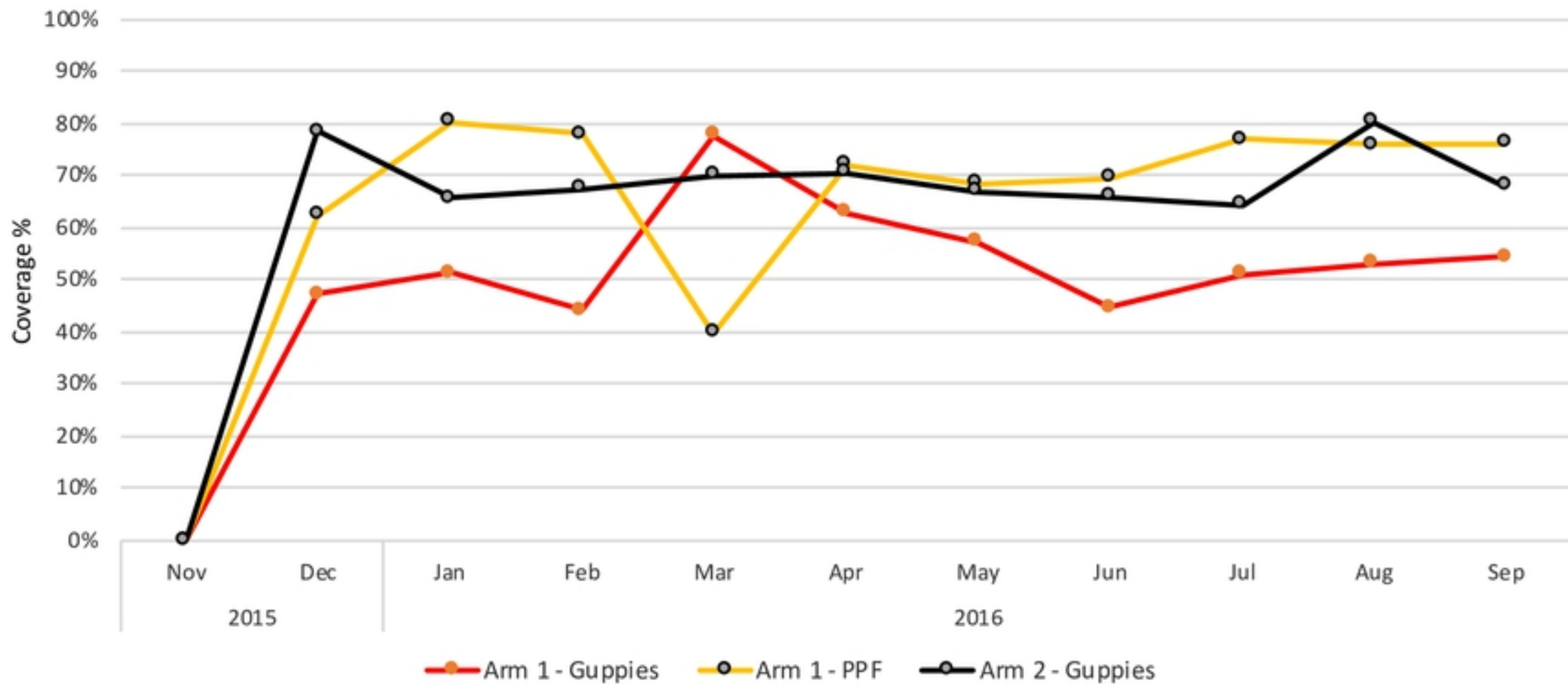


Figure 4

CONSORT 2010 Flow Diagram for enrolment, follow up, and analysis of clusters

