Use and abuse of potential rates in soil microbiology

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Abstract

Potential rate assays are used in soil microbial ecology to determine the maximum rate of a functional process in environmental samples. They assume that all contributing organisms in a community are active at a maximum rate under one set of 'optimal' incubation conditions. Potential rates are then often compared to the abundance of specific taxonomic groups to infer their relative contribution *in situ*. However, while investigators now recognise that populations within communities are physiologically diverse, they often ignore the consequent suboptimal activity, or even inactivity, of the majority of community members performing that function. In this short perspective article, we highlight the underlying conceptual problems associated with potential assays and use potential nitrification rate (PNR) as an example. PNR was originally developed to estimate the size of active ammonia oxidising communities in environmental samples. They are routinely performed in short-term shaken slurry incubations by measuring assumed maximum rates of nitrate or nitrite production under optimal, non-substrate-limiting conditions. As with other functional processes, it is now recognised that a broad diversity of organisms contribute to aerobic ammonia oxidation in terrestrial and other habitats, and this diversity represents a substantial range of physiologies, including variation in substrate affinity, ammonia tolerance, cell specific activity and substrate preference. Despite this, PNR is routinely used in an attempt to determine an ecologically relevant measurement of nitrification activity in soil. As with other potential assays, PNR has inherent biases towards particular functional groups and its use in investigating the ecology of ammonia oxidisers in natural systems is severely restricted.

Main text

Measurement of the potential rate of microbially mediated soil processes has a long tradition. Commonly used examples are measurement of potential nitrification and denitrification rates and substrate-induced respiration. All aim to determine process rate, usually after addition of a relevant substrate, under what are considered to be optimal conditions that enable all organisms capable of performing the process to operate with maximum activity and/or specific growth rate. Potential rate obviously differs from 'actual' process rate, operating under non-optimal environmental conditions, although actual rates are required for most ecological questions. Potential process rates may have some value, and have traditionally been used, in the absence of alternatives, to estimate the biomass (or, less justifiably, abundance) of a functional microbial group. However, the assumptions underlying measurement of potential rate are rarely considered, rarely obeyed and even more rarely tested and have been increasingly challenged

as our understanding of the composition and diversity of microbial communities in natural environments has increased. The limitations and relevance of potential rate measurements in microbial ecology are illustrated below for soil nitrification, but apply equally to other processes, functional groups and ecosystems.

Potential nitrification rate

Nitrification is the sequential oxidation of ammonia (NH_3) to nitrite (NO_2^-) and nitrate (NO_3^-) and is an essential component of reactive nitrogen transformation in soil. While nitrite can accumulate in soil (Giguere et al., 2017; Ma et al., 2015; Taylor et al., 2019; Venterea and Rolston, 2000), particularly after N deposition (Venterea et al., 2020), ammonia oxidation is often assumed to be the rate-limiting step. As a consequence, the activity, diversity and community structure of ammonia oxidisers (AO) receives greatest attention with respect to understanding environmental factors that influence microbially-mediated nitrification. Potential nitrification rate (PNR) assays (also referred to as potential nitrification activity (PNA)) have been used for many decades as a rapid method for estimating net ammonia oxidation (≈nitrification) rates in soils.

While a range of PNR assays have been used, the most common approach is the shaken soil-slurry method (Belser and Mays, 1980; Hart et al., 1994). This typically involves incubation of a suspension of sieved soil in a solution containing NH_4^+, at non-limiting concentration, in a shaken flask, ensuring aeration and mixing, at an incubation temperature that is considered to be optimal. PNR is calculated as the gradient of the linear increase in nitrate concentration in samples taken regularly during a relatively short incubation period (often ≤24 h) (Hart et al., 1994). A potassium phosphate buffer, typically between pH 7.0 and 7.5, can be included in the assay solution, with the intention of optimizing pH for AO. Chlorate, an inhibitor of nitrite oxidation, is also commonly included and NO_2^- rather than NO_3^- is measured in each slurry sample (Belser and Mays, 1980). Growth is assumed to be negligible; hence the assumption of a linear, rather an exponential increase in nitrite or nitrate concentration. PNR therefore measures the activity of the community and not specific growth rate. Linear kinetics are often not tested and it is not unusual for calculations to be based on nitrate concentrations measured only at the beginning and end of incubation or even using just the final value. Any growth, or other divergence from linear kinetics, invalidates calculation of a 'constant' PNR.

Employment of 'ideal' or 'optimal' conditions attempts to ensure that all AO within the sample are active, which is further encouraged by addition of substrate (NH_4^+). The assumption of universal activity was implicit in the traditional use of PNR to estimate AO abundance in

soil. This assumption was always seen as a major limitation of this approach (Woldendrop and Laanbroek, 1989; Hart et al., 1994), particularly given the low growth rates of ammonia oxidisers. (The only alternative was use of the most probable number method, which also relies on incubation of dilutions of soil suspensions under 'ideal' conditions and similar assumptions.)

Physiological diversity of ammonia oxidisers challenges underlying assumptions of PNR

For more than a century, ammonia oxidation was thought to be performed mainly by ammonia oxidising bacteria (AOB) (Prosser and Nicol, 2012). The past 25 years have, however, demonstrated considerable phylogenetic diversity within AOB and also the capacity for ammonia oxidation by ammonia oxidising archaea (AOA) (Könneke et al., 2005) and complete ammonia oxidizers (comammox) of the genus *Nitrospira* (Daims et al., 2015; van Kessel et al., 2015). Evidence from both cultivation-based and ecological studies also provides evidence for substantial physiological diversity in AO. Physiological diversity of cultivated AOB is well established and was used for traditional classification of these organisms (Prosser 1989; Koops et al., 2003). Since the first isolation of an ammonia oxidising archaeon (AOA), *Nitrosopumilus maritimus* (Könneke et al., 2015), several enrichments and isolates of soil AOA have also been obtained. These include, for example, the neutrophile *Nitrososphaera viennensis* EN76 (Tourna *et al.* 2011) and the obligate acidophile *Candidatus* Nitrosotalea devanaterra ND1 (Lehtovirta-Morley *et al*., 2011). In addition, comammox *Nitrospira* are abundant and contribute to ammonia oxidation in soil (Pjevac et al., 2017; Wang et al., 2019a), and a gammaproteobacterial AOB (*Candidatus* Nitrosoglobus terrae) was isolated recently (Hayatsu *et al.*, 2017). This taxonomic diversity is reflected in ecophysiological diversity and the maximum specific growth rate, cell specific activity, inhibitory ammonia and nitrite concentrations and affinity for ammonia of AO all vary by over an order of magnitude among different soil isolates, both within and between the major ammonia oxidiser groups (summarised in Lehtovirta-Morley et al., 2016). In addition, many AO are inhibited by NO_2^-, which accumulates when chlorate is used to inhibit nitrite oxidisers, thereby reducing PNR depending on community composition. Thus, even if the incubation conditions (temperature, pH, oxygen concentration) are appropriate for all AO, the PNR will represent only an aggregate of a wide range of cell activities and will vary with community composition and not just abundance.

Physiological diversity does, however, also mean that incubation conditions required for activity of all AO will not be identical. Cultivation-based studies have demonstrated significant differences in effects of temperature (Jiang and Bakken, 1999; Tourna et al., 2011; Lehtovirta-Morley et al., 2014), pH (Allison and Prosser, 1991; Lehtovirta-Morley et al., 2011)

136 and salinity (Mosier et al., 2012; Qin et al., 2014; Bello et al., 2019). Similar evidence of
137 physiological diversity is provided by ecological studies (Nicol et al., 2008; Ollivier et al., 2012;
138 Gubry-Rangin et al., 2017; Nacke et al., 2017; Taylor et al., 2017; Lu et al. 2018) and the
139 ubiquity of AO in the full range of soils, in itself, is evidence that a single set of incubation
140 conditions will be insufficient. For example, ammonia supply is an important factor influencing
141 niche differentiation between AOA and AOB populations in soil. AOB often dominate growth
142 at higher concentrations of added inorganic ammonium (e.g. Jia and Conrad, 2009; Xia et al.,
143 2011; Hink et al., 2017), while AOA appear to prefer ammonium that is derived from a
144 mineralised organic N source, i.e. that is part of the native organic component of the soil or
145 added organic N (Levičnik-Höfferle et al., 2012; Hink et al., 2018). In low pH soils, AOA make
146 a greater relative contribution to ammonia oxidation under specific incubation conditions
147 (Gubry-Rangin et al., 2010; Zhang et al., 2012) and, as such, a decreasing proportion of the
148 ammonia oxidising community may preferentially oxidise added inorganic N with decreasing
149 soil pH.
150 It is therefore impossible to identify incubation conditions that will be 'ideal' for all
151 organisms and the majority of AO will have sub-optimal or no activity and will not contribute
152 to measured PNR. To this must be added the assumption that ammonia available and oxidised
153 in PNR assays will also be available for the same populations in soil.
154
155 *Potential nitrification rate does not reflect ammonia oxidiser activity* in situ
156 The consequences of these limitations can be seen when correlations are determined between
157 published PNR for soils and sediments and AOA and AOB abundances (using *amoA* gene
158 abundance as a proxy for cell abundance). Results are highly variable. Of 107 studies published
159 from 2007 to 2020 (Supplementary Table 1), only 36% and 75% reported a significant positive
160 correlation between PNR and AOA or AOB abundances, respectively (Figure 1). Ten percent
161 and 46% report a positive correlation with only AOA or only AOB, respectively, 11%
162 correlated with neither and 4% and 5% reported a negative correlation with AOA and AOB,
163 respectively. No correlation has yet been observed between PNR and comammox bacteria
164 (Wang *et al*. 2019b; Luchibia *et al*. 2020; Zhang *et al.*, 2020). While these studies suggest that
165 some populations of AOA are using added ammonium, the majority of studies indicate that
166 only ammonia oxidising bacteria (AOB) contribute to PNR, often leading to the conclusion that
167 AOA are not contributing to ammonia oxidation in a soil *in situ*. If we consider the growth
168 characteristics of AO in both culture and soil, it is evident that correlating results from a single

169 defined assay with the abundance of communities containing substantial physiological diversity
170 is unlikely to be meaningful and likely to be misleading.

171 The observed dominance of AOB over AOA in contributing to PNR activity in many
172 soils may reflect differences in their ability to utilise added inorganic nitrogen. To investigate
173 this, soil was sampled across a pH 4.5 to 7.5 gradient that has been fully characterised with
174 respect to AOA and AOB community structure and abundance (Aigle et al., 2019; Gubry-
175 Rangin et al. 2011), where *amoA* transcript and gene abundance of AOA and AOB decrease
176 and increase directly with soil pH, respectively (Prosser and Nicol, 2012). PNR assays were
177 established with both standard 1 mM phosphate buffered and unbuffered 1.5 mM NH_4^+
178 solution, and parallel incubations were performed in the presence of alkynes to differentiate
179 contributions of all AO, AOA and AOB. Acetylene (C_2H_2) has been extensively used as an
180 inhibitor of all ammonia oxidisers that possess ammonia monooxygenase (AMO) and 1-octyne
181 (C_8H_2) inhibits growth of AOB, but not AOA, in both culture and soil (Taylor et al., 2013).
182 Nitrate accumulation during incubation for 24 h was used to calculate PNR, which increased
183 directly with soil pH across the gradient (Figure 2). However, as this activity was completely
184 inhibited in the presence of both C_8H_2, and C_2H_2, it was attributed solely to AOB. This provides
185 strong evidence that AOA do not contribute to PNR in some soils, PNR severely underestimates
186 their abundance and this prevents any meaningful interpretation of their abundance or actual
187 activity in soil at the time of sampling.

188 As indicated above, the source of ammonium is also an important factor in defining the
189 ecological niches of AO populations within a community. Using concentrations typical of
190 fertilisation application rates, we compared nitrification activity in both pH 4.5 and 7.5 soil
191 microcosms after the addition of ammonium nitrate or urea (which is fully mineralised to
192 ammonium within 0.5 days). After near stoichiometric conversion of ammonium to nitrate over
193 12 days, inorganic and urea-derived ammonium were oxidised at comparable rates for both 100
194 and 200 µg N g^{-1} dry soil applications in the pH 7.5 soil (Figure 3). In contrast, urea-derived
195 ammonium was oxidised at a faster rate in the pH 4.5 soil, and ammonia oxidation was inhibited
196 by application of 200 µg inorganic N g^{-1} dry soil (Figure 3). While these data do not differentiate
197 the contribution of different AO groups, they reveal that sources of N other than inorganic
198 NH_4^+, as used in PNR, are preferred by some AO populations, again preventing meaningful
199 interpretation of PNR data.

200 Despite the major limitations discussed above, potential process rates are still frequently
201 used in attempting to address ecological questions. Again, nitrification studies illustrate their
202 lack of usefulness. Attempts to understand the relative contribution of AOA and AOB to

nitrification involve correlation of their abundances with PNR, often after a treatment or perturbation (e.g. fertiliser application or temperature change) (Supplementary Table 1). This approach is also used to study niche specialisation, which implicitly assumes that changes in relative abundance of different phylotypes will result from differences in activity under different conditions. Incubation conditions used to measure PNR will favour different members of the AOA and AOB communities and will differentially influence activities of AOA and AOB. It will therefore not be possible to determine whether relationships between abundance and PNR are due to differences in preferences of the different communities for the specific incubation conditions used or due to links between abundance and actual activity. A more fundamental issue is the assumption, implicit in niche specialisation, that changes in community composition result from differences in actual activity, determined by different *in situ*, environmental conditions and do not depend on potential activity under a single set of laboratory conditions.

The limitations of potential assays should discourage their use

PNR does not characterise the contribution of AO to nitrification in soil and knowledge of the diversity of AO physiology and factors controlling their growth clearly challenges a long-established assumption that a single incubation assay measures the activity of all AO. Critically, potential rates also have little relevance to actual rates *in situ* and therefore have limited meaning in most nitrification studies. These fundamental and significant limitations should discourage the use of PNR and apply equally to other potential assays. Our expanding knowledge of the vast diversity and composition of microbial communities in natural environments, emphasises that communities contributing to a functional process comprise a diverse array of ecophysiologies with substantial niche differentiation between populations. This challenges the underlying assumptions in potential rate measurements and severely restricts their relevance and value in studying soil microbial ecology.

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413 **Figures**

414

415 **Figure 1.** Meta-analysis of soil and sediment studies finding significant positive correlations of
416 AOA and/or AOB abundances with PNR. All studies used a shaken slurry method and
417 measured NO_3^- or NO_2^- with the addition of chlorate to inhibit NO_2^- oxidation. A total of 107
418 studies were included (Supplementary Table S1).

419

420 **Figure 2.** Demonstration of only AOB contributing to PNR. Soils were sampled across a pH
421 gradient and PNR determined using the shaken slurry method. Flasks were established in
422 triplicate using 144 ml serum vial bottles with 6 g soil (dry weight equivalent) and 50 ml of 1.5
423 mM NH_4Cl, with or without 1 mM potassium phosphate (pH 7.2) buffer. (a) Samples were
424 taken after incubation for 0, 3, 6, 9, 12 and 24 h to determine nitrate concentrations (nitrite was
425 not detected). Means (±SE) with different letters within a buffer treatment are significantly
426 different based on Tukey's *post hoc* test following one-way ANOVA ($p<1.1 \times 10^{-7}$). Means
427 annotated with a line are significantly different between buffer treatments based on two sample
428 *t*-tests ($p>0.01<0.05$). (b) Example of complete inhibition of nitrification activity in flasks
429 incubated in the presence of 0.01% C_2H_2 or 0.03% C_8H_2 with pH 7.5 soil (unbuffered).
430 Nitrification activity was not detected in any soil in the presence of either alkyne.

431

432 **Figure 3.** Nitrate (NO_3^-) production in soil microcosms amended with varying concentrations
433 of ammonium sulphate or urea (0 – 200 ug N g^{-1} dry soil) in pH 7.5 and 4.5 soil ((a) and (b),
434 respectively). Soil microcosms were prepared (in triplicate for each treatment and timepoint)
435 with sterile 144 ml serum vial glass bottles using 10 g soil (dry weight equivalent) which was
436 sampled at 0-10 cm depth and sieved (2 mm mesh size). Microcosms were incubated at 30°C
437 for 12 days with 30% soil water content (w/w). Microcosms were aerated every 3 days and
438 destructively sampled before extracting NO_3^- in a 1 M KCl solution. Nitrate concentration was
439 determined colorimetrically following Hink et al. (2018) immediately after sampling (NO_2^- was
440 not detected). Error bars represent standard error of the mean. The greatest change in pH in soil
441 microcosms was associated with those with the highest nitrification rates, indicating that pH
442 change was not an inhibiting factor (Supplementary Figure 1). Nevertheless, these results
443 highlight an additional concern, as changing conditions during incubation will influence
444 activity and kinetics will no longer be linear.

445

446 **Supplementary Figure 1.** Soil pH in soil microcosms amended with varying concentrations of
447 ammonium sulphate or urea (0 – 200 ug N g-1 dry soil) in pH 7.5 and 4.5 soil ((a) and b),
448 respectively). The standard errors of the mean pH of triplicate microcosms were ≤0.06 and are
449 not visible behind markers.
450
451 **Supplementary Table 1.** Analysis of 107 studies correlating PNR with the abundance of AOA,
452 AOB or comammox bacteria as (inferred from qPCR analysis of *amoA* gene abundance).
453 Published studies were found with a SCOPUS search (TITLE-ABS-KEY ammonia AND
454 oxidi* AND archaea AND bacteria AND potential AND nitrification) combined with manual
455 refinement for only soil and sediment studies that used a shaken soil-slurry method.
456 Publications are grouped by soil or sediment and NO_2^- or NO_3^- measured as the end product.
457 The majority of correlations were positive (X) although nine negative correlations (-X) were
458 found. There were no correlations with comammox bacteria.
459
460
461

Figure 1.

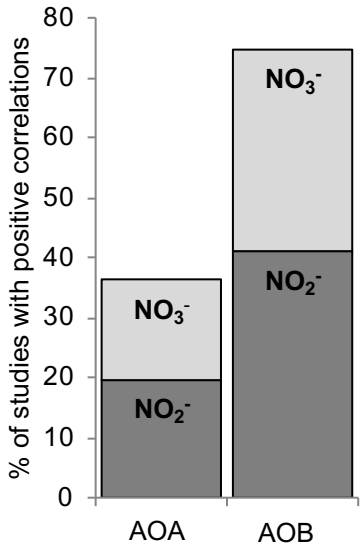

% of studies with positive correlations
80
70
60
50
40
30
20
10
0
NO3-
NO2-
NO3-
NO2-
AOA
AOB

Figure 2.

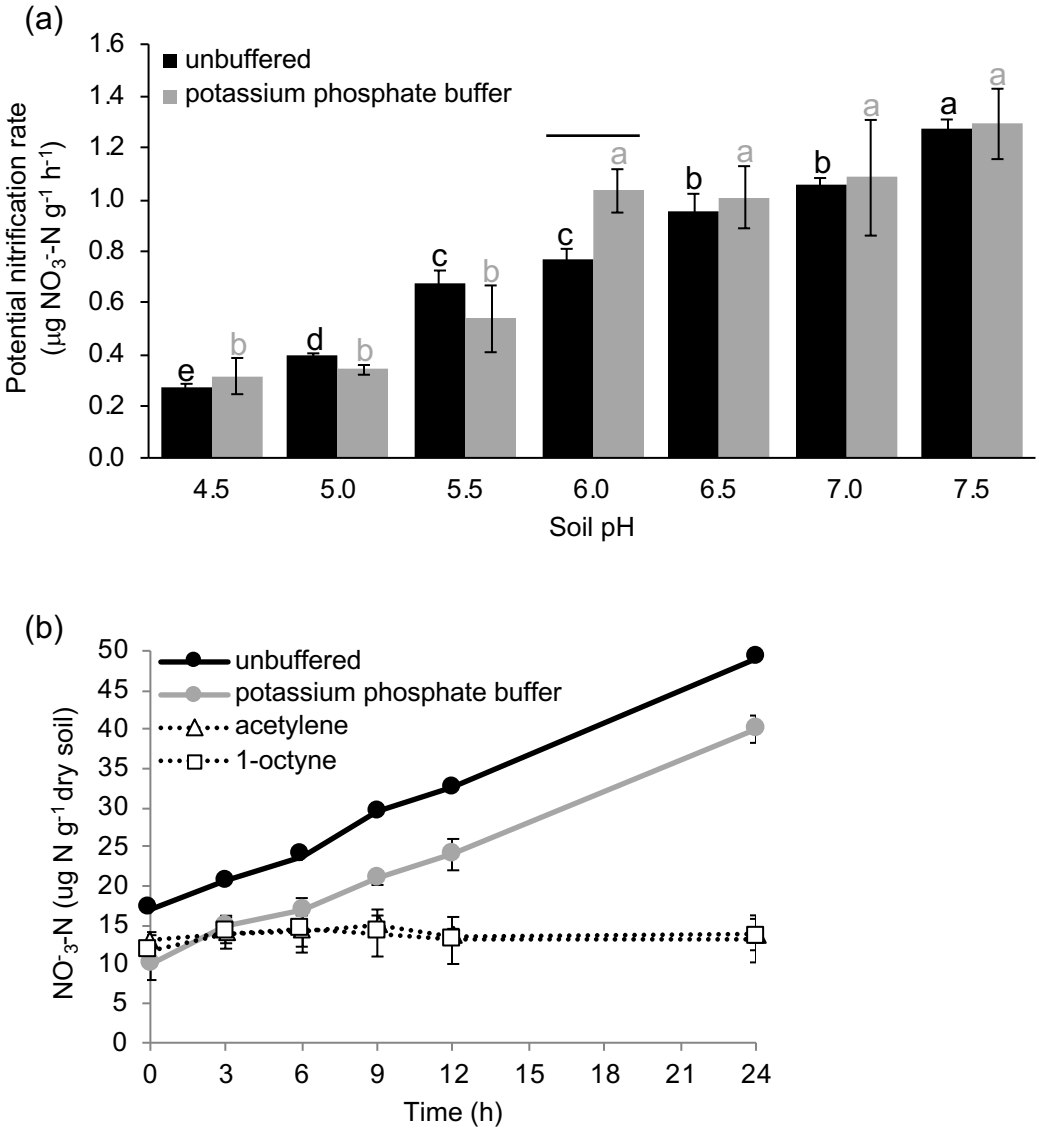
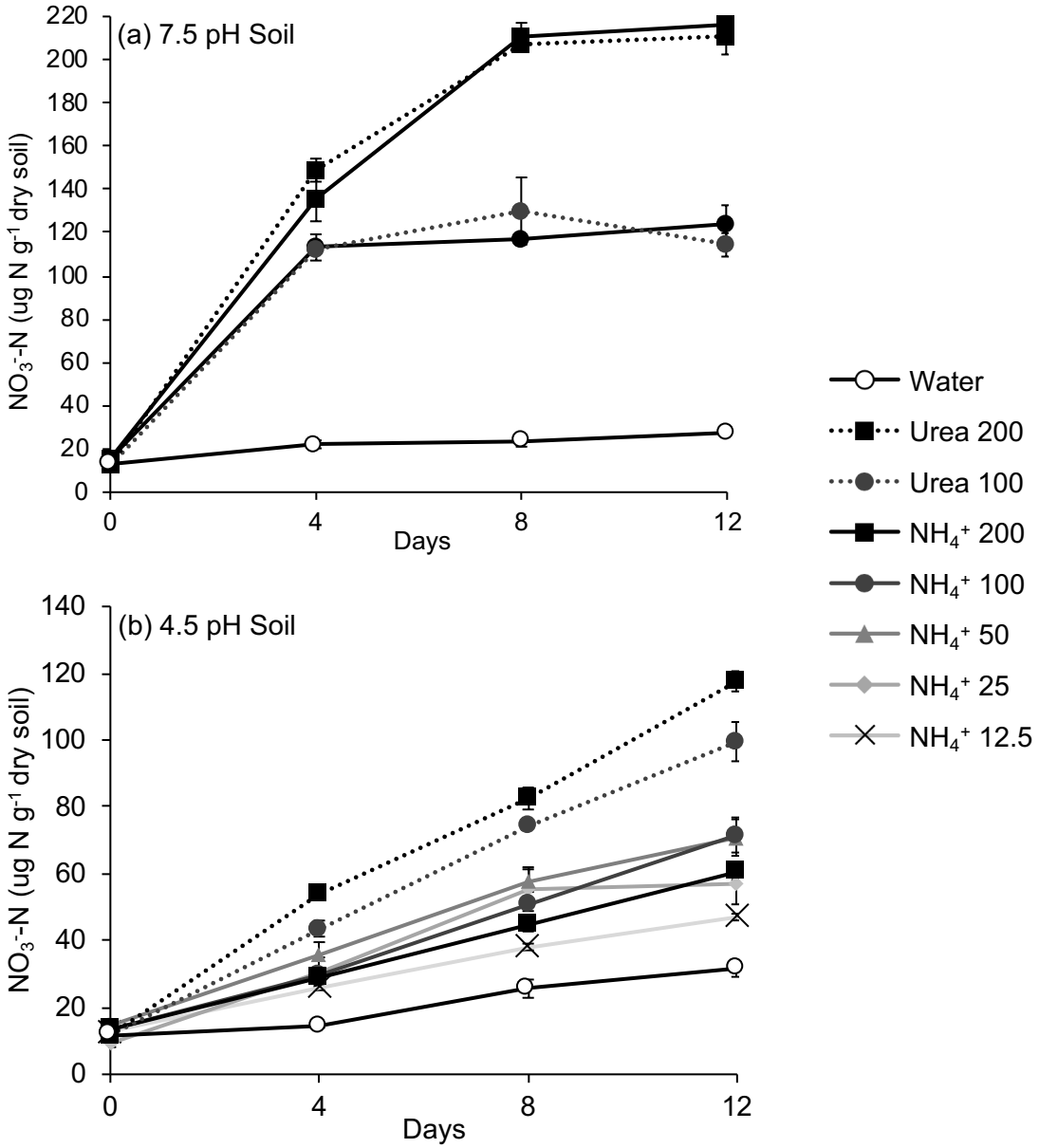
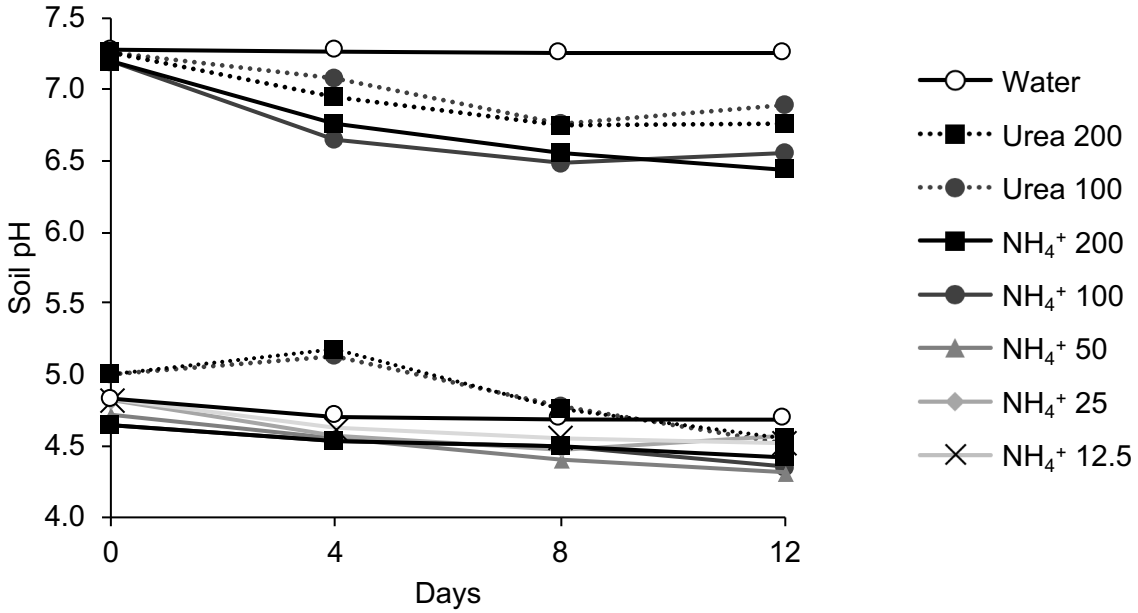

(a)
unbuffered
potassium phosphate buffer
Potential nitrification rate
(µg NO3-N g-1 h-1)
1.6
1.4
1.2
1.0
0.8
0.6
0.4
0.2
0.0
e
b
d
b
c
b
c
a
b
a
b
a
a
a
4.5
5.0
5.5
6.0
6.5
7.0
7.5
Soil pH
(b)
unbuffered
potassium phosphate buffer
acetylene
1-octyne
NO3-N (ug N g-1 dry soil)
50
45
40
35
30
25
20
15
10
5
0
0
3
6
9
12
15
18
21
24
Time (h)

Figure 3.


(a) 7.5 pH Soil
NO_3^--N (ug N g^{-1} dry soil)
Days
(b) 4.5 pH Soil
NO_3^--N (ug N g^{-1} dry soil)
Days
Water
Urea 200
Urea 100
NH_4^+ 200
NH_4^+ 100
NH_4^+ 50
NH_4^+ 25
NH_4^+ 12.5

Supplementary figure 1