Monitoring the microbiome for food safety and quality using deep shotgun sequencing

Running Title: Monitoring the microbiome for food safety and quality

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ABSTRACT:

In this work, we hypothesized that shifts in the food microbiome can be used as an indicator of

unexpected contaminants or environmental changes. To test this hypothesis, we sequenced total

RNA of 31 high protein powder (HPP) samples of poultry meal pet food ingredients. We

developed a microbiome analysis pipeline employing a key eukaryotic matrix filtering step that

improved microbe detection specificity to >99.96% during *in silico* validation. The pipeline

identified 119 microbial genera per HPP sample on average with 65 genera present in all

samples. The most abundant of these were *Bacteroides, Clostridium, Lactococcus, Aeromonas,*

and *Citrobacter.* We also observed shifts in the microbial community corresponding to

ingredient composition differences. When comparing culture-based results for *Salmonella* with

total RNA sequencing, we found that *Salmonella* growth did not correlate with multiple

sequence analyses. We conclude that microbiome sequencing is useful to characterize complex

food microbial communities, while additional work is required for predicting specific species'

viability from total RNA sequencing.

KEYWORDS:

microbiome, food safety, bioinformatics, shotgun sequencing, microbial ecology, pathogens

1. INTRODUCTION:

Sequencing the microbiome of food may reveal characteristics about the associated microbial content that culturing or targeted whole genome sequencing alone cannot. However, to meet the various needs of food safety and quality, next generation sequencing (NGS) and analysis techniques require additional development[1] with specific consideration for accuracy, speed, and applicability across the supply chain.[2] Microbial communities and their characteristics have been studied in relation to flavor and quality in fermented foods,[3–5] agricultural processes in grape[6] and apple fruit[7], and manufacturing processes and production batches in Cheddar cheese.[8] However, the advantage of using the microbiome specifically for food safety and quality has yet to be demonstrated.

Currently, food safety regulatory agencies including the Food and Drug Administration (FDA), Centers for Disease Control and Prevention (CDC), United States Department of Agriculture (USDA), and European Food Safety Authority (EFSA) are converging on the use of whole genome sequencing (WGS) for pathogen detection and outbreak investigation. Large scale WGS of food-associated bacteria was first initiated via the 100K Pathogen Genome Project[9] with the goal of expanding the diversity of bacterial reference genomes— a crucial need for foodborne illness outbreak investigation, traceability, and microbiome studies.[10,11] However, since WGS relies on culturing a microbial isolate prior to sequencing, there are inherent biases and limitations in its ability to describe the microorganisms and their interactions in a food sample. Such information would be very valuable for food safety and quality applications.

High throughput sequencing of total DNA and total RNA are promising approaches to characterize microbial niches in their native state without introducing bias due to culturing.[12–14] Additionally, total RNA sequencing has the potential to provide evidence of live and biologically active components of the sample.[14,15] It also provides accurate microbial naming, relative

microbial abundance, and better reproducibility than total DNA or amplicon sequencing.[14] Total

RNA sequencing minimizes PCR amplification bias that occurs in single gene amplicon

sequencing and overcomes the decreased detection sensitivity from using DNA sequencing in

metagenomics.[14] Total RNA metatranscriptome sequencing, however, is yet to be examined in raw

food ingredients as a method to provide robust characterization of the microbial communities and

the interacting population dynamics.

From a single sequenced food microbiome, numerous dimensions of the sample can be

characterized that may yield important indicators of safety and quality. Using total DNA or RNA,

evidence for the eukaryotic food matrix can be examined. In Haiminen *et al.*,[16] we quantitatively

demonstrated the utility of metagenome sequencing to authenticate the composition of complex

food matrices. In addition, from total DNA or RNA, one can observe signatures from commensal

microbes, pathogenic microbes, and genetic information for functional potential (from DNA) or

biologically active function (from RNA).[14,15] Detecting active transcription from live microbes in

food is very important to avoid spurious microbial observations that may instead be false positives

due to quiescent DNA in the sample. Use of RNA in food analytics also offers the opportunity to

examine expression of metabolic processes that are related to antibiotic resistance,[17,18] virulence

factors, or replication genes, among others. Additionally, it has the potential to define viable

microbes that are capable of replication in the food and even microorganisms that stop replicating

but continue to produce metabolic activity that changes food quality and safety.[19–24]

Microorganisms are sensitive to changes in temperature, salinity, pH, oxygen content, and

many other physicochemical factors that alter their ability to grow, persist, and cause disease. They

exist in dynamic communities that change in response to environmental perturbation – just as the

gut microbiome shifts in response to diet.[25–28] Shifts in microbiome composition or activity can be

leveraged in the application of microbiome characterization to monitor the food supply chain. For

example, Noyes et al. followed the microbiome of cattle from the feed lot to the food packaging,

concluding that the microbial community and antibiotic resistance characteristics change based on

the processing stage.[17,18,29] We hypothesize that observable shifts in microbial communities of

food can serve as an indicator of food quality and safety.

In this work, we examined 31 high protein powder samples (HPP; derived from poultry meal).

HPP are commonly used raw materials in pet foods. They are subject to microbial growth prior to

preparation and continued survival in powder form.[30] We subjected the HPP samples to deep total

RNA sequencing with ~300 million reads per sample. In order to process the 31 samples collected

over ~1.5 years from two suppliers at a single location, we defined and calibrated the appropriate

methods– from sample preparation to bioinformatic analysis– needed to taxonomically identify

the community members present and to detect key features of microbial growth. First, we removed

the HPP's food matrix RNA content as eukaryotic background with an important bioinformatic

filtering step designed specifically for food analysis. The remaining sequences were used for

relative quantification of microbiome members and for identifying shifts based on food matrix

content, production source, and *Salmonella* culturability. This work demonstrates that total RNA

sequencing is a robust approach for monitoring the food microbiome for use in food safety and

quality applications, while additional work is required for predicting pathogen viability.

2. RESULTS:

2.1 Evaluation of microbial identification capability in total RNA and DNA sequencing

Microbial identification in microbiomes often leverages shotgun DNA sequencing; however,

total RNA sequencing can provide additional information about viable bacterial activity in a

community via transcriptional activity. Since using total RNA to study food microbiomes is novel,

each step of the analysis workflow (Figure 1) was carefully designed and scrutinized for accuracy.

For all analyses done in this study, we report relative abundance in reads per million (RPM)

(Equation 1) as recommended by Gloor et al[31,32] and apply the conservative threshold of RPM >

0.1 to indicate presence as previously described by Langelier et al and Illot et al.[33,34] Numerically,

this threshold translates to ~30 reads per genus per sample considering a sequencing depth of ~300

million reads per sample (Methods Section 4.4). First, we examined the effectiveness of RNA for

taxonomic identification and relative quantification of microbes in the presence of food matrix

reads. We observed that RNA sequencing results correlated (R^2 = 0.93) with the genus relative

quantification provided by DNA sequencing (Supplementary Figure S1). RNA sequencing also

detected more genera demonstrated by a higher α-diversity than the use of DNA (Supplementary

Figure S2). Additionally, from the same starting material, total RNA sequencing resulted in 2.4-

fold more reads classified to microbial genera compared to total DNA sequencing (after

normalizing for sequencing depth). This increase is substantial as microbial reads are such a small

fraction of the total sequenced reads. Considering these results, we further examined the microbial

content from total RNA extracted from 31 high protein powder (HPP) samples (Supplementary

Table 1) that resulted in an average of ~300 million paired end 150 bp sequencing reads per sample

in this study.

2.2   Evaluation and application of *in silico* filtering of eukaryotic food matrix reads

Sequenced reads from the eukaryotic host or food matrix may lead to false positives for microbial

identification in microbiome studies.[35] This may occur partly due to reads originating from low

complexity regions of eukaryotic genomes, e.g. telomeric and centromeric repeats, being

misclassified as spurious microbial hits.[36] In total DNA or RNA sequencing of clinical or animal

or even plant microbiomes, eukaryotic content may often comprise > 90% of the total sequencing

reads. This presents an important bioinformatic challenge that we addressed by filtering matrix

content using a custom-built reference database of 31 common food ingredient and contaminant

genomes (Supplementary Table 2) using the *k*-mer classification tool Kraken.[37] This step allows

for rapidly classifying all sequenced reads (~300 million reads for each of 31 samples) as matrix

or non-matrix. The matrix filtering process yielded an estimate of the total percent matrix content

for a sample. See our work in Haiminen et al.[38] on quantifying the eukaryotic food matrix

components with further precision.

To validate the matrix filtering step, we constructed *in silico* mock food microbiomes with

a high proportion of complex food matrix content and low microbial content (Supplementary Table

3). We then computed the true positive, false positive, and false negative rates of observed

microbial genera and sequenced reads (Table 1). False positive viral, archaeal, and eukaryotic

microbial genera (as well as bacteria) were observed without matrix filtering, although bacteria

were the only microbes included in the simulated mixtures. Introducing a matrix filtering step to

the pipeline improved read classification specificity to >99.96% (from 78–93% without filtering)

in both simulated food mixtures, while maintaining zero false negatives. With this level of

demonstrated accuracy, we used bioinformatic matrix filtering prior to further microbiome

analysis.

2.3   High protein powder microbiome ecology

After filtering eukaryotic matrix sequences, we applied the remaining steps in the

bioinformatic workflow (Figure 1) to examine the shift in the high protein powder (HPP)

microbiome membership and to quantify the relative abundance of microbes at the genus level.

Genus is the first informative taxonomic rank for food pathogen identification that can be

considered accurate given current incompleteness of reference databases[11,39–42] and was therefore

used in subsequent analyses. Overall, between 98 and 195 microbial genera (avg. 119) were

identified (RPM > 0.1) per HPP sample (Supplementary Table 4). When analyzing α-diversity

i.e. the number of microbes detected per sample, inter-sample comparisons may become skewed

unless a common number of reads is considered since deeper sequenced samples may contain more

observed genera merely due to a greater sampling depth.[43,44] Thus, we utilized bioinformatic

rarefaction i.e. subsampling analysis to showcase how microbial diversity was altered by

sequencing depth. Examination of α-diversity across a range of *in silico* subsampled sequencing

depths showed that the community diversity varied across samples (Figure 2A). One sample

(MFMB-04) had 1.7 times more genera (195) than the average across other samples (avg. 116,

range 98–143) and exhibited higher α-diversity than any other sample at each *in silico* sampled

sequencing depth (Figure 2A). Rarefaction analysis further demonstrated that when considering

fewer than ~67 million sequenced reads, the observable microbial population was not saturated

(median elbow calculated as indicated in Satopää, et al.[45]). This observation suggests that deeper

sequencing or more selective sequencing of the HPP microbiomes will reveal more microbial

diversity.

Notably, between 2%–4% (approximately 5,000,000–14,000,000) of reads per sample

remained unclassified as either eukaryotic matrix or microbe (Supplementary Figure S3).

However, the unclassified reads exhibited a GC (guanine plus cytosine) distribution similar to

reads classified as microbial (Supplementary Figure S4) indicating these reads may represent

microbial content that is absent or sufficiently divergent from existing references.

We calculated β-diversity to study inter-sample microbiome differences and to identify any

potential outliers among the sample collection. The Aitchison distances[46] of microbial relative

abundances were calculated between samples (as recommended for compositional microbiome

data[31,32]), and the samples were hierarchically clustered based on the resulting distances (Figure

2B). The two primary clades were mostly defined by supplier (except for MFMB-17). In Haiminen

et al.,[38] we reported that three of the HPP samples contained unexpected eukaryotic species. We

hypothesized that the presence of these contaminating matrix components (beef identifiable as *Bos*

taurus and pork identifiable as *Sus scrofa*) would alter the microbiome as compared to chicken

(identifiable as *Gallus gallus*) alone. Clustering HPP samples using their microbiome membership

led to a distinctly different group of the matrix-contaminated samples, supporting this hypothesis

(Figure 2B). These observations indicate that samples can be discriminated based on their

microbiome content for originating source and supplier, which is necessary for source tracking

potential hazards in food.

2.4   Comparative analysis of high protein powder microbiome membership and

composition

We identified 65 genera present in all HPP samples (Figure 3A), whose combined

abundance accounted for between 88-99% of the total abundances of detected genera per sample.

Bacteroides, Clostridium, Lactococcus, Aeromonas, and *Citrobacter* were the five most abundant

of these microbial genera. The identified microbial genera also included viruses, the most abundant

of which was *Gyrovirus* (< 10 RPM per sample). *Gyrovirus* represents a genus of non-enveloped

DNA viruses responsible for chicken anemia which is ubiquitous in poultry. While there were only

65 microbial genera identified in all 31 HPP samples, the α-diversity per sample was on average

two-fold greater as previously indicated.

Beyond the collection of 65 microbes observed in all samples, there were an additional 164

microbes present in various HPP samples. Together, we identified a total of 229 genera among the

31 HPP samples tested (Figure 3B and 4, Supplementary Table 4). In order to identify genera that

were most variable between samples, we computed the median absolute deviation (MAD)[47] using

the normalized relative abundance of each microbe (Figure 5A). The abundance of *Bacteroides*

was the most variable among samples (median = 148.1 RPM, MAD = 30.6) and showed increased

abundance in almost all samples from Supplier A compared to Supplier B (abundance for the 10

most variable genera shown in Figure 5B). *Clostridium* (median = 37.4 RPM, MAD = 24.2),

Lactococcus (median = 36.8 RPM, MAD = 18.2), and *Lactobacillus* (median = 24.2, MAD = 7.2)

were also highly variable and 3–4 fold more abundant in samples MFMB-04 and MFMB-20

compared to other samples (Figure 5B). *Pseudomonas* (median = 11.1 RPM, MAD = 12.2) was

markedly more abundant in MFMB-83 than any other sample (Figure 5B). These genera highlight

variability between microbiomes from a single food source and may provide insights into other

dissimilarities in these samples.

2.5. Microbiome shifts in response to changes in food matrix composition

We tested the hypothesis that the microbiome composition will shift in response to changes

in the food matrix and can be a unique signal to indicate contamination or adulteration. In 28 of

the 31 HPP samples, >99% of the matrix reads were determined in our related work[38] to originate

from poultry (*Gallus gallus*), which was the only ingredient expected based on ingredient

specifications. However, three samples had higher pork and beef content compared to all other

HPP samples: MFMB-04 (7.74% pork, 8.99% beef), MFMB-20 (0.53% pork, 1.00% beef), and

MFMB-38 (0.92% pork, 0.29% beef) compared to the highest pork (0.01%) and beef (0.00%)

content among the other 28 HPP samples (Supplementary Data by Haiminen *et al.*[38]). The

microbiomes of these matrix contaminated samples also clustered into a separate sub-cluster

(Figure 2B). This demonstrated that a shift in the food matrix composition was associated with an

observable shift in the food microbiome.

MFMB-04 and MFMB-20 had the highest percentage of microbial reads compared to other

samples (Supplementary Figure S3). They also exhibited an increase in *Lactococcus,*

Lactobacillus, and *Streptococcus* relative abundances compared to other samples (Figure 5B), also

reflected at respective higher taxonomic levels above genus (Supplementary Figure S5).

There were 53 genera identified uniquely in MFMB-04 and/or MFMB-20, but not present

in any other sample. (MFMB-38 had a very low microbial load and contributed no uniquely

identified genera above the abundance threshold.) MFMB-04 contained 44 unique genera (Figure

4) with the most abundant being *Macrococcus* (35.8 RPM), *Psychrobacter* (23.8 RPM), and

Brevibacterium (18.1 RPM). Additionally, *Paenalcaligenes* was present only in MFMB-04 and

MFMB-20 with an RPM of 6.4 and 0.3, respectively, compared to a median RPM of 0.004 among

other samples. Notable differences in the matrix-contaminated samples' unique microbial

community membership compared to other samples may provide microbial indicators associated

with unanticipated pork or beef presence.

2.6. Genus level identification of foodborne microbes

We evaluated the ability of total RNA sequencing to identify genera of commonly known

foodborne pathogens within the microbiome. We focused on fourteen pathogen-containing genera

including *Aeromonas, Bacillus, Campylobacter, Clostridium, Corynebacterium, Cronobacter,*

Escherichia, Helicobacter, Listeria, Salmonella, Shigella, Staphylococcus, Vibrio, and *Yersinia*

that were found to be present in the HPP samples with varying relative abundances. Of these

genera, *Aeromonas*, *Bacillus*, *Campylobacter*, *Clostridium*, *Corynebacterium*, *Escherichia*,

Salmonella, and *Staphylococcus* were detected in every HPP with median abundance values

between 0.58–48.31 RPM (Figure 6A). This indicated that a baseline fraction of reads can be

attributed to foodborne microbes when using NGS. Of those genera appearing in all samples, there

was observed sample-to-sample variation in their abundance with some genera exhibiting longer

tails of high abundance, e.g. *Staphylococcus* and *Salmonella*, whereas others exhibit very low

abundance barely above the threshold of detection, e.g. *Bacillus* and *Yersinia* (Figure 6A). None

of the pathogen-containing genera were consistent with higher relative abundances due to

differences in food matrix composition. *Bacillus* and *Corynebacterium* exhibited slightly higher

relative abundances in sample MFMB-04 which contained 7.7% pork and 9.0% beef (Figure 6B).

Yet while MFMB-04 contained higher cumulative levels of these foodborne microbes, the next

highest sample was MFMB-93 which was not associated with altered matrix composition, and

both MFMB-04 and MFMB-93 contained higher levels of *Staphylococcus* (Figure 6B). Thus,

matrix composition alone did not explain variations of these pathogen-containing genera.

Interestingly, low to moderate levels of *Salmonella* were detected within all 31 HPP

microbiomes (Figure 6A). The presence of *Salmonella* in HPP is expected but the viability of

Salmonella is an important indicator of safety and quality. Thus, we further sought to delineate

Salmonella growth capability within these microbiomes by comparing culturability with multiple

established bioinformatic NGS methods for *Salmonella* relative abundances in the samples.

2.7   Assessment of *Salmonella* culturability and total RNA sequencing

Total RNA sequencing of food microbiomes has the potential to provide additional

sensitivity beyond standard culture-based food safety testing to confirm or reject the presence of

potentially pathogenic microbes. In all of the examined HPP samples, some portion of the

sequenced reads were classified as belonging to pathogen-containing genera (Figure 6); however,

the presence of RNA transcripts does not necessarily indicate current growth of the organism itself.

We further inspected one pathogen of interest, *Salmonella*, to determine the congruence between

sequencing-based and culturability results. Of the 31 samples examined with total RNA

sequencing, *Salmonella* culture testing was applied to 27 samples, of which four were culture-

positive. Surprisingly, *Salmonella* culture-positive samples were not among those with the highest

relative abundance of *Salmonella* from sequencing (Figure 7A). When ranking the samples by

decreasing *Salmonella* abundance, the culture-positive samples were not enriched for higher ranks

(p=0.86 from Wilcoxon rank sum test indicating that the distributions are not significantly

different, Table 2). To confirm that the microbiome analysis pipeline did not miss *Salmonella* reads

present, we completed two orthogonal analyses on the same data set used in the microbial

identification step. The reference genomes relevant to these additional analyses were publicly

available and closed high quality genomes available from the sources indicated below.

First, for a targeted analysis, we aligned the sequenced reads using a different tool, Bowtie

2,[48] to an augmented *Salmonella*-only reference database. This reference was comprised of the 264

Salmonella genomes extracted from NCBI RefSeq Complete (used in our previous microbial

identification step) as well as an additional 1,183 public *Salmonella* genomes which represent

global diversity within the genus.[49]   The number of reads that aligned to the *Salmonella*-only

reference was on average 370-fold higher than identified as *Salmonella* by Kraken using the multi-

microbe NCBI RefSeq Complete. In this additional analysis, the culture-positive samples had

overall higher ranks compared to culture-negative samples (p=0.06, Table 2) indicating that

additional *Salmonella* genomic data in the reference significantly improved discriminatory

identification power. *Salmonella* culture-positive samples were still not the most abundant (Figure

7B), but with an enriched database, sequencing positioned all four culturable samples within the

top 10 ranking.

The second additional analysis examined alignment of the reads to a specific gene

required[50] for replication and protein production in actively dividing *Salmonella*— elongation

factor Tu (*ef-Tu*). This was done by aligning the reads to 4,846 gene sequences for *ef-Tu* extracted

for a larger corpus of *Salmonella* genomes from OMXWare.[51] The relative abundances of this

transcript in culture-positive samples were still comparable to culture-negative samples (Figure

7C). Culture-positive samples did not exhibit higher ranks compared to culture-negative samples

(p=0.56, Table 2), indicating that *ef-Tu* relative abundance alone was not sufficient to improve the

lack of concordance in culturability vs sequencing. These two orthogonal analyses demonstrated

that results from carefully developed culture-based testing and those from current high-throughput

sequencing technologies, whether assessed at overall reads aligned or specific gene abundances,

were not conclusively in agreement when detecting active *Salmonella* in food samples (Figure 7

and Table 2). However, the use of a reference database enriched in whole genome sequences of

the specific organism of interested was found appropriate for food safety applications.

Since microbes compete for available resources within an environmental niche and

therefore impact one another,[52] we investigated *Salmonella* culture results in conjunction with co-

occurrence patterns of other microbes in the total RNA sequencing data (Figure 8). Point-biserial

correlation coefficients (r_{pb}) were calculated between *Salmonella* culturability results (presence or

absence which were available for 27 of the 31 samples) and microbiome relative abundance. We

observed 31 genera that positively correlated and with *Salmonella* presence ($r_{pb} > 0.5$).

Erysipelothrix, *Lactobacillus*, *Anaerococcus*, *Brachyspira*, and *Jeotgalibaca* exhibited the largest

positive correlations. *Gyrovirus* was negatively correlated with *Salmonella* growth ($r_{pb} = -0.54$).

In three of the four *Salmonella*-positive samples (MFMB-04, MFMB-20, and MFMB-38), food

matrix contamination was also observed (Supplementary Data in Haiminen *et al*.[38]). The

concurrency of *Salmonella* growth and matrix contamination was affirmed by the microbial co-

occurrence (specifically *Erysipelothrix, Brachyspira,* and *Gyrovirus*). This highlights the complex

dynamic and community co-dependency of food microbiomes, yet shows that multiple dimensions

of the data (microbiome composition, culture-based methods, and microbial load) will signal

anomalies from typical samples when there is an issue in the supply chain.

3.   DISCUSSION:

Accurate and appropriate tests for detecting potential hazards in the food supply chain are key to

ensuring consumer safety and food quality. Monitoring and regular testing of raw ingredients can

reveal fluctuations within the supply chain that may be an indicator of an ingredient's quality or

of a potential hazard. Such quality is assessed by standardized tests for chemical and microbial

composition to meet legal requirements and specifications from government agencies throughout

the world. For raw materials or finished products to meet these bounds of safety and quality, their

composition must usually have a low microbiological load (except in fermented foods) and be

chemically identical in macro-components such as carbohydrate, protein, and fat. Methods in this

space must avoid false negative results which could endanger consumers, while also minimizing

false positives which could lead to unnecessary recalls and food loss.

Existing microbial detection technologies used in food safety today such as pulse field gel

electrophoresis (PFGE) and whole genome sequencing (WGS) require microbial isolation. This

provides biased outcomes as it removes microbes from their native environment where other biotic

members also subsist, and selects microbes by culturability alone. Amplicon sequencing, while a

low-cost alternative to metagenome or metatranscriptome sequencing for bacteria, also imparts

PCR amplification bias and reduces detection sensitivity due to reliance on a single gene (16S

ribosomal RNA).[14,53,54] We therefore investigated the utility of total RNA sequencing of food

microbiomes and demonstrated that from this single test, we are able to yield several pertinent

results about food safety and quality.

For this evaluation, we developed a pipeline to characterize the microbiome of typical food

ingredient samples and to detect potentially hazardous outliers. Special considerations for food

samples were made as computational pipelines for human or other microbiome analyses are not

sufficient for applications in food safety without modification. In food, the eukaryotic matrix needs

to be confirmed, may be mixed, and, as we and others have shown, affects the identification

accuracy of microbes that are present.[35,36] By filtering food matrix sequence data properly, we

avoid incorrect microbial identification and characterization of the microbiome[36] while also

increasing the computational efficiency for downstream processing. The addition of this filtering

step in the pipeline removed approximately 90% of false positive genera and provided results at

99.96% specificity when evaluating simulated mixtures of food matrix and microbes (Table 1).

Through the analysis of 31 high protein powder total RNA sequencing samples, we

demonstrated the pipeline's ability to characterize food microbiomes and indicate outliers. In this

sample collection, we identified a core catalog of 65 microbial genera found in all samples where

Bacteroides, *Clostridium*, and *Lactococcus* were the most abundant (Supplementary Table 4). We

also demonstrated that in these food microbiomes the overall diversity was 2-fold greater than the

core microbe set. Fluctuations in the microbiome can indicate important differences between

samples as observed here, as well as in the literature for grape berry[6] and apple fruit microbiomes

(pertaining to organic versus conventional farming)[7] or indicate inherent variability between

production batches or suppliers as observed here and during cheddar cheese manufacturing.[8]

Specifically, we observed a shift in the microbial composition (Figure 2B) and the microbial load

(Supplementary Figure S3) in high protein powder samples (derived from poultry meal) where

unexpected pork and beef were observed. Matrix-contaminated samples were marked by increased

relative abundances of specific microbes including *Lactococcus*, *Lactobacillus*, and *Streptococcus*

(Figure 5B). This work shows that the microbiome shifts with observed food matrix contamination

from sources with similar macronutrient content and thus, the microbiome alone is a likely signal

of compositional change in food.

Beyond shifts in the microbiome, we focused on a set of well-defined foodborne-pathogen

containing genera and explored their relative abundances observed from total RNA sequencing.

Of these genera, *Aeromonas*, *Bacillus*, *Campylobacter*, *Clostridium*, *Corynebacterium*,

Escherichia, *Salmonella*, and *Staphylococcus* were detected in every HPP sample. This highlights

that when using NGS there may be an observable baseline of sequences assigned to potentially

pathogenic microbes. For this ingredient type, this result lends a range of normalcy of relative

abundance generated by NGS. Further work is needed to establish a definitive and quantitative

range of typical variation in samples of a particular food source and the degree of anomaly for a

new sample or genus abundance. However, preliminary studies of this nature can inform the

development of guidelines when working with increasingly sensitive shotgun metagenomic or

metatranscriptomic analysis.

Furthermore, sequenced DNA or RNA alone does not imply microbial viability. Therefore,

we investigated the relatedness of culture-based tests and total RNA sequencing for the pathogenic

bacterium *Salmonella* in the high protein powder samples. As has been reported for human gut[55]

and deep sea[56] microbiomes, we also did not dretect a correlation between *Salmonella* read

abundance and culturability (Figure 7 and Table 2). Sequence reads matching *Salmonella*

references were observed for all samples (both culture-positive and culture-negative) as

determined by multiple analysis techniques: microbiome classification, alignment to *Salmonella*

genomes, and targeted growth gene analysis. When ranking the high protein powder samples based

on *Salmonella* abundance from whole genome alignments, the culture-positive samples were

enriched for higher ranks ($p = 0.06$). However, the culture-positive samples were still intermixed

in ranking with culture-negative samples. This indicated that there was no clear minimum

threshold of sequence data as evidence for culturability and that this analysis alone is not predictive

of pathogen growth. One possible reason for this is that the culture-positive variant of *Salmonella*

is missing from existing reference data sets. Potentially, *Salmonella* attained a nonculturable state

wherein it was detected by sequencing techniques yet remained nonculturable from the HPP

sources. Successful isolation of total RNA and DNA and gene expression analysis from

experimentally known nonculturable bacteria has been demonstrated by Ganesan *et al*. in multiple

studies in other genera.[19,22] Physiological state should thus be taken under consideration when

benchmarking sequencing technologies in comparison with culture-based methods. Thus, total

RNA sequencing of food samples may identify shifts that standard food testing does not, but the

incongruity between sequencing read data and culture-based results highlights the need to perform

more benchmarking in food microbiome analysis for pathogen detection.

The characterization of HPP food microbiomes leveraged current accepted public reference

databases, yet it is known that these databases are still inadequate.[1,2,11,57,58] Furthermore, when

considering congruence between *Salmonella* culturability and NGS read mapping techniques, the

genetic breadth and depth of multi-genome reference sequences is essential. For example, focusing

on *ef-Tu,* a known marker gene for *Salmonella* growth, was not sufficient to mirror viability of *in*

vitro culture tests. This highlights the limitations of single gene approaches for identification.

When the sequenced reads were examined in the context of an augmented reference collection of

Salmonella genomes, we observed improved ranking and read mapping rate for culture-positive

samples (yet we did not achieve complete concordance). This improvement underlined the

increased analytical robustness yielded from a multi-genome reference. We also recognize that the

read mapping rate may be exaggerated as reads from non-*Salmonella* genomes could map to

Salmonella in the absence of any other reference genomes. Overall for robust analysis and

applicability to food safety and quality, microbial references must be expanded to include more

genetically diverse representatives of pathogenic and spoilage organisms. Description of food

microbiomes will only improve as additional public sequence data is collected and leveraged.

In our sample collection, 2-4% (effectively 5 to 14 million) of reads remain unclassified. The

GC content distribution of unclassified reads matched microbial GC content distribution

(Supplementary Figure S4) suggesting that these reads may have been derived from microbes

missing from the current reference database that have not yet been isolated or sequenced. By

sequencing the microbiome, we sampled environmental niches in their native state in a culture-

independent manner and therefore collected data from diverse and potentially never-before seen

microbes. Tracking unclassified reads will also be essential for monitoring food microbiomes. The

inability to provide a name from existing references does not eliminate the possibility that the

sequence is from an unwanted microbe or indicates a hazard. In addition to tracking known

microbes, quantitative or qualitative shifts in the unclassified sequences might be used to detect

when a sample is different from its peers.

We demonstrated the potential utility of analyzing food microbiomes for food safety using raw

ingredients. This study resulted in the detection of shifts in the microbiome composition

corresponding to unexpected matrix contaminants. This signifies that the microbiome is likely an

important and effective hazard indicator in the food supply chain. While we have used total RNA

sequencing for detection of microbiome membership, the technology has future applicability for

detection of antimicrobial resistance, virulence, and biological function for multiple food sources,

and for other sample types. Notably, while this pipeline was developed for food monitoring, with

applicable modifications and identification of material-specific indicators, it can be applied to

other microbiomes including human and environmental.

4.   METHODS:

4.1 Sample Collection, Preparation, and Sequencing

High protein powder (HPP, 2.5 kg) samples were each collected from a train car in Reno, NV, USA between April 2015 and February 2016 in four batches from two suppliers and shipped to the Weimer lab at the University of California, Davis (Davis, CA). Each HPP sample was composed of five sub-samples from random locations within the train car prior to shipment. Sample preparation, total RNA extraction and integrity confirmation, cDNA construction, and library construction for these samples was previously described by Haiminen et al.[38]

Sequencing was performed by BGI@UC Davis (Sacramento, CA) using Illumina HiSeq 4000 (San Diego, CA) with 150 paired end chemistry for each sample except the following: HiSeq 3000 with 150 paired end chemistry was used for MFMB-04 and MFMB-17. All total RNA sequencing data are available via the 100K Pathogen Genome Project BioProject (PRJNA186441) at NCBI (Supplementary Table 1).

For evaluation of total RNA sequencing for microbial classification in paired processing steps, total RNA and total DNA were extracted from the same sample and denoted as MFMB-03 and MFMB-08, respectively. Total RNA was extracted and sequenced as described above. Total DNA was extracted and sequenced as described previously.[10,59–64] The Illumina HiSeq 2000 with 100 paired end chemistry was used for MFMB-03 and MFMB-08.

4.2 Sequence Data Quality Control

Illumina Universal adapters were removed and reads were trimmed using Trim Galore[65] with a minimum read length parameter 50 bp. The resulting reads were filtered using Kraken[37], as described below in Section 4.3, with a custom database built from the PhiX genome (NCBI Reference Sequence: NC_001422.1). Removal of PhiX content is suggested as it is a common

contaminant in Illumina sequencing data.[66] Trimmed non-PhiX reads were used in subsequent

matrix filtering and microbial identification steps.

4.3   Matrix Filtering Process and Validation

Kraken[37] with a *k*-mer size of 31 bp (optimal size described in the Kraken reference

publication) was used to identify and remove reads that matched a pre-determined list of 31

common food matrix and potential contaminant eukaryotic genomes (Supplementary Table 2).

These food matrix organisms were chosen based on preliminary eukaryotic read alignment

experiments of the HPP samples as well as high-volume food components in the supply chain. Due

to the large size of eukaryotic genomes in the custom Kraken[37] database, a random *k*-mer reduction

was applied to reduce the size of the database by 58% using kraken-build with option --max-db-

size, in order to fit the database in 188 GB for in-memory processing. A conservative Kraken score

threshold of 0.1 was applied to avoid filtering microbial reads. The matrix filtering database

includes low complexity and repeat regions of eukaryotic genomes to capture all possible matrix

reads. This filtering database with the score threshold was also used in the matrix filtering *in silico*

testing as described below.

Matrix filtering was validated by constructing synthetic paired end reads (150 bp) using

DWGSIM[67] with mutations from reference sequences using the following parameters: base error

rate (e) = 0.005, outer distance between the two ends of a read pair (d) = 500, rate of mutations (r)

= 0.001, fraction of indels (R) = 0.15, probability an indel is extended (X) = 0.3. Reference

sequences are detailed in Supplementary Table 3. We constructed two *in silico* mixtures of

sequencing reads by randomly sampling reads from eukaryotic reference genomes. Simulated

Food Mixture 1 was comprised of nine species with the following number of reads per genome:

2M cattle, 2M salmon, 1M goat, 1M lamb, 1M tilapia (transcriptome), 962K chicken

(transcriptome), 10K duck, 1K horse, and 1K rat totaling 7.974M matrix reads. Simulated Food

Mixture 2 contained 5M soybean, 4M rice, 3M potato, 2M corn, 200K rat, and 10K drain fly reads,

totaling 14.210M matrix reads. Both simulated food mixtures included 1,000 microbial sequence

reads generated from 15 different microbial species for a total of 15K sequence reads

(Supplementary Table 3).

4.4   Microbial Identification

Remaining reads after quality control and matrix filtering were classified using Kraken[37]

against a microbial database with a *k*-mer size of 31 bp to determine the microbial composition

within each sample. NCBI RefSeq Complete[68] genomes were obtained for bacterial, archaeal,

viral, and eukaryotic microorganisms (~7,800 genomes retrieved April 2017). Low complexity

regions of the genomes were masked using Dustmasker[69] with default parameters. A threshold of

0.05 was applied to the Kraken score in an effort to maximize the F-score of the result (as

demonstrated in Kraken's operating manual.[70] Taxa-specific sequence reads were used to calculate

a relative abundance in reads per million (RPM; Equation 1) where R_T represents the reads

classified per microbial entity (e.g. the genus *Salmonella*) and R_Q represents the number of

sequenced reads remaining after quality control (trimming and PhiX removal) for an individual

sample, including any reads classified as eukaryotic:

$$RPM = \frac{R_T}{R_Q} \times 1,000,000 \qquad Equation\ 1$$

This value provides a relative abundance of the microbial entity of interest and was used in

comparisons of taxa among samples. Genera with a conservative threshold of RPM > 0.1 were

defined as present, as previously applied by others in the contexts of human infectious disease and

gut microbiome studies.[33,34] Pearson correlation of resulting microbial genus counts was

computed.

4.5   Community Ecology Analysis

Rarefaction analysis at multiple subsampled read depths R_D was performed by multiplying

the microbial genus read counts with R_D/R_Q and rounding the results down to the nearest integer

to represent observed read counts. Here R_Q is the total number of reads in the sample after quality

control (including microbial, matrix, and unclassified reads). Resulting α-diversity at read depth

R_D was computed as the number of genera with resulting RPM > 0.1 and plotted at five million

read intervals: R_D = 5M, 10M, 15M, …, R_Q. If, due to random sampling and rounding effects, the

computed α-diversity was lower than the diversity computed at any previous depth, the previous

higher α-diversity was used for plotting. The median elbow was calculated as previously

described[45] using the R package kneed.[45]

In compositional data analysis,[31] non-zero values are required when computing β-diversity

based on Aitchison distance.[46] Therefore, reads counts assigned to each genus were pseudo-

counted by adding one in advance of computation of RPM (Eq. 1) prior to calculating the Aitchison

distance for the microbial table. β-diversity was calculated using the R package robCompositions[71]

and hierarchical clustering was performed using base R function hclust using the "ward.D2"

method as recommended for compositional data analysis.[31]

4.6   Unclassified Read Analysis

The GC percent distributions of matrix (from matrix filtering), microbial, and remaining

unclassified reads per sample were computed using FastQC[72] and collated across samples with

MultiQC.[73]

4.7   Analysis of *Salmonella* Culturability

Growth of *Salmonella* was determined using a real-time quantitative PCR method for the

confirmation of *Salmonella* isolates for presumptive generic identification of foodborne

Salmonella. Testing was performed fully in concordance with the Bacteriological Analytical

Manual (BAM) for *Salmonella*[74,75] for this approach that is also AOAC-approved. All samples

with positive results for *Salmonella* were classified as containing actively growing *Salmonella*. To

compare culture results with those from total RNA sequencing, *Salmonella* RPM values were

parsed from the genus-level microbe table (described in Section 4.4).

Two additional approaches were employed to examine *Salmonella* read mapping with a

more sensitive tool and broader reference databases. Quality controlled matrix-filtered reads were

aligned using Bowtie2[48] with very-sensitive-local-mode to 1. an expanded collection of whole

Salmonella genomes and 2. to a curated growth gene reference for elongation factor Tu (*ef-Tu*).

For results from both complete genome and *ef-Tu* gene alignments, the relative abundance (RPM)

was computed as shown in Equation 1.

For whole genome alignments, a reference was constructed from 1,183 recently published

Salmonella genomes[49] in addition to the 264 *Salmonella* genomes extracted from the

aforementioned NCBI RefSeq Complete collection (see Methods Section 4.4).

To construct a curated growth gene (*ef-Tu*) reference, gene sequences annotated in

Salmonella genomes as "elongation factor Tu", "EF-Tu" or "eftu" (case insensitive) were retrieved

from the IBM Functional Genomics Platform[51] (formerly known as OMXWare) using its Python

package. This query yielded 4,846 unique gene sequences from a total of 36,242 *Salmonella*

genomes which were assembled or retrieved from the NCBI Sequence Read Archive or RefSeq

Complete Sequences as previously described.[51] The retrieved *ef-Tu* gene sequences were

subsequently used to build a custom Bowtie2[48] reference. Read alignment was completed with

very-sensitive-local-mode.

The read counts for each sample were ranked and Wilcoxon rank sum test was computed

between the rank vectors of 4 *Salmonella*-positive and 23 *Salmonella*-negative samples. The 4

samples with unknown *Salmonella* status were excluded from the rankings.

Point-biserial correlation coefficients (r_{pb}) were calculated between *Salmonella* growth

indicated by culture results (+1 and -1 for presence and absence, respectively) and observed

relative abundance from total RNA sequencing results using the R package ltm.[76] The point-

biserial correlation is a special case of the Pearson correlation that is better suited for a binary

variable e.g. when *Salmonella* is reported as present or absent (a sample's *Salmonella* status).

Data Availability:

All high protein powder (HPP) poultry meal sequences are available through the 100K

Pathogen Genome Project (PRJNA186441) in the NCBI BioProject (see Supplementary Table 1

for a complete list of accession numbers).

Code Availability:

The pipeline and microbial or matrix references were constructed from publicly available

tools and reference sequences as described in the Methods section. Automated usability of this

pipeline is available through membership in the Consortium for Sequencing the Food Supply

Chain.

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Contributions:

KLB and NH conceived of the experimental design, developed the approach, completed and oversaw the experiments, performed analyses, and wrote the paper; DC, SE, MK, BK, MD, RP, HK, ES developed the approach, analyzed data, and revised the manuscript; BCH completed nucleic acid extraction method development and sequencing library construction, and contributed to data analysis and writing; NK coordinated sample collection and processing, nucleic acid extraction and contributed to writing; RB and PM conceived of the experimental design, developed the approach, and reviewed the paper; BG contributed to the experimental design, developed the approach, and wrote the paper; GD, CHM, SP, AQ participated to the conception of the experimental design and to the review of the manuscript; LP conceived of the experiment, contributed to the data analysis, and wrote the paper; JHK conceived of the experiment, developed the approach, and wrote the paper; BCW conceived of the experimental design, developed the approach, oversaw the experiments, performed analyses, and wrote the paper

Competing Interests:

The authors were employed by private or academic organizations as described in the author

affiliations at the time this work was completed. IBM Corporation, Mars Incorporated, and Bio-

Rad Laboratories are members of the Consortium for Sequencing the Food Supply Chain. The

authors declare no other competing interests

Supplementary information is available at npj Science of Food's website

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FIGURE and TABLE LEGENDS: (corresponding to their order at end of merged document)

Figure 1: Pipeline description of bioinformatic steps applied to high protein powder

metatranscriptome samples. Black arrows indicate data flow and blue boxes describe outputs

from the pipeline.

Table 1: Accuracy of microbial identification using *in silico* constructed Simulated Food

Mixtures with expected food matrix and microbial sequences.

Figure 2A: Alpha diversity (number of genera) for all (n = 31) high protein powder

metatranscriptomes is compared to total number of sequenced reads for a range of *in silico*

subsampled sequencing depths. The dashed vertical line indicates the median elbow (at approx.

67 million reads).

Figure 2B: Hierarchical clustering of Aitchison distance values of poultry meal samples based

on microbial composition. Samples were received from Supplier A (blue and red) and Supplier B

(green). Matrix-contaminated samples are additionally marked in red.

Figure 3A: Phylogram of the 65 microbial genera present in all samples with RPM > 0.1

Figure 3B: Phylogram of all microbes observed in *any* sample. Log of the median RPM value

across samples is indicated. Grey indicating a median RPM value of 0.

Figure 4: Heatmap ($\log_{10}$-scale) of high protein powder microbial composition and relative

abundance (RPM) where absence (RPM < 0.1) is indicated in grey. Genera are ordered by

summed abundance across samples. Samples were received from Supplier A (blue) and Supplier

B (green). Red stars indicate matrix-contaminated samples (from Supplier A).

Figure 5A: All identified microbial general are plotted with median value and median absolute

deviation (MAD) of RPM abundance. Genera with MAD > 5 are labeled with the genus name.

Figure 5B: Heatmap ($\log_{10}$-scale) of ten microbial genera with the largest median absolute

deviation (MAD) across samples. Genera are ordered by decreasing MAD from top to bottom.

Samples were received from Supplier A (blue) and Supplier B (green). Red stars indicate matrix

contaminated samples (from Supplier A).

Figure 6A: Relative abundance of microbes with high relevance to food safety and quality from

high protein powder total RNA sequenced microbiomes. Width of violin plot indicates density of

samples with relative abundance at that value. Observation threshold of RPM = 0.1 is indicated

with the horizontal black line.

Figure 6B: Foodborne microbe relative abundances are shown across samples of high protein

powder total RNA sequenced samples.

Figure 7: *Salmonella* culturability status and high-throughput sequencing read abundance

(RPM) from *k*-mer classification to NCBI Microbial RefSeq Complete **(A)**, from alignments to

1,447 *Salmonella* genomes **(B)**, and from alignments to 4,846 EF-Tu gene sequences **(C)**.

Salmonella presence (red) indicates culture-positive result, absence (green) indicates culture-

negative result, and no record (black) indicates samples for which no culture test was completed.

Table 2: The ranks for *Salmonella*-positive samples and the associated p-values from Wilcoxon

rank sum test are shown for high-throughput sequencing read abundance (RPM) for multiple

analyses: *k*-mer classification to NCBI Microbial RefSeq Complete (left), alignments to 1,447

Salmonella genomes (middle), and alignments to 4,846 *ef-Tu* gene sequences (right). The

corresponding *Salmonella* relative abundances are shown in Figure 7A–C.

Figure 8: *Salmonella* status correlations with genus relative abundances. Only those genera with

absolute value of the correlation coefficient > 0.5 are shown. Positive and negative correlations

are indicated in grey and blue, respectively.

SUPPLEMENTAL INFORMATION:

Supplemental Figures (pdf): Supplemental Figures S1–S5

Supplemental Table 1 (.xlsx) - Sample Descriptions

Supplemental Table 2 (.xlsx) - Matrix Filtering Genomes

Supplemental Table 3 (.xlsx) - Simulated Food Mixtures

Supplemental Table 4 (.xlsx) - Microbial Genera

Table 1: Microbial Identification Accuracy from Simulated Food Microbiome Mixtures

	Simulated Mixture 1				Simulated Mixture 2			
	With Matrix Filtering		No Matrix Filtering		With Matrix Filtering		No Matrix Filtering	
	# GENERA	GENUS READS	# GENERA	GENUS READS	# GENERA	GENUS READS	# GENERA	GENUS READS
Bacteria in Simulated Mixture (Expected Content)	**14**	**15,000**	**14**	**15,000**	**14**	**15,000**	**14**	**15,000**
Observed Microbial Content								
Bacteria	18	13,517	34	13,700	15	13,551	33	13,999
Viruses	0	0	9	563	0	0	4	328
Archaea	0	0	1	1	0	0	1	3
Eukaryota	0	0	4	104	0	0	4	799
Total Observed	**18**	**13,517**	**48**	**14,368**	**15**	**13,551**	**42**	**15,129**
True Positives (as a % of total observed)	14 (78%)	13,511 (99.96%)	14 (29%)	13,571 (94.45%)	14 (93%)	13,548 (99.98%)	14 (33%)	13,623 (90.05%)
False Positives (as a % of total observed)	4 (22%)	6 (0.04%)	34 (71%)	797 (5.55%)	1 (7%)	3 (0.02%)	28 (67%)	1,506 (9.95%)
False Positives Removed with Matrix Filtering (as a % of false positives without filtering)	**30 (88.2%)**	**791 (99.2%)**			**27 (96.4%)**	**1,503 (99.8%)**		

Beta diversity clustering by sample (Aitchison distance)

Phylogram of Consensus Microbial Genera by Abundance

Phylogram of All Microbial Genera by Abundance

Median and MAD of microbial genera (RPM > 0.1)

High priority food safety genera microbial composition

A. Salmonella Content from Metatranscriptome Classifications By Sample
B. Salmonella Alignments to Complete Genomes By Sample
C. Salmonella Alignments to ef-Tu By Sample

Salmonella-positive sample	k-mer Classification	Whole Genome Alignment	ef-Tu Alignment
MFMB-04	8th	10th	1st
MFMB-20	9th	9th	4th
MFMB-38	20th	3rd	21st
MFMB-41	30th	6th	28th
Rank sum test p-value	p=0.86	p=0.06	p=0.56

Bi-Serial Correlation (Supported Microbes & |Corr.| > 0.5)