1 Development of thalamus mediates paternal age effect

2 on offspring reading: A preliminary investigation

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Abstract

The importance of (inherited) genetic impact in reading development is well-

established. *De novo* mutation is another important contributor that is recently

gathering interest as a major liability of neurodevelopmental disorders, but has

been neglected in reading research to date. Paternal age at childbirth (PatAGE) is

known as the most prominent risk factor for *de novo* mutation, which has been

repeatedly shown by molecular genetic studies. As one of the first efforts, we

performed a preliminary investigation of the relationship between PatAGE,

offspring's reading, and brain structure in a longitudinal neuroimaging study

following 51 children from kindergarten through third grade. The results showed

that greater PatAGE was associated significantly with worse reading, explaining an

additional 9.5% of the variations after controlling for a number of confounds—

including familial factors and cognitive-linguistic reading precursors. Moreover, this

effect was mediated by volumetric maturation of the left posterior thalamus from

ages 5 to 8. Complementary analyses indicated the PatAGE-related thalamic region

was most likely located in the pulvinar nuclei and related to the dorsal attention

network by using brain atlases, public datasets, and offspring's diffusion imaging

data. Altogether, these findings provide novel insights into neurocognitive

mechanisms underlying the PatAGE effect on reading acquisition during its earliest

phase and suggest promising areas of future research.

Keywords

Paternal age, dorsal attention network, longitudinal design, pulvinar nuclei,

reading, dyslexia

Highlights

• Paternal age at childbirth (PatAGE) is negatively correlated with offspring's

reading abilities.

• PatAGE is related to volumetric maturation of the left posterior thalamus.

• Thalamic development mediates the PatAGE effect on reading.

• The PatAGE-related thalamic area is more likely to connect with the dorsal

attention network.

Abbreviations

ARHQ, Adult Reading History Questionnaire; CI, confidence interval; DAN, dorsal

attention network; DNA, deoxyribonucleic acid; FDR, false discovery rate; FWE,

family wise error; MatAGE, maternal age at childbirth; MNI, Montreal Neurological

Institute; MRI, magnetic resonance imaging; PA, phonological awareness; PatAGE,

paternal age at childbirth; pIQ, performance intelligence quotient; RAN, rapid

automatized naming; RD, reading disorder; READ, reading composite score; ROI,

region-of-interest; RSFC, resting-state functional connectivity; SES, socioeconomic

status; $t1$, time-point 1; $t2$, time-point 2; TIV, total intracranial volume; V5/MT,

middle temporal visual area; VAN, ventral attention network; VBM, voxel-based

morphometry

Introduction

There has been a global trend of postponed childbearing, especially in

developed countries (Kohler, Billari, & Ortega, 2002). This so-called "postponement

transition" is primarily owing to changing patterns of education, employment, and

marriage (Khandwala, Zhang, Lu, & Eisenberg, 2017; Sobotka, 2010). Although the

research field is still in its infancy, increasing evidence reveals that advanced

paternal age at childbirth (PatAGE) increases risks for a wide range of

neuropsychiatric conditions, such as schizophrenia and autism spectrum disorders

(D'Onofrio et al., 2014).

In contrast to mental health, few studies investigated the effects of PatAGE

on offspring's cognition, such as reading, which is essential to success in modern

society. The pioneering study in 1978 reported a negatively skewed distribution of

PatAGE in 48 boys with reading disorders (RD; a.k.a. and referred here to dyslexia)

(Jayasekara & Street, 1978). Four decades later, the topic remains

underinvestigated and the existing findings are controversial. In a broader sample

of 7-year-old children, Saha and colleagues revealed a significantly negative effect of

PatAGE on offspring's reading after controlling maternal age at childbirth

(MatAGE), gestational age, sex, and race (Saha et al., 2009). However, when

parental education and number of siblings were added to the statistical model, the

effect of PatAGE on reading was no longer significant (Edwards & Roff, 2010). Such

inconsistency underlies the importance of more research that controls for possible

confounds examining the PatAGE effect on reading.

In addition to the controversy over the PatAGE effect on reading, no studies

have yet examined the underlying mechanisms. Nascent research in molecular

genetics, however, show that PatAGE explains nearly all the variance in the

amount of *de novo* mutation, which is an alteration in a gene as the result of a

mutation in a germ cell (egg or sperm) that increases by cell divisions of the

gametes (approximately 38-fold in males at the age of 50 compared to females)

(Breuss et al., 2019; Jónsson et al., 2017; Kong et al., 2012). Hence, *de novo*

mutation is the most likely molecular mechanism underlying the PatAGE effect. In

a separate line of research, *de novo* mutation is known to increase risk by up to 20-

fold in neurodevelopmental disorders (De Rubeis et al., 2014; Deciphering

Developmental Disorders Study, 2017). Taken together, it is conceivable that *de*

novo mutations are at least partially responsible for the negative effect of PatAGE

on offspring's mental health, offering a plausible explanation of the PatAGE effect

on children's reading abilities.

At the neurocognitive level, whether and how cognitive-linguistic factors

mediate the PatAGE effect on reading development is unknown. Studies to date

have focused on genetic and environmental factors that contribute to the

multifactorial liability of dyslexia (Pennington, 2006; Petrill, Deater-Deckard,

Thompson, DeThorne, & Schatschneider, 2006). One such example, phonological

processing, is thought to exert its effect more dominantly through inherited genetic

impact, often estimated by family reading history (van Bergen, Bishop, van Zuijen,

& de Jong, 2015). Under the same framework, whether PatAGE serves as a

contributor to the multifactorial liability, and if so, what the neural and cognitive

mediators (that would likely be heritable but not inherited traits if caused by *de*

novo mutation) are, have not been examined. Brain measures derived from

neuroimaging techniques, including magnetic resonance imaging (MRI), are

particularly informative as they have been suggested as mediators between genetic

etiology and behavioral outcome, acting as endophenotypes (Grasby et al., 2020;

Shaw et al., 2012). Further, longitudinal investigation, combined with cross-

sectional analysis, can provide comprehensive insights into the neural basis

underlying typical reading acquisition and its impairment (Clark et al., 2014;

Yeatman, Dougherty, Ben-Shachar, & Wandell, 2012).

Therefore, we conducted a preliminary study examining behavioral and

multimodal neuroimaging data cross-sectionally and longitudinally in a cohort of 51

children followed from kindergarten through third grade in conjunction with

analyses of publicly available datasets. The objective of the study was threefold: (1)

to examine the relationship between PatAGE and offspring's reading while

systematically controlling for potential contributing/confounding factors; (2) to

examine the role of previously known cognitive-linguistic precursors,

neuroanatomy, and its maturational process in relation to PatAGE and reading;

and (3) to understand the functional role of the neuroanatomical findings in this

study by identifying convergent evidence through the use of brain atlases, public

datasets, and offspring's diffusion imaging data.

Materials and Methods

Participants

Participants in this study were drawn from a longitudinal NIH-funded

project (K23HD054720) focusing on children's reading development and followed

from kindergarten (time-point 1 [$t1$], mean age = 5.58 years, SD = 0.43) to third

grade (time-point 2 [$t2$], mean age = 8.30 years, SD = 0.46). All children were

healthy native English speakers without neurological/psychiatric disorders (e.g.,

attention deficit/hyperactivity disorder) or contraindications to MRI based on

parental reports. Among the participating children, 76% were White, 6% were

Asian, and 18% were of multiradical heritage. About 8% of the children identified as

Hispanic or Latino. Based on the annual household income, parental educational

levels, and occupation, the participants in this study were of relatively high

socioeconomic status (also see Black et al., 2012). The initial sample consisted of 51

children recruited from local newspapers, school mailings, flyers, and mothers'

clubs. In the behavior analyses, eight children were excluded because of attrition (n

= 5), no record of PatAGE (n = 1), or being siblings (n = 2). In the latter case, the

child with poorer T1 image quality was excluded. The final sample for behavioral

analyses included 43 unrelated children (17 girls). In the neuroanatomical analysis,

another 7 children (2 girls) were excluded because of incomplete T1 data collection

or poor image quality at either time-point. In the diffusion imaging analysis, a sub-

group of 23 children (8 girls) with the same acquisition sequence was included. The

differences in either familial or behavioral measures between the entire and sub-

groups were non-significant (all p's > 0.1). The Institutional Review Boards of

Stanford University where data were collected and the principal investigator was at

the time of the study, and the University of California San Francisco where data

were analyzed due to transition of the principal investigator, approved the present

study. Both informed assent and consent were obtained from children and their

guardians.

Behavioral measurements

Demographics, family information, and performance on behavioral tests of

the participants are summarized in Table 1. Family information collected at t1

includes: PatAGE; MatAGE; Adult Reading History Questionnaire from both

parents (PatARHQ, MatARHQ) that were used to estimate familial history of

reading difficulty (Lefly & Pennington, 2000); numbers of older and younger

siblings; parental education (PatEDU, MatEDU); socioeconomic status (SES), a

composite index computed from annual family income, parental education, and

occupation with principal component analysis (Noble, Wolmetz, Ochs, Farah, &

McCandliss, 2006); Home Observation Measurement of the Environment (HOME),

an index for home environment including home literacy environment (Segers,

Damhuis, de Sande, & Verhoeven, 2016). A battery of behavioral tests measuring

intelligence, language, and reading-related skills was administrated. Verbal

Comprehension, Concept Formation, and Visual Matching sub-tests of the

Woodcock-Johnson III Tests of Cognitive Abilities (McGrew & Schrank, 2007) were

used to estimate general cognitive abilities. These tests have reliabilities of at least

0.80 and have been used as a proxy for intelligence quotient (IQ) (Shaw, 2010).

Vocabulary was measured with Peabody Picture Vocabulary Test (4th edition)

(Dunn & Dunn, 2007). Blending, Elision, Memory for Digit, Nonword Repetition

sub-tests from the Comprehensive Test of Phonological Processing (CTOPP 1st

Edition) (Wagner, Torgesen, & Rashotte, 1999) were used to measure phonological

skills. Rapid Automatized Naming (RAN; Objects and Colors sub-tests) (Wolf &

Denkla, 2005) and Letter Identification sub-test of Woodcock Reading Mastery Test

R/NU (WRMT-R/NU) (Mather, 1998) were also administered.

The same set of tests were used at *t*2 (Table 1). Numbers and Letters sub-

tests of RAN were further included to measure print-sound mapping efficiency.

Additionally, we administered tests measuring different aspects of reading,

including Sight Word Efficiency and Phonemic Decoding Efficiency sub-tests from

the Test of Word Reading Efficiency (TOWRE 1st Edition) (Torgesen, Wagner, &

Rashotte, 1999), Word Identification, Word Attack, and Passage Comprehension

sub-tests from WRMT-R/NU, and Reading Fluency and Spelling sub-tests from WJ-

III Tests of Achievement.

Image acquisition

High-resolution T1-weighted images were collected at both time-points with

the following parameters: 128 slices; thickness = 1.2 mm; NEX = 1; repetition time =

8.5 ms; echo time = 3.4 ms; inversion time = 400 ms; in-plane resolution = 256 ×

256; voxel size = $0.9 \times 0.9 \times 1.2$ mm^3; flip angle = 15 °; field of view = 22 cm. High-

angular resolution diffusion-imaging data were collected at $t2$ with the following

parameters: 46 axial slices; slice thickness = 3 mm; repetition time = 5000 ms; echo

time = 81.7 ms; in-plane resolution = 128 × 128; voxel size = $2.0 \times 2.0 \times 3.0$ mm^3;

150 directions with b = 2500 s/mm^2; 6 volumes with b = 0 s/mm^2. All images were

acquired using a GE Healthcare 3.0 T 750 scanner with eight-channel phased-array

head coil at Richard M. Lucas Center for Imaging at Stanford University. The

quality of images was qualitatively evaluated by an investigator who was blinded to

the behavioral and demographic information prior to any analyses.

Behavior analyses

To reduce the dimensionality of behavioral metrics, factor analyses were

conducted on reading-related tests for each time-point; $t1$: Blending, Elision,

Memory for Digits, Nonword Repetition sub-tests of CTOPP, Objects and Colors

sub-tests of RAN, Letter Identification sub-test of WRMT; $t2$: Blending, Elision,

Memory for Digits, Nonword Repetition sub-tests of CTOPP, Numbers, Letters,

Objects and Colors sub-tests of RAN, Sight Word Efficiency and Phonemic Decoding

Efficiency sub-tests of TOWRE, Word Identification, Word Attack, Passage

Comprehension sub-tests of WRMT-R/NU, Reading Fluency and Spelling sub-tests

of WJ-III Tests of Achievement. The Maximum Likelihood, Varimax, and Bartlett

methods were used for extraction, rotation, and factor score calculation. The

criterion of eigenvalue greater than 1 was used to identify factors. From t1

behavioral metrics, we obtained two factors, explaining 53.8% of the total variance.

Since phonological awareness (PA) and RAN loaded heavily on each factor, we

named these two factors t1PA and t1RAN (Fig. 1A). Given that PA and RAN are the

most reliable predictors for reading development in alphabetic languages

(Caravolas et al., 2012), we used these two composite scores as cognitive-linguistic

precursors of reading in subsequent analyses. Using the same approach, we

extracted three factors from t2 behavioral metrics, explaining 67.2% of the total

variance. The scores were labeled as t2READ, t2PA, and t2RAN according to the

corresponding factor loading (Fig. 1B).

Since a consensus on the definition of advanced paternal age remained

lacking (Couture, Delisle, Mercier, & Pennings, 2020), in this study we treated

PatAGE as a continuous variable rather than separating children into different

PatAGE groups. To examine the relationship between PatAGE and reading, we first

calculated the zero-order correlation between them. Once the correlation was

significant, hierarchical linear regressions were conducted to answer four questions

in the following order: (1) whether the PatAGE effect on reading remains significant

after controlling for demographic variables and general intelligence; (2) whether the

PatAGE effect on reading exists after additionally regressing out MatAGE, which is

known to correlate highly with PatAGE and is a possible confound; (3) whether the

PatAGE effect on reading is present above and beyond familial risk (representing

inherited risk; Swagerman et al., 2017), and environmental factors to address the

issue of multifactorial liability; (4) whether the PatAGE effect on reading is

explained by $t1$ cognitive-linguistic precursors of reading to examine whether the

most common predictors were the mediating factor.

Specifically, in the first model we entered $t2$ age, sex, handedness, and

average performance IQ (pIQ) across $t1$ and $t2$ in the first step and PatAGE in the

second step (Table 2). In the second model, besides the aforementioned nuisance

variables, we regressed out MatAGE, which was found correlated with both

PatAGE and $t2$READ. In the third model, familial risk measured by ARHQ of both

parents (van Bergen et al., 2015), and environmental factors including educational

level of both parents (Edwards & Roff, 2010), number of older and younger siblings

(Price, 2008), SES (Pan et al., 2016), HOME (Segers et al., 2016), which are known

to be associated with reading were additionally controlled. In the final model, $t1$PA

and $t1$RAN were entered in the fourth step, just before PatAGE, to examine

whether the PatAGE effect was present beyond $t1$ cognitive-linguistic skills. Given

that $t1$RAN and $t1$PA did not correlate with PatAGE (both r's < 0.01; Table S1),

these two cognitive-linguistic precursors were not examined further for the

mediating relationship. All statistics were done with SPSS 24.0 (IBM, Inc.), and p-

values were two-tailed while statistical significance was set at 0.05.

Structural image preprocessing

Both cross-sectional and longitudinal analyses were conducted by using the

voxel-based morphometry toolbox (v435; http://www.neuro.uni-jena.de/vbm/) with

SPM8 (v4667; http://www.fil.ion.ucl.ac.uk/spm8/) implemented in Matlab R2014a.

In the cross-sectional data preprocessing for $t1$ and $t2$, individual T1 volumes were

segmented into gray matter, white matter, and cerebrospinal fluid with a

resampling at 1.5 mm^3. Then, the gray matter segments were registered to a T1

template in Montreal Neurological Institute (MNI) space by using both affine

normalization and Diffeomorphic Anatomical Registration Through Exponentiated

Lie Algebra (Ashburner, 2007), and subsequently modulated by the "affine and non-

linear" modulation (http://www.neuro.uni-jena.de/vbm/segmentation/modulation/).

The modulated images containing regional tissue volume of gray matter for each

voxel were smoothed with an 8-mm full-width half-maximum isotropic Gaussian

kernel. Voxels with gray matter values < 0.1 were excluded to avoid edge effects.

In the longitudinal data preprocessing, the "Preprocessing of Longitudinal

Data" module that contains specific preprocessing steps for processing longitudinal

structural MRI data was used. Intra-subject realignment, bias correction,

segmentation, and normalization were conducted sequentially as described

elsewhere (Ridgway et al., 2007). After applying spatial smoothing with an 8-mm

full-width half-maximum Gaussian kernel, we obtained maps of gray matter volume

for both time-points. We then generated a brain map reflecting gray matter volume

(GMV) change from $t1$ to $t2$ for each child (such that a positive value indicates

enlarging from $t1$ to $t2$).

Whole-brain analyses

Prior to voxel-wise analyses, we examined relationships between PatAGE

and global measurement (i.e., the total intracranial volume; defined as the sum of

total gray matter, white matter, and cerebrospinal fluid) at each time-point (t1TIV

and t2TIV). Then, we examined whether PatAGE correlated with the change of TIV

from t1 to t2 (ΔTIV) while controlling for the baseline measure (t1TIV). To explore

relationships between regional GMV at each time-point (i.e., cross-sectional

analyses), as well as the change of regional GMV (ΔGMV) across time-points

(t2GMV-t1GMV) with PatAGE (i.e., longitudinal analysis), voxel-wise whole-brain

regressions were conducted while controlling for global measurements. Specifically,

t1TIV or t2TIV was controlled in cross-sectional analyses for t1 and t2, respectively.

In the longitudinal analysis, t1TIV and ΔTIV were controlled to exclude the effects

from initial gross volume and its development. Since correlations between t1TIV,

ΔTIV, and PatAGE were not significant (all p's > 0.1), the models were free from

multicollinearity. Topological Family Wise Error (FWE) correction was used to

determine the corrected thresholds of statistical significance. All clusters significant

at a threshold of p-cluster < 0.05 corrected for the whole brain (p-voxel < 0.001 for

height) were reported in MNI space. Region-of-interest (ROI) analyses were

conducted in the significant clusters to examine the robustness and specificity of the

effect. For this, values of each voxel in the cluster were extracted and averaged,

then included in hierarchical multiple regression analyses as the dependent

variable. Demographic variables (t1 or t2 age, sex, handedness, average pIQ across

$t1$ and $t2$ for cross-sectional data; $t1$ age, time interval between $t1$ and $t2$, sex,

handedness, average pIQ across $t1$ and $t2$ for longitudinal data) and global

measurements ($t1$TIV or $t2$TIV for cross-sectional data; $t1$TIV and ΔTIV for

longitudinal data) were entered in the first step, while PatAGE was entered in the

second step. Then, we further controlled for MatAGE and MatARHQ since they

showed significant correlations with PatAGE as in previous analyses of this study.

Mediation analyses

326   One of the main objectives of this study was to investigate possible

neurocognitive mediators of the PatAGE effect on reading. At the brain level, two

analytical strategies were used. For the primary approach, we conducted whole-

brain analyses on $t2$READ cross-sectionally and longitudinally in the same way as

we did for PatAGE. Next, we administered conjunction analysis to identify

overlapping areas that showed significant associations with both PatAGE and

$t2$READ, following which the mediation relationship was examined. Alternatively,

we took an ROI approach if no significant cluster survived multiple correction at the

whole-brain level on $t2$READ. Specifically, we examined the relationship between

ΔGMV and children's $t2$READ in the cluster significantly associated with PatAGE

(hereafter PatAGE-cluster). The partial correlation coefficient was calculated while

controlling for demographic variables ($t2$ age, sex, handedness, average pIQ across

$t1$ and $t2$), global measurements ($t1$TIV and ΔTIV), and cognitive-linguistic

precursors ($t1$PA and $t1$RAN) that were significantly associated with $t2$READ in

previous regression analysis of this study. Once a region was identified in either

whole-brain or ROI analysis, we examined whether the PatAGE effect on reading

was mediated by brain measures. The model was adjusted for demographic

variables ($t2$ age, sex, handedness, average pIQ across $t1$ and $t2$), global

measurements ($t1$TIV, ΔTIV), and $t1$ cognitive-linguistic precursors ($t1$RAN and

$t1$PA). Bootstrapping (10,000 samples) was used to obtain 95% confidence interval

of the indirect effect. If the confidence interval does not contain zero, a significant

indirect effect is indicated.

Existing evidence suggests that dyslexia is largely genetically transmitted

from parents (often assayed by parent-report of reading difficulty) (Soden et al.,

2015; Swagerman et al., 2017). Further, twin studies find a dissociation between

sources of variance in phonological and orthographic processes, with variance in

phonological skills being primarily genetic compared to orthographic skills (Olson,

Wise, Conners, Rack, & Fulker, 1989; Olson et al., 2011). These findings are

consistent with the idea that PA mediates the negative effect of parental reading

difficulty (a proxy for inherited genetic transmission) on reading in offspring (van

Bergen et al., 2015). In line with the previous literature, we observed significant

correlations between MatARHQ and $t1$PA, MatARHQ and $t2$READ, $t1$PA and

$t2$READ. We therefore examined the role of PA on the relationship between the

history of maternal reading difficulty and lower reading performance in offspring.

Demographic variables (age at $t2$, sex, handedness, average pIQ across $t1$ and $t2$)

and the other cognitive-linguistic precursor ($t1$RAN) were controlled statistically. If

both a PatAGE effect (a proxy for non-inherited genetic risk) and MatARHQ effect

via PA processing (a proxy for inherited genetic risk) are to be observed in the

current samples, this study then supports the multifactorial liability model.

PROCESS procedure (release 2.16.1) implemented in SPSS was used to

conduct these mediation analyses (Hayes, 2013).

Complementary analyses

We adopted multiple complementary analytical approaches to depict fine-

grained spatial localization and connectivity patterns of the PatAGE-cluster,

capitalizing on the fact that these have been shown to inform possible functional

roles of a particular brain region (in this case, the left posterior thalamus) in the

absence of a comprehensive set of cognitive measures. First, we spatially localized

the PatAGE-cluster with two brain atlases. (1) Given that the thalamus consists of

multiple nuclei with different functions, we calculated the percentage of overlapped

voxels between the PatAGE-cluster and each thalamic nucleus from the Morel

Atlas, a histological atlas that is optimal for thalamic targets in MNI space (Jakab,

Blanc, Berényi, & Székely, 2012; Krauth et al., 2010); (2) Given that the

connectivity pattern provides information about the function of a given brain region

(Barron, Eickhoff, Clos, & Fox, 2015), we used Oxford Thalamic Connectivity

Probability Atlas (https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases) with the atlasquery

tool implemented in FSL to obtain the probability that the PatAGE-cluster is

structurally connected to different cortical areas.

To further identify the possible functional roles of the PatAGE-cluster and

complement the results from analyses using the histological and connectivity

probability atlases, we examined PatAGE-cluster-associated cortical patterns by

using online databases provided in Neurosynth v0.5 (Yarkoni, Poldrack, Nichols,

Van Essen, & Wager, 2011). In particular, we generated a co-activation map by

including all fMRI studies in the database (N > 10,900), with the PatAGE-cluster as

ROI. False Discovery Rate (FDR) corrected $q < 0.01$ was used as the threshold to

obtain significant regions reported in fMRI studies when the PatAGE-cluster is also

reported (i.e., forward inference). In addition, we generated a map of whole-brain

resting-state functional connectivity (RSFC) by using the 1000 Functional

Connectome dataset (Biswal et al., 2010). The center of gravity of the PatAGE-

cluster (MNI: x = -19.6, y = -28.1, z = 6.9) was used as the seed, and its connectivity

to the rest of the brain was calculated. The resultant brain map was thresholded

with a liberal cutoff value of $r = 0.01$, the same as in the previous literature (Yang,

Rosenblau, Keifer, & Pelphrey, 2015). To be conservative, we only considered the

overlapping regions between the co-activation map and the RSFC map as the

cortical pattern of the PatAGE-cluster. Sørensen-Dice coefficients between the

conjunction map and the 7 large-scale intrinsic connectivity networks (i.e., visual,

somatomotor, dorsal attention, ventral attention, limbic, frontoparietal, and default

networks) from Yeo et al. (2011) were calculated to examine which functional

network most overlapped with the PatAGE-cluster-associated cortical pattern. Here

we used an adult network template because studies in Neurosynth that were used

to produce the co-activation map were conducted in participants with a wide range

of ages and the 1000 Functional Connectome dataset mainly consists of adult data.

407   Given that the functional community structure in children is to some extent

different from that in adults and the highest uncertainty was found in attention

networks (Tooley, Bassett, & Mackey, 2021; Vijayakumar et al., 2021), in the final

step, we examined the structural connectivity pattern in a sub-group of our own

samples where diffusion imaging data were available. Moreover, we adopted a

pediatric intrinsic functional network template that was extracted from 670

children aged 9-11 years (Tooley et al., 2021) with the same approach as in Yeo et

al. (2011). Specifically, we analyzed white matter connectivity, where fibers passing

the PatAGE-cluster were reconstructed using deterministic tractography. Diffusion-

weighted imaging preprocessing was performed by using ExploreDTI (Leemans,

Jeurissen, Sijbers, & Jones, 2009). Next, to visually inspect for possible artefacts,

rigorous motion correction with CATNAP and eddy current correction were

conducted by using the required reorientation of the b-matrix (Leemans & Jones,

2009). The diffusion tensors were calculated using a non-linear regression procedure

(Pierpaoli & Basser, 1996). The individual datasets were non-rigidly normalized to

MNI space. Next, whole-brain tractography was performed for each individual

dataset using a deterministic approach (Basser, Pajevic, Pierpaoli, Duda, &

Aldroubi, 2000). Fibers (streamlines) were reconstructed by defining seed points

distributed uniformly throughout the data at $2.0 \times 2.0 \times 2.0$ mm³ resolution,

following the main direction with the step size set at 1.0 mm. Fiber tracking was

discontinued when the fiber entered a voxel with fractional anisotropy < 0.2 or

made a high angular turn (angle > 40°) or when the fiber was outside the fiber

length range of 50-500 mm. Two analyses were then conducted: (1) To localize fibers

and get a general view, the PatAGE-cluster was used as ROI and all fibers passing

through the ROI were delineated. The delineated fibers and their projection points

were visually inspected, after which individual maps were binarized and summed to

acquire the probabilistic map across participants. (2) To complement the

Neurosynth analysis above and identify the functional network most relevant to the

PatAGE-cluster, the number of streamlines passing through the PatAGE-cluster

and each of the 7 template pediatric functional networks (Tooley et al., 2021) was

calculated and normalized by dividing global density of the target network

(percentage of total voxels). The results were treated as the connectivity strength

and compared between candidate networks achieved in the previous analyses.

Furthermore, we examined the correlations between the connectivity strength with

PatAGE and t2READ.

Results

PatAGE is negatively associated with offspring's reading above and

beyond commonly known predictors

PatAGE (M = 36.12 years, SD = 4.91, $Range$ = 25-47; Table 1; Fig. S1A) was

positively correlated with MatAGE (r = 0.63, p = 5×10^{-6}) but not with other

potentially confounding demographic variables reported in the past such as SES,

number of siblings and parental education (all p's > 0.1; Table S1). As to the main

objective of this study, greater PatAGE was significantly correlated with lower

reading composite scores in offspring (t2READ; r = -0.39, p = 0.011). Similar to

PatAGE and not surprisingly, MatAGE was negatively correlated with t2READ (r =

-0.33, p = 0.031). No significant correlations were found between PatAGE and

cognitive-linguistic skills typically found to be predictors of later reading ability at

either time-point (p's > 0.1, for t1PA, t1RAN, t2PA, and t2RAN). In accordance with

prior literature on factors that predict reading outcomes (Segers et al., 2016;

Thompson et al., 2015; van Bergen et al., 2015), lower t2READ was predicted by

poorer reading reported by mothers (MatARHQ; r = -0.46, p = 0.002), poorer home

literacy environment measured by HOME (r = 0.31, p = 0.047), and poorer

cognitive-linguistic skills at time-point 1 (t1PA: r = 0.46, p = 0.002; t1RAN: r = 0.31;

p = 0.041).

To examine whether the PatAGE effect on reading existed above and beyond

commonly identified confounds and additional variables known to influence reading

development, hierarchical linear regressions were conducted with t2READ as the

dependent variable in a systematic and hypothesis-driven fashion. In the first

model, before PatAGE was entered, demographic variables (t2 age, sex, handedness)

and general intelligence (average pIQ across two time-points) were entered as

predictors in the first step. The negative effect of advanced PatAGE remained

significant, explaining an additional 14.9% of the variance (t = -3.12, p = 0.004;

Model 1 in Table 2). In the second model, MatAGE was further added in the second

step, since it was significantly correlated with PatAGE and t2READ. As shown in

Table 2 (Model 2), PatAGE explained an additional 9.7% of the variance in reading

outcomes (t = -2.48, p = 0.018). Then, in the third model, familial risk (PatARHQ,

MatARHQ) and environmental factors (number of siblings, parental education,

SES, and HOME) in relation to reading development were added. We still observed

a significant PatAGE effect, explaining an additional 10.7% of the variance (t = -

2.45, p = 0.023; Model 3 in Table 2). Thus far, we demonstrated that the PatAGE

effect on reading was not accounted for by factors that predict children's reading

outcomes and known to be either inherited or environmental. In the final model, we

investigated its relationship with early cognitive-linguistic predictors of reading

outcomes by entering t1PA and t1RAN in the fourth step. The PatAGE effect on

offspring's reading was above and beyond that of cognitive-linguistic variables,

explaining an additional 9.5% of the variance (t = -2.71, p = 0.014; Model 4 in Table

2; Fig. S1B). In accord with the prior literature, t1PA and t1RAN also significantly

predicted t2READ in the final model and accounted for 13.8% of the variation

(t1PA: t = 2.87, p = 0.010; t1RAN: t = 2.19, p = 0.042). That is, contributions from

PatAGE and cognitive-linguistic precursors were relatively independent, and they

jointly predicted children's reading outcomes.

PatAGE is associated with thalamic maturation

In the brain analyses, we did not observe significant correlations between

PatAGE and TIV at t1 (r = -0.27, p = 0.109), t2 (r = -0.29, p = 0.085), or ΔTIV from t1

to t2 (r = -0.11, p = 0.537). Second, whole-brain analyses on regional GMV at each

time-point did not show any significant clusters at the FWE corrected threshold of

p-cluster < 0.05 (p-voxel < 0.001 for height). Finally, we examined the PatAGE

effect on regional ΔGMV while controlling for t1TIV and ΔTIV. Results revealed a

significantly positive correlation between PatAGE and ΔGMV in a cluster covering

the left posterior thalamus (i.e., PatAGE-cluster; p = 0.015, FWE corrected, 367

voxels, 1,239 mm^3, peak MNI coordinate [-27, -30, 6]; Fig. 2A). Specifically, greater

PatAGE was associated with less GMV decrease. To verify that this effect was not

due to confounds, hierarchical linear regression analyses were performed. In the

first model, after regressing out nuisance variables commonly controlled in

longitudinal VBM analysis (t1 age, time interval between t1 and t2, sex,

handedness, average pIQ across t1 and t2, t1TIVand ΔTIV), PatAGE explained

35.2% of the ΔGMV variance of the PatAGE-cluster (t = 4.71, p < 0.001). Since

MatARHQ and MatAGE were significantly correlated with PatAGE, we additionally

included them as covariates in the second model, and found PatAGE still explained

17.1% of the ΔGMV variance of the PatAGE-cluster (t = 3.18, p = 0.004; Fig. 2B).

The PatAGE effect on offspring's reading is mediated by ΔGMV in the left

posterior thalamus

First, no significant correlations were observed between t2READ with TIV at

each time-point or ΔTIV from t1 to t2 (all p's > 0.1). In either the whole-brain cross-

sectional or longitudinal analyses, we did not find clusters showing GMV-reading

correlations survived the FWE correction. Therefore, the subsequent analyses were

conducted based on the PatAGE-cluster revealed in the previous step. After we

found ΔGMV in the PatAGE-cluster was correlated with $t2$READ while nuisance

variables ($t2$ age, sex, handedness, average pIQ across $t1$ and $t2$), global

measurements ($t1$TIV, ΔTIV), and cognitive-linguistic precursors of reading ($t1$PA,

$t1$RAN) were statistically controlled (partial r = -0.48, p = 0.011; Fig. 2C), we further

examined the mediation relationship. As shown in Fig. 2D, ΔGMV significantly

mediated the negative effect of advanced PatAGE on offspring's reading; 95%

confidence interval was [-0.406, -0.004] when nuisance variables (age at $t2$, sex,

handedness, average pIQ across $t1$ and $t2$), global measurements (TIV at $t1$, ΔTIV),

and cognitive-linguistic precursors ($t1$PA, $t1$RAN) were statistically controlled.

These results are in contrast to the commonly found results in the literature that

we also find in the present study, i.e., $t1$PA mediates the negative effect of family

history on offspring's reading (95% confidence interval was [-0.249, -0.001] when

nuisance variables (age at $t2$, sex, handedness, average pIQ across $t1$ and $t2$) and

the other cognitive-linguistic precursor ($t1$RAN) were controlled; Fig. S2).

PatAGE-cluster is localized in the pulvinar nuclei and linked to the dorsal

attention network

To understand the neurostructural profile of the PatAGE-cluster in the left

thalamus, we compared it with a histological atlas and a connectivity atlas. 279 out

of 367 voxels in the cluster overlapped with the human thalamus of the Morel

histological atlas (Jakab et al., 2012; Krauth et al., 2010), while the remaining 88

voxels were unlabeled, possibly because the cluster also contained white matter. As

presented in Fig. 3A, within the overlapping region, 215 voxels (76.9%) were in the

subdivision labeled as pulvinar nuclei, especially the medial portion, known to have

widespread connections with the inferior parietal lobule (Arcaro, Pinsk, & Kastner,

2015). These results were corroborated by examining the Thalamic Connectivity

Probability Atlas (http://fsl.fmrib.ox.ac.uk/fsl/fslview/atlas.html): the PatAGE-

cluster was most likely localized in the subdivision that was connected to the

posterior parietal cortex, with a probability of 48.2% (Fig. 3B).

Next, we examined the cortical pattern of the PatAGE-cluster by utilizing

two approaches available in Neurosynth v0.5 (Yarkoni et al., 2011). These included

the generation of a meta-analytic map of regions that co-activate with the PatAGE-

cluster across more than 10,900 fMRI studies and an RSFC map from the PatAGE-

cluster to the rest of the brain by using the 1000 Functional Connectome dataset

(Biswal et al., 2010). The co-activated areas included subcortical structures and

cortical regions such as bilateral intraparietal sulci, inferior temporal gyrus, and

frontal eye fields in the frontal cortex (Fig. S3A). The RSFC map showed a similar

but more widespread pattern than the co-activation map (Fig. S3B). A conjunction

analysis revealed that the bilateral frontal eye fields, intraparietal sulci, middle

temporal visual area (V5/MT), and cerebellum were among the overlapped regions

across the two approaches, in addition to subcortical structures (Fig. S3C).

Sørensen-Dice coefficients (s) between the overlapping areas and the

previously identified networks deriving from resting-state functional MRI data (Yeo

et al., 2011) were calculated. The derived pattern of overlapping areas showed the

greatest resemblance to the dorsal attention network (DAN; $s = 0.344$; Fig. 3C) and

to the ventral attention network (VAN; $s = 0.254$), much higher than its

resemblance to other networks (visual network: $s = 0.058$; somatomotor network: $s =$

0.057; limbic network: $s < 0.001$; frontoparietal network: $s = 0.039$; and default

network: $s = 0.003$). Together with the aforementioned findings utilizing structural

atlases, these results using large-scale fMRI databases from functional

neuroimaging studies point to the attention network, particularly the DAN, to be

the candidate brain functional system associated with the PatAGE-cluster in the

left thalamus.

Finally, we analyzed diffusion imaging data available in a sub-group of 23

participants to confirm DAN was more likely the candidate system associated with

the PatAGE effect on reading than VAN. Because the diffusion imaging data were

collected at time-point 2, different from the previous analyses with Neurosynth,

here we adopted Tooley's functional network template that was extracted from data

of children aged 9-11 years (Tooley et al., 2021). Using deterministic tractography,

we reconstructed white matter fibers through the PatAGE-cluster, covering inferior

fronto-occipital fasciculus, corticospinal tract, forceps major, superior corona

radiata, as well as anterior and posterior limbs of the internal capsule. Fig. 3D

shows reconstructed fibers in a representative child and Fig. 3E shows intersection

across participants, for demonstrative purposes. In line with previous findings of

this study, the PatAGE-cluster showed significantly stronger connectivity (defined

as the total number of streamlines divided by global density of the target network

[percentage of total voxels]) with DAN than with VAN ($t = 5.24$, $p < 0.001$; Fig. 3F).

No significant correlations were found between PatAGE-cluster-Network

streamlines and PatAGE or t2READ (all p's > 0.1).

Discussion

In this study, we observed a significantly negative effect of advanced PatAGE

on offspring's reading at the earliest stages of formal schooling from ages 5 to 8,

independent of confounds (e.g., maternal age) and factors that play key roles in

learning to read (i.e., family reading history, environmental factors, and cognitive-

linguistic precursors of reading), explaining an additional 9.5% of the variance.

Furthermore, we revealed volumetric maturation of the left thalamus as a potential

neural endophenotype mediating this effect. We identified that this area is most

relevant to the dorsal attention network with brain atlases, public datasets, and

offspring's diffusion imaging data. These findings contrast and complement the

current literature linking phonological and orthographic processing in reading to

the left temporo-parietal and occipito-temporal regions. The mediation revealed

here was distinct from the mediating role of phonological processing on the

relationship between reading and familial risk, which has been attributed to

hereditary effects. Taken together, this study provides novel and converging

evidence suggesting PatAGE as a significant factor that is associated with

offspring's reading, independent of phonological processing, possibly through the

maturational process of the left posterior thalamus.

The PatAGE effect on offspring's reading

Jayasekara and Street (1978), for the first time, reported that advanced

PatAGE was associated with a greater incidence of dyslexia, independent of SES

and birth order. While the analysis was conducted in dyslexic boys, Saha and

colleagues extended the finding to a broader population of 7-year-old children with

varying reading abilities measured using Wide Range Achievement Test (Saha et

al., 2009). Negative PatAGE effects on several cognitive measures, including

reading, were observed after controlling for confounds that included MatAGE, SES,

and parental psychiatric illness. A follow-up study re-analyzed the same dataset

and found that the PatAGE effect was no longer significant after further adjusting

parental education and the number of siblings (Edwards & Roff, 2010). Therefore,

the PatAGE effect on reading was equivocal, and the inconsistency was related to

covariates controlled in the model, especially parental characteristics such as

educational level.

In the present study, with the range of PatAGE restricted to 25-47 years, we

found PatAGE was negatively associated with reading performance measured using

a variety of tests, even after additionally controlling for strong predictors of reading

that were not included in previous studies (Edwards & Roff, 2010; Saha et al.,

2009). These predictors included familial reading history and cognitive-linguistic

skills (e.g., phonological processing) that shown to be more genetically than

environmentally mediated, as well as home literacy environment (Hulme, Snowling,

Caravolas, & Carroll, 2005; Swagerman et al., 2017; van Bergen et al., 2015). These

findings support an adverse PatAGE effect on reading and suggest such effect may

occur through a mechanism different to factors such as inherited genetic and

environmental risks.

While the number of studies examining the PatAGE effect on reading is too

few to infer the potential mechanisms, studies on PatAGE-linked neuropsychiatry

disorders offer insights. One predominant explanation is that advanced PatAGE

exerts its effect on the risk of disorders such as autism and schizophrenia through

accumulated *de novo* genetic mutations and epigenetic modifications (e.g., DNA

methylation and repressive histone modification) in paternal gametes (Deciphering

Developmental Disorders Study, 2017; Girard et al., 2016; Saha et al., 2009).

At a more macroscopic scale, understanding of the mechanisms can be

deepened by identifying intermediate (endo)phenotypes through behavioral and

neuroimaging measures such as we did in the current study. That is, advanced

PatAGE may impact precursors of neurodevelopmental disorders, which in turn

leads to a higher occurrence of such disorders (Cannon, 2009). For example, the

likelihood of having impaired social functioning in offspring, a core symptom of

psychiatric disorders, increases with PatAGE (Weiser et al., 2008). While the

underlying mechanisms are yet to be fully understood, multifactorial liability

confers risk for neurodevelopmental disorders and may involve liability such as *de*

novo mutations in addition to inherited and environmental risks. Adding to prior

research, the current study offers insights into potential mechanisms at the

macroscopic level.

The intermediary role of the left posterior thalamus

The thalamus is an important relay center in the human brain, receiving

information from sensory cortices and relaying it to higher-level association cortices.

Previous studies paint a mixed picture on thalamic development: gross volume

relative to its brain size is smaller (Sussman, Leung, Chakravarty, Lerch, & Taylor,

2016) or larger (Brain Development Cooperative, 2012) in older compared to

younger children of ages 4 to 18, and the pulvinar compared to other thalamic

nuclei show no apparent change with age (Raznahan et al., 2014). Despite

controversial evidence on typical thalamic maturation, its anomalous development

undoubtedly affects the growth of other cortical and subcortical brain regions (Ball

et al., 2012), which in turn could impact higher-level cognitive processes that

underlie typical reading acquisition. In support of this, anomalies in thalamic

structure (Giraldo-Chica, Hegarty, & Schneider, 2015), activation (Diaz, Hintz,

Kiebel, & von Kriegstein, 2012; Koyama, Molfese, Milham, Mencl, & Pugh, 2020),

and connectivity (Müller-Axt, Anwander, & von Kriegstein, 2017; Paz-Alonso et al.,

2018; Tschentscher, Ruisinger, Blank, Diaz, & von Kriegstein, 2018) are associated

with dyslexia. While most of these studies adopt a cross-sectional design with adult

participants, here we conducted a longitudinal investigation and found the

volumetric change in the posterior thalamus from ages 5 to 8 was significantly

associated with PatAGE; children with younger fathers showed GMV decrease,

whereas those with older fathers showed less decrease or showed an increase. This

pattern suggests that PatAGE is associated with the development of this subcortical

structure. Noteworthy, although no significant PatAGE effect was observed when

examining a single time-point, it does not indicate this effect cannot manifest at a

specific age. Instead, it suggests that compared with brain morphometry at a

particular time-point, PatAGE affects the maturation process more and underscores

the importance of considering developmental dynamics when examining brain-

behavior relationships. A similar pattern has been revealed in other neural

measurements, such as white matter development in dyslexia (Yeatman et al.,

2012).

Moreover, examination of the cluster's location with the Morel Atlas

suggested the foci in the left pulvinar, an integral region supporting visuo-spatial

attention (Amso & Scerif, 2015; Fischer & Whitney, 2012), and attentional control

(Barron et al., 2015; Xuan et al., 2016). Analysis with connectivity-based thalamic

atlas showed that this region was most likely to overlap with the subdivision

connected with posterior parietal areas. Furthermore, RSFC and co-activation maps

produced by Neurosynth revealed connectivity patterns were suggestive of the

attention networks, especially the DAN. Finally, analysis of children's diffusion

imaging-based connectivity with the pediatric functional network template was also

suggestive of the DAN. Studies have repeatedly demonstrated the relationship

between visuo-spatial attention and reading (Facoetti, Franceschini, & Gori, 2019;

Vidyasagar & Pammer, 2010). First, visuo-spatial attention has been associated

with acquiring orthographic knowledge (Stevens & Bavelier, 2012) and decoding

skills (Matthews & Martin, 2015). Further, both dyslexic adults and children show

deficits in visuo-spatial attention, such as impaired motion perception, lower visuo-

spatial span capacities, slower responses during visuo-spatial attention-orienting

tasks, and local precedence on global perception (Bosse, Tainturier, & Valdois, 2007;

Buchholz & Davies, 2008; Franceschini, Bertoni, Gianesini, Gori, & Facoetti, 2017;

Gori, Seitz, Ronconi, Franceschini, & Facoetti, 2015). Longitudinal research also

demonstrate impaired visuo-spatial processing in pre-reading kindergarteners as a

causal risk factor of future poor reading (Bertoni, Franceschini, Ronconi, Gori, &

Facoetti, 2019; Carroll, Solity, & Shapiro, 2015; Franceschini, Bertoni, et al., 2017;

Franceschini, Gori, Ruffino, Pedrolli, & Facoetti, 2012; S. Gori & Facoetti, 2015;

Gori et al., 2015). Finally, targeted interventions such as action video game training

and motion discrimination training effectively improve reading and reading-related

cognitive skills in affected children via enhancing visuo-spatial attention and

visual-to-auditory attentional shifting (Bertoni et al., 2019; Franceschini & Bertoni,

2019; Franceschini, Bertoni, et al., 2017; Franceschini et al., 2013; Franceschini,

Trevisan, et al., 2017; Gori et al., 2015; Lawton, 2016). Together, these findings

indicate that maturation of the pulvinar and brain networks underlying visuo-

spatial attention are parsimonious neurocognitive mechanisms that may be

impacted by advanced PatAGE, impeding reading acquisition. It should be noted

that with the current data, we could not exclude the possibility it was the PatAGE-

related individual difference in reading that influenced the maturation of thalamus

and its connection with the attentional networks (Skeide et al., 2017). In fact, recent

studies have revealed a bidirectional relationship between reading acquisition and

the development of the underlying brain networks (Wang, Joanisse, & Booth, 2020;

Wang, Pines, Joanisse, & Booth, 2021). Here we proposed that paternal age may

influence thalamic development, which in turn affects reading acquisition. On the

other hand, as children develop reading skills, the left posterior thalamus may in

turn be impacted. Further studies are needed to examine this hypothesis.

To date, research investigating the PatAGE effect on brain networks and

corresponding cognitive processes is scarce. As the first step, Shaw et al. (2012)

revealed PatAGE effects on cortical morphometry. However, the authors did not

examine the relationship with cognitive functions, making the study somewhat

inconclusive as to the role of PatAGE on neurocognitive processes. Taking one step

further, the current study revealed thalamic maturation as an intermediary

between PatAGE and reading—a specific behavioral phenotype, offering insights

into the complex mechanisms underlying PatAGE effects.

Limitations and future directions

In the present study, we observed a negative PatAGE effect on offspring's

reading and the left posterior thalamus as a possible brain intermediary. Given the

preliminary nature of this investigation and the small sample size, the findings

should be interpreted with caution until they are replicated in large independent

samples. Second, PatAGE in this study was restricted to 25-47 years, with which we

observed a negative linear relationship. The findings hence do not necessarily

extend to children with extremely young and old fathers. For example, Saha et al.

(2009) observed a non-linear relationship with a range of PatAGE between 14 to 66

years, while the relationship appears to be linear in the age range as ours. Of

relevance, young fatherhood is also associated with adverse outcomes in offspring

but possibly due to other factors, including immature sperm and economic

disadvantages (Chen et al., 2008). Third, the complementary analyses implied DAN

as the candidate functional system associated with PatAGE. It should be noted that

the brain atlases and public datasets implemented in Neurosynth are primarily

from research on adults, while children have specific characteristics regarding brain

organization (Tooley et al., 2021; Vijayakumar et al., 2021). We tempted to address

this issue by adopting the functional network template for children in analyzing

diffusion imaging data available in a subgroup of the current samples and found

that the PatAGE-cluster more strongly connected with DAN than VAN.

Nevertheless, the neurostructural profile and function of the PatAGE-cluster need

to be re-visited as more pediatric-specific atlases and tools are available. Fourth,

while we found that the left posterior thalamus mediated the PatAGE effect on

reading, it remains unknown why this subcortical structure is susceptible to

advanced PatAGE (and related to *de novo* mutations). Given that typical thalamic

maturation is also affected by prenatal and postnatal factors such as preterm birth

(Ball et al., 2012), questions including how PatAGE influences the growth of

thalamus and relevant functional systems, together with other factors, require

further elaboration.

To advance understanding of the PatAGE effect on reading, future studies

are warranted in which a more comprehensive battery of behavioral tests (e.g.,

measuring visuo-spatial attention, executive function, etc.), neural measures (e.g.,

task-driven activation), and molecular approaches measuring the number and

origins of *de novo* mutations (e.g., trio-based whole-genome/exome sequencing; Jin

et al., 2017) are included. Fusing neural, cognitive, and molecular genetic

approaches at multiple levels will provide the much-needed vertical and multi-level

explanatory models that will further our understanding of risk factors associated

with poor reading. In particular, future research aiming at disentangling different

sources of genetic variations related to reading development and their interplays

will greatly further our understanding. In addition, advanced research designs such

as the intergenerational neuroimaging approach can be adopted to gain in-depth

knowledge on how multiple factors, including PatAGE, affect the development of

offspring's reading and the corresponding networks interactively from preliteracy to

mature stages of reading (Ho, Sanders, Gotlib, & Hoeft, 2016; Hoeft & Hancock,

2017).

Conclusion

The current study examined the PatAGE effect on offspring's reading at both

behavioral and neurobiological levels. The results provide initial evidence that

advanced PatAGE is a relatively independent factor associated with poor reading

outcomes in beginning readers, above and beyond previously identified familial and

cognitive-linguistic precursors. This effect was mediated by the maturation of the

posterior thalamus, suggesting a neurobiological pathway to intergenerational

influence on reading acquisition, complementing prior findings that offspring's

reading is influenced by parental reading via offspring's phonological skills (van

Bergen et al., 2015). Based on these findings, we argue that PatAGE should be

regarded as an important factor influencing literacy development, and included in a

cumulative risk (and protection) model (Hayiou-Thomas, Smith-Woolley, & Dale,

2020; Menghini et al., 2010; Pennington, 2006; van Bergen, van der Leij, & de Jong,

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Table Legend

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Table 1 Demographic profiles, familial variables, and performance on reading-

related tests (n = 43). *Acronyms: ARHQ, Adult Reading History Questionnaire; CS,*

composite score; CTOPP BW, Comprehensive Test of Phonological Processing,

Blending sub-test; CTOPP EL, Comprehensive Test of Phonological Processing,

Elision sub-test; CTOPP MD, Comprehensive Test of Phonological Processing,

Memory for Digit sub-test; CTOPP NR, Comprehensive Test of Phonological

Processing, Nonword Repetition sub-test; HOME, Home Observation Measurement of

the Environment; Mat, maternal; Pat, paternal; PPVT, Peabody Picture Vocabulary

Test; RAN COL, Rapid Naming, Colors sub-test; RAN LTR, Rapid Naming, Letters

sub-test; RAN NUM, Rapid Naming, Numbers sub-test; RAN OBJ, Rapid Naming,

Objects sub-test; RS, raw score; SES, socioeconomic status; SS, standard score;

TOWRE PDE, Test of Word Reading, Phonemic Decoding Efficiency sub-test;

TOWRE SWE, Test of Word Reading, Sight Word Efficiency sub-test; WJA RF,

Woodcock-Johnson III Tests of Achievement, Reading Fluency sub-test; WJA SP,

Woodcock-Johnson III Tests of Achievement, Spelling sub-test; WJC CF, Woodcock-

Johnson III Tests of Cognitive Abilities, Concept Formation sub-test; WJC NR,

Woodcock-Johnson III Tests of Cognitive Abilities, Numbers Reversed sub-test; WJC

VC, Woodcock-Johnson III Tests of Cognitive Abilities, Verbal Comprehension sub-

test; WJC VM, Woodcock-Johnson III Tests of Cognitive Abilities, Visual Matching

sub-test; WRMT LID, Woodcock Reading Mastery Test, Letter Identification sub-test;

WRMT PC, Woodcock Reading Mastery Test, Passage Comprehension sub-test;

WRMT WA, Woodcock Reading Mastery Test, Word Attack sub-test; WRMT WID,

Woodcock Reading Mastery Test, Word Identification sub-test.

Table 2 Results of multiple linear regression analyses examining the unique

contribution of paternal age on offspring's reading at time-point 2. *Acronyms:*

ARHQ, Adult Reading History Questionnaire; EDU, educational level; HOME,

Home Observation Measurement of the Environment; Mat, maternal; PA,

phonological awareness; Pat, paternal; pIQ, performance intelligence quotient; RAN,

rapid naming; SES, socioeconomic status; t1, time-point 1; t2, time-point 2.

Figure Legends

Fig. 1 Principal components that extracted from reading-related tests. **A**.

Component loadings for each factor at time-point 1. **B**. Component loadings for each

factor at time-point 2. *Acronyms: CTOPP BW, Comprehensive Test of Phonological*

Processing, Blending sub-test; CTOPP EL, Comprehensive Test of Phonological

Processing, Elision sub-test; CTOPP MD, Comprehensive Test of Phonological

Processing, Memory for Digit sub-test; CTOPP NR, Comprehensive Test of

Phonological Processing, Nonword Repetition sub-test; RAN COL, Rapid Naming,

Colors sub-test; RAN LTR, Rapid Naming, Letters sub-test; RAN NUM, Rapid

Naming, Numbers sub-test; RAN OBJ, Rapid Naming, Objects sub-test; t1, time-

point 1; t2, time-point 2; TOWRE PDE, Test of Word Reading, Phonemic Decoding

Efficiency sub-test; TOWRE SWE, Test of Word Reading, Sight Word Efficiency sub-

test; WJA RF, Woodcock-Johnson III Tests of Achievement, Reading Fluency sub-

test; WJA SP, Woodcock-Johnson III Tests of Achievement, Spelling sub-test; WRMT

LID, Woodcock Reading Mastery Test, Letter Identification sub-test; WRMT PC,

Woodcock Reading Mastery Test, Passage Comprehension sub-test; WRMT WA,

Woodcock Reading Mastery Test, Word Attack sub-test; WRMT WID, Woodcock

Reading Mastery Test, Word Identification sub-test.

Fig. 2 Results of the whole-brain longitudinal voxel-based morphometry and region-

of-interest (ROI) analysis. **A.** Brain region significantly correlated with paternal age

(the yellow cluster; defined as ROI). **B**. Scatter plot of the relationship between gray

matter volume change in the ROI and paternal age. The linear regression line is

presented. **C.** Scatter plot of the relationship between gray matter volume change in

the ROI and composite score of reading at time-point 2. The linear regression line is

presented. **D.** The effect of paternal age on offspring's reading is mediated by gray

matter volume change in the thalamus. Confounds were controlled statistically. The

bias-corrected 95% confidence interval for indirect effect was [-0.406, -0.004],

indicating a significant mediation relationship. *** $p < 0.001$; ** $p < 0.01$

Fig. 3 Results of the complementary analyses on the PatAGE-cluster (i.e., the left

posterior thalamus) with atlases, public database, and white matter tractography of

the PatAGE-related thalamic region using subject-specific diffusion imaging data.

A. Bar plot displaying the percentage of total voxels in the PatAGE-cluster overlaps

with divisions of the Morel Atlas (https://www.lead-dbs.org/helpsupport/knowledge-

base/atlasesresources/atlases/). **B.** Bar plot showing the probability of the cluster

belonging to different subdivisions of the Oxford Thalamic Connectivity Probability

Atlas (https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases), calculated by the "autoaq"

function implemented in FSL. **C.** Bar plot showing the degree of overlap between

the overlapping areas of Neurosynth-derived co-activation/resting-state functional

connectivity maps and Yeo's 7 intrinsic functional networks represented by Dice

coefficients. Dice coefficient measures the similarity between the overlapping areas

and a given function network, ranging from 0 to 1. While 0 indicates the two

networks are disjoint, 1 indicates the two networks are identical. **D.** Example of

reconstructed fibers in a representative child with the seed being the PatAGE-

cluster. E. Intersection across 23 children with diffusion imaging data is shown for

demonstrative purposes. The color bar represents the number of subjects where the

streamline is observed in a given voxel. F. The DAN compared to the VAN derived

from Tooley's 7 intrinsic functional networks derived from pediatric data showed a

significantly greater number of streamlines (normalized by global density of the

target network [percentage of total voxels]) to go through the PatAGE-cluster.

Acronyms: CL, central lateral nucleus; CM, central median nucleus; LP, lateral

posterior nucleus; VLpv, ventral lateral posterior nucleus, ventral; VPI, ventral

posterior inferior nucleus; VPL, ventral posterior lateral nucleus; VPM, ventral

posterior medial nucleus; LH, left hemisphere; RH, right hemisphere.

Tables

Table 1 Demographic profiles, familial variables, and performance on reading-related tests (n = 43).

	Mean	Std.Dev	Min	Max
Time-point 1				
Age (years)	5.58	0.43	5.03	6.99
Gender, Male (%)	60.50	--	--	--
Handedness, Right (%)	88.40	--	--	--
WJC VC (SS)	121.53	13.43	86	145
WJC CF (SS)	118.16	11.43	94	137
WJC VM (SS)	105.65	11.83	72	127
WJC NR (SS)	112.05	12.06	83	138
PPVT (SS)	121.23	9.94	97	148
# Older Siblings (RS)	0.65	0.81	0	3
# Younger Siblings (RS)	0.63	0.62	0	2
PatAGE (years)	36.12	4.91	24.78	46.71
MatAGE (years)	33.01	4.09	23.04	41.08
PatARHQ (RS)	0.35	0.14	0.09	0.66
MatARHQ (RS)	0.31	0.15	0.07	0.67
PatEDU (years)	16.95	2.05	13	22
MatEDU (years)	16.97	2.04	12	22
SES (CS) [a]	0.04	1.00	-2.87	2.38
HOME (RS) [b]	51.39	2.25	44	55
CTOPP BW (SS) [c]	12.28	1.80	8	17
CTOPP EL (SS) [c]	11.93	2.80	7	19
CTOPP MD (SS) [c]	10.79	2.22	7	16
CTOPP NR (SS) [c]	11.23	2.85	6	19
RAN OBJ (SS)	100.56	17.57	55	135

RAN COL (SS)	97.98	16.51	55	137
WRMT LID (SS)	109.84	10.84	80	138
Time-point 2				
Age (years)	8.30	0.46	7.51	9.76
WJC VC (SS)	116.07	10.18	92	144
WJC CF (SS)	118.21	12.29	97	150
WJC VM (SS)	99.23	15.04	77	138
WJC NR (SS)	109.26	15.13	80	140
PPVT (SS)	120.02	14.56	81	160
TOWRE SWE (SS)	111.49	12.29	86	138
TOWRE PDE (SS)	106.35	14.85	77	144
WRMT WID (SS)	116.23	11.66	94	139
WRMT WA (SS)	113.84	14.40	90	146
WRMT PC (SS)	114.91	9.95	99	141
WJA RF (SS)	112.49	16.31	84	162
WJA SP (SS)	105.28	18.33	74	148
CTOPP BW (SS) [c]	12.67	2.24	6	16
CTOPP EL (SS) [c]	13.00	3.11	4	17
CTOPP MD (SS) [c]	10.47	2.60	5	15
CTOPP NR (SS) [c]	10.09	2.26	6	16
RAN NUM (SS)	100.35	12.47	76	129
RAN LTR (SS)	102.98	11.63	78	134
RAN OBJ (SS)	96.53	17.07	62	132
RAN COL (SS)	97.02	15.29	60	121

Notes: [a] SES: n = 38; [b] HOME: n = 41; [c] T Scores are presented for CTOPP sub-tests where mean is 10 and SD is 3. All other test scores are in standard scores where the mean is 100 and SD is 15.

Table 2 Results of multiple linear regression analyses examining the unique

contribution of paternal age on offspring's reading at time-point 2

Model	Step	Predictor	ΔR^2	β
1	1	Age (*t*2)	0.284 *	-0.351 *
		Sex		-0.022
		Handedness		0.081
		Average pIQ		0.302 *
	2	PatAGE	0.149 **	-0.393 **
2	1	Age (*t*2)	0.284 *	-0.351 *
		Sex		-0.022
		Handedness		0.079
		Average pIQ		0.309 *
	2	MatAGE	0.052 †	0.026
	3	PatAGE	0.097 *	-0.408 *
3	1	Age (*t*2)	0.279 *	-0.315 †
		Sex		-0.002
		Handedness		0.119
		Average pIQ		0.203
	2	MatAGE	0.071 †	0.269
	3	PatARHQ	0.170	-0.129
		MatARHQ		-0.162
		# Older Siblings		-0.298
		# Younger Siblings		-0.171
		PatEDU		0.031
		MatEDU		-0.075
		SES		-0.214
		HOME		0.233
	4	PatAGE	0.107 *	-0.592 *

4	1	Age (*t*2)	0.279 *	-0.261
		Sex		-0.051
		Handedness		0.136
		Average pIQ		-0.013
	2	MatAGE	0.071 †	0.200
	3	PatARHQ		-0.105
		MatARHQ		-0.039
		# Older Siblings		-0.174
		# Younger Siblings		-0.144
		PatEDU		0.086
		MatEDU		-0.211
		SES		-0.146
		HOME		0.201
	4	Time 1 PA	0.138 *	0.423 *
		Time 1 RAN		0.350 *
	5	PatAGE	0.095 *	-0.567 *

Note: β is value at the final step (all predictors included). ** $p < 0.01$; * $p < 0.05$; † $p < 0.1$

A

% of voxels in the atlas

PatAGE-Cluster &
Morel Atlas

Nucleus: Pulvinar, VPL, LP

B

Posterior parietal
Temporal
Occipital
Sensory
Primary motor
Pre-frontal
Pre-motor

PatAGE-Cluster &
Oxford Thalamic Connectivity
Probability Atlas

Probability: 0.0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6

C

Dorsal Attention
Ventral Attention
Visual
Somatomotor
Frontoparietal
Default
Limbic

Neurosynth-Derived PatAGE-cluster-
associated cortical pattern &
Yeo's 7 Intrinsic Functional Networks

Dice Coefficient: 0.0, 0.1, 0.2, 0.3, 0.4

D

Somatomotor Cortex
Prefrontal Cortex
Posterior Parietal Cortex
Cerebellum

E

23
# of subjects
1

x = -34 (LH)   x = -25 (LH)

LH   RH

z = +12   z = +48   y = -30

F

t = 5.24, *p* < 0.001

# of streamlines normalized by density of target networks

Dorsal Attention   Ventral Attention