1	Decoding the role of CBLB for innate immune responses regulating
2	systemic dissemination during Non-Tuberculous Mycobacteria
3	infection
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27	Running head: CBLB role in NTM dissemination
28	Footnotes
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### 31 Abstract

32 Non-Tuberculous Mycobacteria (NTM) are ubiquitous in nature, present in soil and water, and

cause primary leading to disseminated infections in immunocompromised individuals. NTM

34 infections are surging in recent years due to an increase in an immune-suppressed population,

35 medical interventions, and patients with underlying lung diseases. Host regulators of innate

immune responses, frontiers for controlling infections and dissemination, are poorly defined
 during NTM infections. Here, we describe the role of CBLB, an E3-ubiquitin ligase, for innate

immune responses and disease progression in a mouse model of NTM infection under

compromised T-cell immunity. We found that CBLB thwarted NTM growth and dissemination

40 in a time- and infection route- dependent manner. Mechanistically, we uncovered defects in

41 many innate immune cells in the absence of *Cblb*, including poor responses of NK cells,

42 inflammatory monocytes, and conventional dendritic cells. Strikingly, Cblb-deficient

43 macrophages were competent to control NTM growth *in vitro*. Histopathology suggested the lack

of early formation of granulomatous inflammation in the absence of CBLB. Collectively, CBLB

is essential to mount productive innate immune responses and help prevent the dissemination

46 during an NTM infection under T-cell deficiency.

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#### 57 Introduction

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59 Nontuberculous mycobacteria (NTM) or atypical mycobacteria, distinct from tuberculosis

60 causing mycobacteria, are widely distributed in the environment. However, among 190 species,

only a handful of NTM, predominantly of *Mycobacterium avium* complex (MAC) species are

62 isolated from infected patients. Infections caused by MAC are globally prevalent (47%-80%),

63 causing mortality up to 42% (Prevots et al., 2017; Ruth and van Ingen, 2017; Spaulding et al.,

64 2017; Diel et al., 2018). Further, it is estimated that NTM infections are rising at a rate of 8%

annually associated with increasing immune-suppressed population, including the patients with underlying lung diseases and the geriatric population (Adjemian et al., 2012; Winthrop et al.,

2019). Thus, with the global prevalence and ubiquitous nature of bacteria, NTM infections are

68 increasing in a susceptible population at an alarming rate. The emergence of drug resistance and

69 poor understanding of protective immune correlates of NTM infections, further complicate the

disease control and prevention (Horne and Skerrett, 2019). Innate immune cell responses, key

orchestrators of initial infection control and adaptive immunity, are poorly defined during NTM

- 72 infections.
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74 Casitas B-lineage lymphoma (Cblb) is an E3 ubiquitin ligase shown to regulate both innate and

adaptive immune responses, with a well-studied role for adaptive immune responses (Liu et al.,

76 2014; Tang et al., 2019). CBLB is widely expressed in peripheral lymphoid cells, including

several innate immune cells, and is a well-known negative regulator of T cell responses

(Bachmaier et al., 2000; Jeon et al., 2004; Paolino and Penninger, 2010). Ablation of *Cblb* 

potentiated T cell responses, and *Cblb*-deficient mice spontaneously rejected tumors in a CD8<sup>+</sup>

T-cell dependent manner (Loeser et al., 2007). *Cblb* deficiency facilitated T cell activation

81 independent of CD28 requirement (Chiang et al., 2000), and the lack of CBLB has been

82 implicated in breaking peripheral tolerance and contributing to autoimmunity/allergic responses

(Paolino and Penninger, 2010; Oh et al., 2011; Paolino et al., 2011; Lutz-Nicoladoni et al., 2015;
Singh et al., 2018; Tang et al., 2019). Further, *Cblb* can be targeted to bolster the CD8<sup>+</sup> T cell

responses for fungal vaccine immunity even in the absence of CD4<sup>+</sup> T-cell help (Nanjappa et al.,

2018), suggesting translational implications. CBLB, in T cells, targets several important

signaling pathway proteins, including PLC $\gamma$ 1, VAV1, NEDD4, PKC $\theta$ , WASP, and Crk-L,

mainly by polyubiquitination and their degradation (Tang et al., 2019). Notably, several SNPs in

*Cblb* gene are identified in humans and have been associated with several diseases or disorders

that are directly or indirectly implicated with T cells (Kosoy et al., 2004; International Multiple

91 Sclerosis Genetics et al., 2007; Payne et al., 2007; Perez et al., 2010; Sanna et al., 2010; Doniz-

Padilla et al., 2011; DeWan et al., 2012; Sturner et al., 2014; Li et al., 2018). Thus, CBLB acts as

a negative regulator of T-cell functions by targeting key signaling molecules required for T-cell
 activation.

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96 While CBLB roles are well defined for adaptive immunity, its functions are poorly described for

97 innate immunity, especially during infections. Accumulating data suggest its diverse functions in

98 many innate immune cells. The development of macrophages, dendritic cells, and NK cells seem

by to be intact in the absence of *Cblb* (Loeser and Penninger, 2007). However, deficiency of *Cblb* in

100 NK cells potentiated their functions; production of IFN $\gamma$  and antitumor activity (Yasuda et al.,

101 2002; Paolino et al., 2014; Chirino et al., 2019). In macrophages, CBLB prevented LPS-induced

septic shock by downregulating TLR4 (Bachmaier et al., 2007). Recently, CBLB has been

103 104 105 106 107 108 109	shown to downregulate Syk kinase, and its ablation/inhibition led to enhanced production of proinflammatory cytokines, the release of reactive oxygen species (ROS), and fungal killing by macrophages (Wirnsberger et al., 2016; Xiao et al., 2016; Zhu et al., 2016). Further, CBLB has regulatory roles in dendritic cells (DC) by modulating the functions, both positively and negatively (Arron et al., 2001; Wallner et al., 2013; Tang et al., 2019). Nevertheless, CBLB functions in innate immunity during mycobacterial diseases are not deciphered.
110	In this study, we systematically evaluated the role of CBLB for innate immunity and
111	dissemination of bacteria in a mouse model of NTM infection with deficient T-cell responses.
112	We assessed the bacterial control and innate immune cell numbers/responses following both
113	intratracheal and intravenous infections for up to 5 months. We describe the critical role of
114	CBLB in dictating the pathogenesis of NTM infection that was associated with multiple
115	defective or altered dynamics of innate immune cell numbers or their responses.
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## 149 Materials and Methods

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- 151 *Mice:* The OT-I Tg (TCRα/TCRβ specific for OT-I epitope; Stock #: 003831) and B6.PL-
- 152 Thy1a/Cy/Thy1.1 (Thy1.1; Stock #: 000406) were purchased from Jackson Laboratories. *Cblb*<sup>-/-</sup>
- mice were provided by P.S. Ohashi (University of Toronto, Ontario, Canada) with permission
- 154 from Josef Penninger (IMBA, Austria). OTI-Tg mice were backcrossed with *Cblb*<sup>-/-</sup> to generate
- 155 OT-I Tg-*Cblb*<sup>-/-</sup> mice at the UW-Madison facility and were transferred to the current facility with
- the facilitation from Bruce Klein, UW-Madison. All mice were maintained under specific-
- 157 pathogen-free conditions at the University of Illinois at Urbana-Champaign (UIUC). All
- 158 experiments were conducted in accordance with the guidelines of the Institutional Animal Care
- and Use Committee of the UIUC.
- 160 *Infections: Six- to eight-*week-old mice were used for all the infections in this study.
- 161 Recombinant dsRED<sup>+</sup> Kanamycin-resistant *Mycobacterium avium* strain 104 (MAV 104) was
- 162 cultured in Middlebrook 7H9 broth (Difco) supplemented with albumin, dextrose and catalase
- 163 (ADC) and Kanamycin, and at ~OD of 0.8-1.0, culture was centrifuged and resuspended in
- sterile PBS for infection. Mice were either infected intravenously (I.V.) with  $1 \times 10^6$  Colony
- 165 Forming Units (CFU) or intratracheally (I.T. by intubation under sedation) with  $1 \times 10^5$  CFU.
- 166 *In vitro* experiments: For *in vitro* infections, bone-marrow-derived cells (Macrophages-BMM:
- 167 GM-CSF-10ng/ml for six days) were infected with either 5 MOI or 10 MOI (Multiplicity of
- 168 Infection; bacteria:cells) of bacteria in RPMI supplemented with 10% FBS (complete media).
- 169 After 4hr incubation, BMM cells were washed to remove any floating/non-adherent bacteria, and
- 170 were incubated further in complete media. Bone-marrow-derived neutrophils (BMN), isolated
- 171 following the protocol (Swamydas and Lionakis, 2013), were infected with 5 MOI of dsRED<sup>+ve</sup>
- 172 MAV104 and analyzed by flow cytometry.
- 173 *Bacterial burden in tissues/BMM cultures*: Infected cells or tissues were harvested,
- homogenized, and plated on BD Middlebrook 7H10 agar plates supplemented with ADC and
- 175 Kanamycin. Infected BMMs were lysed using 1% Triton X-100 before plating.
- 176 Flow Cytometry: The tissues (lung and spleen) were harvested on indicated time-points, single-
- cell suspensions were prepared using BD Cell Strainers, and RBCs were lysed using 4%
- ammonium chloride containing buffer. Similarly, bone-marrow-derived cells were harvested
- 179 from the plates either by scraping (BMM) or collecting (BMN) at indicated times during *in vitro*
- experiments. Cells were then stained with the fluorochrome-conjugated antibodies (BD
- 181 Biosciences, Biolegend, and Invitrogen) along with Live/Dead staining (Invitrogen) for 30' at 4°
- 182 C in the dark. For measuring cytokine production by NK cells, infected cells were incubated with
- 183 Golgi Stop (BD Biosciences) for the last 4hrs before subjecting for fluorochrome-conjugated
- 184 antibody staining for surface markers and intracellular cytokine (Perm/Fix buffer, BD
- 185 Biosciences). Cells were analyzed by 24-color compatible *full-spectrum* Cytek Aurora flow
- analyzer (College of Veterinary Medicine, UIUC).
- 187 *Confocal Microscopy*: BMM cells were plated on micro-slide glass bottom wells (ibidi) a day
- 188 before infection. At 48-hr post-infection, wells were washed with PBS, and stained with dyes
- 189 (DAPI, Lysotracker, and CellROX; Molecular Probes) for 30' at 37° C. Wells were washed and
- 190 resuspended in complete media before the microscopy. Images (at least ten distinct fields) were

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191 taken on 4-laser Nikon A1R confocal microscope (College of Veterinary Medicine, UIUC) at

- 192 60x plan apo  $\lambda/1.40$  oil, and analyzed using NIS-Elements C software. For quantifying the ROS
- 193 production in BMM cells from confocal images, ImageJ software of the National Institute of
- 194 Health (http://rsweb.nih.gov.ij/) was used for each cell of the representative field.
- 195 *Histopathological studies*: Tissues were harvested and stored in 10% Buffered Formalin
- 196 containers. Tissues were paraffin-embedded and sections were mounted on slides for H&E
- staining. Some sections were also stained for acid-fast bacilli with Ziehl-Neelsen stain.
- 198 Histopathology was then analyzed and interpreted by a board-certified veterinary anatomic
- 199 pathologist in a blinded manner.
- 200 *Measuring CBLB/Cblb expression:* Cells/tissues were harvested and subjected for measuring Cblb RNA
- and protein by qPCR, Western Blotting, and flow cytometry. For qPCR analysis: The BMM and spleens
- were harvested, and RNA was extracted using Qiagen RNeasy Kit according to the manufacturer's
- 203 instructions. cDNA was synthesized using GoScript Reverse Transcription system (Promega), and qPCR
- was executed using QuantiNova SYBR Green PCR Kit (Qiagen) by Quant Studio 3 (Applied Biosystems)
- analyzer. Sequences of primers used are: Cblb- For: CACCCTTCTCCCAAGCATAA, Rev:
- 206 AGACCGAACAGGAGCTTTGA;  $\beta$ -actin- For: TGGAGAAGAGCTATGAGCTGCCTG, Rev:
- 207 GTGCCACCAGACAGCACTGTGTTG; and CCL2- For: GAAGGAATGGGTCCAGACAT, Rev:
- 208 ACGGGTCAACTTCACATTCA. Western Blotting: Cells from spleen and BMM were washed 3
- 209 times with ice-cold PBS and lysed by M-PER<sup>™</sup> Mammalian Protein Extraction Reagent (Thermo
- 210 Scientific) in the presence of protease inhibitor cocktail (Sigma-Aldrich) and phosphatase
- inhibitor cocktail (Sigma-Aldrich). Quantity of cell lysate protein was measured by Pierce<sup>™</sup> BCA
- 212 protein assay kit. CBLB and GAPDH in the protein blots were probed with anti-CBLB- and anti-
- 213 GAPDH- antibodies (SC-8006 and SC-166545, respectively; Santa Cruz Biotechnology). Flow
- 214 Cytometry analysis: Cells were stained with surface markers followed by intracellular staining
- for CBLB (anti-CBLB antibody, G-1, Santa Cruz Biotechnology), and the levels were analyzed by
- 216 Cytek Aurora analyzer.
- 217 *Statistical Analysis:* All statistical analyses were performed using a two-tailed unpaired Student
- t-test using GraphPad Prism 8 software. A two-tailed P value of  $\leq 0.05$  was considered
- 219 statistically significant.
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#### 233

#### 234 Results

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### 236 Induction of CBLB following NTM infection in vitro

CBLB expression is dynamically regulated in T- and myeloid- cells. Following activation of T 237 238 cells with CD28 stimulation, CBLB expression is downregulated, whereas engaging with CTLA4 and PD-1 receptors upregulate CBLB levels (Li et al., 2004; Karwacz et al., 2011). On a 239 similar note, CBLB was upregulated in the macrophages and dendritic cells following fungal 240 infection (Wirnsberger et al., 2016). Therefore, we were interested in assessing the levels of 241 CBLB following NTM infection. First, we measured the basal levels of CBLB expression in 242 macrophages using bone-marrow-derived macrophages (BMM) and primary cells (peritoneal 243 macrophages). We found detectable levels of CBLB in uninfected *Cblb*<sup>+/+</sup> BMM (Fig. 1A; left 244 panel) and was absent in *Cblb*<sup>-/-</sup> cells. Similarly, we measured CBLB levels in peritoneal 245 macrophage cells (good source of primary macrophages; CD11b<sup>+</sup>F4/80<sup>+</sup>) and found appreciable 246 basal levels of CBLB in  $Cblb^{+/+}$  cells (Fig. 1A; middle panel). We found a similar phenotype 247 with peritoneal dendritic cells (CD11c<sup>+</sup>F4/80<sup>-</sup>; Fig. 1A; right panel). Next, we measured the 248 induction of Cblb (mRNA levels) following an NTM (Mycobacterium avium 104; MAV104) 249 infection, and found that levels of *Cblb* were reduced in *Cblb*<sup>+/+</sup> BMM (Fig. 1B; left panel; 250 protein levels were undetectable by Western blotting-not shown). On the contrary, mRNA levels 251 of *Cblb* were increased in splenocytes after infection (Fig. 1B; right panel). Next, we measured 252 the protein levels of CBLB in BMM, splenocytes, and peritoneal macrophages following the 253 infection, and found that CBLB levels were significantly higher in infected Cblb<sup>+/+</sup> cells 254 compared with *Cblb*<sup>-/-</sup> cells (**Fig. 1C & D**). Collectively, our data indicated that NTM infection 255

- enhances the levels of CBLB in myeloid cells.
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## 258 Ablation of *Cblb* promotes NTM growth and dissemination under T-cell deficiency in mice

259 Evidence of the role of CBLB for innate immunity during infections is scarce. Recent reports

- suggest that CBLB constrains innate immune responses during fungal infections, and the loss of
   CBLB or functions enhances antifungal activities of DC & macrophages to bolster protection
- from lethal infection (Wirnsberger et al., 2016; Xiao et al., 2016; Zhu et al., 2016; Nanjappa et
- al., 2018). To investigate the role of CBLB in innate immune cells during an NTM infection, we
- used OT-I Tg mice (CD8<sup>+</sup> T-cell transgenic mice, where all T cells are specific for OT-I epitope of ovalbumin) and OT-I-Tg-*Cblb* KO (OT-I Tg lacking Cblb) as *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup>,
- of ovalbumin) and OT-I-Tg-*Cblb* KO (OT-I Tg lacking Cblb) as  $Cblb^{+/+}$  and  $Cblb^{-/-}$ , respectively (in this study). We used these mice for three main reasons: 1. Adaptive T-cell
- 267 immunity is severely compromised as most of the T cells are CD8<sup>+</sup> T cells and do not recognize
- 268 NTM, and have a very low number of CD4<sup>+</sup> T cells; 2. Lymphoid architecture is not affected as
- seen with *Rag*<sup>-/-</sup> mice (Koning and Mebius, 2012); and 3. NTM infections commonly occur in
- 270 individuals with compromised T-cell functions (Henkle and Winthrop, 2015; Ratnatunga et al.,
- 271 2020). We used a strain of *Mycobacterium avium* (MAV) as an NTM for our studies as most of
- the NTM infections (50-90%) seen in humans, accounting for up to  $\sim$ 40% mortality, are caused
- by M. avium complex (MAC) species (Johnson and Odell, 2014; Diel et al., 2018; Horne and
- 274 Skerrett, 2019). Mice were infected by both intravenous (i.v.) and intratracheal (i.t.) routes and
- rested for several weeks to determine the bacterial load in the tissues and dissemination. We
- found that *i.v.* infection of  $Cblb^{+/+}$  mice caused a gradual increase of bacterial burden in the lungs from Wk 6 to 22 post-infection (PI). In the liver and spleen, NTM bacterial kinetics was

either stable or increased during the later time points (Fig. 2A). Similarly, following intratracheal

infection, the bacterial burden was increased steadily in the lungs and spleens, including

mediastinal lymph nodes (draining LN of the lungs), in  $Cblb^{+/+}$  mice (**Fig. 2B**). In striking

contrast, the bacterial loads were significantly higher in  $Cblb^{-/-}$  mice compared with  $Cblb^{+/+}$ 

group at all the timepoints following *i.v.* infection (**Fig. 2A**). Analogously, *Cblb<sup>-/-</sup>* mice had

significantly higher bacterial loads in most tissues compared with  $Cblb^{+/+}$ , following *i.t.* infection (**Fig. 2B**). Further, we determined the bacterial load in the brains and found that  $Cblb^{-/-}$  mice had

relatively higher CFUs compared with  $Cblb^{+/+}$  groups (Supp. Fig. 1). Collectively, our data

suggest that CBLB inhibits the bacterial growth and dissemination during NTM infection under

- 287 T-cell deficiency.
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## 290 Cblb-deficient macrophages constrain NTM growth in vitro

Our *in vivo* bacterial burden and dissemination data (Fig. 2) immediately piqued our interest in 291 dissecting the cell-intrinsic role of CBLB in macrophages, the primary target cells of 292 mycobacteria. We infected the bone-marrow-derived macrophages (BMM) in vitro to determine 293 bacterial growth (Fig. 3A-C). Following 4hr post-infection, BMM were washed to remove any 294 extracellular or non-adherent bacteria and were further incubated for several days. By day 2, with 295 5 MOI, *Cblb*<sup>-/-</sup> macrophages controlled the NTM growth significantly better than *Cblb*<sup>+/+</sup> BMM, 296 the phenotype maintained through day 6 (Fig. 3A). Although we did not notice significant 297 differences in the later time points (Fig. 3B), Cblb<sup>-/-</sup> BMM consistently had a lower bacterial 298 burden compared with *Cblb*<sup>+/+</sup> BMM. Further, we tested with a higher infection dose (10 MOI), 299 and the data recapitulated the lower MOI results in that *Cblb*<sup>-/-</sup> BMM controlled NTM growth 300 significantly better, if not completely, than  $Cblb^{+/+}$  BMM (Fig. 3C). Further, we tested if it is 301 due to differences in the rate of phagocytosis, and found a similar intake of bacteria by both 302 *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> BMM (Fig. 3D). To evaluate possible underlying factors for enhanced 303 functions of *Cblb*-deficient BMM, we assessed the activation status and ROS production. We 304 305 found, following infection, a significant augmented level of MHC-II (MFIs by flow cytometry) in  $Cblb^{-/-}$  BMM compared with  $Cblb^{+/+}$  (Fig. 3E). In a similar note, we observed CBLB 306 diminished the production of ROS, if not significantly (Fig. 3F). In another approach, we 307 infected *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> BMM with dsRED<sup>+</sup> MAV104, and at 48hr, cells were stained with 308 309 dyes for confocal microscopy imaging and quantification (Fig. 3G & H). We found similar or enhanced staining of CellROX (Invitrogen; measuring cellular oxidative stress) that was 310 associated with lysosomes (Lysotracker; Invitrogen) in both uninfected Cblb<sup>+/+</sup> and Cblb<sup>-/-</sup> BMM 311 (Fig. 3G, top panels, and 3H). In contrast, infected *Cblb*<sup>+/+</sup> BMM had significantly less staining 312

for CellROX compared with *Cblb<sup>-/-</sup>* cells (Fig. 3G, bottom panels, and 3H), suggesting a

negative role of CBLB for CellROX production. Thus, our data suggest that *Cblb*-deficient

315 macrophages are *competent* in controlling NTM growth.

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## 318 Flow cytometric analysis of innate immune cells during an NTM infection

Next, we wanted to systematically analyze the dynamics of various innate immune cell

numbers/responses during the infection *in vivo* to uncover the possible mechanisms at cellular

levels to decipher the higher bacterial growth/dissemination in *Cblb*<sup>-/-</sup> mice. We used several 321 322 fluorochrome-conjugated antibodies against different surface markers to phenotype innate immune cells and their activation status for analysis by flow cytometry (Misharin et al., 2013; 323 324 Hey et al., 2017). The gating strategy for identifying the particular innate immune cell subset is shown in Fig. 4A & B. We excluded CD90<sup>+</sup> cells to purge thymic derived T cell population. 325 Gating for Neutrophils and NK cells were common in both lungs and spleens. However, spleens 326 327 and lungs have different DC and macrophage subsets and are depicted in Fig. 4A & B. In essence, the markers for delineating various innate immune cells are defined as below. 328 Neutrophils: CD90<sup>-</sup>, CD11b<sup>+</sup>, Ly6G<sup>+</sup>; NK cells: CD90<sup>-</sup>, Ly6G<sup>-</sup>, CD11b<sup>-/+</sup>, NK1.1<sup>+</sup>; Alveolar 329 330 Macrophages (lung): CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11b<sup>-</sup>, CD11c<sup>+</sup>, Siglec-F<sup>+</sup>; <u>CD103<sup>+</sup> DCs (lung)</u>: CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11b<sup>-</sup>, CD11c<sup>+</sup>, CD103<sup>+</sup>; *Interstitial Macrophages (lung)*: CD90<sup>-</sup>, 331 Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>+</sup>, CD11b<sup>+</sup>, MHC-II<sup>+</sup>, CD64<sup>+</sup>; <u>CD11b<sup>+</sup> DC (lung)</u>: CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, 332 CD11c<sup>+</sup>, CD11b<sup>+</sup>, MHC-II<sup>+</sup>, CD64<sup>-</sup>; *Eosinophils*: CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, CD11b<sup>+</sup>, 333 Siglec-F<sup>+</sup>; Inflammatory monocytes: CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, CD11b<sup>+</sup>, Siglec-F<sup>-</sup>, Ly6C<sup>hi</sup>; 334 Plasmacvtoid DC: CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, CD11b<sup>-</sup>, Ly6C<sup>+</sup>; CD11b<sup>-</sup>/CD8<sup>+</sup> DC (spleen): 335 CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11b<sup>-</sup>, MHC-II<sup>+</sup>; CD11b<sup>+</sup>/CD8<sup>-</sup> DC (spleen): CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, 336 CD11b<sup>+</sup>, MHC-II<sup>+</sup>; Ly6C<sup>+</sup>; and Resident Monocytes (spleen): CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, 337 CD11b<sup>+</sup>, Ly6C<sup>+</sup>. We used this gating strategy for obtaining all flow cytometric data of innate 338 immune cell numbers/responses during in vivo studies. 339

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## 342 Deficiency of NK cell responses in the absence of *Cblb* during an NTM infection

We first evaluated NK cell, an important innate immune subset, responses for mediating 343 resistance to mycobacterial infections, including NTM infections (Allen et al., 2015; Garand et 344 al., 2018; Lai et al., 2018a). NK cells are cytotoxic cells, and one of the major IFNy producing 345 cells protecting against mycobacterial infections. Additionally, it has been shown that CD11b 346 347 expressing NK cells are more potent in their functions (Fu et al., 2014; Allen et al., 2015; 348 Venkatasubramanian et al., 2017; Cong and Wei, 2019). First, we examined, in vitro, if CBLB is expressed in and affected the NK cell functions after infection. The data showed that CBLB 349 expression levels were significantly increased in NK cells following NTM infection (Fig. 5A). In 350 congruence with published reports on negative regulation of CBLB in NK cells, we found a 351 significantly higher production of IFNy in *Cblb*<sup>-/-</sup> cells, irrespective of CD11b subsets, after 352 infection (Fig. 5B). Thus, we wanted to enumerate the numbers of CD11b<sup>+</sup> and CD11b<sup>-</sup> NK cells 353 354 during an NTM infection *in vivo*. Fig. 5C shows the frequencies of CD11b<sup>+</sup> NK cells in the lung (left panels) and spleens (right panels) at Wk22 PI following *i.v.* infection. The CD11b<sup>+</sup>NK cell 355 numbers were significantly lower in *Cblb*<sup>-/-</sup> compared with *Cblb*<sup>+/+</sup>. The frequencies of NK cells 356 were lower (lung) or significantly reduced (spleen) following *i.v.* infection (Fig. 5D). We 357 358 observed a similar defect, except at Wk 12PI, in CD11b<sup>+</sup> NK subset numbers following *i.t.* infection (Fig. 5E), suggesting that *Cblb*-deficiency blunted CD11b<sup>+</sup> NK cell responses during 359 an NTM infection. However, we found a non-apparent (i.v. infection) or a significant (i.t. 360 infection) effect of CBLB on CD11b<sup>-</sup> cell numbers (Supp. Fig. 2A & B). Thus, CBLB was 361 required to sustain or potentiate NK cell numbers/responses during an NTM infection. 362

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#### Dynamics of alveolar macrophages in the absence of *Cblb* during an NTM infection 364

Next, we investigated if CBLB regulates the number of alveolar macrophages, implicated in 365

orchestrating mycobacterial diseases (Russell et al., 2009; Cohen et al., 2018). Here, we assessed 366

the alveolar macrophage numbers during NTM infection. Following *i.v.* infection, we found 367

dampened alveolar macrophage numbers in the lungs of Cblb<sup>-/-</sup> mice compared with Cblb<sup>+/+</sup> 368

- 369 group (Fig. 6A & B) with a significant reduction during earlier time points, wks 6 & 12 PI.
- Interestingly, we found no difference of alveolar macrophage numbers in Cblb -sufficient and -370
- deficient groups, except at Wk 12, following *i.t.* infection (Fig. 6C), suggesting the dichotomous 371
- role of CBLB depending on the route of NTM infection. 372
- 373

#### Cblb deficiency affects inflammatory monocyte responses during an NTM infection 374

- Inflammatory monocytes dictate the outcome of several infections including mycobacterial (Shi 375
- and Pamer, 2011; Grainger et al., 2013; Liu et al., 2017; Dunlap et al., 2018; Sampath et al., 376
- 377 2018; Heung and Hohl, 2019), and are part of diverse populations of myeloid cells (Srivastava et
- al., 2014) triggered during tuberculosis for pathogenesis (Behar et al., 2010; Dorhoi et al., 2014; 378
- Lastrucci et al., 2015). Here, we evaluated the role of CBLB for inflammatory monocyte 379
- responses during NTM infection. Following *i.v.* infection, sequestration of inflammatory 380
- monocytes was overall similar between  $Cblb^{+/+}$  and  $Cblb^{-/-}$  mice in the lungs, but not in the 381
- spleen (Fig. 7A & D). In the spleen, infected *Cblb*<sup>-/-</sup> mice had significantly lower inflammatory 382
- monocytes compared with  $Cblb^{+/+}$  group, and a reciprocal increase in tissue-resident monocytes. 383
- Following *i.t.* infection, inflammatory monocytes in both lung and spleen were similar between 384
- both Cblb<sup>+/+</sup> and Cblb<sup>-/-</sup> groups, except at Wk 18 PI, where we found an increase in Cblb<sup>-/-</sup> mice 385 (Fig. 7D). Next, we looked at the expression level of MHC-II (as a measure of activation. The
- 386
- data suggested that MHC-II levels, reflected by MFI, were significantly lower on inflammatory 387 monocytes at both Wks 6 and 22 PI in  $Cblb^{-/-}$  groups compared with  $Cblb^{+/+}$  controls (Fig. 7B) in
- 388 both lung and spleens. Additionally, we measured the ROS production by inflammatory 389
- monocytes ex vivo. Our data indicated that Cblb<sup>+/+</sup> cells had lesser ROS expression compared 390
- with *Cblb<sup>-/-</sup>* (Fig7C). Thus, CBLB negatively regulates the ROS production in inflammatory 391
- monocytes, which was reminiscent of ROS production by BMMs in vitro (Fig. 3G & H). 392
- Collectively, our data show that inflammatory monocyte activation, but not ROS production, was 393
- inhibited in the absence of CBLB. 394
- 395
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#### Role of CBLB in neutrophils during an NTM infection 397

The neutrophil responses during mycobacterial diseases is a double-edged sword, and 398

399 thcontribution to immunity is debated (Lowe et al., 2012; Lyadova, 2017). Here, we assessed

400 neutrophil responses during NTM infection. First, we examined if the phagocytic ability of

neutrophils was affected by CBLB. Bone-marrow-derived neutrophils (BMN) were incubated 401

with 5MOI of dsRED<sup>+</sup>MAV104, and analyzed by flow cytometry. At 4hr and 12hr PI, ~50% and 402

~90%, respectively, of both  $Cblb^{+/+}$  and  $Cblb^{-/-}$  BMN were dsRED<sup>+</sup> (Fig. 8A), suggesting a 403

- minimal role of CBLB for phagocytosis. However, there was a significant increase in activation 404
- status (MFI of CD44<sup>hi</sup>) of Cblb<sup>-/-</sup> BMN compared with Cblb<sup>+/+</sup> cells (Fig. 8B). Next, we 405

## 406 evaluated neutrophil numbers *in vivo*. **Fig. 8C** shows the gating of frequencies of neutrophils in

- flow plots in the lung and spleen at Wk 22 PI, and found no differences between the groups.
- 408 Following *i.v.* infection, neutrophil numbers were significantly reduced at an early time point in
- 409 the spleen (Wk 6) in  $Cblb^{+/-}$  mice compared with  $Cblb^{+/+}$  (Fig. 8E; bottom left panel). However,
- 410 in other time points and in the lungs, CBLB played a minimal role for neutrophil numbers (Fig.
- 411 **8E; left panels**). Similarly, CBLB was dispensable in regulating the neutrophil numbers
- following *i.t.* infection, except that we found a significant difference in the lung at Wk 18 PI.
- 413 Next, we asked if neutrophil activation, which may be involved in pathology, was modulated by
- 414 CBLB. Interestingly, on the contrary to *in vitro* data, we found significantly lower MFI of CD44
- on neutrophils of  $Cblb^{-/-}$  compared with  $Cblb^{+/+}$  mice (Fig. 8D). Similarly, we found defect in
- activation of neutrophils in the absence of *Cblb* in lung and spleen, following i.v. and i.t routes of
  infection, respectively (Supp. Fig. 3A & B). Collectively, CBLB may be redundant for
- <sup>417</sup> Infection, respectively (Supp. Fig. 5A & B). Concentrely, CBLB may be redundant for <sup>418</sup> neutrophil numbers *in vivo*, but may help maintain the activation status during an NTM infection
- 418 neutrophil numbers *in vivo*, but may help maintain the activation status during an NTM infection.
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## 421 Dynamics of eosinophil numbers in the absence of *Cblb* during an NTM infection

422 Next, we asked if CBLB promotes an inadvertent response that may have affected bacterial

growth and immunity (Kirman et al., 2000; Pfeffer et al., 2017). Following *i.v.* infection, we

- 424 observed a significant increase in eosinophil numbers in  $Cblb^{-/-}$  mice compared with  $Cblb^{+/+}$
- group (Fig. 9A). Following *i.t.* infection, eosinophil responses were significantly higher in *Cblb*<sup>-/-</sup>
- 426 mice compared with  $Cblb^{+/+}$  group at Wk 8PI in the lung, but not during later time points of
- 427 infection (**Fig. 9B**). In the spleens, responses were more dynamic, but no significant differences
- between  $Cblb^{+/+}$  and  $Cblb^{+/+}$  mice were observed in the later stages of infection (Fig. 9B).
- 429 Overall, our data suggested that CBLB plays a minimal or negative role in the regulation of
- eosinophil numbers following NTM infection.
- 431 432

## 433 CBLB alters the Plasmacytoid DC numbers during an NTM infection

Although the *in vivo* source of type I IFNs has yet to be clearly defined during NTM infection,

- 435 myeloid cells, including plasmacytoid DC (pDC) cells, are known to be significant producers.
- 436 Type I IFNs modulate disease pathogenesis and host responses during mycobacterial infection,
- both negatively and positively (Donovan et al., 2017; Lu et al., 2017; Moreira-Teixeira et al.,
- 438 2018; Parlato et al., 2018). Here, we enumerated the number of plasmacytoid DC during an
- 439 NTM infection. Following *i.v.* infection, plasmacytoid DC were significantly lower in the
- 440 absence of *Cblb* at both Wks 6 and 12 PI (Fig. 10B), but not at a later time point (Wk 22PI; Fig.
- **10A & B**). However, following *i.t.* infection, plasmacytoid DC were not affected, except at W/12 PL where we found a significant reduction in  $Ch/h^{-/2}$  approach with  $Ch/h^{+/2}$  miss (Fig.
- 442 Wk12 PI, where we found a significant reduction in  $Cblb^{-/-}$  compared with  $Cblb^{+/+}$  mice (Fig. 443 **10C**). Thus, our data suggest an early depletion or inhibition of pDC in the absence of Cblb
- 443 10C). Thus, our data suggest an early depletion or inhibition of pDC in the absence of Column during systemic infection at earlier time points.
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## 447 CBLB is required for conventional dendritic cell responses during an NTM infection

448 Next, we evaluated the role of CBLB for conventional DC (cDC) responses, which are necessary
 449 for productive adaptive immunity, and modulation of inflammation during mycobacterial

450 infections (Mihret, 2012; Lai et al., 2018b; Ribechini et al., 2019). We measured the numbers of

- 451 cDC in the spleen (both CD8<sup>+</sup>/CD11b<sup>-</sup> and CD8<sup>-</sup>/CD11b<sup>+</sup>), and found that  $Cblb^{-/-}$  mice had
- 452 significantly blunted cDC numbers at all the time points compared with  $Cblb^{+/+}$  in (Fig. 11A &
- **B**). Next, we asked if level of MHC-II, a classical marker for cDC activation, was affected by
- 454 CBLB. Similar to the numbers, activation status (MFI of MHC-II) on CD8<sup>+</sup> cDC (cross-
- 455 presenting cells), but not on CD8<sup>-</sup> cDC, were significantly reduced in the absence of *Cblb* at
- earlier time points of infection (Fig. 11C; data not shown). Thus, CBLB helps for robust cDC
- 457 responses during an NTM infection.
- 458 459

## 460 Role of CBLB in granulomatous inflammation during an NTM infection

461 Next, we wanted to determine the effect of CBLB for NTM pathogenesis by histopathology.

462 Although the beneficial role of granuloma formation for the host defense is debated

- 463 (Ramakrishnan, 2012; Silva Miranda et al., 2012; Pagan and Ramakrishnan, 2014), the dogma,
- 464 nevertheless, supports for prevention of dissemination (Saunders and Cooper, 2000; Ndlovu and
- 465 Marakalala, 2016). We dissected the role of *Cblb* for granulomatous inflammation, orchestrated
- by innate immune cells under T-cell deficiency. We harvested tissues following an NTM
- infection at Wks 3, 6, 9, and 13, and subjected for histopathological readings. The inflammation,
- 468 characterized by small discrete granulomatous foci, was prominent in  $Cblb^{+/+}$  mice at 3-wk PI in 469 the spleen & liver (**Fig. 12**). Similarly, multiple small indiscrete granulomatous foci were found
- 469 the sphere  $\alpha$  fiver (**Fig. 12**). Similarly, multiple small indiscrete granulomatous foct were found 470 in the lungs. In contrast, granulomatous foci were not apparent in the *Cblb*<sup>-/-</sup> mice in any of the
- 471 tissues at 3-wk PI and a few at 9-wk PI. However, small discrete granulomatous inflammation
- foci were observed at 13-wk PI in all tissues in *Cblb<sup>-/-</sup>* mice (**Fig. 12**). These readings suggest a
- delay in the formation of granulomas in the absence of *Cblb*. Many increasing numbers of acid-
- 474 fast bacilli, within the cytoplasm of macrophages, were noticed in *Cblb*<sup>-/-</sup> mice compared with
- 475  $Cblb^{+/+}$  starting from 3-wk PI (data not shown) that was reminiscent of CFU readings (Fig. 2).

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- 476 Collectively, our data suggest that CBLB facilitated the early formation of granulomas and477 thwarting of dissemination during an NTM infection under T-cell deficiency.
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#### 491 Discussion

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493 Host regulators of innate immune responses during NTM infections are not well studied,

494 including under severe T-cell deficiency. In this study, we systematically evaluated the role of

- 495 CBLB for innate immunity and control of bacterial dissemination during an NTM infection in
- 496 mice. We found that *Cblb*-deficiency enhanced bacterial growth and dissemination *in vivo*. We
- 497 found several altered or defective innate immune cells under CBLB deficiency, including
- 498 reduced NK cell numbers, reduced activation/numbers of inflammatory monocytes,
- 499 conventional Dendritic Cells, and neutrophils. Notably, our studies showed that CBLB facilitated
- the early induction of granulomatous inflammation. Additionally, we showed the induction of
- 501 CBLB following NTM infection, and *Cblb*-deficient macrophages were competent to control the 502 growth of bacteria.
- 502 503

504 Mouse models of NTM infections, especially using C57BL/6 and *M. avium*, suggest that

- bacterial pathogenesis can be studied [(Chan ED et al., Mycobact Dis 2016, 6:3); (Verma et al.,
- 506 2019)]. Here we define CBLB as an important innate host regulators of NTM infections. Our
- 507 study showed that CBLB is necessary for inhibiting NTM growth and dissemination under T-cell
- deficiency (**Fig. 2**). To delineate the cellular mechanisms, we sought to determine if CBLB could
- be induced in macrophages, primary target cells of mycobacteria, following NTM infection. We
   discovered that CBLB was significantly induced in primary macrophages, including splenocytes.
- 511 Next, we delineated the role of CBLB for NTM growth in macrophages, including spielocytes.
- found that  $Cblb^{-/-}$  cells were equally competent or superior in inhibiting NTM growth compared
- with  $Cblb^{+/+}$  (Fig. 3), which may be due to enhanced ROS production (Shastri et al., 2018). Our
- *in vitro* immunity (CFU) data was in line with other studies using fungi (Wirnsberger et al.,
- 515 2016; Xiao et al., 2016), but was in contrast to recently published report using M. *tuberculosis*
- 516 (Penn et al., 2018). The latter study suggested that CBLB was required to sequester LpqN, an
- 517 Mtb virulence factor, for enhanced bacterial killing by macrophages. Interestingly, in this study,
- lpqN mutant Mtb was still able to grow higher in *Cblb*-deficient macrophages compared with
   *Cblb*-sufficient cells. Although utative LpqN family protein is present in M. *avium* (NCBI blast,
- sequence ID: EUA40567.1 and Broad institute MAV4561 protein) species, the
- 521 lifestyle/pathogenesis of these bacteria may be different in macrophages. Further studies are
- 522 warranted to decipher the *in vivo* role of CBLB in macrophages during mycobacterial diseases.
- 523

NK cells are critical regulators of pathogenesis during mycobacterial, including NTM infections 524 (Dhiman et al., 2012; Allen et al., 2015; Lai et al., 2018a). Our study showed a significant loss of 525 NK cells in *Cblb*-deficient mice, especially of CD11b<sup>+</sup> subset. To validate our observations on 526 527 the role of CBLB in NK cells in vivo, we investigated if NTM infection would affect the expression of CBLB and its function using *in vitro*. NTM infection did significantly enhance 528 CBLB levels in NK cells, and as predicted (Liu et al., 2014), Cblb<sup>-/-</sup> NK cells produced higher 529 IFN $\gamma$  compared with *Cblb*<sup>+/+</sup> (Fig. 5). Hence, we postulate that impaired NK cell numbers in 530 531 *Cblb*-deficient mice may be one of the causes of higher bacterial burden and dissemination. Our results are in line with the potential beneficial role of NK cells to control NTM infection (Lai et 532 al., 2018a), and CD11b<sup>+</sup> NK cells may be of superior in functions (Lu et al., 2014; Allen et al., 533 2015; Venkatasubramanian et al., 2017; Garand et al., 2018; Lai et al., 2018a). Although the role 534 535 of Cblb-deficient NK cells during the early phases of infection and their loss during NTM

infection is not known, we think a higher antigen level is the probable cause for their depletion,

akin to NKT or T cells (Fuller and Zajac, 2003; Kee et al., 2012). Further studies are required to
determine CBLB-dependent NK cells for control of NTM infection.

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540 There is an immense interest in the role of newly recruited monocytes, including inflammatory monocytes, in the pathogenesis of mycobacterial diseases (Serbina et al., 2008; Marakalala et al., 541 2018: Sampath et al., 2018; Davis et al., 2019). Monocytes/macrophages, recently recruited, 542 543 expressing CCR2 seem to be highly permissive to *M. tuberculosis* due to their inability to get 544 activation signals that are masked by phenolic glycolipids decoration on bacteria (Cambier et al., 2017; Garcia-Vilanova et al., 2019). However, several studies have shown the enhanced 545 susceptibility under CCR2-deficiency, which may also depend on the virulence of mycobacteria 546 547 (Peters et al., 2001; Dunlap et al., 2018; Garcia-Vilanova et al., 2019). Thus, newly recruited monocytes are critical regulators of pathogenesis and outcome of mycobacterial diseases, and 548 can be targeted for therapeutic interventions (Norris and Ernst, 2018). Additionally, 549 monocytes/macrophages can regulate defense against mycobacteria by producing reactive 550 oxygen/nitrogen species (Lamichhane, 2011), and priming productive T-cell responses (Scott 551 and Flynn, 2002; Peters et al., 2004; Samstein et al., 2013). In our study, we found a poor 552 activation (low MHC-II), but higher production of ROS, in *Cblb*-deficient inflammatory 553 monocytes (Fig. 7). However, numbers of inflammatory monocytes were relatively intact, except 554 in the spleens of IV infected groups, where we found enhanced numbers of non-inflammatory 555 monocytes. These non-inflammatory monocytes, in a recent study, are classified as Myeloid-556 557 Derived Suppressor Cells, subvert T-cell responses and cause impaired DC response (Abdissa et al., 2018). We reasoned if CBLB regulates the expression of CCL2, a chemokine involved in the 558 recruitment of monocytes. We found either normal or less CCL2 expression in infected Cblb<sup>-/-</sup> 559 cells compared with  $Cblb^{+/+}$ , in vitro (Supp. Fig. 4), suggesting a minimal role of CCL2 for the 560 abnormal phenotype. Nevertheless, in vivo dynamics of CCL2 expression and its role in the 561 activation of monocytes during NTM infection needs further investigation (Bose and Cho, 2013; 562 Domingo-Gonzalez et al., 2016). The low MHC-II expression levels on Cblb-deficient 563 inflammatory monocytes may be due to their less differentiation status or commitment into 564 macrophage/dendritic cell (Fig. 6B & 11) lineage under altered micromilieu (Rivollier et al., 565 2012; Srivastava et al., 2014; Lastrucci et al., 2015; Sampath et al., 2018). Nevertheless, we 566 found an enhanced ROS production under Cblb-deficiency in vivo that recapitulated our in vitro 567 BMM studies (Fig. 3), and may, perhaps, be involved in dissemination in the lack of T-cell help 568 or enhanced cell death (Roca and Ramakrishnan, 2013; Divangahi et al., 2018). 569

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Neutrophils play a dichotomous role during mycobacterial infections, and their role for 571 contributing to immunity or immunopathology is confounded (Lowe et al., 2012; Kroon et al., 572 573 2018). Early recruitment and status of neutrophils seem to dictate their role in the control the mycobacterial infection, and many studies show the importance of neutrophil function in CGD 574 patients in defense against active tuberculosis (Martineau et al., 2007; Kulkarni et al., 2016; 575 Mishra et al., 2017; Wolach et al., 2017; Lowe et al., 2018; Gideon et al., 2019). However, 576 577 exuberant neutrophil responses are associated with pathology (Lyadova, 2017). Our data suggested that phagocytosis of bacteria by neutrophils was not affected by Cblb-deficiency. 578 However, CBLB seems to inhibit the activation of BMN (MFI of CD44) in vitro. However, our 579 *in vivo* studies suggested otherwise, in that, the activation status of neutrophils was significantly 580

less in *Cblb*-deficient mice, but numbers were not affected. Similarly, we did not see significant

differences in the numbers of neutrophils among the groups by histopathology, despite increased

numbers of bacteria in the macrophages of *Cblb*-deficient groups. Although the role of CBLB for

- neutrophil functions is understudied, a recent study suggested a minimal effect of CBLB on
- neutrophil responses during candida infection (Xiao et al., 2016). Further functional studies are
- required to delineate CBLB role in neutrophils during the early phases of NTM infection.
- 587 (Appelberg et al., 1995; Lake et al., 2016).
- 588

589 Dendritic cells are of diverse types, but we classified them into two major groups in our study.

590 Plasmacytoid DC- immune modulators with little direct antigen presentation; and conventional

591 DC- which have a direct impact on adaptive immunity with antigen-presentation or activation 592 (Mihret, 2012; Parlato et al., 2018). In our study, we found a significant reduction in

plasmacytoid and conventional DC responses under *Cblb*-deficiency. We postulate that defective

- 594 DC cells in *Cblb*-deficient mice may have caused the dysfunction of other innate immune cells.
- 595 The defective DC responses may be due to lack of Th1 responses (Frasca et al., 2008) and, in the
- absence of such, with impaired clearance of bacteria, Th2 polarization may occur (Traynor et al.,
- 597 2000; Mendez-Samperio, 2010; Pfeffer et al., 2017). In line with this, we found an exuberant

eosinophil numbers in the absence of *Cblb*. Eosinophil numbers, an indicator of Th2 responses,

were higher in spleens following i.v. infection, especially in later phases. Studies in

600 mycobacterial diseases suggested a negative role of eosinophils (Pfeffer et al., 2017; Moideen et

- al., 2018), and their depletion fostered the immunity, but not prevention of dissemination
- 602 (Kirman et al., 2000). We could not explain the differences in the eosinophil numbers following 603 i.v./i.t. routes of infection, but we believe that it may be due to higher CFUs following i.v. 604 infection.
- 604 605

In this study, we have shown that CBLB was necessary for controlling bacterial growth and dissemination during an NTM infection under compromised T-cell immunity; the deficiency led to poor or altered status of many innate immune cell subsets, and the lack of early granulomatous inflammation. Future studies are warranted to uncover the role of CBLB in each innate immune cell subsets during NTM infection. Collectively, our studies demonstrate that CBLB can be a target for therapeutic and preventive measures in controlling NTM infections.

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- contributed significantly in the field of NTM or mycobacterial diseases that were missed incitations.
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## 636 Author Contributions

- 637
- 638 SM and JS designed the experiments, executed, and analyzed the data. HFMA designed,
- 639 executed, and analyzed some of the *in vitro* & *in vivo* experiments. TCK executed and analyzed
- some *in vitro* experiments. WC designed, executed, and analyzed western blot experiments.
- 641 AMT provided the Mycobacterium strains and helped in designing some of the experiments.
- 642 MDV analyzed the histopathological data and edited the MS. SGN conceived the project,
- 643 designed the experiments, executed and analyzed the data, and wrote the manuscript.
- 644

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646

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## 650 Ethics Statement

- 651
- This work was carried in accordance with the protocol approved by IACUC committee at the University of Illinois at Urbana-Champaign.

## 654 **Conflict of Interest**

- 655
- Authors declare that they do not have any conflict of interest.
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### 996 FIGURE LEGENDS

997

Figure 1: Cblb induction and quantification. mRNA/protein levels of CBLB was measured in 998 naïve or infected cells & tissues by western blotting (A; uninfected & D; 5 MOI;  $\sim 1 \times 10^6$  cells), 999 1000 flow cytometry (A; uninfected, C and D; 5 MOI,  $>1x10^5$  cells (24-48hr post-infection, PI) and qPCR (**B**, pooled data from 2 experiments @24hr PI, 5MOI,  $>1x10^6$  cells). Data is representative 1001 of at least two independent experiments. N=4-6 replicates for qPCR, and 4-5 mice for flow 1002 cytometry. Pooled samples were used for western blotting. Values are mean  $\pm$  SD. \*p $\leq 0.05$ , 1003 \*\*p≤0.01, \*\*\*p≤0.001, and \*\*\*\*p≤0.0001. MFI=Mean Fluorescence Intensity. The cells/tissues 1004 were from both OT-I Tg/KO or WT/KO background mice. 1005 1006

**Figure 2**: *Cblb*-deficiency promotes NTM dissemination in mice. *Six to eight*-wk-old *OTI-Tg*-1008 *Cblb*<sup>+/+</sup> (*Cblb*<sup>+/+</sup>) and *OTI-Tg-Cblb*<sup>-/-</sup> (*Cblb*<sup>-/-</sup>) mice were infected either intravenously (I.V.; **A**) 1009 or intratracheally (I.T.; **B**) as described in Methods. At indicated week PI, tissues were harvested 1010 and bacterial loads were quantified. IV infection data is representative of 2-3 experiments. 1011 Values are mean  $\pm$  SD. N=3-6 mice/group. \*p $\leq$ 0.05, \*\*p $\leq$ 0.01, \*\*\*p $\leq$ 0.001, and \*\*\*\*p $\leq$ 0.0001.

1012

Figure 3: CBLB hinders NTM growth in Bone-Marrow Derived Macrophages (BMM) in vitro. 1013 Plated BMM cells, either from Tg or WT -background, were infected with MAV104, and at 4hr 1014 1015 post-infection, wells were washed to remove non-adherent/extracellular bacteria. On indicated post-infection days, seeded cells (A,  $\sim 1 \times 10^6$  cells; B,  $\sim 3.5 \times 10^5$  cells; & C,  $2 \times 10^5$  cells) were 1016 1017 lysed with 1% Triton X-100 and CFUs were enumerated on 7H10 agar plates. Data is of 4replicates/experiment from at least two-independent experiments. After 4hr post-infection, rate 1018 of phagocytosis (% dsRED<sup>+ve</sup>; **D**), MHC-II (**E**), and CellROX (**F**) expression in BMM cells were 1019 1020 analyzed by flow cytometry. (G) Plated infected BMM were washed after 48hr, stained with 1021 dyes, and analyzed by confocal microscopy. The ROS levels (H) were quantified using Image J software (NIH). Images are representative of 10-fields/experiment of 2-3 independent 1022 1023 experiments.

1024

Figure 4: Gating strategy to analyze various innate immune cells by flow cytometry. Mice were
infected as described in Fig. 2. Single-cell suspensions from the lung and spleens were stained
with fluorochrome-conjugated antibodies and were analyzed by flow cytometry. The figure
shows the gating strategy to analyze various innate immune cells and the derivatives for
calculation of their frequencies in the lung (A) and spleen (B; after NK cell gating). The
frequencies calculated were on among their immediate parent populations in following figures.

1031 Figure 5: NK cell responses in the absence of *Cblb*. A & B. Splenocytes from both OT-I Tg/KO or WT/KO background mice were infected with 5 MOI of MAV104. At 48hr PI, CBLB 1032 induction and % cytokine production by NK cells were analyzed by flow cytometry (N=4-6 1033 replicates and data is representative of 3 independent experiments). C-E. Cblb<sup>+/+</sup> and Cblb<sup>-/-</sup> mice 1034 were infected as described in Fig. 2. At indicated week PI, tissues were harvested, single-cell 1035 1036 suspensions were stained for NK cells (CD90<sup>-</sup>, CD11b<sup>+/-</sup> NK1.1<sup>+</sup>) using fluorochromeconjugated antibodies, and analyzed by flow cytometry. C. Dot plots show the percent of cells. D 1037 & E. Bar diagrams show the frequencies of NK cells. IV infection data is representative of 2-3 1038

- independent experiments. Values are mean  $\pm$  SD. N=3-6 mice/group. \*p $\leq$ 0.05, \*\*p $\leq$ 0.01, \*\*\*p $\leq$ 0.001, and \*\*\*\*p $\leq$ 0.0001.
- 1041

1042 Figure 6: Alveolar Macrophage numbers in the absence of *Cblb*. Mice were infected as described in Fig. 2. At indicated week PI, tissues were harvested, single-cell suspensions were 1043 1044 stained for alveolar macrophages (CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11b<sup>-</sup>, CD11c<sup>+</sup>, Siglec-F/CD64<sup>+</sup>) 1045 using fluorochrome-conjugated antibodies, and analyzed by flow cytometry. A. Dot plots show 1046 percent Alveolar Macrophages. B & C. Bar diagrams show the frequencies of Alveolar Macrophages in the lung of mice infected by I.V. and I.T. routes, respectively. Values are mean 1047 1048  $\pm$  SD. N=3-6 mice/group. IV infection data is representative of 2-3 independent experiments. \*p≤0.05 and \*\*p≤0.01. 1049

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Figure 7: Abnormal Monocytes responses under *Cblb*-deficiency. *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> mice were 1051 infected as described in Fig. 2. At indicated week PI, tissues were harvested, single-cell 1052 suspensions were stained for Inflammatory/Resident Monocytes (CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, 1053 1054 CD11b<sup>+</sup>, Siglec-F<sup>-</sup>, Ly6C<sup>hi/+</sup>, respectively) using fluorochrome-conjugated antibodies, and analyzed by flow cytometry. (A). Plots show the percent of Inflammatory or Resident 1055 Monocytes. B. MFI of MHC-II expression. (C). MFI of CellROX (pooled or individual mouse 1056 samples). (D) Frequencies of inflammatory monocytes. Values are mean  $\pm$  SD. N=3-6 1057 mice/group. IV infection data is representative of 2-3 independent experiments. \*p≤0.05, 1058

- 1059 \*\* $p \le 0.01$ , \*\*\* $p \le 0.001$ , and \*\*\* $p \le 0.001$ .
- 1060

Figure 8: Neutrophil responses under Cblb-deficiency. A & B. Bone marrow-derived 1061 neutrophils (BMN) were infected with 5MOI of dsRED<sup>+</sup>MAV104. At indicated time points, rate 1062 of phagocytosis (% dsRED<sup>+ve</sup>; A) and activation (MFI of CD44; B) of neutrophils were analyzed 1063 by flow cytometry. (C-E) Mice were infected as described in Fig. 2. At indicated week PI. 1064 1065 tissues were harvested, single-cell suspensions were stained for Neutrophils (CD90<sup>-</sup>, Ly6G<sup>+</sup>, 1066 CD11b<sup>+</sup>, NK1.1<sup>-</sup>) using fluorochrome-conjugated antibodies, and analyzed by flow cytometry. Dot plots (C) and Bar diagrams (E) show the percent of cells. D. Bar diagrams show the MFI of 1067 CD44 expression. IV infection data is representative of 2-3 independent experiments. Values are 1068 mean  $\pm$  SD. N=3-6 mice/group. \*p $\leq 0.05$ , \*\*p $\leq 0.01$ , \*\*\*p $\leq 0.001$  and \*\*\*\*p $\leq 0.0001$ . 1069 1070

Figure 9: Eosinophil numbers in the absence of *Cblb*. Mice were infected as described in Fig. 2.
At indicated week PI, tissues were harvested, single-cell suspensions were stained for
Eosinophils (CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, CD11b<sup>+</sup>, Siglec-F<sup>+</sup>) using fluorochromeconjugated antibodies, and analyzed by flow cytometry. A. Plots show the percent of cells
following IV infection. B. Bar diagrams show the frequencies of eosinophils in the lung and
spleens of mice infected by I.T. route. IV infection data is representative of 2 independent

- 1077 experiments. Values are mean  $\pm$  SD. N=3-6 mice/group. \*p $\leq 0.05$ , \*\*p $\leq 0.01$ , and \*\*\*p $\leq 0.001$ .
- 1078

**Figure 10**: Plasmacytoid DC numbers under *Cblb*-deficiency. *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> mice were infected as described in Fig. 2. At indicated week PI, tissues were harvested, single-cell

1081 suspensions were stained for Plasmacytoid DC (CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, CD11c<sup>-</sup>, CD11b<sup>-</sup>,

- 1082 Ly6C<sup>+</sup>) using fluorochrome-conjugated antibodies, and analyzed by flow cytometry. **A.** Dot plots
- show the percent of cells. **B & C.** Bar diagrams show the frequencies of plasmacytoid DC in the
- 1084 lung and spleens of mice infected by I.V. and I.T. routes, respectively. IV infection data is

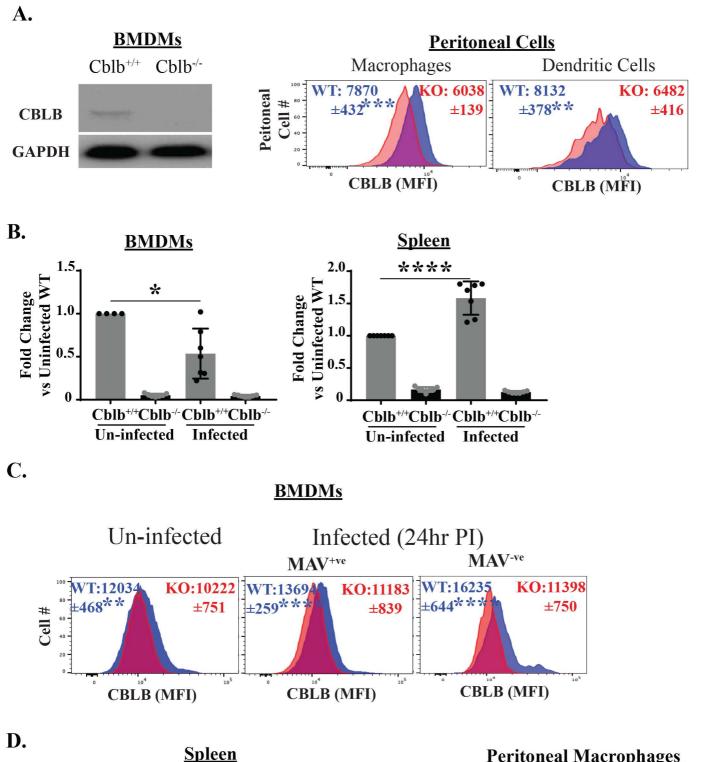
1085 representative of 2-3 independent experiments. Values are mean  $\pm$  SD. N=3-6 mice/group. 1086 \*p $\leq$ 0.05, \*\*p $\leq$ 0.01, and \*\*\*\*p $\leq$ 0.0001.

**Figure 11**: Conventional DC responses in *Cblb*-deficient mice. *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> mice were i.v. infected as described in Fig. 2. At indicated week PI, spleens were harvested, single-cell suspensions were stained for cDC (CD90<sup>-</sup>, Ly6G<sup>-</sup>, NK1.1<sup>-</sup>, MHC-II<sup>+</sup>, CD11b<sup>+/-</sup>) using fluorochrome-conjugated antibodies, and analyzed by flow cytometry. **A.** Dot plots show the percent of cells. **B & C.** Bar diagrams show the frequencies of plasmacytoid DC. The data is representative of 2-3 independent experiments. Values are mean ± SD. N=3-6 mice/group. \*p $\leq 0.05$ , \*\*p $\leq 0.01$ , and \*\*\*p $\leq 0.001$ .

Figure 12: Histopathology of infected tissues. *Cblb*<sup>+/+</sup> and *Cblb*<sup>-/-</sup> mice were infected as
described in Fig. 2 by *i.v.* route. At indicated week PI, tissues were harvested in 10% buffered
Neutral Formalin. Tissues were paraffin embedded, sectioned and mounted on the slides to stain
with Hematoxylin and Eosin (H&E). The multiple samples were read at multiple foci for
histopathological readouts, and representative photomicrographs of granulomatous inflammatory
foci (asterisks) are shown here. Bar=50um (Liver and Spleen), and 100um (Lung). N=5-6

- 1102 mice/group. Data is from 2-3 independent experiments.

## Figure 1.



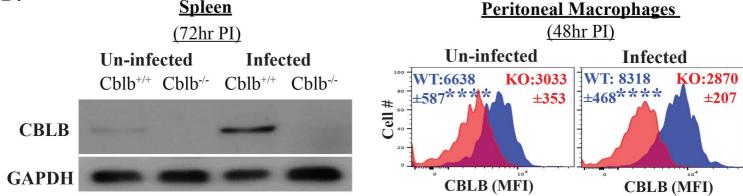
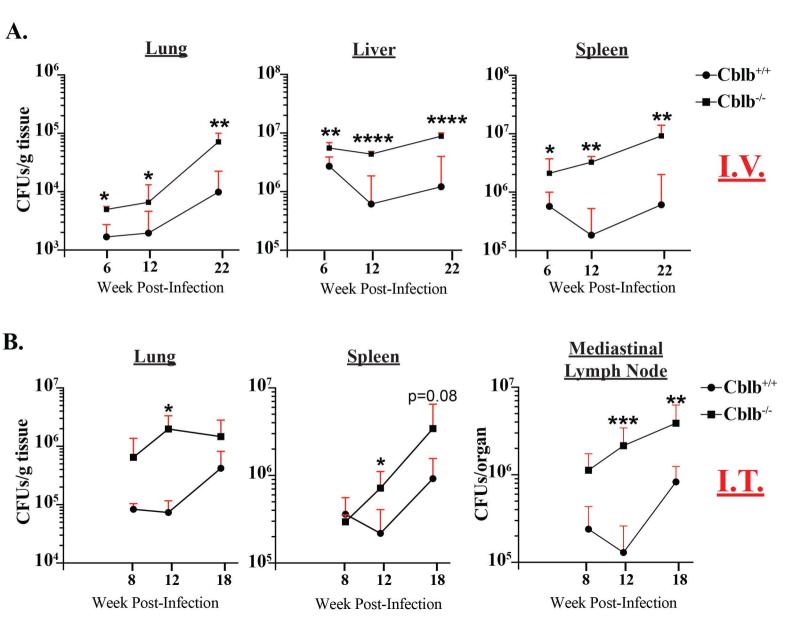
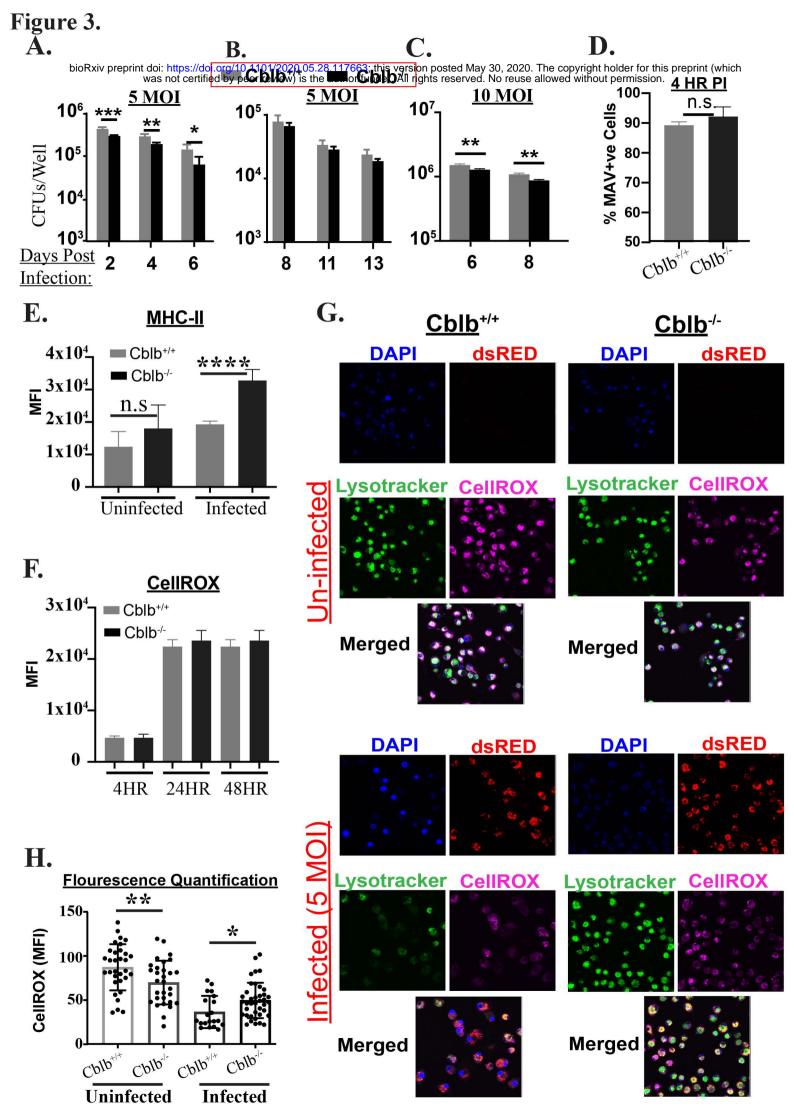


Figure 2.





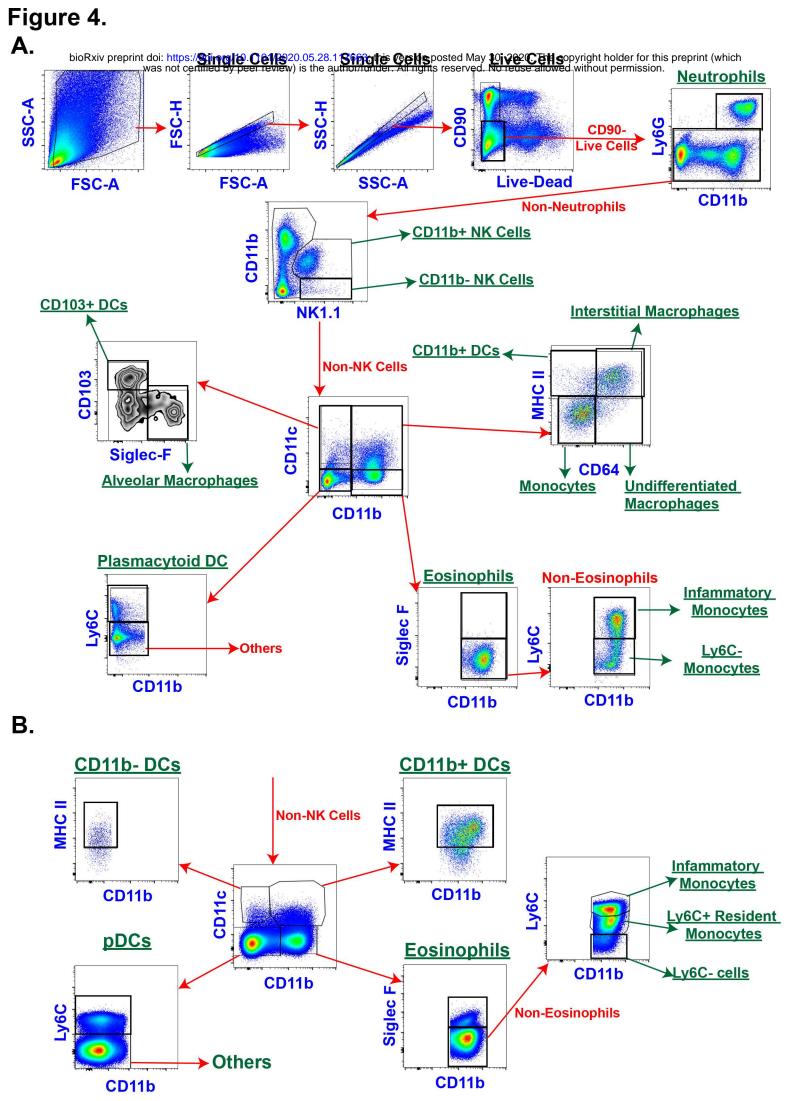


Figure 5.

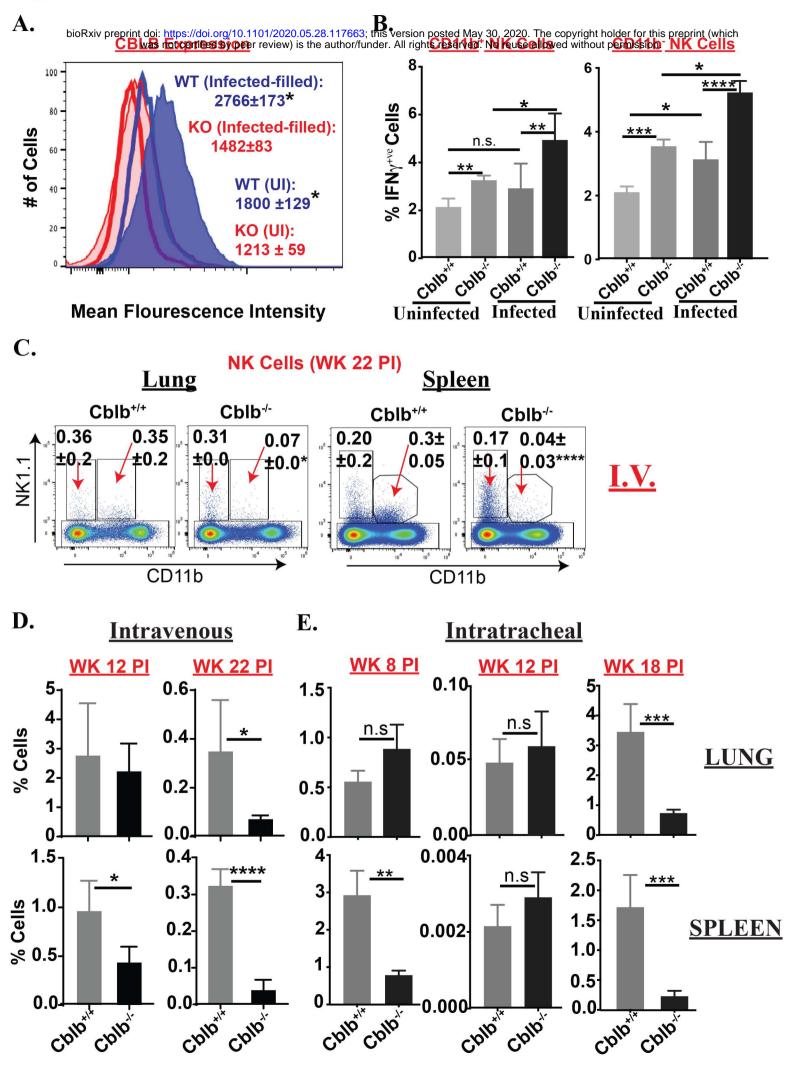
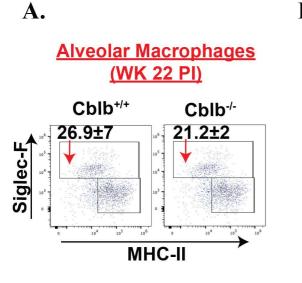
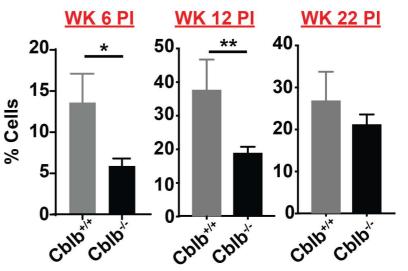


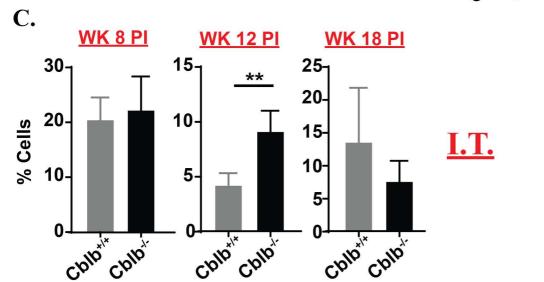
Figure 6.

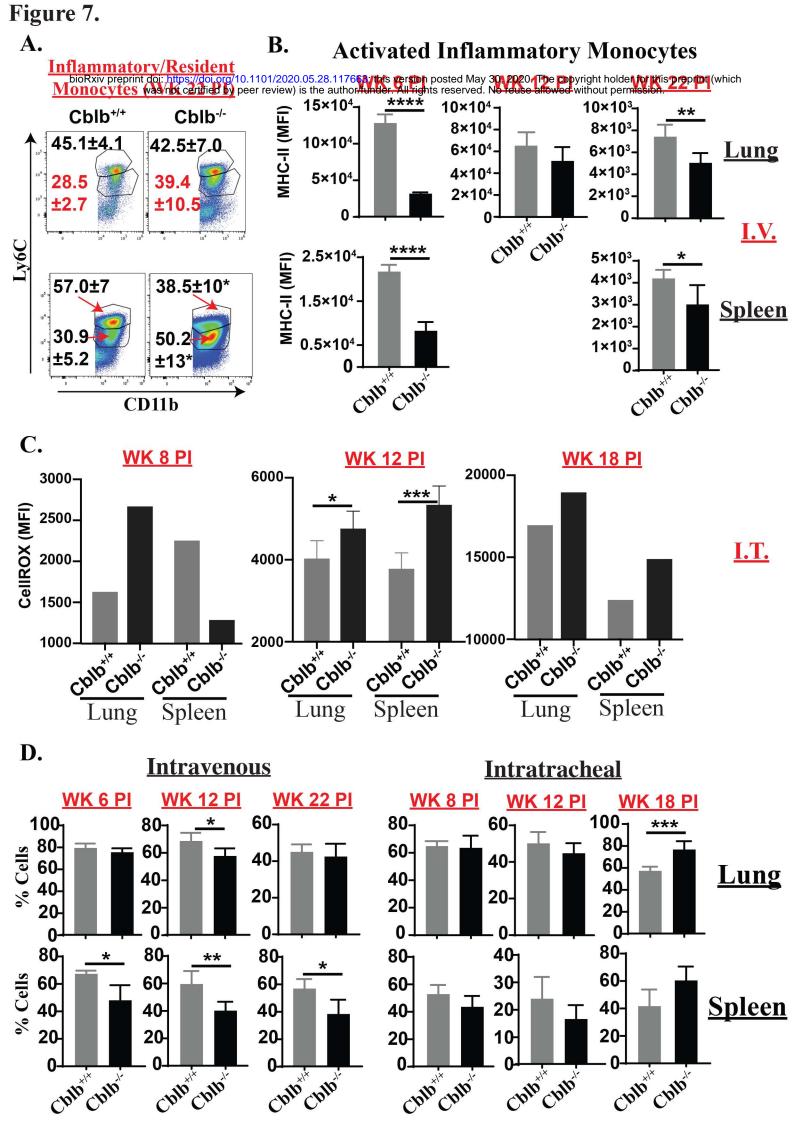


B.



<u>I.V.</u>





# Figure 8.

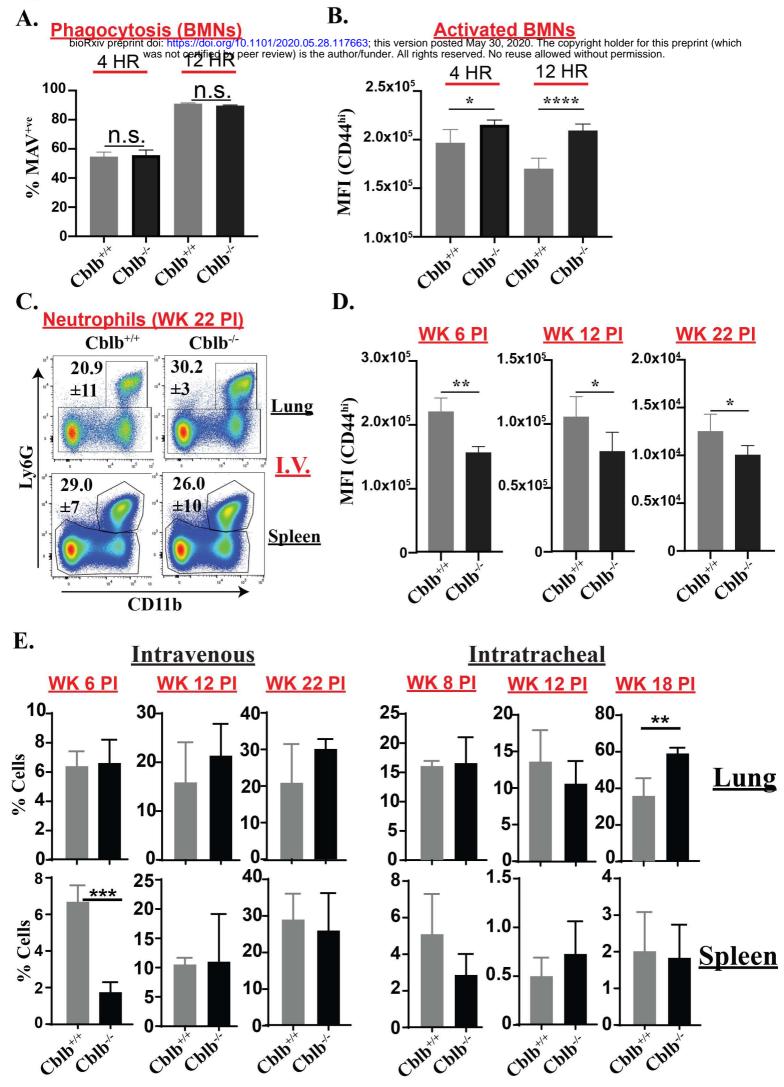
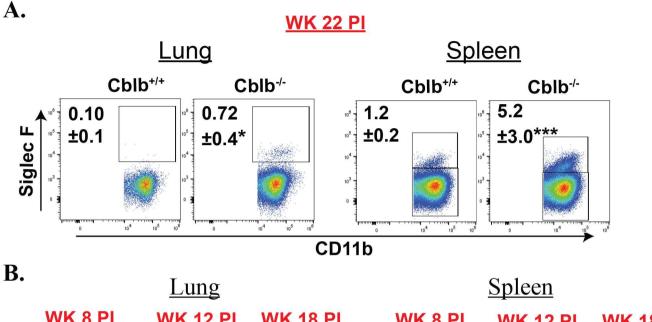


Figure 9.



<u>I.V.</u>

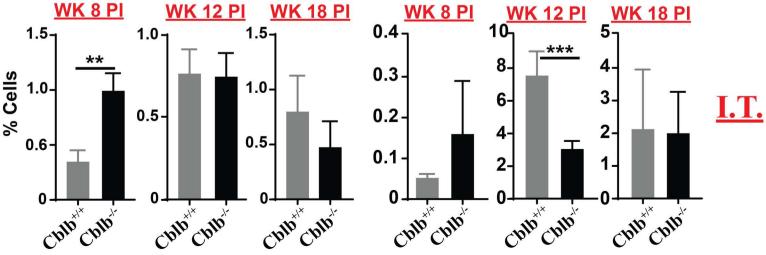
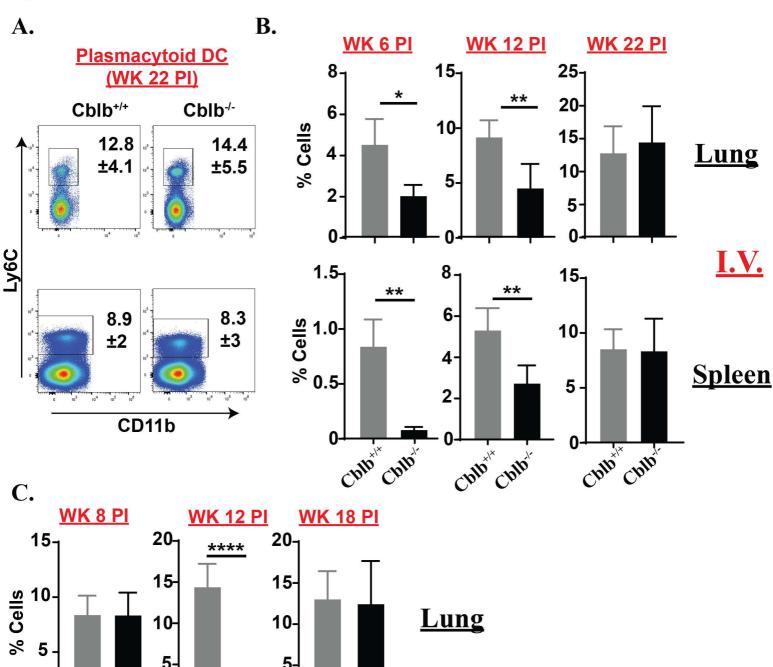


Figure 10.



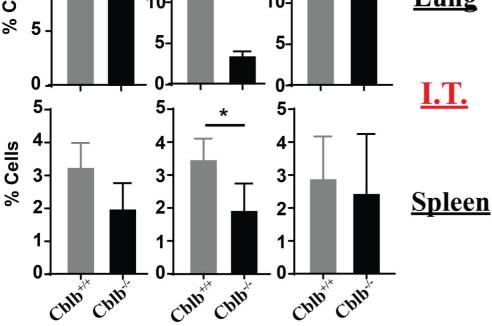
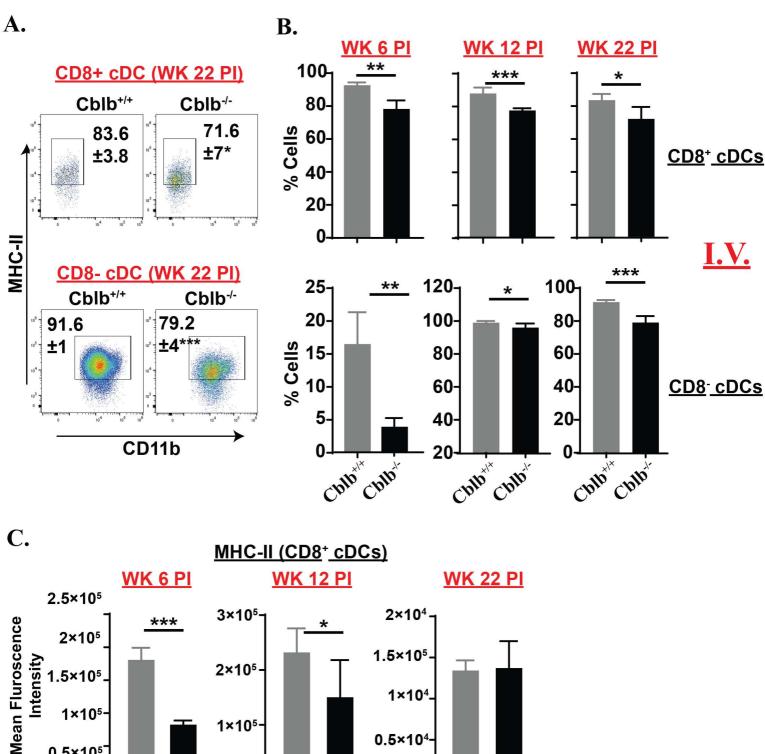
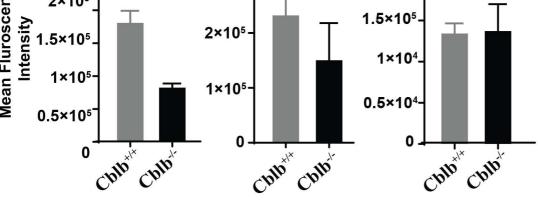


Figure 11.





# Figure 12

