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Interleukin-6 trans-signaling is a candidate mechanism to drive progression of human DCCs during periods of clinical latency

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28 Abstract

29 Although thousands of breast cancer cells disseminate and home to bone marrow until 30 primary surgery, usually less than a handful will succeed in establishing manifest 31 metastases months to years later. To identify signals that support survival or outgrowth 32 in patients, we profiled rare bone marrow-derived disseminated cancer cells (DCCs) 33 long before manifestation of metastasis and identified IL6/PI3K-signaling as candidate pathway for DCC activation. Surprisingly, and similar to mammary epithelial cells, 34 35 DCCs lacked membranous IL6 receptor expression and mechanistic dissection revealed IL6 trans-signaling to regulate a stem-like state of mammary epithelial cells 36 via gp130. Responsiveness to IL6 trans-signals was found to be niche-dependent as 37 38 bone marrow stromal and endosteal cells down-regulated gp130 in premalignant 39 mammary epithelial cells as opposed to vascular niche cells. PIK3CA activation 40 rendered cells independent from IL6 trans-signaling. Consistent with a bottleneck 41 function of microenvironmental DCC control, we found PIK3CA mutations highly 42 associated with late-stage metastatic cells while being extremely rare in early DCCs. 43 Our data suggest that the initial steps of metastasis formation are often not cancer cell-44 autonomous, but also depend on microenvironmental signals.

45 **Word count:** 175

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49 Introduction

In breast cancer, dissemination to distant sites precedes the clinical manifestation of 50 51 metastasis by six to eight years in median, ranging from less than one year to more 52 than 40 years ¹⁻³. These clinical data derived from breast cancer growth kinetics and 53 imaging studies are strongly supported by recent experimental evidence. Whereas dissemination from the primary site occurs preferentially in early tumor stages ⁴⁻⁶, 54 55 specific mechanisms reduce cancer cell dissemination in anatomically and molecularly 56 advanced stages ⁵. Furthermore, analysis of cancer growth kinetics suggests that not 57 only cancer cells disseminate early, but also that micro-metastatic colony formation is initiated early. However, its manifestation may take considerable time ^{1, 3, 7}. Such data 58 59 are consistent with the observation that early DCCs often lack critical genetic and genomic alterations, which they need to acquire at the distant site in breast and other 60 cancers. This process could explain the much longer clinical latency periods observed 61 in humans as compared to mouse models ^{5, 8, 9} and be particularly relevant for cancers 62 63 displaying late relapses such as hormone receptor positive breast cancer. However, 64 early dissemination and prolonged clinical latency at distant sites raise questions about 65 the identity and nature of signals conferring survival, genomic progression and outgrowth of DCCs over extended periods of time. 66

DCCs are extremely rare. They are detected at very low frequencies (1-2 DCCs per 10⁶ BM cells ^{10, 11}) in bone marrow (BM) of about 30% of breast cancer patients with no evidence of manifest metastasis. Besides genomic studies, the assessment of the DCC phenotype has been limited to testing for selected antigens ¹² and to anecdotal transcriptomic studies ^{13, 14}. Moreover, spontaneous or transgenic mouse models, such as the PyMT- or Her2-driven models, do not generate bone metastases. Hence, there is no *in vivo* model available to study the spontaneous progression and

74 genomic evolution from early BM infiltration to manifestation of bone metastasis. To 75 unravel mechanisms operative during clinical latency periods, we interrogated transcript-derived pathway information from DCCs isolated from BM of breast cancer 76 patients. Since early breast cancer DCCs often display close-to-normal genomes ^{5, 15}, 77 78 we used mammary epithelial cells isolated from reduction mammoplasties and 79 available immortalized pre-malignant breast cancer cell lines as cellular models for 80 functional testing of candidate mechanisms in vitro. We identified IL6 trans-signaling 81 as pathway that (i) activates normal and pre-malignant cells, (ii) induces a proliferative 82 stem/progenitor-like phenotype in mammary epithelial cells and (iii) whose activation 83 in DCCs depends on regulatory niche cells in bone marrow. These data shed light onto 84 the so far dark stage of early metastasis formation in patients. Moreover, it may inform about future ways to delay or prevent metachronous metastasis in patients whose 85 86 breast cancer is diagnosed to be locally confined by standard clinical means.

87

88 Results

89 Early DCCs do not engraft in immunodeficient mice

90 For decades attempts to culture early DCCs, i.e. DCCs from non-metastasized M0-91 stage patients, have failed. Only anecdotal reports have been published that were not 92 reproduced since then ¹⁶⁻¹⁸. We recently observed in melanoma that early DCCs failing 93 to generate xenografts differ genomically from DCCs that successfully engrafted, indicating a causative role of genomic "maturation" for metastasis and xenograft 94 95 formation. Specific alterations were identified that are closely linked to colonization success in mice and patients ⁹. We repeated these experiments for BM-derived breast 96 and prostate cancer DCCs from early (M0) and advanced (M1) stages. Given the very 97

low frequency of BM-DCCs (<10⁻⁶), we either injected CD45 depleted or EpCAM-98 99 enriched BM cells or generated and transplanted spheres as these have a higher engraftment-likelihood ⁹. In total, we tested 42 patient samples and different routes of 100 101 application, including sub-cutaneous, orthotopic (site of origin), intra-femoral and intra-102 venous injection. We then assessed tumor formation at the cutaneous injection sites 103 and metastatic spread to lungs or BM. BM-derived DCCs from M1-stage patients 104 engrafted in two out of four cases. In contrast, early DCCs from 42 M0-stage patients 105 did not establish xenografts (Fig. 1a, b), neither at the injection sites nor in the lungs 106 (p = 0.006; Fisher's exact test). We also explored the presence of minimal systemic 107 cancer by testing for human cytokeratin (CK) or EpCAM-positive cells in murine BM. 108 Interestingly, albeit DCCs of non-metastatic patients did not expand in mice, they 109 survived in murine BM in 4 out of 42 cases. We detected human EpCAM⁺ or CK⁺ DCCs 110 at a frequency of 1-5 DCCs/million BM cells 4-14 weeks after injection of CD45-111 depleted human BM cells (Fig. 1c). For one of these rare events we could not only 112 prove human and epithelial, but also malignant origin by single cell copy number 113 alteration (CNA) analysis (Fig. 1d).

In summary and consistent with our findings in melanoma, early DCCs from patients without manifest metastasis failed to generate xenografts. Besides lower absolute cell numbers and fewer genetic alterations (see below), microenvironmental dependence of early DCCs could account for these results. We therefore decided to retrieve candidate interactions of early DCCs with the microenvironment via direct molecular analysis of early DCCs from breast cancer patients and implement these results into surrogate *in vitro* models.

121

122 Pathway activation in mammary stem and progenitor cells

123 We hypothesized that stemness traits are necessary for the ability to survive and 124 progress in a hostile environment and to initiate metastasis. Therefore, we tested for 125 pathways activated in cells with progenitor or stem-like traits using our highly sensitive whole transcriptome amplification (WTA) method ^{14, 19}. To identify these cells, we 126 127 labeled freshly isolated primary human mammary epithelial cells (HMECs) from 128 reduction mammoplasties of healthy patients with the membrane dye PKH26. Labelled 129 cells were then cultured under non-adherent mammosphere conditions, which support 130 the expansion of stem/early progenitor cells and formation of multicellular spheroids of 131 clonal origin with self-renewing capacity ²⁰. Cell divisions during mammosphere-132 formation diluted the dye until only few label-retaining cells (LRCs) were visible under 133 the microscope (Fig. 2a). Isolating LRCs and non-LRCs (nLRCs) from disaggregated 134 PKH26-labeled HMEC-spheres and plating them as single cell per well confirmed that 135 the sphere-forming ability was solely confined to LRCs (Fig. 2b, Fisher's exact test P=0.02), which is consistent with previous findings ²¹. For transcriptome analysis we 136 137 isolated: (i) LRCs, (ii) nLRCs from disaggregated spheres and (iii) label-retaining cells 138 that had not divided or formed spheres over time, but remained as single cells and 139 were therefore termed quiescent single cells (QSCs). From each group we isolated single cells (LRC, n = 8; nLRC, n = 5; QSC, n = 10, Supplementary Table 1), performed 140 141 WTA and microarray analysis as previously described ^{19, 22}. Bioinformatics analysis 142 indicated most variable gene expression between LRCs and QSCs/nLRCs (Fig. 2c, 143 Supplementary Table 2) and we found twelve pathways significantly enriched in LRCs 144 over QSCs/nLRCs (Fig. 2d, Supplementary Table 2, 3).

146 Identification of EpCAM-positive DCCs in bone marrow

In order to test whether any of these pathways was enriched in DCCs isolated from 147 148 BM of breast cancer patients, we aimed to isolate DCCs with confirmed malignant 149 origin ¹⁴. We followed the reasoning that epithelial cell identity in bone marrow plus the 150 presence of genetic alterations is sufficient to claim malignant epithelial origin or 151 malignant potential of a cell. DCCs were detected by screening diagnostic BM 152 aspirates from 246 M0-stage and 18 M1-stage patients for cells that stained positively 153 for the epithelial marker EpCAM (Supplementary Fig. 1a). Forty percent of M0-stage and 72% of M1-stage patients harbored EpCAM⁺ cells. However, EpCAM is a surface 154 marker that is not as specific for DCCs as the diagnostically used cytokeratins¹⁴ in 155 156 bone marrow because it is expressed by cells from the B cell lineage (own unpublished 157 data and ²³). Therefore, we sought to differentiate between EpCAM-positive cells of breast cancer patients and non-cancer patients. 158

159 Copy number alterations (CNAs) are found in less than 5% of non-malignant cells with a median of 1.8% ²⁴⁻²⁶ and are diagnostically used to differentiate normal and 160 161 malignant cells ²⁷. We therefore performed combined genome and transcriptome 162 analysis and isolated genomic DNA and mRNA from the same single cell ^{5, 14}. Although this approach fails in 10-50% ^{13, 14, 28} and a CNA profile cannot be obtained for every 163 cell, we found that 50% and 80% of successfully analyzed EpCAM-positive cells from 164 165 M0 and M1 patients, respectively, harbored CNAs (Fig. 3a, Supplementary Fig. 1a). 166 We selected these DCCs for single cell RNA-Seq analysis (M0: n=30 DCCs, 21 167 patients; M1: n=11 DCCs, 5 patients). To provide additional evidence that the aberrant 168 EpCAM-positive cells are derived from a non-hematopoietic cell lineage, we compared 169 them with autochthonous EpCAM-positive bone marrow cells. The latter were isolated 170 from patients without known malignant disease undergoing hip replacement surgery.

171 Of note, cancer patient-derived and non-cancer patient-derived EpCAM-positive cells 172 could be clearly separated using the overall gene expression as well as epithelial and 173 B-cell annotated genes – with the exception of one M0 cell, which was therefore 174 excluded from further analysis (Fig. 3b). Moreover, many cells from M0-stage breast cancer patients strongly expressed the marker gene KIT (Fig. 3b), characteristic for 175 mammary luminal progenitor cells ²⁹ (see below). Together, copy number alterations 176 177 and the epithelial, non-hematopoietic phenotype of cells isolated from patients with 178 breast cancer provided compelling evidence that the selected cells were true DCCs.

179 **IL6 pathway activation in DCCs**

180 We then tested whether any of the pathways enriched in mammary stem cells (LRCs, 181 Fig. 2d) were also enriched in DCCs using pathway membership enrichment analysis. 182 We found four out of the twelve pathways to be significantly enriched in DCCs (Fig. 3c, 183 d, Supplementary Table 4) including the pathway "IL6-mediated signaling events", the "TCPTP" pathway, the "VEGF-VEGFR3" and "Angiopoietin-Tie2 receptor" pathways. 184 185 We decided to experimentally follow-up on the pathway "IL6-mediated signaling 186 events" for several reasons: (i) IL6 signaling was previously found to be relevant for 187 stemness maintenance, i.e. mammosphere-formation of ductal breast carcinoma and 188 normal mammary gland ³⁰; (ii) the TCPTP pathway, a negative regulator of IL6 189 signaling ³¹, was also enriched and (iii) assessment of individual genes expressed in 190 these pathways (Supplementary Fig. 1c) revealed substantial overlap of the four 191 pathways (Fig. 3e, Supplementary Table 5), indicating that related signaling modules 192 had been triggered. We also tested the expression of the extracellular signal receptors 193 and found that neither the receptor VEGFR3 nor Tie2 were expressed by DCCs. In 194 contrast, while the mRNA of *IL6RA* (the IL6 binding receptor unit) was also absent in 195 DCCs, the IL6 signal transducing unit gp130 was expressed (IL6ST, Fig. 3d) indicating

amenability of DCCs to solely IL6 trans-signaling (see below) and thereby to microenvironmental control. Given the hints for a role of IL6 signaling for stemness maintenance and the restricted expression of IL6 signaling molecules, we decided to explore the activation of the IL6 pathway in normal and pre-malignant mammary cells in detail.

201 IL6 trans-signaling activates sphere-forming ability

202 The IL6 pathway can be activated directly or *in trans*. Direct or classical IL-6 signaling 203 involves IL6 binding to the heterodimeric receptor consisting of the ubiguitously 204 expressed signal transducing receptor subunit gp130 and the membrane-bound IL6 receptor alpha chain CD126³². In contrast, trans-signaling does not involve the 205 206 membranous IL6RA (mIL6RA), but binding of IL6 to the soluble IL6R alpha chain 207 (sIL6RA) prior to binding to gp130 on the cell surface. sIL6RA can be generated by 208 alternative splicing or limited proteolysis of the membrane-bound receptor and 209 provided via autocrine and paracrine secretion. To explore the impact of the different 210 modes of IL6 signaling on stemness or early progenitor traits, we used the pre-211 malignant human mammary epithelial cell lines MCF 10A and hTERT-HME1 and 212 primary HMECs as models for early, genetically immature DCCs. Since metastasis founder cells are thought to display stem-like features ^{33, 34}, cells were cultured under 213 214 mammosphere conditions. Analysis of expression and secretion of IL6 signaling 215 molecules by MCF 10A and primary HMECs using ELISA. flow cytometry and single 216 cell PCR of LRCs and nLRCs (Supplementary Fig. 2a-d) indicated that (i) membranous 217 IL6RA is expressed only in a fraction of LRCs and nLRCs; (ii) expression of IL6 218 signaling molecules (IL6RA, IL6, gp130) does not significantly differ between LRCs 219 and nLRCs, (iii) co-expression of all signaling molecules in individual cells is extremely 220 rare and (iv) the soluble form of IL6RA (sIL6RA) is generated by shedding of mIL6RA

221 and not by splicing. Therefore, and in line with our results for breast cancer DCCs, IL6 222 trans-signaling via binding of IL6 to sIL6RA complexes to gp130 is much more likely 223 involved in pathway activation than classical IL6 signaling. We therefore asked if 224 stemness or early progenitor traits in mammary epithelial cells or DCCs are activated by a paracrine mode via classical signaling or trans-signaling. As a model for 225 226 endogenous trans-signaling activation, we identified normal mammary cell-derived 227 hTERT-HME1 cells with a knock-in of constitutively active EGFR (hTERT-HME1-228 EGFR⁴⁷⁴⁶⁻⁷⁵⁰)³⁵. This genetic change resulted in significantly increased amounts of IL6 229 trans-signaling components in the culture-supernatant (Supplementary Fig. 2e).

230 We then treated mammosphere cultures of MCF 10A, hTERT-HME1, hTERT-HME1-EGFR⁴⁷⁴⁶⁻⁷⁵⁰ and primary HMECs with activators or inhibitors of both pathways: (i) an 231 232 anti-IL6 antibody to inhibit IL6 classical and trans-signaling, (ii) IL6 to activate classical 233 and trans-signaling and (iii) Hyper-IL6 (HIL6) to selectively activate trans-signaling. 234 HIL6 is a fusion protein consisting of sIL6RA, a linker chain, and IL6 and is used as a molecular model of the IL6/sIL6RA complex ^{36, 37}. Adding IL6 or HIL6 to MCF 10A, 235 236 hTERT-HME1 cells or HMEC cultures significantly increased sphere-formation (Fig. 237 4a-c, Student's t-test P<0.01 or one-way ANOVA/Dunnett's test P<0.0001). 238 Interestingly, primary HMECs responded only to HIL6, but not IL6 (Fig. 4c) indicating 239 that (i) the increase in sphere-number was due to IL6 trans-signaling, (ii) spheres 240 originated from cells without mIL6RA expression and (iii) endogenous sIL6RA is a limiting factor (Supplementary Fig. 2c). Of note, hTERT-HME1-EGFR⁴⁷⁴⁶⁻⁷⁵⁰ could only 241 242 marginally be stimulated by addition of HIL6, suggesting that it added little to the 243 already available IL6/sIL6RA complexes (Fig. 4d).

To dissect the impact of classical and trans-signaling on the observed increase in sphere-formation and hence the number of cells with stem-like activity, we

specifically inhibited IL6 trans-signaling, but not classical signaling by adding the 246 soluble form of gp130 (sgp130-Fc) to IL6 stimulated MCF 10A sphere-cultures ^{38, 39}. 247 248 At both concentrations of sgp130-Fc tested, IL6-induced sphere-formation was 249 abolished (Fig. 4e; one-way ANOVA/Dunnett's test P<0.0001) demonstrating that cells 250 devoid of membranous IL6RA accounted for the increase in sphere-numbers by 251 acquiring or activating stem-like functions in response to IL6 trans-signaling. 252 Consistently, blocking of endogenous classical and trans-signaling in hTERT-HME1-253 EGFR⁴⁷⁴⁶⁻⁷⁵⁰ by anti-IL6, did not reduce sphere-formation to a greater extent than 254 blocking IL6 trans-signaling only (Fig. 4f, one-way ANOVA/Dunnett's test P<0.05 and 255 <0.01).

256 IL6 trans-signaling converts progenitor into stem-like cells

257 We noted that IL6- and HIL6-stimulated mammosphere-cultures showed an increase 258 in the relative abundance of CD44^{high}/CD24^{low} cells (Fig. 5a, b), a phenotype that has been ascribed to neoplastic and non-tumorigenic mammary cells enriched in tumor-259 initiating and sphere-forming cells, respectively ^{33, 40}. Here, HIL6-stimulated cultures 260 261 displayed the highest increase (Fig. 5a, b; one-way ANOVA/Dunnett's test ctrl. vs. IL6 262 +/- sqp130-Fc, P<0.01; ctrl. vs. HIL6, P<0.0001). The increase in CD44^{high}/CD24^{low} 263 cells was not the result of increased proliferation of any CD24/CD44 subpopulation. 264 but seemed to be caused by conversion of non-stem-like CD44^{high}/CD24^{high/int} into 265 CD44^{high}/CD24^{low} stem-like cells (Supplementary Fig. 3a-c). To corroborate these 266 findings, we compared IL6/HIL6-induced differential gene expression in MCF 10A cells 267 to differences in gene expression between mammary stem cell enriched (MaSC), 268 luminal progenitor (LumProg) and mature luminal (MatLum) cells as published by Lim 269 et al. ⁴¹. The overlap between the respective differentially expressed genes was highly 270 significant in almost all comparisons (Fig. 5c, Supplementary Fig. 3d, Supplementary

Table 6) and the observed expression fold changes were consistent with the notion that IL6/HIL6 stimulation recruits progenitor populations from both, more differentiated as well as more stem-like populations, with the de-differentiation branch (MatLum \rightarrow LumProg) being more consistent than differentiation (MaSC \rightarrow LumProg). It should be noted that these *in vitro* generated data are fully consistent with the strong expression of the luminal progenitor marker *KIT* in DCCs (Fig. 3b) that we found to be activated via IL6 signaling.

278 To confirm these findings, we tested if ex vivo derived primary HMECs converted to 279 stem-like cells by HIL6 activation. We isolated nLRCs from non-IL6-stimulated HMEC-280 mammospheres (Supplementary Fig. 3e) and re-plated them as single cell per well 281 with or without HIL6. Whereas in the absence of HIL6 nLRCs were unable to form 282 spheres, the proportion of sphere-forming cells induced from nLRCs in the presence of HIL6 was similar to that of non-HIL6 stimulated LRCs (3 % vs. 5 % sphere-formation 283 284 see Fig. 5d and 2b). Moreover, replacing HIL6 by IL6 in the first or second week of a 285 two-week mammosphere assay showed that continuous IL6 trans-signaling is needed 286 to induce and maintain the number of cells with stem-like activity (Supplementary Fig. 287 3f). Finally, we confirmed that primary HIL6-treated HMEC spheres retain their ability 288 to form acinar and tubular structures in vitro (Fig. 5e) and mammary ducts in 289 immunodeficient NSG-mice (Fig. 5f). As MCF 10A cells do not form tumors in 290 immunodeficient mice, we selected the luminal progenitor derived MDA-MB-231 cells 291 to test whether the HIL6 induced increase in sphere-formation translates into higher 292 malignancy in vivo. Like MCF 10A cells, MDA-MB-231 cells show increased sphere 293 formation in response to HIL6 (Fig. 5g). Upon xenotransplantation of an equal number 294 of MDA-MB-231 cells pre-treated with PBS, anti-IL6 or HIL6 for 3 hours, tumors in the HIL6-group were significantly larger than in the control group (Fig. 5h). This was not 295

caused by increased proliferation or decreased apoptosis as the percentage of Ki-67positive tumor cells did not differ significantly between the groups (Fig. 5i), and
caspase-3 positive cells were not detected in any of the tumors.

299

300 Bone marrow niche cells regulate responsiveness to IL6 trans-signaling

301 As gp130 expression is essential for IL6 signaling, we tested whether BM stromal cells 302 modulate the ability of mammary epithelial cells to receive IL6 signals. We isolated primary human mesenchymal cells (MSCs) from diagnostic BM-aspirates of non-303 304 metastasized breast cancer patients or healthy volunteers and confirmed their ability 305 to differentiate into adipocytes and osteoblasts in vitro (Fig. 6a, Supplementary Fig. 306 4a). We then co-cultured MCF 10A cells with (i) MSCs, (ii) in vitro differentiated 307 osteoblasts (OBs) or (iii) human umbilical vein endothelial cells (E4ORF1-HUVECs ⁴²) 308 under non-sphere conditions. Interestingly, flow cytometric analysis revealed cell 309 surface down-regulation of gp130 on MCF 10A cells co-cultured with MSCs and OBs, 310 but not with HUVECs (Fig. 6b). Separation of MCF 10 A and MSCs by a transwell or 311 using MSC-conditioned medium (CM) showed gp130 cell surface down-regulation to 312 be independent from cell-cell contact (Supplementary Fig. 4b). Moreover, down-313 regulation was not immediate but observed between 6 and 14 hours after initiation of 314 the co-culture with MSCs, OBs or MSC-conditioned medium from healthy donors or 315 breast cancer patients (Fig. 6c, Supplementary Fig. 4c). This kinetic is consistent with the known independency of gp130-internalization from ligand binding ⁴³ and points 316 317 towards a transcriptional regulation of gp130 surface expression. To test this, we 318 determined gp130 gene expression levels in single cells isolated from MCF 10A/MSCs 319 and MCF-7/MSC co-cultures. Interestingly, both cell lines decreased their gp130 gene 320 expression in response to MSCs (Fig. 6d), which is consistent with transcriptional

321 regulation and demonstrates that early DCCs as well as more advanced cancer cells322 can respond to signals from neighboring cells.

323

To explore the functional impact of gp130 downregulation induced by MSCs, we tested 324 325 MCF 10A cells pre-treated for 14 hrs with MSC-CM for their sphere-forming ability. 326 Pre-treated MCF 10A showed a significant decrease in sphere-number and an 327 increase in single, non-sphere forming cells in the presence of both, endogenously 328 produced IL6/sIL6RA or exogenously added HIL6 (Fig. 6e, f. Student's t-test, both 329 P<0.001). The data indicate that the microenvironment in which early DCCs reside 330 determines (i) their responsiveness to IL6 trans-signaling, with stromal and 331 osteoblastic niches disabling IL6 trans-signaling in DCCs, and as a consequence (ii) 332 the number of DCCs with stem-like phenotype and function, i.e. metastasis-initiating 333 ability.

334

Fully malignant DCCs escape IL6 trans-signaling dependence by oncogenic pathway activation

337 Cancer progression is driven by genetic and epigenetic evolution overriding 338 microenvironmental control mechanisms. Although consensus about the nature of the 339 metastatic niche is still lacking, MSC/OB-rich endosteal or vascular niches are believed 340 to regulate the fate of DCCs ^{42, 44}. Our experiments indicate that endosteal niches, although being rich in IL6 and sIL6RA molecules ⁴⁵⁻⁴⁸, render DCCs unresponsive to 341 IL6 trans-signals. However, gp130⁺ DCCs in vascular niches would respond to 342 343 IL6/sIL6RA complexes. Therefore, in both niches pathway activation by mutation would provide a selection advantage for DCCs that otherwise might depend on 344 345 microenvironmental signals. We consequently sought for corroborating evidence that

genetically variant DCCs may evade the need for IL6 trans-signaling and become 346 347 selected. We considered the PIK3CA pathway a strong candidate for such a selected oncogenically activated pathway⁴⁹ as (i) IL6 signaling activates not only the JAK/STAT 348 349 pathway, but also the PI3K/AKT pathway⁵⁰, (ii) early DCCs expressed *PIK3CA* as core 350 element of the four identified stemness-associated pathways (Fig. 3e), (iii) activating 351 PI3KCA mutations in exons 9 and 20 are among the most frequent mutations occurring 352 in human breast cancer and (iv) constitutive activation of the PIK3CA pathway has 353 been shown to evoke cell de-differentiation of mammary gland cells into a multipotent 354 stem-like state ⁵¹. To test *PIK3CA*-signaling, we analyzed sphere-formation in 355 response to HIL6 in pre-malignant MCF 10A and malignant breast cancer cell lines 356 with or without a PIK3CA activating mutation. Interestingly, HIL6 increased sphere-357 formation only in cells with wildtype PIK3CA (Fig. 7a), but not in cells with an activating PIK3CA^{E545K/+} mutation. Using isogenic MCF 10A cells with (knock-in of PIK3CA^{E545K/+}) 358 359 and without PIK3CA mutation, we noted that HIL6 activated the pSTAT3 pathway in 360 mutant and wildtype cells (Fig. 7b, Supplementary Fig. 5). In contrast, IL6 trans-361 signaling induced a massive activation of the pERK and pAKT pathways only in 362 wildtype cells as these pathways were already activated in PI3K-mutant cells (Fig. 7b). 363 Consistently, untreated PI3K-mutant cells formed significantly more spheres than 364 wildtype PIK3CA cells (Fig. 7c, Student's t-test, P<0.0001). MSC-induced gp130 downregulation was unaffected by the PIK3CA mutational status (Supplementary Fig. 365 366 4d). In summary, these data show that PIK3CA activation overrides regulation of 367 stemness-traits by IL6 trans signaling and renders cancers cells more independent 368 from microenvironment control.

369

Based on these findings, we tested for direct evidence of genetic selection in the *PIK3CA* pathway during cancer progression by analyzing the *PIK3CA* gene for

372 mutations in exon 9 and 20 in BM-derived DCCs from non-metastasized (M0-stage) 373 and in circulating tumor cells (CTCs) from metastasized (M1-stage) breast cancer 374 patients mostly displaying bone metastases. Both groups of cytokeratin-positive cancer cells had previously been shown to display CNAs ^{5, 52}. As hormone-receptor 375 positive breast cancer is the most frequent breast cancer type, we focused on this 376 377 disease type to obtain meaningful sample numbers. Consistent with previous data. 378 PIK3CA hotspot mutations were found in DCCs/CTCs of patients with hormone 379 receptor (HR)-positive tumors (Fig. 7d). Strikingly, only 4.2% of M0-stage DCCs 380 harbored PIK3CA mutations, whereas manifest metastasis-derived cells from HR-381 positive tumors displayed them in 34.3% of cases (Fisher's exact test, P<0.0001, Fig. 382 7d). These data are fully consistent with a scenario in which early DCCs depend on 383 IL6 trans-signaling and become increasingly independent thereof during cancer 384 evolution.

385

387 **Discussion**

388 In this study we provide evidence for a role of the niche microenvironment to enable 389 and drive the earliest stages of human metastasis formation. We identified IL6 trans-390 signaling as an activator of stem-like and progenitor traits underlying epithelial colony 391 formation, a mechanism that is characterized by dependence on both IL6 and sIL6RA, 392 in contrast to IL6 alone, when a cell is equipped with mIL6RA. Our finding questions 393 the concept of fully-malignant and autonomous cancer cells as founders of metastasis. 394 subsequent malignant evolution However, during DCCs may evade 395 microenvironmental control by acquiring IL6-independence. Our data indicate that this 396 could occur via mutational activation of the PI3K pathway.

397 Several observations characterize early metastatic bone marrow colonization. 398 First, breast cancer dissemination in humans often starts from lesions often measuring 399 less than 1-4 mm in diameter ^{2, 5}. Second, initially DCCs often do not display the typical 400 karyotypic changes of breast cancer tumors or metastases, however are detected long 401 before manifestation of clinical metastasis ^{5, 8, 15}. Third, metastasis formation in BM 402 usually takes years to decades ⁵³ indicating that evolutionary mechanisms and slow 403 growth kinetics dictate the pace. This framework precludes the use of transgenic 404 mouse models that are too short-lived to mimic the process in patients and rarely form 405 bone metastases. The same applies to *in vitro* and *in vivo* studies involving commonly 406 used and genomically highly aberrant breast cancer cell lines derived from manifest 407 metastases or primary tumors. All of these do not represent the biology under investigation. 408

We therefore aimed at expanding early DCCs in immune-deficient mice, but failed. Reasons for this can be manifold: DCCs are extremely rare and we estimate that often less than 10 cells/mouse were injected. Also, species barriers may preclude engraftment of cancer cells that may critically depend on certain microenvironmental

signals, among them the species specific IL6 signaling ⁵⁴. Our failure is also consistent 413 414 with data from melanoma where we had identified that engraftment of DCCs requires activation of specific oncogenic pathways ⁵⁵ - which in case of *PIK3CA* mutations early 415 416 (M0-stage) breast cancer DCCs commonly lack. Consistently, M1-stage DCCs formed 417 xenografts in two out of four cases. We therefore based our approach on two starting 418 points: First, we analyzed transcriptomic data of early human breast cancer DCCs to 419 identify candidate pathways and second, we used genomically close-to-normal and 420 normal mammary epithelial cells as models to functionally interrogate the 421 transcriptome data.

422 We focused our analysis on EpCAM-positive cells from bone marrow. Currently, 423 EpCAM is the best marker to identify and isolate viable epithelial cells from bone marrow. However, it is neither fully specific ¹⁴ as cells of the B cell lineage may express 424 425 EpCAM²³ nor does it identify all breast cancer initiating cells⁵⁶. However, EpCAM-426 positive mammary progenitor cells give raise to chromosomally instable cancers, such 427 as triple negative basal like / post-EMT and hormone receptor positive cancers ⁵⁷. 428 Since we used chromosomal instability as inclusion criterion to identify DCCs our 429 findings do not apply to claudin-low cancers which are derived from EpCAM-negative, 430 chromosomally stable cells. Interestingly, early M0-stage DCCs isolated from bone 431 marrow highly expressed KIT, which is characteristic for luminal progenitor cells. 432 Luminal progenitor cells are the last common precursor of triple negative, basal-like and hormone receptor positive cancers ⁵⁸. Since hormone-receptor positive breast 433 434 cancers can experimentally be "normalized" by functionally impairing their luminal 435 progenitor cells ⁵⁹, cells with this phenotype are likely to comprise metastasis founder cells of EpCAM-positive triple negative and hormone-receptor positive cancers. 436

This reasoning is fully consistent with our observed effects of IL6 on normal
mammary stem and progenitor cells. We identified an activated IL6 pathway in DCCs

and our in vitro models revealed that IL6 trans-, but not classical signaling, induces de-439 440 differentiation of mammary epithelial cells and endows them with stemness and 441 progenitor traits. IL6 trans-signaling makes cells dependent on the microenvironment, however, BM represents an IL6 rich environment ⁴⁷. There, IL6/sIL6RA is important for 442 regulation of hematopoiesis 60 and for generation of hematopoiesis-supporting BM 443 stromal cells ⁶¹ using autocrine/paracrine feedback loops ⁴⁸. In the context of breast 444 445 cancer, it is noteworthy that serum levels of IL6 and sIL6R and their local production 446 in BM by osteoblasts depend on sex-steroids, change with menstrual cycle, are 447 negatively regulated by estrogen and hormone replacement therapy and increase up to tenfold during menopause ^{48, 62, 63}. Therefore, systemic microenvironmental changes 448 449 may provide a mechanism by which DCCs become activated in post-menopausal 450 breast cancer patients. Consistently, bone-only metastasis is significantly associated 451 with higher age at primary diagnosis in HR-positive breast cancer patients ⁶⁴, known for very late relapses. 452

453 We show that IL6 trans-signaling equips normal and transformed mammary cells with 454 stemness and progenitor traits likely to be crucial for DCCs. Although about 6,000 -27,000 DCCs may lodge in the BM compartment ⁶⁵, only few of them will progress to 455 456 manifest metastasis. Our data are in line with this observation as co-culture with BM 457 niche cells like osteoblasts or stromal MSCs resulted in loss of gp130 expression rendering only DCCs in vascular niches possibly responsive to IL6 trans-signaling. 458 459 Moreover, only about 3-5% of mammary cells acquired mammosphere-formation 460 ability upon HIL6 stimulation. Genetic analysis of DCCs revealed that DCCs from HR⁺ 461 breast cancer progressing to manifest metastasis often acquired PIK3CA mutations, possibly because the activated PI3K/AKT pathway rendered mammary epithelial cells 462 463 independent from IL6 trans-signaling.

We are aware of data indicating that some metastases may be derived from 464 late-disseminating cells ^{66, 67}. One study showed that in 3 out of 6 cases tested 465 cytokeratin-positive cells displayed alteration profiles very similar to their matched 466 467 primary tumors ⁶⁶. Future studies will need to carefully address the role of tumor 468 (sub)type, disease stage and duration, growth kinetics and a possible interdependence 469 of early and late DCCs for metastasis formation. However, the findings presented here 470 demonstrate that early DCCs are able to interpret signals from the bone marrow 471 environment that activate them and might drive their progression. Thereby, our study 472 may lay the groundwork for novel adjuvant therapies. Early DCCs striving to form 473 metastasis during yearlong evolutionary processes may be sensitive to different drugs 474 than fully malignant cancer cells. Their Achilles' heel may consist of 475 microenvironmental signals supporting their survival and genomic progression. Since 476 there is hope that oncogene- or tumor-suppressor-gene-associated drug-resistance mechanisms are not yet operative, depriving early DCCs of microenvironmental 477 478 support pathways could render them vulnerable to non-genotoxic drugs.

479 Acknowledgements

480 We thank Irene Nebeja, Irina Hartmann, Michaela Becker and Silvia Materna-Reichelt 481 for excellent technical assistance. We are grateful to Alberto Bardelli for hTERT-HME1 482 cell lines and Cyrus Ghajar for E4ORF1 HUVECs. This work was supported by grants 483 to CAK from the Deutsche Krebshilfe (TransLUMINAL-B 111536), the Dr Josef Steiner 484 foundation, the ERC (grant 322602), the Bavarian ministry of economic affairs, energy 485 and technology (AZ 20-3410.1-1-1), the Deutsche Forschungsgemeinschaft (DFG; KL 486 1233/10-2) and the Bavarian Research Foundation (Bayerische Forschungsstiftung, 487 DOK-165-13). The work of MW-K has been funded by the DFG (WE 4632/1-1, WE 488 4632-4/1 and WE 4632/5-1 within the FOR2127). TissueFAX was supported by the 489 DFG (INST 89/341-1 FUGG). The work of SR-J has been funded by grants from DFG 490 in the SFB841 (project C1), the SFB 877 (projects A1 and A14), by the Deutsche 491 Krebshilfe and by the German-Israeli Foundation for Scientific Research and 492 Development.

493

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- **Competing interest:** The authors declare no potential conflicts of interest.

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732		

733 Methods

734 **Patient material**

735 Human non-cancerous mammary tissue was obtained from female patients 736 undergoing reduction mammoplasty surgeries at the University Center of Plastic-, 737 Aesthetic, Hand- and Reconstructive Surgery, University of Regensburg, Germany 738 after informed, written consent of patients was obtained (ethics vote number 07/043, 739 ethics committee of the University Regensburg). After verification of the non-cancerous 740 origin of the tissue by a pathologist, mammary glands were dissociated and primary 741 human mammary epithelial cells (HMECs) isolated. 742 Human disseminated cancer cells were obtained from BM-aspirates of breast or

742 Human disseminated cancer cells were obtained from BM-aspirates of breast of 743 prostate cancer patients without and with distant metastases. EpCAM+ cells were 744 obtained from bone marrow of patients without known malignant disease undergoing 745 hip replacement surgery. Human mesenchymal stem cells were obtained from BM- aspirates of breast cancer patients or healthy donors. Written informed consent of
cancer and control patients was obtained and the ethics committee of the University of
Regensburg (ethics vote number 07/79) approved BM-sampling and analysis of
isolated cells.

Enrichment and detection of CTCs was performed within the SUCCESS (EUDRA-CT 750 751 number 2005-000490-21) and DETECT (EUDRA-CT number 2010-024238-46) 68 752 studies using the CellSearch® system ⁶⁹. Written informed consent for CTC analysis 753 and characterization was obtained for all patients included. All experiments conformed 754 to the principles set out in the WMA Declaration of Helsinki and were approved by the 755 ethical committees responsible for the corresponding studies (Universities of Munich, 756 Dusseldorf, Tuebingen, and Ulm). Isolation and molecular analysis of CTCs was 757 approved by the ethics committee of Regensburg (ethics vote number 07/079).

758

759 **Mice**

NOD.Cg-Prkdc^{scid} IL2rg^{tmWjI}/Sz (also termed NSG) or NOD.Cg-Prkdc^{scid} mice were purchased from the Jackson Laboratory, USA and maintained under specific-pathogen free conditions, with acidified water and food *ad libitum* in the research animal facilities of the University of Regensburg, Germany. All approved experimental animal procedures were conducted according to German federal and state government of Upper Palatinate, 54-2531.1-10/07, 54-2532.1-34/11; 54-2532.1-17/11, 54-2532.1-1/12, 54-2532.4-7/12).

767

768 Cell lines

MCF-7 and MDA-MB-231 breast cancer cell lines were obtained from the German
Collection of Microorganisms and Cell Cultures (DSMZ) and Cell Lines Service (CLS),
respectively. MCF 10A (CRL-10317), a non-tumorigenic mammary epithelial cell line,

was obtained from the American Type Culture Collection (ATCC⁷⁰). The isogenic cell 772 line MCF 10A PIK3CA^{E545K/+} (HD 101-002) together with its parental cell line MCF 10A-773 parental (HD PAR-003) were purchased from Horizon Discovery, United Kingdom. 774 775 MCF 10A-GFP cells were generated by transducing MCF 10A cells with pRRL.sin.cPPT.hCMV-GFP.WPRE (generously provided by Luigi Naldini, Italy). 776 777 hTERT-HME1-derived cell lines. E4ORF1-transduced primary human umbilical vein 778 endothelial cells (HUVECs) and murine embryonic fibroblasts C3H10T1/2 were 779 generously provided by Alberto Bardelli, (University of Turin, Italy), Cyrus Ghajar (Fred 780 Hutchinson Cancer Research Center, USA) and Max Wicha (University of Michigan, 781 USA), respectively. The identity of all cell lines was confirmed by DNA fingerprinting 782 analysis utilizing the GenePrint 10 System (Promega).

783 All MCF 10A-derived cell lines were cultured in Ham's Dulbecco's modified 784 Eagle's/F12 (DMEM/F12) medium (Pan-Biotech, Germany) supplemented with 5% 785 horse serum (Sigma-Aldrich, Germany), 2mM L-glutamine (Pan-Biotech, Germany), 786 1% penicillin/streptomycin (Pan-Biotech, Germany), 20 ng/ml EGF (Sigma-Aldrich, 787 Germany), 0.5 µg/ml hydrocortisone (Sigma-Aldrich, Germany), 10 µg/ml insulin 788 (Sigma-Aldrich, Germany) and 0.1 µg/ml cholera toxin (Sigma-Aldrich, Germany). All 789 hTERT-HME1-derived cells lines were maintained in DMEM/F12 medium (Pan-790 Biotech, Germany) supplemented with 10% FCS (Sigma-Aldrich, Germany), 2mM L-791 glutamine (Pan-Biotech, Germany), 1% penicillin/streptomycin (Pan-Biotech, 792 Germany), 20 ng/ml EGF (Sigma-Aldrich, Germany), 0.5µg/ml hydrocortisone (Sigma-793 Aldrich, Germany) and 10 µg/ml insulin (Sigma-Aldrich, Germany). MDA-MB-231 cells 794 were cultured in DMEM medium (Pan-Biotech, Germany) supplemented with 10% FCS 795 (Sigma-Aldrich, Germany), 2 mM L-glutamine (Pan-Biotech, Germany) and 1% penicillin/streptomycin (Pan-Biotech, Germany). MCF-7 cells were propagated in 796 RPMI 1640 medium (Pan-Biotech, Germany) supplemented with 10% FCS, 2 mM L-797

glutamine and 1% penicillin/streptomycin. Murine embryonic fibroblasts C3H10T1/2 were grown in DMEM (Pan-Biotech, Germany) medium supplemented with 5% fetal calf serum (Pan-Biotech, Germany), 2mM L-glutamine (Pan-Biotech, Germany), 1% penicillin/streptomycin (Pan-Biotech, Germany). E4ORF1-transduced primary human umbilical vein endothelial cells (HUVECs) were cultured using the EGM-2 Bullet Kit (Lonza, Germany). All cell lines were kept at 37°C and 5% CO₂ in a fully humidified incubator and negatively tested for mycoplasma by PCR.

805

806 Isolation of disseminated cancer cells from bone marrow

807 Mononuclear cells from bone marrow of non-metastasized breast cancer patients were plated on adhesive slides (Thermo Fisher) at a density of 0,5-1x10⁶ cells/slide. 808 Slides were stored at -20°C. From each patient, 1–2 10⁶ bone-marrow cells were 809 810 stained with the monoclonal antibody A45-B/B3 (AS Diagnostik, Germany) against 811 cytokeratin 8/18/19 and developed with the anti-mouse AB-Polymer (Zytomed 812 Systems, Germany). Unspecific binding was blocked using PBS/10% AB-serum (Bio-813 Rad, Germany). Alkaline phosphatase was developed with 5-bromo-4-chloro-3-indolyl 814 phosphate and Nitroblue tetrazolium (BCIP/NBT; BioRad, Germany) as substrate. 815 Slides were covered with phosphate-buffered saline under a cover glass and assessed 816 by bright-field microscopy. An identical number of cells served as a control for staining 817 with mouse IgG1 Kappa (MOPC-21) without known binding specificity. After removal 818 of the cover glass, positive cells were isolated from the slide with a micromanipulator 819 (Eppendorf PatchMan NP2) and subjected to whole genome amplification for 820 subsequent PIK3CA mutation analysis.

For isolation of both, RNA and DNA from the same disseminated cancer cells, mononuclear cells from BM of non-metastasized breast cancer patients were subjected to immunofluorescent staining for EpCAM (Ber-EP4-FITC, Agilent or HEA-

125-PE, Miltenyi Biotec, Germany). Positive cells were isolated with a micromanipulator (Eppendorf PatchMan NP2, Eppendorf, Germany) and cells were subjected to whole transcriptome amplification to isolate RNA for subsequent PCRanalyses, transcriptome microarrays or RNA-seq and whole genome amplification for isolation of genomic DNA for subsequent analysis of copy number alterations.

829

830 Isolation of circulating tumor cells

831 Up to three 7.5 ml blood samples per patient were collected into CellSave® tubes 832 (Menarini Silicon Biosystems, Italy). The CellSearch® Epithelial Cell Test (Menarini 833 Silicon Biosystems, Italy) was applied for CTC enrichment and enumeration according 834 to the instruction from the manufacturer. Samples from the SUCCESS study were 835 prepared using a slightly modified protocol, pooling three separate CellSave® tubes 836 (30 ml) as described elsewhere ⁵². CTC-positive cartridges were sent from clinical 837 centers to the Chair of Experimental Medicine and Therapy Research, Regensburg for 838 cell isolation and molecular analysis. Cells were extracted from CellSearch® cartridges 839 and isolated using the DEPArrayTM system (Menarini Silicon Biosystems, Italy) and 840 single cell DNA was amplified by whole genome amplification for subsequent PIK3CA 841 mutation analysis.

842

843 Isolation of human primary mammary epithelial cells

Primary human non-cancerous mammary tissue was dissociated as previously
described ²⁰. Briefly, upon mechanical digestion the tissue was subjected to enzymatic
digestion overnight at 37°C in DMEM/F12 (Pan-Biotech, Germany) supplemented with
10mM HEPES (Sigma-Aldrich, Germany), 2% bovine serum albumin (Sigma-Aldrich,
Germany), 5 µg/ml insulin, 0.5 µg/ml hydrocortisone, 10 ng/ml cholera toxin (Sigma-Aldrich,
Aldrich, Germany), 300 Units/ml collagenase and 100 Units/ml hyaluronidase (all from

Sigma-Aldrich, Germany). After removal of organoids and adipocytes by centrifugation at 210 g for 2 min, the cell suspension was passed over a 100 µm and 40 µm cell strainer to obtain a single cell suspension. Separation of fibroblasts from epithelial cells was accomplished by centrifugation at 350 g for 4 min and epithelial cells from the cell pellet were cultured as mammospheres.

855

856 Mammosphere culture

857 Cell lines and primary HMECs were seeded at a density of 10,000 cells/ml and 50,000 858 cells/ml, respectively, in 3 cm, 6 cm or 10 cm cell culture dishes or 96 well flat-bottom 859 plates (Thermo Fisher Scientific, Germany; Sigma-Aldrich, Germany; TPP AG, 860 Switzerland). For analyses using the Operetta high content imaging system cells were 861 plated with 10,000-50,000 cells/ml in 96-well µClear plates (Greiner Bio-One, 862 Germany). To prevent attachment of cells all dishes/plates were coated with polyhydroxyethylmethacrylate (PolyHEMA) (12 mg/ml in 95% ethanol, Sigma-Aldrich, 863 864 Germany) overnight. PolyHEMA-coated dishes/plates were UV-sterilized for 30 min. 865 Cells were cultured in mammosphere medium consisting of MEBM (Lonza, Germany) 866 supplemented with 1% penicillin/streptomycin (Sigma-Aldrich, Germany), 1xB27 (Life 867 Technologies, Germany), 10 ng/ml EGF (Sigma-Aldrich, Germany), 10 ng/ml bFGF 868 (Sigma-Aldrich, Germany), 4 µg/ml heparin (Sigma-Aldrich, Germany) and 1% 869 methylcellulose, if the Operetta-high content imaging system was used. For some 870 analyses mammosphere media was supplemented additionally with 10 ng/ml IL6 871 (Sigma-Aldrich, Germany), 1.5 µg/ml anti-IL6 antibody (Sigma-Aldrich, Germany), 20 872 ng/ml Hyper-IL6, 0.1 or 10 ng/ml recombinant human sgp130-Fc (R&D Systems, 873 Germany). Hyper-IL6 was a kind gift of Stefan Rose-John, Christian-Albrechts-874 University, Germany, Mammospheres were cultured in a humidified atmosphere with 5.5% CO₂ and 7% O₂ at 37°C for 4 or 7 days. 875

For setting-up of secondary mammosphere cultures, conducting flowcytometric or
single cell expression analyses, first generation mammospheres were collected on day
7 by gentle centrifugation (100 g), dissociated into single cell suspension with trypsinEDTA (Pan-Biotech, Germany) for 3 min followed by trypsin neutralizing solution
(Lonza, Germany). Single cell suspensions of secondary mammospheres were
obtained as described for day 7-first generation mammospheres.

882

883 Mammosphere counting

884 The number of spheres with a diameter \geq 50 µm was determined by manually counting 885 of a complete plate/dish at day 7 using an inverted microscope (Olympus, 10xair 886 objective). Alternatively, spheres were counted using the Operetta CLS high-content 887 imaging system (PerkinElmer, Hamburg, Germany) by adding CyTRAK Orange 888 (BioStatus Ltd, United Kingdom) at day 4 to the wells at a final concentration of 10 µM. 889 After 60 min incubation, fluorescence imaging of the plates was performed using a 5x 890 air objective and imaging of nine regions per well that were stitched to cover the entire 891 well surface. Harmony high content analysis software was used to analyze the images 892 and to count formation of spheres with diameter \geq 50 µm (Version 4.8; PerkinElmer, 893 Hamburg, Germany).

894

895 Isolation of LRCs, nLRCs, QSCs from mammosphere cultures

HMECs were labeled with the PKH26 red fluorescent cell linker kit (Sigma-Aldrich, Germany) at 40 nM for 2 min at RT, the reaction was stopped with 10% FBS containing medium and cells were washed three times before plating into primary or secondary mammosphere cultures. LRCs and nLRCs were isolated from spheres of secondary mammosphere cultures that were dissociated with trypsin-EDTA (Pan-Biotech, Germany) for 3 min, neutralized with trypsin neutralizing solution (Lonza, Germany) and stained with DAPI (Roche Diagnostics, Germany) for live/dead cell discrimination.
Single LRCs and nLRCs were isolated as single PKH-positive/DAPI-negative and
PKH-negative/DAPI-negative cells using a micromanipulator (Eppendorf PatchMan
NP2, Eppendorf, Germany) or flow cytometric activated cell sorting. QSCs were
isolated at day 7 from primary mammosphere cultures as single, DAPInegative/EpCAM-positive/PKH-positive cells that did not form spheres and using the
micromanipulator.

909 For flow cytometric assessment of proliferation of MCF 10A-LRCs and MCF 10A-910 nLRCs, MCF 10A cells were labeled with CFDA-SE (ebioscience, Germany) at 2 μM 911 for 10 min at 37°C in PBS/1% FBS, washed twice after stopping of the reaction with 912 10% FBS containing medium and cultured as mammospheres. At day 4, single cell 913 suspensions were obtained as described above and analyzed by flowcytometry.

914

915 In vitro differentiation of HMECs

916 matrigel (growth factor reduced, without phenol-red, BD Biosciences, Germany) was 917 diluted 1:1 with differentiation medium (Ham's Dulbecco's modified Eagle's/F12 918 medium (Pan-Biotech, Germany), 5% fetal calf serum (Pan-Biotech, Germany), 5 919 µg/mL insulin (Sigma-Aldrich, Germany), 1 µg/mL hydrocortisone (Sigma-Aldrich, 920 Germany), 10 µg/mL cholera toxin (Sigma-Aldrich, Germany), 10 ng/mL EGF (Sigma-921 Aldrich, Germany), 1× penicillin/streptomycin/fungizone, (Lonza, Germany)), smeared 922 in 2 well slides and incubated for 15 min. at 37°C. On top, 50,000 cells from 923 disaggregated secondary mammospheres were added. After incubation for 30 min at 924 37°C cells were covered with an additional matrigel layer and incubated for additional 925 15 minutes at 37 °C. Differentiation medium was added at the end of the embedding 926 procedure and exchanged every two days. Cultures were examined 3-4 weeks post 927 embedding for the development of tubular and acinar structures.

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929 Culture of primary human mesenchymal stem cells and generation of 930 osteoblasts

931 Mononuclear cells from bone marrow aspirates were cultured at a density of 2x10⁶ 932 cells in a T75 flask (Sarstedt, Germany) in DMEM with 1g/L glucose, 4mM glutamine 933 and 1mM sodium pyruvate (all from Life Technologies, Germany), supplemented with 934 10% MSC-qualified FBS (WKS Diagnostik, Germany), 1% penicillin/streptomycin (Life 935 Technologies, Germany) and 1ng/ml bFGF (Peprotech, Germany). Adherent cells 936 were cultured for 3 weeks and cryo-conserved. Before cryo-conservation MSCs were 937 tested for the expression of CD45, CD34, CD90, CD105, CD44 and Nestin by flow cytometry (Supplementary Fig. 5). Also, the ability of MSCs to differentiate into 938 939 adipocytes and osteoblasts was tested as previously described ⁷¹. Briefly, osteoblasts 940 for co-culture experiments were generated by culturing confluent MSC-cultures in 941 DMEM with high glucose (Life Technologies, Germany) supplemented with 10% MSC-942 qualified FBS (WKS Diagnostik, Frankfurt, Germany) 1% penicillin/streptomycin (Life 943 Technologies, Germany), 10⁻⁷ M Dexamethasone, 25 µg/ml L-ascorbic acid and 3 mM 944 sodium dihydrogen phosphate (all from Sigma-Aldrich, Germany) for 21 days with 945 medium being changed every other day.

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947 Co-cultures of MCF10A with MSCs, OBs, HUVECs

MSCs and HUVECs were plated at a density of $4x10^5$ cells/well of a 6 well plate (Corning, Germany) in their respective growth medium. The next day, medium was exchanged to MCF 10A growth medium and $1x10^5$ MCF 10A-GFP cells were added to each well. In case of co-cultures with OBs, $4x10^5$ MSCs per 9.6 cm² surface of a 6 well plate (Corning, Germany) were plated and differentiated into OBs for 21 days. On day 22 medium was exchanged to MCF 10A growth medium and $1x10^5$ MCF 10A-GFP cells were added to each well. For cultures with transwells, MSCs were plated at
1.75x10⁵ cells per 4.2cm² of a 6-well transwell insert (Falcon 353090, VWR, Germany).

957 Xenotransplantations of DCCs, HMECs and MDA-MB-231 cells

958 For xenotransplantations of DCCs, mononuclear cells from BM-aspirates of non-959 metastasized or metastasized breast or prostate cancer patients were enriched for 960 human EpCAM or depleted of human CD45⁺CD33⁺CD11b⁺cells and erythrocytes 961 using a mix of CD45, CD33, CD11b and Glycophorin A microbeads according to the 962 manufacturer's instructions (Miltenyi Biotec, Germany). Each sample was then split 963 into halves: one half was subjected to DCC-enumeration by staining for CK8/18/19 or 964 EpCAM. The other half of the cell suspension was transplanted without ex vivo 965 expansion into NSG-mice using one to two injection routes (non-metastasized 966 patients) or three to four different injection routes (metastasized patients). In some cases mononuclear cells were cultured as mammospheres in 6 cm culture plates 967 968 coated with polyhydroxyethylmethacrylate (12 mg/ml, Sigma), under hypoxic 969 conditions (7% O2) at 37°C and in mammosphere medium containing 10 nM HEPES 970 (Sigma-Aldrich, Germany), 10 µg/ml insulin (all from PAN-Biotech, Germany), 5 ng/ml 971 GRO-α (R&D Systems, Germany), 20 ng/ml hyper interleukin-6 (kindly provided by S. 972 Rose-John) and 0.2% Methylcellulose (Sigma-Aldrich, Germany). Cultures were 973 monitored weekly for sphere growth.

To transplant spheres or EpCAM-enriched or CD45/CD11b/erythrocyte depleted bone
marrow, cells/spheres were collected in a microwell (volume 10-15 µl, Terasaki,
Greiner Bio-One, Germany) pre-coated with polyhydroxyethylmethacrylate (12 mg/mL,
Sigma-Aldrich, Germany). Cells or spheres were transplanted in a final volume of 30
µl and 25% high-concentration matrigel (BD Biosciences, Germany) as published
before ⁹. Cells were injected with an insulin syringe (Microfine, 29G, U-50, BD

Biosciences, Germany) sub-cutaneously, intra-venously, intra-femorally or sub-renally in 4-8 weeks old male or female NSG or NOD.Cg-Prkdc^{scid} mice. Mammary fat pad injections were performed in the 4th pre-cleared mammary fat pad of 3 weeks old female mice in 50% matrigel (BD Biosciences, Germany). Breast or prostate cancerorigin of xenografts were verified by a pathologist.

To assess the differentiation ability of HMEC-spheres *in vivo*, secondary mammospheres were dissociated and 200,000 cells were mixed with 225,000 preirradiated (15 Gy) C3H10T1/2 mouse fibroblasts. The cell suspension was then mixed 1:1 with matrigel (growth factor reduced without phenolred, BD Bioscience, Germany) and injected in the 4th pre-cleared mammary fat pad of 3 weeks old female NSG mice. Mice were euthanized 8 weeks after transplantation and analyzed for the presence of human mammary gland tissue.

MDA-MB-231 cells grown under adherent conditions were pre-treated with PBS, an anti-IL6 antibody (1.5 µg/ml, Sigma-Aldrich, Germany) or HIL6 (20 ng/ml, kind gift of Stefan Rose-John, Christian-Albrechts-University, Germany) for 3 hours and 20,000 cells were transplanted into the mammary fat pad of NSG mice as 1:1 mixture with matrigel (BD Biosciences, Germany) in the 4th pre-cleared mammary fat pad of 3 weeks old female NSG-mice. All mice were analyzed when first tumors reached a diameter of about 10 mm.

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1000 Detection of human DCCs and mammary gland in NSG-mice

Lung and bone marrow of mice transplanted with human DCCs were analyzed for the presence of DCCs or metastasis. Lungs were examined by a pathologist. For identification of disseminated cancer cells in the mouse bone marrow, mononuclear cells were screened accordingly to the method for human DCCs using immunofluorescent staining of the cell suspension with anti-human EpCAM (Ber-EP4-

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FITC, Agilent, or HEA-125-PE, Miltenyi-Biotec Germany) or adhesive slides and staining anti cytokeratin 8/18/19 (A45-B/B3, AS Diagnostik, Germany). Unspecific binding was blocked by using PBS with 5% human AB serum (Bio-Rad, Germany) and 5% mouse serum (Agilent, Germany). Positive cells were isolated with the micromanipulator and subjected to whole genome amplification.

Mammary glands of mice transplanted with HMECs were dissected, fixed and stained
with an anti-human cytokeratin 18 antibody (20 μg/ml, clone CK2, Millipore, Germany).

1013 Cells expressing human cytokeratin 18 were laser-microdissected (PALM Microbeam

1014 system, Bernried, Germany) and subjected to whole genome amplification.

1015 The human origin of DCCs or CK18⁺ cells isolated from mouse bone marrow or laser-1016 microdissected from mammary glands of NSG-mice was confirmed by a PCR 1017 discriminating between the human and mouse cytokeratin 19 gene: forward primer: 5'-1018 TTC ATG CTC AGC TGT GAC TG-3' and reverse primer 5'-GAA GAT CCG CGA CTG 1019 GTA C-3', annealing 58°C, amplicon 621 bp for the human sequence.

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1021 Quantification of HER2 and PGR staining in tissue sections by TissueFAX1022 cytometry.

1023 Tissue sections were stained with an automated staining machine (Ventana: 1024 BenchMark ULTRA). Tissue sections used for analysis were stained within the same 1025 run. Images of stained tissue sections were scanned with the TissueFAXSi-plus 1026 imaging system (TissueGnostics, Vienna, Austria; acquisition software: TissueFAXS 1027 version 3.5.129) equipped with a digital Pixelink colour camera (PCO AG, Kehlheim, 1028 Germany). Images for the analysis of Ki-67 staining were analysed with HistoQuest software version 6.0.1.130 (TissueGnostics, Vienna, Austria). Using that software, two 1029 1030 markers were created: hematoxylin as 'master marker' (nucleus) and Ki-67 as 'non-1031 master marker'. To achieve optimal cell detection, the following parameters were

1032 adjusted: (i) nuclei size; (ii) discrimination by area; (iii) discrimination by gray and (iv) 1033 background threshold. For the evaluation of the percentage of Ki-67 expressing cells, 1034 scatter plots were created, allowing the visualization of corresponding cells in the source region of interest using the real-time back gating feature. The cut-off 1035 1036 discriminated between false events and specific signals according to cell size and 1037 intensity of Ki-67 staining. For the PBS-group 112,098 cells (33 regions, 8.25 mm²), 1038 anti-IL6 group 161,279 cells (41 regions, 10.25 mm²) and HIL6-group 98,812 cells (26 regions, 6.50 mm²) were analysed. 1039

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1041 Whole genome amplification and analysis of copy number alterations

Single-cell genomic DNA was subjected to whole genome amplification (WGA) using the previously described ^{72, 73} or the commercially available version (*Ampli1*TM WGA, Menarini Silicon Biosystems). DCCs isolated from BM of patients or NSG-mice were subjected to CNA analysis as previously described (mCGH) ^{72, 73} or using the *Ampli1*TM LowPass kit (Menarini Silicon Biosystems) according to the manufacturer's instructions.

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1049 *PIK3CA* sequencing of single cells

1050 *PIK3CA* mutation in CTCs and DCCs was assessed using the *Ampli*1[™] PIK3CA Seq 1051 kit (Menarini Silicon Biosystems) or amplicon-based sequencing on single cells following *Ampli* 1TM WGA. For amplicon-based sequencing the following primers were 1052 1053 used: Exon 9 forward primer 5'- AAG CAA TTT CTA CAC GAG A- 3' and reverse 1054 primer 5'- CC TTA TTT ATT TCG TCT TAA ATG- 3', annealing 58°C, amplicon size 189 bp; Exon 20 forward primer 5'- TCT AGC TAT TCG ACA GCA TGC -3' and reverse 1055 1056 primer 5'- T ACC TAA CCT AGA AGG TGT GTT -3' annealing 58°C, amplicon size 1057 221 bp. For each exon, 1 µl of WGA-product of CTCs or DCCs was used for the PCR.

Resulting products were loaded on a 1.5% agarose gel and negative PCR results were considered dropouts for *PIK3CA* analysis. Positive CTC samples were purified using QIAquick purification kit (Qiagen, Germany) according to the manufacturer's protocol with the exception that elution at the end of the protocol was in 25 µl water. Purified CTC samples were sent to a sequencing provider (Sequiserve, Germany). PCR products from positive DCC samples were purified by the sequencing provider (GATC, Germany).

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1066 Whole transcriptome amplification (WTA) of single spheres and cells

1067 Whole transcriptome amplification of single cells or undissociated spheres was 1068 performed as previously described ^{19, 74}. The quality of WTA products was assessed 1069 by expression analysis of three housekeeping genes: *EEF1A1*, *ACTB* and *GAPDH*. 1070 Only samples positive for all three markers were used for downstream analyses.

1071

1072 mRNA microarray experiments

1073 MCF 10A cells were cultured as mammospheres in the presence or absence of 10 1074 ng/ml IL6 (Sigma-Aldrich, Germany), 10 ng/ml IL6 + 0.1 ng/ml recombinant human 1075 sqp130-Fc (R&D Systems, Germany) or 20 ng/ml Hyper-IL6 (kind gift of S. Rose-John, 1076 Christian-Albrechts-University, Germany) for 12 and 24 hours. Cells were seed in triplicates for all conditions and time points. After 12 and 24 hours, cells were collected 1077 1078 by centrifugation (5 min at 500 x g) and RNA was isolated using RNeasy Mini Kit 1079 (RNeasy Mini Kit, Qiagen, Germany) according to the manufacturer's protocol. 1080 Microarray analysis was performed using the Whole Human Genome Microarray Kit, 1081 4x44K (G4112F, Agilent Technologies, Germany).

1082 For transcriptome analysis of LRCs, nLRCs and QSCs, HMECs were cultured and 1083 cells isolated as described above and cDNA was obtained from manually isolated 1084 single cells using whole transcriptome amplification.

1085 Labelling of cDNA was performed by PCR with Cy5-labelled primers. Reaction mix contained 5 µl of buffer I (Expand Long Template, Roche, Germany), 3% (v/v) 1086 1087 deionized formamide. 0.35 mM dNTP. 2.5 uM 5'each 1088 U*CAGAAU*TCAUGCCC*CCCC*CCCC*C-3' primer (*denotes nucleotides 1089 conjugated with Cv5 fluorophore; Metabion), 3.75 U of PolMix (Expand Long Template, 1090 Roche, Germany) and 1 µl of WTA-product or 100 ng cDNA from bulk RNA 1091 preparations of MCF 10A cells in a final volume of 49 µl. PCR parameters were: one cycle with 1 min at 95 °C, 11 cycles with 15 s at 94 °C, 1 min at 60 °C, and 3 min 30 s 1092 1093 at 65 °C, 3 cycles where the elongation time was increased 10 s per cycle, and finally 1094 one cycle with an elongation time of 7 min. Labelled products were purified using a PCR purification kit (Qiagen, Germany) according to the instructions of the vendor. 1095 1096 Purified Cy5-labelled DNA was denatured by incubation for 5 min at 95 °C followed by 1097 incubation on ice. Hybridization solution was prepared by mixing 42 µl of denatured 1098 Cy5-labelled DNA, 55 µl of 2x HiRPM hybridization buffer (Agilent, Germany), 11 µl of 1099 10X GE Blocking agent (Agilent, Germany), 4 µl of 25% (v/v) Tween-20, and 4 µl of 1100 25% (v/v) Igepal. Four 100 µl samples of hybridization mix were overlaid on four 1101 hybridization fields of Agilent Whole Human Genome (4x44K) Microarray Kit with 1102 SurePrint microarray slides and incubated for 17 h at 65 °C under constant rotation. 1103 After hybridization, slides were washed in Agilent Wash buffer 1 for 1 min on a shaker 1104 in the dark and incubation continued in Agilent Wash buffer 2 pre-warmed to 37 °C. 1105 Slides were dried by washing for 30 s in acetonitrile and scanned on a GenePix 4400 A scanner (Molecular Devices, Germany). Numerical readouts of fluorescence 1106

intensities (GPR files) were generated using GenePixPro 7 (Molecular Devices,Germany).

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1110 NGS mRNA library preparation and sequencing

1111 The majority of the (TTT)₇ and (CCC)₅ nucleotides forming the ends of cDNA products 1112 were removed by a limited-cycle PCR with primers introducing BpuEI and BgIII 1113 restriction sites followed by restriction enzyme digestion. Briefly, 1µl of a 1/5 dilution of 1114 the original WTA sample was used in a total volume of 20µl with 24µM of primer CP2-1115 BpuEI (5'-TCA GAA TTC ATG (CCC)₅ GTC TTG AGT TTT TT- 3') and 24 µM of primer 1116 Cp2-Bgll-13C (5'-TCA GAA TTC ATG (CCC)₂ CGG (CCC)₂- 3') for amplification. After 1117 an initial denaturation at 95°C for 1min, 5 cycles of 94°C for 15 sec, 60°C for 1 min, 1118 and 65°C for 210 sec and 3 cycles of 94°C for 15 sec, 60°C for 1min, and 65°C for 210 1119 sec (+10 sec/cycle) were carried out followed by a final extension step of 7 min. Resulting cDNA products were purified with 1.8 volume of Ampure XP beads 1120 1121 (Beckman Coulter, USA) according to the manufacturer's instructions and eluted in 40 1122 µl of distilled water. Five µl of EcoRI buffer supplemented with 80 µM S-adenosyl 1123 methionine (New England Biolabs, Germany) and 2.5 µl BpuEl (5 U/µl) were added in 1124 a volume of 50 µl and incubated at 37°C for 1 hr followed by heat inactivation of the 1125 enzyme for 20 min at 65°C. Subsequently 1 µl of EcoRI buffer supplemented with 80 1126 µM S-adenosyl methionine and 2.5µl BgIII (10 U/µl) were added in a final volume of 60 1127 µl and incubated 3 hrs at 37°C followed by heat inactivation of the enzyme. The 1128 complete restriction digest was purified with 1.8 volume of Ampure XP beads according 1129 to the manufacturer's instructions and eluted in 16 µl 10 mM Tris-Cl, pH 8.5 (Elution 1130 buffer EB, Qiagen, Germany). The length distribution of purified cDNA populations was 1131 determined on the Bioanalyzer 2100 (Agilent Technologies, USA). Optimal Covaris 1132 settings for fragmentation of each purified cDNA sample to 350 bp insert size were

determined on the basis of the average length distribution. Subsequently sequencing libraries were prepared according to the TruSeq DNA PCR-Free Library Prep Kit (Illumina, USA). Resulting libraries were quantified with KAPA Library Quantification Kit for Illumina Platforms (Kapa Biosystems, RSA), pooled in equal molar ratios and sequenced on Illumina NovaSeq 6000 platforms.

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1139 IL6, IL6RA and gp130 mRNA expression analysis in single cells

1140 IL6, membrane IL6 receptor, spliced IL6 receptor and gp130 expression was assessed 1141 by PCR using the MJ Research Peltier Thermal Cycler Tetrad (Bio-Rad, Germany) 1142 with the following primers: IL6 (forward primer 5'- GAG AAA GGA GAC ATG TAA CAA 1143 GAG T -3', reverse primer 5'- GCG CAG AAT GAG ATG AGT TGT -3', annealing 62°C, amplicon size 388 bp), membrane versus spliced IL6RA (forward primer 5'- CTG CAA 1144 1145 ATG CGA CAA GCC TC -3', reverse primer 5'- GTG CCA CCC AGC CAG CTA TC -3', annealing 62°C). The spliced and membrane-bound IL6 receptor can be 1146 1147 distinguished according to their PCR product size: mIL6RA 380 bp, spliced IL6RA 286 1148 bp. Gp130 forward primer was 5'- GGA CCA AAG ATG CCT CAA CT -3', reverse 1149 primer 5'- GGC AAT GTC TTC CAC ACG A -3', annealing 58°C and amplicon size 280 1150 bp.

1151 gp130 expression was assessed by guantitative PCR on re-amplified and purified 1152 (Qiagen PCR Purification Kit, Qiagen, Germany) WTA products of single cells as 1153 previously described ⁷⁴. To normalize for the template input quantification of yields in 1154 the individual samples was spectrophotometrically conducted using the NanoDrop 1155 2000 instrument. The DNA input for each gPCR of a single cell was normalized to 2.5 ng and the qPCR run as previously described with the following primers: gp130 forward 1156 primer 5'- ATA TTG CCC AGT GGT CAC CT -3' and reverse 5'- AGG CTT TTT GTC 1157 ATT TGC TTC T -3', annealing 58°C, amplicon size 125 bp. Fold changes in gp130 1158

expression were calculated from the delta Cp-values between MCF 10A or MCF-7cultured with and without MSCs.

1161

1162 Flowcytometry

Spheres or adherent cells were trypsinized with trypsin/EDTA (Pan-Biotech, Germany) 1163 1164 for 3 min, if not stated otherwise, MSC monocultures and co-cultures of MCF10A-GFP 1165 cells with MSCs, OBs and HUVECs were harvested by trypsin/EDTA (Pan-Biotech, Germany) for 5 min and using cell-scrapers. To reduce non-specific binding single cell 1166 1167 suspensions were incubated for 5 min at 4°C with PBS/10% AB-serum (Bio-Rad, 1168 Germany), subsequently stained with fluorescence-labeled or biotinylated antibodies 1169 for 15 min at 4°C and washed once with PBS/2% FCS/0.01% NaN₃. In case of 1170 biotinylated primary antibodies, PE-labeled streptavidin (Dianova, Germany) was used 1171 as secondary staining reagent. Cells were stained using the following antibodies: antihuman CD24-APC (ML5), anti-human CD34-PE (581), anti-human CD44-V450 (G44-1172 1173 26), anti-human CD45-FITC, APC or PerCP-Cy5.5 (HI30), anti-human CD90 Alexa 1174 Flour 700 (5E10), anti-human CD105-FITC (43A3), anti-human CD130-APC 1175 (2E1B02), anti-human Nestin-PE (10C2), biotinylated anti-human IL6R (UV4), isotype 1176 control mouse IgG2a-APC (MOPC-21), isotype control mouse IgG2b-V450 (MOPC-1177 21), isotype control IgG1-biotin (MOPC-21) (all purchased from BioLegend, Germany) and anti-human EpCAM (HEA-125, Miltenvi-Biotech, Germany). Viability dye eFlour 1178 1179 780 (ebioscience, Germany) was used for live/dead cell discrimination. Cells were 1180 analyzed on a LSR II machine equipped with FACS DIVA 5.03 software (BD 1181 Bioscience, Germany) and data was analyzed with FloJo 8.8.6, 10.1 or 10.5.3 (Treestar, USA). Sorting of PKH26-labeled LRC and nLRCs was performed with a 1182 1183 FACSAria cell sorter (BD Bioscience, Germany).

1184

1185 IL6 and soluble IL6RA detection by ELISA

IL6 and soluble IL6RA concentrations were assessed in 100 µl cultured media obtained
from HMECs or MCF 10A cells propagated under anchorage dependent or anchorage
independent conditions with the *Human IL-6 DuoSet* or *Human sIL-6R alpha DuoSet*

- 1189 ELISA kit (R&D Systems, Germany) following the manufacturer's recommendations.
- 1190

1191 Inhibition of ADAM-proteases

1192 MCF 10A cells were treated for 48 hrs with 20 µM TAPI-2 acetate salt (Sigma-Aldrich,

Germany). The culture supernatant was tested for the presence of IL6 and sIL6RA byELISA.

1195

1196 Immuno-(western) blotting

1197 Cell lysates were prepared using ice cold RIPA Buffer supplemented with cOmplete, EDTA-free Protease Inhibitor Cocktail and PhosSTOP[™] (all from Sigma-Aldrich, 1198 1199 USA). The Protein concentration of lysates was determined with Pierce[™] BCA Protein 1200 Assay Kit (Thermo Fisher Scientific, USA). Cell lysates were mixed with 4x Laemmli 1201 Sample Buffer (Bio-Rad, USA) containing 10% 2-Mercaptoethanol (Sigma-Aldrich, 1202 USA) and denatured for 5 min at 95°C. 10 µg of protein/lane were loaded on 12% Mini-PROTEAN® TGX[™] Gels (Bio-Rad, USA) and protein separation was performed with 1203 SDS PAGE Running Buffer (25 mM Tris, 192 mM glycine, 0,1% SDS). Proteins were 1204 1205 blotted onto Immobilon-P PVDF Membranes (Millipore, USA). For washing of 1206 membranes TBS-T (137 mM NaCl, 20 mM Tris, 0,05% (w/v) Tween-20, pH 7.6) was 1207 used. To detect signaling protein, the following primary antibodies (all from Cell Signaling Technology, USA) were used at dilutions according to manufacturer's 1208 instructions: anti-phospho-STAT3^{Tyr705} (clone D3A7), anti-phospho-AKT^{Ser473} (clone 1209 D9E), anti-phospho-ERK1/2^{Thr202/Tyr204} (clone E10), anti-STAT3 (clone 124H6), anti-1210

1211 AKT (clone 40D4) and anti-ERK1/2 (clone 137F5). As loading control an anti- α -Tubulin 1212 antibody (Sigma-Aldrich, USA, clone DM1A; 1:5000) was used. This was followed by 1213 incubation with horseradish peroxidase (HRP)-conjugated goat anti-rabbit IgGs or goat 1214 anti-mouse IgGs (both Sigma-Aldrich, USA; 1:10000). Protein bands were visualized 1215 using SuperSignal[™] West Pico PLUS Chemiluminescent Substrate (Thermo Fisher Scientific, USA). Chemiluminescence was recorded by a ChemiDocTM MP Imaging 1216 System and analyzed with Image Lab[™] Software (both Bio-Rad, USA). Membranes 1217 were stripped for re-probing using Restore [™] Plus Western Blot Stripping Buffer 1218 1219 (Thermo Fisher Scientific, USA) according to manufacturer's instructions.

1220

1221 Bioinformatics

1222 MCF 10A HIL6/IL6-stimmulation mRNA microarray data. Gene expression data 1223 were obtained using the Agilent Whole Human Genome Microarray Kit (4x44K) and quality assessed by inspection of chip raw images and gene expression frequency 1224 1225 distributions. All 24 expression profiles (3 biological replicates, 4 treatment groups, 2 1226 time points) were of sufficiently high quality for further bioinformatic analysis. Raw gene expression data were background corrected (limma Bioconductor-package ⁷⁵, version 1227 1228 3.36.5, normexp method), log₂-transformed and normalized by guantile normalization. 1229 Replicated probes (identical Agilent IDs) were replaced by their median per sample. Gene ranking was performed using empirical array guality weights ⁷⁶ and linear models 1230 1231 from the limma Bioconductor-package (version 3.36.5) using standard treatment 1232 versus control contrasts. Gene annotation (Supplementary Table 3) was obtained by 1233 aligning Agilent oligo sequences to NCBI RefSeq genes (August 8, 2019) using BLAST 1234 ⁷⁷ (version 2.9.0) requiring 100% identical matches, a maximum length difference 1235 between oligo and target sequence of one, and less than 100 hits per oligo. In addition, ensembl annotation ⁷⁸ (version 97) was retrieved and used as a secondary information 1236

source (e.g. for oligos that were unannotated by NCBI RefSeq). GENCODE metadata (version 32) ⁷⁹ were used as complementary annotation. For gene lists, graphical display and functional annotation, probes targeting the same gene were disambiguated by retaining only the probe with the lowest *P value*. Differential gene expression was defined by a maximum FDR-adjusted *P value* of 0.05 and a minimum absolute log₂-fold change of log₂(1.5) = 0.58. Computations were performed using R version 3.5.1 ⁸⁰.

1244

Mammary cell subpopulation mRNA microarray data. Human mRNA expression data from Lim et al. ⁴¹ based on Illumina HumanWG-6 v3.0 BeadChip microarrays were downloaded from the Gene Expression Omnibus (GEO) (series GSE16997). Data preprocessing, analysis and annotation was performed analogous to the procedure detailed above for MCF 10A cells except that the linear model included all pairwise contrasts between the three cell types.

1251 Fold change analysis of the MCF 10A and mammary cell subpopulation data was 1252 performed by first selecting a pairwise comparison from the MCF 10A data (e.g. 1253 classical IL6 stimulation vs. control) and another from the mammary subpopulation 1254 data (e.g. luminal progenitor vs. mature luminal), each performed according to 1255 moderated t-testing (limma Bioconductor-package, version 3.36.5). The differential 1256 gene lists of both comparisons were intersected and the randomness of their overlap 1257 quantified using hypergeometric testing (Supplementary Table 6). Second, the logfold-changes of both comparisons were correlated without centering (i.e. without 1258 1259 subtracting the respective group means) because reference to zero log-fold was intended. Correlation P values were calculated according to centered Pearson 1260 1261 correlation.

1262

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1263 LRC/QSC/nLRC mRNA microarray data. Gene expression data were obtained using 1264 the Agilent Whole Human Genome Microarray Kit (4x44K). All chips passed guality 1265 assessment and were pre-processed and annotated as described for MCF 10A cells above except that no fold-change limit (originally used in the data of Lim et al.⁴¹ and 1266 1267 thus also employed for MCF 10A cells) was applied. The data showed patient effects 1268 that were accounted for by including patient IDs as second covariate in the linear model 1269 after safeguarding independence between patients and sample groups: Cramer's V with bias correction = 0; R-package rcompanion version 2.3.7⁸¹. For graphical display 1270 1271 these effects were compensated by using the function removeBatchEffect from the 1272 Bioconductor package limma (version 3.36.5). Dimension reduction to 2D according to t-SNE⁸² (Fig. 2c) and pairwise differential expression analysis (number of differentially 1273 1274 expressed genes was: 35 for nLRC vs. QSC, 127 for LRC vs. nLRC and 163 for LRC 1275 vs QSC; FDR-adjusted P value < 0.05, Supplementary Table 2) revealed that nLRC 1276 and QSC were much more similar to each other as compared to LRC. To concentrate 1277 on main effects, nLRC and QSC were pooled resulting in 216 differentially expressed genes for LRC vs (nLRC+QSC). Enrichment analysis was aimed at the NCI-Nature 1278 Pathway Interaction Database⁸³ for its focus on cancer research and treatment and 1279 conducted using the R-package enrichR⁸⁴ (version 2.1). 1280

1281

DCC and HD mRNA sequencing data. The sequencing quality was evaluated per sample with FastQC ⁸⁵ and in a multi-sample comparison with MultiQC ⁸⁶ and the independent tool MusaQC before and after adapter trimming and contamination screening. Briefly, raw sequencing data of single cells (30 M0- , 11 M1-stage DCCs and 15 EpCAM+ cells from healthy donors (HD) from 21, 5 and 7 patients, respectively) were trimmed and remaining adapter sequences as well as low sequencing quality bases at the end of each read were removed using BBDuk ⁸⁷. In order to increase the

1289 mapping quality (lowering false positive alignments), read decontamination was 1290 performed using BioBloom Tools⁸⁸ with filters for the genomes of Homo sapiens 1291 (hg38), Mus musculus (mm10), Escherichia coli (BL21), Mycoplasma pneumoniae 1292 (M129), Sphingobium sp (SYK-6), Bradyrhizobium japonicum (USDA 110), Pichia 1293 pastoris (GS115), Malessia globosa (CBS 7966), Aspergillus fumigatus (Af293) and a 1294 set of viral genomes (RefSeq, 5k+ genomes). All reads that did not map exclusively to 1295 hg38 (GENCODE version 27, GRCh38.p10) or did not map at all were defined as likely 1296 contaminations and discarded from downstream processing. Subsequently, the 1297 cleaned sample reads were aligned to the reference genome hg38 with STAR (version 1298 2.5.1b)⁸⁹. Uniquely mapped reads were counted per gene per sample using featureCounts from Subread ⁹⁰. We performed quality control and checked for outlier 1299 1300 samples with the Bioconductor-package scater (version 1.12.2)⁹¹ using the functions 1301 calculateQCMetrics and plotPCA for QC metrics with outlier detection enabled. The results showed that none of the samples was an outlier. Thus, we kept all samples for 1302 1303 further analysis. Samples were sequenced in two batches with only very little 1304 association between batches and phenotype (M0/M1/HD): Cramer's V with bias correction = 0.11; R-package rcompanion⁸¹ (version 2.3.7). We applied the 1305 multiBatchNorm (Bioconductor package: batchelor 1.0.1) to all cells and further 1306 rescaleBatches (Bioconductor package: batchelor 1.0.1 ⁹²) to DCCs to remove batch 1307 1308 effects and get the normalized log2 counts. After batch correction we obtained 8626 1309 and 7359 expressed genes for HD cells and DCCs on average, respectively.

1310 The top 500 most variable genes were analyzed using PCA. From the genes annotated 1311 by GO terms containing "B cell", "Epithelial" or "Epithelium", the top 100 most variable 1312 were subjected to PCA. PCAs were calculated using prcomp (R stats package).

For pathway enrichment analysis, we filtered for protein coding genes and compiled2x2 contingency tables for each sample and each pathway according

49

to whether genes were expressed (log₂ (normalized counts)>0) and present in the
pathway. Contingency tables were subsequently evaluated according to one-tailed
Fisher's exact test (R stats package). Calculations were performed using R version
3.6.0.

1319

1320 Analysis of copy number alterations. To enable the combined analysis of mCGH-1321 and LowPass-Seq-derived CNA profiles, the genomic coordinates obtained with the 1322 LowPass bioinformatics analysis pipeline (Menarini Silicon Biosystems, Italy) were converted to cytoband information using a custom script for R⁸⁰ and the UCSC 1323 1324 Goldenpath reference (version hg38 ⁹³). Afterwards, the aberrations were manually 1325 screened and compared to the respective CNA profile images before being annotated according to the specifications of the International System for Human Cytogenetic 1326 1327 Nomenclature (ISCN) ⁹⁴. Small aberrations < 1 megabase as well as recurring 1328 technical artefacts in chromosome 1p and centromeric and telomeric regions were 1329 excluded. Finally, the combined ISCN-annotated aberration data (mCGH and LowPass-Seq) were stratified into M0 and M1 groups and submitted to the Progenetix 1330 user data tool ⁹⁵ to generate individual frequency plots for M0 and M1 cells. 1331

1332

1333 Data availability. All genomic results of this study are available within the article and1334 its Supplementary Information.

1335

1336 Statistical analysis

Statistical analysis was performed using the GraphPad Prism 6.0 software (GraphPad
Software, Inc., USA). Differences in mean values between groups were analyzed by
Student's t-test, Mann-Whitney test or one-way ANOVA followed by post-hoc statistical
testing, where appropriate. Time dependencies were analyzed by regression analysis

- 1341 (F-test). Independence in contingency tables was assessed by Fisher's exact test. All
- 1342 tests were realized two-sided. A P value of less than 0.05 was considered statistically
- 1343 significant.

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Stage	Cancer type	DCC- isolation	Applicat. route (N of patients)	Mouse strain	Median number of injected cells or spheres	N of patient BM with DCCs	N of xenograft formed/ N of patient samples injected	Weeks until detect.	% patients with DCCs in mouse BM
M1	BrCa	CD45 depl.	<mark>s.c. (2)</mark> i.f. (2) i.v. (2) mfp (2)	NOD- <i>scid</i> IL2Rγ-/-	2x10 ⁴ cells	2/2	1/2	24	
M1	PC	CD45 depl.	<mark>s.c (2)</mark> i.f. (2) i.v. (2)	NOD- <i>scid</i> IL2Rγ-/-	5x10 ³ cells	2/2	1/2	19	
M0	BrCa	CD45 depl.	i.v. (4)	NOD-scid	1.8x10 ⁶ cells	1/4*	0/4		2/4*
MO	BrCa	spheres	s.c. (1) s.c. + s.r. (2) mfp (10)	NOD- <i>scid</i> IL2Rγ-/-	20 spheres	6/13	0/13		0/13
M0	PC	EpCAM enrichment	i.v. (8)	NOD-scid	2.2x10 ⁵ cells	1/8	0/8		0/8
M0	PC	CD45 depl.	i.v. (8)	NOD-scid	3.8x10 ⁶ cells	3/8	0/8		2/8
M0	PC	spheres	s.c. (9)	NOD- <i>scid</i> IL2Rγ-/-	17 spheres	7/9	0/9		0/9

*Note: only one half of the sample's volume was injected into mice, since the other half was used for DCC enumeration (EpCAM for PC; CK for BrCa). Due to their low numbers, DCCs may have been unequally distributed, as indicated by one sample negative at DCC enumeration (see N of patient BM with DCCs), but harboring positive DCCs in mouse bone marrow (see % patients with surviving DCCs in mouse BM).

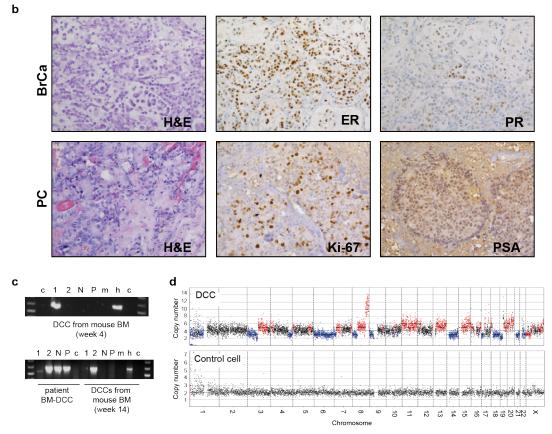


Figure 1: Xenotransplantation of DCCs. a Diagnostic bone marrow aspirates from breast (BrCa) or prostate (PC) cancer patients (M0- or M1- stage of disease) were either CD45-depleted, enriched for EpCAM or cultured under sphere conditions. Resulting spheres, CD45-depleted or EpCAM-enriched BM cells were injected intra-

venously (i.v.), intra-femorally (i.f.), sub-cutaneously (s.c.), sub-renally (s.r.) or into the mammary fat pad (mfp) of NOD-scid or NOD-scidIL2Rg-/- mice. Mice with subcutaneous or mammary fat pad injections were palpated weekly. All other mice were observed until signs of illness or were sacrificed after 9 months. Injection routes that led to xenograft formation are highlighted in red. b Immunohistochemistry for estrogenreceptor (ER), progesterone-receptor (PR), prostate-specific antigen (PSA), Ki-67 or H&E staining of M1-DCC-derived xenografts is shown. c Human EpCAM- or cytokeratin 8/18/19-expressing DCCs were detected in the BM of 4/42 mice at 4 - 14 weeks after i.v. injection of CD45-depleted BM from non-metastasized patients. DCCs from two of the four mice were isolated and their human origin was verified by a PCR specific for human KRT19. Pure mouse or human DNA was used as control. 1, 2 =cytokeratin 8/18/19-positive DCCs; N=cytokeratin 8/18/19-negative BM-cell, P= pool of BM-cells of recipient mouse; m=mouse positive control; h=human positive control, c=non-template control. d Single cell CNA analysis of the EpCAM-expressing DCC isolated at 4 weeks after injection from NSG BM (panel c) and a human hematopoietic cell as control. Red or blue indicate gain or loss of chromosomal regions.

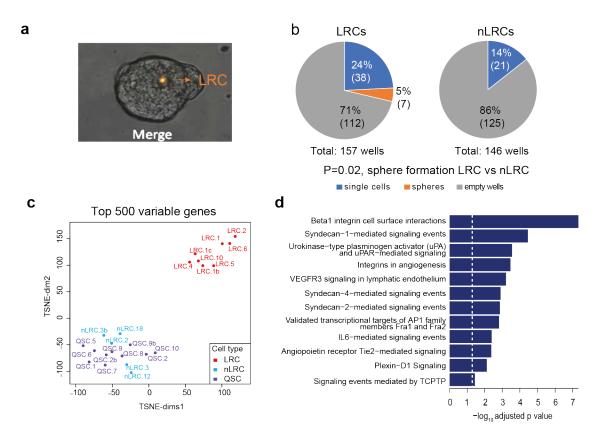


Figure 2: IL6 pathway is activated in mammary stem cells. a Representative picture of a mammosphere (day 7) generated from PKH26-labeled HMECs. **b** PKH26-labeled HMEC-mammospheres were disaggregated, sorted by flowcytometry into PKH26⁺LRCs and PKH26⁻nLRCs, plated as single cell per well and tested for sphere-formation. Shown is the percentage and in parentheses the respective absolute number of empty wells, wells with single cells and spheres after two weeks of mammosphere-culture (number of spheres vs. no spheres for LRCs vs. nLRCs, P=0.02, Fisher's exact test). **c, d** LRCs (N=8), nLRCs (N=5) and QSCs (N=10) were subjected to single cell transcriptome microarray analysis. t-SNE plot of the top 500 most variable genes (panel c). Pathway analysis using the 216 genes differentially expressed between LRCs and the pooled nLRCs plus QSCs (panel d). See Supplementary Table 1 for patient/sample-ID allocation.

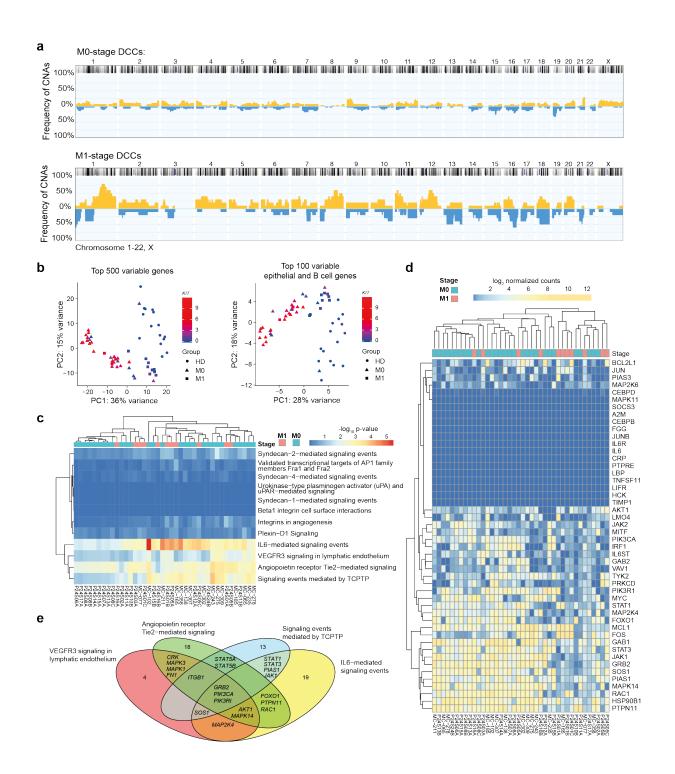


Figure 3: IL6 pathway is activated in BM-DCCs of breast cancer patients.

a DCCs from BM of 21 non-metastasized (M0-stage, N=30 DCCs) and five metastasized (M1-stage; N=11 DCCs) breast cancer patients were analyzed for CNAs. The cumulative frequency of genomic aberrations is given in yellow and blue indicating genomic gains and losses, respectively. **b**, **c**, **d**, **e** M0- and M1-stage DCCs and

EpCAM+ BM cells of seven healthy donors, i.e. patients without malignant disease (HD; N=15 cells) were analyzed by single cell RNA sequencing. Panel b: Principal component analysis of the top 500 most variable genes or top 100 most variable epithelial and B cell genes. Panel c: DCCs were tested for enrichment in pathways identified to be enriched in LRCs over QSCs/nLRCs (Fig. 2d). Panel d: The heatmap displays log₂ normalized read counts of mRNA expression of IL6 signaling pathway genes as listed in the NCI-Nature PID expanded by the LIFR gene. Panel e: Venn diagram for the gene-members of the four pathways (panel d) that are expressed in at least half of bone marrow DCCs (except for the BMX (5/40) and CEBPD (19/40) genes, Supplementary Fig. 1c). Pathway-private genes are annotated by their number, shared genes are named explicitly (see also Supplementary Table 5). See Supplementary Table 1 for patient/sample-ID allocation.

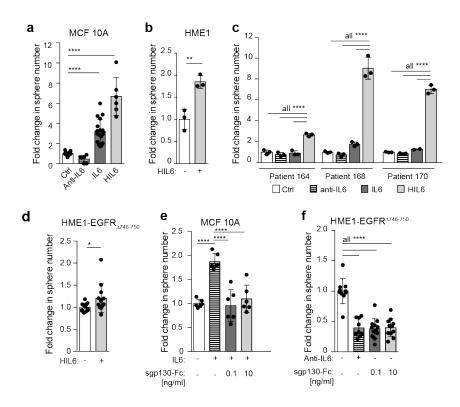


Figure 4: IL6 trans-signaling regulates the frequency of MCF 10A, hTERT-HME1 and primary HMECs with sphere-forming ability. a MCF 10A cells were cultured as spheres in the absence (N=18) or presence of IL6 (N=18), an IL6-blocking antibody (N=6) or Hyper-IL6 (N=6). **b** hTERT-HME1 were cultured as spheres in the absence (N=3) or presence (N=3) of Hyper-IL6. **c** HMECs were cultured without or with IL6, with an IL6 blocking antibody or Hyper-IL6. N=3 patients, each patient analyzed in triplicate. **d** hTERT-HME1-EGFR^{Δ746-750} cells were cultured as spheres in the absence or presence of HIL6 (each N=12). **e** MCF 10A cells were cultured as spheres without (N=6) or with IL6 (N=6) and IL6 plus sgp130-Fc at indicated concentrations (each N=6). **f** Sphere formation of hTERT-HME1-EGFR^{Δ746-750} in the absence (N=10) or presence of an anti-IL6 antibody (N=9) or with sgp130-Fc at indicated concentrations (each N=12). Cumulative data of three experiments. P values in panel a, c, f: one-way ANOVA with Dunnett's multiple comparisons test (post hoc); panel b, d: two-sided Student's t-test; panel e: one-way ANOVA with Tukey's multiple comparisons test (post hoc); asterisks indicate significance between groups (*P<0.05, ** P<0.01, **** P<0.0001). All error bars correspond to standard deviation (Mean ± SD).

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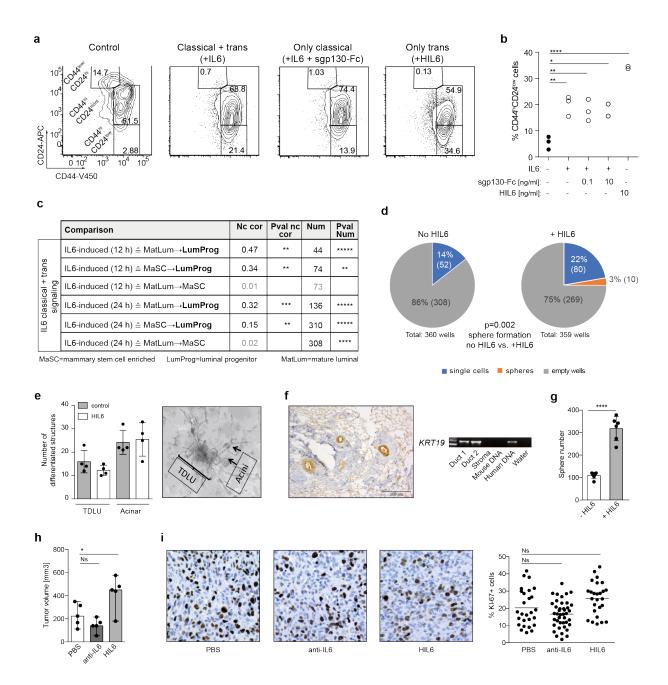


Figure 5: IL6 trans-signaling endows non-stem cells with stem-like abilities. a, **b** MCF 10A spheres were analyzed by flow cytometry for the expression of CD44 and CD24. The percentage of CD44^{hi}CD24^{low} expressing cells was determined. Data represent cumulative results of three independently performed experiments, each performed in triplicate. **c** Fold change correlation analysis comparing IL6-induced gene expression in MCF 10A cells at 12 and 24 hrs, respectively, with gene expression signatures of luminal progenitor (LumProg), mature luminal (MatLum) and mammary stem cell enriched cells (MaSC) according to the study of Lim et al. ³². Nc cor: non-

centered correlation between fold-changes, Num: number of common differentially expressed genes; d LRCs and nLRCs were sorted by flow cytometry from PKH26labeled HMEC-spheres, nLRCs were plated as single cell per well (N=3 patients, single-cell deposit determined by manual microscopic evaluation) and cultured under mammosphere-conditions with or without HIL6. Sphere-formation and survival of single cells was determined after 14 days (P values are provided within panel d). Each patient-culture was set-up as duplicate in either freshly prepared or conditioned mammosphere-medium. As no significantly different outcome (Fisher's exact test, P=0.6 and P=1 fresh vs. conditioned medium for cultures w/o HIL6 and with HIL6, respectively) was detected, results are presented as pooled analyses. e In vitro generation of acinar and tubular (TDLU) structures of primary HMECs cultured with or without HIL6 (each N=4). f Primary HMECs cultured with HIL6 and transplanted into NSG-mice. Staining for human cytokeratin 8/18/19 of the transplanted area eight weeks post-transplantation. PCR specific for human KRT19 of two microdissected cytokeratin 8/18/19-positive ducts and one cytokeratin 8/18/18-negative stromal area. Pure mouse or human DNA was used as control. g MDA-MB-231 cells were cultured as spheres in the absence (N=6) or presence of HIL6 (N=6). h Tumor volume of 20,000 MDA-MB-231 cells pre-treated for 3 hours with PBS, an anti-IL6 antibody or HIL6 and transplanted into NSG-mice. All mice were analyzed at the same day after tumor cell inoculation. i TissueFAX cytometric quantification of tumors from panel h for the percentage of Ki-67-positive cells. N=33, 41 or 26 regions (0.25 mm² each) for PBS, anti-IL6 or HIL6. P values in panel b, h, i: one-way ANOVA with Dunnett's multiple comparisons test (post hoc); panel c: P values according to Student's t-distribution for Nc cor and hypergeometric testing for Num; panel d: Fisher's exact test; g: Student's t test: asterisks indicate significance between groups in multiple comparisons (* P<0.05,

** P<0.01, **** P<0.0001). All error bars correspond to standard deviation (Mean \pm

SD).

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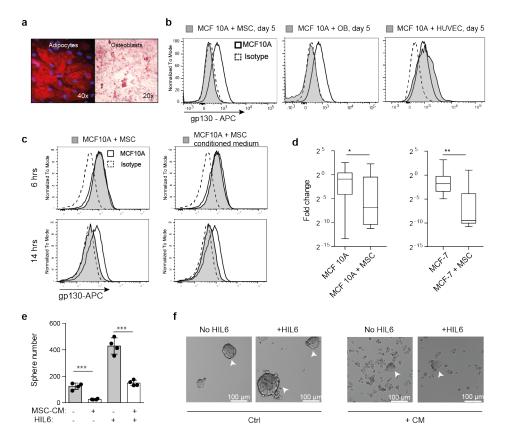


Figure 6: Surface expression of gp130 is down-regulated by soluble factors of bone marrow stromal cells. a Mesenchymal stem cells were tested for their ability to differentiate *in vitro* into adipocytes (Nil oil red O staining) and osteoblasts (Alizarin red S staining). b Surface gp130 expression of MCF 10A after five days of co-culture with primary mesenchymal stem cells (MSCs) from a breast cancer patient, primary osteoblasts (OBs) derived thereof or primary human umbilical vein endothelial cells (HUVECs). c Surface gp130 expression of MCF 10A after 6 and 14 hours of co-culture with MSCs or MSC-conditioned medium. Panel b, c: grey filled histograms indicate MCF 10A co-cultured with MSCs, OBs, HUVEC, MSC-conditioned medium or MSC separated by a transwell. Histograms with a thick black line indicate MCF 10A cells cultured alone and dashed histograms isotype control staining for gp130. d gp130 gene expression levels determined by single cell qPCR of MCF 10A cultured for 5 days with (N=25) or without (N=37) MSCs and MCF- 7 cultured for 5 days with (N=20) or without (N=20) MSCs. Fold changes were calculated relative to MCF 10A or MCF-7

cells cultured without MSCs. **e**, **f** MCF 10A cells were left untreated or pre-treated for 14 hrs with MSC-conditioned medium, washed and then tested for their ability to form spheres in the presence of endogenously produced IL6/sIL6RA or exogenously added HIL6. Sphere-formation was assessed after seven days, N=4 for each group. P values according to two-sided Mann-Whitney test (panel d) or two-sided Student's t-test (panel e). Asterisks indicate significance between groups **P<0.01,***P<0.001, ****P<0.0001). All error bars correspond to standard deviation (Mean ± SD).

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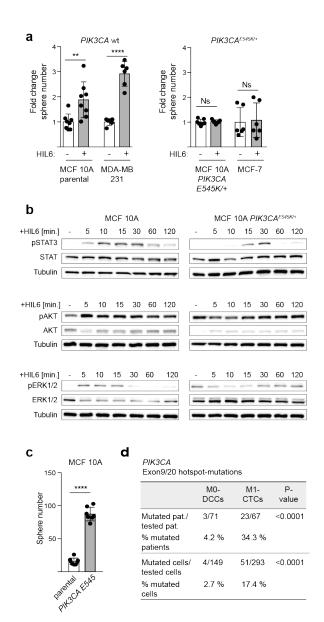
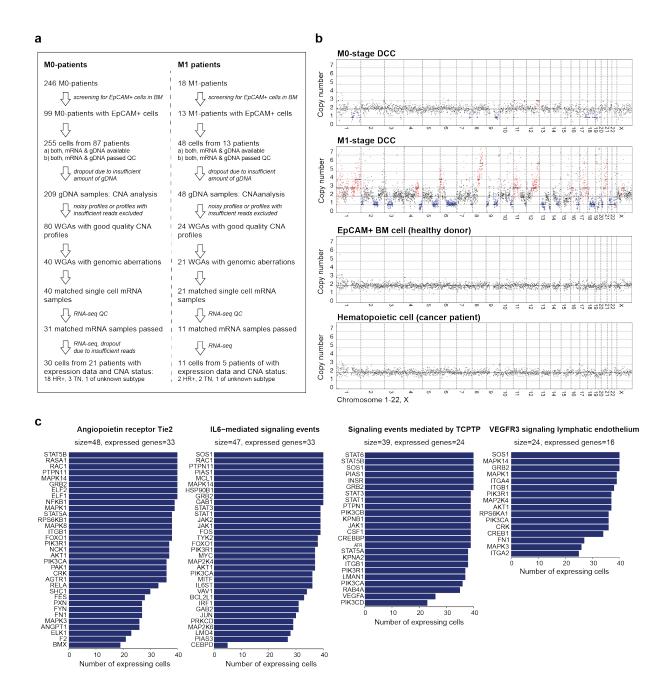


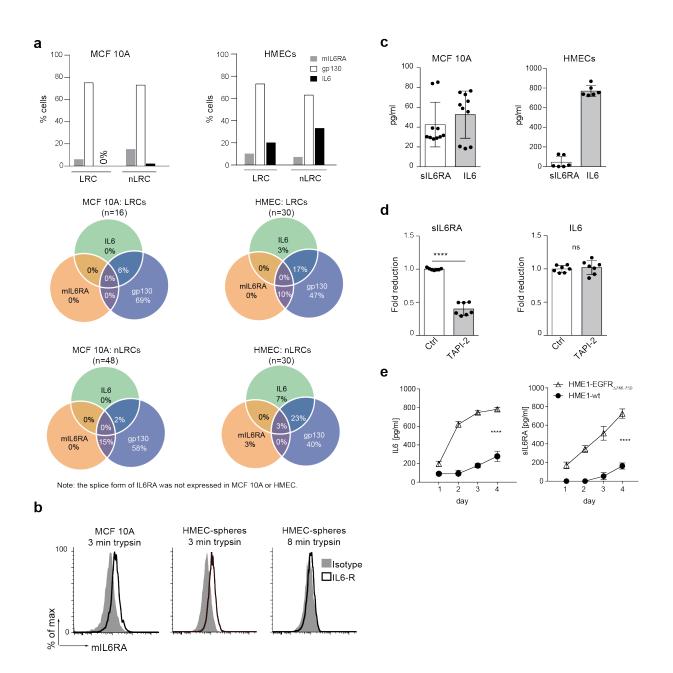
Figure 7: Activation of the *PIK3CA* pathway renders mammary epithelial cells independent of IL6 signaling. a Fold change in sphere numbers of pre-malignant (MCF 10A) and tumorigenic cell lines (MCF-7, MDA-MB-231) without (MCF 10A parental, N=8; MDA-MB-231, N=6) or with mutational activation of *PIK3CA* (MCF 10A *PIK3CA E545K/+*, N=7; MCF-7, N=6) cultured in the presence or absence of HIL6. Note that MCF 10A *PIK3CA E545K/+* cells are isogenic to MCF 10A parental. **b** Western blot analyses showing phosphorylation of STAT3^{Tyr705}, AKT^{Ser475} and ERK1/2^{Thr202/Tyr204} in MCF 10A or MCF 10A *PIK3CA E545K/+* cells cultured without or with HIL6 for indicated time points. **c** Sphere numbers of the isogenic cells MCF 10A

parental (N=8) and MCF 10A *PIK3CA E545K/*+ (N=7) cultured in the absence of HIL6. **d** Cytokeratin 8/18/19⁺ DCCs from BM of non-metastasized (M0-stage) HR-positive breast cancer patients and CD45⁻/EpCAM⁺/cytokeratin 8/18/19⁺ CTCs isolated from peripheral blood of metastasized (M1-stage) HR-positive breast cancer patients were sequenced for hotspot-mutations in *PIK3CA* (Exon 9: E545K, E542K; Exon 20: H1047R, H1047L, M1043I). P values in panel b, c: two-sided Student's test; d: Fisher's exact test; asterisks indicate significance between groups (** P<0.001 and **** P<0.0001). All error bars correspond to standard deviation (Mean ± SD). bioRxiv preprint doi: https://doi.org/10.1101/2020.05.28.121145; this version posted May 30, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



Supplementary Figure 1: Identification and molecular analysis of DCCs from BM of breast cancer patients. a Isolation of EpCAM⁺ DCCs from BM of non-metastasized (M0-stage) and metastasized (M1-stage) breast cancer patients. DNA and RNA were isolated from each cell by WGA and WTA for CNA and RNAseq analysis, respectively. b Representative single cell CNA profiles of M0- and M1-stage DCCs and control cells (EpCAM⁺ cell from BM of a patient without malignant disease or a hematopoietic cell of a cancer patient). c Number of DCCs expressing genes of pathways identified to be enriched in DCCs (see Fig. 2c).

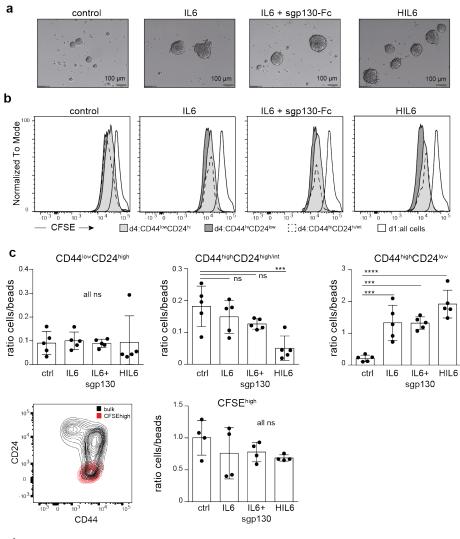
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Supplementary Figure 2: Expression of IL6 signaling molecules in MCF 10A, hTERT-HME1 and primary HMECs. a Expression of IL6, mIL6RA and gp130 mRNA in single LRCs or nLRCs from MCF 10A or HMEC-spheres. The spliced soluble form of IL6RA was not found to be expressed. Expression of IL6 signaling molecules did not differ significantly between LRCs and nLRCs of MCF 10A or HMECs (LRCs vs. nLRCs for IL6/mIL6Ra/gp130 in MCF10A or HMECs (Fisher's exact test, P values for all comparisons >0.05). b IL6RA is expressed on the cell surface of MCF 10A cultured

under non-sphere conditions and primary HMEC-spheres. The data is representative of three independently performed experiments. **c** IL6 (N=10) and soluble IL6RA (N=10) were measured in the cell culture supernatant of MCF 10A cultured under non-sphere conditions or primary HMEC-spheres (cumulative data of three patients, each patient in duplicate). **d** MCF 10A cells were cultured under non-sphere conditions without (N=7) or with 20 μ M TAPI-2 (N=7), an inhibitor of ADAM-proteases. Protein levels of soluble IL6RA (sILRA) and IL6 in the supernatant were determined by ELISA. **e** IL6 and IL6RA in the supernatant of HME1-wt and isogenic HME1-EGFR^{Δ746-750} cells cultured under non-sphere conditions was determined by ELISA. Cumulative data of three experiments, each data point in duplicate. Panel d: two-sided Student's t-test, panel e: linear regression analysis; asterisks indicate significance * P<0.05, ****

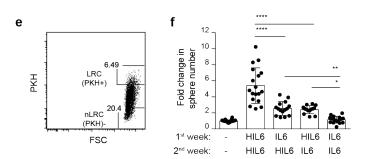
bioRxiv preprint doi: https://doi.org/10.1101/2020.05.28.121145; this version posted May 30, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



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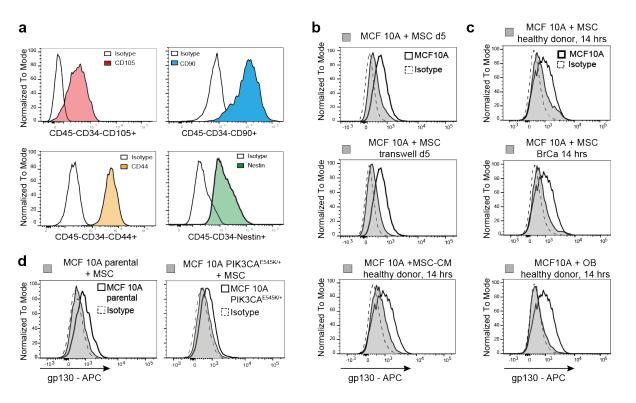
	Comparison	Nc cor	Pval nc cor	Num	Pval Num
IL6 classical signaling (IL6+sgp130-Fc)	IL6-induced (12 h) ≙ MatLum→ LumProg	0.58	***	30	****
	IL6-induced (12 h) ≙ MaSC→ LumProg	0.37	*	45	**
	IL6-induced (12 h) \triangleq MatLum \rightarrow MaSC	0.02		42	
	IL6-induced (24 h) ≙ MatLum→ LumProg	0.27	**	136	****
	IL6-induced (24 h) \triangleq MaSC \rightarrow LumProg	0.10		285	****
	IL6-induced (24 h) ≙ MatLum→MaSc	0.05		290	****
IL6 trans signaling (HIL6)	IL6-induced (12 h) ≙ MatLum→LumProg	0.32	***	119	****
	IL6-induced (12 h) \triangleq MaSC \rightarrow LumProg	0.17	**	241	****
	IL6-induced (12 h) ≙ MatLum→MaSC	0.06		257	**
	IL6-induced (24 h) ≙ MatLum→ LumProg	0.27	****	230	****
	IL6-induced (24 h) ≙ MaSC→ LumProg	0.10	*	453	****
	IL6-induced (24 h) ≙ MatLum→MaSC	0.06		485	****

MaSC=mammary stem cell enriched LumProg=luminal progenitor MatLum=mature luminal

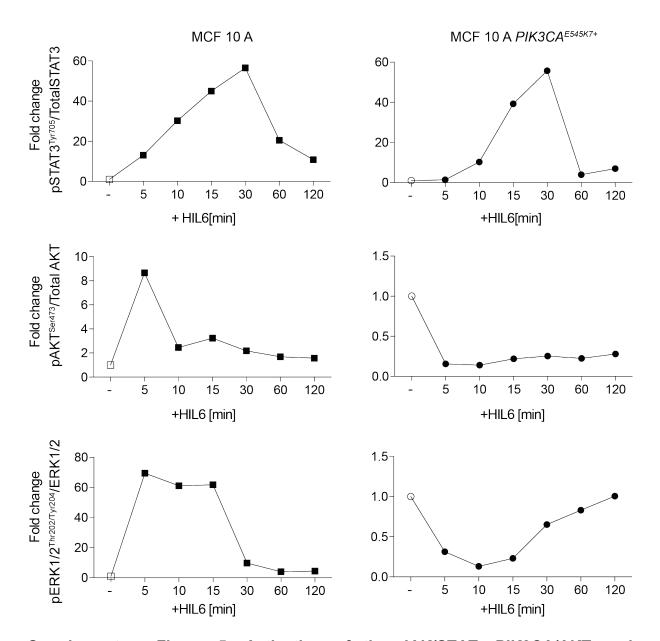


Comparisons	Significance
Ctrl/ctrl vs. IL6/IL6	Ns
Ctrl/ctrl vs. HIL6/IL6	
Ctrl/ctrl vs. IL6/HIL6	
Ctrl/ctrl vs. HIL6/HIL6	
IL6/IL6 vs. HIL6/IL6	
IL6/IL6 vs. IL6/HIL6	**
IL6/IL6 vs. HIL6/HIL6	****
HIL6/IL6 vs. IL6/HIL6	Ns
HIL6/IL6 vs. HIL6/HIL6	****
IL6/HIL6 vs. HIL6/HIL6	****

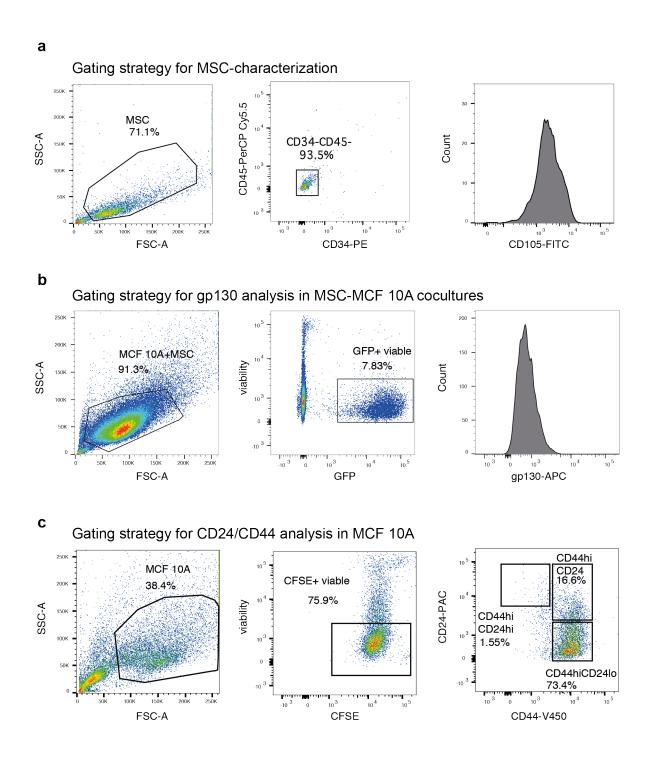
Supplementary Figure 3: IL6 trans-signaling converts non-stem cells into stemlike cells. a MCF 10A spheres cultured without or with IL6, IL6 plus sgp130-Fc or with HIL6. b CFSE-labeled MCF 10A cells were cultured as spheres with or without activators (IL6, HIL6) and inhibitors of classical (an anti-IL6 antibody) and transsignaling (sgp130-Fc). CFSE-dilution in CD44^{high}CD24^{low}, CD44^{high}CD24^{high} and CD44^{low}CD24^{high/intermediate} cells was determined by flow cytometry at day 4. The CFSEfluorescence intensity of all cells at day one is included as reference. Data are representative for three 3 independently performed experiments. c The absolute number of CD44^{high}CD24^{low}. CD44^{high}CD24^{high}. CD44^{low}CD24^{high/intermediate} cells (upper panel) and LRCs (CFSE^{high}, lower panel) was determined as cell/bead ratio at day 4 by flow cytometry (N=4-5 per group). d Fold-change correlation analysis comparing gene expression changes induced by IL6 plus sgp130 (classical signaling) and HIL6 (trans signaling) in MCF 10 A cells at 12 and 24 hrs with the gene expression signatures of luminal progenitor (LumProg), mature luminal (MatLum) and mammary stem cell enriched cells (MaSC) according to the study of Lim et al. ³⁵ Nc cor: noncentered correlation between fold-changes, Num: number of common differentially expressed genes. e nLRCs from primary, PKH26-labelled control mammospherecultures were sorted by flow cytometry as PKH⁻ cells. **f** Primary HMECs were cultured as spheres for two consecutive rounds in the absence (N=26) or presence of HIL6 and IL6 (HIL6+HIL6, N=18; IL6+HIL6, N=15; HIL6+IL6, N=14; IL6+IL6, N=17). P values in panel c: one-way ANOVA with Dunett's multiple comparisons test (post-hoc); panel d: P values according to Student's t-distribution for Nc cor and hypergeometric testing for Num. panel f: one-way ANOVA with Tukey's multiple comparisons test (post-hoc); comparisons between groups labeled in red are depicted in the bar graph. Asterisks indicate significance between groups (* P<0.05 to **** P<0.0001); All error bars correspond to standard deviation (Mean \pm SD).



Supplementary Figure 4: Surface expression of gp130 is down-regulated by soluble factors of bone marrow stromal cells. a MSCs isolated from bone marrow biopsies of healthy patients or patients with non-metastasized breast cancer were CD45⁻CD34⁻CD105⁺CD90⁺CD44⁺Nestin⁺. b gp130 surface expression of MCF 10A cells after five days of co-culture with MSCs or MSCs separated by a transwell or after 14 hrs of co-culture with MSC-conditioned medium (MSC-CM). c gp130 surface expression of MCF 10A cells after 14 hours of co-culture with MSCs or OBs from a healthy donor or breast cancer patient. d gp130 surface expression on isogenic MCF 10A cells without (MCF 10A parental) or with activating PIK3CA^{E545K/+} mutation cultured with MSCs for 5 days. Panel b, c, d: grey filled histograms indicate MCF 10A, MCF-7, or the isogenic cells MCF 10A parental and MCF 10A PIK3CA^{E545K/+} cells co-cultured with MSCs, OBs, MSC-CM or MSC separated by a transwell. Histograms with a thick black line indicate MCF 10A, MCF-7, or the isogenic cells MCF 10A, MCF-7, or the isogenic separated by a transwell. Histograms isotype control staining for gp130.



Supplementary Figure 5: Activation of the JAK/STAT, PIK3CA/AKT and MAPK/ERK pathway by HIL6 stimulation. Quantification of western blot analyses (Fig. 7b) showing phosphorylation of STAT3^{Tyr705}, AKT^{Ser475} and ERK1/2^{Thr202/Tyr204} in MCF 10A or MCF 10A *PIK3CA E545K*/+ cells in the absence (open symbols) or presence of HIL6-stimulation (filled symbols). The signal from phosphorylated proteins and total proteins were normalized to α -tubulin before the ratio of phosphorylated proteins to total proteins was calculated. The graphs show the fold change in signal ratio over time relative to the respective control (unstimulated MCF 10A or MCF 10A *PIK3CA E545K*/+).



Supplementary Figure 6: Gating strategies for flow cytometric analysis. a MSCcharacterization; **b** gp130 analysis in MCF 10A-GFP cells co-cultured with MSCs, OBs or HUVECs; **c** CD24/CD44 analysis in CFSE-labeled MCF 10A cells.