1 **TITLE**

2 Application	of a unifying	reward-prediction	error (RPE)-based	framework to	explain	underlying
---------------	---------------	-------------------	-------------------	--------------	---------	------------

3 dynamic dopaminergic activity in timing tasks

4

5 AUTHORS

6 Allison E. Hamilos¹ & John A. Assad^{1,2}

7

8 **AFFILIATIONS**

⁹ ¹Department of Neurobiology, Harvard Medical School, Boston, Massachusetts, 02115, USA.

- 10 ²Istituto Italiano di Tecnologia, Genova, Italy.
- 11 Correspondence: A.H. (ahamilos@mit.edu) or J.A.A. (jassad@hms.harvard.edu).
- 12

13 SUMMARY

14 This manuscript is intended as a theoretical companion to Hamilos et al., 2020¹, in which we 15 examined the role of dopaminergic neurons (DANs) in self-timed movements. In that study, 16 we recorded DAN signals in mice trained to initiate a licking movement after a self-timed 17 delay following a start-timing cue. DAN signals both before the start-timing cue and during 18 the timing interval predicted the timing of movement onset, up to seconds before the 19 movement itself. In particular, dopaminergic signals "ramped up" from the time of the cue 20 to the time of the movement. On a given trial, the slope of the ramping was predictive of 21 when the movement would occur, with steep slope associated with early movement and 22 shallow slope with late movement, reminiscent of a ramp-to-threshold process.

24 Ramping dopaminergic signals were recently proposed in a theoretical framework that 25 examined temporal-difference learning under resolved state uncertainty (Mikhael et al., 26 2019²; Mikhael & Gershman, 2019³; Gershman, 2014⁴). Here, we show that an adapted 27 version of Mikhael et al.'s model recapitulates the ramping dopaminergic signaling observed 28 in our self-timed movement task. We also applied the model to results reported in a recent 29 temporal bisection study, in which mice categorized time intervals as relatively short or long compared to a criterion interval (Soares *et al.*, 2016^{5}). The model successfully predicted the 30 31 relative amplitude of dynamic DAN signals observed in the bisection task. These combined 32 results suggest a common neural mechanism that broadly underlies timing behavior: trialby-trial variation in the rate of the internal "pacemaker," manifested in DAN signals that 33 34 reflect stretching or compression of the derivative of the subjective value function relative to 35 veridical time. In this view, faster pacemaking is associated with relatively high amplitude 36 dopaminergic signaling, whereas slower pacemaking is associated with relatively low levels 37 of dopaminergic signaling.

- 38
- 39

40 MAIN TEXT

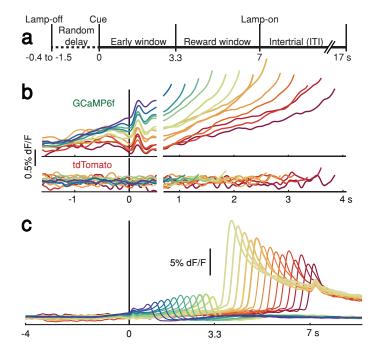
41 Nigrostriatal dopaminergic signaling controls the moment-to-moment decision of when to

42 *move*

43 Clues from human movement disorders and pharmacological studies have long suggested a 44 connection between the neurotransmitter dopamine and the timing of movement initiation^{3,5-13}. We 45 recently showed that dopaminergic signaling controls the moment-to-moment timing of 46 movements in mice¹. We recorded dopaminergic signals with fiber photometry in mice executing 47 a self-timed movement task, in which animals received juice rewards for withholding movement 48 for a proscribed interval (3.3 s) after a start-timing cue and then initiating movement (a first-lick) 49 within a rewarded time window (3.3-7 s, Figure 1a). We observed two aspects of dopaminergic 50 signaling that predicted movement timing: 1) pre-trial baseline signaling of nigrostriatal dopamine neurons (DANs), and, 2) slow "ramping" signals that built up over the course of seconds between 51 52 the start-timing cue and the self-timed movement. Although self-timed movements occurred with 53 variable timing relative to the start-timing cue¹, DAN signaling rose to about the same level at the 54 moment of movement onset, reminiscent of a ramp-to-threshold process (Figure 1b). DAN signals 55 were not explained by ongoing nuisance movements nor optical artifacts and were best modeled 56 with timing-dependent predictors, including a baseline offset term whose amplitude was 57 proportional to the mouse's timing on the upcoming trial, as well as a stretch feature that encoded percentages of elapsed time between the cue and self-timed movement¹. DAN ramping activity 58 predicted first-lick time on single trials, independently of trial history, and optogenetic 59 60 manipulation of DANs bidirectionally shifted movement timing, with activation early-shifting 61 movements versus inhibition late-shifting movements. Together, these results indicate that 62 dopaminergic signaling during self-timing controls the moment of movement onset.

- 64 Figure 1 | Nigrostriatal
- 65 dopaminergic signaling during a
- 66 self-timed movement task.
- 67 Figure adapted from Hamilos et al.,
- 2020^1 and used with permission.
- 69 a, Schematic of self-timed movement70 task.

71 b, Top: Average DAN GCaMP6f responses from 12 mice; Bottom: 72 73 Responses of tdTomato, a non-activity-74 dependent fluorophore used to control 75 for optical artifacts. The different 76 colored traces correspond to averaged 77 trial responses with different first-lick 78 times (ranging from 1-4 s in 250 ms 79 increments). Traces are plotted up to 80 150 ms before first-lick. Averaged traces are aligned relative to both start-81 timing cue onset (left of x-axis break) 82



and first-lick (right of x-axis break); the break in the x-axis indicates the change in plot alignment.
c, Cue-aligned average DAN GCaMP6f signals at lower gain show post-movement RPE-like
signals. Movement onset occurs just before the peak response for each curve. Mice were rewarded
for first-licks made later than 3.3 s, but were not rewarded for earlier first-licks.

88

89 A temporal-difference learning model of dynamic dopaminergic signaling

90 We were interested in understanding the origin of the dynamic dopaminergic signals we observed 91 in our self-timed movement task and how they fit into the context of prior work on the dopamine 92 system. A framework that has explained many disparate experimental results from the dopaminergic system is temporal difference (TD) learning with reward-prediction errors (RPE)^{2,14}. 93 In this framework, DAN activity is thought to reflect the moment-to-moment difference in the 94 95 animal's expectation versus its perception of the value of its current state, where value is defined as the temporally-discounted expectation of total future reward. In classical trace-conditioning 96 97 paradigms, DANs fire in transient bursts to unexpected rewards and reward-predicting cues, whereas they pause their firing when expected reward is omitted. Indeed, we observed RPE-like 98

99 signals in the cue-related transient, dips in activity after unrewarded first-licks, and surges in 100 activity following rewarded first-licks (Figure 1c). Persistence of RPE-like signals in well-trained 101 animals has been suggested to arise from the inherent imprecision in neural timing¹⁰, which may 102 reflect the animal's moment-to-moment uncertainty of its current state — i.e., its position in time-103 and, by extension to our task, uncertainty about its accuracy for a given self-timed lick³. Indeed, 104 positive-going RPE-like signals were strongest for first-licks closest to the reward-boundary (3.3 105 s), presumably when the mouse's "confidence" of reward was lowest, consistent with the greatest 106 RPE occurring when the mice were least certain of success (Figure 1c).

107

108 Whereas RPE-frameworks have explained transient bursts and pauses in DAN activity during 109 trace conditioning and other types of learning experiments, DAN activity can also change more 110 slowly^{2,3}. For example, "ramping" signals build up over seconds during goal-directed navigation¹⁵, 111 bandit tasks in which animals must complete multiple goals to receive reward^{16,17}, and tasks with 112 visual cues of proximity to reward¹⁸. It has been suggested that DANs could signal different 113 information via slow changes in activity (e.g., motivation, ongoing value, vigor) compared to fast-114 timescale activity (e.g., post-hoc RPE signals for learning), and a number of proposals have 115 suggested that DANs multiplex different kinds of information over different timescales and 116 contexts^{17,19}.

117

However, recent models have proposed RPE-based explanations that may be able to reconcile these seemingly disparate dopamine signals^{2,3,18}. While these models do not refute the possibility that DANs could encode other types of information (e.g., value, vigor, etc.), they are attractive for their parsimonious explanation of how fast time-scale phenomena and slowly-evolving ramps could arise from the same underlying RPE-based calculation. In short, these models employ
 principles from TD learning to show how certain shapes of the value function (i.e., the assignment
 of values to the series of behavioral states comprising a task) can give rise to a *continuously changing* RPE, even in well-trained animals^{2,3,18,20}.

126

We were interested in whether an RPE-based framework could explain the results found in our self-timed movement task as well as results from other timing tasks⁵. To approach this question, we applied a key feature of TD learning algorithms to determine what an RPE-like signal would look like in different kinds of timing tasks. Specifically, we took advantage of the fact that *RPE is proportional to the derivative of the subjective value function under conditions of state uncertainty*^{2,3}, as is the case during timing tasks in which the animal must rely on its own internal representation of time to guide behavior².

134

Thus, if the value landscape for a given behavioral task is known, and if DAN activity encodes RPE, the RPE-based framework makes predictions about the expected shape of dynamic DAN activity during the task. In a recent study, similar applications of this principle predicted the ramping DAN signals that were observed in virtual reality (VR) tasks in which animals were moved passively through VR spaces, as well as when the animals passively viewed abstract, dynamic visual cues indicating proximity to reward¹⁸, suggesting the ramping in our task could be explained from similar principles.

142

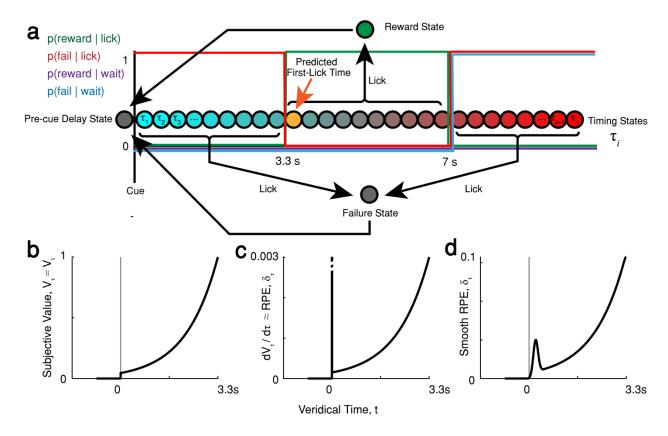
143

145 *RPE-predictions for DAN responses during self-timed movement*

In a simple TD learning model of self-timed movement, time may be modeled as a continuous set of states through which a Markov agent must traverse to receive reward²¹ (Figure 2a). At each state transition (timestep), the agent must decide whether to move (lick) or to wait based on the probability of transitioning to a reward or failure state. If the agent is an optimal timer, its subjective approximation of its current state, τ , accurately tracks veridical time, *t*, and it will thus withhold movement until the first moment at which reward will be available in response to licking (3.3 s in our experiment).

153

154 The value landscape of this model can be understood intuitively. When the cue event occurs, a 155 well-trained agent can expect an increased possibility of reward in the next few seconds; thus, at 156 this moment, value increases. However, reward never occurs within the first 3.3 s of the standard timing task we implemented; thus, value at the cue is necessarily lower than value at 3.3 s. In fact, 157 158 value will constantly increase as time approaches 3.3 s. Thus, as long as the agent withholds licks, 159 the value landscape, V_t , during the first few seconds is a monotonically increasing, convex 160 function⁴ (Figure 2b). If the agent is an optimal timer, the subjective approximation of the value function, \hat{V}_{τ} , matches the true value function, and $\hat{V}_{\tau} = V_t$. 161



163

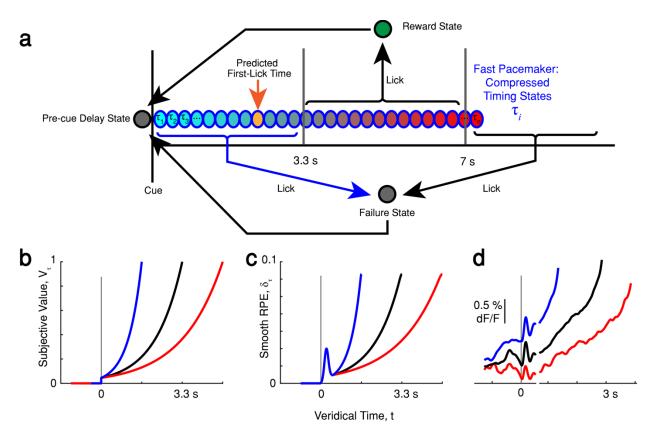
Figure 2 | Value and RPE Landscapes for an optimal timer predict DAN responses 164 during the self-timed movement task. a, State space and probability of state transition for 165 an optimal timer. Gold-shaded state is the first state from which reward is available, and thus 166 is when the first-lick is predicted to occur. **b**, Estimated value function \hat{V}_t , where $\hat{V}_\tau \approx V_t$ for 167 an optimal timer. An exponential value landscape is shown, consistent with prior literature². 168 169 However, any sufficiently convex function could be implemented with the same result²⁻⁴. The agent is expected to first-lick at the peak of the trajectory. c, RPE function for an optimal 170 timer, estimated as $\delta_{\tau} \approx \hat{V}'_{\tau}$, the derivative of the subjective value function. Y-axis scaled 171 to show ramp. d, Predicted DAN GCaMP6f signals for an optimal timer. The RPE function 172 173 was smoothed with a gaussian kernel spanning ca. 10% of the interval to approximate 174 GCaMP6f off-dynamics.

175

However, we assume that, because the timer does not have access to the true state identity, t, it is never certain of its subjective approximation of its state, τ . Under conditions of state uncertainty, RPE is approximately the derivative of the subjective value function^{2,18}, $\delta_{\tau} \approx \hat{V}'_{\tau}$, where δ_{τ} is RPE at subjective time τ , and \hat{V}'_{τ} is the time-derivative of the subjective value function. Thus, the shape of the RPE function, δ_{τ} is also quite simple: a transient increase at the cue followed by a 181 slowly-evolving ramp (Figure 2c). If the RPE function is measured by a calcium indicator such as
182 GCaMP6f, the binding kinetics of the indicator would tend to blur the RPE function, which we
183 approximated by smoothing (Figure 2d).

184

The modeled RPE function mirrors the shape of the dynamics observed in DAN signals: a cue-185 186 related transient followed by a slow ramp up to the time of first-lick. However, unlike the optimal timer in this model, mice, like humans, exhibit suboptimal timing behavior with variability 187 proportional to the duration of the timed interval¹⁰. It has been proposed that this variability in 188 189 timing results from imprecision in an internal clock, referred to classically as the internal "pacemaker²²". When the pacemaker is fast, self-timed movements occur relatively early, whereas 190 191 when the pacemaker is slow, later movements occur. These changes in the pacemaker rate would 192 correspond to the mouse traversing the set of subjective states, τ , at different rates than the passage 193 of veridical time, t (Figure 3a), resulting in relative *compression* and *stretching*, respectively, in the subjective value function, \hat{V}_{τ} (Figure 3b), with corresponding compression/stretching of the 194 195 RPE function (Figure 3c).



197

198 Figure 3 | Compressed and stretched Value and RPE Landscapes for a sub-optimal 199 timer predict dynamic DAN responses during the self-timed movement task, but do not 200 capture baseline offsets. a, Simple state space of self-timed movement task for a suboptimal timer with a fast pacemaker. The fast pacemaker "compresses" state space^{3,21}, resulting in 201 202 traversal of the timing states faster than veridical time. The mouse can only make a decision based on which state it believes itself in; thus first-lick is expected to occur early (gold-shaded 203 state). **b**, A compressed subjective value function (\hat{V}_{τ} , blue) reflects relatively fast traversal 204 through the value landscape compared with that of veridical time (V_t , black). Conversely, 205 206 stretched \hat{V}_{τ} (red) reflects slow traversal, consistent with a slow pacemaker. The animal is expected to lick at the peak of the trajectory. **c**, Smoothed estimated RPE function $(\hat{V}'_{\tau} \approx \delta_{\tau})$. 207 Compression/stretching of the value function produces ramping dynamics similar to those 208 209 observed in DANs (d) and striatal dopamine¹. However, this model alone does not explain the more tonic baseline offsets that were anti-correlated with upcoming movement time (d and 210 211 Figure 1b). d, Average DAN GCaMP6f signals (12 mice, 3 timepoints replotted from Figure 1b, plotted up to 150 ms before first-lick). Break in x-axis as in Figure 1b. 212

213

Strikingly, as this simple RPE-based model predicts, DAN signals observed during our self-timed
movement task show different ramping dynamics depending on when the animal actually moved
(Figure 3d), consistent with compression/stretching of the subjective value and RPE functions.

217 When the animal moved relatively early (perhaps corresponding to a fast pacemaker), DAN 218 ramping unfolded with a steeper slope, as if the ramping period were *compressed*. Conversely, 219 when the animal moved late (perhaps corresponding to a slow pacemaker), DAN ramping unfolded 220 with a shallower slope, as if the ramping interval were stretched. The idea of 221 compression/stretching of DAN ramps was supported by our encoding model¹, for which we 222 needed to add a timing-dependent "stretch factor" to best capture the variance in GCaMP6f signals 223 during the timed interval. Together, these observations could be explained by DANs encoding an RPE-like signal related to the animal's "belief" of its position in objective time, τ , as derived from 224 225 its position along the subjective value trajectory during the timing interval of the task.

226

227 In fact, a recent model described how a timing mechanism instantiated by the nigrostriatal system 228 could lead to (the well-known) variability in self-timed intervals by stretching or compressing of 229 subjective value trajectories³. The model posits that dopamine modulates the pacemaker rate 230 (consistent with pharmacological and lesion studies), with increased dopamine availability (or 231 efficacy) speeding the pacemaker, and decreased dopamine slowing the pacemaker^{6-8,11-13}. In turn, 232 the pacemaker controls the encoding of subjective time, and thus the steepness of the value 233 function with respect to objective, veridical time. It follows that variation in dopamine availability 234 would compress or stretch the value landscape to varying degrees from trial-to-trial. This model is 235 consistent with our findings of variable ramping slope in DANs signals from trial-to-trial. It is also 236 consistent with neural recordings from striatal spiny projection neurons and parietal cortical 237 neurons during similar self-timed movement tasks, for which temporal sequences of striatal and 238 cortical firing during timing were compressed for early movements and stretched for late 239 movements^{23,24}.

240

241 While the RPE-based view of DAN activity captures the dynamic DAN signals we observed, our 242 simple RPE model alone does not capture the *baseline offsets* in DAN signals that were predictive 243 of movement timing even after controlling for previous trial outcome and ongoing nuisance 244 movements¹. More complex RPE-based explanations for these *tonic* offsets in DAN signals could 245 be imagined with further assumptions (e.g., states like the pre-cue delay could also contain timing 246 states that create offsets before the trial begins, etc.), but a parsimonious explanation for how and 247 why these offsets emerge requires further investigation. Mohebi et al. recently showed baseline 248 differences in the amount of dopamine in the nucleus accumbens core that were correlated with 249 the recent history of reward rate: higher recent reward rates were related to higher tonic dopamine¹⁷. 250 However, in our task, animals tended to move later toward the end of sessions, resulting in periods 251 of relatively high reward rate when the average tonic baseline signal was lower (baseline preceding rewarded trials-by definition, later movements-was systematically lower in our task, Figure 1b-252 253 c), suggesting a more complex relationship between tonic DAN activity and reward rate in our 254 task. While the origin of offsets in DAN signals remains unclear, these offsets were nonetheless 255 inversely related to the first-lick time, and thus directly related to the (inferred) pacemaker rate, 256 consistent with pharmacological and lesion studies positing a positive correlation between 257 dopamine availability and pacemaker rate^{3,6-8,11-13}.

258

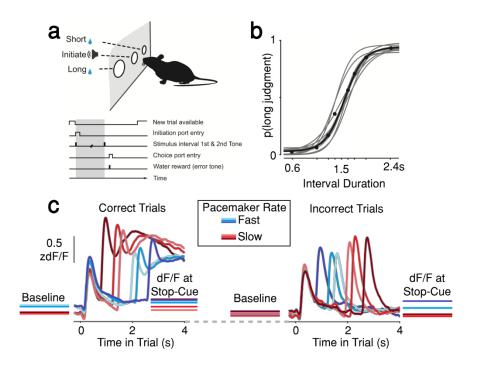
Ramping signals in our photometry experiments were measured from a population of DANs. An important future question is whether ramps are also present at the level of individual neurons, or rather represent a progressive recruitment of individual neurons, or some combination of both.
Prior studies have reported ramping signals in individual neurons during tasks with visual feedback

of distance to reward¹⁸, whereas others have observed decoupling between DAN firing rates and
downstream dopamine release¹⁷, making it unclear whether electrophysiology would be capable
of addressing this question. Observation of individual neurons expressing calcium indicators with
GRIN-lens equipped endoscopes may be better suited to this question.

267

268 **RPE-based predictions for DAN responses during a temporal bisection task**

269 Whereas DAN signals during our self-timed movement task were consistent with classic 270 observations of the influence of dopamine on the speed of the pacemaker, a recent study employing 271 a different timing task found more complex DAN dynamics during timing. Soares et al. recorded 272 SNc DAN GCaMP6f signals with fiber photometry as mice executed a classic temporal bisection 273 perceptual task⁵ (Figure 4a). Trials began when mice entered a nose-poke port and received an 274 auditory start-timing cue. Mice had to remain in the port throughout a variable timing interval, 275 which was terminated with a stop-timing auditory cue. Mice then reported whether the interval 276 was shorter or longer than a criterion time (1.5 s) by choosing a left or right nose-poke port 277 corresponding to a "long" or "short" judgment. Mice were trained to categorize intervals spanning 278 0.6-2.4 s. As expected, trials with more extreme intervals were easier for the mice, whereas trials 279 with intervals closer to the 1.5 s criterion time elicited chance performance (Figure 4b).



281

282 Figure 4 | A temporal bisection task shows relatively high DAN signals during the timing interval when the inferred pacemaker rate is relatively fast. Figures adapted from 283 Soares et al., 2016⁵ with permission of authors and AAAS. a, Task schematic. b, 284 Psychometric curve for timing intervals of different duration. Criterion time: 1.5 s. c, Start-285 286 timing cue-aligned average SNc DAN GCaMP6f signals. Second peak occurs just after the 287 stop-timing cue (intervals: 0.6, 1.05, 1.26, 1.74, 1.95, 2.4 s). Figure recolored to indicate average inferred pacemaker rate. Red: slow; blue: fast. Note: colors intended to indicate 288 289 category of clock speed, not relative pacemaker speed within category. Relative dF/F 290 amplitude during baseline and immediately prior to stop-timing cue shown left and right. 291 dF/F amplitudes during timing are higher when the inferred pacemaker rate is fast. Left: Correct trials. Right: Incorrect trials show the same dF/F relationship with pacemaker rate. 292 293

DANs exhibited complex dynamics during the bisection task, starting with a sharp transient after the start-timing cue and ending with a second transient after the stop-timing cue (Figure 4c). Between the start-timing and stop-timing cues, DAN signals exhibited a U-shape with increasing time, which was visible for trials with longer intervals but was truncated prematurely for the shorter intervals. The authors focused their analyses on the transient occurring *after the stop-timing* cue. Short judgments (suggesting a slow pacemaker) were accompanied by relatively high-amplitude transients after the stop-cue, whereas long judgments (suggesting a fast pacemaker) showed 301 relatively low-amplitude transients. These results seemed to suggest that relatively *high* DAN 302 activity reflected a *slow* pacemaker, the opposite of what is expected based on the bulk of 303 pharmacological and lesion studies³, as well as the trend we observed during our self-timed 304 movement task.

305

This surprising finding could be a unique feature of the bisection task. Unlike self-timed movements, in which animals directly report elapsed time with a movement, the temporal bisection task requires an additional computational step, in which the timed interval must be categorized as "long" or "short." However, prior pharmacological studies employing the bisection task found results consistent with the classic view that higher dopamine availability is associated with a faster pacemaker^{3,25}—opposite the interpretation of Soares *et al.*, but consistent with the findings of our self-timed movement task.

313

The discrepancy between our results and those found by Soares *et al.* could perhaps be traced to differences in the way DAN signals were analyzed. We focused our attention on DAN signals unfolding *during timing* in our self-timed movement task, whereas these signals were not explored by Soares *et al.* We thus asked two questions: 1. What correlations exist between DAN signals and pacemaker rate in the bisection task *before* the timing interval? And, 2. What correlations exist *during* the timing interval itself?

320

Before addressing these questions, we note that the relationship between pacemaker and bisection judgment is not as straightforward as in self-timed movement, and thus we recolored Figure 4c to clarify this, employing the following intuition: For a trial to be correct in the bisection task, on average, the pacemaker must be either accurate or "conservatively inaccurate." In other words, a
correct "short" judgment requires either accurate timing or a *slow* pacemaker (Figure 4c, red
curves). Conversely, a correct "long" judgment requires either accurate timing or a *fast* pacemaker
(Figure 4c, blue curves).

328

329 When we considered DAN signals *before* the timing interval for correct trials in the Soares *et al.* study (Figure 4c, left), we noticed what appears to be two strata of signal levels. Trials with "long" 330 331 judgments (fast pacemaker on average) had relatively high baseline signals, whereas trials with 332 "short" judgments (slow pacemaker on average) had lower baseline signals, consistent with the 333 relationship between baseline offsets and pacemaker rate that we observed in our self-timed 334 movement task. As in our task, these baseline offsets remained present during the timing interval, 335 resulting in the same stratification of dF/F signals immediately prior to the stop-timing cue (except 336 for the very shortest interval, 0.6 s, which overlaps decaying GCaMP6f signals related to the start-337 timing cue, likely causing an artifactual inflation of the signal just prior to the stop-cue due to the 338 off-kinetics of the calcium indicator or kinetics of calcium clearance more generally). Thus, it 339 generally appears that DAN activity was *higher* on trials with fast pacemaker rates, both during 340 and before the interval in which the animal was actually timing. Intriguingly, incorrect trials (to 341 the right in Figure 4c) showed a relative convergence of the baseline signals preceding the start-342 cue, but then signals diverged during the timing interval, resulting in relatively high signals just 343 before the stop-cue for incorrect "long" choices (i.e., a fast pacemaker, blue), but relatively low 344 signals just before the stop-cue for incorrect "short" choices (i.e., a slow pacemaker, red). This is 345 consistent with the patterns observed on correct trials. Interpreted thusly, the Soares et al. result is 346 consistent both with our results and with classic pharmacological studies relating higher/lower

347	dopamine availability to faster/slower pacemaker rates, respectively. Soares et al. presented their
348	subsequent analyses with these baseline differences normalized-out in some way (Figure 3 of
349	Soares et al.). It is possible that this "zeroing out" of the baseline offset may have hindered efforts
350	to detect consistent effects during the timing interval due to reordering of the traces.
351	
352	Because baseline offsets in the bisection task appear similar to those in our self-timed movement
353	task, we asked whether dynamic DAN signals in the bisection task could similarly be explained
354	by the task's RPE landscape. In their investigation of the stop-timing cue-related transient, Soares
355	et al. showed that its amplitude is well-explained by a combination of temporal surprise and
356	behavioral performance, and we applied these parameters to derive a value landscape consistent
357	with their bisection task.
358	
359	The inferred value landscape of the bisection task for an optimal agent was built from a few
360	assumptions (Figure 5a):
361	
362	1. As in our self-timed movement task, value increases immediately at the start-cue and
363	continues to rise toward the time of expected potential reward delivery.
364	
365	2. Because the longest interval is 2.4 s, the time until potential reward is known to be no more
366	than \sim 3 s (including the time to report judgment). However, due to temporal uncertainty
367	and the fact that a false start (leaving the port before the stop-timing cue) results in an error
368	and loss of reward, there is a second jump in the value function at the time of the stop-cue

369

when the feedback of the tone reorients the value function and indicates the opportunity to collect reward within a few hundred milliseconds.

371

370

372 3. Because value is temporally discounted at the start-cue by the possibility of the longest-373 possible interval, any stop-cue occurring before 2.4 s results in a sudden "teleportation" 374 through the value landscape to the final limb of the task that occurs just before the judgment 375 and ascertainment of trial outcome, similar to the jump in the value function in a recentlyreported, virtual reality, spatial teleportation task¹⁸. Thus, assuming the value function 376 377 trends upwards steadily, the amplitude of RPE-related transients following the stop-cue 378 would *decrease* as the interval duration increases, because the sudden jump in the value 379 function becomes progressively smaller.

380

4. To capture aspects related to behavioral performance, we additionally included contours in the value function during the timing interval to reflect the probability of a correct choice for intervals of different lengths. Specifically, a relative minimum in the value function occurs near 1.5 s, when predicted performance is worst. However, a stop-timing tone near the criterion time also results in a smaller jump in the value function because the probability of a correct decision is also lower. Thus, the increase in value at the moment of decision was adjusted by the probability of a correct choice.

388

389 5. As in the simple RPE-model of our self-timed movement task, we modeled changes in
390 pacemaker rate as compression/stretching of the subjective value landscape with respect to
391 veridical time.

392

6. The agent traverses timing states during the timing interval, similar to the timing states in 393 394 the self-timed movement task, but unlike our task, the bisection task does not require the 395 agent to decide when to move. We assume the need to make a timed movement imposes a need for the agent to be relatively certain of its subjective timing state, τ , to make a decision, 396 397 even though it is uncertain of its true state, t. The bisection task, on the other hand, is more 398 similar to classical conditioning tasks in which the timing interval is not in the agent's 399 control, and thus subjective state uncertainty increases with the distance from the last state-400 informative cue³. Thus, we took into account temporal blurring of the subjective state 401 function, which would tend to reduce the convexity of the subjective value function and 402 reduce the amplitude of ramping during the timing interval³. However, adding temporal blurring does not substantially change the fit-shape in our simplified model, and versions 403 with or without blurring can reproduce the shape of the dynamic DAN signals. 404

405

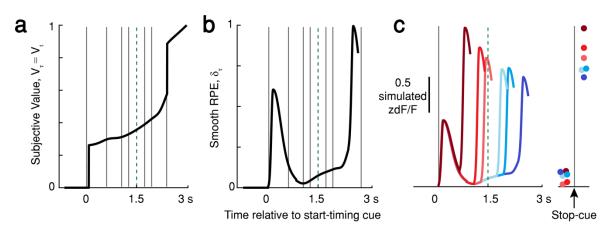
406 Together, we arrived at a model of the RPE landscape for each of the six tested interval durations 407 (Figure 5b,c). Importantly, this simple RPE-based model accurately captures the relative 408 categorical amplitudes of the stop-timing cue-related transients, as follows: If the instantaneous 409 DAN activity at the time of the stop-timing cue is relatively high, this would indicate that the 410 animal is further along in the subjective value trajectory, resulting in 1) a *long* judgment, and 2) a 411 relatively *smaller* RPE transient, because the underlying subjective value was higher at that 412 moment. Conversely, if instantaneous DAN activity is relatively low at the stop-timing cue, this 413 would indicate that the animal is not very far along the subjective value trajectory, leading to 1) a *short* judgement and 2) a relatively *larger* stop-cue-related RPE transient, because the underlying
subjective value was relatively low just before the stop-cue.

416

417 Now consider a particular (objective) time interval near the criterion time, for which the animal makes a mix of "long" and "short" choices (e.g., 1.74 s; Figure 4b). Soares et al. found that the 418 419 amplitude of the stop-timing cue-related GCaMP6f transient tended to be bigger when the animal 420 incorrectly made short choices, and this was taken as evidence that elevated DAN activity *slows* 421 the internal clock. However, our model predicts that the size of the stop-cue-related transient will 422 be inversely related to the amplitude of the underlying subjective value at that point, and thus 423 inversely related to elapsed *subjective* time. It thus follows that if subjective time is more advanced 424 on a given trial (i.e., faster pacemaker), the animal would tend to choose the long judgment on that 425 trial, and the stop-timing RPE transient would be *smaller*. Conversely, if subjective time is less 426 advanced on a trial (i.e., slower pacemaker), the animal would tend to choose the short judgment, 427 and the stop-timing RPE transient would be *larger*.

428

Our RPE model accurately predicts the results of Soares *et al.*; however, our model holds that elevated DAN activity *speeds* the internal clock, consistent with most pharmacological studies but *opposite* the interpretation of Soares *et al.* Thus, our RPE-based model suggests a parsimonious explanation for DAN activity in both the self-timed movement and temporal bisection paradigms, with (1) relatively high DAN activity corresponding to a fast pacemaker; manifesting in (2) compression of the value landscape; thereby leading to (3) early movements (in the self-timed movement task) or long judgments (in the temporal bisection task).



437

438 Figure 5 | Subjective Value and RPE Landscapes for the temporal bisection task predict 439 dynamic DAN responses during the temporal bisection task, but do not capture baseline offsets. a, Estimated value function \hat{V}_t , where $\hat{V}_\tau \approx V_t$ for an optimal timer on a 2.4 s trial. 440 Grey lines: test interval times. Green dashed line: criterion time (1.5 s). Value increases 441 442 approaching the time when reward is available, increasing abruptly at the start- and stop-443 timing cues (0 and 2.4 s). **b**, Smoothed RPE function for an optimal timer, estimated as $\delta_{\tau} \approx$ \hat{V}'_{τ} , the derivative of the subjective value function. The RPE function was smoothed with 444 an asymmetrical gaussian kernel spanning *ca*. 28% of the interval to approximate GCaMP6f 445 off-dynamics. c, Predicted DAN GCaMP6f signals for an optimal timer for the six test 446 447 interval times. Traces truncated before reward collection for clarity. Colors indicate conservative pacemaker speed for a correct judgment (red: slow, blue: fast). Right: relative 448 449 simulated dF/F amplitude just before the stop-timing cue and subsequent peak response. Amplitude just before the stop-timing cue is directly proportional to pacemaker speed; peak 450 amplitude after the stop-timing cue is inversely proportional to pacemaker speed. 451 452

453 Limitations of the RPE-based model

The simple RPE-based models presented here explain dynamic DAN signals in both the bisection task and our self-timed movement task, but they do not explain the origin of baseline offsets. Mohebi *et al.*¹⁷ recently-proposed that baseline offsets in ventral striatal dopamine levels could reflect the average recent reward rate, but we found that offset amplitude in DAN signals is at least partially independent of recent trial history during the self-timed movement task. It is possible that baseline variation arises from slow, random fluctuations in DAN activity, but further work is needed to explore the origins of these signals.

A second issue is the impact of optogenetic DAN activation and suppression on the rate of the pacemaker. In our self-timed movement task, DAN activation promoted early movements, consistent with increasing the pacemaker rate, whereas suppression promoted late movements, consistent with slowing the pacemaker rate¹. However, Soares *et al.* reported an opposite effect for optogenetic manipulation during the bisection task, at least for DAN activation⁵.

467

This difference between the tasks could be reconciled by a recent theoretical model proposed by 468 469 Mikhael and Gershman to explain the behavior of the pacemaker in a wide range of classical 470 conditioning and timing studies³. Their model shows that the pacemaker rate is expected to be updated at the time of reinforcement by a Hebbian-like, bidirectional learning rule. If reward 471 472 occurs exactly at the expected time, there is no update in the pacemaker rate. However, if 473 reinforcement occurs before the expected time, this is interpreted as feedback that the pacemaker 474 was running too slowly; thus, the update rule increases the pacemaker rate leading to expectation 475 of reward at an earlier time on the next trial. Conversely, if reinforcement occurs after it was 476 expected, this is interpreted as feedback indicating an overly fast pacemaker, resulting in an update 477 that slows the pacemaker rate and creates the expectation of a later reward on the next trial. The 478 same principles apply to ongoing RPE during timing tasks.

In our self-timed movement task, we activated or inhibited DANs only *up to* the time of first-lick, which Mikhael and Gershman's model predicts will produce an effect on the pacemaker rate consistent with the sign of the manipulation (activate: increase, inhibit: decrease). However, Soares *et al.* continued optical stimulation *past* the end of the timing interval, until the end of the trial. When Mikhael and Gershman modeled stimulation in the Soares *et al.* task, they found that simulated DAN activation increased the pacemaker rate during the timing interval, but the 485 continuing stimulation after the stop-timing cue rapidly counteracted this effect, resulting in 486 slowing of the modeled pacemaker between the stop-cue and the judgment, leading to an effect on 487 pacemaker rate *inconsistent* with the sign of the manipulation, as observed in Soares *et al*. If this 488 model is correct, the effect of stimulation on the animal's judgment in the Soares *et al.* task may 489 have arisen due to continued manipulation of DAN activity *after* the timing interval had ended. A 490 "retrospective" effect of this sort might seem counterintuitive, but such retrospective effects have 491 long been observed in perceptual studies, in which recall of sensory stimuli can be enhanced by 492 additional sensory cues presented shortly after stimulus offset^{26,27}, suggesting that sensory events 493 are "buffered" briefly and can be altered by neural activity occurring between the sensory event 494 and the perceptual decision. It is possible that a similar process could occur in the bisection task if 495 DAN stimulation extends past the timing interval, although this is speculative. More work is 496 needed to reconcile the optogenetic results in the self-timed movement and bisection tasks. To 497 start, it would be informative to repeat the optogenetic experiments in the bisection task with 498 optical stimulation limited to the period of the timed intervals only.

499

500

501

502

503

REFERENCES

507	1.	Hamilos, A.E. et al. Dynamic dopaminergic activity controls the timing of self-timed
508		movement. Preprint at: https://doi.org/10.1101/2020.05.13.094904 (2020).
509	2.	Mikhael, J. G., Kim, H. R., Uchida, N., & Gershman, S. J. Ramping and State
510		Uncertainty in the Dopamine Signal. Preprint at
511		https://www.biorxiv.org/content/10.1101/805366v1 (2019).
512	3.	Mikhael, J. G. & Gershman, S. J. Adapting the flow of time with dopamine. J.
513		Neurophysiol., 121, 1748–1760 (2019).
514	4.	Gershman, S. J. Dopamine Ramps Are a Consequence of Reward Prediction
515		Errors. Neural Comp. 26, 467–471 (2014).
516	5.	Soares, S., Atallah, B. & Paton, J. Midbrain dopamine neurons control judgment of
517		time. Science 354 , 1273–1277 (2016)
518	6.	Dews, P. B. & Morse, W. H. Some observations on an operant in human subjects and
519		its modification by dextro amphetamine. J. Exp. Anal. Behav. 1, 359–364 (1958).
520	7.	Schuster, C. & Zimmerman, J. Timing behavior during prolonged treatment with dl-
521		amphetamine. J. Exp. Anal. Behav. 4, 327–330 (1961).
522	8.	Meck, W. H. Affinity for the dopamine D2 receptor predicts neuroleptic potency in
523		decreasing the speed of an internal clock. Pharmacol. Biochem. Behav. 25, 1185-
524		1189 (1986).
525	9.	Malapani, C., Rakitin, B. C., Levy, R., Meck, W. H., Deweer, B., Dubois, B., &
526		Gibbon, J. (1998). Coupled Temporal Memories in Parkinson's Disease: A
527		Dopamine-Related Dysfunction. J. Cog. Neuro., 3(May), 316-331.

528	10. Raitin, B. C., Penney, T. B., Gibbon, J., Hinton, S. C., & Meek, W. H. Scalar
529	Expectancy Theory and Peak-Interval Timing in Humans. Journal of Experimental
530	Psychology: Animal Behavior Processes 24, 15–33 (1998).
531	11. Lutig, C. & Meck, W. H. Chronic treatment with haloperidol induces deficits in
532	working memory and feedback effects of interval timing. Brain Cogn. 58, 9–16
533	(2005).
534	12. Meck, W. H. Neuroanatomical localization of an internal clock: A functional link
535	between mesolimbic, nigrostriatal, and mesocortical dopaminergic systems. Brain
536	<i>Res</i> . 1109 , 93–107 (2006).
537	13. Merchant, H., Harrington, D. L. & Meck, W. H. Neural Basis of the Perception and
538	Estimation of Time. Annu. Rev. Neurosci 36, 313-36 (2013).
539	14. Schultz, W., Dayan, P. & Montague, P. R. A neural substrate of prediction and
540	reward. Science 275, 1593–1599 (1997).
541	15. Howe, M. W., Tierney, P. L., Sandberg, S. G., Phillips, P. E. M. & Graybiel, A. M.
542	Prolonged dopamine signalling in striatum signals proximity and value of distant
543	rewards. Nature 500, 575–579 (2013).
544	16. Hamid, A. A. et al. Mesolimbic dopamine signals the value of work. Nat. Neurosci.
545	19 , 117–126 (2016).
546	17. Mohebi, A et al. Dissociable dopamine dynamics for learning and motivation. Nature
547	570 , 65–70 (2019).
548	18. Kim, H. R. et al. A unified framework for dopamine signals across timescales.
549	Preprint at https://www.biorxiv.org/content/10.1101/803437v1 (2019).

550	19. Engelhard, B et al. Specialized coding of sensory, motor and cognitive variables in
551	VTA dopamine neurons. <i>Nature</i> 570 , 509–513 (2019).
552	20. Starkweather, C. K., Babayan, B. M., Uchida, N., & Gershman, S. J. Dopamine
553	reward prediction errors reflect hidden-state inference across time. Nat. Neuro. 20,
554	581–589 (2017).
555	21. Sutton, R. S., & Barto, A. G. Reinforcement Learning. MIT Press, Cambridge,
556	(2000).
557	22. Gibbon, J., Malapani, C., Dale, C. L., & Gallistel, C. Toward a neurobiology of
558	temporal cognition: advances and challenges. Curr. Opin. Neurobiol. 7, 170–184
559	(1997).
560	23. Mello, G. B. M., Soares, S. & Paton, J. J. A scalable population code for time in the
561	striatum. Curr. Biol. 25, 1113–1122 (2015).
562	24. Maimon, G. & Assad, J. A. A cognitive signal for the proactive timing of action in
563	macaque LIP. Nat. Neuro. 9, 948–955 (2006).
564	25. Morgan, L., Killeen, P.R., Fetterman, J.G. Changing rates of reinforcement perturbs
565	the flow of time. Behav. Processes 30, 259–271 (1993).
566	26. Gegenfurtner, K. R., & Sperling, G. Information Transfer in Iconic Memory
567	Experiments. J. Exp. Psych: Human Perception and Performance 19, 845-866 (1993).
568	27. Herrington, T. M., & Assad, J. A. Neural activity in the middle temporal area and
569	lateral intraparietal area during endogenously cued shifts of attention. J. Neurosci. 29,
570	14160–14176 (2009).
571	

573 ACKNOWLEDGEMENTS

- 574 We thank J.G. Mikhael and S.J. Gershman for discussions on temporal difference learning models
- and analytical methods; The work was supported by NIH grants UF-NS109177 and U19-
- 576 NS113201, and NIH core grant EY-12196. A.E.H. was supported by a Harvard Lefler Predoctoral
- 577 Fellowship and a Harvard Quan Predoctoral Fellowship.

578

579 AUTHOR CONTRIBUTIONS

- 580 A.E.H. and J.A.A conceived the project. A.E.H. performed all experiments and implemented the
- 581 computational models. A.E.H. and J.A.A. analyzed the data and wrote the paper.

582

583 DECLARATION OF INTERESTS

584 The authors have no relevant interests to declare.

585

586 CODE AVAILABILITY

- 587 All custom behavioral software and analysis tools are available
- 588 at <u>https://github.com/harvardschoolofmouse</u>.
- 589

590 DATA AVAILABILITY

- 591 The data that support the findings of this study are available from the corresponding author upon
- 592 reasonable request.