

# 1 **Comparison of different linear-combination modelling algorithms for short-** 2 **TE proton spectra**

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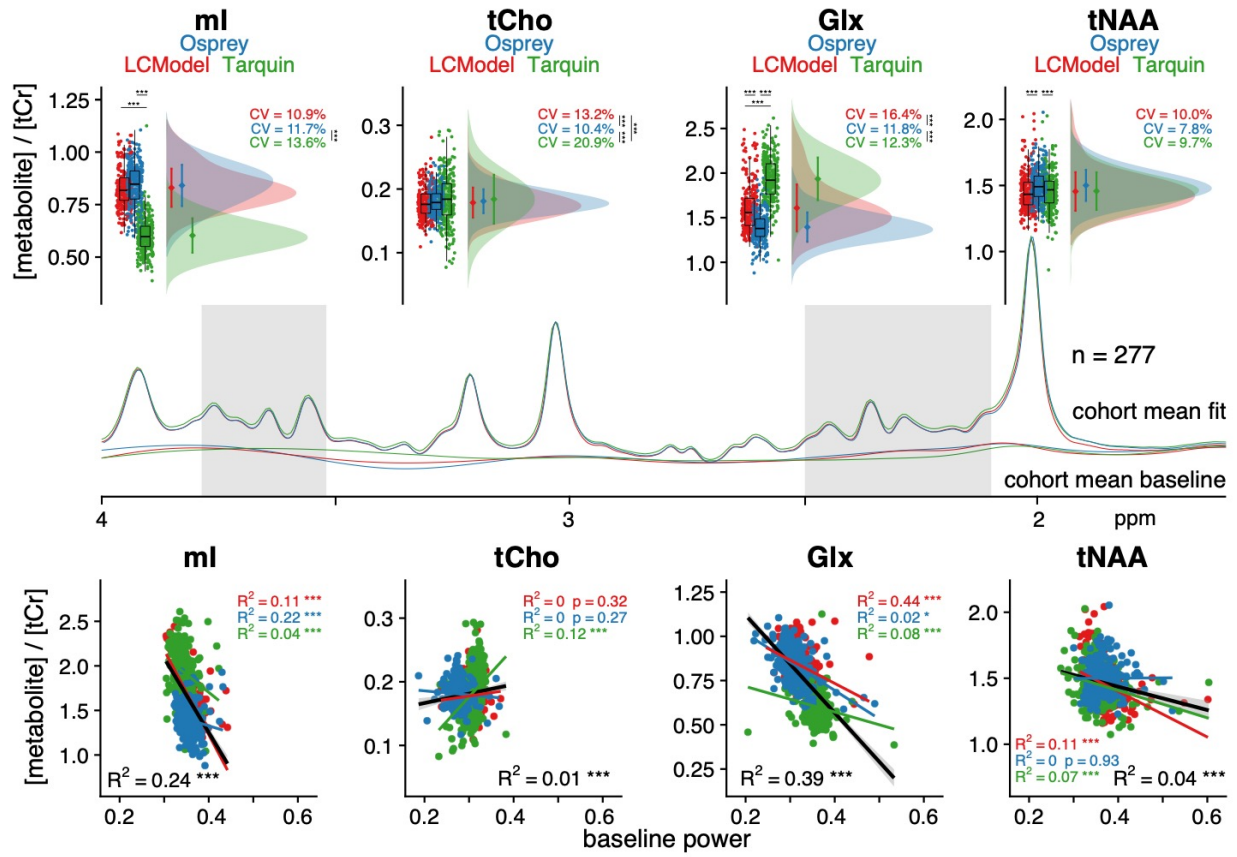
20 *Keywords: MRS, linear-combination modelling, short-echo-time spectra, LCM*

21 *Abbreviations: linear-combination modelling – LCM, total N-acetylaspartate – tNAA, total cho-*

22 *line – tCho, myo-Inositol – mI, glutamate+glutamine – Glx, total creatine – tCr, macromolecular*

23 *– MM, Hankel singular value decomposition – HSVD, coefficient of variation – CV*

## 24 Graphical Abstract



25

26

## 27 **Abstract**

28 Short-TE proton MRS is used to study metabolism in the human brain. Common analysis meth-  
29 ods model the data as linear combination of metabolite basis spectra. This large-scale multi-site  
30 study compares the levels of the four major metabolite complexes in short-TE spectra estimated  
31 by three linear-combination modelling (LCM) algorithms.

32  
33 277 medial parietal lobe short-TE PRESS spectra (TE = 35 ms) from a recent 3T multi-site study  
34 were pre-processed with the Osprey software. The resulting spectra were modelled with Osprey,  
35 Tarquin and LCMModel, using the same three vendor-specific basis sets (GE, Philips, and Sie-  
36 mens) for each algorithm. Levels of total N-acetylaspartate (tNAA), total choline (tCho), myo-  
37 inositol (mI), and glutamate+glutamine (Glx) were quantified with respect to total creatine (tCr).

38 Group means and CVs of metabolite estimates agreed well for tNAA and tCho across vendors  
39 and algorithms, but substantially less so for Glx and mI, with mI systematically estimated lower  
40 by Tarquin. The cohort mean correlation coefficient for all pairs of LCM algorithms across all  
41 datasets and metabolites was  $\overline{\mathbf{R}^2} = 0.39$ , indicating generally only moderate agreement of indi-  
42 vidual metabolite estimates between algorithms. There was a significant correlation between lo-  
43 cal baseline amplitude and metabolite estimates (cohort mean  $\overline{\mathbf{R}^2} = 0.10$ ).

44 While mean estimates of major metabolite complexes broadly agree between linear-combination  
45 modelling algorithms at group level, correlations between algorithms are only weak-to-moderate,  
46 despite standardized pre-processing, a large sample of young, healthy and cooperative subjects,  
47 and high spectral quality. These findings raise concerns about the comparability of MRS studies,  
48 which typically use one LCM software and much smaller sample sizes.

## 49 Introduction

50 Proton MRS allows in-vivo research studies of metabolism<sup>1,2</sup>. Single-voxel MR spectra from the  
51 human brain are frequently acquired using PRESS localization<sup>3</sup>, and can be modelled to esti-  
52 mate metabolite levels. Accurate modelling is hampered by poor spectral resolution at clinical  
53 field strengths, and for short-echo-time spectra, metabolite signals overlap with a broad back-  
54 ground consisting of fast-decaying macromolecule and lipid signals. Linear-combination model-  
55 ling (LCM) of the spectra maximizes the use of prior knowledge to constrain the model solution,  
56 and is recommended by recent consensus<sup>4</sup>. LCM algorithms model spectra as a linear combina-  
57 tion of (metabolite and macromolecular (MM)) basis functions, and typically also include terms  
58 to account for smooth baseline fluctuations.

59  
60 Several LCM algorithms are available to quantify MR spectra (**Table 1** describes some of the  
61 most widely used: Osprey<sup>5</sup>, INSPECTOR<sup>6</sup>, Tarquin<sup>7</sup>, AQSES<sup>8</sup>, Vespa<sup>9</sup>, QUEST<sup>10</sup>, LCMModel<sup>11</sup>).  
62 The implementations (open-source vs. compiled ‘black-box’), modelling approaches (modelling  
63 domain and baseline model), and their licensure practices are diverse.

64 Surprisingly few studies have compared the performance of different LCM algorithms. Cross-  
65 validation of quantitative results has almost exclusively been performed in the context of bench-  
66 marking new algorithms against existing solutions. In-vivo comparisons are often limited to  
67 small sample sizes, whether analyzing spectra from animal models<sup>7,12,13</sup> or human subjects<sup>7,8,12</sup>.  
68 To the best of our knowledge, two exceptions compared the LCM performance of different algo-  
69 rithms in rat brain<sup>14</sup> and human body<sup>15</sup>, respectively. Most studies report good agreement be-  
70 tween results from different algorithms, inferring this from group-mean comparisons, or observ-  
71 ing that differences between clinical groups are consistent regardless of the algorithm ap-  
72 plied<sup>14,16</sup>. Correlations of estimates from different algorithms are rarely reported; however, a high  
73 correlation between LCMModel and Tarquin results was found in the rat brain at ultra-high field<sup>14</sup>.

74 Despite the fact that LCM has been used to analyze thousands of studies (**Table 1**), a compre-  
75 hensive assessment of the agreement between the algorithms is lacking, and the relationship be-  
76 tween the choice of model parameters and quantitative outcomes is poorly understood. To begin  
77 to address this gap, we conducted a large-scale comparison of short-TE in-vivo MRS data using  
78 three LCM algorithms with standardized pre-processing. While recent expert consensus recom-  
79 mends using measured MM background spectra, data for different sequences are not broadly

80 available or integrated in LCM software. This manuscript investigates current common practice,  
81 and therefore all models included simulated MM basis functions as defined in LCModel. We  
82 compared group-mean quantification results of four major metabolite complexes from each LCM  
83 algorithm, performed between-algorithm correlation analyses, and investigated local baseline  
84 power and creatine modelling as potential sources of differences between the algorithms.

85

86 **Table 1.** Overview of linear-combination modelling algorithms. The domain (time TD or fre-  
87 quency FD) of modelling and the baseline model approach are specified. \*Citations re-  
88 ported from Google Scholar on September 14, 2020.

Name	Modelling Domain, Baseline approach	Cost	Code Availability	Published	Citations*
Osprey	FD, spline baseline	free	open	2020	1
INSPECTOR	FD, 1 <sup>st</sup> -order polynomial	free	open	2018	0
Tarquin	TD, smooth baseline	free	open	2011	259
AQSES (jMRUI)	TD, spline baseline	free	closed	2007	141
Vespa	FD, wavelet baseline	free	open	2006	72
QUEST (jMRUI)	TD, spline baseline	free	closed	2004	34
LCModel	FD, spline baseline	\$13,300	closed	1992	3482

89

## 90 Methods

### 91 Participants & acquisition

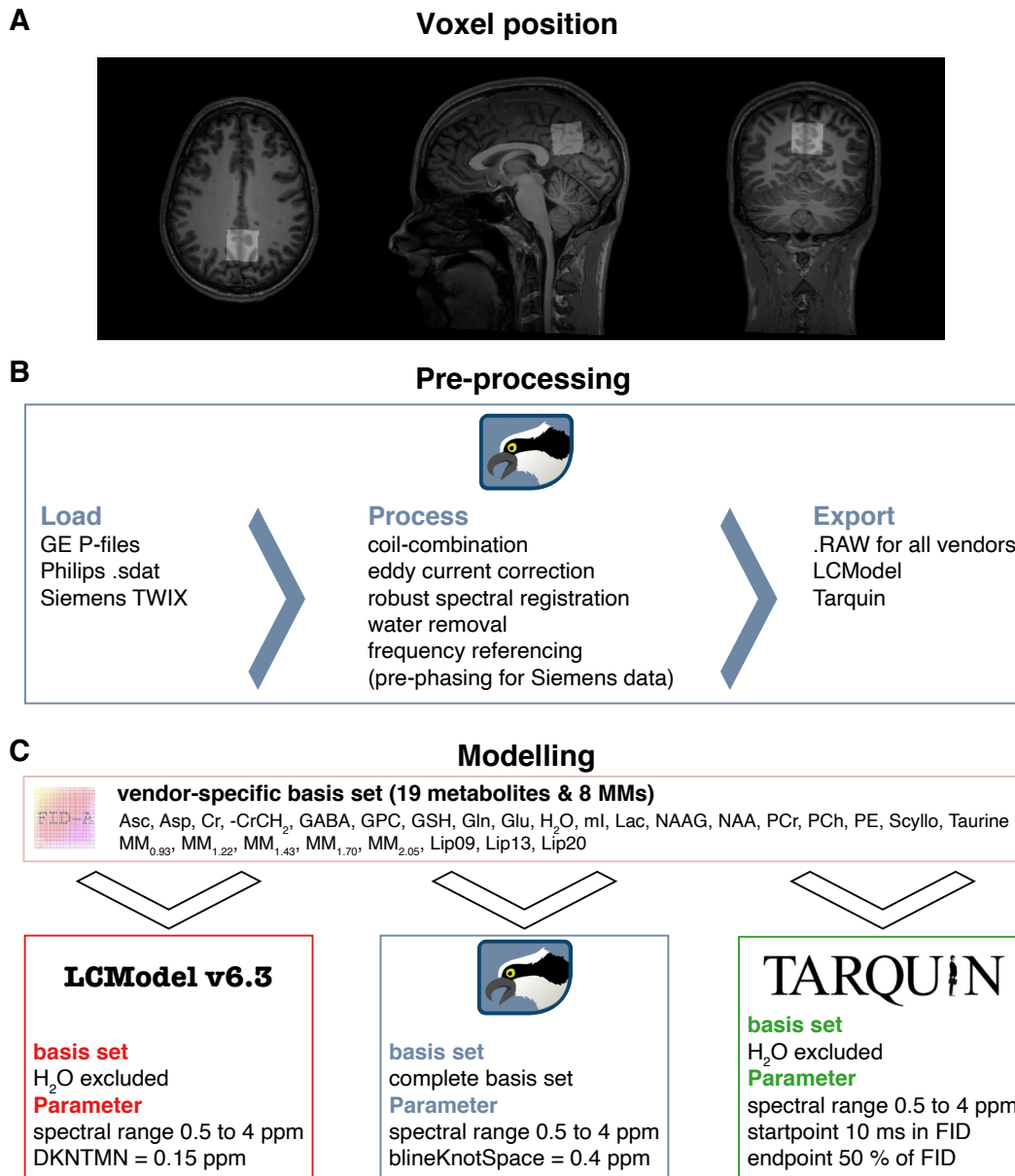
92 277 single-voxel short-TE PRESS brain datasets from healthy volunteers acquired in a recent 3T  
93 multisite-study<sup>17</sup> were included in this analysis. Data were acquired at 25 sites (with up to 12  
94 subjects per site) on scanners from three different vendors (GE: 8 sites with n = 91; Philips: 10  
95 sites with n = 112; and Siemens: 7 sites with n = 74) with the following parameters: TR/TE =  
96 2000/35 ms; 64 averages; 2, 4 or 5 kHz spectral bandwidth; 2048-4096 data points; acquisition  
97 time = 2.13 min; 3×3×3 cm<sup>3</sup> voxel in the medial parietal lobe (**Figure 1A**). The water suppres-  
98 sion pulse bandwidth was 140 Hz for Philips, 50 Hz for Siemens, and 150 Hz for GE. Reference  
99 spectra were acquired with similar parameters, but without water suppression and 8-16 averages.  
100 No more acquisition parameters were specified (for more details, please refer to <sup>17</sup>). Data were  
101 saved in vendor-native formats (GE P-files, Philips .sdat, and Siemens TWIX). In the initial  
102 study<sup>18</sup>, written informed consent was obtained from each participant and the study was ap-  
103 proved by local institutional review boards. Anonymized data were shared securely and analyzed  
104 at Johns Hopkins University with local IRB approval. Due to site-based data privacy guidelines,  
105 only a subset of these data (GE: 7 sites with n = 79; Philips: 9 sites with n = 100; and Siemens: 4  
106 sites with n = 48) is publicly available<sup>19</sup>.

107

### 108 Data pre-processing

109 MRS data were pre-processed in Osprey<sup>5</sup>, an open-source MATLAB toolbox, following recent  
110 peer-reviewed pre-processing recommendations<sup>2</sup>, as summarized in **Figure 1B**. First, the vendor-  
111 native raw data were loaded, including the metabolite (water-suppressed) data and unsuppressed  
112 water reference data. Second the raw data were pre-processed into averaged spectra. Receiver-  
113 coil combination<sup>20</sup> and eddy-current correction<sup>21</sup> of the metabolite data were performed using the  
114 water reference data. Individual transients in Siemens and GE data were frequency-and-phase  
115 aligned using robust spectral registration<sup>22</sup>, while Philips data had been averaged on the scanner.  
116 After averaging the individual transients, the residual water signal was removed with a Hankel  
117 singular value decomposition (HSVD) filter<sup>23</sup>. For Siemens spectra, an additional pre-phasing  
118 step was introduced by modelling the signals from creatine and choline-containing compounds at  
119 3.02 and 3.20 ppm with a double Lorentzian model and applying the inverted model phase to the

120 data. This step corrected a zero-order phase shift in the data arising from the HSVD water re-  
121 moval, likely because the Siemens water suppression introduced asymmetry to the residual water  
122 signal. Finally, the pre-processed spectra were exported in .RAW format.  
123



124

**Figure 1.** Voxel position and overview of the MRS analysis pipeline. (A) Representative voxel position in the medial parietal lobe extracted with ‘OspreyCoreg’ (B) Pre-processing pipeline implemented in Osprey including ‘OspreyLoad’ to load the vendor-native spectra, ‘OspreyProcess’ to process the raw data and to export the averaged spectra. (C) Modelling of the averaged spectra with details of the basis set and parameters of each LCM (LCModel, Osprey, and Tarquin).



## 125 Data modelling

126 Fully localized 2D density-matrix simulations implemented in the MATLAB toolbox FID-A<sup>24</sup>  
127 with vendor-specific refocusing pulse information, timings, and phase cycling were used to gen-  
128 erate three vendor-specific basis sets (GE, Philips, and Siemens) including 19 spin systems:  
129 ascorbate, aspartate, Cr, negative creatine methylene (-CrCH<sub>2</sub>),  $\gamma$ -aminobutyric acid (GABA),  
130 glycerophosphocholine (GPC), glutathione, glutamine (Gln), glutamate (Glu), water (H<sub>2</sub>O), myo-  
131 inositol (mI), lactate, NAA, N-acetylaspartylglutamate (NAAG), phosphocholine (PCh), PCr,  
132 phosphoethanolamine, scyllo-inositol, and taurine. The -CrCH<sub>2</sub> term is a simulated negative cre-  
133 atine methylene singlet at 3.95 ppm, included as a correction term to account for effects of water  
134 suppression and relaxation. It is not included in the tCr model, which is used for quantitative ref-  
135 erencing.

136 8 additional Gaussian basis functions were included in the basis set to simulate broad macromol-  
137 ecules and lipid resonances<sup>25</sup> (simulated as defined in section 11.7 of the LCMoDel manual<sup>26</sup>):  
138 MM<sub>0.94</sub>, MM<sub>1.22</sub>, MM<sub>1.43</sub>, MM<sub>1.70</sub>, MM<sub>2.05</sub>, Lip09, Lip13, Lip20. The Gaussian amplitudes were  
139 scaled relative to the 3.02 ppm creatine CH<sub>3</sub> singlet in each basis set (details in **Supplementary**  
140 **Material 1**). Finally, to standardize the basis set for each algorithm, basis sets were stored as  
141 .mat files for use in Osprey and as .BASIS-files for use in LCMoDel and Tarquin. In the follow-  
142 ing paragraphs, each LCM algorithm investigated in this study is described briefly (for details,  
143 please refer to the original publications<sup>5,7,11</sup>).

144

## 145 LCMoDel v6.3

146 The LCMoDel (6.3-0D) algorithm<sup>11</sup> models data in the frequency-domain. First, time-domain  
147 data and basis functions are zero-filled by a factor of two. Second, frequency-domain spectra are  
148 frequency-referenced by cross-correlating them with a set of delta functions representing the ma-  
149 jor singlet landmarks of NAA (2.01 ppm), Cr (3.02 ppm), and Cho (3.20 ppm). Third, starting  
150 values for phase and linebroadening parameters are estimated by modelling the data with a re-  
151 duced basis set containing NAA, Cr, PCh, Glu, and mI, with a smooth baseline. Fourth, the final  
152 modelling of the data is performed with the full basis set, regularized lineshape model and base-  
153 line, with starting values for phase, linebroadening, and lineshape parameters derived from the  
154 previous step. Model parameters are determined with a Levenberg-Marquardt<sup>27,28</sup> non-linear  
155 least-squares optimization implementation that allows bounds to be imposed on the parameters.



156 Metabolite amplitude bounds are defined to be non-negative, and determined using a non-nega-  
157 tive linear least-squares (NNLS) fit at each iteration of the non-linear optimization. Amplitude  
158 ratio constraints on macromolecule and lipid amplitude, as well as selected pairs of metabolite  
159 amplitudes (e.g. NAA+NAAG), are defined as in Osprey and Tarquin. LCMoel constrains the  
160 model with three additional regularization terms. Two of these terms penalize a lack of smooth-  
161 ness in the baseline and lineshape models using the second derivative operator, preventing unrea-  
162 sonable baseline flexibility and lineshape irregularity. The third term penalizes deviations of the  
163 metabolite Lorentzian linebroadening and frequency shift parameters from their expected values.  
164

### 165 *Osprey*

166 The Osprey (1.0.0) algorithm<sup>5</sup> adopts several key features of the LCMoel and Tarquin algo-  
167 rithms. Osprey follows the four-step workflow of LCMoel including zero-filling, frequency ref-  
168 erencing, preliminary optimization to determine starting values, and final optimization over the  
169 real part of the frequency-domain spectrum. The model parameters are zero- and first-order  
170 phase correction, global Gaussian linebroadening, individual Lorentzian linebroadening, and in-  
171 dividual frequency shifts, which are applied to each basis function before Fourier transformation.  
172 The frequency-domain basis functions are then convolved with an arbitrary, unregularized line-  
173 shape model to account for deviations from a Voigt profile. The length of this lineshape model is  
174 estimated during the initial referencing step and set to 2.5 times the FWHM estimate. The line-  
175 shape model is normalized, so that the convolution does not impact the integral of basis func-  
176 tions.

177 The spline baseline is constructed from cubic B-spline basis functions, including one additional  
178 knot outside either end of the user-specified fit range, as in LCMoel. In contrast to LCMoel,  
179 the baseline curvature is not regularized. Therefore, the baseline knot spacing is set to 0.15 ppm  
180 for preliminary modelling step with a reduced basis set and increased to 0.4 ppm for the final full  
181 model. Similar to LCMoel, model parameters are determined with a Levenberg-Marquardt<sup>27,28</sup>  
182 non-linear least-squares optimization algorithm and a NNLS fit to determine the non-negative  
183 metabolite amplitudes at each step of the non-linear optimization.

### 184 *Tarquin*

185 Tarquin (4.3.10)<sup>7</sup> uses a four-step approach in the time domain to model spectra. First, residual  
186 water is removed using singular value decomposition. Second, the global zero-order phase is

187 determined by minimizing the difference between the magnitude and the real spectra in the fre-  
188 quency domain. Third, zero-filling to double the number of points and frequency referencing are  
189 performed, as in the other algorithms. This step also estimates a starting value for the Gaussian  
190 linebroadening used in the fourth step, the final modelling. The model includes common Gauss-  
191 ian linebroadening, individual Lorentzian linebroadening, individual frequency-shifts, and zero-  
192 and first-order phase correction factors applied in the frequency domain.  
193 Optimization is performed in the time domain with a constrained non-linear least-squares Leven-  
194 berg-Marquardt solver, allowing bounds and constraints on the parameters. In addition, the range  
195 of time-domain datapoints is limited by removing the first 10 ms of the FID, so as to omit the  
196 fast-decaying macromolecule and lipid signals. Finally, the baseline is estimated in the frequency  
197 domain by convolving the model residual with a Gaussian filter with a width of 100 points.

198

### 199 Model parameters

200 The parameters chosen for each tool are summarized in **Figure 1C**. The fit range was limited to  
201 0.5 to 4 ppm in all tools to reduce effects of differences in water suppression techniques. For the  
202 baseline handling, the default and most commonly used parameters were chosen, i.e. bLine-  
203 KnotSpace = 0.4 ppm for Osprey, DKNMNT = 0.15 ppm for LCModel, and an FID range from  
204 10 ms to 50% of the FID for Tarquin.

205

### 206 Quantification, visualization, and secondary analyses

#### 207 Quantification

208 The four major metabolite complexes tNAA (NAA + NAAG), tCho (GPC + PCh), mI, and Glx  
209 (Glu + Gln) were quantified as basis-function amplitude ratios relative to total creatine (tCr = Cr  
210 + PCr). Since the primary purpose was to compare performance of the core LCM algorithms, no  
211 additional relaxation correction or partial volume correction was performed.

212 Model visualizations were generated with the *OspreyOverview* module, which allows LCModel  
213 and Tarquin results files (.coord and .txt) to be imported. For each algorithm, the visualization  
214 includes site-mean spectra, cohort-mean spectra (i.e. the mean of all spectra), and site- and co-  
215 hort-mean modelling results (complete model, spline baseline, spline baseline + MM compo-  
216 nents, and the separate models of the major metabolite complexes).

217

218 Visualization

219 As in the default visualizations for the LCModel and Tarquin software interfaces, inverse phase  
220 estimates were applied to the spectra and final models. For the visualization, spectra were nor-  
221 malized to the amplitude of the 3-ppm creatine singlet, and a DC offset was added to each site  
222 mean spectrum to align the mean frequency-domain amplitude between 1.85 and 4.0 ppm, to aid  
223 visual comparison between algorithms and sites.

224

225 Secondary analyses

226 To investigate potential vendor differences in linewidth and SNR based on the different export  
227 formats of the data, NAA linewidth and SNR were investigated.

228 To investigate potential interactions between baseline power and metabolite estimates unbiased  
229 by DC offsets, the MM + baseline models were first aligned vertically according to the fre-  
230 quency-domain minimum of the acquired spectra between 2.66 and 2.7 ppm (i.e. between the as-  
231 partyl signals, which is the region with the highest consistency between the baseline models).

232 Baseline models were normalized to the frequency-domain amplitude of each metabolite spec-  
233 trum between 2.9 and 3.1 ppm to account for differences in the scaling of the model outputs of  
234 LCModel and Tarquin. Baseline power beneath each major metabolite was then defined as the  
235 range-normalized integral of the baseline model between 1.9 and 2.1 ppm for the tNAA baseline;  
236 3.1 and 3.3 ppm for the tCho baseline; 3.33 and 3.75 ppm for mI; and 1.9 to 2.5 ppm and 3.6 to  
237 3.8 ppm for the Glx baseline.

238 The contribution of variance in modelling of the creatine reference signal to metabolite ratios  
239 was also investigated. To this end, each individual total creatine model (Cr + PCr) was normal-  
240 ized to the frequency-domain amplitude of each metabolite spectrum between 1.9 and 2.1 ppm to  
241 account for differences in the scaling of the total creatine model outputs of LCModel and Tar-  
242 quin. Finally, the integral over the individual creatine model was calculated.

243

244 Data analysis

245 Quantitative metabolite estimates (tNAA/tCr, tCho/tCr, mI/tCr, Glx/tCr) were statistically ana-  
246 lyzed and visualized using R<sup>29</sup> in RStudio (Version 1.2.5019, RStudio Inc.). The functions are  
247 publicly available<sup>30</sup>. The supplemental materials with MATLAB- and R-files, example LCModel

248 control files (one for each vendor), and Tarquin batch-files for this study are publicly available<sup>31</sup>.  
249 The results from each LCM algorithm were imported into R with the *spant* package<sup>32</sup>.

250

### 251 Distribution analysis

252 The results are presented as raincloud plots<sup>33</sup> and Pearson's correlation analysis using the  
253 *ggplot2* package<sup>34</sup>. The raincloud plots include individual data points, boxplots with median and  
254 25<sup>th</sup>/75<sup>th</sup> percentiles, a smoothed distribution, and mean  $\pm$  SD error bars to identify systematic  
255 differences between the LC algorithms. In addition, the coefficient of variation (CV = SD/mean)  
256 and the mean  $\overline{CV} = \frac{(CV_{tNAA} + CV_{tCho} + CV_{Ins} + CV_{Glx})}{4}$  across all four metabolites of each algorithm are  
257 calculated.

258

### 259 Correlation analysis

260 The correlation analysis featured different levels, including pair-wise correlations between algo-  
261 rithms, as well as correlations between baseline power and metabolite estimates of each algo-  
262 rithm. The pair-wise correlation on the global level (black  $R^2$ ), as well as within-vendor correla-  
263 tions (color-coded  $R^2$ ) with different color shades for different sites are reported. Furthermore,  
264 mean  $\overline{R^2}$  for each pair-wise correlation (e.g. Osprey vs LCModel) and metabolite, estimated by  
265 row or column means e.g.  $\overline{R^2} = \frac{(R_{tNAA}^2 + R_{tCho}^2 + R_{Ins}^2 + R_{Glx}^2)}{4}$ , and a cohort mean  $\overline{R^2}$  (across all pair-  
266 wise correlations) are calculated. The correlations were Bonferroni corrected for the number of  
267 correlation tests. The cohort mean  $\overline{R^2}$  was used to identify global associations across all corre-  
268 lation analysis, while the mean  $\overline{R^2}$  allowed the identification of algorithm-specific (row means)  
269 and metabolite-specific (column means) interactions across all correlation analysis. Associations  
270 between the outcome of specific algorithms were identified by the pair-wise correlation analysis  
271 ( $R^2$ ). Vendor-specific effects were identified by differentiating between global level and within-  
272 vendor correlations.

273

274 *Statistical analysis*

275 In the statistical analysis, the presence of significant differences in the mean and the variance of  
276 the metabolite estimates was assessed. Global metabolite estimates were compared between al-  
277 gorithms with parametric tests, following recommendations for large sample sizes<sup>35</sup>. Differences  
278 of variances were tested with Fligner-Killeen's test with a post-hoc pair-wise Fligner-Killeen's  
279 test and Bonferroni correction for the number of pair-wise comparisons. Depending on whether  
280 variances were different or not, an ANOVA or Welch's ANOVA was used to compare means  
281 with a post-hoc paired t-test with equal or non-equal variances, respectively.

282

283

## 284 **Results**

285 All 277 spectra were successfully processed, exported, and quantified with the three LCM algo-  
286 rithms; no modelled spectra were excluded from further analysis.

### 287 Summary and visual inspection of the modelling results

288 A site-level averaged summary of the 277 spectra is shown in **Figure 2A, B and C**, for analyses  
289 in LCModel, Osprey, and Tarquin, respectively. The averaged data, models and residuals for  
290 each of the 25 sites are color-coded by vendor. The cohort-mean of all analyses for each vendor  
291 is shown in **Figure 2D, E and F** (GE, Philips and Siemens, respectively). Data, models and re-  
292 siduals are color-coded by algorithm.

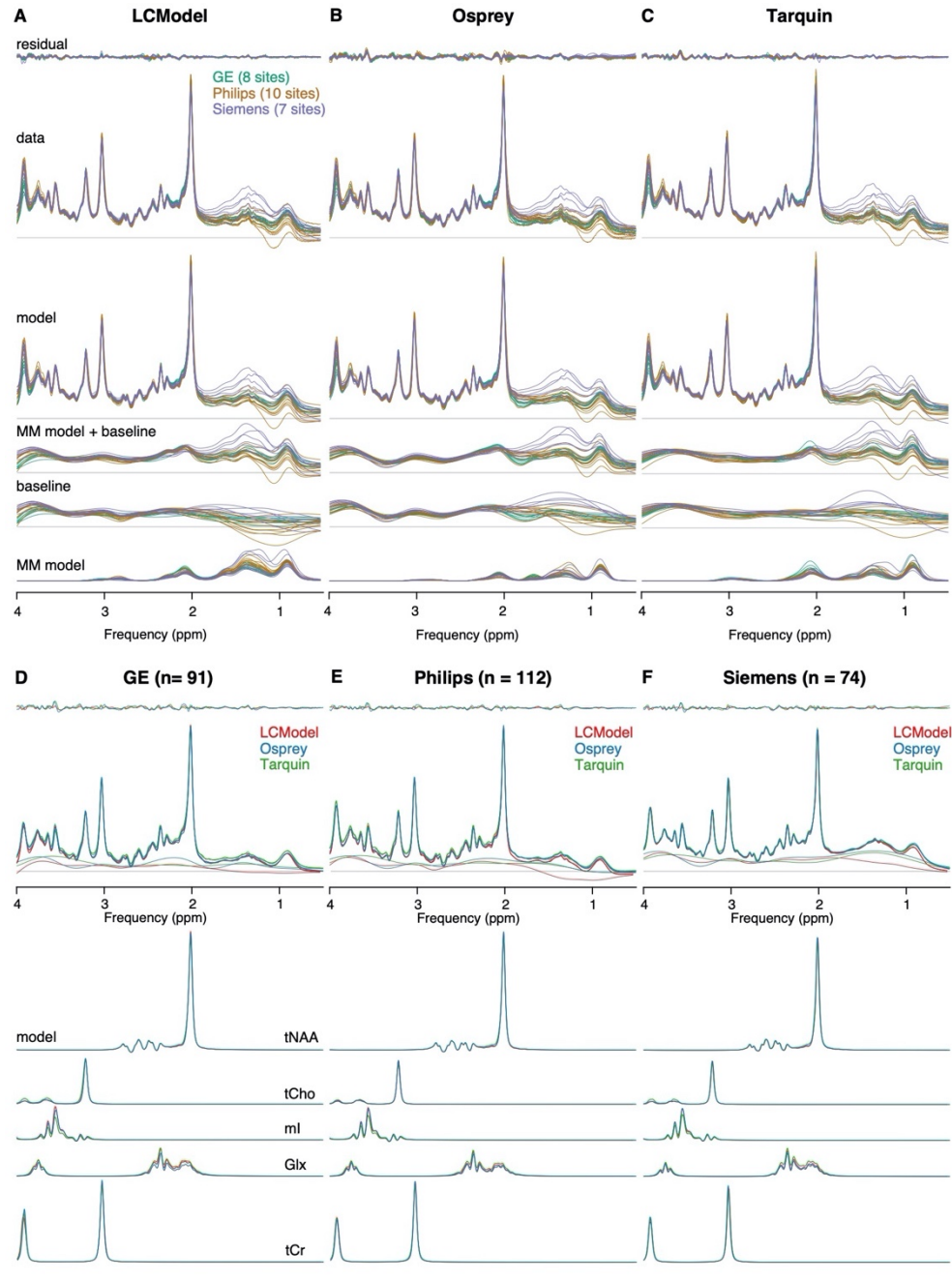
293

294 In general, the phased spectra and models agreed well between vendors for all algorithms. Com-  
295 paring the algorithms, notable differences in spectral features in the estimated baseline models  
296 appeared between 0.5 and 1.95 ppm (degree of variability: Osprey > LCModel > Tarquin) and  
297 between 3.6 and 4 ppm (degree of variability: LCModel > Osprey > Tarquin) (as shown in **Fig-  
298 ure 2A-C**).

299 Cohort-mean spectra and models agreed well across all vendors and algorithms (**Figure 2D-F**).  
300 The greatest differences in the spectral features of the baseline between algorithms occur be-  
301 tween 0.5 and 1.95 ppm, with closer agreement between Osprey and Tarquin than with  
302 LCModel. The amplitude of the residual over the whole spectral range is highest for Osprey, and  
303 similar for Tarquin and LCModel. **Supplementary Material 2** shows individual data, models  
304 and residuals for each algorithm color-coded by vendor.

305 NAA linewidth was significantly lower ( $p < 0.001$ ) for Philips ( $6.3 \pm 1.3$  Hz) compared to GE  
306 ( $7.3 \pm 1.5$  Hz), while no differences in the linewidth were found for the other comparisons (Sie-  
307 mens  $6.6 \pm 2.4$  Hz). SNR was significantly higher for Siemens ( $285 \pm 72$ ) compared to both  
308 other vendors ( $p < 0.001$ ) and significantly higher ( $p < 0.001$ ) for Philips ( $226 \pm 58$ ) compared to  
309 GE ( $154 \pm 37$ ).

310



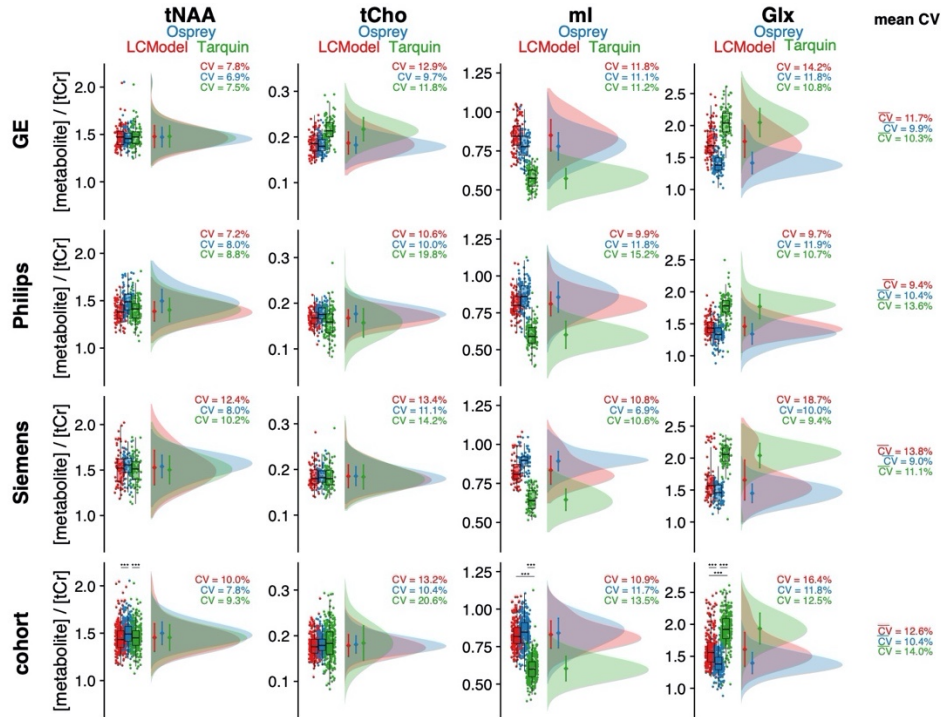
311

**Figure 2.** Summary of the modelling results. (A–C) site-level averaged residual, data, model, MM model + baseline, baseline and MM model for each LCM algorithm, color-coded by vendor. (D–F) cohort-mean residual, data, model, MM model + baseline, and metabolite models for each vendor, color-coded by LCM algorithm.



312 Metabolite level distribution

313 The tCr ratio estimates and CVs of the four metabolites are summarized in **Table 2**. Distributions  
 314 and group statistics are visualized in **Figure 3**, with the four rows corresponding the three ven-  
 315 dors and a cohort summary across all datasets.



316

**Figure 3.** Metabolite level distribution. Raincloud plots of the metabolite estimates of each LCM algorithm (color-coded). The four metabolites are reported in the columns, and the three vendors in rows, with a cohort summary in the last row. The coefficient of variation is reported for each distribution, as well as a mean CV reported in the last column, which is calculated across each row. Asterisks indicate significant differences (adjusted  $p < 0.001 = ***$ ).

317

318 Between-algorithm agreement was greatest for the group means and CVs of tNAA and tCho.

319 The cohort-mean CV was lowest for Osprey (10.4%), followed by LCMo-  
 320 del (12.6%) and Tarquin (14.0%). Group means and CVs for tNAA are relatively consistent. As a result, the cohort-  
 321 mean tNAA/tCr was  $1.45 \pm 0.15$  for LCMo- del,  $1.50 \pm 0.12$  for Osprey, and  $1.45 \pm 0.14$  for Tar-  
 322 quin, with significant differences between Osprey and both other LCM algorithms.

323 Cohort means for tCho showed a high agreement between all algorithms. The global CV of tCho  
 324 estimates was significantly higher for Tarquin compared to both other algorithms, and

325 significantly lower for Osprey compared to LCModel. Global tCho/tCr was  $0.18 \pm 0.02$  for  
 326 LCModel,  $0.18 \pm 0.02$  for Osprey, and  $0.18 \pm 0.04$  for Tarquin.

327 **Table 2** – Metabolite level distribution. Mean, standard deviation and coefficient of variation  
 328 (CV) of each metabolite-to-creatine ratio, listed by algorithm and vendor as well as global  
 329 summary values. Asterisks indicate significant differences (adjusted  $p < 0.01 = **$  and adjusted  
 330  $p < 0.001 = ***$  or  $####$  or  $''''$ ) in the mean (for the metabolite ratios) or the variance (for the CV)  
 331 compared to the algorithm in the next row (LCModel vs Osprey =  $**$  or  $***$ , Osprey vs Tarquin  
 332 =  $####$ , and Tarquin vs LCModel =  $''''$ ).

	[metabolite] / [tCr] (mean $\pm$ SD)			
	tNAA	tCho	mI	Glx
<b>GE</b>				
LCModel	$1.48 \pm 0.12$	$0.19 \pm 0.02$	$0.85 \pm 0.10$	$1.75 \pm 0.25$
Osprey	$1.47 \pm 0.10$	$0.18 \pm 0.02$	$0.78 \pm 0.09$	$1.42 \pm 0.17$
Tarquin	$1.48 \pm 0.11$	$0.22 \pm 0.03$	$0.57 \pm 0.07$	$2.05 \pm 0.22$
<b>Philips</b>				
LCModel	$1.38 \pm 0.10$	$0.17 \pm 0.02$	$0.81 \pm 0.08$	$1.46 \pm 0.14$
Osprey	$1.50 \pm 0.12$	$0.18 \pm 0.02$	$0.86 \pm 0.10$	$1.34 \pm 0.16$
Tarquin	$1.40 \pm 0.12$	$0.16 \pm 0.03$	$0.60 \pm 0.09$	$1.78 \pm 0.19$
<b>Siemens</b>				
LCModel	$1.52 \pm 0.19$	$0.19 \pm 0.02$	$0.83 \pm 0.09$	$1.65 \pm 0.31$
Osprey	$1.54 \pm 0.12$	$0.19 \pm 0.02$	$0.89 \pm 0.06$	$1.45 \pm 0.14$
Tarquin	$1.50 \pm 0.15$	$0.18 \pm 0.03$	$0.65 \pm 0.07$	$2.04 \pm 0.19$
<b>global</b>				
LCModel	$1.45 \pm 0.15^{***}$	$0.18 \pm 0.02$	$0.83 \pm 0.09$	$1.45 \pm 0.15^{***}$
Osprey	$1.50 \pm 0.12^{####}$	$0.18 \pm 0.02$	$0.84 \pm 0.09^{####}$	$1.50 \pm 0.12^{####}$
Tarquin	$1.46 \pm 0.14$	$0.18 \pm 0.04$	$0.60 \pm 0.08^{''''}$	$1.93 \pm 0.24^{''''}$
	<b>CV (SD/mean)</b>			
	tNAA	tCho	mI	Glx
<b>GE</b>				
LCModel	7.9%	12.9%	11.8%	14.2%
Osprey	6.9%	9.7%	11.1%	11.8%
Tarquin	7.5%	11.7%	11.2%	10.8%
<b>Philips</b>				
LCModel	7.2%	10.6%	9.9%	9.7%
Osprey	8.0%	10.0%	11.8%	11.9%
Tarquin	8.8%	19.8%	15.2%	10.7%
<b>Siemens</b>				
LCModel	12.4%	13.4%	10.8%	18.7%
Osprey	8.0%	11.1%	6.9%	10.0%
Tarquin	10.1%	14.3%	10.5%	9.3%
<b>global</b>				
LCModel	10.0%	13.2% $^{**}$	10.9%	16.4% $^{***}$
Osprey	7.8%	10.4% $^{####}$	11.7% $^{####}$	11.8% $^{####}$
Tarquin	9.3%	20.5% $^{''''}$	13.6%	12.3%

333

334

335 For mI, group means and CVs were comparable for Osprey and LCModel, while Tarquin esti-  
336 mates were lower by about 25%. Global CVs were significantly lower for Osprey compared to  
337 Tarquin, while no significant differences in the CV were found for the other comparisons. Global  
338 mI/tCr was  $0.83 \pm 0.09$  for LCModel,  $0.84 \pm 0.09$  for Osprey, and  $0.60 \pm 0.08$  for Tarquin, with  
339 significant mean differences between all Tarquin and both other algorithms.  
340 Group means and CVs for Glx were comparable between Osprey and LCModel, while estimates  
341 were about 30% higher in Tarquin. Global CV was significantly lower for Osprey compared to  
342 both other algorithms. Global Glx/tCr was  $1.45 \pm 0.15$  for LCModel,  $1.50 \pm 0.12$  for Osprey, and  
343  $1.93 \pm 0.24$  for Tarquin, with significant differences between all algorithms. Mean  $\overline{CVs}$ , esti-  
344 mated by the row-mean, were between 9.0 and 13.8% for all algorithms and vendors.

345

#### 346 Correlation analysis: pairwise comparison between LCM algorithms

347 The correlation analysis for each metabolite and algorithm pair is summarized in **Figure 4**.  $\overline{R^2}$   
348 for each algorithm pair and metabolite are reported in the corresponding row and column, re-  
349 spectively.

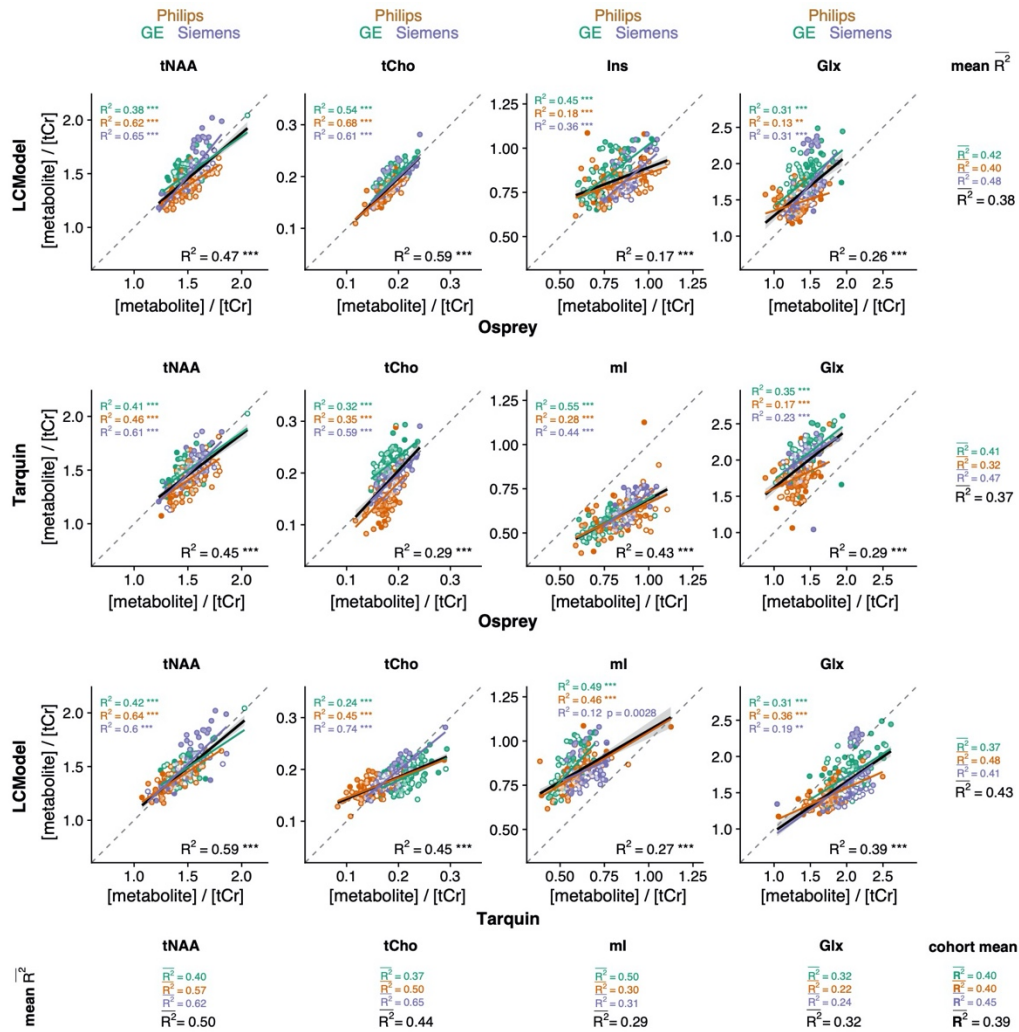
350 The cohort-mean  $\overline{R^2} = 0.39$  suggests an overall moderate agreement between metabolite esti-  
351 mates from different algorithms. The agreement between algorithms, estimated by the row-mean  
352  $\overline{R^2}$ , was highest for Tarquin-vs-LCModel ( $\overline{R^2} = 0.43$ ), followed by Osprey-vs-LCModel ( $\overline{R^2}$   
353  $= 0.38$ ), and Osprey-vs-Tarquin ( $\overline{R^2} = 0.37$ ).

354 The agreement between algorithm for each metabolite, estimated by the column-mean  $\overline{R^2}$ , was  
355 highest for tNAA ( $\overline{R^2} = 0.50$ ), followed by tCho ( $\overline{R^2} = 0.44$ ), Glx ( $\overline{R^2} = 0.32$ ), and mI ( $\overline{R^2} =$   
356  $0.29$ ). The cohort-mean  $\overline{R^2}$  for each vendor was higher for Siemens ( $\overline{R^2} = 0.45$ ) than for GE  
357 ( $\overline{R^2} = 0.40$ ) and Philips ( $\overline{R^2} = 0.40$ ).

358

359 While the within-metabolite mean  $\overline{R^2}$  (average down the columns in Figure 4) are comparable  
360 between vendors, there is substantially higher variability of the  $R^2$  values with increasing

361 granularity of the analysis. **Supplementary Material 3** includes an additional layer of correla-  
 362 tions at the site level.

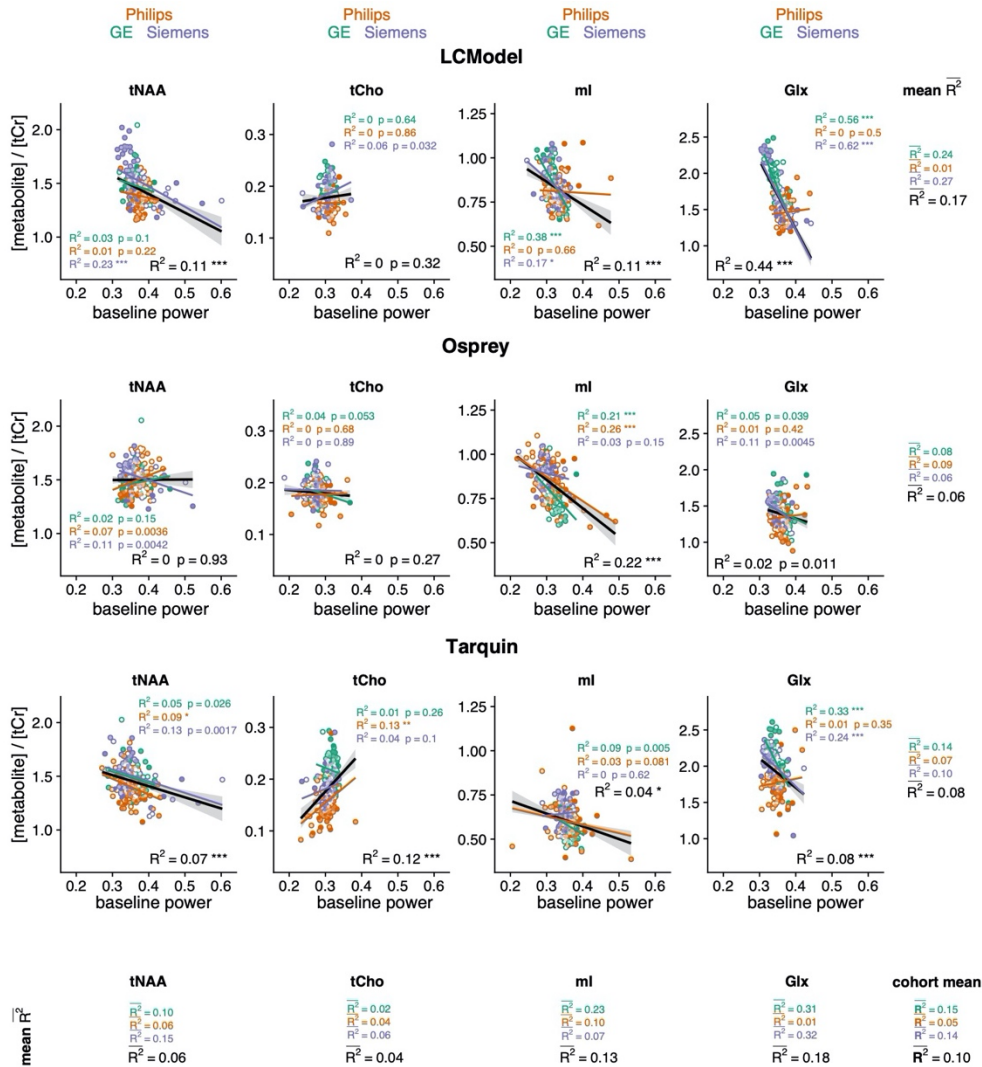


363

364

**Figure 4.** Pairwise correlational comparison of algorithms. LCMoDel and Osprey are compared in the first row, Tarquin and Osprey in the second row, and LCMoDel and Tarquin in the third row. Each column corresponds to a different metabolite. Within-vendor correlations are color-coded; global correlations are shown in black. The  $\overline{R^2}$  values are calculated along each dimension of the grid with mean  $\overline{R^2}$  for each metabolite and each correlation. A cohort-mean  $\overline{R^2}$  value is also calculated across all twelve pair-wise correlations. Asterisks indicate significant correlations (adjusted  $p < 0.01 = **$  and adjusted  $p < 0.001 = ***$ ).

365 Correlation analysis: baseline and metabolite estimates



366

**Figure 5.** Correlation analysis between metabolite estimates and local baseline power for each algorithm, including global (black) and within-vendor (color-coded) correlations. The mean  $\overline{R^2}$  values are calculated along each dimension of the grid for each metabolite and each algorithm. Similarly, a cohort-mean  $\overline{R^2}$  value is calculated across all twelve pair-wise correlations. Asterisks indicate significant correlations (adjusted  $p < 0.05 = *$ , adjusted  $p < 0.01 = **$ , adjusted  $p < 0.001 = ***$ ).

367

368

The correlation analysis between local baseline power and metabolite estimates for each algo-

369

rithm is summarized in **Figure 5**. The cohort-mean  $\overline{R^2} = 0.10$  suggests that overall, there is an

370

association between local baseline power and metabolite estimates, that is weak but statistically

371

significant. The influence of baseline on metabolite estimates differs between metabolites, as

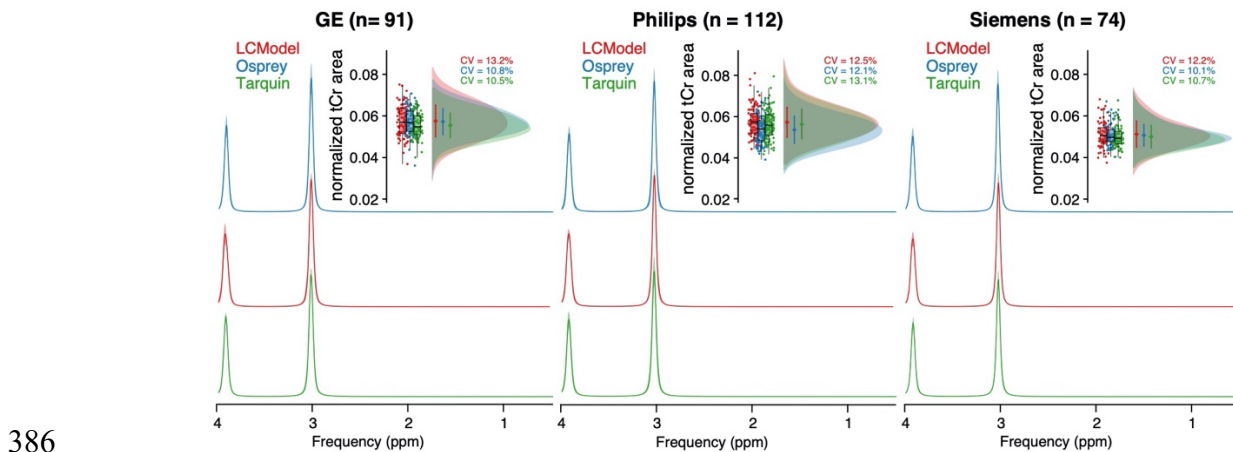


372 reflected by the column-mean  $\overline{R^2}$  which was lowest for tCho ( $\overline{R^2} = 0.04$ ) and tNAA ( $\overline{R^2} =$   
373 0.06), and higher for mI ( $\overline{R^2} = 0.13$ ) and Glx ( $\overline{R^2} = 0.18$ ). The global baseline correlations all  
374 had negative slope, except for tCho estimates of Tarquin.  
375 The mean  $\overline{R^2}$  across metabolites for each algorithm, calculated as the row mean, were low for  
376 all algorithms with LCMoDel ( $\overline{R^2} = 0.17$ ) showing a greater effect than Tarquin ( $\overline{R^2} = 0.08$ )  
377 and Osprey ( $\overline{R^2} = 0.06$ ). Comparing between vendors, the cohort-mean  $\overline{R^2}$  was higher for GE  
378 ( $\overline{R^2} = 0.15$ ) and Siemens ( $\overline{R^2} = 0.14$ ) than for Philips ( $\overline{R^2} = 0.05$ ) spectra.

### 379 Variability of total creatine models

380 Mean tCr model spectra ( $\pm$  one standard deviation) are summarized in **Figure 6** for each vendor  
381 and LCM algorithm, along with distribution plots of the area under the model.

382 The agreement in mean and CV is greatest between Osprey and Tarquin for all vendors, while  
383 tCr areas for LCMoDel appear slightly higher. Differences in water suppression are accounted for  
384 with the -CrCH<sub>2</sub> correction term, which is not included in the tCr model used for quantitative ref-  
385 erencing.



386

**Figure 6.** Variability of tCr models. Mean models  $\pm$  standard deviation (shaded areas) are presented column-wise by vendor and color-coded by LCM algorithm. The distribution and CV of the areas under the models are inset.

387

## 388 **Discussion**

389 We have presented a three-way comparison of LCM algorithms applied to a large dataset of  
390 short-TE in-vivo human brain spectra. The aims at the onset were to compare metabolite esti-  
391 mates obtained with different LCM algorithms, as applied in the literature, and to identify poten-  
392 tial sources of differences between the algorithms. The major findings are:

- 393 • Group means and CVs for tNAA and tCho agreed well across vendors and algorithms.  
394 For mI and Glx, group means and CVs were less consistent between algorithms, with a  
395 higher degree of agreement between Osprey and LCModel than with Tarquin.
- 396 • The strength of the correlations between individual metabolite estimates from different  
397 algorithms was moderate. In general, tNAA and tCho estimates from different algorithms  
398 agreed better than Glx and mI. With each sub-level of analysis, the variability of  
399 correlation strength increased, i.e. correlations grew increasingly variable when  
400 calculated separately for each vendor, or even each site.
- 401 • Overall, the association between metabolite estimates and the local baseline power was  
402 significant, with mI and Glx showing stronger associations than tNAA and tCho, and  
403 LCModel showing greater effects than Tarquin and Osprey.

404 The strong agreement of group means and CVs for metabolites with prominent singlets  
405 (tNAA/tCho) and inconsistency for lower-intensity coupled signals (mI/Glx) are in line with pre-  
406 vious two-tool comparisons of simulated data <sup>7,15</sup> and in-vivo studies with smaller sample sizes  
407 <sup>7,14,16</sup>.

408 While previous work highlighted group means and standard deviations, the between-algorithm  
409 agreement of individual metabolite estimates has not been extensively studied. Our results sug-  
410 gest that substantial variability is introduced by the choice of the analysis software itself, indi-  
411 cated by only moderate between-algorithm correlation strength (between-algorithm mean  $\overline{R^2} \leq$   
412 0.5 for all investigated metabolites), even for the well-established LCM algorithms LCModel and  
413 Tarquin ( $R^2$  between 0.27 and 0.59 for all metabolites). This finding raises concerns about the  
414 generalizability and reproducibility of MRS study results. MRS studies typically suffer from low  
415 sample sizes (~20 per comparison group is common). Considering the moderate between-tool  
416 correlation of individual estimates, it is likely that marginally significant group effects and corre-  
417 lations found with one analysis tool will not be found with another tool, even if the exact same



418 dataset is used. This is exacerbated by the substantial variability of correlation strengths at ven-  
419 dor- or even site-level, and is even more likely to be the case for ‘real-life’ clinical data, given  
420 the relatively high quality of the dataset in this study (standardized pre-processing; large sample  
421 size; high SNR; low linewidth; young, healthy, cooperative subjects). While two previous studies  
422 found that some differences between clinical groups remained significant independent of the  
423 LCM algorithm<sup>14,16</sup>, this is questionable as a default assumption. The lack of comparability aris-  
424 ing from the additional variability originating in the choice of analysis tool is rarely recognized  
425 or acknowledged. If choice of analysis tool is a significant contributor to measurement variance,  
426 it could be argued that modelling of data with more than one algorithm will improve the  
427 robustness and power of MRS studies. It should also be investigated whether the reduction of the  
428 degrees of freedom by improving MM and baseline models (e.g. by using acquired MM data)  
429 increases between-tool agreement and consistency between sites and vendors.

#### 430 Sources of variance

431 In order to understand the substantial variability introduced by the choice of analysis tool, the in-  
432 fluence of modelling strategies and parameters on quantitative results needs to be better under-  
433 stood. Previous investigations have shown that, within a given LCM algorithm, metabolite esti-  
434 mates can be affected by the choice of baseline knot spacing<sup>36,37</sup>, the modelling of MM and lipids  
435<sup>36,38</sup>, and SNR and linewidth<sup>39-42</sup>. In this study, we focused on the comparison of each LCM with  
436 their default and commonly used parameters, and observed differences resulting both from the  
437 default parameters and from differences in the core algorithm. Minor differences in spectral qual-  
438 ity (SNR and LW) were found between vendors. The agreement between vendors was high for  
439 the mean metabolite levels and the cohort-mean correlations. Further vendor-specific effects on  
440 the LCM estimation of this dataset are described elsewhere<sup>17</sup>.

441 LCM relies on the assumption that broad background and baseline signals can be separated from  
442 narrower metabolite signals. This is true to a limited degree, and the choice of MM and baseline  
443 modelling influences the quantification of metabolite resonances<sup>4</sup>. Our secondary analysis of the  
444 relationship between baseline power and metabolite estimates showed a stronger interaction for  
445 the broader coupled signals of Glx and mI than the singlets. tCho showed the weakest effect, and  
446 the three LCMs showed the highest agreement between the MM+baseline models around 3.2  
447 ppm. The higher variance of Glx and mI estimates may at least partly be explained by the ab-  
448 sence of MM basis functions for frequencies >3 ppm in the model. MM signal must therefore

449 either be modelled by metabolite basis functions or the spline baseline. Including experimental  
450 MM acquisitions into studies may reduce the degrees of freedom of modelling, but introduce  
451 other sources of variance, such as age-dependency<sup>43</sup> or tissue composition<sup>38,44</sup>. While consensus  
452 is emerging that such approaches are recommended many open questions must be resolved be-  
453 fore the recommendations can be broadly implemented<sup>25</sup>.

454 For all three LCM algorithms, optimization between the model and the data is solved by local  
455 optimization. Algorithms could converge on a local minimum, if the search space of the non-  
456 linear parameters is of high dimensionality, or if the starting values of the parameters are far  
457 away from the global optimum<sup>45</sup>. The availability of open-source LCM such as Tarquin and Os-  
458 prey will allow further investigation of the relationship between optimization starting values and  
459 modelling outcomes.

460

461 Since this study focused on reporting tCr ratios, it is important to consider the variance of the  
462 creatine model of each algorithm. With MRS only quantitative in a relative sense, separating the  
463 variance contribution of the reference signal is a challenge. While mean tCr model areas were  
464 slightly higher for LCModel than for Osprey and Tarquin, there was no generalizable observa-  
465 tion of lower tCr ratios from LCModel. CVs of the tCr model areas were comparable across  
466 LCM algorithms for each vendor. Vendor differences in water suppression of each vendor were  
467 accounted for by limiting the analysis range to 0.5 to 4 ppm, and by including a -CrCH<sub>2</sub> correc-  
468 tion term (omitted from calculations of the tCr ratios and the secondary analysis of the tCr mod-  
469 els). The contribution of the reference signal to the variance of metabolite estimates is unclear  
470 and hard to isolate. Nevertheless, tCr referencing was preferred in this study, since water refer-  
471 encing is likely to add additional tool-specific variance resulting from water amplitude estima-  
472 tion.

473

#### 474 Limitations

475 As mentioned in greater detail above, there is currently no widely adopted consensus on the defi-  
476 nition of MM basis functions, and measured MM background data are not widely available to  
477 non-expert users. To reflect common practice in current MRS applications, the default MM basis  
478 function definitions from LCModel were adapted for each algorithm in this study. These basis  
479 functions only included MMs for frequencies < 3.0 ppm, which is likely insufficient for the

480 modelling of MM signals between 3 and 4 ppm<sup>46</sup>, and will have repercussions for the estimation  
481 of tCho, mI, and Glx. Second, standard modelling parameters were chosen for each LCM, which  
482 ensure a broader comparability to the current literature, but may not be ideal. Third, there is ob-  
483 viously no ‘gold standard’ of metabolite level estimation to validate MRS results against. The  
484 performance of an algorithm is often judged based on the level of variance, but low variance  
485 clearly does not reflect accuracy and may indicate insufficient responsiveness of a model to the  
486 data. In comparing multiple algorithms, it is tempting to infer algorithms that show a higher de-  
487 gree of correlation in results are more reliable, but it could equally be the case that shared algo-  
488 rithm-based sources of variance increase such correlations. Efforts to use simulated spectra as a  
489 gold-standard, including those applying machine learning<sup>47,48</sup>, can only be successful to the ex-  
490 tent that simulated data are truly representative of in-vivo data. Fourth, another criterion to judge  
491 the performance of an algorithm is the residual. For example, a small residual indicates a higher  
492 agreement between the complete model and the data for LCModel, it does not infer a better esti-  
493 mation of individual metabolites, and may result from the higher degree of freedom in the base-  
494 line of LCModel (higher number of splines) compared to Osprey and Tarquin. This is empha-  
495 sized by the high agreement of the mean mI models, but lower agreement of the baseline models  
496 around 3.58 ppm between LCModel and Osprey. Fifth, this study was limited to the two most  
497 widely used algorithms LCModel and Tarquin, as well as the Osprey algorithm that is under on-  
498 going development in our group. While including additional algorithms would increase the gen-  
499 eral understanding of different algorithms, the complexity of the resulting analysis and interpre-  
500 tation would be overwhelming and beyond the scope of a single publication.

501

502 **Conclusion**

503 This study presents a comparison of three LCM algorithms applied to a large short-TE PRESS  
504 dataset. While different LCM algorithms' estimates of major metabolite levels agree broadly at a  
505 group level, correlations between results are only weak-to-moderate, despite standardized pre-  
506 processing, a large sample of young, healthy and cooperative subjects, and high spectral quality.  
507 The variability of metabolite estimates that is introduced by the choice of analysis software is  
508 substantial, raising concerns about the robustness of MRS research findings, which typically use  
509 a single algorithm to draw inferences from much smaller sample sizes.

510

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515

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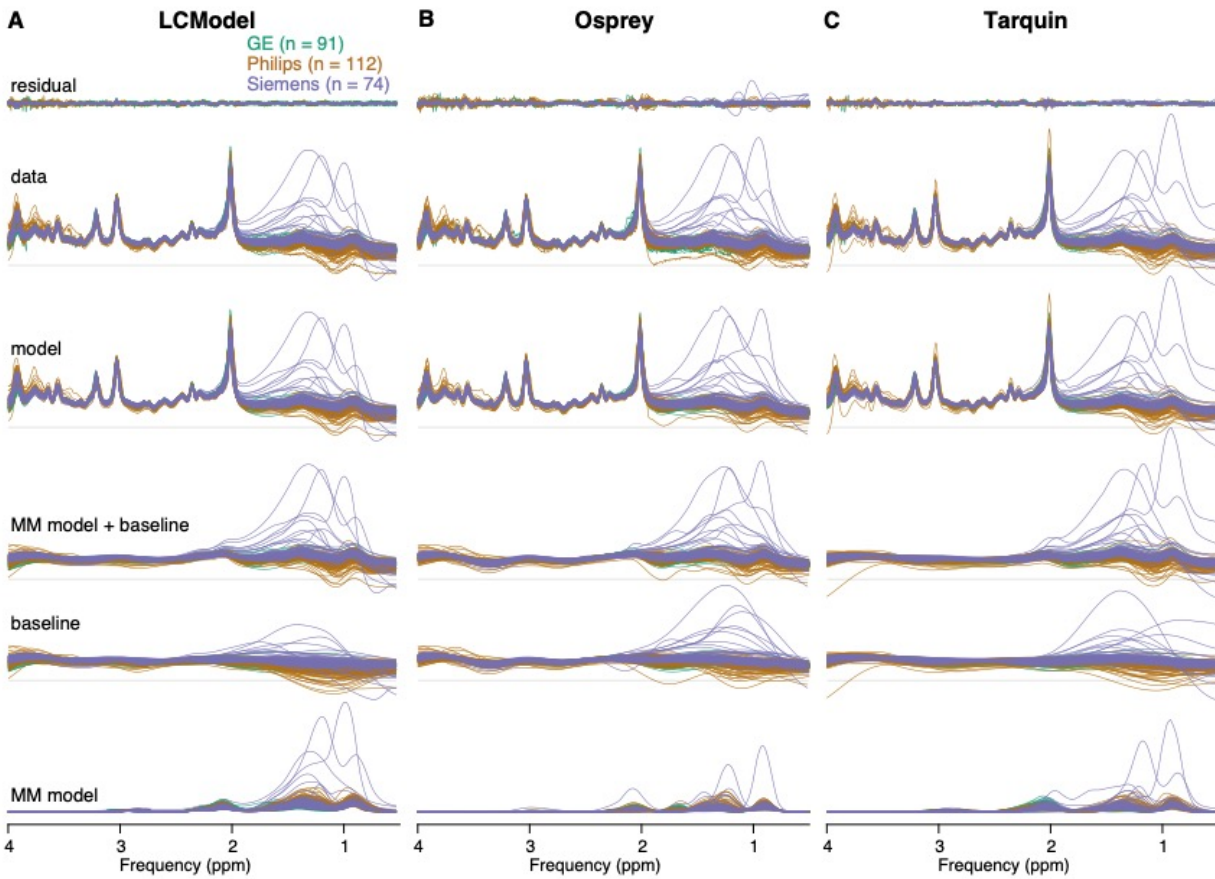
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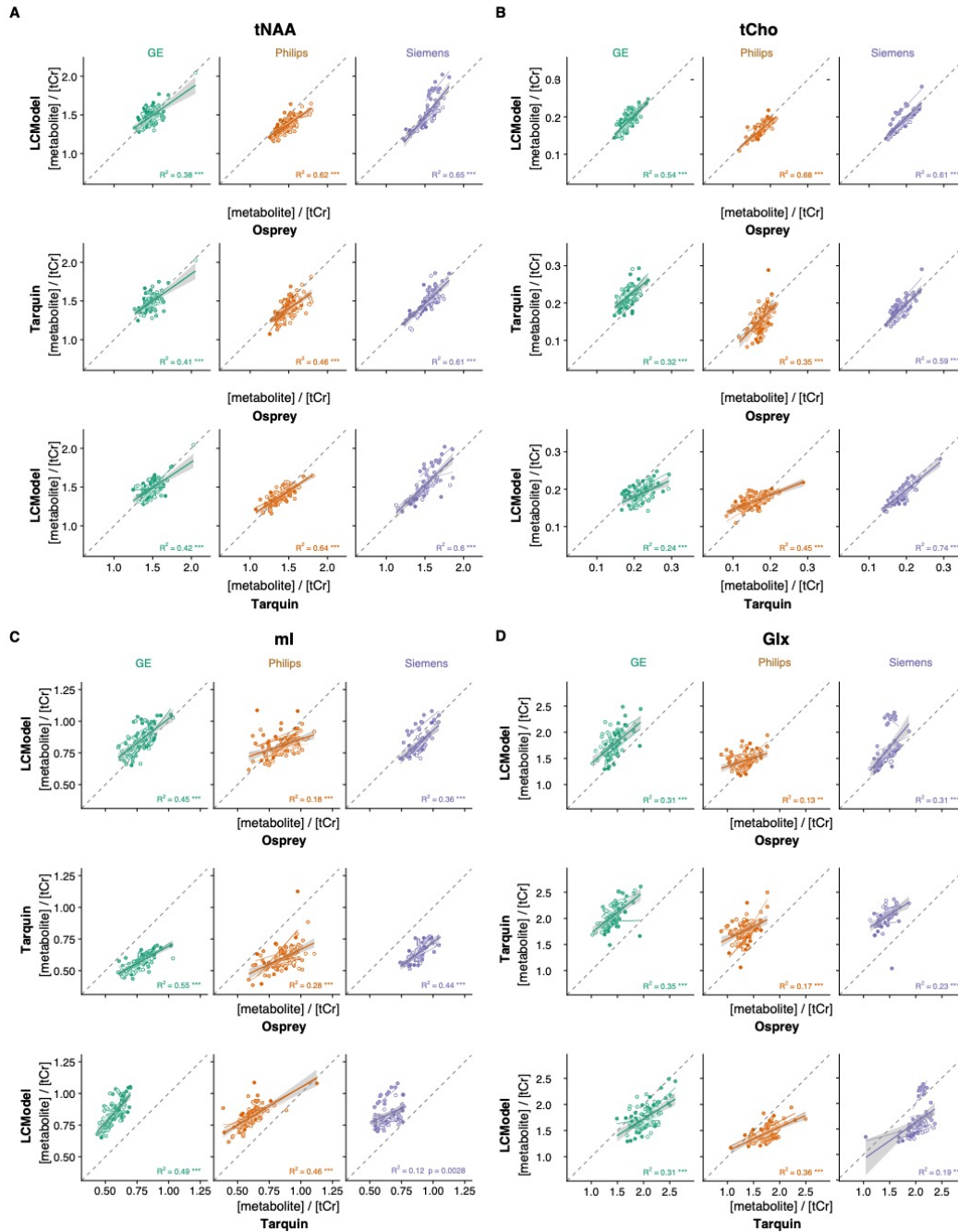
## **Supplementary Material**

<i>Name</i>	<i>Frequencies [ppm]</i>	<i>FWHM [ppm]</i>	<i>Amplitude</i>
MM09	0.91	0.14	3.00
MM12	1.21	0.15	2.00
MM14	1.43	0.17	2.00
MM17	1.67	0.15	0.20
MM20	2.08	0.15	1.33
	2.25	0.20	0.33
	1.95	0.15	0.33
	3.00	0.20	0.40
Lip09	0.89	0.14	3.00
Lip13a	1.28	0.15	2.00
Lip13b	1.28	0.089	2.00
Lip20	2.04	0.15	1.33
	2.25	0.15	0.67
	2.80	0.20	0.87

***Supplementary Material 1.*** Properties of the Gaussian functions of the broad macromolecule and lipid resonances included in the basis sets, taken from section 11.7 of the LCMoDel manual. The amplitude values are scaled relative to the CH<sub>3</sub> singlet of creatine with amplitude 3.



**Supplementary Material 2.** Summary of the individual modelling results. (A–C) individual residuals, data, models, MM models + baseline, baseline and MM models for each LCM algorithm, color-coded by vendor.



**Supplementary Material 3.** Faceted pair-wise correlational comparison of algorithms. LCMoDel and Osprey are compared in the first row, Tarquin and Osprey are compared in the second row, and LCMoDel and Tarquin are compared in the third row. Each sub-plot (A-D) corresponds to a different metabolite. Within-vendor (bold line with confidence interval) and within-site (thin line) correlations are color-coded. Asterisks indicate significant correlations (adjusted  $p < 0.01 = **$  and adjusted  $p < 0.001 = ***$ ).