

1 **Title:** Spontaneous variability predicts adaptive motor response in vocal pitch control

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3 **Running title:** Variability predicts adaptation in vocal pitch control

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21

22 **Keywords**

23 auditory feedback, pitch shift, real-time process, motor exploration, compensation

24

25 **Significance statement**

26 We regulate our own vocalization by hearing own voice. This fact is typically observed as canceling-out
27 (compensatory) responses in vocalized pitch when artificial pitch shifts were induced in the auditory feedback
28 of own voice. Interestingly, the amount of such compensation widely ranges among talkers from perfect
29 cancellation to almost nothing. Here we demonstrated that participants who spontaneously exhibited larger
30 fluctuations showed greater amounts of the compensation against feedbacked pitch shifts. Our in-depth
31 analyses showed that slowly fluctuating components in spontaneous pitch variability are specifically
32 correlated with the compensation ratios, and was shared in the compensatory response as a dominant
33 component. These findings support the idea that such variability contributes to generating motor explorations
34 to find better outcomes in motor controls.

35

36 **Abstract**

37 Our motor system uses sensory feedback to keep behavioral performance in desired status. From this view,
38 motor fluctuation is not simply ‘noise’ inevitably caused in the nervous system, but should provide a role in
39 generating variations to explore better outcomes via their sensory feedback. Vocal control system offers a
40 good model to investigate such adaptive sensory-motor interactions. The pitch, or fundamental frequency (FF),
41 of voice is adaptively regulated by hearing its auditory feedback to compensate FF deviations. Animal studies,
42 particularly for songbirds, have demonstrated that the variability in vocal features contributes to the adaptive
43 control, although the same issue in human vocalizations has remained unclear. Here, we tested whether and
44 how the motor variability contributes to adaptive control of vocal FF in humans. We measured the amount of
45 compensatory vocal responses against FF shifts in the auditory feedback, and quantified the motor variability
46 as amplitudes of spontaneous FF fluctuations during no shift vocalizations. The result showed a positive
47 correlation between the ratio of compensation and the spontaneous vocal variability. Further analysis indicated
48 that this correlation was due to slowly fluctuating components (<5 Hz) of the variability, but not fast
49 fluctuations (6-30 Hz), which is likely to reflect controllability from the central nervous system. Moreover, the
50 compensatory responses consisted of the same frequency range with the slow component in the spontaneous
51 variability. These findings consistently demonstrated that the spontaneous motor variability predicts the
52 adaptive control in vocal FF, supporting the motor exploration hypothesis.

53

54

55 **Introduction**

56 Precise control of vocal pitch, or fundamental frequency (FF), is essential for human communication since the
57 vocal FF is a dominant cue for prosodies in speaking, or melodies in singing. A key aspect of the vocal control
58 is hearing own voice, or the auditory feedback. Speakers regulate their own vocal FF by canceling out subtle
59 FF deviations induced in the auditory feedback (Elman, 1981; Kawahara, 1994; Burnett et al., 1998; Larson et
60 al., 2000). For example, shifting up vocal FF in the auditory feedback elicits a response shifting down FF in
61 the vocalization. Such compensatory vocal response does not always cancel out the shift completely, but
62 rather remains around half or less of the induced shift with large individual differences (Hain et al., 2000; Liu
63 and Larson, 2007; Liu et al., 2010; Scheerer and Jones, 2012). Investigating mechanisms underlying the
64 compensatory responses for vocal FF regulation provides opportunities to understand the adaptive audio-vocal
65 system, which plays a critical role in our vocal control.

66 Recent studies in animal vocalizations, particularly in birdsongs, have suggested that variability in vocal
67 features contributes to vocal adaptation against errors induced in the auditory feedback (Tumer and Brainard,
68 2007; Sober and Brainard, 2012; Kuebrich and Sober, 2015; Woolley and Kao, 2015; Tachibana et al., 2017).
69 Songbirds typically vocalize stereotypic songs in adulthood that have almost identical acoustical patterns
70 across renditions, while exhibiting slight but unignorable variations in their acoustical features such as FF.
71 These variations have been reported to contribute to maintaining the song quality (Kao et al., 2005; Tumer and
72 Brainard, 2007; Charlesworth et al., 2011). In particular, the FF shifts in the auditory feedback elicit
73 compensative responses of vocal FFs in birds' song syllables (Sober and Brainard, 2009). The amount of this
74 compensation became larger when distributions of original and shifted FF variations are more overlapped
75 (Sober and Brainard, 2012; Kuebrich and Sober, 2015), linking the wider variability with the greater vocal
76 adaptations. It has also been shown that temporal patterns of FF fluctuation within a brief sound element guide
77 to keep and improve the song quality (Charlesworth et al., 2011; Kojima et al., 2018). Intriguingly, the vocal
78 variability in birdsongs is not simply due to the intrinsic noise in the peripheral motor system, but a certain
79 amount of them is 'actively' generated by a dedicated circuit that is required for song learning (Kao and
80 Brainard, 2006; Hampton et al., 2009; Olveczky and Gardner, 2011; Kojima et al., 2018). These findings in
81 songbirds' vocalization have supported the idea that motor variations contribute to adaptive controls by
82 generating the motor exploration (Wu et al., 2014; Woolley and Kao, 2015; Dhawale et al., 2017). Moreover,
83 the active generation of variability in the motor processes is likely to suit to the adaptation-related motor
84 exploration (Dhawale et al., 2017). Such mechanism for songbirds' vocal control could be shared with humans
85 (Hahnloser and Narula, 2017), especially when taking into account behavioral and neural parallels between
86 these two species for vocalization development (Doupe and Kuhl, 1999; Kuhl, 2004; Lipkind et al., 2013;
87 Tchernichovski and Marcus, 2014; Prather et al., 2017).

88 In contrast, relationships between variability and adaptability in human vocal control have not been well

89 documented. Variability in the human vocal FF appears to consist of several components reflecting different
90 sources or mechanisms. These components have been classified according to their dominant frequencies in the
91 modulation spectrum, which is an amplitude spectrum of FF changing frequency (modulation frequency). For
92 example, a quasi-periodic FF fluctuation during singing (or *vibrato*) has been reported to show a peak around
93 4–7 Hz on the modulation spectrum, with greater stability in trained singers (Sundberg, 1987; Shipp et al.,
94 1988; Howes et al., 2004). In contrast, non-periodic components at relatively higher modulation frequencies at
95 10–20 Hz, or fine fluctuation (Akagi et al., 1998; Akagi and Kitakaze, 2000; Saitou et al., 2005), have been
96 reported to be involved in the perception of voice quality both in speaking (Akagi et al., 1998) and singing
97 (Akagi and Kitakaze, 2000). Such aperiodic fast fluctuation is likely due to the physiological instability of
98 peripheral vocal organs (Schoentgen, 2002), and hence, is less or not controllable for the central nervous
99 system. These reports lead to a question of whether and to what extent these different types of variability
100 could contribute to the vocal regulation.

101 Here, we assessed associations between vocal compensatory responses against auditory feedback
102 modifications and variabilities of different components in vocal FF trajectories to obtain a better
103 understanding of how we accomplish adaptive vocal regulations based on the auditory feedback. In the
104 experiment, the vocal FF in the auditory feedback was modified while participants were vocalizing, and the
105 rate of compensation in their vocalized FF was measured. We quantified the vocal variability that was
106 spontaneously generated in vocalizations for unmodified feedback after separating the variability components
107 into different modulation frequency bands. By correlation analyses between the variability and the
108 compensation ratio, we found a greater correlation in slowly fluctuating components than fast fluctuations that
109 are likely to be less controllable in the central nervous system. Further analysis showed that the compensatory
110 response consists of the frequency range of the slow component in the spontaneous fluctuation. These results
111 consistently support the hypothesis that the spontaneous variability subserves motor explorations to enhance
112 the compensatory response against perturbations in the auditory feedback.

113

114 **Results**

115 Variety of the compensation ratio across participants

116 In the experiment, participants were asked to continuously produce isolated vowels for two seconds twice
117 while listening to auditory feedback via headphones, and only the second voice was modified in its feedback
118 (**Fig. 1A**; see Methods for detail). We found a clear tendency of compensation (canceling out) in vocalized FF
119 against the artificially induced FF shifts in auditory feedback (**Fig. 1B**). The amount of compensation was
120 almost proportional to the amount of seven FF shift conditions (0, ± 25 , ± 50 , or ± 100 cents), as already shown
121 in the previous study (Xu et al., 2020). Thus, we defined the compensation ratio for an individual participant
122 as a sign-inverted slope of a fitted line to compensation amounts as a function of introduced FF shifts (**Fig.**

123 **1C**). The obtained compensation ratio was variable across participants with ranging from -0.13 to 0.82
124 (0.39 ± 0.21 [mean \pm SD]; **Fig. 1D**).

125

126 Variability in slow component of spontaneous fluctuations correlated with the compensation ratio

127 To assess what extent the motor variability related to the adaptation, we performed correlation analyses
128 between the compensation ratio and several types of FF variability. Note that we only included participants
129 who showed compensatory responses (i.e., positive value in the compensation ratio), resulted in excluding two
130 out of forty participants from further analysis. To quantify vocal variability that was spontaneously generated
131 without external perturbations, we calculated the standard deviation (SD) of an original FF trajectory of the
132 first vocalization (no FF shift presented) in each trial. The mean of all SDs was defined as the variability of
133 whole frequency components (“whole”). This variability ranged from 8.55 to 23.87 (14.19 ± 3.72) cents. We
134 found the whole variability was significantly correlated with the compensation ratio (**Fig. 2A**; Spearman’s
135 correlation coefficient $r_s = 0.40$, sample size $n = 38$, $p = 0.014$). Then, we aimed to divide the whole
136 variability into slow or fast fluctuating components according to the modulation spectrum of the spontaneous
137 FF fluctuation that was calculated by the 1/2-octave-band filter-bank method. The obtained modulation
138 spectrum (**Fig. 2B**) showed apparent two peaks at modulation frequencies of $2\text{--}3$ Hz and $6\text{--}10$ Hz, suggesting
139 two different variability components. None of the participants exhibited a sharp peak around $4\text{--}7$ Hz
140 corresponding to the presence of the vibrato component (Sundberg, 1987; Shipp et al., 1988; Howes et al.,
141 2004). Thus, we defined slowly and rapidly changing components, termed as “slow” and “fast” fluctuations
142 with having modulation frequency ranges of less than 5 Hz and $6\text{--}30$ Hz, respectively (**Fig. 2C**). Obtained
143 variabilities of slow and fluctuation components were ranged $7.99\text{--}22.52$ (13.07 ± 3.72) and $-2.04\text{--}6.93$ (3.50
144 ± 3.72) cents, respectively.

145 The correlation analysis between these variabilities and the compensation ratio resulted in that the slow
146 component showed a significant correlation (**Fig. 2D**; $r_s = 0.42$, $n = 38$, $p = 0.009$), whereas the fast
147 component did not (**Fig. 2E**; $r_s = 0.18$, $n = 38$, $p = 0.282$). In addition to this result, the same tendency was
148 observed in different vowels (see **Supporting Information**), providing further support for the finding that the
149 larger slow component predicts the greater compensation. Moreover, to confirm the relative impact of each
150 modulation frequency band on the compensation, we calculated the correlation coefficients between
151 compensation ratios and variability values in each of the subbands which were derived from the modulation
152 spectrum analysis. This analysis showed the consistent result (**Fig. 2F**) that the slow component (less than
153 4 Hz in modulation frequency) exhibited a greater correlation with the compensation ratio, but the rapid one
154 (higher than 5 Hz) did not.

155

156 Increase of slow component in compensatory response

157 To assess which frequency component in the FF trajectory the participants used to compensate for the FF

158 shifts in auditory feedback, we compared variabilities in the second vocalizations (with FF shifts) with the
159 first one (no shifts). We found significantly larger variability in ± 100 -cent shift conditions for the slow
160 component (**Fig. 3A**; paired-t test, $t(39) = -8.73$, $p < 0.001$;) but not for the fast component (**Fig. 3C**; paired-t
161 test, $t(39) = -0.24$, $p = 0.814$). The variability difference of the second from the first vocalization increased
162 according to the amount of FF shift for the slow component (**Fig. 3B**), but remained constant around zero for
163 the fast one (**Fig. 3D**). These results showed that the compensatory FF changes contain the same ranges in
164 modulation frequencies with the slow component of spontaneously generated vocal variability (i.e., without
165 FF shifts in auditory feedback). Further, we calculated the 2nd-1st variability difference in each of the
166 subbands derived by the modulation filter bank to confirm the modulation frequency of the compensatory FF
167 movement. The result (**Fig. 3E**) clearly depicted that the slow modulation component, which is associated
168 with the compensation ratio in the spontaneous fluctuation (**Fig. 2F**), exhibited an extra variability for the
169 compensatory vocal responses. This coincident finding strongly supported the idea that spontaneous
170 variability in the slow components plays a critical role in the compensation.

171

172 Compensation ratio decreased with large FF shift

173 The motor exploration hypothesis predicts that the amount of compensation becomes small when the induced
174 shift is large. For example, with a certain amount of variability, the originally intended FF will not be
175 overlapped well with largely shifted versions of the FF distribution that reflects the motor exploration range
176 (**Fig. 4A**). This can reduce opportunities to find correct (intended) FF during vocalization, and hence, decrease
177 the compensation ratio for such large shifts. We tested this possibility by calculating the compensation ratio
178 for each of the three shift amounts (**Fig. 4BC**). We pooled positive and negative shifts with inverting its sign.
179 We statistically compared the compensation ratios among three conditions, and found significant difference
180 between 50- and 100-cent shifts (**Fig. 4C**; Wilcoxon's signed-rank test with Bonferroni correction; $z = 3.48$,
181 $p = 0.002$), but not between 25- and 50-cent ($z = -0.15$, $p = 1.000$) or between 25- and 100-cent ($z = 2.26$, $p =$
182 0.072). While the compensation ratio in 100-cent shifts was significantly lower than others, its correlation
183 with the variability of the slow component was still significant (**Fig. 4D**; $r_s = 0.40$, $n = 38$, $p = 0.013$). These
184 results consistently supported the motor exploration hypothesis in vocal control.

185

186 Influence of perception and other factors

187 We additionally assessed other factors that potentially affect the compensation process, such as perceptual
188 ability to discriminate vocal pitch. For this aim, we estimated participant's ability to detect the FF shifts
189 induced in recorded own voices using a dataset from the listening tests performed in our previous study (Xu et
190 al., 2020). In this test, participants were asked to answer whether any pitch modification occurred in the
191 second vocalization comparing with the first one in each trial (**Fig. 5A**). We estimated the discrimination
192 threshold and accuracy for detecting the presence of pitch modification by fitting a sigmoid curve (**Fig. 5B**) on

193 the detection rate dataset (see Method for details). Obtained discrimination thresholds and accuracies ranged
194 26.91–108.25 (54.71 ± 16.69) cents and 0.87–38.30 (14.13 ± 11.48) cents, respectively. We then tested
195 correlations between these perceptual properties and the compensation ratio. The result showed that the
196 compensation ratio did not significantly correlate with both the discrimination threshold ($r_s = -0.17$, $n = 38$,
197 $p = 0.298$; **Fig. 5C**) or accuracy ($r_s = 0.18$, $n = 38$, $p = 0.287$; **Fig. 5D**), suggesting that the perceptual ability
198 did not contribute the compensation in this case. Moreover, we tested if the amplitude of vocalization (or
199 loudness level of auditory feedback) affected the compensation ratio. However, the relative amplitude level
200 was not significantly correlated with the compensation ratio ($r_s = 0.07$, $n = 38$, $p = 0.685$; **Fig. S1D**). Lastly,
201 we performed a stepwise multiple regression analysis to find the most effective model to explain the variation
202 of the compensation ratio, amongst five explanatory variables: variability in slow and fast components,
203 discrimination threshold, accuracy, and voice amplitude. The analysis best chose a statistical model that
204 contained only the variability in slow component as an explanatory variable (adjusted $R^2 = 0.12$, $df = 36$, SSE
205 $= 0.168$, $p = 0.019$), indicating that the slow component is the main contributor for predicting the
206 compensation ratio.

207

208 **Discussion**

209 Recent debates on tight links between motor variability and adaptive regulation have been along with the
210 motor exploration hypothesis, with showing practical evidence in songbirds' vocalization (Tumer and
211 Brainard, 2007; Andalman and Fee, 2009; Sober and Brainard, 2009, 2012; Charlesworth et al., 2011;
212 Kuebrich and Sober, 2015), and in some other motor actions of humans (Wu et al., 2014) or rodents (Dhawale
213 et al., 2019). Here, we provide further evidence for this debate in human vocalizations by demonstrating that
214 the spontaneous FF variability is positively correlated with the rate of compensatory response against FF shift
215 perturbations induced in the auditory feedback (**Fig. 2A**). This result was consistent with a previous study that
216 used sudden FF shifts in the auditory feedback in the middle of vocalization (Scheerer and Jones, 2012),
217 suggesting robustness of the finding against methodological differences. Further analyses showed that the
218 slowly fluctuating components but not the fast components had the greater impact on the compensatory
219 response (**Fig. 2D,E**). In addition, the compensation ratio for the largest shift conditions (+100 cent) showed
220 a significant decrease comparing to other shifts (**Fig. 4C**), even exhibiting the correlation with the
221 spontaneous variability of slow component (**Fig. 4D**). These findings provide further support for the idea that
222 spontaneously produced motor noise plays a role in generating motor explorations and results in promoting its
223 adaptive regulation, even in vocal production processes.

224 Our results further indicated that the slow components of the spontaneous variability more contributed to
225 the compensation than the fast fluctuation (**Fig. 2**), and the main component of the compensation response
226 shared the same frequency range of the slow component (**Fig.3**). The fast fluctuation in vocal FF has been

227 recognized as “microtremor” which is an involuntary fluctuation caused by physical/physiological instability
228 (Schoentgen, 2002), suggesting that this component mainly consists of uncontrollable noise sources generated
229 in the peripheral system. Such peripherally derived variability may not be well suited for adaptation-related
230 motor exploration because of its uncontrollable nature (Dhawale et al., 2017). In contrast, it is indicated that
231 the slow component is controllable in the central nervous system because participants increased the amplitude
232 of FF movement in the range of slow component for compensatory responses. Thus, our result is in
233 concordance with the motor exploration hypothesis, suggesting that the spontaneous variability in slow
234 fluctuation contributes to vocal adaptation by generating the motor exploration.

235 The present results well fit with the idea that variability in motor production contributes to learning by
236 extending such exploration (Faisal et al., 2008; Renart and Machens, 2014; Wu et al., 2014; Dhawale et al.,
237 2017), and provide further generality of this hypothesis in the vocal control. An alternative explanation for the
238 variability-adaptation relationship could be possible based on a factor of the perceptual ability to detect FF
239 changes. A previous study of vocal FF control reported that children who had less sensitive pitch
240 discrimination abilities showed larger compensations in response to sudden induced FF shifts (Heller Murray
241 and Stepp, 2020), suggesting a possible impact of the auditory ability on the compensation ratio. Although,
242 our result of correlation analysis between perception and compensatory response (**Fig. 5**) did not support this
243 idea since they were not significantly correlated. Thus, we here exclude the possibility of influence from
244 auditory abilities, but employ the spontaneous variability as the main factor explaining the individual
245 difference in the compensation ratio.

246 More generally, our study suggests a shared strategy in vocal adaptation mechanisms among songbirds
247 and humans. Many studies have shown potential parallels in these two species in vocal learning behaviors and
248 their neural circuitries (Doupe and Kuhl, 1999; Kuhl, 2004; Lipkind et al., 2013; Tchernichovski and Marcus,
249 2014). Our results add further evidence of such parallels at the level of not only behavioral analogues, but also
250 the computation for vocal adaptation. It should be noted that previous songbird studies have focused on
251 variability and adaptation in a trial-by-trial manner where researchers assessed updating changes in vocal
252 acoustics every song renditions (Kao et al., 2005; Tumer and Brainard, 2007; Sober and Brainard, 2012;
253 Kuebrich and Sober, 2015; Tachibana et al., 2017), although several studies have shown the importance of
254 within-trial variability, i.e., FF fluctuations in one vocal element, on vocal adaptations (Charlesworth et al.,
255 2011; Kojima et al., 2018). Our study here demonstrated the relationship between variability and
256 compensatory responses within each trial in human vocalization, while the relationship between the
257 trial-by-trial variability and updating adaptation over trials will be tested in future studies.

258

259 **Methods**

260 Dataset

261 The dataset used here was originally obtained in our previous study (Xu et al., 2020). The present study
262 analyzed this in different ways to elucidate the relationship between the variability and compensation behavior
263 in vocal control, although the previous study had focused on the influences of perceptual awareness and vocal
264 responses against manipulating different acoustical features in the auditory feedback. The data were obtained
265 from forty university students (20 females; 18-26 years old) without any experience of formal music training.
266 The experiment was approved by the Human Subjects Ethics Committee of Tokyo Metropolitan University.

267 The experimental procedure was identical as described in the previous study. In brief, participants were
268 asked to produce isolated vowels /a/ or /u/ according to the letter displayed on a computer screen with hearing
269 auditory feedback via headphones. The auditory feedback was modified by a voice processor (Voice
270 Worksplus, TC Helicon Vocal Technologies, Victoria BC, Canada), and feedbacked to participants with
271 masking pink noise. Participants vocalized twice the same vowel for 2 s with 1 s intermission in each trial, and
272 only the second voice was modified in its feedback (**Fig. 1A**). There was a total of 13 conditions for the
273 second vocalization: 6 for spectral shifts, 6 for spectral-envelope shifts, and 1 for no shift as a control
274 condition. In the spectral shift conditions, the voice spectrum was linearly expanded by ± 25 , ± 50 , or ± 100
275 cents (100 cents = 1 semitone), resulting in the shift of the fundamental frequency (FF). The spectral-envelope
276 shift conditions expanded only the envelope by ± 3 , ± 6 , or ± 12 percent without changing FF. There were 10
277 trials for each of the 13 conditions for each vowel. The order of 260 trials was pseudo-randomized. Note that
278 we only focused on vocal responses in the spectral shift conditions, but the spectral-envelope shift conditions
279 were excluded from the further analyses in this study. We mainly analyzed the dataset for /a/-vowel trials since
280 the compensatory responses for this vowel was clearer than that for /u/ trials (see **Supporting Information**).
281 After vocalization sessions, participants were also asked to detect whether the modifications had been applied
282 to recorded own voices that were feedbacked to them during the vocalization session. In this listening test,
283 voices in two representative trials were played back to each participant. The participant was asked if they
284 could perceive a change in pitch and/or timbre in the second vocalization comparing with the first one. The
285 present study used these responses to assess the participant's perceptual ability for detecting the presence of
286 FF shifts in the feedbacked voice.

287

288 Preprocessing

289 The FF of vocal sound was calculated by Praat 6.0 (Boersma and Weenink, 2017). The FF calculation was
290 performed by an adapted auto-correlation method implemented in the Praat ("To Pitch (ac)"), with 10-ms step,
291 40-ms window, and frequency boundaries between 75 Hz and 600 Hz. The extracted FF traces were converted
292 into cent values that were in logarithmic scale and obtained as follows: $1200 \log_2(f/f_{base})$, where f is FF in

293 Hz, and f_{base} is a base frequency (we used 55 Hz for the base).

294 We preprocessed the obtained dataset in two steps: alignment and refinement, as described below. We
295 firstly aligned the data by time points of vocal onsets. In this process, the vocal onset and offset were detected
296 from the amplitude envelopes (described below) with a threshold of the background level + 30 dB. The
297 background level was estimated from silent parts of recordings for each participant. Then, we refined the
298 aligned data by detaching or repairing unstable/misdetected data points as follows. Fragmented data points
299 were connected by filling brief temporal gaps (≤ 40 ms) and removing short fragments (≤ 50 ms). Unrealistic
300 frequency jumps that were larger than ± 100 cents at the beginning part of vocalization were searched
301 backward from 200-ms time point to the onset, and removed. Similarly, unrealistic jumps for the ending parts
302 were also removed by forwardly searching from 300-ms before the offset with the same threshold (± 100
303 cents). After these removals of unstable onset parts, we re-define new onset times as the beginning point of
304 stable vocalization since these unstable data reflected harsh or aperiodic glottal pulsation in which participants
305 could not sense FF shifts in the feedback. Additionally, we also repaired the unrealistic jumps at the middle
306 part of vocalization between 210 to 1500 ms from the vocal onset (filled with the value obtained immediately
307 before the jump).

308

309 Compensation ratio

310 To quantify compensatory responses against artificial FF shifts in the auditory feedback, we first removed
311 participant-specific frequency changes that were unrelated to the response to FF shifts. For this, a common
312 trend in all trajectories for each participant was removed by subtracting the grand mean of all trials. Moreover,
313 we set the beginning part of each vocalization as zero by subtracting the mean value within a range of
314 50–150 ms in each trial to measure only the responses to FF shifts. We defined this subtraction baseline period
315 by visual inspection of outcomes of the grand averaging, and excluded the first 50 ms because of its instability.
316 Then, we calculated the mean value of the late part (800–1200 ms) of data, in which the trajectories fluctuated
317 less and were relatively stable (shown as a black bar in **Fig. 1B**). We defined the compensation ratio to
318 quantify the ratio how much the participant compensated own vocal FF against induced FF shifts. This ratio
319 was calculated as a sign-inverted slope of a line (linear regression) fitted to the mean amounts of vocal
320 responses as a function of FF shifts (**Fig. 1C**).

321

322 Variability assessment

323 To quantify the motor variability in vocalization, we calculated the standard deviation (SD) of the FF within a
324 period between 100 and 1200 ms after the voice onset. For this calculation, we collected FF trajectory data of
325 the first vocalization of each trial, in which no FF shift was presented. We excluded data from trials that
326 followed immediately after the spectral-shifted (and thus FF-shifted) trials to avoid contaminations of possible
327 aftereffects. The computed SDs were averaged for each participant to obtain a variability index from the

328 original (or “whole”) FF trajectories. Then, we computed the mean SD after filtering by a low-pass filter with
329 5-Hz cutoff, or a band-pass filter with 6–30-Hz bandwidth (second-order Butterworth filter) to obtain the
330 variability index for a slowly fluctuating component (“slow”) or fast fluctuating one (“fast”), respectively.
331 These two frequency bands were defined by visual inspection of the modulation spectrum (**Fig. 2B**). Before
332 filtering, each trajectory was zero-centered by subtracting the mean value to remove the constant component,
333 and filled missing data points with zero. We used the zero-phase digital filtering implemented in MATLAB
334 software (“filtfilt” function).

335

336 Modulation spectrum analysis

337 For assessing a relative amplitude across different modulation frequencies, we calculated the modulation
338 spectrum by a half-octave-band filter bank. We first upsampled each FF trajectory into a double rate (200 Hz),
339 then, performed centering by subtracting the mean value of it, and filled missing data points with zero. We
340 defined the filter bank as a set of multiple band-pass filters that had 1/2-octave bandwidths with center
341 frequencies equally spaced at 1/4-octave step from 0.4 to 50 Hz (second-order Butterworth filter). The
342 amplitude of each subband was calculated as the root-mean-square value of the filtered trajectory.

343

344 Amplitude calculation

345 The amplitude envelope of each vocalization was calculated as the root-mean-square (RMS) values of an
346 A-weighted waveform within 40-ms Hanning window for every 10-ms time step by MATLAB software. The
347 obtained amplitude envelope was converted into a logarithmic scale (dB) by a formula: $20 \log_{10}(x)$. We
348 calculated the average value of the log-converted amplitude within a period (150-1200ms) that includes the
349 very beginning part of the compensatory response and the plateau part of vocalization. Then, relative values
350 were calculated by subtracting an overall average from all participants’ data.

351

352 Discrimination performance

353 We quantified the participant’s perceptual ability to detect shifts in own vocal FF using the dataset obtained
354 from the listening test performed after vocalization sessions. We pooled trials irrespective of FF shift
355 directions (minus or plus), and two vowels (/a/ and /u/) to increase the resolution and obtained 8 repetitions (2
356 directions \times 2 vowels \times 2 trials) for each of absolute amounts of FF shifts. The detection rate for each absolute
357 FF shift was approximated by fitting a sigmoid function. For this fitting, we used a cumulative probability
358 density function of the normal distribution as the sigmoid. The absolute shift value at 50 % detection rate and
359 the shallowness of fitted sigmoid, which were corresponding to the mean and standard deviation of the
360 cumulative normal distribution, were defined as the discrimination threshold and accuracy, respectively (**Fig.**
361 **3B**).

362

363

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371

372 **Reference**

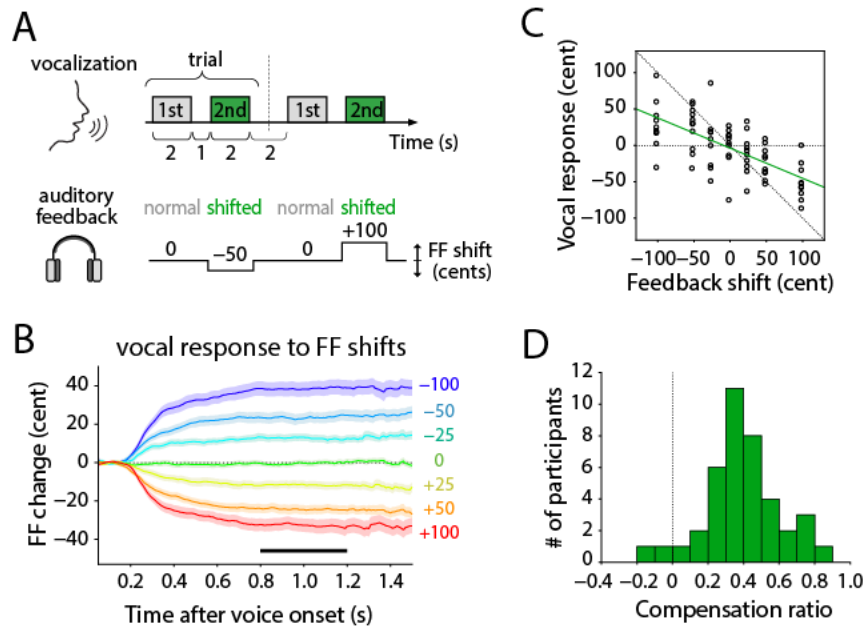
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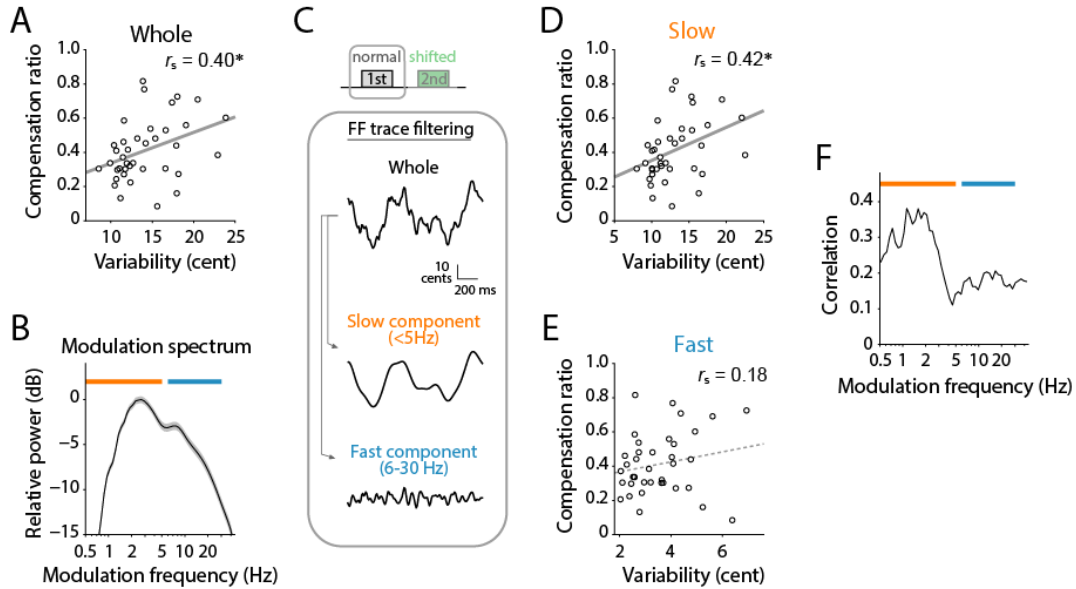
485 **Figures**



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488 **Figure 1.** Measuring compensation responses in vocal fundamental frequency (FF) against artificially induced
489 FF shifts in auditory feedback. **A.** Schematic drawing of the experimental design. Participants vocalized twice
490 in one trial with normal auditory feedback for the first time, and with modified auditory feedback in the
491 second time. **B.** Average of vocal FF change across all participants in response to seven conditions of the FF
492 shift in auditory feedback (0, ± 25 , ± 50 , or ± 100 cents). All trajectories were aligned at vocal onsets, and
493 detrended before averaging (see Methods for detail). Pale-colored area indicates the standard error ($n = 40$). **C.**
494 Example of compensation amounts as a function of FF shifts obtained from one participant (M04). Each dot
495 indicates the compensation amount of each trial, which was calculated as an average of the plateau period
496 (0.8–1.2 s after voice onset) indicated as a black bar in panel B. The compensation ratio was estimated as a
497 sign-inverted value of the slope of fitted line, shown as a green line. Diagonal dotted line indicates
498 sign-inverted unity slope **D.** Histogram of compensation ratios obtained from all participants.

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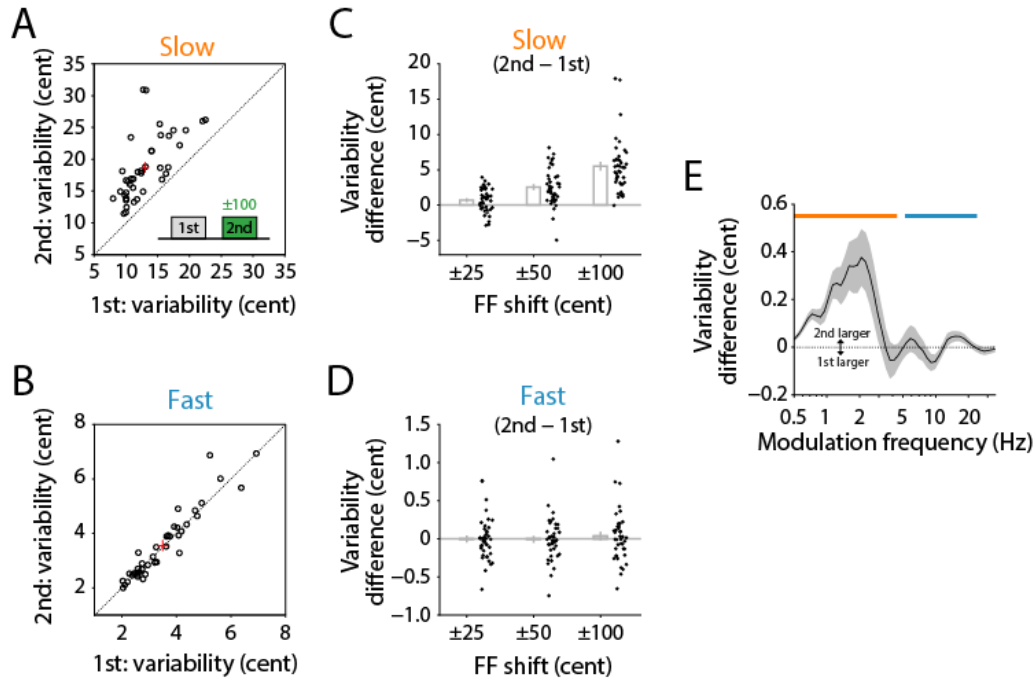


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502 **Figure 2.** Spontaneous FF variability during vocalizations without modification in auditory feedback, and its
 503 relationship with the compensation ratio. **A.** The relationship between the compensation ratio and variability
 504 calculated from original (whole) FF trajectories during no FF shifts. Each circle indicates data from one
 505 participant. r_s shows Spearman's signed-rank correlation coefficient. Two participants who showed negative
 506 values in the compensation ratio were excluded as outliers. Asterisk (*) indicates statistically significant
 507 correlation ($p < 0.05$). **B.** Modulation spectrum of spontaneous variation in vocal FF trajectories computed by
 508 a 1/2-octave filter bank. Gray area indicates the standard error among 40 participants. Orange and blue lines
 509 indicated frequency ranges of slow and fast fluctuation components. **C.** Examples of filtering on the original
 510 FF trajectory (whole) to obtain the slow and fast fluctuation components (slow: <5 Hz, fast: 6–30 Hz). **D,E.**
 511 Correlation between the compensation ratio and variability of slow (D) or fast (E) fluctuation components,
 512 respectively. **F.** Correlation coefficient (Spearman's) between the compensation ratio and the variability of
 513 each modulation band as a function of center frequency of the half-octave filter bank.

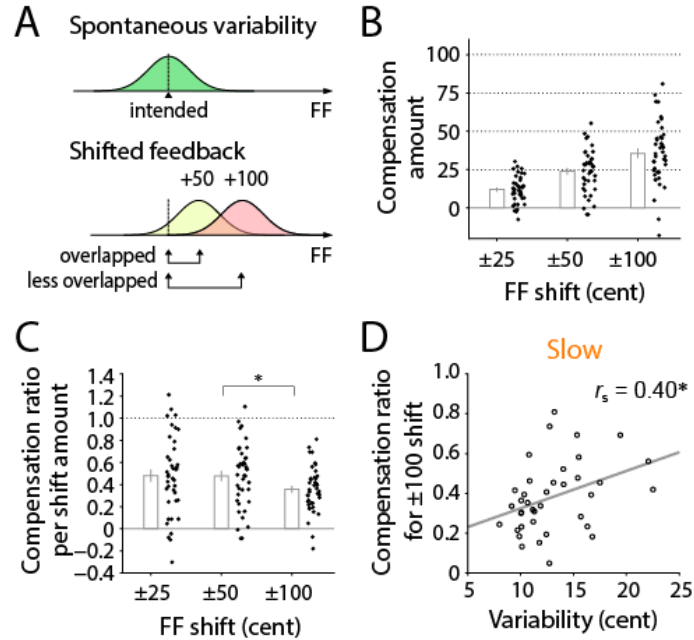
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517 **Figure 3.** Variability comparison between the first (no FF shifts) and second (FF shifted) vocalization. **A,B.**
518 Mean variability of the slow (A), and fast fluctuation (B) components in ± 100 -cent shift conditions of the
519 second vocalization comparing to the first vocalization. Red crosshair indicates the mean and standard error.
520 **C,D.** Variability difference of the slow (C) and fast (D) components in ± 25 -, ± 50 -, and ± 100 -cent shift
521 conditions between the second and first vocalizations. Errorbar indicates the standard error among 40
522 participants. **E.** Variability difference of each subband component obtained by the modulation filter bank in
523 ± 100 -cent shift conditions between the second and first vocalizations. Gray area indicates the standard error.
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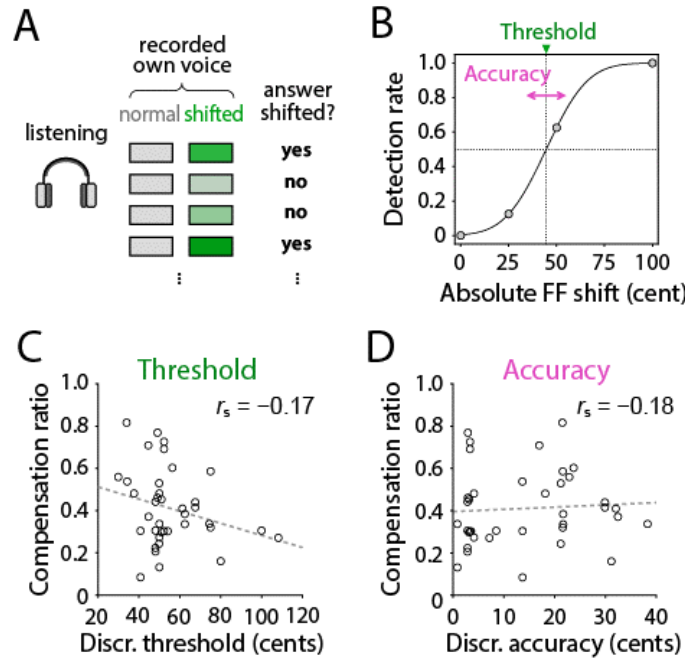


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527 **Figure 4.** Decrease of compensation ratio for larger FF shifts. **A.** Schematic drawing of normalized
528 distributions for the spontaneous FF variability (upper) and shifted versions of its feedback after the
529 introduction of +50 and +100 cent shifts (lower). Given a certain amount of variability, originally intended FF
530 will not be overlapped well with the distribution for large FF shifts, or will be outside of the motor exploration
531 range. This can be expected to reduce the compensation ratio for that condition. **B.** Amount of compensatory
532 responses against different amounts of FF shift (25, 50, and 100 cents). The vocal responses to positive FF
533 shifts were sign-inverted and averaged with that to negative shift conditions. Each dot indicates individual
534 participants. Error bar shows the standard error ($n = 40$). **C.** Compensation ratio obtained for each shift
535 amount. The value was calculated as dividing the compensation amount (shown in A) by the shift amount (25,
536 50, or 100 cents). Asterisk (*) indicates statistically significant difference ($p < 0.05$; Bonferroni-corrected
537 Wilcoxon's signed-rank test). **D.** Correlation between the compensation ratio for the 100-cent shift amount
538 and the variability of the slow component. r_s shows Spearman's signed-rank correlation coefficient. Two
539 participants who showed negative values in the compensation ratio were excluded as outliers. Asterisk (*)
540 indicates statistically significant correlation ($p < 0.05$).

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Figure 5. Participant's ability to detect the FF shifts in recorded own voices, and its correlation with the compensation ratio. **A.** Test procedure. Participants listened to a pair of recorded voices corresponding the first and second vocalization in each of vocalization trials, and judged whether the second one had the modification in pitch or not. **B.** Estimation of the discrimination threshold and accuracy by fitting a sigmoid function. **C,D.** Correlations of the compensation ratios with the discrimination threshold (C) and accuracy (D). r_s shows Spearman's signed-rank correlation coefficient.

551 Supporting Information

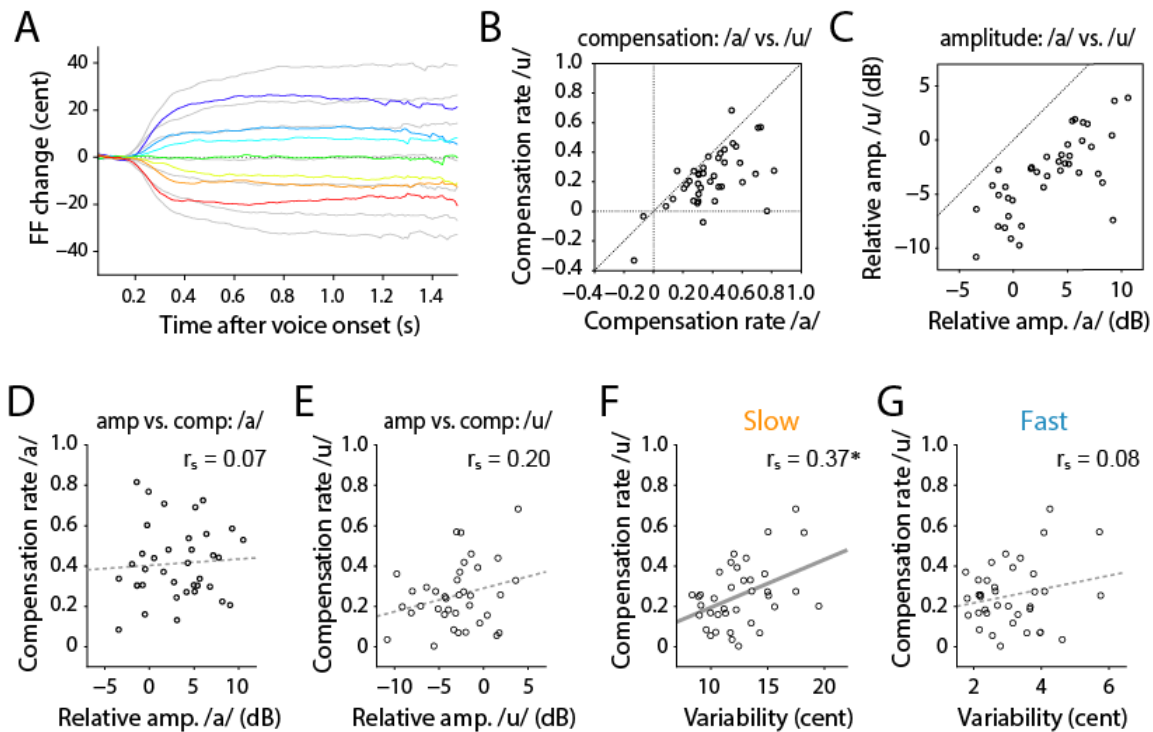
552 Article title: Spontaneous variability predicts adaptive motor response in vocal pitch control

553 Authors: Ryosuke O. Tachibana, Mingdi Xu, Ryu-ichiro Hashimoto, Fumitaka Homae, Kazuo Okanoya

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556 Vowel difference



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559 **Figure S1.** Vowel differences in compensation ratio, amplitude, and variability. **A.** Vocal responses against FF
560 shifts in auditory feedback for /u/ vocalization (colored lines), showing that for /a/ trials as comparisons (gray
561 lines). **B.** The compensation ratio for /u/ vocalizations was generally less than that for /a/. **C.** The voice
562 amplitude of /u/ vowel was generally less than that of /a/. **D,E.** Voice amplitudes of /a/ (D) and /u/ (E) vowels
563 did not show significant correlations with the compensation ratio. **F,G.** Correlation between the compensation
564 ratio and variability of slow (F) or fast (G) components, respectively, for /u/ vocalizations. Asterisk (*)
565 indicates significant correlation ($p < 0.05$).

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