1 *In vivo* imaging of microglia-mediated axonal pruning and modulation

2 by the complement system

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Summary

Partial phagocytosis – called trogocytosis – of axons by microglia has been documented in *ex vivo*
preparations but has yet to be observed *in vivo*. Fundamental questions regarding the mechanisms that
modulate axon trogocytosis as well as its function in neural circuit development remain unanswered.
Here we used 2-photon live imaging of the developing *Xenopus laevis* retinotectal circuit to observe
axon trogocytosis by microglia *in vivo*. Amphibian regulator of complement activation 3 (aRCA3) was
identified as a neuronally expressed, synapse-associated complement inhibitory molecule.
Overexpression of aRCA3 enhanced axonal arborization and inhibited trogocytosis, while expression of
VAMP2-C3, a complement-enhancing fusion protein tethered to the axon surface, reduced axonal
arborization. Depletion of microglia also enhanced axonal arborization and reversed the stereotypical
escape behaviors to dark and bright looming stimuli. These findings demonstrate that microglia remodel
axon morphology through the complement system and that neurons may control this process through
expression of complement inhibitory proteins.

Keywords

Microglia, retinotectal, synapse pruning, trogocytosis, complement, looming stimuli, escape behavior,
circuit development, CD46, Xenopus laevis

Introduction

Microglia, the immune cells of the CNS, are vital for the maintenance and development of a healthy brain. Constantly surveilling the brain (Nimmerjahn et al., 2005; Wake et al., 2009), these highly phagocytic cells are thought to contribute to developmental synaptic remodeling by phagocytosing inappropriate or supernumerary synapses, a hypothesis that has derived considerable support from histological and immunohistochemical evidence identifying synaptic components within microglia (Paolicelli et al., 2011; Schafer et al., 2012; Stevens et al., 2007; Tremblay et al., 2010). This hypothesis is further supported by numerous studies demonstrating that microglia depletion leads to exuberant axonal outgrowth (Pont-Lezica et al., 2014; Squarzoni et al., 2014), impaired pruning of excess synapses (Ji et al., 2013; Milinkeviciute et al., 2019) and increased spine density during development (Wallace et al., 2020).

The mechanisms for how microglia shape circuits by engulfing synapses is unclear, and direct evidence of complete elimination of synapses by microglial engulfment remains elusive. Microglia have been documented engaging in trogocytosis, or partial elimination, of axons and presynaptic boutons in *ex vivo* organotypic culture (Weinhard et al., 2018). However, it remains to be seen whether trogocytosis of axons by microglia is a phenomenon that occurs *in vivo*.

Even if we accept the hypothesis that microglia trogocytose the axonal compartment, many questions remain. What impact does partial elimination of presynaptic structures have on circuit remodeling? It is unclear whether this phenomenon is important for circuit connectivity and proper wiring of neurons. Does axonal trogocytosis by microglia affect the morphology of individual axons? While disrupting microglial function enhances axon tract outgrowth (Pont-Lezica et al., 2014; Squarzoni et al., 2014), it is unknown if this result is due to a disruption in microglial trogocytosis, or whether non-phagocytic mechanisms are in play. Is axonal trogocytosis by microglia mechanistically similar to complement-mediated synaptic pruning? There is extensive evidence demonstrating that the complement system regulates synaptic pruning by microglia via the complement protein C3 (Paolicelli et al., 2011; Schafer et al., 2012; Stevens et al., 2007). However, KO mice lacking complement receptor type 3 (CR3), the receptor for activated C3, do not exhibit a deficit in microglial trogocytosis (Weinhard et al., 2018), raising the possibility that microglial-mediated axonal trogocytosis is mechanistically distinct from complement-mediated synaptic pruning.

In this study, we addressed these questions and identified an endogenous, neuronally expressed regulator of microglial trogocytosis. By expressing a pH-stable GFP (pHtdGFP) (Roberts et al., 2016) in retinal ganglion cells (RGCs) of *Xenopus laevis* tadpoles, we observed *in vivo* trogocytosis of RGC axons by microglia in real-time. We then developed an assay to monitor axonal trogocytosis in the population of microglia over a period of 24 h. Microglial depletion enhanced axon arborization and inverted the stereotypical escape behavior to dark and bright looming stimuli. RGC overexpression of amphibian regulator of complement activation 3 (aRCA3) (Oshiumi et al., 2009), a neuronally expressed, synapse-associated, complement inhibitory molecule, homologous to mammalian CD46, enhanced axon arborization and inhibited trogocytosis. Further examining the role of the complement system in regulating axon morphology, we found that expression of a membrane-bound C3 fusion protein in RGCs reduced axon arborization. Our findings provide direct *in vivo* evidence supporting the hypothesis that microglia trogocytose presynaptic axonal compartments, impacting axon arborization and proper wiring during development, through a process mediated by the complement system. Our data support the

68   model that axon trogocytosis and microglial-mediated synaptic pruning are mechanistically similar and

69   are controlled by the complement system. We hypothesize that neurons may exert local control of axon

70   remodeling through the expression of complement regulatory proteins such CD46 and its homologues.

Results

Microglia in *Xenopus* larvae resemble mammalian microglia

Microglia in *Xenopus* tadpoles can be labeled with IB4-isolectin conjugated fluorophores for *in vivo* imaging (Fig S1). Like microglia in neonatal mammalian models (Dalmau et al., 1997; Smolders et al., 2017), they are highly mobile (Movie S1A), are morphologically dynamic with ameboid-like and primitive ramified-like morphologies (Movie S1B), and respond to tissue injury (Movie S2).

In developing zebrafish larvae, microglia are primarily localized to the cell body layer of the optic tectum, and are excluded from the tectal neuropil (Svahn et al., 2013). Conversely, microglia in developing mammalian models tend to be found in neuropil regions (Dalmau et al., 1997; Hoshiko et al., 2012; Tremblay et al., 2010). In the *Xenopus laevis* retinotectal circuit, RGC axons project to the neuropil of the contralateral optic tectum, where they synapse on tectal neurons (Fig 1A). To examine whether microglia interact with the tectal neuropil, the neuropil region was labeled by bulk electroporation of RGC neurons with a plasmid encoding pH-stable pHtdGFP. *In vivo* live imaging revealed that, similar to the case in mammals, microglia in developing *Xenopus* associate with both cell bodies and neuropil (Movie S3A). Microglia were observed entering the neuropil region from the cell body layer (Fig 1B and Movie S3B), as well freely moving through the neuropil region (Fig 1C and Movie S3B). Additionally, microglia in the cell body layer extended processes into the neuropil to contact axons, with interactions ranging from minutes to hours in duration (Fig 1D and Movie S3C).

In vivo imaging of RGC neurons reveals microglial trogocytosis of axons and presynaptic structures

We then sought to examine whether microglia cells trogocytose RGC axons. As endosomal organelles are typically acidic (Casey et al., 2010), when performing live imaging of trogocytosis the pH-stablilty of dyes and fluorescent proteins (FP) must be carefully considered (Shinoda et al., 2018). To reduce quenching of FP fluorescence, we utilized pHtdGFP (pKa = 4.8) which is more pH-stable than EGFP (pKa = 6.15) (Roberts et al., 2016). We expressed pHtdGFP in RGC neurons by electroporation, and labeled microglia with Alexa 594-conjugated IB4-isolectin (Fig 1A). 2-photon live imaging revealed occasional increases in microglial green fluorescence following an interaction with a pHtdGFP-labeled axon (Movies S4A and S4B). In one example (Fig 1E), the green fluorescence in the microglial cell increased 3-fold following interaction with a pHtdGFP axon (Fig 1F). The real-time increase in microglial green fluorescence suggests that the microglial cell trogocytosed the pHtdGFP-labeled axon and provides the first direct *in vivo* evidence of presynaptic trogocytosis by microglia.

Increasing the number of pHtdGFP-labeled axons in the optic tectum is expected to lead to more frequent interactions between pHtdGFP-labeled axons and microglia, resulting in greater amounts of green fluorescence within the microglial population, which we could then measure as a proxy of trogocytosis. Based on this principle, we developed an assay to measure trogocytosis of RGC axons in *Xenopus* larvae. At developmental stage 39/40, microglia and RGC neurons were labeled by intraventricular injection of Alexa 594-conjugated IB4-isolectin and by pHtdGFP electroporation respectively (Fig 2A). By two days post-electroporation, axons begin expressing pHtdGFP as they innervate the optic tectum. At 4 d and 5 d post-electroporation, the optic tectum was imaged by 2-photon microscopy. The number of pHtdGFP axons present in the optic tectum was counted and the green fluorescence within the population of microglia was quantified using 3D masking with the IB4-isolectin channel (Fig 2B). To control for the possibility of RGC apoptosis, data was excluded if apoptotic

bodies were observed, or if the number of axons decreased from day 4 to day 5. At day 4, there is a
weak positive relationship between the number of pHtdGFP-labeled axons in the optic tectum and green
fluorescence within microglia (Fig 2C). Even in the absence of pHtdGFP-labeled axons, microglia have a
basal level of autofluorescence due to the presence of lipofuscin, bilirubin, and porphyrins which are
enriched in myeloid cells (Mitchell et al., 2010). However, when comparing the green fluorescence
within microglia on day 4 to day 5, a significant increase in green fluorescence on day 5 was observed if
pHtdGFP axons were present within the optic tectum (Fig 2D). Additionally, the change in green
fluorescence intensity from day 4 to day 5 is correlated with the number of live pHtdGFP-labeled axons
in the optic tectum (Fig 2E), suggesting that microglial cells are accumulating pHtdGFP from intact axons
over time. Importantly, the fluorescent label accumulated in microglia was not the result of clearing
debris from apoptotic cells, as we were able to follow all pHtdGFP-expressing axons throughout the
imaging period.

To determine whether microglial trogocytosis of axons included presynaptic components, we
generated a synaptophysin-pHtdGFP fusion protein (SYP-pHtdGFP). SYP is a presynaptic vesicle protein
(Valtorta et al., 2004), and SYP-FP fusion proteins are commonly used as synaptic vesicle markers
(Nakata et al., 1998). Expressing SYP-pHtdGFP in RGC neurons yielded axons with the majority of
pHtdGFP concentrated at synaptic puncta (Fig 2F). When SYP-pHtdGFP is used in place of pHtdGFP in the
trogocytosis assay, similar results are obtained. A significant increase in microglial green fluorescence is
detected on day 5 compared to day 4 (Fig 2G). In addition, a positive correlation between the number of
SYP-pHtdGFP expressing axons and the change in microglial green fluorescence was observed (Fig 2H).

Depletion of microglial cells by colony stimulating factor 1 receptor (CSF1R) antagonism enhances RGC
axon branching and reverses the profile of behavioral responses to dark and bright looming stimuli

To assess the functional roles of microglial trogocytosis, we depleted microglia using PLX5622,
an inhibitor of CSF1R, which is a tyrosine kinase receptor essential for microglia survival (Elmore et al.,
2014; Erblich et al., 2011). Animals reared in 10 µM PLX5622 had significantly reduced microglia
numbers in the optic tectum compared to vehicle treated animals (Fig 3A and 3B). Additionally,
morphological analysis of surviving microglia revealed a reduction in the number of processes per
microglial cell (Fig 3C).

Next, we interrogated the effect of microglial depletion on the morphology of single axons.
Axons were followed for several days in control and microglial depleted animals (Fig 3D). Microglial
depletion with PLX5622 did not affect axon arbor length (Fig 3E), however a significant increase in axon
branch number was observed (Fig 3F), supporting the hypothesis that microglia negatively regulate
axonal arborization.

We then sought to delineate the functional effects of microglial depletion on the development
of the retinotectal circuit. Previous reports in *Xenopus* and in zebrafish have shown that the retinotectal
circuit is a vital processing and decision-making center for the visual detection of looming objects (Dong
et al., 2009; Khakhalin et al., 2014). Therefore, we developed a free-swimming looming stimulus assay in
Xenopus tadpoles (Fig 4A) to delineate the functional outcomes of a lack of trogocytosis on RGC axons.
In this assay, an exponentially expanding circular looming stimulus is projected onto an opaque screen
on the side of a glass tank. The tadpole is contained in a petri dish and the behavioral response to the
looming stimulus is recorded by a webcam from above. Either dark looming stimuli or bright looming
stimuli were presented to the tadpole (Fig 4B). Custom computer vision tracking software was then used

to automatically track the tadpole, collect motor responses, and generate a contrail for analysis of the movement of the tadpole following the onset of the looming stimulus (Fig 4C).

Contrails from representative animals in response to dark looming stimuli and bright looming stimuli are shown in Figures 4D and 4E, respectively. In control animals, dark looming stimuli evoked stereotypical defensive escape behavior, whereas microglia-depleted animals were less likely to make such defensive responses to dark looming stimuli (Movies 5A and 5B). In both control and microglia-depleted tadpoles, baseline activity (instantaneous velocity) were observed before the looming stimulus was presented (Fig 4F). After the dark looming stimulus was presented, a peak in instantaneous velocity in control animals was observed at 1.1 ± 0.1 s (mean $\pm$ SD, n = 8) post-stimulus. The cumulative distance travelled by the tadpole during a 3 s period after stimulus presentation was significantly greater than the comparable period immediately before presentation for the dark looming stimulus (Fig 4H). This difference was absent in microglia-depleted animals.

Contrastingly, bright looming stimuli elicited a very different behavioral response. Bright looming stimuli rarely evoked a defensive escape behavior in control animals, but surprisingly, evoked a robust response in microglia-depleted animals (Movies S6A & S6B). When bright looming stimuli were presented, a peak in instantaneous velocity in microglial depleted animals could be observed 1.9 ± 0.6 s (mean $\pm$ SD, n = 8) after the onset of the stimulus presentation (Fig 4G). A comparison of cumulative distance travelled before and after the bright looming stimulus revealed a significant increase in distance travelled following stimulus presentation to microglia-depleted animals, but not control animals (Fig 4J).

Tadpole responses to looming stimuli were categorized as exhibiting defensive behavior, absence of defensive behavior or undeterminable (excluded from response rate calculation). The response rate to dark looming stimuli was significantly reduced by microglial-depletion (Fig 4I). Conversely, the response rate to bright looming stimuli was significantly increased by microglial-depletion (Fig 4K). Motor control and kinematics were examined in trials where animals demonstrated escape behavior. No significant changes in escape distance, maximum escape velocity, or initial escape were induced by microglial-depletion (Fig S2).

Bioinformatic identification of a neuronally-expressed membrane-bound complement inhibitory molecule, amphibian regulator of complement activation 3 (aRCA3), a homolog of human CD46

Microglial depletion enhanced axonal arborization and perturbed functional development of the retinotectal circuit. As microglia are known to secrete trophic factors and cytokines (Parkhurst et al., 2013; Solek et al., 2018), it is possible non-trogocytosis mediated mechanisms may be at work. In order to discern the role of trogocytosis in modulating axon morphology, a method to inhibit axon trogocytosis without eliminating microglia is required. Complement C3 has been shown to be associated with synapses and is thought to tag synapses for removal by microglial phagocytosis (Schafer et al., 2012; Stevens et al., 2007). It has been postulated that neurons may express complement-inhibitory molecules in order to protect synapses from phagocytosis (Stephan et al., 2012; Stevens et al., 2007). To find such a molecule, we carried out a bioinformatics screen to identify neuronally expressed complement inhibitory proteins.

Human complement C3 was queried on the STRING Protein-Protein Association Network (Fig 5A), a database which aims to identify physical and functional protein-protein interactions (Szklarczyk et

al., 2019). We then refined our search to select for complement inhibitory proteins by focusing on
proteins containing CCP (domains abundant in complement control proteins) motifs, which are highly
conserved modules abundant in proteins responsible for negative regulation of the complement system
(Norman et al., 1991). The top CCP-containing proteins identified by STRING were then queried on the
Allen Brain Institute human multiple cortical areas RNA-seq dataset (Allen Institute for Brain Science,
2015; Hodge et al., 2019). Of the CCP-containing proteins identified by STRING, only CD46 is highly
expressed by CNS neurons (Fig 5B).

NCBI protein-protein BLAST was carried out to identify the *Xenopus laevis* homolog of CD46. The
Xenopus laevis protein with the highest similarity to human CD46 was identified as amphibian Regulator
of Complement Activation protein 3 (aRCA3). The Expect value (number of hits expected by chance) of
aRCA3 (3×10^{-41}) was more than 6 orders of magnitude smaller than the next closest related protein in
the *Xenopus laevis* genome. aRCA3 is a protein that is currently uncharacterized in *Xenopus laevis* but
has been characterized in *Xenopus tropicalis* as a membrane-associated complement regulatory protein
(Oshiumi et al., 2009). The amino acid sequence of aRCA3 was analyzed by the SMART protein domain
research tool (Letunic and Bork, 2018; Schultz et al., 1998) to determine conserved modular
architecture, and by the Phobius transmembrane topology tool (Käll et al., 2004, 2007) to determine
transmembrane topology. aRCA3 has protein architecture similar to that of CD46 – it is a type I
transmembrane protein with numerous extracellular CCP domains and a stop-transfer anchor sequence
near the C-terminus of the protein (Fig 5C). While the eight CCP domains in Xenopus aRCA3 is twice the
number in human CD46, the number of CCP domains of CD46 homologs is known to vary across species.
For example, the *Gallus gallus* homolog (Cremp) has 6 CCP domains, while homologs in *Equus caballus*
(MCP), *Danio rerio* (rca2.1), and *Coturnix japonica* (MCP-like) each have 5 CCP domains.

Next, to investigate whether aRCA3 is endogenously expressed in RGC neurons, we performed
fluorescence RNAscope *in situ* hybridization (Wang et al., 2012). Probes against aRCA3, the positive
control transcript RNA polymerase II subunit A (polr2a.L), and the bacterial negative control transcript
dihydrodipicolinate reductase (DapB) were hybridized on PFA fixed retina sections (Fig 6D). As expected,
DapB transcripts were not detected in the retina, whereas the housekeeping transcript polr2a was
ubiquitously expressed. aRC3 transcripts on the other hand, were concentrated in the ganglion cell layer
(Fig 5E), demonstrating that aRCA3 expression is endogenous to RGC neurons.

aRCA3 associates with synapses, enhances axonal arborization and inhibits microglial trogocytosis

It is unknown whether aRCA3 localizes to the correct cellular compartments to protect axons
from complement attack. To examine this, we examined the localization of aRCA3 by tagging it with
mCherry, producing an aRCA3-mCherry fusion protein (Fig 6A). This aRCA3-mCherry fusion protein was
expressed together with SYP-pHtdGFP, which localizes to synaptic vesicles and synapses (Javaherian and
Cline, 2005; Ruthazer et al., 2006). Coexpression of aRCA3-mCherry and SYP-pHtdGFP in RGC axons
demonstrates that aRCA3-mCherry and SYP-pHtdGFP colocalize (Fig 6B). Colocalization analysis reveals
that mCherry and pHtdGFP fluorescence intensity is highly correlated (Fig 6C), suggesting that aRCA3
codistributes to similar subcellular compartments as the presynaptic marker SYP.

Next, we examined the effect of aRCA3 overexpression on RGC axon morphology and microglial
trogocytosis. RGC neurons were electroporated with pHtdGFP only or both aRCA3 and pHtdGFP, and
axon arbors were imaged over several days (Fig 6D). Axon arbor length was significantly increased by
overexpression of aRCA3 (Fig 6E), and branch number in RGC axons overexpressing aRCA3 was

significantly increased over control axons (Fig 6F). Microglial green fluorescence was also compared
between animals where RGC neurons were labeled with pHtdGFP, or with aRCA3 and pHtdGFP. An
increase in microglial green fluorescence from day 4 to day 5 was detected in animals which had
pHtdGFP-labeled axons present in the optic tectum, but not in animals that had pHtdGFP-labeled axons
coexpressing aRCA3 (Fig 6G). Together, these results suggest that aRCA3 inhibits trogocytosis of axons
by microglia, which in turn enhances axonal arborization.

While CD46 is best known for its ability to deactivate C3b and C4b, CD46 is also capable of
signaling through tyrosine kinase activity under certain conditions (Riley-Vargas et al., 2004). This raises
the potential caveat that the increased axonal arborization induced by overexpression of aRCA3 may be
a result of intracellular signaling. Using a bioinformatic tool, NetPhos3.1 with cutoff scores > 0.6, (Blom
et al., 2004), we were unable to predict a tyrosine kinase phosphorylation site on its cytoplasmic region.
Nonetheless, it is possible that aRCA3 may be exerting some of its effects through an intracellular
signaling pathway. In order to provide further functional validation that the complement system affects
axonal arborization, we examined the effects of enhancing complement activity on axon morphology.

Expression of a membrane-tethered Complement C3 fusion protein reduces RGC axon size and
branching

If aRCA3 is producing its effects on axon morphology through its complement regulatory
activity, it stands to reason that enhancing complement activity on single axons should produce effects
opposite to that of aRCA3. To explore this possibility, we designed an axon surface-localized C3 fusion
protein to enhance complement activity on individual axons (Fig 7A). Synaptobrevin, also known as
vesicle-associated membrane protein 2 (VAMP2), is concentrated in synaptic vesicles, though a
significant fraction of VAMP2 is also present on the axon surface (Ahmari et al., 2000; Sankaranarayanan
and Ryan, 2000). We cloned *Xenopus laevis* complement C3, and fused it to the extracellularly facing C-
terminus of *Xenopus laevis* VAMP2. Thus, expression of this VAMP2-C3 construct in RGC neurons results
in axons tagged with extracellular membrane-bound complement C3.

VAMP2-C3 was co-expressed with pHtdGFP in RGC neurons, and axons were monitored over
several days (Fig 7B). To control for the possibility that VAMP2 overexpression may affect axon
morphology, we also overexpressed VAMP2 in RGC axons. Expression of VAMP2-C3 in RGC neurons
significantly reduced axon arbor length and axon branching when compared to control or VAMP2
overexpression (Fig 7C and 7D).

Discussion

In vivo **evidence of microglial trogocytosis of axons and synapses during healthy development**

Previous experiments in *ex vivo* slice culture have shown that microglia trogocytose presynaptic
components (Weinhard et al., 2018). Our results add additional support to the hypothesis that microglia
actively engulf presynaptic compartments during development. To our knowledge, these are the first
experiments to demonstrate direct evidence of axonal and presynaptic trogocytosis by microglia *in vivo*.

Expression of complement inhibitory or complement enhancing molecules in neurons modulates axon
morphology and trogocytosis by microglia

Complement C3, when activated, exposes a reactive thioester bond that covalently attaches to
amine or carbohydrate groups on cell surfaces (Sahu et al., 1994). Microglia express CR3, a receptor for
complement C3 (Ling et al., 1990), and binding of CR3 to its ligand induces phagocytosis (Newman et al.,
1985). As C3 has been found deposited on synapses during development, it is thought that complement
C3 tags synapses for removal by microglia (Stevens et al., 2007). The importance of the C3 pathway in
synaptic pruning has generally been studied by disrupting the C3 pathway using C3 KO mice (Stevens et
al., 2007), CR3 KO mice (Schafer et al., 2012), or recently, with the exogenous neuronal expression of the
complement inhibitory proteins Crry (Werneburg et al., 2020) and CD55 (Wang et al., 2020). Here we
demonstrate that enhancing the C3 pathway with a membrane bound VAMP2-C3 fusion protein
increases axonal pruning.

C3 is known to undergo spontaneous, low-level activation, a phenomenon called C3 tick-over
(Pangburn et al., 1981). It is possible that synapses and axon surfaces are under constant probing by C3
tick-over, which in turn is detected by constant microglial surveillance and subsequent trogocytosis.
Neurons may determine which axon surfaces or synapses should be removed by localized exposure of
membrane-bound complement inhibitory molecules. Indeed, it has been hypothesized that neurons
express complement inhibitory molecules to protect synapses from phagocytosis (Stephan et al., 2012;
Stevens et al., 2007), although the identity of such a molecule in mammals remains elusive.

In this study, we characterized *Xenopus laevis* aRCA3, an endogenous, neuronally expressed,
synaptic vesicle associated, complement inhibitory molecule which regulates axon morphology and axon
trogocytosis by microglia. aRCA3 is the most similar amphibian homolog of human CD46. CD46 is
membrane-bound complement inhibitory molecule that cleaves activated complement components C3b
and C4b (Barilla-LaBarca et al., 2002). Using the Allen Brain Institute human multiple cortical areas RNA-
seq dataset (Allen Institute for Brain Science, 2015), we report that CD46 transcripts are enriched in
human neurons. Interestingly, CD46 is known to associate directly with β1-integrins (Lozahic et al.,
2000), a type of adhesion molecule that is present on neuronal surfaces (Neugebauer and Reichardt,
1991) and enriched in synaptosomes (Chan et al., 2003), suggesting that it too may be localized to axon
surfaces and synapses. In our study we have demonstrated that aRCA3 expression inhibits axon
trogocytosis by microglia and enhances axonal arborization – we speculate that CD46 may perform
similar functions in mammals. Excessive synaptic pruning is thought to underlie schizophrenia (Sellgren
CM et al., 2019), and three large-scale genetic susceptibility studies have identified the CD46 gene as a
significant Schizophrenia-risk locus (Håvik et al., 2011; Kim et al., 2020; Ripke et al., 2014). Clearly, the
role of CD46 in neurodevelopment warrants further study.

Microglia actively suppress exuberant axonal arborization

Disrupting microglial function by depletion causes exuberant axonal innervation in prenatal
models (Pont-Lezica et al., 2014; Squarzoni et al., 2014). However, in prenatal models, depleting
microglia also increases the number of neural progenitor cells (Cunningham et al., 2013). Therefore, it is
unclear whether the exuberant axonal innervation that occurs following microglial depletion is a result
of a deficit of microglial-mediated axonal pruning, or whether it is due to an increase in the overall
number of axons. In our experiments, we examined the effect of microglial depletion on the morphology
of single axons, demonstrating a significant increase in branching when microglia were depleted. Thus,
our study supports the model that the increase in axonal innervation resulting from microglial depletion
is due to increased arborization of individual axons.

The observation that microglial depletion and RGC overexpression of the complement inhibitor
aRCA3 enhanced axonal arborization suggests that both phenomena may be acting through a common
mechanism. These results support the hypothesis that trogocytosis suppresses both axon branching –
possibly through the removal of new branches – and axon growth – possibly through the physical
removal of material from the axon. While this explanation is at odds with the observation that microglia-
depletion did not increase axon branch size, it is important to note that in the case of aRCA3
overexpression, a single axon gains a relative growth advantage over other axons, which is distinct from
the case of microglial-depletion were all axons profit from the same increased growth. If all axons are
growing larger at the same pace, competitive mechanisms (Gosse et al., 2008; Ruthazer et al., 2003)
would be expected to constrain axon arbor sizes.

Microglial trogocytosis of axons contributes to development of the retinotectal circuit in *Xenopus*
***laevis* under healthy conditions**

Visually evoked escape behavior is thought to be driven by a feed-forward network (Khakhalin
et al., 2014). In the retina, photoreceptors act on bipolar cells which in turn act on RGCs. Some RGCs are
tuned to detect looming (Dunn et al., 2016; Münch et al., 2009), and the population of RGCs that
respond to dark looming stimuli are distinct from the population of RGCs that respond to bright looming
stimuli (Temizer et al., 2015). Additionally, these distinct RGC populations project to different
arborization fields of the optic tectum (Robles et al., 2014; Temizer et al., 2015) where looming
computation and behavioral decision making is executed (Barker and Baier, 2015; Fotowat and
Gabbiani, 2011). In highly predated animals such as crabs, zebrafish and mice (Oliva et al., 2007; Temizer
et al., 2015; Yilmaz and Meister, 2013), dark looming stimuli – which signal imminent threat such as an
oncoming object or predator – reliably induce escape responses, whereas bright looming stimuli – which
may occur as the animal exits a tunnel or traverses its environment – are less effective.

Microglia depletion does not appear to alter motor functionality, suggesting that the hindbrain
and motor circuitry are less disrupted by microglia depletion. Instead, the effects of microglia depletion
on looming-evoked escape behavior must be occurring further upstream at the retina, the optic tectum
or at the projections from the tectal neurons to the hindbrain. As microglia depletion induces exuberant
RGC axonal arborization, one possible explanation for why microglia depletion disrupts defensive
behavior to dark looming stimuli is that the dark looming sensitive RGC axons are not able to effectively
wire with their tectal neuron counterparts which classify threatening visual stimuli due to a disruption in
axonal pruning. When microglia are depleted, axons form more errant connections, and dark looming
sensitive RGC axons may instead wire together with tectal neurons which are not associated with threat
classification, reducing the probability that dark looming stimuli elicit escape responses. We predict that
the reverse is true for bright looming sensitive RGC axons, whereby microglia depletion results in
increased likelihood of errant wiring between bright looming RGC axons and tectal neurons that classify
threatening visual stimuli, leading to enhancement of escape behavior to bright looming stimuli.

Synaptic pruning and trogocytosis are two sides of the same coin: Axonal pruning

Classically, synaptic pruning has been described as the engulfment and elimination of synapses,
a phenomenon dependent on the complement pathway (Paolicelli et al., 2011; Perry and O'Connor,
2008; Schafer et al., 2012; Stevens et al., 2007). Surprisingly, no deficit in microglial trogocytosis has
been observed in CR3 KO mice (Weinhard et al., 2018). One possible interpretation for this result is that
synaptic pruning and trogocytosis are different phenomena mediated by different mechanisms.

However, our study suggests that complement-mediated synaptic pruning and trogocytosis, are
mechanistically similar. We find that both axonal trogocytosis and axonal arborization are influenced by
the complement system. Our findings support the model that microglia trogocytose presynaptic
structures and that this in turn is associated with axonal pruning – the loss of unwanted synapses
through a reduction in axon arbor size and branching (Riccomagno and Kolodkin, 2015). Finally, our data
supports the hypothesis that neurons control microglial-mediated circuit remodeling through the
expression of endogenous membrane bound complement inhibitory molecules to regulate microglial
trogocytosis.

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<u>Author Contributions</u>

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<u>Declaration of Interests</u>

The authors declare no competing interests.

Figure 1. Microglia surveil the tectal neuropil, contact RGC axons, and increase in green fluorescence following an interaction with pHtdGFP labeled axons in real-time

(A) A diagram of the developing Xenopus laevis retinotectal circuit.

(B) The yellow dotted line denotes the border of the cell body layer and the neuropil region. Microglia (red) can be observed migrating into the neuropil region from the cell body layer. A single registered optical section is shown.

(C) Microglia surveil the neuropil. A microglial cell is followed over time as it traversed different depths
in the tectum.

(D) Microglia extend processes into the neuropil. Contact duration varied between minutes and hours.

(E) A microglial cell (blue arrow) which interacts with a pHtdGFP labeled RGC axon increases in green
fluorescence in real-time. The colocalization of green and red is colorized as white.

(F) Quantification of the example shown in Fig 1B. The fluorescence change within an interacting
microglial cell (blue), and a non-interacting microglial cell not present in the field (red), is plotted over
time.

Figure 2. Microglia accumulate green fluorescence from axons expressing pHtdGFP or SYP-pHtdGFP

(A) Timeline of trogocytosis assay.

(B) pHtdGFP-labeled axons (green) were imaged concurrently with microglia (magenta). 3D microglia

ROIs were automatically generated (magenta outlines). The average microglial green fluorescence

density per animal was calculated from the population of microglia sampled in the z-stack.

(C) Microglial green fluorescence is weakly correlated to the number of pHtdGFP labeled axons in the

optic tectum on day 4. $R^2 = 0.09$, $p = 0.038$.

(D) Microglial green fluorescence is increased on day 5 compared to the previous day when pHtdGFP

labeled axons are present. 2-way RM ANOVA interaction $F(3,43) = 6.14$, $p = 0.0014$. Sidak's multiple

comparison test ***$p < 0.001$, ****$p < 0.0001$.

(E) The change in microglial green fluorescence from day 4 to day 5 is correlated with the number of

pHtdGFP axons. $R^2 = 0.23$, $p = 0.0006$.

(F) A SYP-pHtdGFP fusion protein localizes pHtdGFP to presynaptic puncta.

(G) Microglial green fluorescence is increased on day 5 compared to the previous day when SYP-

pHtdGFP labeled axons are present. 2-way RM ANOVA interaction $F(2,49) = 3.33$, $p = 0.044$. Sidak's

multiple comparison test *$p < 0.05$, ****$p < 0.0001$.

(H) The change in microglial green fluorescence from day 4 to day 5 is correlated to the number of SYP-

pHtdGFP axons. $R^2 = 0.12$, $p = 0.009$.

Figure 3. CSF1R antagonism depletes microglia from the optic tectum and increases axon branch number

(A) Animals were treated with vehicle or 10 µM PLX5622. Brain structures and microglia were labeled using CellTracker Green BODIPY (green) and IB4-isolectin (red), respectively. The white dotted line denotes the border of the optic tectum. Single optical sections are shown.

(B) PLX5622 depletes microglia in the optic tectum. Mixed-effects REML model interaction F(3,39) = 14.23, p < 0.0001. Sidak's multiple comparison post-hoc test **** p < 0.0001.

(C) PLX5622 reduces the number of processes per microglia. Mixed-effects REML model main effect F(1,14)=18.42, *** p < 0.001.

(D) Monitoring of RGC axons in vehicle control and PLX5622 treated animals.

(E) PLX5622 did not affect axon arbor lengths. 2-way RM ANOVA main effect F(1,24)=0.4141, p = 0.53.

(F) PLX5622 increased axon branch number. 2-way RM ANOVA main effect F(1,24)=5.581, p = 0.027.

Figure 4. Microglial depletion reverses the expected behavioral response to both dark and bright looming stimuli

(A) Schematic of a looming behavioral task to assess vision and motor responses in *Xenopus laevis* larvae. Stage 47 animals were placed in a petri dish and presented looming stimuli while free-swimming

escape responses were recorded.
(B) Exponentially expanding dark and bright circles were presented as looming stimuli.
(C) Representative response to dark looming stimulus (presented at 0 s) in a vehicle treated animal.
Escape contrail (green line), velocity, escape distance, and initial escape angle were quantified.
(D) Representative contrails of the escape responses to dark looming stimuli in 10 trials in a single
animal. Characteristic large, exaggerated defensive escape behavior were not observed in the microglia
depleted animal.
(E) Representative contrails to bright looming stimuli. Defensive escape behavior was observed in the
microglia depleted animal but not in the control animal.
(F) The instantaneous velocity per animal is plotted against time. After the dark looming stimulus was
presented (0 s), vehicle treated animals (black) increase in velocity, whereas microglia-depleted animals
(red) do not. n = 8.
(G) After the bright looming stimulus was presented (0 s), microglia-depleted animals (blue) increase in
velocity, whereas vehicle treated animals (white) do not. n = 8.
(H) Presentation of dark looming stimuli increased distance traveled in vehicle treated animals (black)
but not microglia-depleted animals (red). 2-way RM ANOVA interaction $F_{(1,14)}$ = 15.82, p = 0.0014.
Sidak's multiple comparisons test ****$p < 0.0001$.
(I) Microglia depletion reduced the response rate to dark looming stimuli. Unpaired t-test *** $p < 0.001$,
n = 8 per group.
(J) Presentation of bright looming stimuli increased distance traveled in microglia-depleted animals
(blue) but not vehicle treated animals (white). 2-way RM ANOVA interaction $F_{(1,14)}$ = 5.291, p = 0.037.
Sidak's multiple comparisons test ** $p < 0.01$.
(K) Microglia depletion increased the response rate to bright looming stimuli. Unpaired t-test * p =
0.033, n = 8.

Figure 5. Identification of a neuronally expressed membrane-bound complement inhibitory protein, amphibian regulator of complement activation 3 (aRCA3), the predicted homolog of human CD46

(A) The STRING Protein-Protein Association Network was queried with Complement C3 and the top 30 interaction partners are displayed. Red nodes represent proteins which contain complement control domains that inhibit complement activity. Line thickness indicates the strength of data supporting

protein interaction.

(B) Complement inhibitory proteins identified by STRING were screened for neuronal expression in the

Allen Brain Map human cortical transcriptomics dataset. Cell type taxonomy and hierarchical clustering

was determined according to previous analysis (Hodge et al., 2019). Only CD46 is highly expressed by

neurons. Heat map color scale denotes log 2 expression levels as represented by trimmed mean (25%-

75%). CPM = counts per million.

(C) Protein architecture of CD46 and the most similar *Xenopus laevis* homolog (aRCA3) as determined by

the SMART protein domain annotation resource and the Phobius transmembrane topology tool. Both

human CD46 and *Xenopus laevis* aRCA3 are type I transmembrane proteins, with a non-cytoplasmic

region that contains many complement control protein modules, and a transmembrane anchor near the

C-terminus.

(D) Fluorescent RNAscope *in situ* hybridization on retina sections shows that aRCA3 is endogenously

expressed in the retina. Negative control probe DapB was not detected in the retina. Housekeeping gene

Polr2a.L was expressed ubiquitously. aRCA3 is expressed in the retina and enriched in specific retinal

layers.

(E) aRCA3 is highly expressed in the GCL, and is present at lower levels in the INL and ONL. GCL =

Ganglion Cell Layer; IPL = Inner Plexiform Layer; INL = Inner Nuclear Layer; ONL = Outer Nuclear Layer

Figure 6. aRCA3 colocalizes with synaptophysin and RGC overexpression of aRCA3 increases axon arbor size and branch number and inhibits microglial trogocytosis

(A) An aRCA3-mCherry fusion protein was co-expressed with SYP-pHtdGFP using a bicistronic P2A plasmid vector.

(B) Representative RGC axon expressing aRCA3-mCherry and SYP-pHtdGFP. SYP-pHtdGFP is concentrated at synaptic puncta. aRCA3-mCherry colocalizes with SYP-pHtdGFP.

(C) Colocalization analysis of the axon shown in B. Scatterplot of pixel intensities demonstrates a high degree of association between aRCA3-mCherry and SYP-pHtdGFP. After applying Costes threshold, Pearson's correlation coefficient = 0.90.

(D) Control and aRCA3 expressing RGC axons imaged over several days.

(E) aRCA3 overexpression increased axon arbor length. 2-way RM ANOVA interaction $F_{(3,102)}$ = 5.43, p =

0.0017. Sidak's multiple comparison test * p < 0.05, ** p < 0.01.
(F) aRCA3 overexpression increased axon branch number. 2-way RM ANOVA interaction $F_{(3,102)} = 4.73$,
p = 0.0039. Sidak's multiple comparison test ** p < 0.01, *** p < 0.001, **** p < 0.0001.
(G) The increase in microglial green fluorescence on day 5 compared to the previous day when pHtdGFP
labeled axons are present is inhibited by the overexpression of aRCA3. 3-way RM ANOVA group x time
interaction $F_{(1,75)} = 5.15$, p = 0.026. False discovery rate for posthoc tests was set to 0.05 and selected
significant comparisons are shown. Comparing across timepoints: * q < 0.05, ** q < 0.01. Comparing
across treatment: # q <0.05.

Figure 7. Expressing VAMP2-C3 fusion protein in RGC neurons reduces axon arbor size and branch number

(A) Complement C3 was fused to the N-terminus of VAMP2 to create a complement enhancing molecule which is targeted to the axon surface and synapses. It is expressed together with pHtdGFP in a bicistronic P2A self-cleaving peptide construct.

(B) Axons from control, VAMP2-C3 expressing, and VAMP2 overexpresssing RGC neurons were imaged over several days.

(C) Expression of VAMP2-C3 reduced RGC axon arbor length compared to control and VAMP2 overexpression. 2-way RM ANOVA interaction F(6,75)=3.48, p = 0.0044. Sidak's multiple comparison test * p < 0.05, ** p < 0.01, *** p < 0.001.

(D) Expression of VAMP2-C3 reduced RGC branch number compared to control and VAMP2 overexpression. 2-way RM ANOVA interaction F(6,75)=4.15, p = 0.0012. Sidak's multiple comparison test * p < 0.05, *** p < 0.001, **** p < 0.0001.

Supplemental Information

Figure S1. IB4-isolectin-conjugated fluorophores label microglial cells in developing *Xenopus laevis* tadpoles, Related to Figure 1

(A) The tadpole brain colorized for identification (yellow = olfactory bulb and forebrain, green = optic tectum, blue = hindbrain). To label microglia, IB4-isolectin conjugated fluorophores are injected into the 3rd ventricle (red).

(B) Dynamic cells which have both ameboid-like and primitive ramified-like morphologies are labeled by IB4-isolectin.

(C) The distribution in mobility of IB4-isolectin labeled cells under normal conditions. Average velocity is 1.75 µm/min.

(D) IB4-isolectin labeled cells are shown in red, and eGFP-electroporated RGC axons are shown in green.

542 Laser ablation induced a region of damaged, autofluorescent, tissue. After injury, IB4-isolectin labeled

543 cells mobilize to the injury site and remove the injured tissue by phagocytosis.

Figure S2. Motor responses to dark and bright looming stimuli are not altered by microglial-depletion with PLX5622, Related to Figure 4

A-F analyze all trials where defensive behavior was exhibited to looming stimuli. Data was collected from 8 animals per group.

(A) Microglial-depletion did not alter escape distance to dark looming stimuli. Unpaired t-test p = 0.27.

(B) Microglial-depletion did not alter maximum escape velocity to dark looming stimuli. Unpaired t-test p = 0.32.

(C) Microglial-depletion did not alter escape angle to dark looming stimuli. Unpaired t-test p = 0.40.

(D) Microglial-depletion did not alter escape distance to bright looming stimuli. Unpaired t-test p = 0.26.

(E) Microglial-depletion did not alter maximum escape velocity to bright looming stimuli. Unpaired t-test p = 0.25.

(F) Microglial-depletion did not alter escape distance to bright looming stimuli. Unpaired t-test p = 0.72.

Movie S1. IB4-isolectin labeled cells in *Xenopus laevis* tadpoles are morphologically dynamic and highly mobile, Related to Figure 1

(A) IB4-isolectin cells are highly mobile.
(B) IB4-isolectin labeled cells have a dynamic morphology, switching back and forth between ameboid-like and primitive ramified-like morphologies.

Movie S2. IB4-isolectin labeled cells respond to tissue injury by mobilization to the injury site and phagocytosis of injured tissues, Related to Figure 1

Movie S3. Microglia are associated with the neuropil and surveil the neuropil by entering the neuropil and extending processes towards axons from the cell body layer. Related to Figure 1

(A) Microglia associate with the tectal neuropil in *Xenopus laevis*.
(B) The neuropil does not exclude microglia. Microglia can mobilize into the neuropil region from the cell body layer and can freely move through the neuropil region.
(C) Microglia surveil the tectal neuropil by extending processes towards the neuropil from the cell body layer.

Movie S4. *In vivo* real-time trogocytosis in *Xenopus laevis* tadpoles imaged by 2-photon microscopy, Related to Figure 1

(A) A microglial cell increases in green fluorescence in real-time after an interaction with a pH-stable GFP labeled axon. The colocalization of green and red is colorized as white.
(B) Another example of an increase in green fluorescence within a microglial cell following an interaction with a pH-stable GFP labeled axon. This example is shown in Figure 1E.

Movie S5. Representative responses to dark looming stimuli in control and microglia-depleted animals, Related to Figure 4

(A) Representative response to dark looming stimuli in a vehicle control animal.
(B) Representative response to dark looming stimuli in a microglia-depleted animal.

Movie S6. Representative responses to bright looming stimuli in control and microglia-depleted animals, Related to Figure 4

(A) Representative response to bright looming stimuli in a vehicle control animal.
(B) Representative response to bright looming stimuli in a microglia-depleted animal.

593   **STAR Methods**

594   **KEY RESOURCES TABLE**

REAGENT or	SOURCE	IDENTIFIER
Bacterial and Virus Strains		
DH5α Competent cells	Invitrogen	Cat#18265017
Chemicals, Peptides, and Recombinant Proteins		
Isolectin GS-IB$_4$ From *Griffonia simplicifolia*, Alexa Fluor 594 Conjugate	ThermoFisher Scientific	Cat#I21413
CellTracker Green BODIPY	ThermoFisher Scientific	Cat#C2102
RNAscope Probe: *Xenopus laevis* polr2a.L	Advanced Cell Diagnostics	Cat#580841
RNAscope Probe: *Xenopus laevis* aRCA3	Advanced Cell Diagnostics	Cat#806291
PLX5622	Plexxikon	N/A
Polyethylene glycol 400	Sigma	SKU#P3265
Poloxamer 407	Sigma	SKU#16758
D-α-Tocopherol polyethylene glycol 1000 succinate	Sigma	SKU#57668
TRIzol Reagent	Invitrogen	Cat#15596-026
Superscript IV First-Strand synthesis system	Invitrogen	Cat#18091050
Phusion High-Fidelity DNA polymerase	ThermoFisher Scientific	Cat#F530S
Sylgard 184 silicone	Paisley	AVDC00184003
Fisher Healthcare Tissue-Plus O.C.T Compound	Fisher Scientific	Cat#23730571
Critical Commercial Assays		
RNAscope Multiplex Fluorescent Reagent Kit v2	Advanced Cell Diagnostics	Cat#323136
Deposited Data		
Allen Brain Map Human Multiple Cortical Areas SMART-seq data set	(Allen Institute for Brain Science, 2015)	portal.brain-map.org/atlases-and-data/rnaseq/human-multiple-cortical-areas-smart-seq
Experimental Models: Organisms/Strains		
Albino *Xenopus laevis* (Xla.NXT-WT:Albino^{NXR})	National Xenopus Resource	NXR_0082
Recombinant DNA		
pCMV eGFP	(Haas et al., 2002)	
pFA6a pH-tdGFP	(Roberts et al., 2016)	Addgene Plasmid #74322
pEF1α pHtdGFP	This paper	
pEF1α SYN-pHtdGFP	This paper	

pEF1α aRC3-P2A-pHtdGFP	This paper	
pEF1α pHtdGFP-P2A-VAMP2	This paper	
pEF1α pHtdGFP-P2A-VAMP2-C3	This paper	
Software and Algorithms		
NCBI protein-protein BLAST	(Altschul et al., 1990)	blast.ncbi.nlm.nih.gov
STRING v11.0 protein-protein association networks	(Szklarczyk et al., 2019)	string-db.org
SMART protein domain annotation resource 8.0	(Letunic and Bork, 2018)	smart.embl-heidelberg.de
Phobius transmembrane topology tool	(Käll et al., 2007)	phobius.sbc.su.se
NetPhos 3.1	(Blom et al., 2004)	www.cbs.dtu.dk/services/NetPhos/
R 4.0.0	(R Core Team, 2018)	www.r-project.org/
RColorBrewer	(Neuwirth, 2014)	cran.r-project.org/web/packages/RColorBrewer/RColorBrewer.pdf
ComplexHeatmap	(Gu et al., 2016)	github.com/jokergoo/ComplexHeatmap
Bioconductor 3.11	(Huber et al., 2015)	bioconductor.org
Serial Cloner 2.6.1	SerialBasics	serialbasics.free.fr/Serial_Cloner.html
Imaris 6	Oxford Instruments	imaris.oxinst.com/products/imaris-for-cell-biologists
Fluoview 5.0	Olympus	www.olympus-lifescience.com/en/support/downloads/
ThorImage LS	Thorlabs	www.thorlabs.com/newgrouppage9.cfm?objectgroup_id=9072#ad-image-0
Leica LAS X	Leica	www.leica-microsystems.com/products/microscope-software/p/leica-las-x-ls/
FIJI ImageJ	(Schindelin et al., 2012)	imagej.net/Fiji
3D Objects Counter plugin	(Bolte and Cordelières, 2006)	imagej.net/3D_Objects_Counter
3D ROI Manager plugin	(Ollion et al., 2013)	imagejdocu.tudor.lu/plugin/stacks/3d_roi_manager/start
TrackMate plugin	(Tinevez et al., 2017)	imagej.net/TrackMate
Descriptor-based series registration plugin	(Preibisch et al., 2010)	imagej.net/SPIM_Registration_Method
3D hybrid median filter plugin	Christopher Philip Mauer and Vytas Bindokas	imagej.nih.gov/ij/plugins/hybrid3dmedian.html
ScatterJ plugin	(Zeitvogel et al., 2016)	savannah.nongnu.org/projects/scatterj
MATLAB	MathWorks	www.mathworks.com/products/matlab.html
CANDLE	(Coupé et al., 2012)	sites.google.com/site/pierrickcoupe/softwares/denoising-for-medical-imaging/multiphoton-filtering

Graphpad Prism 8	GraphPad Software	www.graphpad.com/scientific-software/prism/
Cura 4	Ultimaker	ultimaker.com/software/ultimaker-cura
TinkerCAD	Autodesk	www.tinkercad.com
Psychopy 3.0	(Peirce et al., 2019)	psychopy.org
XenLoom (beta): Looming Stimulus Presentation and Tracking of *Xenopus laevis* tadpoles	This paper	github.com/tonykylim/XenLoom_beta
Other		
Estink 2000 lumens mini LED projector	Amazon.ca	ASIN#B07F7RT9XZ
Custom 3D printed mount for projector lens	This paper	www.thingiverse.com/thing:4335379
Custom 3D printed stage for *Xenopus laevis* behavior	This paper	www.thingiverse.com/thing:4335395
8-inch soda lime 1500 ml culture dish	Carolina	Item#741006
60 mm petri dish	FisherScientific	Cat#FB0875713A
PLA 1.75mm 3D printing filament	iPrint-3D	Transparent purple
Logitech C920 webcam	Logitech	Model# 960-000764
Webcam scissor arm clamp mount	Amazon.ca	ASIN#B01N77YBLU
Anycubic i3 mega 3D printer	Amazon.ca	ASIN#B07NY5T1LJ
Grass SD9 Square Pulse Stimulator	Grass-Telefactor	Model SD9
Custom-built 2-photon microscope	(Ruthazer et al., 2006)	
Thorlabs multiphoton imaging system	Thorlabs	
Ti:Sapphire femtosecond pulsed laser	Spectra-Physics	InSight X3

Lead Contact and Materials Availability

Plasmids generated in this study will be made available upon request. Further information and requests
for resources and reagents should be directed to the Lead Contact, Edward Ruthazer
(edward.ruthazer@mcgill.ca).

Experimental Model and Subject Details

Xenopus laevis tadpoles

Adult albino African clawed frogs (*Xenopus laevis*) were maintained and bred at 18°C. Female frogs were
primed by injection of 50 IU pregnant mare serum gonadotropin. After 3 days, 150 IU of human
chorionic gonadotropin (HCG) was injected into the dorsal lymph sacs of male frogs. The primed female

frogs were injected with 400 IU into the dorsal lymph sac. The injected male and female frogs were
placed in isolated tanks for mating.

Eggs were collected the following day and maintained in Modified Barth's Saline with HEPES (MBSH) in
an incubator at 20°C with LED illumination set to a 12h/12h day-night cycle and staged according to
Nieuwkoop and Faber (NF) developmental stages (Nieuwkoop and Faber, 1994). All experiments were
conducted with embryos in the NF stage 35-48 range, according to protocols approved by The Animal
Care Committee of the Montreal Neurological Institute and in accordance with Canadian Council on
Animal Care guidelines. *Xenopus laevis* sex cannot be determined visually pre-metamorphosis, and thus
the sex of experimental animals was unknown.

Method Details

Labelling of microglia

Stage 39/40 tadpoles were anesthetized with 0.02% MS-222 in 0.1X MBSH. A micropipette was back
filled with 1mg/ml Alexa 594 conjugated IB4-isolectin. Tadpoles received intracerebroventricular (icv)
injections to the 3rd ventricle. A minimum of 48 hours was allowed to pass before live imaging studies
were commenced to allow for binding and update of IB4-isolectin by microglial cells.

Labelling of brain structures

Stage 39/40 tadpoles were anesthetized with 0.02% MS-222 in 0.1X MBSH and were then labeled by icv
injection of CellTracker Green BODIPY (1 mM in 10% DMSO).

Transfection of RGCs by electroporation

Electroporation of plasmid DNA into RGC cells was performed as described previously (Ruthazer et al.,
2006, 2013a). In brief, stage 39/40 tadpoles were anesthetized with 0.02% MS-222 in 0.1X MBSH. A glass
micropipette was back filled with endotoxin free maxi-prep plasmid solution (2-3 μg/μl) and fast green
to visualize the injection. The micropipette was advanced into the eye, and DNA solution pressure
injected. The micropipette was then withdrawn, and parallel platinum electrodes were placed to bracket
the eye.

For bulk electroporation of RGCs, a Grass Instruments SD9 electrical stimulator was used to apply 4-6
pulses of 2.4 ms duration at 36 V. For single-cell electroporation of RGCs, 4-6 pulses of 1.6 ms duration
at 36 V was applied. A 3μF capacitor was placed in parallel to obtain exponential decay current pulses.
After 24-48 hours, labeled axons can be observed in the contralateral optic tectum.

The following plasmid constructs were used in this study: pCMV-eGFP; pEF1α-pHtdGFP; pEF1α-SYN-
pHtdGFP; pEF1α-aRCA3; pEF1α-aRCA3-mCherry-P2A-SYN-pHtdGFP; pEF1α-pHtdGFP-P2A-VAMP2-C3;
pEF1α-pHtdGFP-P2A-VAMP2

2-photon microscopy live imaging

2-photon live imaging of axons and microglia cells was performed as described previously (Ruthazer et
al., 2013b). Tadpoles were placed in a Sylgard 184 silicone imaging chamber with their dorsal side facing
up and were covered with a #1 thickness glass coverslip. 1 μm interval z-stacks of the optic tectum were
collected on a custom-built 2-photon microscope (Olympus BX61WI with Olympus FV300 confocal scan
head) outfitted with an Olympus 1.0 NA 60x water-immersion objective, or a Thorlabs multiphoton

resonant scanner imaging system outfitted with an Olympus 1.0 NA 20x water-immersion objective.
Excitation was produced using a Spectra-Physics InSight3X femtosecond pulsed laser. Images were
collected at 512x512 pixels with Fluoview 5.0 or ThorImage LS.

Real-time imaging
Stage 46-48 tadpoles were paralyzed by brief (2-8 min) immersion in freshly thawed 2 mM pancuronium
bromide in 0.1X MBSH. Animals were then maintained in 0.1X MBSH for imaging. Z-stacks were
collected at 6-minute intervals.

Daily imaging
Once per day, stage 43-47 tadpoles were anesthetized by immersion in 0.02% MS-222. Z-stacks were
collected, and animals were returned to 0.1X MBSH rearing solution.

Imaging of microglia and axons
When imaging microglia (Alexa 594 conjugated IB4-isolectin) and RGC axons (eGFP or pHtdGFP)
concurrently, excitation wavelength was set at 830 nm and a 565 nm emission dichroic was used in
conjunction with green (500-550 nm) and red (584-676 nm) filters for fluorescence emission detection
on separate photomultiplier tubes.

Imaging of microglia and brain structures
When imaging microglia (Alexa 594 conjugated IB4-isolectin) and brain structures (CellTracker Green
BODIPY), imaging was done separately. Imaging of Alexa 594 was first done with excitation wavelength
set to 810 nm and fluorescence emission detection through the red filter. Subsequently, imaging of
CellTracker Green BODIPY was performed at excitation wavelength 710 nm and the fluorescence
emission detected through the green filter.

Imaging of axons
When imaging RGC axons only (pHtdGFP), excitation wavelength was set to 910 nm and fluorescence
emission detected through the green filter.

2-photon laser-induced injury

Laser irradiation injury was carried out by focusing the 2-photon laser beam to a location within the
tectal neuropil. The 2-photon laser was scanned repeatedly over an approximately 10x10 μm region
under high laser power at wavelength 710 nm for approximately 10 seconds.

Microglial depletion

PLX5622 was dissolved in DMSO at 20 mM, aliquoted, and stored at -20°C. Thawed aliquots of PLX5622
were sonicated briefly for 1 minute before dilution in PEG 400. PEG400 solution was then further diluted
in a solution of 0.1X MBSH containing non-ionic surfactants poloxamer 407 and D-α-tocopherol
polyethylene glycol 1000 succinate to form a mixed micelle drug delivery vehicle (Guo et al., 2013). Final
vehicle rearing solution consisted of 2.5% polyethylene glycol 400, 0.08% poloxamer 407, 0.02% D-α-
tocopherol polyethylene glycol 1000 succinate, and 0.05% DMSO in 0.1X MBSH.

Initially, animals were reared in 0.1X MBSH. At stage 35-40, animals were transferred to vehicle rearing
solution with or without 10 μM PLX5622 for rearing. Rearing solutions were refreshed daily with newly
prepared drug and vehicle solutions.

<u>Microscopy image processing and analysis</u>

Real-time imaging
Microglia were labeled with Alexa 594 conjugated IB4-isolectin and axons were labeled with eGFP or
pHtdGFP. The red (microglia) channel was denoised with the 3D hybrid median filter plugin, and the
green (axon) channel was non-local means-denoised with CANDLE (Coupé et al., 2012). Time series were
registered by descriptor based series registration (Preibisch et al., 2010). For real-time trogocytosis
experiments, microglia 3D region of interests (ROIs) were generated using the 3D object counter plugin
(Bolte and Cordelières, 2006). Green fluorescence intensity within microglia 3D ROIs was measured
using the 3D ROI manager plugin (Ollion et al., 2013). Movies were generated using Imaris software or
ImageJ. For microglial mobility experiments, manual tracking of microglia was carried out using the
TrackMate plugin (Tinevez et al., 2017).

Trogocytosis assay
In stage 39/40 animals, microglia were labeled with Alexa 594 conjugated IB4-isolectin and axons were
labeled with plasmids encoding pHtdGFP or SYP-pHtdGFP. The optic tectum was imaged on day 4 (stage
46) and day 5 (stage 47) post-electroporation. Laser power and photomultiplier tube voltage was kept
constant on both days, and 150 μm thick z-stacks at 1 μm intervals were captured. The number of axons
was counted manually. Data was excluded if apoptotic bodies were detected, or if the number of
labeled axons fell from day 4 to day 5. An automated ImageJ macro script was used to calculate the
average microglial green fluorescence within the z-stack. The mode of the green (axon) channel was
calculated and subtracted for background removal. Microglia 3D ROIs were generated using the 3D
object counter plugin (Bolte and Cordelières, 2006). To automatically segmentate microglia, the mean
voxel intensity and standard deviation were calculated for the z-stack of the red channel. The 3D object
counter threshold was set to be the mean red value plus 2 standard deviations. A minimum threshold
size of 1500 voxels was used to exclude background voxels from analysis. The 3D ROI manager plugin
(Ollion et al., 2013) was then used to measure the green fluorescence density of each microglial cell
within the z-stack. Non-microglial ROIs such as melanophores were excluded, as well as microglia which
had overlapping voxels with the axon, and the average microglial green fluorescence density for the
microglia population in the z-stack was determined.

Microglia quantification
At stage 39/40, microglia were labeled by icv injection of Alexa 594-conjugated IB4-isolectin and brain
structures were labeled by icv injection with CellTracker Green BODIPY. 150 μm thick z-stacks of the
optic tectum at 1 μm intervals were collected daily on days 2–5 after the start of treatment. The number
of microglia within the optic tectum and microglia process numbers were manually counted by an
experimenter blind to treatment group.

Axon morphology analysis
Single RGC neurons were transfected with plasmid DNA as described above. 2-photon z-stacks were
captured daily from 2 to 5 days post-electroporation. Z-stacks were denoised with CANDLE (Coupé et al.,
2012), and were manually traced using Imaris 6 software by an experimenter blind to treatment group.
For visualization purposes, the 3D object counter (Bolte and Cordelières, 2006) and 3D ROI manager
(Ollion et al., 2013) plugins were used on z-stacks to segment and efface melanophores on the dorsal
dermal surface of the animal before maximum intensity projection.

Colocalization analysis
Single RGC neurons were electroporated with a plasmid encoding aRCA3-mCherry-P2A-SYP-pHtdGFP. 2-
photon z-stacks were captured on day 3 post-electroporation. aRCA3-mCherry (red) and SYP-pHtdGFP
(green) channels were captured concurrently at wavelength 990 nm. Red and green channels were
denoised using the 3D hybrid median filter ImageJ plugin. A 3D mask of the axon was generated by
summing the red and green channels and using an intensity threshold to segment the axon. Pearson's
correlation coefficient (Manders et al., 1993) of the masked axon was calculated using Imaris software,
with thresholds determined automatically using the method of Costes (Costes et al., 2004).

<u>Looming Stimulus Behavioral Assay</u>

Experimental setup
Stage 47 tadpoles (vehicle control or microglia-depleted) were placed within a closed 60 mm petri dish
and allowed to swim freely. The petri dish was placed on the bottom of a large shallow (20 cm diameter,
8 cm deep) glass culture dish filled completely with 0.1X MBSH. The large shallow glass culture dish was
placed on a purple 3D printed stage to allow for automated segmentation of albino tadpoles which are
predominately white and yellow colored. A webcam was placed above the tadpole to record tadpole
behavior, while a 2000 lumens projector customized by 3D printing to shorten the focal distance, was
used to project visual stimuli onto a white piece of paper taped to the side of the large glass culture
dish. Glare from ceiling lights was removed from webcam recordings by hanging a large black umbrella
over the setup.

Stimulus presentation and recording
Dark or bright looming stimuli were presented using custom code written in Python 3. An expanding
circle was drawn on the projector screen (800x600 pixels or 10.6x8 cm) using the PsychoPy library
(Peirce et al., 2019). For dark looming stimuli, a black (29 cd/m^2) expanding circle was drawn over a
white (208 cd/m^2) background. For bright looming stimuli, a white (208 cd/m^2) expanding circle was
drawn over a black (29 cd/m^2) background. During looming, the size of the circle expanded exponentially
at 10% per frame at 60 frames per second, from a diameter of 54 pixels (7 mm) until it encompassed the
entire screen 0.5 s later. After another 0.8 s, the screen was reset and a 10 s refractory period
commenced. In parallel to stimulus presentation, 480p video was recorded by webcam using the
OpenCV library (Bradski, 2000). Ten looming stimulus trials per animal were recorded.

Automated tracking of tadpole behavior
Custom computer vision tadpole tracking code was written using Python 3 and OpenCV (Bradski, 2000).
Feature detection on the petri dish was used to automatically determine the scale of video data.
Background was subtracted and thresholding was utilized to segmentate the tadpole, and the resulting
mask was fit to an ellipse to extract location and speed, as well as directional data and escape angle.
Location data from 0 – 2 s following the onset of the looming stimulus was summarized and displayed as
a contrail. Instantaneous velocity (activity) for the 3 s before and after the looming stimulus was also
extracted and compared by area under curve analysis.

Discrimination of positive and negative responses to looming stimuli
An automated python script was used to randomize tadpole videos and a user blinded to treatment
categorized tadpole responses to looming stimuli as defensive escape behavior (positive response),
absence of defensive escape behavior (negative response), or undeterminable (excluded from response
rate calculation). Typically, tadpole responses were categorized as undeterminable if the tadpole was

moving quickly when the looming stimulus was presented, making it difficult to discern whether
defensive behavior was elicited. The response rate was calculated as the number of positive responses
divided by the combined number of positive and negative responses.

Assessment of motor responses
Positive escape responses were further analyzed to compare motor data. Escape distance over 2
seconds from the onset of the loom was calculated from positional data, as well as the maximum escape
velocity. The initial escape angle was also measured from heading data. In dark looming stimuli trials,
the initial escape angle was defined as the absolute value of the change in heading from $0 - 0.6$ s. For
bright looming stimuli trials, the initial escape angle was defined as the absolute value of the change in
heading from $0 - 1.2$ s. The difference in measuring initial escape angle for dark and bright looming
stimuli is because dark looming stimuli evoked escape movement starting approximately 0.5 s after
looming onset, whereas responses to bright looming stimuli were more delayed and showed greater
variation in escape onset time, with the majority of responses having been initiated by 1.0 s.

<u>Bioinformatics analysis</u>

Identification of complement inhibitory proteins
To identify candidate complement inhibitory proteins, the STRING database was used to look for
functional interactions between complement C3 and other proteins. The STRING database assembles
information about known and predicted protein-protein interactions based on a number of different
sources and repositories (Szklarczyk et al., 2019). Human complement C3 was queried on the STRING
database using a medium confidence (0.4) minimum interaction score, and a limit of 10 and 20
interactors for the 1st and 2nd shell, respectively. Sources was limited to textmining, experiments and
databases. Proteins that are abundant in CCP modules were highlighted.

Expression of complement inhibitory proteins in human cortical cells
The Allen Brain Map Human Transcriptomics Cell Types Database (Allen Institute for Brain Science, 2015)
was used to examine human transcriptomics cell types for expression of candidate proteins identified
from the STRING query. This dataset was obtained from single-nucleus RNA-sequencing on
neurosurgical and postmortem human cortex tissue from six cortical regions (Hodge et al., 2019; Tasic et
al., 2018). Cell taxonomy and hierarchical clustering was retained from previous analysis (Hodge et al.,
2019). Gene expression of complement inhibitory proteins across distinct brain cell clusters was
examined and plotted using R software and the Bioconductor (Huber et al., 2015), ComplexHeatmap (Gu
et al., 2016), and ColorBrewer (Neuwirth, 2014) packages.

Homology search
The protein sequence of CD46 (NCBI accession #: NP_002380.3) was queried in the Xenopus laevis
genome with NCBI protein-protein BLAST (Altschul et al., 1990). The top hit was XP_018102062.1, a
predicted protein from the gene LOC108708165. Querying XP_018102062.1 in the Xenopus tropicalis
genome yielded the identity of the NP_001120599.1: Amphibian Regulator of Complement Activation
protein 3 (Oshiumi et al., 2009).

Conserved modular architecture analysis
The SMART protein domain research tool (Letunic and Bork, 2018; Schultz et al., 1998) was used to
determine and compare conserved modular architecture of human CD46 and X*enopus laevis* aRCA3.

Transmembrane topology
The Phobius transmembrane topology tool (Käll et al., 2004, 2007) was used to compare the
transmembrane topology of aRCA3 and CD46.

Kinase prediction
The NetPhos 3.1 tool (Blom et al., 2004) was used to make predictions of tyrosine phosphorylation sites
on the intracellular C-terminus of aRCA3. A cutoff score of 0.6 was used.

RNAscope *in situ* hybridization

Tissue preparation
The RNAscope (Wang et al., 2012) fixed-frozen tissue sample preparation and pretreatment protocol
provided by the manufacturer was modified for *Xenopus laevis* tadpoles. Stage 46 tadpoles were
euthanized in 0.2% MS-222 in 0.1X MBSH. Tadpoles were then fixed in 4% PFA at 4°C for 24 hours on a
laboratory rocker. For cryoprotection, tadpoles were then moved sequentially through 10%, 20% and
30% sucrose in 1X PBS, until samples sunk to the bottom of the container. Cryoprotected tadpoles were
then embedded in OCT blocks on dry ice. OCT blocks were sectioned at 8 μm thickness on a cryostat and
mounted on superfrost plus slides. To enhance tissue adhesion, slides were air dried for 2 hours at -20°C
and baked at 60°C for 30 minutes. Slides were then post-fixed by immersion in 4% PFA for 15 minutes,
and then dehydrated with 50%, 70% and 100% ethanol. Slides were treated with hydrogen peroxide to
block endogenous peroxidases. For target retrieval, using a hot plate and beaker, slides were boiled in
RNAscope target retrieval reagent and then treated with RNAscope Protease III.

RNAscope assay
The RNAscope Multiplex Fluorescent 2.0 Assay was performed according to manufacturer's protocols
using the HybEZ oven. In brief, probes were applied to slides, and 3 amplification steps were carried out.
Opal 570 dye was applied to slides along with DAPI counterstain. Coverslips were mounted with Prolong
gold antifade mountant. Slides were imaged with a Leica TCS SP8 confocal.

Molecular biology

cDNA library
Stage 40 Tadpoles were euthanized in 0.2% MS-222 in 0.1X MBSH. Animals were transferred to TRIzol
Reagent and homogenized by sonication and processed according to manufacturer's protocols to isolate
mRNA. Superscript IV reverse transcriptase was then used according to manufacturer's protocol to
generate whole tadpole cDNA.

Cloning and plasmid isolation
Cloning of *Xenopus laevis* cDNA was carried out using primers flanking genes of interest. Primers were
generated according to mRNA sequences for aRCA3 (XM_018246573.1), VAMP2.S (NM_001087474.1),
and c3.L (XM_018253729.1) predicted by NCBI (NCBI Resource Coordinators, 2018) and Xenbase (Karimi
et al., 2018). Synaptophysin was subcloned from a mouse fluorescent protein tagged synaptophysin
(Ruthazer et al., 2006). pHtdGFP was subcloned from a pFA6a plasmid (Roberts et al., 2016). PCR was
performed with Phusion High-Fidelity DNA polymerase and DNA fragments were cut with NEB enzymes
and ligated into a plasmid with an EF1α promotor, ampicillin resistance, and a P2A self-cleaving
construct when appropriate. DH5α bacteria were transformed and single clone colonies containing

inserts were isolated. Endotoxin free plasmid preparations of high yield and purity for electroporation
was prepared by maxi-prep.

Design of fusion proteins
The SYN-pHtdGFP fusion protein was created by in-frame fusion of pHtdGFP to the C-terminus of SYN
between a 3 amino acid linker (QGT). The aRCA3-mCherry fusion protein was created by an in-frame
fusion of mCherry to the C-terminus of aRCA3 between a 2 amino acid linker (AC). The VAMP2-C3 fusion
protein was created by an in-frame fusion of C3 to the C-terminus of VAMP2 between an 18 amino acid
linker (ASIKSPVQPLSAHSPVCI). The long linker for VAMP2-C3 was chosen to ensure that the C-terminus
transmembrane domain of VAMP2 would not sterically hinder proper folding of C3.

<u>Statistical analysis</u>

Statistical analysis was performed using Graphpad Prism 8 software. All data is presented as mean ±
SEM, unless otherwise noted.

Pairwise comparisons
Pairwise comparisons between two groups were tested by unpaired t-test.

Group data
If no datapoints were missing, 2-way repeated measures ANOVA was used to test group data unless a 3-
way design was appropriate. If an interaction was found, the interaction was reported and Sidak's
multiple comparison test was used to test between groups or time points as appropriate. If no
interaction was found, then the main effect was reported. Conversely, if the data contained missing
values (due to loss of animals during a time course), the mixed effect restricted maximum likelihood
model was used which is compatible with missing values. If a 3-way design was appropriate, 3-way
repeated measures ANOVA was carried out. Post-hoc tests comparing all means to other means were
corrected for multiple comparisons using the two-stage step-up method of Benjamini, Kriger, and
Yekutieli (Benjamini et al., 2006) with a false discovery rate of 0.05.

Linear regression
All regression analysis in this report was carried out with simple linear regression.

Data and Code Availability

The documented source code and user guide for the looming stimulus presentation and tadpole tracking
software module, XenLoom (Beta), developed in this study is made available at
https://github.com/tonykylim/XenLoom_beta.
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1105 **Highlights and eTOC Blurb**

1106 • Microglia trogocytose axons *in vivo*
1107 • Microglia depletion alters the behavioral responses to visual looming stimuli
1108 • Axonal arborization is enhanced by microglial depletion or overexpression of aRCA3
1109 • Axonal arborization is reduced by expression of VAMP2-C3

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