1	Nanopore amplicon sequencing reveals molecular convergence and local adaptation of opsin

- 2 genes
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11 Keywords: Genomics, genotyping, nanopore, long-read sequencing, parallel evolution, vision.

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16 Abstract:

17 Local adaptation can drive diversification of closely related species across environmental gradients and promote convergence of distantly related taxa that experience similar conditions. 18 19 We examined a potential case of adaptation to novel visual environments in a species flock 20 (Great Lakes salmonids, genus *Coregonus*) using a new amplicon genotyping protocol on the 21 Oxford Nanopore Flongle. Five visual opsin genes were amplified for individuals of C. artedi, C. 22 hovi, C. kivi, and C. zenithicus. Comparisons revealed species-specific differences in the coding 23 sequence of *rhodopsin* (Tyr261Phe substitution), suggesting local adaptation by C. kiyi to the 24 blue-shifted depths of Lake Superior. Parallel evolution and "toggling" at this amino acid residue 25 has occurred several times across the fish tree of life, resulting in identical changes to the visual 26 systems of distantly related taxa across replicated environmental gradients. Our results suggest 27 that ecological differences and local adaptation to distinct visual environments are strong drivers 28 of both evolutionary parallelism and diversification.

30 Introduction:

31	Local adaptation to novel environments presents a mechanism that can drive genetic and						
32	phenotypic differentiation among closely related organisms. Diversification may occur as						
33	populations become locally adapted to distinct conditions, leading to the divergence of traits that						
34	are beneficial in each lineage's preferred environment. Conversely, a trait may be sufficiently						
35	advantageous in a particular environment that multiple distantly related taxa converge upon it, in						
36	some cases due to the same mutation or amino acid substitution occurring independently, i.e.,						
37	parallel evolution (Zhang and Kumar 1997, Futuyma and Kirkpatrick 2017). For example,						
38	parallel substitutions have occurred in myoglobin in pinnipeds and cetaceans (Romero-Herrera et						
39	al. 1978), lysozyme in ruminants and colobine monkeys (Stewart et al. 1987) and rhodopsin in						
40	fishes colonizing brackish or freshwater ecosystems (Hill et al. 2019). In this study, we examined						
41	a specific case of local adaptation in the teleost visual system that has led to diversification						
42	among similar taxa and parallel evolution among distantly related fishes.						
42 43	among similar taxa and parallel evolution among distantly related fishes. Due to their importance in ecological interactions and their dynamic evolutionary history,						
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opsin genes with different spectral tuning (e.g., rod opsin copy number expansions in deep-sea
fishes [Musilova et al. 2019], and expansions of cone opsin families in shallow-water fishes
[Weadick and Chang 2007]).

56 The cisco species flock (genus *Coregonus*) of the Laurentian Great Lakes present a well-57 suited opportunity to study local adaptation of the visual opsin repertoire to novel photic 58 environments based on depth differences (Harrington et al. 2015). The four extant cisco species 59 in Lake Superior show generally low levels of interspecific variation across the genome 60 (Turgeon and Bernatchez 2003, Turgeon et al. 2016, Ackiss et al. 2020) despite considerable 61 differences in depth preferences (Eshenroder et al. 2016, Rosinski et al. 2020). C. artedi is 62 typically epilimnetic (10-80 m), C. hoyi and C. zenithicus are both found at intermediate depths 63 (40-160 m), and *C. kiyi* can be found at depths of 80 to >200 m (Eshenroder et al. 2016). Despite 64 the overall weak genetic divergence among species of the complex, we hypothesized that 65 divergent selection may act to tune opsins to maximally absorb wavelengths of light that 66 penetrate to each species' preferred depth allowing for prey capture and predator avoidance, 67 leading to measurable genetic differentiation in these species' opsin genes. Here we assess the 68 evolution of five visual opsins in the *Coregonus* species flock to better understand mechanisms 69 underlying their evolution across a depth gradient. Our aim was to explore both local adaptation 70 to different photic conditions among the closely related *Coregonus* species, and to determine if 71 parallel changes at key spectral tuning sites have occurred among our *Coregonus* species and 72 more distantly related fish taxa.

73 New Approaches:

74 Oxford Nanopore sequencing is contributing to a rapidly expanding toolkit for DNA
75 sequencing, owing to low up-front costs, enhanced ability to detect DNA or RNA base

76 modifications, and read lengths limited only by input nucleic acids. Nanopore sequencing allows 77 for straightforward haplotyping, as whole molecules can be sequenced for each amplicon with no 78 need for assembly. This approach has been successfully applied to microbial metabarcoding and 79 pathogen identification (Shin et al. 2016, Moon et al. 2018, Rames and Macdonald 2018), as well 80 as human genotyping (Cornelis et al. 2017, Cornelis et al. 2019). As flow cell quality and base-81 calling algorithms have improved, the accuracy and functionality of nanopore amplicon 82 sequencing have rapidly expanded. Yet, its application to single nucleotide polymorphism (SNP) 83 genotyping in non-human eukaryotes with large and complex genomes remains relatively 84 unexplored. In particular, a key open question is whether accurate genotypes can be obtained and 85 the coverage depth needed to do so.

In the present study, we sequenced amplicons of five teleost opsin genes in a total of 80 samples on the Oxford Nanopore Flongle device. In combination with the PCR Barcoding Expansion 1-12 (Oxford Nanopore Technologies), we sequenced and genotyped 12 individuals simultaneously on a single Flongle flow cell, following the pipeline shown in Figure 1 (for a complete protocol, see Supplementary File S1). To the best of our knowledge, the present study is one of the first to demonstrate the accuracy and utility of amplicon sequencing with the Oxford Nanopore Flongle for SNP genotyping eukaryotic samples.

93 **Results and Discussion:**

A preliminary assembly of the de novo transcriptome of *Coregonus artedi* (NCBI
Bioproject XXXX) was used as a reference to extract gene sequences of: *long-wave sensitive*(*LWS*), *short-wave sensitive 1* (*SWS1*), *short-wave sensitive 2* (*SWS2*), *rhodopsin* (*RH1*), and *rhodopsin 2* (*RH2*), representing one gene from each teleost opsin subfamily. For each of the five
genes of interest, a fragment approximately 700-2100 bp in length was amplified for 18 samples

99 of C. artedi, 19 C. hoyi, 21 C. kiyi, and 16 C. zenithicus (Tables 1, S1, S2). All amplicons from a 100 single individual were assigned a specific barcode and were pooled into a library containing 101 genes from 12 samples, which were sequenced simultaneously on a single Flongle flow cell 102 (Figure 1). This process was then repeated until amplicons from all samples were sequenced. 103 After sequencing, sample-specific barcodes were detected and trimmed using Guppy v3.2.4 104 (Oxford Nanopore Technologies) with the command *guppy_barcoder*, and reads from each 105 sample were mapped with BWA v0.7.17 using the command *bwa mem* (Li 2013), with version 106 one of the *Corgeonus sp. "balchen"* genome assembly as a reference (De-Kayne et al. 2020; 107 GCA 902810595.1). An annotated bash script detailing the entire bioinformatic pipeline is 108 available from Github (https://github.com/KrabbenhoftLab/rhodopsin). 109 On average, Flongle sequencing runs yielded a total of 206.13 Mb (±166.64 Mb; 26.84-110 471.50 Mb), with an average of 184,958 reads (±154,877 reads; 23,468-435,138 reads), though 111 yield varied based on flow cell quality (flow cells used were early release and had low starting 112 pore counts). The average sequence N50 was 1,117 bp (± 305 bp; 897-1,852 bp), with read length 113 abundances peaking at the approximate lengths of our amplicons (Figure 1). After resequencing 114 genes with low coverage following first-round sequencing, the average coverage was 3,199.58x 115 across all five genes (±4,804.24x, 10.47-31,158.31x; Table 1). Coverage varied slightly by 116 species, but this is likely an artifact of stochastic differences in PCR efficiency and sequencing 117 yield (Table S3). Amplicon reads mapped uniquely (i.e., one genomic region per amplicon) to 118 the C. sp. "balchen" genome, providing no evidence for CNVs in opsin genes among Coregonus 119 species.

To verify the accuracy of nanopore amplicon genotyping, we performed a rarefaction
analysis in which SNPs were called at various levels of coverage (i.e., maximum, 2,000, 1,000,

122 500, 250, 100, 75, 50, and 25x) in BCFtools v1.9 using the command *bcftools mpileup* (Li 2010, 123 Li 2011, Danecek et al. 2014). The option -d was used to specify the maximum per-sample 124 depth. The SNP calls from nanopore data were then compared with Sanger sequences of 125 *rhodopsin* for the same individuals. While accuracy remained high at all sequencing depths 126 (>90%), we found incongruencies in a small proportion of samples between 10x and 75x. Only 127 when reaching 100x coverage were genotypes called with complete accuracy for all individuals, 128 in relation to Sanger sequences. Considering that small errors can impact the results of analyses 129 involving amplicons with few variant sites, we recommend a minimum per-amplicon coverage 130 of 100x for future work.

The genotyping approach used in this study was conservative, as the goal was to assess the coverage needed for accurate genotyping on a Flongle flow cell. Based on our findings, this approach could be used for higher throughput sequencing, which could involve more amplicons, more individuals, or a combination of both. Considering that we generated approximately 200 Mb of sequence data per run, one can calculate the number of individuals and amplicons that can be sequenced simultaneously at 100x using the following formula:

 $200,000,000 \ bp = 100 * A * N * N_A$

Where *A* is the amplicon size (in bp), *N* is the number of samples to be sequenced
simultaneously, and *N_A* is the number of amplicons to be sequenced per sample. To optimize
throughput for the maximum number of samples, the PCR Barcoding Expansion 1-96 (EXPPBC096, Oxford Nanopore Technologies) can be employed to generate sequence data for 96
samples simultaneously. Assuming an average amplicon size of 1,000 bp, one could sequence 20
amplicons across 96 samples in a single 24-hour Flongle sequencing run for approximately \$300,
or \$0.16 per genotype (Table S4). The use of a MinION flow cell (not analyzed here) would

increase output by a factor of ~16x (based on differences in number of total pores) and reduce
the cost per genotype overall. With the growth of nanopore sequencing, these conservative cost
estimates are expected to drop in upcoming years.

147 The average F_{ST} of SNPs in the five opsins analyzed across four species was 0.055 (Table 148 2). The only large differences ($F_{ST} > 0.4$) were found in four SNPs detected within the coding 149 sequence of *rhodopsin*, with no highly differentiated SNPs among the four cone opsins. This 150 suggests that differences in dim-light vision and changes in *rhodopsin* could be driving local 151 adaptation by depth. Of the four high F_{ST} SNPs, one ($F_{ST} = 0.44$) was synonymous. One SNP 152 $(F_{ST} = 0.44)$ resulted in a shift from asparagine to histidine at amino acid residue 100, which is 153 located near the C-terminal end of transmembrane helix two, possibly in the extracellular matrix 154 (Figures 2a, 2b; see also Yokoyama 2000). Another ($F_{ST} = 0.44$) resulted in a change from value 155 to isoleucine at residue 255, which is located in transmembrane helix six, facing away from the 156 retinal binding pocket (Figures 2a, 2b, see also Baldwin 1993, Hunt et al. 1996). Neither residue 157 100 nor 255 are known to be key spectral tuning sites in *rhodopsin* (Yokoyama 2000), but site-158 directed mutagenesis experiments to determine the effect of these substitutions on the absorbance 159 spectrum should be conducted in the future. All three of these SNPs possess the exact same F_{ST} 160 and changes in genotype were completely consistent across all samples, suggesting that these 161 sites are tightly linked.

162 The most strongly segregating SNP ($F_{ST} = 0.88$) occurred at amino acid residue 261 of 163 *rhodopsin*, which is located in transmembrane helix six, facing the retinal binding pocket of the 164 protein (Figures 2a, 2b, see also Baldwin 1993, Hunt et al. 1996, Yokoyama 2000). *Coregonus* 165 *artedi*, *C. hoyi*, and *C. zenithicus*, inhabitants of a red-shifted light environment, were primarily 166 homozygous for tyrosine (Figure 3). This amino acid substitution is known to cause an 8 nm red167 shift in the absorbance spectrum (Yokoyama et al. 1995). Meanwhile, C. kiyi, which inhabits the 168 blue-shifted deeper waters of Lake Superior, was completely homozygous for phenylalanine, 169 which does not produce a similar red-shift in photic absorbance (Figure 3; Yokoyama et al. 170 1995). Genotypic associations at this locus vary consistently across the depth gradient (Figure 3), 171 providing evidence that C. kiyi is adapted to life in deep water after evolving from shallow-water 172 ancestors. This hypothesis is further corroborated by phenotypic data, as C. kiyi have 173 significantly larger eye diameters (as a proportion of total head length) than C. artedi (p < 174 0.001), C. zenithicus (p < 0.001), and C. hoyi (p < 0.001), consistent with Eshenroder et al. 175 (2016) (Figure S1). The predictable variation of both genetic and morphological traits along the 176 axis of the depth gradient provides key evidence that local adaptation by depth accompanies 177 diversification of Lake Superior ciscoes (Figure S2).

178 Hill et al. (2019) examined the shift between the two aforementioned amino acids at 179 *rhodopsin* residue 261 in a deep phylogenetic context, suggesting that many lineages, including 180 salmonids, are likely derived from a marine ancestor possessing the allele encoding the blue-181 shift-associated 261Phe. Additionally, Hill et al. (2019) found that fish lineages which have 182 undergone a habitat change from blue-shifted marine waters to red-shifted brackish or freshwater 183 have independently converged on the red-shift-associated 261Tyr phenotype over 20 times 184 across the fish tree of life. Here, we show that the exact same substitution has occurred in Great 185 Lakes ciscoes, as the 261Tyr phenotype is predominant among *Coregonus artedi*, *C. hovi*, and *C.* 186 *zenithicus*, which inhabit the red-shifted shallow water of Lake Superior. This finding supports 187 the hypothesis that local adaptation to a novel visual environment is driving parallel molecular 188 evolution across the fish tree of life. Interestingly, it appears that deep-water C. kiyi has 189 undergone a reversal to the blue-shifted marine ancestral state (261Phe) after more than 100

190 million years indicating that *rhodopsin* residue 261 may be able to "toggle" (*sensu* Delport et al.

191 [2008]) between these two amino acids depending on what is advantageous in a particular photic

192 environment, even across incredibly long time scales.

193 Conclusions:

The present study provides evidence of the utility of the Oxford Nanopore Flongle device for genotyping complex eukaryotic samples by long-read amplicon sequencing. The protocol described is simple and reliable, and offers the promise of rapid, low-cost genotyping in nonmodel organisms. This methodology was employed here to understand the genetic basis of local adaptation and ecological differentiation among Great Lakes ciscoes.

199 The results of this study indicate that local adaptation to distinct visual environments is 200 associated with genetic and morphological differentiation among the closely related ciscoes of 201 the Great Lakes. The identification of several high F_{ST} SNPs in *rhodopsin*, including Phe261Tyr, 202 is particularly relevant, as the shifts between these two amino acids at residue 261 are identical to 203 those observed across similar depth gradients in phylogenetically-distant fishes (Hill et al. 2019). 204 This result suggests that evolutionary parallelism via single-nucleotide changes at this site is 205 driving phenotypic covergence of distantly related groups exposed to similar photic 206 environments. Additionally, the discovery of a reversal to the ancient ancestral state in C. kivi at 207 this site provides evidence of genetic toggling, whereby organisms may be able to transition bi-208 directionally between different states at this site in response to environmental pressures. This 209 result is striking because the genetic background is persumably very different across these taxa 210 after more than 100 million years of divergence. In addition, the potential for epistatic 211 interactions is expected to be increased across such deep phylogenetic splits, further reducing the 212 likelihood of parallel evolution (Storz 2016). The observation of amino acid toggling in Great

Lakes *Coregonus* species stands in stark contrast to the general prediction that evolution can only
reverse itself after short time periods (Storz 2016; Blount et al. 2018).

215

216 Acknowledgements

217 We thank the U.S. Geological Survey Research Vessel Kiyi Captain Joe Walters, First Mate

218 Keith Peterson, and Engineer Charles Carrier, as well as Dan Yule, Mark Vinson, Lori Evrard,

219 Anders Nyman, and the USGS Lake Superior Biological Station and Ontario Ministry of Natural

220 Resources and Forestry for assistance with sample collection. Jessie Pelosi provided critical

assistance with data analysis. Mary Alice Coffroth, Tianying Lan, Wendylee Stott, Andrew

222 Muir, Thomas Dowling, Hannah Waterman, Victor Albert, Vincent Lynch, and Omer Gokcumen

223 provided valuable assistance or feedback on the study. Jessica Poulin coordinated the University

at Buffalo Honors Program in Biological Sciences that facilitated this research. This work was

supported by the Great Lakes Fishery Commission (Award #2018_KRA_44073 to TJK), the

226 University at Buffalo Department of Biological Sciences (Philip G. Miles Fellowship to KME),

and the University at Buffalo Honors College (Award to KME).

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- sequence level. Mol Biol Evol. 14(5): 527-536.

314 Tables:

- **Table 1.** Average coverage and fragment length for the five amplified opsin genes on the Flongle
- 316 platform.

Gene name	Average coverage	Fragment length (bp)		
LWS	722	1879		
RH1	5409	763		
RH2	846	2079		
SWS1	4734	815		
SWS2	4237	960		

317

- **Table 2.** Pairwise Weir-Cockerham F_{ST} estimates across species for all genes (above diagonal)
- 319 and for *rhodopsin* only (below diagonal).

Species	C. artedi	C. hoyi	C. kiyi	C. zenithicus		
C. artedi	-	0.042	0.092	0.049		
C. hoyi	0.26	-	0.056	0.005		
C. kiyi	0.68	0.34	-	0.068		
C. zenithicus	0.26	0	0.34	-		

320

322 Figures:

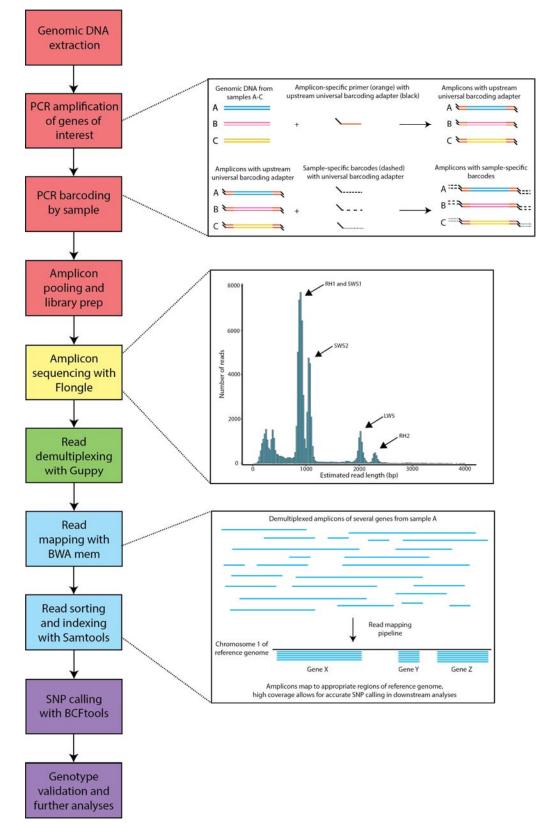
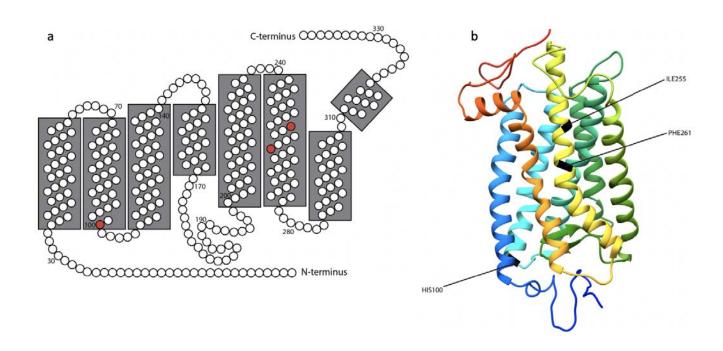
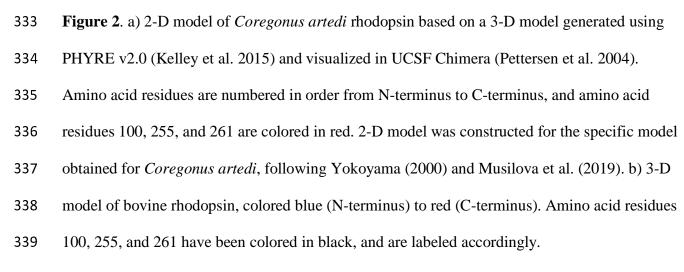


Figure 1. Summary of steps for amplicon sequencing and bioinformatic analyses. Boxes on the

- 325 left represent individual steps, color-coded based on their phase: red represents sample
- 326 preparation, yellow represents nanopore sequencing, green represents sample demultiplexing,
- 327 blue represents read mapping, and purple represents genotyping and analysis. Larger boxes to the
- right show additional information for each of the steps: the simplified mechanisms by which
- 329 amplicons are generated and barcoded (top); frequency histogram with read length on the x-axis
- and number of reads in the y-axis (middle); and how reads are mapped to the reference genome

331 (bottom).





340

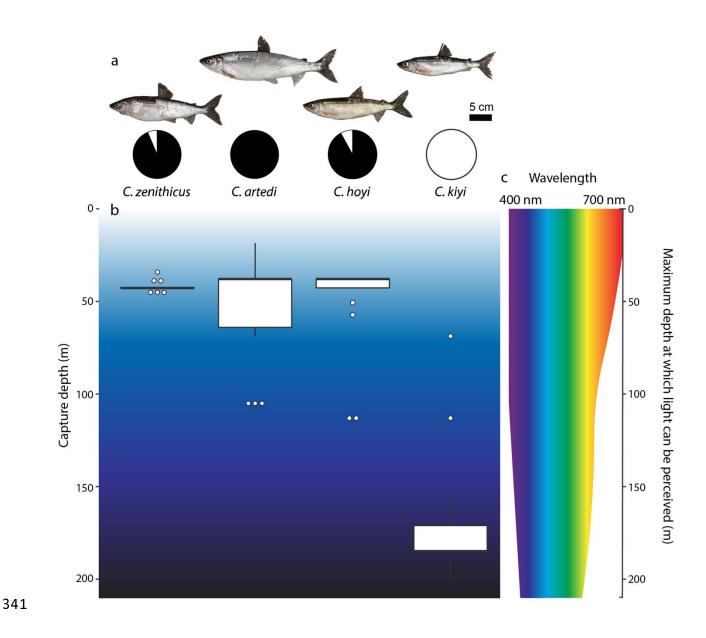


Figure 3. a) The four cisco species included in this study: *Coregonus zenithicus*, *C. artedi*, *C. hoyi*, and *C. kiyi* (left → right). Pie charts below each photo indicate the allele frequency at
residue 261 of *rhodopsin*, where black represents the allele coding for tyrosine and white
represents the allele coding for phenylalanine. b) Boxplots indicating the approximate capture
depths of samples from each of the four species. c) Visible light spectrum, from approximately
400-700 nm wavelength. The narrowing of the spectrum with increased depth shows how the

- ability of organisms to perceive certain wavelengths of light diminishes with increasing depth,
- 349 particularly with red and orange light (following Harrington et al. 2015).