1	Metagenomic characterization of soil microbial communities in the Luquillo experimental
2	forest (Puerto Rico) and implications for nitrogen cycling
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## 24 ABSTRACT

25

26 The phylogenetic and functional diversity of microbial communities in tropical rainforests, and 27 how these differ from temperate communities remain poorly described but are directly related to 28 the increased fluxes of greenhouse gases such as nitrous oxide  $(N_2O)$  from the tropics. Towards 29 closing these knowledge gaps, we analyzed replicated shotgun metagenomes representing 30 distinct life zones from four locations in the Luquillo Experimental Forest (LEF), Puerto Rico. 31 These soils had a distinct microbial community composition and lower species diversity when 32 compared to temperate grasslands or agricultural soils. Unlike temperate soils, LEF soils showed little stratification with depth in the first 0-30cm, with ~45% of community composition 33 34 differences explained solely by location. The relative abundances and nucleotide sequences of 35  $N_2O$  reductases (*nosZ*) were highly similar between tropical forest and temperate soils. However, 36 respiratory NO reductase (norB) was 2-fold more abundant in the tropical soils, which might be 37 relatable to their greater  $N_2O$  emissions. Nitrogen fixation (*nifH*) also showed higher relative 38 abundance in rainforest compared to temperate soils (20% vs. 0.1-0.3% of bacterial genomes in 39 each soil type harbored the gene, respectively). Collectively, these results advance our 40 understanding of spatial diversity and metabolic repertoire of tropical rainforest soil 41 communities, and should facilitate future ecological modeling efforts.

42

#### 43 **Importance:**

44 Tropical rainforests are the largest terrestrial sinks of atmospheric CO<sub>2</sub> and the largest natural
45 source of N<sub>2</sub>O emissions, two critical greenhouse gases for the climate. The microbial
46 communities of rainforest soils that directly or indirectly, through affecting plant growth,

47	contribute to these fluxes remain poorly described by cultured-independent methods. To close
48	this knowledge gap, the present study applied shotgun metagenomics to samples selected from 3
49	distinct life zones within the Puerto Rico rainforest. The results advance our understanding of
50	microbial community diversity in rainforest soils and should facilitate future studies of natural or
51	manipulated perturbations of these critical ecosystems.
52	
53	INTRODUCTION
54	
55	Soil microbiomes are one of the most complex ecosystems owing to microenvironments
56	and steep physicochemical gradients, which can change on a micrometer or millimeter scale (1-
57	3). Temporal and spatial heterogeneity, demographic stochasticity, ecotype mixing, dispersion
58	and biotic interactions are the major drivers of soil microbial diversity in these ecosystems (4, 5).
59	The formation of such "metacommunities" coupled with biogeography and other edaphic factors
60	greatly influence the functional and taxonomic profile of a soil ecosystem at any given location
61	(6).
62	Tropical rainforests ("forests" hereafter) are characterized by humid and wet climate
63	patterns and account for a large portion of the world's total forest cover (7). These forests have
64	high levels of primary productivity (~30% of the total global production) due to large amounts of
65	precipitation coupled with year-long warm temperatures and high levels of light (8).
66	Consequently, high levels of biodiversity are observed in these forest soils with unique microbial
67	genotypic signatures being exclusive to this habitat/location, along with only a few cosmopolitan
68	taxa that are shared with other (non-tropical forest) habitats (9, 10). Although tropical forest soils
69	are critical ecosystems that host a plethora of distinct ecological niches, little is known about the

70	metabolic potential of tropical soils, especially, across elevation and depth gradients. Describing
71	this metabolic diversity is important for studying and monitoring the microbial activities related
72	to greenhouse gas fluxes, namely, nitrous oxide ( $N_2O$ ) and carbon dioxide ( $CO_2$ ) from the
73	tropical soils (11).
74	Notably, tropical forests represent the largest terrestrial sinks of atmospheric CO <sub>2</sub> and the
75	largest natural source of $N_2O$ emissions (12-15). Natural soils have been reported to contribute
76	over 43% of the total global $N_2O$ emissions, with tropical ecosystems being the highest
77	contributors, having 2 to 4 times higher contributions compared to natural temperate ecosystems
78	(16-19). These soils are also responsible for about 70% of terrestrial nitrogen fixation, which
79	underlies, at least in part, their high rates of net primary productivity (11, 20).
80	Microbially-mediated nitrification and denitrification are the biotic processes contributing
81	the most to global $N_2O$ soil emissions (60-70%) (19, 21, 22), although chemodenitrification, i.e.,
82	ferrous iron generated by ferric iron-reducing bacteria reacting with nitrite to produce $N_2O$
83	abiotically, is also likely high in iron-rich tropical soils (23). In soils, $N_2O$ is biologically
84	produced as a result of incomplete nitrification, DNRA (dissimilatory nitrite reduction to
85	ammonium) or denitrification respiratory pathways (22, 24, 25). Respiratory nitric oxide
86	reductase ( <i>nor</i> ) is a key contributor to the microbial production of $N_2O$ and is commonly
87	encoded in the genome of denitrifying bacteria as well as some ammonia-oxidizing organisms
88	(22, 26-30).
89	While both biotic and abiotic processes contribute to $N_2O$ production, consumption of
90	$N_2O$ is exclusively mediated by microbial $N_2O$ reductase (NosZ) activity (31-34). Yet, whether
91	the denitrifying microorganisms in these soils differ from their counterparts in temperate soils
92	and, if their functional genes present in the community reflect the high nitrogen fluxes, remain

93 unanswered questions despite their apparent importance for better management and modeling of 94 tropical soil ecosystems. It has also been demonstrated that tropical forests have significantly 95 higher rates of nitrogen fixation (~70% of total terrestrial nitrogen fixation) compared to other 96 ecosystems, significantly affecting the nitrogen budgets in these ecosystems (3, 35-37). For 97 instance, higher rates of nitrogen fixation in soils have been linked to nitrous oxide emissions (N 98 loss) due to reduced N retention capacities (11, 38, 39). How these ecosystem rates translate to 99 the nitrogen-fixing microbial (sub)community diversity and gene potential remains unclear. 100 The Luquillo Experimental Forest (LEF), also known as the El Yunque National Forest in 101 Puerto Rico (PR), has been a long term ecological research (LTER) site since 1988. The site is 102 dedicated to the assessment of the effects of climate drivers on the biota and biogeochemistry. 103 The forest has been subjected to several disturbance regimes over the last few decades, mostly 104 natural and -to a smaller extent- anthropogenic such as tourism and experimental manipulations 105 (40, 41). This site encompasses distinct "life zones" characterized by sharp environmental 106 gradients even across small spatial scales (40, 42, 43). The broad life zones based on the 107 Holdridge classification system include the rain forest, wet forest, lower montane wet forest, and 108 lower montane rain forest. These life zones are distinguished by elevation, temperature and 109 rainfall patterns in addition to other edaphic factors (44-47). The elevation and rainfall patterns 110 also tend to influence oxygen availability, redox potential, nutrient uptake and organic 111 decomposition rates (44, 47, 48). The dynamic interplay of existing physicochemical gradients 112 and climatic factors gives rise to a complex mosaic of biodiversity patterns observed in this 113 forest (45). Hence, LEF represents an ideal environment to study tropical microbial community 114 diversity patterns and their impacts on carbon and nitrogen cycling. The four sampling sites of 115 this study were chosen to represent the distinct vegetation and life zones within the LEF.

116	Previous studies in the LEF, and similar forest regions, have mostly focused on the
117	effects of redox dynamics, litter decomposition, nitrogen (N) and other nutrient fertilization on
118	microbial community activity through enzyme assays. Few studies have examined microbial
119	diversity patterns across an elevation gradient and were only based on low-resolution techniques
120	such as terminal restriction fragment length polymorphism analysis (14, 49-53). Furthermore,
121	studies linking marker-gene abundances (related to nitrogen cycling) with in-situ flux
122	measurements showed very high $N_2O$ fluxes in the forest soils (54). However, the <i>nosZ</i> primers
123	targeted only the typical (Clade I) clades, thereby introducing a primer bias, which can be
124	circumvented by employing metagenomic analyses.
125	With recent developments in next generation DNA sequencing and associated
126	bioinformatics binning algorithms, near-complete metagenome-assembled genomes (MAGs) can
127	been recovered without cultivation (55, 56), opening new windows into studying soil microbial
128	communities. Here, shotgun metagenomes originating from soils from the four different
129	locations/life zones and three different depths in the LEF were analyzed to describe the microbial
130	community diversity, biogeographical patterns, and metabolic potential differences across
131	samples. Furthermore, the metagenomic data obtained from these soils were also compared to
132	similar data from temperate grasslands in Oklahoma (OK) (57) and agricultural soils from
133	Illinois (IL), USA (56) obtained previously by our team. By analyzing near-complete MAGs, we
134	show that the most abundant microbial population (based on number of reads recruited) at each
135	of the sampling locations represent sequence-discrete populations, similar to those observed in
136	other habitats (58). Using such sequence-discrete populations as the fundamental unit of
137	microbial communities, we subsequently assess the population distribution at high resolution

across the sampling sites (biogeography) and the gene content they encoded, with a focus onnitrogen metabolism.

140

141 **RESULTS** 

142

#### 143 **Diversity of forest microbial communities**

144 The LEF soil communities were compared to those of intensively studied ecosystems, 145 namely the Oklahoma temperate grassland (OK) (57, 59) and Illinois agricultural soils (IL) (56), 146 which were previously characterized with similar shotgun metagenomics approaches. Shotgun 147 metagenomic sequencing recovered a total of 370 million reads across the 4 sites (Suppl. Table 148 S2). Nonpareil 2.0 (60) was used to estimate sequence coverage, i.e., what fraction of the total 149 extracted community DNA was sequenced. Nonpareil analysis of community diversity (Suppl. 150 Fig. S1) showed that the agricultural Urbana (IL) site had the highest diversity of all the soils 151 compared (NP diversity 24.02; note that NP values are given in log scale), and consequently, the 152 lowest sequence coverage at (only) 37.23%. El Verde and Pico del Este (20-30cm) were the least 153 diverse or most completely sequenced with 87.1% and 73.4% coverage respectively (NP 154 diversity of 19.6 and 20.6 respectively or about 2-3 orders of magnitude less diverse). Overall, 155 OK and IL soils appear to be more diverse than the PR soils by about two orders of magnitude, 156 on average, with an average Nonpareil value of  $22.75 \pm 0.37$ . Nearly complete coverage for El 157 Verde and Pico del Este (20-30cm samples) would require 2.402e+09bp and 8.735e+09bp, 158 respectively, and, for the same level of coverage, the more complex communities in Urbana (IL) 159 would require a substantially higher sequencing effort of 1.282e+12bp. The OK soils had an 160 estimated sequencing depth of  $2.063e+11\pm 1.436e+11$  bp.

161

# 162 Community composition variation across the forest sites based on 16S rRNA gene

163 sequences.

164 The number of total 16S-rRNA gene-based OTUs (Operational Taxonomic Unit) 165 observed in each metagenome as well as the Chao1 estimate of total OTUs present reflected the 166 degree of undersampling at each site (Suppl. Fig. S1 and S2), and were also consistent with the 167 Nonpareil coverage estimates (Fig. 1). When Puerto Rico tropical soils (PR) were compared with 168 the agricultural and grassland soils from the United States at the phylum level, *Proteobacteria*, 169 Acidobacteria and Actinobacteria were the most abundant taxa across all ecosystems. However, 170 in the forest soils, a few highly abundant OTUs dominated the entire soil community whereas in 171 the OK and IL soils, OTUs were more evenly distributed (Suppl. Fig. S2), consistent with the 172 Nonpareil diversity results. Only 1.28% of the total detected OTUs (out of a total 8019, non-173 singleton OTUs) were shared among all PR samples, while 49.95% of OTUs were exclusive to a 174 particular sampling site in PR, reflecting partly the under-sampling of the extant diversity by 175 sequencing. Only 0.37% of the OTUs (out of a total 13760, non-singleton OTUs) were shared 176 among all the sites across all 3 ecosystems, all of which were assignable to Alphaproteobacteria, 177 Acidobacteria, Verrucomicrobia and Actinobacteria.

Further, applying four additional DNA extraction methods on a selected subset of our samples, including two manual phenol chloroform-based methods that are often advantageous for iron rich soils like those in tropical forest, revealed similar levels of diversity, more or less (Suppl.Fig. S3). Hence, the diversity patterns reported here are robust and independent of the DNA method used.

183

# Factors driving community diversity in the forest soils: Multidimensional scaling analysis of beta diversity

186 The PCoA (Principal Coordinate Analysis) plots, constructed based on the MASH 187 distances among whole metagenomes, showed a clustering pattern that was primarily governed 188 by site/location. Accordingly, site explained 45.22% of the total diversity (Fig. 2B). The non-189 metric multidimensional scaling (NMDS) analysis of the data revealed only site, pH and soil 190 moisture to be statistically significant physicochemical parameters in explaining the observed 191 community diversity (Fig. 2C, Suppl. Table S3). ANOSIM values also indicated site to be a 192 more important factor than depth, with a P value of 0.001 and 0.94, respectively. Based on the 193 distance-based redundancy analysis (dbRDA), site was the most significant factor, even when the 194 interplay between site and sampling depth was accounted for (Suppl. Table S4). Table 1 shows 195 the partitioning of the variance between the proportion that is explained by constrained axes (i.e., 196 environmental variables measured) and the porportion explained by unconstrained axes (i.e., 197 variance not explained by environmental variables measured). The total variance explained by all 198 (measured) environmental variables was 80.2% (Table. 1), which is remarkably high for a soil 199 ecosystem (61).

200

## 201 Major N cycling pathways

Genes encoding proteins involved in denitrification and nitrogen fixation were the most abundant nitrogen (N) cycling pathway genes detected at different sites. Overall, the forest soils harbored about a 2-3-fold higher abundance of denitrification genes, i.e., *narG*, *nirK*, and *norB* catalyzing the reduction of nitrate, nitrite, and nitric oxide, respectively, compared to the grassland and agricultural soils (Fig. 2A). For instance, the *norB* gene abundance was found to

207	be at the highest abundance among the denitrification genes, with $\sim$ 37% (SD 9.5%) of the
208	genomes in the PR soils predicted to contain a <i>norB</i> gene, compared to ~17% (SD 4%) and
209	~14% (SD 1.3%) at IL and OK, respectively. Similarly, <i>narG</i> showed a 3-fold higher abundance
210	in the PR soils compared to IL and OK soils (Fig.2B). While denitrification gene abundances
211	appeared higher in the tropical soils, the relative abundance of <i>nosZ</i> gene, i.e., 11.6% (SD 3%) of
212	the total genomes across the four locations in the LEF were predicted to encode <i>nosZ</i> , similar to
213	nosZ relative abundance in IL and OK soils, i.e., 11.75% (SD 5%) and 11.08% (SD 3%),
214	respectively (not statistically significant at p=0.05). Similar to nosZ, DNRA gene abundances
215	(namely, <i>nrfA</i> ) was similar across all sites studied herein (9%, SD 1.9%).
216	
217	Predominant NosZ clades are shared among soil ecosystems
218	Placing nosZ-encoding reads to a reference nosZ phylogenetic tree revealed that atypical
219	clades (clade II nosZ), affiliated predominantly with Opitutus, Anaeromyxobacter and other
220	closely related genera, dominated the nosZ gene pool in the tropical forests (Figs. 3, Suppl.
221	Figs.S4-S7). In contrast, a very small fraction of reads (<10% of total nosZ reads) were recruited
222	to typical nosZ clades (or clade I). Members belonging to the clade II nosZ dominated the nosZ
223	gene pool in OK and IL soils as well, with IL agricultural soils showing the greatest nosZ
224	sequence diversity among the three regions. Notably, O. terrae-affiliated sequences represented
225	the most abundant sub-clade (nosZ OTUs/sub-clades were defined at the 95% nucleotide
226	sequence identity level) in all regions. Furthermore, most of the O. terrae-affiliated reads in the
227	forest soil dataset appeared to be assigned to a single sub-clade, while their counterparts in the
228	OK and IL soils appeared to be more evenly distributed among several closely related nosZ sub-
229	clades, i.e., showing higher sequence diversity (Fig. 3, Suppl. Figs. S4-S7). O. terrae (strain

230	DSM 11246/PB90-1) nosZ reads at >95% identity made up between 20% and 60% of the total
231	nosZ reads recovered from the El Verde site and, together with the second most abundant sub-
232	clade from Anaeromyxobacter sp., contributed over 30% of the total nosZ reads across all four
233	PR locations (Fig. 5). Despite the significant taxonomic diversity observed in these soils (Suppl
234	Fig. S2), the soils from PR shared several abundant $nosZ$ gene sequences/sub-clades at >95
235	nucleotide identity with soils in OK and IL (Fig. 3). Furthermore, in order to compare the
236	predominant nosZ clades across the samples shown here, a new phylogenetic reference tree was
237	constructed based on almost full length sequences obtained from the assemblies/MAGs obtained
238	from the metagenomes studied here (namely PR,OK,IL). The short-reads identified as nosZ from
239	the PR soils were placed on this tree and show that the majority of these reads are recruited by
240	the nosZ sequences obtained from these assemblies/MAGs, indicating that the nosZ sequences
241	across these ecosystems studies here are similar (Suppl. Fig. S8)
242	
243	Nitrogen fixation potential

244 The nitrogen fixation genes (mainly *nifH*) were present at a much lower abundance in the 245 lower altitude forest samples. For instance, only ~1-3% of all genomes in the lower altitude 246 samples were predicted to encode *nifH* compared to a ~20% of the genomes in the higher 247 elevation samples (Pico del Este) (Fig. 2A), and almost none of the reads from IL and OK 248 metagenomes appeared to encode nifH (<0.1%). Therefore, nitrogen fixation gene abundance 249 patterns indicated a much stronger selection for nitrogen fixation in the tropical forest relative to 250 temperate agricultural or natural prairie soils, especially at higher elevations. Furthermore, no 251 ammonia oxidizing genes (amoA) were detected in any of the soils except for Urbana soils (IL), 252 which had a history of fertilizer (N) input.

253

#### 254 Recovery of metagenome-assembled genomes (MAGs) representative of each site

255 In order to test the effect of biogeography (i.e., limits to dispersion) of taxa across the 256 elevation gradient sampled, the distribution of abundant MAGs recovered from each PR 257 sampling site +(assembly and MAG statistics provided in Suppl. Table S6) were assessed across 258 the sites using read-recruitment plots (62). Taxonomic assignment using the Microbial Genomes 259 Atlas (63) revealed that the most abundant MAG at site El Verde (lowest elevation), representing 260 4.39% of the total metagenome, and was affiliated with an unclassified Verrucomicrobia. The 261 second most abundant (1.8% of total) was likely a member of the genus Ca. Koribacter 262 (Acidobateria) followed by an unclassified member of Acidobacteria (1.45% of total). The 263 *Verrucomicrobium* MAG was found at an abundance of 1.03% of the total population at Sabana, 264 and at 0.07% and 0.03% in Palm Nido and Pico del Este (highest elevation), respectively. 265 Uneven coverage across the length of the reference sequence and nucleotide sequence identities 266 were observed in the recruitment of short-reads from Palm Nido and Pico del Este as well as 267 with all OK datasets, indicating that the related populations in the latter samples were divergent 268 from the reference MAG (Suppl. Fig. S10). Therefore, at least this abundant low-elevation 269 Verrucomicrobial population did not appear to be widespread in the other samples analyzed here 270 (Suppl. Fig. S10). Similarly, the other abundant MAGs from other sites in the forest soils were 271 unique to the corresponding sites (elevation) from which they were recovered. Almost all MAGs 272 used in the analyses were assignable to a novel family, if not higher taxonomic rank, according 273 to MiGA analysis (when compared to 11,566 classified isolate genomes available in the NCBI 274 prokaryotic genome database), underscoring the large unexplored diversity harbored by the PR 275 tropical rainforest soils. The sequence diversity/complexity as well as sequencing depth limited 276 large-scale recovery of high-quality MAGS.

277

# 278 Functional gene content of the MAGs

279	The genome sequences of the most abundant MAGs from each location (n=6) were
280	analyzed in more detail to assess the functions they encoded, especially with respect to N cycling
281	pathways (Fig. 4). MAGs from Pico del Este (highest elevation) showed a high abundance of N
282	metabolism related genes compared to MAGs from other sites (Fig. 4). Most notably, genes
283	related to nitrogen fixation were found only in the Pico del Este MAG, which was consistent
284	with the short read analysis datasets showing greater relative abundance of <i>nifH</i> at this site.
285	Nitrification (ammonia oxidation related genes) gene clusters were not detected in any of the
286	recovered MAGs. norB and nosZ genes were found in three out of the six abundant MAGs
287	analyzed. The most abundant El Verde MAG, most closely related to O. terrae (AAI = $40 \%$ ),
288	possessed a nosZ gene, which was congruent with the nosZ phylogeny described above (i.e.,
289	~60% of the nosZ-encoded reads from El Verde had a closest match to O. terrae nosZ
290	sequences).
291	
292	DISCUSSION
293	
294	The present study reported the taxonomic and gene content diversity of the poorly
295	characterized tropical rainforest soils by using whole-community, shotgun metagenomic
296	sequencing of samples from the El Yunque forest, Puerto Rico. The recovered near-complete
297	MAGs represented several abundant and widespread organisms within this ecosystem that could
298	serve as model organisms for future studies. Furthermore, since the Luquillo Experimental Forest
299	(LEF) within El Yunque is subjected to varying natural as well as experimental (e.g., warming,

300 phosphorus fertilization) perturbations, our study could also provide a baseline for these 301 perturbations and future soil microbial studies at LEF. Our results revealed that the LEF soils 302 harbor distinct microbial communities at sites with distinct elevation from sea-level. In contrast, 303 and unlike several other soil ecosystems, sampling depth did not have a substantial impact on 304 structuring community diversity, revealing no depth stratification in the LEF soils, at least for the 305 depths sampled here (5-30cm). This could be due to the lack of distinct soil horizons within the 306 first 30cm of the sampling sites, and indicates that the soil formation and/or physicochemical 307 properties in these ecosystems could differ markedly from those in their temperate counterparts 308 (44).

A recent study examining the dominant bacterial phylotypes across the globe found that the predominant phylotypes were widespread across ecosystems. The only exception to this pattern was the forest tropical soils which harbor distinct phylotypes (10). Consistent with these conclusions, the MAGs recovered from each LEF site represented at least novel species and genera, further underlining the under-tapped microbial diversity harbored by tropical forest soils. Currently, the environmental factors driving these diversity patterns remain poorly understood for tropical forest soils (10), but our study provided several new insights into this issue.

In particular, sites El Verde and Sabana (lowest elevation sites) had similar community structure and diversity compared to the two higher-elevation sampling sites with certain MAGs being present at both sites but not in any of the other (higher-elevation) sites examined. This is presumably attributable to both sites having similar climate and vegetation patterns (i.e., Tabonuco forest). On the other hand, Pico del Este was the highest elevation site and experiences almost continuous cloud cover as well as horizontal precipitation. The unique topology of Pico del Este was reflected in distinct and deeply novel MAGs and gene content, which differed

323 markedly from the other three sampling sites within the LEF (PCoA plots, Fig. 2B). The high 324 water content of the Pico del Este soils gives rise to a unique ecosystem dominated by epiphytes 325 (e.g., moss) (64). The epiphytic community has presumably significant impacts on nutrient (e.g., 326 nitrogen) cycling (65), and influences the water input to the soil, thereby shaping a unique 327 habitat/niche for the soil microbes. Free-living microbes have been shown to be one of the 328 highest contributors to biological N fixation in these forests with high rates of nitrogenase 329 activity associated with the presence of moss/epiphytes (53, 66). Consistent with these previous 330 results and interpretations, the Pico del Este showed an extremely high potential for nitrogen 331 fixation, i.e., it was estimated that 1/5 of the total bacterial genomes sampled possessed genes for 332 N fixation, which is at least 10 times greater than any other site evaluated herein. Accordingly, 333 we found that site (location) alone explained about half (45%) of the beta diversity differences 334 observed among the four sampling sites, which reached ~80% when a few physicochemical 335 parameters namely pH and moisture were also included in the analyses (Fig. 2B, Table 1). This 336 is a remarkably high fraction of beta diversity explained by measured parameters for a soil 337 ecosystem (61) and likely reflected that location and the physical properties that characterized 338 different locations within LEF structured diversity much stronger than in other soil ecosystems. 339 Tropical forests have also been shown to have significantly higher rates of nitrogen fixation 340 compared to other ecosystems, which can exceed the N retention capacity of the soil resulting in 341 large N loss as  $N_2O$  (67). The findings reported here on denitrification gene abundances were 342 generally consistent with these previous observations as well.

Links between soil community structure and nitrogen cycling can help close the knowledge gaps on how the forest ecosystems impact the release and mitigation of certain highly potent greenhouse gases such as N<sub>2</sub>O. The gene abundances observed here, e.g., more than two-

346	fold higher abundance of <i>norB</i> (associated with NO reduction to $N_2O$ ) and similar <i>nosZ</i> ( $N_2O$
347	consumption) abundances in tropical soils relative to temperate soils were consistent with higher
348	N <sub>2</sub> O emissions observed previously from the tropics. Further, in acidic soils such as the tropical
349	forest soils evaluated in this study, lack of N limitation can suppress complete denitrification,
350	thereby leading to higher $N_2O$ release compared to other soil ecosystems (35). These
351	interpretations were consistent with our observation that the PR soils harbored a relatively high
352	abundance of respiratory (related to denitrification) norB genes as well. Previous studies have
353	also suggested that most denitrifying bacterial genomes possess the genes required to reduce
354	nitrate to nitrous oxide but do not possess the gene responsible for the last step i.e., $N_2O$
355	reduction to $N_2$ , leading to the release of $N_2O$ gas (Braker and Tiedje, 2003; Richardson et al.,
356	2009; Giles et al., 2012; (22, 26-29), consistent with the findings of our study.
357	It has been established that tropical forest soils are the single highest contributor of
358	natural N <sub>2</sub> O emissions. While several abiotic and microbial processes can contribute to soil N <sub>2</sub> O,
359	$N_2O$ consumption is an exclusively microbial process, catalyzed by the enzyme product of the
360	nosZ genes (34). Based on the assessment of the nosZ gene phylogeny, it appears that almost all
361	of the nosZ genes from the tropical forest soils studied here belong to a previously overlooked
362	Clade II or atypical nosZ genes (32, 34, 68). This clade consists mainly of non-denitrifying, and
363	secondary denitrifying $N_2O$ reducers. Despite the unique phylogenetic diversity harbored by
364	tropical soils in general, the nosZ gene sequence diversity appears to be shared between
365	temperate and agricultural soils (Fig 4). These findings imply strong selection pressure for
366	conservation of nitrous oxide reductase sequences across tropical and temperate soil ecosystems
367	that are not apparently applicable to other N-cycling genes and pathways, which warrants further
368	attention in the future.

369 Integration of functional (e.g., gene expression) data with *in-situ* rate measurements will 370 provide a more complete picture of the composition and functioning in tropical forest soils. The 371 identification of certain biomarker genes such as nosZ sequences in our study could facilitate 372 future investigations on biogeochemical N-cycling and greenhouse gas emissions. For instance, 373 the assembled MAGs and gene sequences provided here could be useful for the design of 374 specific PCR assays for assessing transcript levels (activity), allowing potential linking of carbon 375 dioxide, methane, nitrogen, SOM, etc. turnover to the activity of individual populations. It would 376 also be interesting to assess how the findings reported here for the LEF apply (or not) to other 377 tropical forests especially because our study is based on a relative small sample size. While the 378 diversity in the Puerto Rico soils appears to be lower than that in temperate grassland and 379 agricultural soils, and different DNA extraction methods, including phenol-chloroform- and kit-380 based, provided for similar results (Fig. S3), it is important to note that DNA of the temperate 381 soil samples was extracted using different methods (OK soils were extracted using the PowerSoil 382 kit). Therefore, it would be important to confirm these preliminary findings by using the exact 383 same DNA extraction and sequencing procedures in all soils. Despite the sample size, however, 384 our results showed differences along the elevation gradient sampled at the LEF that are 385 independent of DNA extraction (Suppl.Fig. S3) or sequencing methods, and consistent with our 386 metadata (Fig. 2), and previous process rate measurements. As the gradients at the LEF also 387 provide a natural setting to interpret the potential ramifications of climate change scenarios such 388 as altered participation patterns, the DNA sequences provided here could facilitate future 389 manipulation experiments with an emphasis on understanding and predicting the effects of 390 climate change on microbial community dynamics along the elevation gradient.

391

### 392 MATERIALS AND METHODS

393

#### 394 Sampling sites

395 Soil samples were collected on February 2016 from four locations/sites across the LEF (18.3' N,

396 65.80' W). The four sites namely, Sabana, El Verde field station, Palm Nido and Pico del Este,

ach located at different elevations from the mean sea level, i.e., 265, 434, 634 and 953 m,

398 respectively, were chosen due to their unique landscape and rainfall patterns, thereby creating

399 distinct ecological niches (Fig. 2A).

400 The El Yunque forest is categorized into four distinct vegetation zones namely, the 401 Tabonuco, Palo Colorado, Sierra Palm and Dwarf/Elfin forests. Site Sabana and El Verde, which 402 are located at the lowest elevation among the four sites within the LEF, fall under the Tabonuco 403 forest category in terms of vegetation, dominated by the tree species *Dacryodes excelsa* (native 404 to Puerto Rico). They are characterized by canopy cover and low light intensities at the ground 405 level which account for the sparsely vegetated forest floor. However, these sites still harbor the 406 richest flora of all sites (69). Palm Nido is characterized by unstable, wetter soils, steeper slopes 407 and the vegetation is dominated by the Sierra Palm (*Prestoea montana*). The site at the highest 408 elevation, Pico del Este (dwarf forest ecosystem or "elfin woodlands") is characterized by higher 409 winds, lower temperatures and the vegetation is enveloped by clouds (41, 70) and its main 410 vegetation is comprised of moss and epiphytes. Furthermore, highly acidic soil and continuously 411 water-saturated soils deficient in oxygen are some major characteristics of this ecosystem with 412 most mineral inputs for plants become dissolved in the rain and fog. 413 Three adjacent soil profiles were taken from each of the four LEF sites (4 sites

414 encompassing 3 lifezones, Palo Colorado was not sampled). For each profile, individual soil

415	cores were taken at each depth (0-5cm, 5-20cm, 20-30cm) using a 3-cm diameter x 15-cm length
416	soil corer (AMS Inc, Idaho) that was decontaminated between samplings by washing with 70%
417	ethanol. Soil samples were stored in sterile Whirl-pak bags and kept on ice during transport and
418	until storage at -80° C. The three cores at each sampling depth were pooled together for
419	community DNA extraction, producing a total of twelve samples across the four sites.
420	Soil pH was determined using an automated LabFit AS-3000 pH Analyzer, and soil
421	extractable P, K, Ca, Mg, Mn, and Zn were extracted using the Mehlich-1 method and measured
422	using an inductively coupled plasma spectrograph at the University of Georgia Agricultural and
423	Environmental Services Laboratories (Athens, GA, USA). Soil extractable P using this method is
424	interpreted as the bioavailable fraction of P. NH <sub>4</sub> -N and NO <sub>3</sub> -N were measured by first
425	extracting them from soil samples with 0.1 N KCl, followed by the colorimetric phenate method
426	for $NH_4^+$ and the cadmium reduction method $NO_3$ . The physicochemical conditions at the sites
427	during the time of sampling are provided in Supplementary Table (S1).
428	
429	Community DNA extraction and sequencing
430	Total DNA from soil was extracted using the FastDNA SPIN KIT (MP Biomedicals Solon OH)

Total DNA from soil was extracted using the FastDNA SPIN KIT (MP Biomedicals, Solon, OH) 430 431 following manufacturer's procedure with the following modifications (71). Soils were air dried 432 under aseptic conditions followed by grinding employing a mortar and pestle. Cells were lysed 433 by bead beating and DNA was eluted in 50 µl of sterile H<sub>2</sub>O. DNA sequencing libraries were 434 prepared using the Illumina Nextera XT DNA library prep kit according to manufacturer's 435 instructions except the protocol was terminated after isolation of cleaned double stranded 436 libraries. Library concentrations were determined by fluorescent quantification using a Qubit HS 437 DNA kit and Qubit 2.0 fluorometer (ThermoFisher Scientific), and samples were run on a High

438 Sensitivity DNA chip using the Bioanalyzer 2100 instrument (Agilent) to determine library insert 439 sizes. An equimolar pool of the sequencing libraries was sequenced on an Illumina HiSeq 2500 440 instrument (located in the School of Biological Sciences, Georgia Institute of Technology) using 441 the HiSeq Rapid PE Cluster Kit v2 and HiSeq Rapid SBS Kit v2 (Illumina) for 300 cycles (2 x 442 150 bp paired end). Adapter trimming and demultiplexing of sequenced samples was carried out 443 by the HiSeq instrument. In total, 12 metagenomic datasets were generated (3 per site for the 444 three depths), and statistic details on each dataset are provided in Supplementary Table S2. 445 In order to test for any DNA extraction biases of the kit used above, especially for the 446 high iron/clay content that characterizes tropical forest soils and is known to affect the extraction 447 step, four additional DNA extraction methods were performed in parallel on a small subset of 448 samples collected in 2018 from the same sites (6 samples per extraction method for 5 extraction 449 methods covering the 4 sites). The methods included two manual (as opposed to kit-based) 450 phenol-chloroform based methods (72, 73) as well as two other kit-based methods namely; 451 DNeasy PowerSoil and DNeasy PowerSoil Pro (Qiagen Inc.). For this evaluation, the soils were 452 first homogenized and subsequently in five subsamples to use with each method (including the 453 FastDNA SPIN KIT-based method mentioned above). The libraries were constructed and 454 sequenced the same way as described above for the FastDNA SPIN KIT method. 455 All metagenomic datasets were deposited in the European Nucleotide Archive (ENA) under 456 project PRJEB26500. Additional data is available at http://enve-omics.ce.gatech.edu/data/prsoils. 457 458 **Bioinformatics analysis of metagenomic reads and MAGs** 459 The paired end reads were trimmed and quality checked using the SolexaQA (74) package with a

460 cutoff of Q>20 (>99% accuracy per base-position) and a minimum trimmed length of 50 bp.

461 i) Assembly and population genome binning: Co-assembly of the short reads from the same 462 location was performed using IDBA-UD (75) and only resulting contigs longer than 500 bp in 463 length were used for downstream analysis (e.g. functional annotation and MyTaxa 464 classification). Genes were predicted on the co-assembled contigs using MetaGeneMark (76) and 465 the predicted protein-coding regions were searched against the NCBI All Genome database using 466 Blastp (77). Since the assembly of individual datasets resulted mostly in short contigs (data not 467 shown), the contigs from the co-assembly (combining metagenomes from the three sampling 468 depths, for each site) were used for population genome binning. Contigs longer than 1Kbp were 469 binned using MaxBin (78) to recover individual MAGs (default settings). The resulting bins 470 were quality checked for contamination and completeness using CheckM (79), and were further 471 evaluated for their intra-population diversity and sequence discreteness using fragment 472 recruitment analysis scripts as part of the Enveomics collection (62) essentially as previously 473 described (80).

474 ii) Functional annotation of MAGs: Genes were predicted for each MAG using MetaGeneMark 475 and the predicted protein-coding regions were searched against the curated Swiss-Prot (81) 476 protein database using Blastp (77). Matches with a bitscore higher than 60 or amino acid identity 477 higher than 40% were used in subsequent analysis. The Swiss-Prot database identifiers were 478 mapped to their corresponding metabolic function based on the hierarchical classification 479 subsystems of the SEED subsystem category (Level 1) (82). The relative abundance of genes 480 mapping to each function was calculated based on the number of predicted genes from each 481 MAG assigned to the function (for read-based assessment, see below). Relative abundance data 482 were plotted in R using the "superheat" package (https://arxiv.org/abs/1512.01524). Individual 483 biomarker genes for each step of the nitrogen cycling pathway were manually verified by

484 visually checking the alignment of the identified sequences by the pipeline outlined above485 against verified reference sequences.

486 *iii*) *Functional annotation of short reads*: Protein-coding sequences present in short reads were

487 predicted using FragGeneScan (83) using the 1% Illumina error model. The predicted genes were

488 then searched against the Swiss-Prot database using Blastp (best match). Low quality matches

489 (bitscore < 60) were excluded, and relative abundance of genes mapping to each function was

490 determined as described in the previous section.

491

#### 492 Community diversity estimation

493 <u>i) Nonpareil</u>: Nonpareil (60) was used to estimate sequence coverage, i.e., what fraction of the 494 total extracted community DNA was sequenced and predict the sequencing effort required to 495 achieve "nearly complete coverage"( $\geq$ 95%). The default parameters in Nonpareil were used for 496 all datasets. Only one of the two paired reads (forward) for each dataset was used to avoid

497 dependency of the paired reads, which can bias Nonpareil estimates (60).

498 *<u>ii</u>) MASH and multidimensional scaling*: MASH, a tool employing the MinHash dimensionality

499 reduction technique to compare sample-to-sample sequence composition based on k-mers (84),

500 was used to compute pairwise distances between whole metagenomic datasets and construct the

501 distance matrix to be used in multidimensional scaling. Pairwise MASH distances between the

502 metagenomic datasets were computed from the size-reduced sketches (default parameters).

503 PCoA (Principal coordinate analysis) and NMDS (Non-metric multidimensional scaling) were

504 employed to visualize the distance matrix and evaluate the physicochemical parameters driving

505 community diversity, respectively. Furthermore, dbRDA (distance based redundancy analysis),

506	was used to obtain a finer resolution on the observed compositional variation. All of the above
507	startistical analysis were performed using the vegan package in R (85), with default settings.
508	iii) 16S rRNA gene fragments recovered from shotgun metagenomes: 16S ribosomal rRNA (16S)
509	gene fragments were extracted from the metagenomic datasets using Parallel-META (86). 16S-
510	carrying reads were classified taxonomically using the GreenGenes database.
511	Recovered 16S fragments were clustered ('closed-reference OTU picking' strategy using
512	UCLUST (87)) and taxonomically classified based on their best match in the GreenGenes
513	database (88) at an ID $\geq$ 97% in QIIME (89, 90). The relative abundance of the OTUs were
514	calculated based on the number of reads assigned to each OTU. Community composition was
515	assessed based on OTU taxonomic assignments at the genus and the phylum ranks and was
516	compared between the sites based on the relative abundance of OTUs at each site.
517	
518	Identification of N cycling genes using ROCker
519	ROCker (91) was employed for a precise identification and quantification of nosZ (encoding
520	nitrous oxide reductase), norB (encoding respiratory nitric oxide reductase, cytochrome bc
521	complex associated), nirK (encoding nitrite reductase), narG (encoding nitrate reductase), nrfA
522	(encoding nitrite reductase, DNRA related) amoA (encoding ammonia monooxygenase) and nifH

523 (encoding nitrogenase) encoding metagenomic reads (http://enve-

524 omics.ce.gatech.edu/rocker/models). Briefly, the short-read nucleotide sequences were searched

- 525 (using Blastx) against a training set for each abovementioned protein; training sets were
- 526 manually curated to encompass experimentally verified reference sequences as suggested

527 previously (91). The resulting matching sequences were then filtered using the ROCker compiled

528 model (model for 150bp-long reads for PR and OK soils and 100 bp model for IL soils). Protein

529	abundances (based on the number of reads assigned to the protein) were normalized by
530	calculating genome equivalents. For the latter, the ROCker-filtered read counts were normalized
531	by the median length of the sequences of each protein reference, and the corresponding genome
532	equivalents were calculated as the ratio of NosZ (or another protein of interest) read counts to the
533	RNA polymerase subunit B ( <i>rpoB</i> ), a universal single copy marker, read counts.
534	
535	NosZ phylogenetic analysis
536	The NosZ reference protein sequences were aligned were aligned using CLUSTAL Omega (92)
537	and a maximum likelihood reference tree was created using RAxML v 8.0.19 (93) with a general
538	time reversible model option, gamma parameter optimization and '-f a' algorithm. The ROCker
539	identified NosZ-encoding reads were extracted from all datasets, translated into protein
540	sequences using FragGeneScan, and then added to the reference alignment using Mafft (94). The
541	reads were placed in the phylogenetic tree using RAxML EPA algorithm and visualized using
542	iTOL (95).
543	
544	Intra-population diversity assessment based on recovered MAGs
545	The taxonomic affiliation of individual contig sequences of a MAG was evaluated based on
546	MyTaxa, a homology based classification tool (96). The MiGA (Microbial Genomes Atlas,
547	www.microbial-genomes.org) webserver was used for the taxonomic classification of the whole
548	MAG using the ANI/AAI concept. To assess intra-population diversity and sequence
549	discreteness, each target population MAG was searched against all the reads from each location
550	by Blastn (only contigs longer than 2Kbp were used). Fragment recruitment plots were
551	constructed based on the Blastn matches (threshold values: nucleotide identity $\geq$ 75% and

552	alignment length $\geq$ 80bp) using the Enveomics collection of scripts (62). The evenness of
553	coverage and sequence diversity of the reads across the length of the reference genome sequence
554	were used to evaluate the presence and discreteness of the population in the chosen dataset.
555	
556	
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560	Zone Observatory (National Science Foundation grant EAR-1331841) and the Luquillo Long-
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562	at the USDA Forest Service International Institute of Tropical Forestry is done in collaboration
563	with the University of Puerto Rico. We thank María Rivera and Humberto Robles from IITF for
564	their help in soil sampling.
565	

# 567 **TABLES:**

#### 568 Table 1: Proportion of total microbial community diversity explained by measured soil

#### 569 **environmental factors.**

	Inertia	Proportion	Rank
Total	0.1092	1	
Constrained	0.0876	0.8021	6
Unconstrained	0.02161	0.1978	5

Site, sampling depth, pH, total nitrogen, total carbon, moisture data were considered in the analysis

570

#### 571 FIGURE LEGENDS

572

#### 573 Fig. 1: Sampling location map and microbial community diversity among the study sites. A.

574 Map of the four sampling sites within the Luquillo Experimental Forest (LEF). B. Principal co-

575 ordinate analysis (PCoA) plots based on MASH distances, colored by sampling site, C.

576 Nonmetric multidimensional scaling (NMDS) plot with the soil physicochemical parameters

577 incorporated. The arrow lengths are proportional to the strength of the correlations obtained

578 between measured soil physicochemical parameters and each ordination axis.

579

# 580 Fig. 2: Abundance of N cycling genes and their distribution across soil ecosystems. A.

581 Abundance of hallmark genes for denitrification, DNRA and nitrogen fixation pathways,

582 represented as genome equivalents (% of total bacterial genomes sampled that carry the gene) in

583 the metagenomes studied (see Figure key). B. Frequency of genomes carrying the respective

denitrifying gene across the three ecosystems studied. Genes denoted by the same letter are not statistically significantly different between ecosystems (ANOVA Tukey test). Statistical significance reported at p < 0.05. Note that nitrification genes were not detected in any of the Puerto Rico sites.

588

#### 589 Fig. 3: Phylogenetic diversity of *nosZ*-encoding sequences recovered in each soil ecosystem.

590 nosZ sequences were identified by the ROCker pipeline and placed in a reference nosZ

591 phylogeny as described in the Materials and Methods section. The radii of the pie charts are

592 proportional to the number of reads assigned to each sub-clade and the colors represent the

sampling sites from each ecosystem (see Figure key). Sub-clades highlighted in grey indicate the

594 most abundant sub-clades across all three ecosystems whereas the ones highlighted in blue were

by abundant only in agricultural soils (IL). A. *nosZ* reads from every sampling site recruiting to

596 atypical (Clade II) clades. B. *nosZ* reads recruiting to typical (Clade I) clades. Inset shows the

597 most abundant sub-clade (*Opitutus terrae*) from panel A and its distribution across all sites. Note

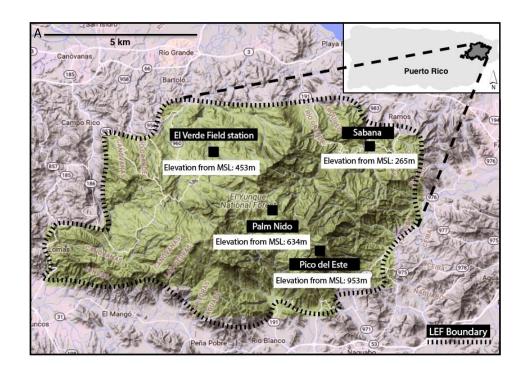
that in all three ecosystems most of the reads recruit to atypical sub-clades. Suppl. Fig. S7 shows

the distribution of the reads among the most abundant sub-clades in detail.

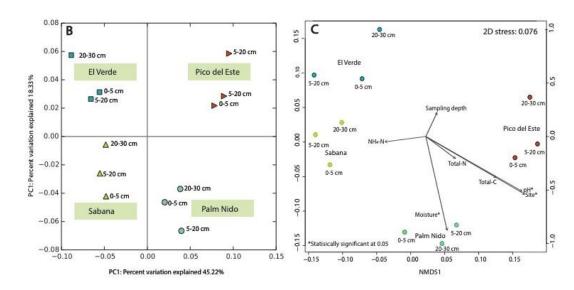
600

Fig. 4: Functions encoded by the recovered population MAGs. Heatmap showing the relative abundance of genes encoding the major metabolic functions (Level 1 of the SEED subsystem category) for each MAG recovered from the four sites in Puerto Rico. The taxonomic classification of each MAG based on MiGA is shown on the bottom left. The symbols at the bottom of the heatmap denote the presence (or absence) of specific N-cycling genes, namely

- 606 denitrification and nitrogen fixation. No genes involved in nitrification were detected in any of
- the bins.
- 608 FIGURES
- 609



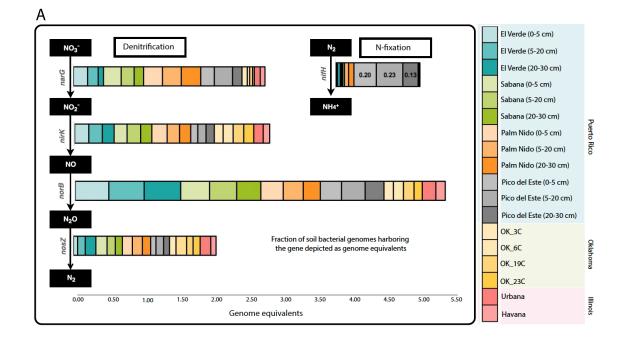
610



611 612

Figure 1: Sampling location map and microbial community diversity among the study sites.
 614
 615





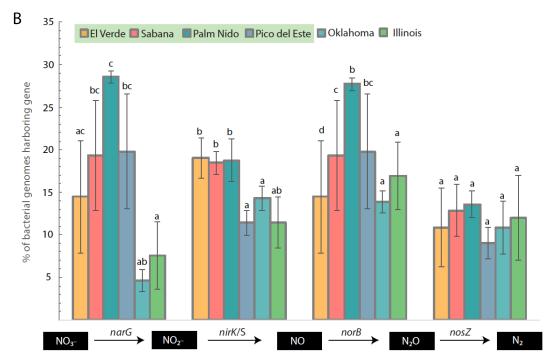
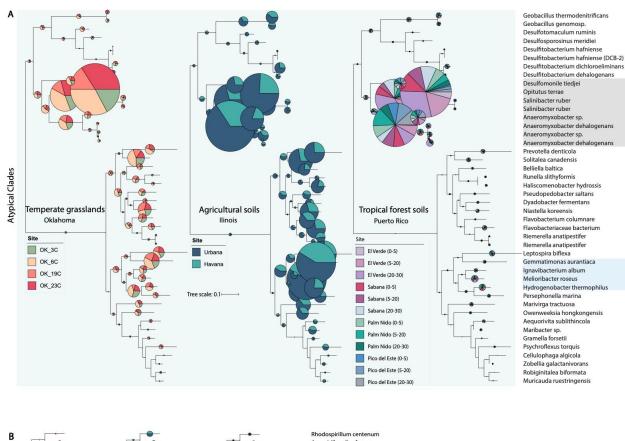
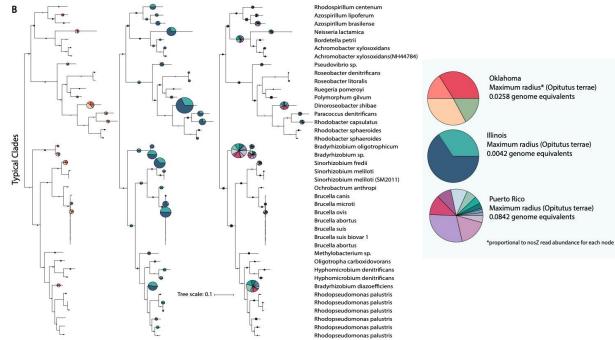


Figure 2: Abundance of N cycling genes and their distribution across soil ecosystems.

625





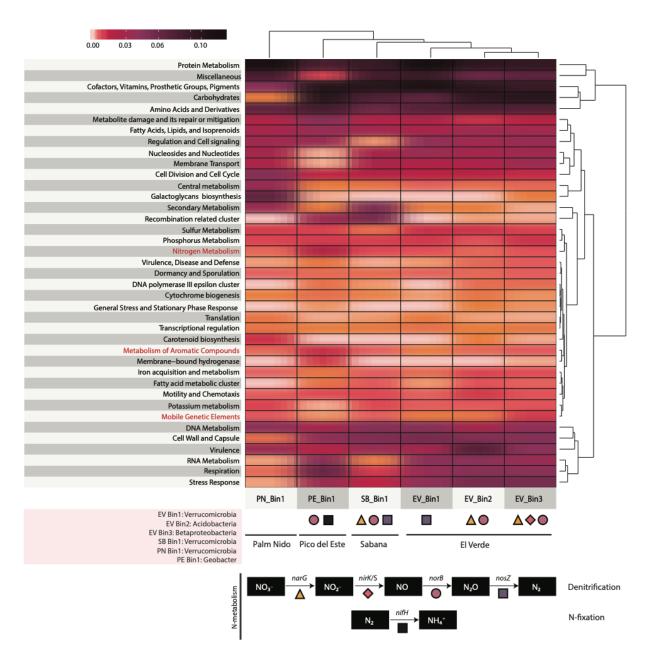
627

626

628 Figure 3: Phylogenetic diversity of *nosZ*-encoding sequences recovered in each soil

629 ecosystem.

631



633 Figure 4: Functions encoded by the recovered population MAGs.

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