

1 Rostrocaudal Patterning and Neural Crest Differentiation of Human Pre- 2 Neural Spinal Cord Progenitors *in vitro*

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14 **ABSTRACT**

15 The spinal cord emerges from a niche of neuromesodermal progenitors (NMPs) formed and
16 maintained by Wnt/FGF signals in the posterior end of the embryo. NMPs can be generated from
17 human pluripotent stem cells and hold promise for spinal cord replacement therapies. However,
18 NMPs are transient and unable to produce the full range of rostrocaudal spinal cord identities *in vitro*.
19 Here we report the generation of NMP-derived pre-neural progenitors (PNPs) with stem cell-like self-
20 renewal capacity. PNPs maintain pre-spinal cord identity by co-expressing the transcription factors
21 SOX2 and CDX2, and they lose the mesodermal potential by downregulating TBXT. Over 10 passages
22 these cells divide to self-renew and to make trunk neural crest, while gradually adopting a more
23 posterior identity by activating colinear *HOX* gene expression. Rostrocaudal identity can be prolonged
24 in a thoracic identity for up to 15 passages by modulating TGF- β , and PNPs can be ventralised by
25 Hedgehog signalling.

26

27 **INTRODUCTION**

28 Neural stem cells (NSCs) are a useful *in vitro* tool for understanding neural development and disease,
29 and they have great potential for use in regenerative medicine (Snyder, 2017). However, for use in
30 cell replacement therapy, it is important that NSCs adopt the correct region-specific identities and
31 adapt properly to their local microenvironments (Kadoya et al., 2016; Kumamaru et al., 2018; Nagoshi,
32 Tsuji, Nakamura, & Okano, 2019). This requirement for specific neural subtypes is illustrated by
33 patients with motor neuron disease or spinal cord injuries, who often have lesions in specific neuronal
34 cell types and whose treatment depends on developing protocols that cause induced pluripotent stem

35 cells (iPSCs) to differentiate into the right neural subtypes (Nijssen, Comley, & Hedlund, 2017;
36 Trawczynski, Liu, David, & Fessler, 2019).

37

38 The development of these protocols will be informed by our understanding of neurogenesis. Forebrain
39 and midbrain develop from the anterior neural plate, a naïve tissue neuralised by the underlying axial
40 mesoderm through the release of TGF- β inhibitors (Cajal et al., 2012; Mathis & Nicolas, 2000). Spinal
41 cord arises from a progenitor pool of neuromesodermal progenitors (NMPs) that reside in the caudal
42 lateral epiblast/node streak border and later the chordoneural hinge (Wilson, Olivera-Martinez, &
43 Storey, 2009). NMPs are bi-potent and give rise to both the posterior neural tube and adjacent somite-
44 forming paraxial mesoderm (Brown & Storey, 2000; Cambray & Wilson, 2002, 2007; Delfino-Machin,
45 Lunn, Breitkreuz, Akai, & Storey, 2005; Tzouanacou, Wegener, Wymeersch, Wilson, & Nicolas, 2009).
46 NMPs are maintained by the synergistic action of FGF and Wnt signals which activate the co-
47 expression of the transcription factors TBXT, SOX2 and CDX2. TBXT and SOX2 are mutually antagonistic
48 cell fate determinants for the mesodermal and neuroectodermal germ layers, respectively (Gouti et
49 al., 2017; Henrique, Abranches, Verrier, & Storey, 2015; Koch et al., 2017; Tsakiridis et al., 2014;
50 Wymeersch et al., 2016). CDX2 conveys increasingly more posterior identity to NMP descendants by
51 inducing colinear *HOX(1-13)* gene expression during axial elongation (Amin et al., 2016; Neijts, Amin,
52 van Rooijen, & Deschamps, 2017; van de Ven et al., 2011; van den Akker et al., 2002). The human HOX
53 genes are expressed in a spatial and temporal order that is colinear with their physical 3' to 5' genomic
54 position, and assign overlapping regional identity to the brain and vertebral segments of the spinal
55 cord: HOX1-5, hindbrain; HOX4-6, cervical; HOX6-9, thoracic and HOX10-13, lumbosacral (Philippidou
56 & Dasen, 2013).

57

58 As the rostrocaudal axis elongates, NMPs that enter the primitive streak downregulate SOX2,
59 upregulate TBX6, and contribute to the developing somites (Javali et al., 2017; Takemoto et al., 2011).
60 In contrast, neural commitment begins in the pre-neural tube (PNT), located immediately rostral to
61 the NMP niche (Ruth Diez del Corral, Breitkreuz, & Storey, 2002). In the PNT, cells no longer express
62 *TBXT*, but maintain expression of *SOX2* and *NKX1-2* (I. Olivera-Martinez & Storey, 2007; Storey et al.,
63 1998). Neurogenic genes such as *PAX6* and *NEUROG2* are not upregulated in this region due to
64 repression from the continued FGF signalling (R. Diez del Corral et al., 2003; Lunn, Fishwick, Halley, &
65 Storey, 2007). The next step of neural commitment is prompted by the exposure of cells to retinoic
66 acid (RA) from the adjacent somites as they migrate out of the PNT region and into the neural tube.
67 The switch from FGF to RA signalling alleviates repression of the neural transcription factors *PAX6* and

68 *IRX3* and down regulates *NKX1-2* (R. Diez del Corral et al., 2003; Sasai, Kutejova, & Briscoe, 2014; Shum
69 et al., 1999).

70

71 Attempts have been made *in vitro* to recapitulate the developmental pathways leading to anterior or
72 posterior NSCs. Anterior NSCs can be generated from hPSCs via dual TGF- β (Activin/BMP) inhibition
73 (Chambers et al., 2009). Initial attempts to generate spinal cord progenitors relied on posteriorising
74 anterior NSCs through exposure to retinoic acid or Wnt and FGF treatments (Lee et al., 2007; X. J. Li
75 et al., 2005; Mazzoni et al., 2013; Peljto, Dasen, Mazzoni, Jessell, & Wichterle, 2010; Wichterle,
76 Lieberam, Porter, & Jessell, 2002). However, this yielded neural derivatives as far posterior as
77 hindbrain and upper cervical regions, primarily through saltatory expression of *HOX(1-5)* genes, but
78 did not yield spinal cord progenitors with thoracic or lumbar identities. Consistent with *in vivo*
79 evidence, combined Wnt and FGF stimulation efficiently converted mouse or human PSCs into NMP-
80 like cells, which are becoming a promising source to make spinal cord tissue for cell replacement
81 therapies (Frith et al., 2018; Gouti et al., 2014; Lippmann et al., 2015; Turner et al., 2014; Verrier,
82 Davidson, Gierlinski, Dady, & Storey, 2018). However, *in vitro* derived NMPs are difficult to maintain
83 and often fail to trigger the full range of HOX-mediated regionalisation along the rostrocaudal axis.
84 Thus, few protocols have been developed that generate all rostrocaudal regions (cervical, thoracic,
85 lumbar and sacral) of the spinal cord (Kumamaru et al., 2018; Lippmann et al., 2015). Here we describe
86 *in vitro* conditions which commit NMPs to pre-neural progenitors (PNPs). These PNPs are stable for
87 up to 10 passages (30 days) and can also generate neural crest (NC) derivatives across a full range of
88 rostrocaudal identities. PNPs can be locked in a thoracic identity and maintained long term in culture
89 by the addition of TGF β inhibitors to the medium. Furthermore, PNPs can give rise to a variety of spinal
90 cord derivatives, including motor neuron and interneuron subtypes, through the addition of a sonic
91 hedgehog (SHH) agonist. Our protocol will advance research into spinal cord replacement therapy and
92 in-depth modelling of both spinal cord and neural crest disorders.

93

94 **RESULTS**

95 **Optimising the generation of NMP-like cells from hPSCs through Wnt modulation**

96 Previous studies have shown that Wnt/FGF signalling causes mouse and human PSCs to adopt
97 neuromesodermal bipotency (Frith et al., 2018; Gouti et al., 2014; Lippmann et al., 2015; Turner et al.,
98 2014; Verrier et al., 2018). Human NMP protocols differ in both the magnitude and time window of
99 Wnt stimulation, as well as with respect to the addition of other signal modulators including FGF
100 (Figure 1 - figure supplement 1A)(Denham et al., 2015; Edri, Hayward, Baillie-Johnson, Steventon, &
101 Martinez Arias, 2019; Frith et al., 2018; Gomez et al., 2019; Gouti et al., 2014; Kumamaru et al., 2018;

102 Lippmann et al., 2015; Verrier et al., 2018; Wang et al., 2019). To find the critical Wnt signalling
103 threshold for the generation of NMP-like cells from the WA09 (H9) hESC line, cells were seeded at a
104 fixed density and 24h later exposed to increasing concentrations of the canonical Wnt agonist
105 CHIR99021 (CHIR) while keeping FGF2 ligands constant (Figure 1A). Our culture medium lacked the
106 retinoic acid (RA) precursor vitamin A (retinol) and contained the pan-RA receptor (RAR) inverse
107 agonist AGN193109 (AGN) (Klein et al., 1996). RA neuralises multipotent cells, so its degradation by
108 CYP26A1 is essential for NMP maintenance (Abu-Abed et al., 2001; Benjamin L. Martin & Kimelman,
109 2010; Sakai et al., 2001). Yet, the RA receptor gamma (RAR γ) is highly expressed in NMPs suggesting
110 that transcriptional repression mediated by RAR γ in the absence of its ligand supports NMPs and axial
111 elongation (Amanda Janesick et al., 2014). AGN addition reduced aldehyde dehydrogenase (ALDH)
112 activity suggesting that endogenous RA synthesis was inhibited (Figure 1 – figure supplement 1B).

113
114 After 36h, when cultures reached confluency, cells were analysed for SOX2, TBXT and CDX2 expression
115 by immunofluorescence (Figure 1B,C). Low concentrations of CHIR (0-1 μ M) caused cells to express
116 high SOX2 and to be negative for TBXT and CDX2. At 3 μ M CHIR, TBXT and CDX2 protein became
117 detectable in some cells. At 5-10 μ M CHIR, TBXT and CDX2 levels were further elevated, while SOX2
118 expression decreased with increasing concentrations of CHIR. Bearing in mind the role of POU5F1 (also
119 known as OCT4) in maintaining pluripotency and axis elongation (Aires et al., 2016; Gouti et al., 2017),
120 we also analysed expression of this protein at increasing CHIR concentrations. As expected, when cells
121 were treated with rising CHIR concentrations, OCT4 expression was lost (Figure 1-figure supplement
122 1C,D). Based on the co-expression of OCT4, SOX2, CDX2 and TBXT proteins, we determined that 5 μ M
123 CHIR was the optimal concentration to generate NMPs using H9 hESCs at this cell density. We could
124 also reliably generate NMP-like cells from WA01 (H1) hESCs and the AICS-ZO1-GFP iPSC line, which
125 also required intermediate (but different) levels of Wnt activation (Figure 1 – figure supplement 2A,B).
126 These data show that optimising the magnitude of Wnt signalling is important for obtaining NMP-like
127 cells from different PSC lines.

128

129 **Transcriptional profiling reveals a common NMP gene set**

130 To further characterise our NMP-like cells, Wnt/FGF-induced transcriptional changes in H9 hPSCs were
131 quantified by bulk RNA sequencing (RNA-Seq) after 36h. 1,367 genes were significantly differentially
132 expressed between hESC and NMP stages (445 up and 922 down; FDR <1%, a fold change of at least \pm
133 2, and a base mean >100) (Supplementary file 1). The biological processes most significantly enriched
134 within upregulated genes included ‘anterior-posterior pattern specification’ and ‘regionalisation’,
135 processes which reflect the roles of NMPs *in vivo* (Figure 1D). To define a common gene set expressed

136 by *in vitro* NMPs, we compared our gene list of upregulated genes with two other NMP-related gene
137 expression studies (Frith et al., 2018; Verrier et al., 2018). The comparison revealed 26 genes that
138 were consistently upregulated in all three studies (Figure 1E, F). Among these were well-established
139 NMP markers such as TBXT, WNT8A, CDX2, FGF17, FST and NKX1-2 (Figure 1F). Several novel genes
140 were also identified, including AC007277.3, a long non-coding transcript, and TTC29 and EGFLAM, all
141 of which may be useful as NMP markers. Overall, our results show that hPSC-derived NMPs generated
142 in the absence of RA signalling express known *in vivo* NMP marker genes and share a distinct gene
143 signature with other *in vitro* hPSC-derived NMPs.

144

145 **Prolonged culture of NMPs results in loss of mesodermal potency and the emergence of** 146 **epithelial SOX2⁺/CDX2⁺ colonies**

147 NMPs have previously been maintained in culture for up to seven days (Lippmann et al., 2015), but it
148 is necessary to culture them for longer than this to create enough cells for developmental and
149 therapeutic assays. We sought to extend the culture of spinal cord progenitors by generating the
150 posterior (SOX2⁺/CDX2⁺) equivalent of anterior (SOX2⁺/OTX2⁺) NSCs. To this end we dissociated and
151 replated NMP-like cells at low density at 36h, suppressed RA signalling (by removal of vitamin A from
152 the medium and treatment with AGN) and continued Wnt/FGF treatment to minimise mesodermal
153 commitment while halting early neural commitment (Figure 2A).

154

155 Using immunofluorescence and RT-qPCR, we showed that these culture conditions maintain a
156 SOX2⁺/CDX2⁺ cell population for up to 10 passages, corresponding to ~30 days (Figure 2B,C). After one
157 passage (P1) the cultures were heterogeneous with some cells expressing the NMP-characteristic
158 TBXT⁺/SOX2⁺/CDX2⁺ signature. By P3, TBXT and its immediate downstream target TBX6 were
159 undetectable, but most cells continued to express CDX2 and SOX2, suggesting a loss of mesodermal
160 and a maintenance of neural potency (Figure 2B-D). Over time, the number of SOX2⁺/CDX2⁺ cells
161 decreased and *SOX2/CDX2* transcript levels were dramatically reduced (Figure 2B,C). Replicate
162 experiments showed the same trend, but the downregulation of *CDX2/SOX2* transcripts occurred at
163 different rates (Figure 2 - figure supplement 1A,B).

164

165 By P5, the cell population had segregated into two types, as judged by bright-field and
166 immunofluorescence imaging (Figure 2B and 2E): one formed compact SOX2⁺/CDX2⁺ cell colonies,
167 while the other was negative for SOX2/CDX2 and had acquired mesenchymal characteristics such as
168 cell spreading and SNAI1 expression (Figure 2F). The SOX2⁺/CDX2⁺ cells appeared to be epithelial,
169 based on the accumulation of mEGFP-tagged zona occludens (ZO)-1 at tight junctions in transgenic

170 AICS iPSCs (Figure 2G). Together, our results showed that prolonged exposure of hPSCs to Wnt/FGF
171 signalling with inhibition of RA signalling generates a semi-stable epithelial SOX2⁺/CDX2⁺ progenitor
172 population that could be maintained for up to 10 passages.

173 **NMPs form neural progenitors and neural crest derivatives over time**

174 To investigate gene expression changes during the transition of NMP-like cells into epithelial and
175 mesenchymal populations, we profiled the transcriptomes of our cultures by bulk RNA-Seq across
176 twelve time points from 24h after seeding hESCs (time 0, t0) to P10. Analysis of principle components
177 1 and 2 (PC1 and PC2) showed that most biological replicates (n=2-3) clustered together and PC1 (43%
178 variation) separated according to the duration between time points (Figure 3–figure supplement 1A).
179 Some outliers were identified, which we presume to be a reflection of biological variation in our
180 experiments. In support of this, outliers such P1.r1 and P2.r1 associated with the previous passage,
181 such that P2.r1 clustered more closely to P1.r2 and P1.r3, suggesting that replicate 1 (r1) differentiated
182 through the same transitions, but at a slower pace than r2 and r3.

183

184 Next, k-means hierarchical clustering was applied to all gene-specific profiles that were significantly
185 different over at least two consecutive time points. Each of the gene clusters showed a distinct
186 transcriptional behaviour over time (Figure 3A, Supplementary file 2). The genes of each cluster were
187 analysed for enriched gene associated biological processes and the most significant four biological
188 process gene ontology terms are listed in Figure 3B (Supplementary file 3). Clusters 2 (C2) and 6 (C6)
189 showed elevated gene expression from P1 to P8, when cells robustly expressed SOX2 and CDX2.
190 Consistent with the role of CDX2 in regulating colinear *HOX* gene expression, *CDX2* and *HOX(1-9)* genes
191 were grouped together in C2, which showed ‘regionalization’ as the most enriched biological process
192 (Amin et al., 2016; Neijts et al., 2017). Conversely, *SOX2* was clustered with other neural fate
193 determinants including *SOX21*, *SP8* and *GBX2* in C6 (X. Li, Liu, Qiu, & Yang, 2014; Luu, Ellisor, & Zervas,
194 2011; Sandberg, Kallstrom, & Muhr, 2005). Not surprisingly, this cluster was linked strongly with
195 various biological functions of neurogenesis. As expected, the most posterior HOX genes were found
196 in C4 and C9, which showed a peak of expression around P7-P8 and P9-P10, respectively. This was in
197 line with previous findings indicating *HOX13* genes retro-inhibit anterior *HOX* and *CDX2* transcription
198 (Denans, Imura, & Pourquie, 2015). Thus, we observed full colinear *HOX(1-13)* gene expression across
199 ten passages (Figures 3C,D). The onset of terminal *HOX* gene expression varied in later passages,
200 possibly reflecting slight variation in differentiation rates between experiments (Figure 3A,C, Figure
201 3– figure supplement 2A,B). In parallel with the onset of terminal HOX expression, C4 and C9 included
202 genes with elevated expression at P9 and P10 (Figure 3A). These clusters were enriched for
203 differentiated tissues such as the skeletal system (C9) and the circulatory system (C4) suggesting that

204 cells at P7/P8 start to differentiate and this provides a genetic explanation for the decrease in cell
205 viability and the increase in cell spreading at late passages (Figure 3A,B,E).

206

207 Similar to C4, C1 consisted of genes upregulated at P9/P10. C1 and C4 genes were enriched for cell
208 death, cell migration and neural crest-related biological processes such as ossification, suggesting
209 some loss of cell viability and the onset of cell differentiation in these later passages (Figure 3A,B).
210 Together, these results suggest that cells become neural crest-like and then terminally differentiate,
211 which would be in keeping with the crest-related tissue types identified within the GO term analysis
212 of C4 and C9. This is also consistent with the decrease in cell viability, which we observe towards
213 passage 10. Thus, NMPs form neural progenitors that become more posterior over time, together with
214 subpopulations of migratory neural crest (NC) cells.

215

216 **NMP-derived cells stabilise as epithelial pre-neural progenitors**

217 To determine the extent to which NMP-derived cells undergo differentiation, epithelial and
218 mesenchymal cells were enzymatically separated at P5, profiled by bulk RNA-Seq, and compared with
219 the original NMP-like transcriptional profiles (Figure 4 - figure supplement 1A). The temporal
220 progression from 36h to P5 accounted for the majority of gene variation (PC1, ~70%) that was
221 detected. The lineage bifurcation of NMP descendants led to the identification of 907 differentially
222 expressed genes between epithelial and mesenchymal cells (426 genes up in epithelial and 481 genes
223 up in mesenchymal cells; FDR <1%, ≥ 2 -fold change, DESeq2 base mean >100 reads—supplementary
224 file 4). Strikingly, the enrichment analysis of upregulated genes for cellular component GO terms
225 showed that epithelial and mesenchymal cells were linked to key attributes of nerve cell
226 differentiation (e.g. 'synapse' and 'axon') and neural crest cell migration (e.g. 'extracellular matrix' and
227 'adherens junction'), respectively (Figure 4A,B). Molecular function GO terms for both samples were
228 similar, and primarily reflected the large number of transcription factors expressed, but also included
229 'growth factor binding' terms which represented WNT/FGF signalling genes in addition to TGF β
230 superfamily signalling genes (Supplementary file 5). Few of these genes were differentially expressed
231 between epithelial and mesenchymal samples, and they included both positive (BMP4/5/7) and
232 negative (GREM1 and CER1) regulators of TGF β signalling (Figure 4 – figure supplement 1B,
233 Supplementary file 5). Together this analysis further suggests that the epithelial cells, unlike the
234 mesenchymal cells, are a neuronal cell type and that endogenous signalling events influence cell
235 identity over time.

236

237 Next, a panel of previously established NMP, pre-neural progenitors (PNP) and neural progenitor (NP)
238 marker genes were used to pinpoint neural progression *in vitro* (Isabel Olivera-Martinez et al., 2014;
239 Ribes et al., 2008; Verrier et al., 2018). As expected, 36h cells were positive for NMP markers (*FGF8*,
240 *WNT3A* and *TBXT*) and NMP/PNP (*SOX2*, *NKX1-2* and *WNT8A/C*), while the NP determinants *PAX6*,
241 *IRX3* and *SOX1* were hardly transcribed (Figure 4C). By P5, epithelial cells had lost most NMP-exclusive
242 expression, while the PNP markers *SOX2* and *NKX1-2* were retained (Figure 4D). *NEUROG2* and *FGFR2*,
243 two PNT/NT markers, were also active in P5 epithelial cells (Isabel Olivera-Martinez et al., 2014; Ribes
244 et al., 2008). Furthermore, neural progenitor markers were low or absent in epithelial P5 cells (Figure
245 4D). Immunofluorescence for *TBXT*, *SOX2* and *PAX6* confirmed this transcriptional analysis, some of
246 which was further validated by RT-qPCR (Figure 4 – figure supplement 1C). Together, we find that
247 epithelial colonies have a PNP identity and do not express key neural maturation genes.

248

249 **NMP-derived mesenchymal cells are NC**

250 We next sought to determine the identity of the mesenchymal cells. *In vitro* studies have revealed
251 that NMPs can become trunk NC cells, a migratory mesenchymal cell population which goes on to
252 form tissues including cartilage, bone and smooth muscle (Frith et al., 2018; Hackland et al., 2019;
253 Leung et al., 2016). Moreover, our bulk RNA-Seq suggested that over passaging there was an increase
254 in genes associated with cell migration and NC derivatives, concomitant with the reduction of
255 epithelial cells and increase of differentiating mesenchymal cells in late passages (Figures 2E and
256 3A,B). Thus, we first determined whether mesenchymal P5 cells had acquired NC-specific gene
257 expression. Transcriptome-wide analysis showed that several NC markers genes, including *SNAI1*,
258 *SOX9* and *SOX10*, were significantly higher in mesenchymal cells compared with their epithelial PNP
259 counterparts (Figure 5A). This was corroborated by immunofluorescence of P5 tissue cultures, which
260 showed *SNAI1*⁺ and *SOX10*⁺ mesenchymal cells scattered between *SOX2*⁺/*CDX2*⁺ PNP colonies (Figures
261 2B and 5B). In support of a posterior NC identity, mesenchymal P5 and P8 cells progressively expressed
262 more posterior *HOX* genes, mirroring the PNP rostrocaudal identity (Figure 3C). By contrast, the cranial
263 NC marker *ETS1* was only detectable in a few mesenchymal cells (Figure 5C). To determine if
264 mesenchymal cells were capable of generating NC derivatives, mesenchymal P5 cells were exposed to
265 1% fetal calf serum (FCS) for 7 days to convert them into NC-derived vasculature smooth muscle,
266 containing cytoplasmic fibres of α -smooth muscle actin (α -SMA also known as *ACTA2*; Figure 5D,E)
267 (Mohlin et al., 2019). Together, these results show that the mesenchymal cells surrounding PNPs are
268 functional posterior NC cells.

269

270 **NMP-derived trunk PNPs are stem cell-like and give rise to migratory NC**

271 The immunofluorescence analysis of fixed PNP/NC cell cultures revealed that some nuclei found
272 within tightly clustered PNP colonies were negative for CDX2, but positive for SNAI1, suggesting that
273 they are undergoing epithelial-to-mesenchymal transition (EMT) and becoming NC cells (Cano et al.,
274 2000; Simoes-Costa & Bronner, 2015) (Figure 6A, 2B,E). To test this idea, PNP colonies (CDX2⁺/SNAI1⁻
275) purified from NC cells using selective detachment were sub-cultured for four passages (P+1 to P+4)
276 (Figure 6B). Immunofluorescence staining showed that, despite the near complete absence of SNAI1⁺
277 NC cells in P+1 cultures, by P+4 30% of the cells were mesenchymal (CDX2⁻/SNAI1⁺) suggesting that
278 PNPs undergo EMT to generate NC cells (Figure 6B,C). To exclude the possibility that after PNP
279 purification, a few remaining NC cells repopulate the culture over passaging, single PNP or NC cells
280 were plated by fluorescence-activated cell sorting (FACS) into single wells (Figure 6 - figure supplement
281 1A). No colonies arose from single NC cells, suggesting that these cells have limited proliferative
282 capacity. By contrast, single PNPs gave rise to clonal cell lines which consisted of epithelial colonies
283 (CDX2⁺/SOX2⁺), and surrounding NC cells (Figure 6D,E). Thus, the PNPs showed stem cell-like
284 behaviour by undergoing self-renewal and differentiating to form NC cells.

285

286 **Modulation of TGF- β and SHH signalling locks in PNP rostrocaudal axis information**

287 We have shown that the combined modulation of Wnt/FGF and RA signalling generated posterior
288 PNPs. However, transcriptomics and lineage analysis indicated that PNP maintenance is compromised
289 by NC bifurcations, the progressive activation of more posterior HOX genes, and late-passage
290 differentiation/cell death. In an attempt to prevent this progressive posteriorisation and NC
291 commitment, we supplemented our culture medium with modulators of other signalling pathways
292 (Figure 7A). Inhibitors of Activin/Nodal (SB431542, SB) and BMP (LDN193189, LDN) signalling were
293 used to suppress EMT and NC specification (Cuny et al., 2008; Das, Becker, Hoffmann, & Mertz, 2009;
294 Halder, Beauchamp, & Datta, 2005; Inman et al., 2002; K. F. Liem, Jr., Tremml, & Jessell, 1997;
295 Stuhlmiller & Garcia-Castro, 2012). Furthermore, to mimic signals that arise from the notochord to
296 ventralise neural progenitors during neural tube folding/cavitation, a smoothed agonist (SAG) was
297 used to stimulate Sonic Hedgehog (SHH) signalling (Jessell, 2000; Sasai et al., 2014).

298

299 The combined addition of SB and LDN (+SBLDN) or SB and SAG (+SBSAG) at P3 resulted in stabilisation
300 of PNPs for over 15 passages (60 days). At early passages (P5/P6), the addition of small molecules did
301 not compromise the formation of CDX2⁺/SOX2⁺ PNPs, which organised into typical tightly associated
302 colonies surrounded by loosely packed SNAI1⁺ cells. (Figure 7B, Figure 7 - figure supplement 1A).
303 However, both supplemented conditions modestly increased the percentage of SOX2⁺/CDX2⁺ cells as
304 quantified by flow cytometry at later passages (P9/P10) (Figure 7C, Figure 7 - figure supplement 1B).

305 Cells maintained in +SBSAG and +SBLDN significantly prolonged *CDX2* and *SOX2* gene expression for
306 up to 15 passages (Figure 7D). Moreover, based on the transcriptional profiling of *HOX* genes, the
307 positional value of the PNPs was locked at the thoracic level, considerably slowing down the
308 upregulation of terminal *HOXC13* and *HOXA13* (Figure 7E, Figure 7 - figure supplement 1C,D). Verrier
309 et al. (2018) used the dual inhibition of Nodal/Activin and BMP signals to generate RA-induced neural
310 progenitors from NMPs. However, these cells were not maintained over long time periods presumably
311 because of their exposure to RA. In our tissue cultures, the RA target *PAX6* remained silent in +SBLDN
312 or +SBSAG addition at P6/7 (Figure 7 - figure supplement 1E). These results therefore show that PNPs
313 can be locked in a thoracic identity and grown in culture for long periods of time via the addition of
314 TGF- β inhibitors to the media.

315

316 **PNPs can give rise to spinal cord neurons**

317 To establish whether these RA-deprived PNPs can generate neurons, we exposed them to a cocktail
318 of terminal differentiation inducers for 12-24 days to cause them to terminally differentiate as
319 neurons (Figure 8A). This resulted in neuronal cultures expressing the neuronal nuclei marker (NeuN),
320 neuron-specific β III-tubulin (TUJ) and *SOX2* (Figure 8B). As expected, higher yields of *ISL1*⁺ and *CHX10*⁺
321 cells were noted in +SBSAG PNP-derived cultures, suggesting SHH signalling promotes the
322 specification of motor neurons (*ISL1*⁺/*TUJ*⁺) or V2a interneurons (*CHX10*⁺/*TUJ*⁺) (Figure 8C) (Clovis et
323 al., 2016; Le Dréau & Martí, 2012; Thaler, Lee, Jurata, Gill, & Pfaff, 2002). Together, these results show
324 that PNPs grown with or without TGF- β inhibitors have the potential to generate neuronal derivatives
325 and that PNP may be responsive to ventralising signals.

326

327 **DISCUSSION**

328 The NMP niche is maintained by Wnt/FGF-mediated autoregulatory loops, CYP26A1-mediated RA
329 signal suppression, and active RAR γ -mediated transcriptional repression (Abu-Abed et al., 2001;
330 Cunningham, Kumar, Yamaguchi, & Duester, 2015; Deng et al., 1994; Amanda Janesick et al., 2014;
331 Koide, Downes, Chandraratna, Blumberg, & Umesono, 2001; Liu et al., 1999; B. L. Martin & Kimelman,
332 2008; Benjamin L. Martin & Kimelman, 2010; Sakai et al., 2001; Takada et al., 1994; Takemoto,
333 Uchikawa, Kamachi, & Kondoh, 2006; Yamaguchi, Takada, Yoshikawa, Wu, & McMahon, 1999). By
334 simultaneously controlling these multiple signalling pathways *in vitro*, we have generated regionalised
335 spinal cord progenitors and neural crest cells from hPSCs. Our protocol consistently yields a well-
336 defined population of PNPs and NC cells characteristic of different positions along the rostrocaudal
337 axis, providing a valuable source of spinal cord cells and neural crest which might be used for
338 therapeutic applications, drug screening, or detailed disease modelling. In particular, we hope that

339 use of our protocol will improve our understanding of selective neuronal vulnerability, a recognised,
340 yet poorly understood feature of neurodegenerative disease and spinal cord injury.

341

342 Previous studies show that *in vitro* generated NMPs, when passaged back into FGF/CHIR or CHIR
343 alone, commit to a mesodermal lineage (Gouti et al., 2014; Turner et al., 2014). In contrast, we
344 observed a gradual decrease in TBXT and TBX6 expression, and commitment of NMP-like cells to
345 a neural trajectory. Interestingly, this appeared to occur independently of RA signalling. More
346 recently, Edri *et al.*, (2019) found NMPs differentiated from mouse epiblast stem cells (EpiSCs), rather
347 than ESCs, resemble more accurately their counterparts *in vivo* and have a similar progressive
348 commitment to a neural fate after passaging (Edri et al., 2019). This is in line with our observations
349 using hPSCs, and could reflect that hPSCs correspond more closely to the primed pluripotency state
350 of mouse EpiSCs (Brons et al., 2007; Nichols & Smith, 2011). Compared to previous studies of *in vitro*-
351 derived NMPs, the PNPs reported here are a more stable source of spinal cord as their maintenance
352 does not depend on the delicate balance between TBXT and SOX2, and they do not express critical
353 RA-responsive neurogenic genes like PAX6 and SOX1, which would promote their fate progression to
354 spinal cord neurons (Gentsch, Monteiro, & Smith, 2017; A. Janesick, Wu, & Blumberg, 2015).

355 While PNPs were efficiently derived from NMPs, their long-term maintenance was accompanied by
356 progressive posteriorisation and NC delamination. Thus, to promote PNP self-renewal, we tried to
357 mimic the niche environment of axial stem cells by inhibiting TGF β signalling and stimulating hedgehog
358 signalling. TGF β signal inhibition favoured PNP fate over time and locked progenitors in a thoracic HOX
359 identity for over 15 passages, identifying the importance of TGF β signal inhibition in PNT/ PNP
360 formation. Previous *in vivo* data supports this observation, as the inhibitory TGF β signal transducer
361 *SMAD6*, is specifically expressed in the PNT, while *FST* is required for dorsal-ventral patterning and
362 neuronal fate specification in response to SHH signalling (K. F. Liem, Jessell, & Briscoe, 2000; Isabel
363 Olivera-Martinez et al., 2014). Specifically, our data indicates Actvin/Nodal pathway inhibition may be
364 sufficient to promote PNP identity in our culture, but perhaps acting to further enforce TGF β
365 superfamily inhibition which occurs at a low-level through FGF mediated repression of the BMP
366 pathway (Furthauer, Van Celst, Thisse, & Thisse, 2004; Guo & Wang, 2009; Pera, Ikeda, Eivers, & De
367 Robertis, 2003).

368 Our work also established that PNPs undergo EMT to form NC cells with corresponding rostrocaudal
369 identity. Recent studies have shown that cranial NC is specified at the neural plate border and trunk
370 NC arises from the NMP niche (Frith et al., 2018; Stuhlmiller & Garcia-Castro, 2012; Wymeersch et al.,
371 2016). Concurrent with this idea, we demonstrated that NMP-derived PNPs have the potential to

372 generate trunk NC cells (Figure 9). Single PNPs gave rise to more PNPs ('self-renewal') and migratory
373 NC cells indicating that trunk NC cells delaminate from the PNT (Frith et al., 2018; Gouti, Metzis, &
374 Briscoe, 2015; Sasai et al., 2014). Here we are able to maintain PNPs which give rise to NC as they
375 progress through a rostral to caudal identity. We did not observe any direct NC specification from
376 NMPs. However, this remains a possibility which will be interesting to explore further.

377

378 **ACKNOWLEDGEMENTS**

379 We thank members of the following scientific platforms and units of the Francis Crick Institute for
380 their expertise, support and use of the facilities: advanced sequencing facility, advanced light
381 microscopy facility, the human embryo and stem cell unit, bioinformatics and biostatistics and
382 research illustration and graphics. We also thank Rickie Patani, Jamie Mitchell, James Briscoe, Vicki
383 Metz, Teresa Rayon, Alessia Caramello, Robin Lovell-Badge, Siew-Lan Ang and Francois Guillemot for
384 advice, help and reagents; Rebecca Jones and Clara Collart for critical reading of the manuscript; and
385 the Smith lab for discussions and advice. This work was supported by the Francis Crick Institute which
386 receives its core funding from Cancer Research UK (FC001-157), the UK Medical Research Council
387 (FC001-157), and the Wellcome Trust (FC001-157) *and* a British Heart Foundation award
388 (FS/12/37/29516). A.S.B. was supported by the British Heart Foundation (FS/12/37/29516) and is now
389 supported by the Wellcome Trust (210987/Z/18/Z). J.C.S. was supported by the Medical Research
390 Council (program number U117597140) and is now supported by the Francis Crick Institute, which
391 receives its core funding from Cancer Research UK (FC001-157), the UK Medical Research Council
392 (FC001-157), and the Wellcome Trust (FC001-157).

393

394 **CONTRIBUTIONS**

395 FC: Conceptualization, Validation, Conceptualization, Methodology, Investigation, Formal analysis,
396 Writing—original draft, Supervision, Project administration

397 GEG: Conceptualization, Methodology, Investigation, Supervision, Project administration, Writing—
398 review & editing

399 RM: Software, Methodology, Formal analysis, Writing—review & editing

400 CB: Investigation, Writing—review & editing

401 LH: Methodology, Investigation, Resources, Writing—review & editing

402 ARH: Investigation

403 JCS: Conceptualization, Writing—review & editing, Supervision, Funding acquisition

404 ASB: Conceptualization, Methodology, Investigation, Writing—review & editing, Supervision, Project
405 administration, Funding acquisition

406

407 **COMPETING INTERESTS**

408 The authors have no competing interests to declare

409

410 **METHODS**

411 **Human pluripotent stem cell culture**

412 Human ESCs (WA09 and WA01, WiCell) and human iPSCs (AICS-23, Allen Institute) were maintained in
413 feeder-free cultures, plated on Corning Matrigel Growth Factor Reduced (GFR) Basement Membrane
414 Matrix (Corning Incorporated, 354230) and grown in mTESR1 (STEMCELL technologies, 85850). Cells were
415 passaged as aggregates at a ratio of 1:10/15 using Gibco Versene Solution (Thermo Fisher Scientific,
416 15040066). All experiments were completed within 15 passages after recovery from cryopreservation and
417 screened for mycoplasma monthly. Prior to cryopreservation, hPSCs were assessed for genetic stability by
418 KaryoStat and indicators of pluripotency were assessed by PluriTest (Thermo Fisher Scientific). hPSCs were
419 subject to routine pluripotency using BD Stemflow Human and Mouse Pluripotent Stem Cell Analysis Kit
420 (BD Biosciences, 560477) as recommended by the manufacturers, or by immunostaining against OCT3/4,
421 SOX2 and NANOG (see Table S5 for antibody details) using the standard immunostaining protocol below.
422 All experiments with hESCs were approved by the UK Stem Cell Bank steering committee (SCSC13-03).

423

424 **NMP differentiation**

425 For differentiation into NMPs, confluent hPSCs were dissociated into single cells using Gibco TrypLE Express
426 (Thermo Fisher Scientific, 12604013) and plated at a density of 50,000 cells/cm² on Matrigel hESC-
427 Qualified Matrix (Corning Incorporated, 354277). Cells were plated in mTESR1 supplemented with 10 μM
428 Y-27632 (Tocris, 1254) for a 24h to 36h to allow recovery before starting differentiation into NMPs.
429 Following recovery time, cells were grown in Dulbecco's Modified Eagle Medium/Nutrient Mixture F-12
430 (DMEM/F-12, Thermo Fisher Scientific, 10565018) supplemented with 1x Gibco B-27 supplement minus
431 vitamin A (Thermo Fisher Scientific, 12587010) and 1x Gibco N2 (Thermo Fisher Scientific, 17502048), 4-6
432 μM CHIR-99021 (Selleck Chem, S2924-SEL-5mg), 10 μM AGN193109 sodium salt (Santa Cruz, sc-210768)
433 and 20 ng/ml FGF2 (R&D systems, 233-FB-025) referred to from now on as NMP differentiation medium.
434 NMP differentiation medium was supplemented with and 5 μM Y-27632 (Tocris).

435

436 **PNP long term culture**

437 To generate PNPs, NMPs were passaged at 36h using TrypLE express (Thermo Fisher Scientific) and when
438 confluent thereafter. Cells were passaged as single cells at a ratio of 1:6 into NMP differentiation medium,
439 supplemented with 10 μM Y-27632 (Tocris). During passage 1 to 3 progenitors were found to detach from
440 the dish forming spheres. If this occurred, spheres were dissociated into single cells and re-plated
441 immediately. PNP generation was more successful if cells did not detach, therefore, to prevent cells

442 detaching during this period cells were passaged before reaching high confluency. In addition, cells were
443 only removed from the 37°C incubator when ready to passage, as the temperature fluctuations promoted
444 detachment. From passage 3 cells were grown NMP differentiation medium supplemented with 5 µM Y-
445 27632 (Tocris). Human iPSCs were found to detach more readily than hESCs. PNPs could be maintained, for
446 8 to 12 passages using standard conditions as above, passaging every 3-4 days when 80-90% confluent. To
447 lock A-P axis progression, 2 µM SB431542 (CELL guidance systems, SM33-10) and 100 nM LDN193189
448 (Sigma-Aldrich, SML0559-5MG) or SB431542 (CELL guidance systems, SM33-10) and 500nM smoothed
449 agonist (SAG, Sigma-Aldrich, 566660-1mg) were added to NMP differentiation medium at passage 3. For
450 selective detachment, 90% confluent PNPs were washed with PBS and treated with TrypLE express
451 (Thermo Fisher Scientific) at 37°C for 3-5 mins. When mesenchymal cells started to detach, cells were
452 gently removed by tilting the plate side-to-side. TrypLE containing the detached mesenchymal cells was
453 carefully removed. Remaining epithelial cells were washed off the vessel using basal medium.

454

455 **Neuronal differentiation**

456 To generate neurons, we used a modified protocol based on a previously published neural
457 differentiation protocol (Lippmann et al., 2015). 80-90% confluent PNP/NC cultures were dissociated
458 to single cells and plated at 1:20-1:30 onto Matrigel hESC-Qualified matrix (Corning) into the
459 applicable former culture medium (NMP differentiation medium plus or minus SB/LDN or SB/SAG).
460 24h after plating, medium was replaced with neural differentiation medium consisting of Gibco neural
461 basal medium (Thermo Fisher Scientific, 21103049) supplemented with Gibco 1x B-27 supplement
462 (Thermo Fisher Scientific, 17504044) and 1x N2 (Thermo Fisher Scientific), 2 µM DAPT (Chem Cruz, sc-
463 201315) and 10 ng/ml brain-derived neurotrophic factor (BDNF, PeproTech, 450-02-2UG), 10 ng/ml
464 glial-derived neurotrophic factor (GDNF, PeproTech, 450-10-2UG), 100 nM retinoic acid (RA, Sigma
465 Aldrich, sc-210768) and 1 mM cAMP (Sigma Aldrich, A6885-100mg) for 12-24 days. When 100%
466 confluent cells were passaged using TrypLE express and replated as single cells into neural
467 differentiation medium (as above) supplemented with 10 µM Y-27632 (Tocris) for the first 24h. During
468 neural induction and maintenance, growth medium was replaced every 48h.

469

470 **Neural crest differentiation**

471 To differentiate NC cells, 80-90% confluent PNP/NC cultures at P5 were dissociated to single cells and
472 plated at 1:10 onto Matrigel hESC-Qualified matrix (Corning) into DMEM:F12 (Thermo Fisher
473 Scientific) supplemented with 1x B27 supplement (Thermo Fisher Scientific) and 1% Fetal Bovine
474 Serum (FBS, Sigma Aldrich, F754) (Mohlin et al., 2019). Medium was replenished every 48h for 7 days.

475

476 **Immunofluorescence microscopy**

477 Cells were cultured in 8 or 12 well μ -slides (Ibidi) and fixed by adding ice-cold 4% Pierce formaldehyde
478 (w/v) methanol-free (Thermo Fisher Scientific, 28908) in PBS for 10-15 mins. Cells were permeabilised
479 in PBS supplemented with 0.1% (v/v) Triton-X100 (Sigma Aldrich, T8787-250ML) for 10 mins and then
480 blocked solution consisting of PBS supplemented with 0.1% (v/v) Triton-X100 (Sigma Aldrich), 5% (v/v)
481 Donkey serum (Merck Millipore, S30-100ML) for more than 1h at room temperature. Primary
482 antibodies were incubated in blocking solution at 4°C overnight in concentrations detailed in Table S6.
483 Cells were then washed in PBS and incubated in Donkey AlexaFluor conjugated secondary antibodies
484 (Abcam) diluted at 1:400 in blocking solution for more than 1 hour at room temperature. Cells were
485 mounted in Vectorshield antifade mounting medium containing DAPI (Vector Laboratories, H-1200-
486 10). Cells were imaged using two imaging systems; 1) by a Zeiss LSM710 confocal microscope (Carl
487 Zeiss AG) using Zeiss Plan-Apochromat 20x/0.8 or 10x/0.45 objective (Carl Zeiss AG) controlled by ZEN
488 Black 2012 software (Carl Zeiss AG); and 2) by an inverted Olympus IX83 microscope (Olympus
489 Corporation) using an Olympus super-apochromatic 20x/0.75 objective (Olympus Corporation),
490 captured using a Hamamatsu Flash 4.0 sCMOS camera (Hamamatsu photonics), a Spectra X(LED) light-
491 source (Lumencore) and controlled by CellSens Dimension software (Olympus Corporation)). Post-
492 acquisition analysis was performed using (Fiji) Image J (Schindelin et al., 2012). Briefly, nuclear
493 segmentation was achieved using a fixed binary threshold using DAPI, the fluorescence intensity
494 (mean grey value) of each channel was masked back to nuclei.

495

496 **Flow Cytometry**

497 Cells were collected using Gibco TrypLE express (Thermo Fisher Scientific) dissociation, fixed by adding
498 ice-cold 4% Pierce formaldehyde (w/v) methanol-free (Thermo Fisher Scientific) in PBS for 15 mins,
499 and washed using PBS. Cells were permeabilised with PBS/0.5% Triton-X100 for 15m and blocked with
500 PBS supplemented with 0.1% (v/v) Triton-X100 (Sigma Aldrich), 1% BSA fraction V (w/v) (Sigma-
501 Aldrich, A3059) for 1hr while mixing on a slow speed gyratory motion shaker. Primary incubations
502 were completed in blocking buffer using Alexa Fluor 488 Mouse anti-SOX2 (BD Pharmingen, O30-678)
503 and Alexa Fluor® 647 Mouse anti-CDX-2 (BD Pharmingen, M39-711,). After washes, fluorescence was
504 immediately measured on a LSR II cytometer (BD Biosciences) and results were analysed using FlowJo
505 software (FlowJo LLC). Gates used to determine percentage of positive cells were designed based on
506 fluorescence levels detected in the control samples, which included both Alexa Fluor 488 Mouse IgG1
507 κ (MOPC-21, BD Pharmingen and Alexa Fluor 647 Mouse IgG1 κ (BD Pharmingen, MOPC-31C) isotype
508 control isotype and unstained sample. Aldehyde dehydrogenase activity was measured as per the
509 manufacturer's guidelines using the ALDEFLUOR Kit (STEMCELL Technologies, 01700). Fluorescence

510 was measured on a LSR II cytometer (BD Biosciences) and analysed using FlowJo software (FlowJo
511 LLC).

512

513 **Clonal expansion of PNP and NC cells**

514 To generate sub-clonal PNP and NC cell lines, passage 5 cells were selectively detached and dissociated
515 into single cells using TrypLE express (Thermo Fisher Scientific) as previously described. Cells were
516 resuspended into RPMI 1640 (Thermo Fisher Scientific, 32404-014) supplemented with 10% (v/v)
517 KnockOut serum replacement, (KSR, Thermo Fisher Scientific, 10828028) and 10 μ M Y-27632 (Tocris).
518 Cells were sorted using a MoFlo XPD (Beckman Coulter) using FSC and SSC profile to select single, live
519 cells. Cells were sorted into Matrigel hESC-Qualified Matrix (Corning) coated 96 well plates (Corning)
520 containing NMP differentiation medium. Surviving cells were subsequently passaged TrypLE express
521 (Thermo Fisher Scientific) to expand clonal population as previously described above.

522

523 **RNA extraction, cDNA synthesis and qPCR**

524 Total RNA extraction was completed using RNEasy mini kit (Qiagen, 74106) following the
525 manufacturer's instructions. cDNA was synthesised using Maxima First Strand cDNA Synthesis Kit for
526 RT-qPCR with dsDNase (Thermo Fisher Scientific, K1672) following manufacturer's instructions with
527 the addition of a dilution step where cDNA was diluted 1:60 in water. qPCR analysis was performed
528 using primers detailed in Table S5 on a Roche Lightcycler 480 II (Roche Holding AG) using LightCycler
529 480 SYBR Green I Master mix (Roche Holding AG, 04887352001). Relative expression was calculated
530 using the $\Delta\Delta$ Ct method, normalising each gene to porphobilinogen deaminase (PBGD) levels.

531

532 **RNA-sequencing**

533 RNA was extracted using RNEasy mini kit (Qiagen) following the manufacturer's instructions including
534 recommended DNase digestion step. RNA concentration was measured on a on a GloMax (Promega
535 Corporation) and RNA integrity on TapeStation (Agilent Technologies). Libraries were prepared using
536 KAPA mRNA (PolyA) HyperPrep Kit (Roche Holding AG, KK8581) using 500 ng RNA per sample
537 according to manufacturer's instructions. Libraries were sequenced using a HiSeq 4000 (Illumina
538 Biotechnology) as follows: pooled to 4 nM, 75bp single end sequencing and up to 38 million reads per
539 sample. Data is available at the GEO repository (accession number GSE150709).

540

541 **RNA-seq analysis**

542 Reads were Illumina adapter trimmed using Cutadapt v1.16 (M. Martin, 2011) and aligned against
543 GRCh38 and Ensembl release 86 transcript annotations using STAR v2.5.2b (Dobin et al., 2013) via the

544 transcript quantification software RSEM v1.3.0 (B. Li & Dewey, 2011). Gene-level counts were rounded
545 to integers and subsequently used for differential expression analysis with DESeq2 (Love et al., 2014).
546 Differential expression analysis between pairwise replicate groups was thresholded for significance
547 based on an $FDR \leq 0.01$, a fold-change of ± 2 , and a base-mean expression of ≥ 100 . PCA analysis
548 was conducted on the normalised log transformed count data using the 10% most variable genes
549 across samples. The volcano plot depicts the FDR and logFC statistics from the group DESeq2
550 differential expression analysis between P5 epithelial and P5 mesenchymal samples. For hierarchical
551 clustering analysis, genes that maintained their significance and direction of change across 2
552 consecutive time-points were selected for visualisation in a heatmap. K-means clustering ($k=10$) was
553 used to identify distinct gene clusters of related expression. Heatmaps show gene-level normalised
554 counts, centred and scaled as z-scores. Gene ontology analysis was carried out using ToppGene Suite
555 (ToppFun function) (Chen, Bardes, Aronow, & Jegga, 2009).

556

557 **Comparison between data sets**

558 Previously published Affymetrix array data were downloaded from the NCBI Gene Expression
559 Omnibus (GEO) as GSE109267 (Frith et al., 2018). Cell files were imported into R and RMA processed
560 using the Bioconductor package oligo with default settings. Differential expression analysis between
561 NMP and hESC replicate groups was assessed using limma (Ritchie et al., 2015). Genes with an FDR
562 corrected p-value ≤ 0.01 and fold change $\geq \pm 2$ were called significant. NMP high genes from the
563 Verrier et al (2018) study were provided in supplementary data and subsequently filtered using a P-
564 value of ≤ 0.01 (Verrier et al., 2018). The overlap between each genes list representing significantly
565 upregulated genes at 36h was generated using BioVenn (Hulsen, de Vlieg, & Alkema, 2008). The
566 overlap between each gene list was found to be significant ($p < 1e-4$, hypergeometric distribution).

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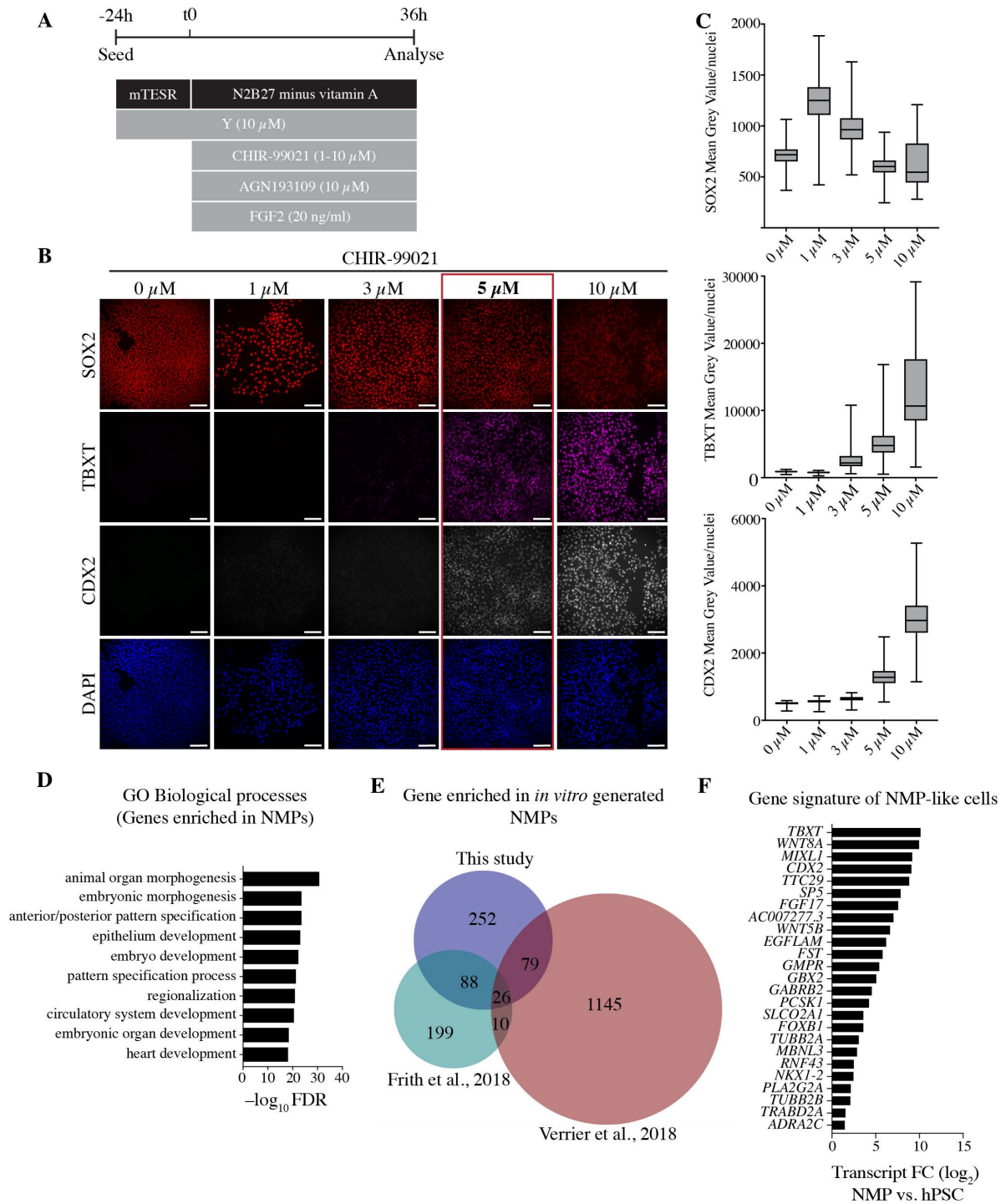
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894 **FIGURES**

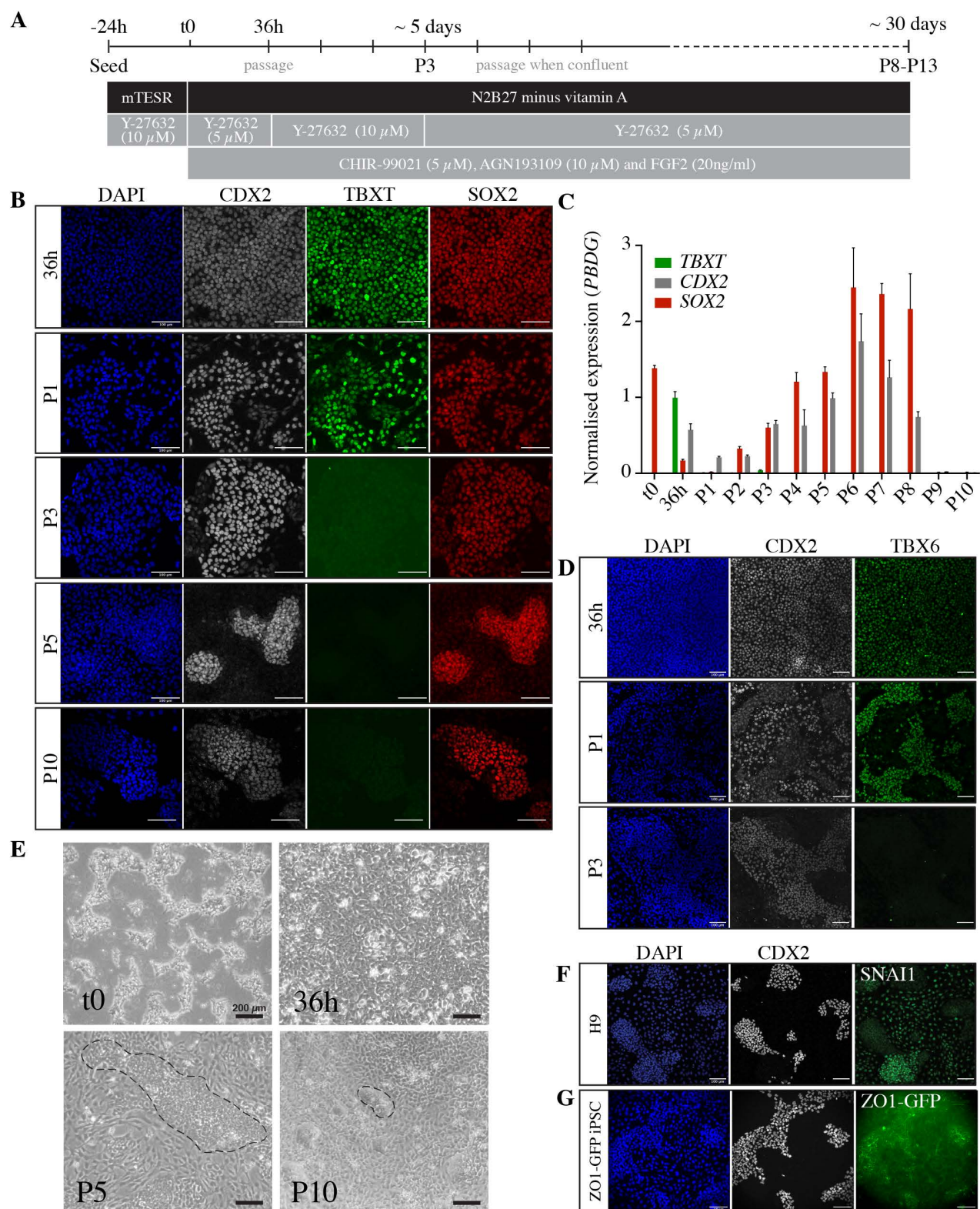


895 **Figure 1: NMP-like cells are induced by intermediate Wnt signalling in the presence of**
 896 **inhibited RA signalling.**

897 A) Tissue culture scheme for optimising NMP generation from hPSCs. hPSCs are plated 24h before
 898 exposure to FGF2 (20ng/ml), CHIR-99021 (0-10 μ M), AGN193109 (10 μ M) and Y-27632 (10 μ M) for
 899 36h. B) Representative immunostaining of 36h cultures treated as shown in (A), showing characteristic
 900 NMP markers SOX2 (red), TBXT (magenta), CDX2 (grey) and the nuclear stain DAPI (blue) under

901 different CHIR-99021 concentrations. Scale bars, 100 μ m. C) Box-plots showing mean grey
902 value/nuclei quantified from repeat experiments as shown in (B). Each plot show data points collected
903 from 2-4 experiments (>200 nuclei). D) Biological process GO analysis for genes significantly
904 upregulated in NMPs compared to pluripotent hESCs. The top 10 biological process terms with the
905 corresponding Benjamini and Hochberg adjusted p-values (FDR) are shown. E) Venn diagram showing
906 the overlap of significantly upregulated genes in NMPs as reported in this study, Frith et al., (2018)
907 and Verrier et al., (2018). F) Graph showing transcriptional fold change (FC) within the dataset of this
908 study, of 26 genes commonly upregulated in NMPs according to Venn diagram in (E).

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937 **Figure 2: Long term culture of NMPs in the presence of Wnt/FGF and inhibited RA signalling**
 938 **generates epithelial SOX2⁺/CDX2⁺ cell colonies.**

939 A) Tissue culture scheme for generating NMPs and maintaining neural progenitors *in vitro*. Cells are
 940 passaged at 36h and subsequently passaged at 80-90% confluency for up to 13 passages in FGF2
 941 (20ng/ml), CHIR-99021 (5 μM), AGN193109 (10 μM) and Y-27632 (10 or 5 μM). B) Representative

942 immunostaining of CDX2 (grey), TBXT (magenta), SOX2 (red) and nuclear stain DAPI (blue) at increasing
943 stages of tissue culture (36h, passage (P)1, P3, P5 and P10). Scale bars, 100 μ m. C) Transcriptional
944 analysis (RT-qPCR) of NMP markers at each passage up to passage 10. Expression levels are normalised
945 to the reference gene *PBDG*. Error bars show SD, (n=3 technical replicates). Data are representative of
946 three independent experiments, biological replicates provided in Figure 2 - figure supplement 1A,B.
947 D) Representative immunostaining of TBX6 (green), CDX2 (grey) and nuclear stain DAPI (blue) at 36h,
948 P1 and P3. Scale bar, 100 μ m. E) Representative brightfield images of cells at the indicated stages.
949 Dashed lines in P5 and P10 outline examples of a compact epithelial colonies, which are surrounded
950 by flat mesenchymal cells. Scale bar, 200 μ m. F) Representative immunostaining of CDX2 (grey), SNAI1
951 (green) and the nuclear stain DAPI (blue) at passage 5. Scale bar, 100 μ m. G) Representative
952 immunostaining of CDX2 (grey), GFP (ZO1-mEGFP iPSC, green) and the nuclear stain DAPI (blue) at
953 passage 5. Scale bar, 100 μ m.

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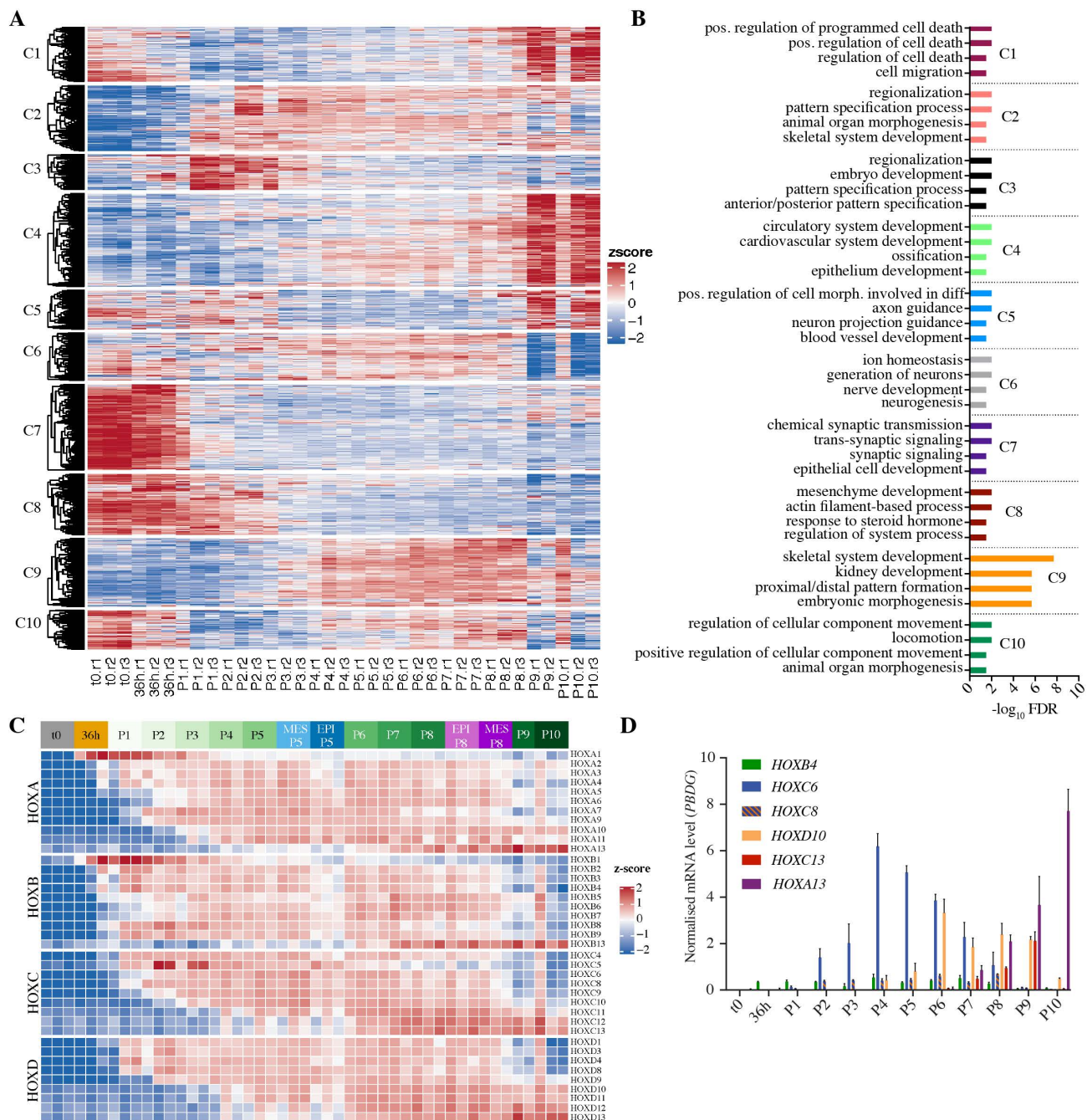
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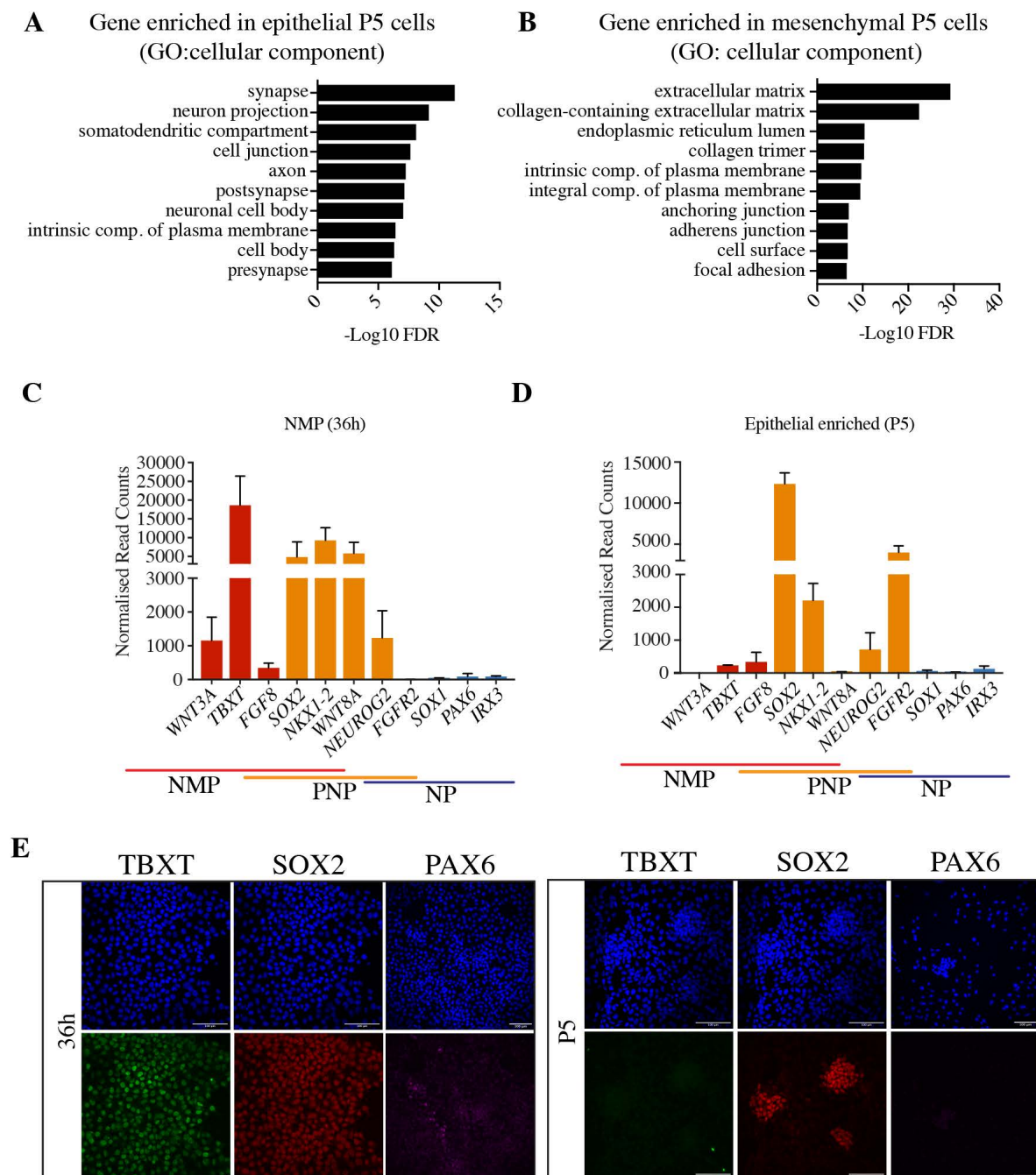
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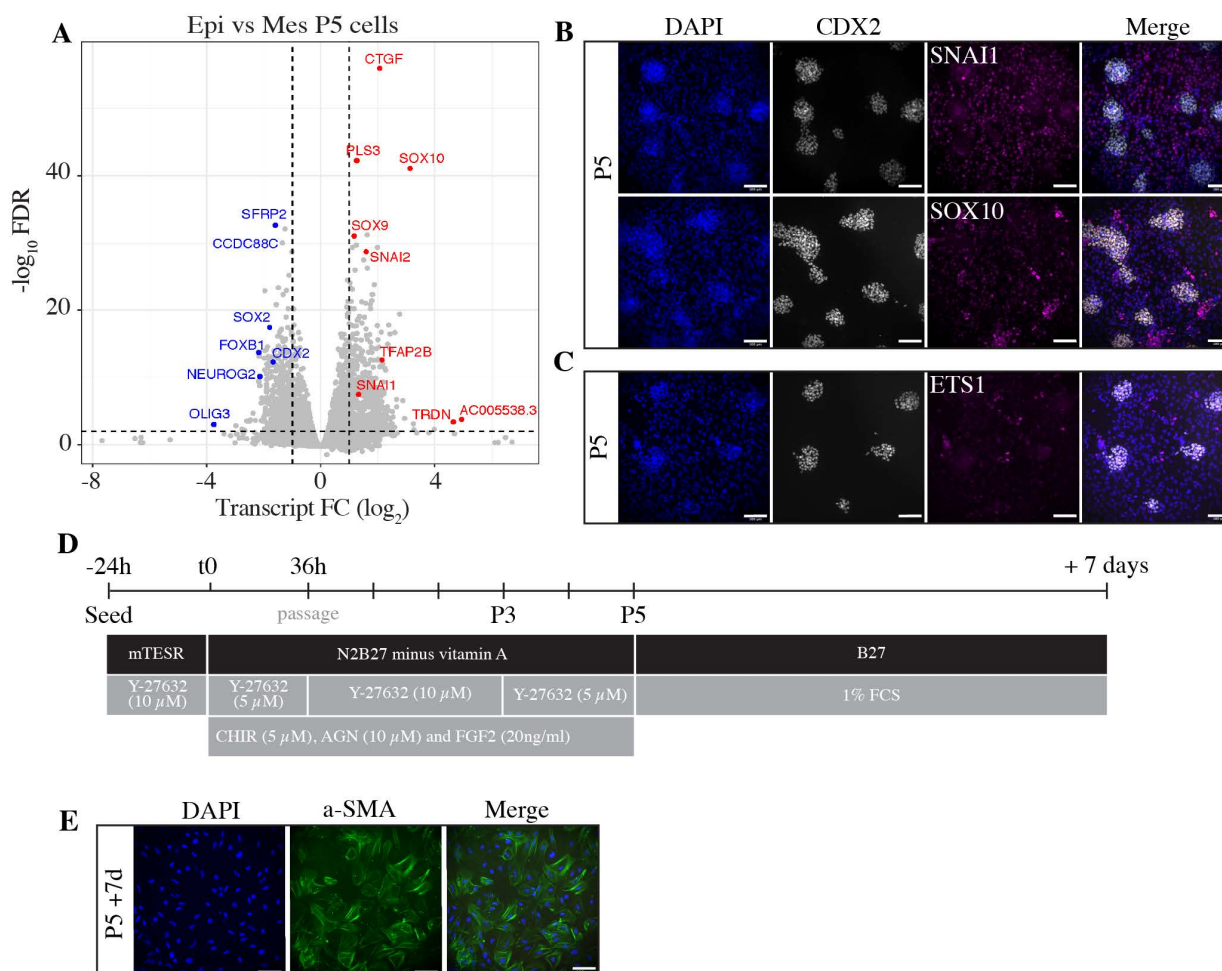
977 **Figure 3: RNA-Seq analysis indicates NMPs transition to neural progenitors and neural crest**
 978 **derivatives.**

979 A) Heatmap showing dynamically expressed genes (z-score) sorted into 10 clusters (C1-10) using k-
 980 means hierarchical clustering. Each cluster represents a different temporal expression pattern. B)
 981 Biological processes GO analysis for gene sets in each cluster shown in (A). The corresponding
 982 Benjamini and Hochberg adjusted p-values (FDR) are shown. C) Heatmap of expressed HOX(A-D)
 983 genes (z-score) across each time point including enriched epithelial (EPI) and mesenchymal (MES)
 984 samples at P5 and P8. D) Transcript levels of selected HOX genes as measured by RT-qPCR. Expression
 985 level was normalised to the reference gene *PBGD*. Error bars show SD, (n=3 technical replicates). Data
 986 are representative of three independent experiments, replicates provided in Figure 3 - figure
 987 supplement 2A,B.



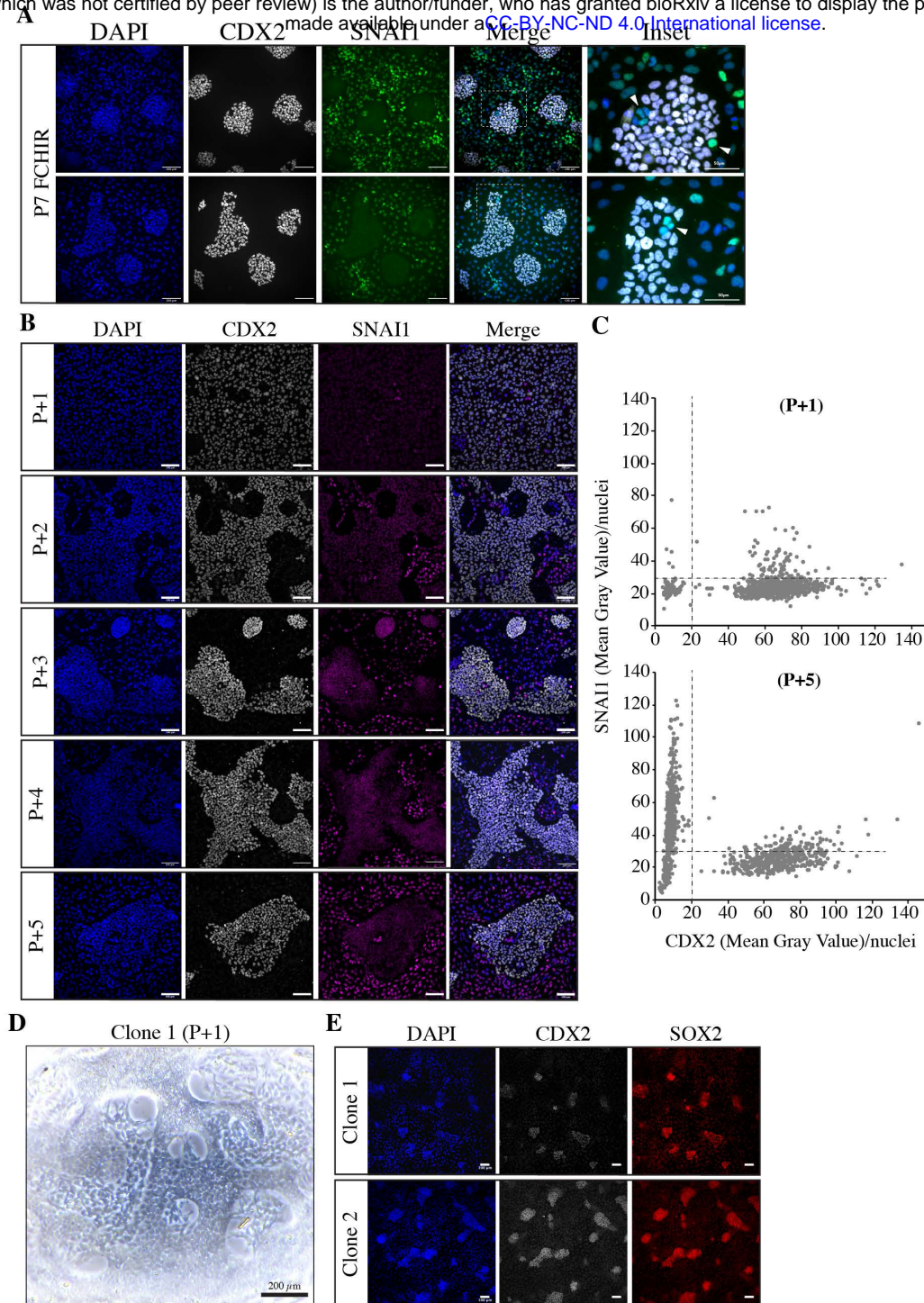
988 **Figure 4: NMP-derived cells stabilise as epithelial pre-neural progenitors.**

989 A,B) Graphs showing cellular component GO analysis for differentially expressed genes in P5 epithelial
 990 samples (A) and P5 mesenchymal samples (B). The corresponding Benjamini and Hochberg adjusted
 991 p-values (FDR) are shown. C, D) Normalised expression levels of known markers of NMPs (*WNT3A*,
 992 *TBXT*, *FGF8*, *SOX2*, *NKX1-2* and *WNT8A/C*), PNP (*SOX2*, *NKX1-2*, *WNT8A/C*, *NEUROG2* and *FGFR2*) and
 993 NPs (*PAX6*, *IRX3*, *FGFR2*, *NEUROG2* and *SOX1*) at 36h (C) and in P5 epithelial colonies (D) as determined
 994 by RNA-seq. Error bars show SEM (n = 3 biological replicates). E) Representative immunostaining of
 995 TBXT (green), SOX2 (red) and PAX6 (magenta) confirming the expression patterns shown in (A and B).
 996 Scale bars, 100 μ m.
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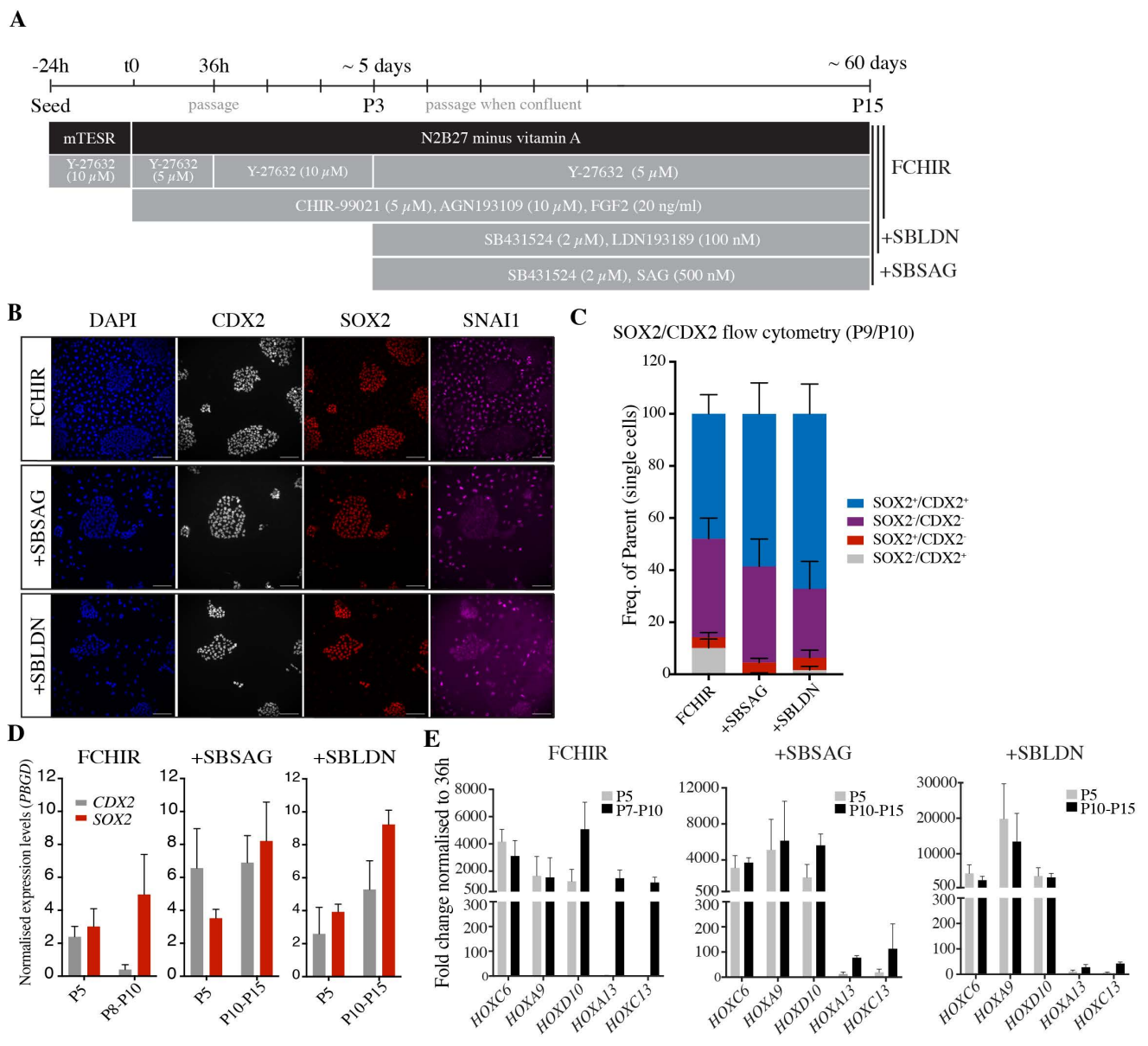
998 **Figure 5: Mesenchymal cells have a neural crest identity.**

999 A) Volcano plot showing differential expression between epithelial and mesenchymal cell at P5.
 1000 Significant genes are highlighted in blue (epithelial) and red (mesenchymal). (B,C) Representative
 1001 immunostaining of neural crest markers SNAI1, SOX10 (B) and ETS1 (C), co-stained with epithelial PNP
 1002 marker CDX2 (grey) and the nuclear stain DAPI (blue). Scale bar, 100 μ m. D) Scheme for generating
 1003 NMP/PNP-derived neural crest derivative smooth muscle. E) Representative immunostaining of α -
 1004 SMA (green) and nuclear stain DAPI (blue) in NMP/PNP-derived vasculature smooth muscle cells. Scale
 1005 bar, 100 μ m.
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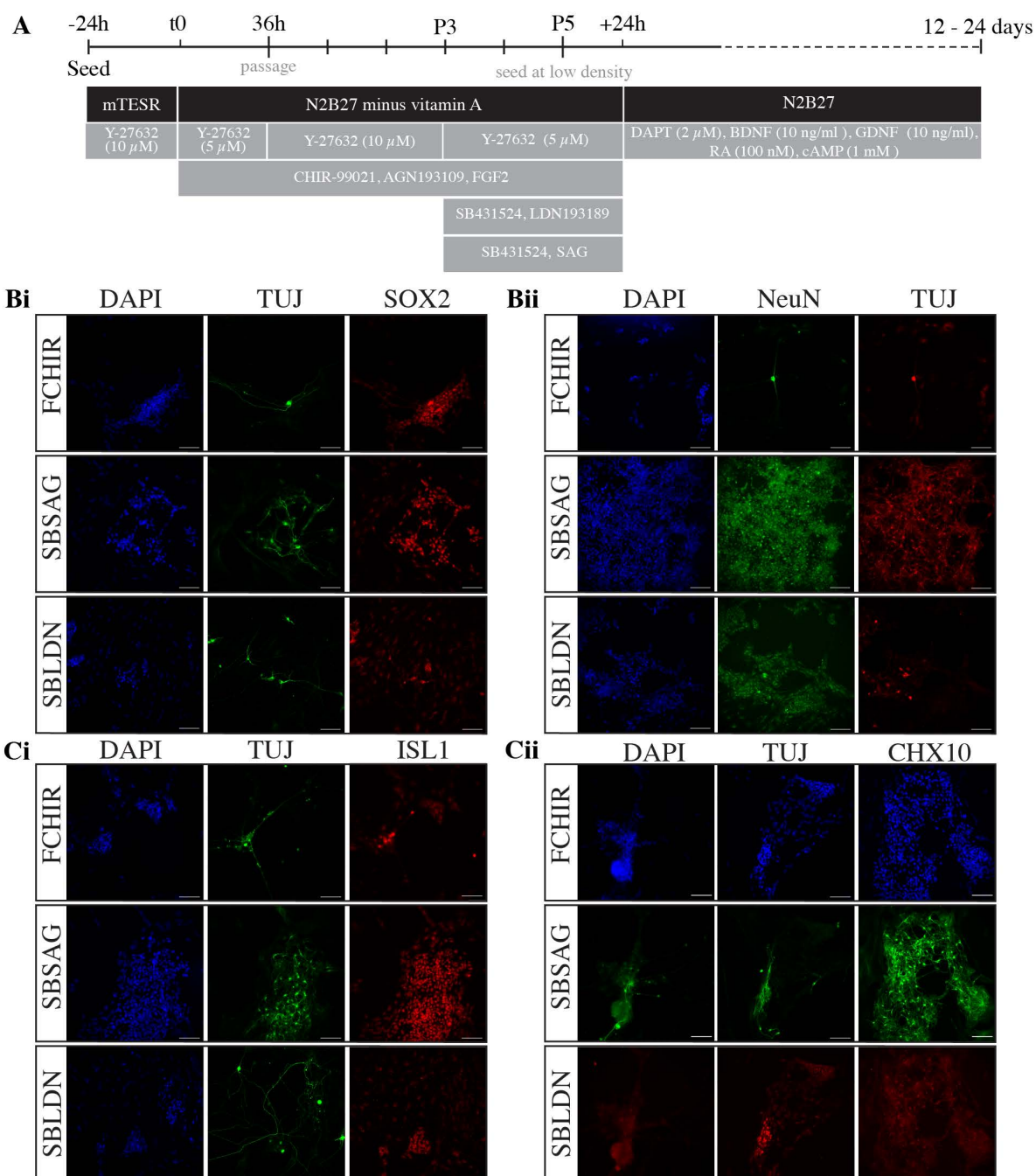
1007 **Figure 6: Epithelial PNPs give rise to migratory neural crest cells.**

1008 A) Representative immunostaining of CDX2 (grey) and SNAI1 (green) co-stained with nuclear stain
 1009 DAPI (blue) in P7 PNP/NC cultures. Inset shows magnified region identified by white dashed line and
 1010 arrow marks examples of CDX2/SOX2/SNAI1⁺ nuclei within PNP clusters. Scale bars, 100µm or 50 µm
 1011 (inset). B) Representative immunostaining of CDX2 (grey), SNAI1 (magenta) and nuclear stain DAPI
 1012 (blue) in epithelial P5 cells which were serially passaged for four passages (P+1 to p+4) following
 1013 selective detachment enrichment. (C) Dot plot showing the mean grey value/nuclei of CDX2 and SNAI1
 1014 at P+1 and P+4 panels shown in (B). Each graph shows >900 nuclei. D) Representative bright-field
 1015 image of a sub-clone generated from the epithelial enriched fragment after 1 passage. Scale bar, 200
 1016 µm E) Representative immunostaining analysis of CDX2 (grey), SOX2 (red) and nuclear stain DAPI
 1017 (blue) in two independent sub-clones generated from the epithelial enriched samples after serial 4
 1018 passages. Scale bar, 100 µm.



1019 **Figure 7: Modulation of TGF- β and SHH signalling locks in A/P information.**

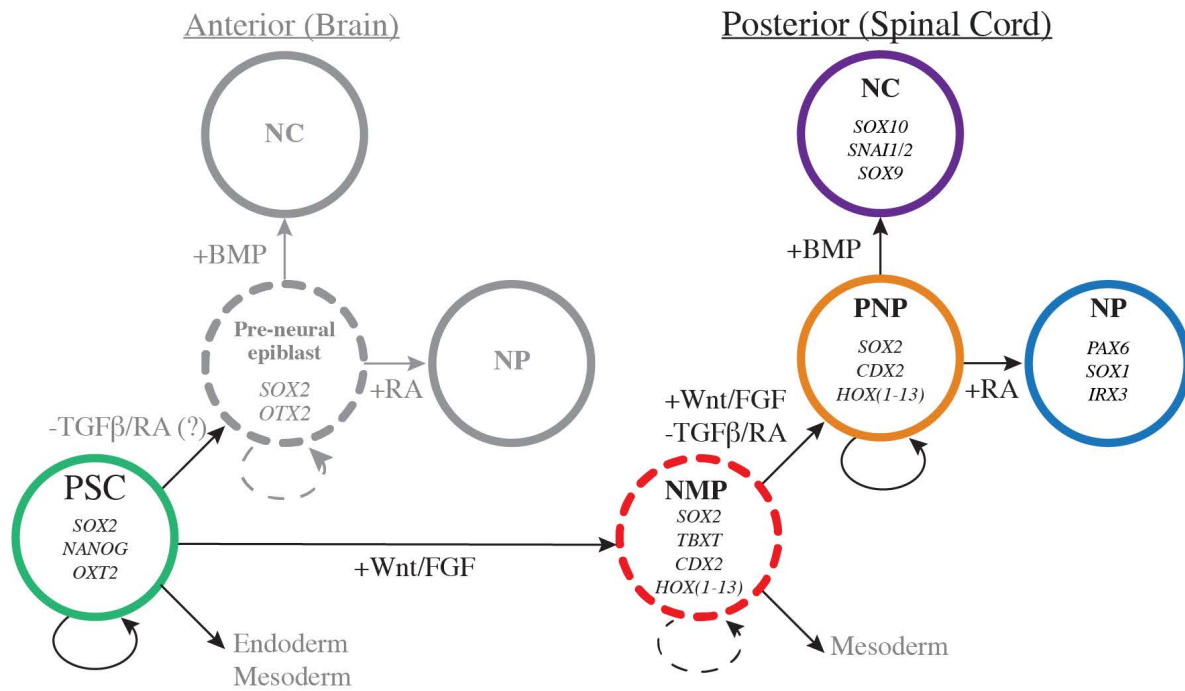
1020 A) Scheme for generating and maintaining PNPs. At passage 3 either SB and LDN (+SB/LDN) were
 1021 added, or SB and SAG (+SB/SAG) were added to the standard medium (FCHIR). B) Representative
 1022 immunostaining of P5 cells for CDX2 (grey), SOX2 (red) and SNAI1 (magenta) under conditions
 1023 indicated in (A). Scale bar, 100 μ M. C) SOX2/CDX2 flow cytometry analysis of FCHIR (P7) and +SB/LDN
 1024 and +SB/SAG (P10) samples. Cells were analysed using SOX2 and CDX2 conjugated antibodies and
 1025 plotted as percentage of expression. Error bars show mean with SEM (n = 3). D) Transcriptional
 1026 quantification (RT-qPCR) of CDX2 and SOX2 at early (P5) and later passages (FCHIR; P8-P10 and
 1027 +SB/LDN and +SB/SAG; P10-P15). Expression levels normalised to the reference gene *PBGD*. Error
 1028 bars show SEM (n = 2-5). E) Graphs showing the transcriptional quantification (RT-qPCR) of selected
 1029 *HOX* genes at early (P5) and late passages (FCHIR; P8-P10 and +SB/LDN and +SB/SAG; P10-P15) in all
 1030 conditions tested as indicated in (A). Expression levels are presented as fold change over the 36h
 1031 time point and were normalised to the reference gene *PBGD*. Error bars show mean with SEM (n = 2/3).



1032 **Figure 8: PNP can be differentiated into neural derivatives and ventralised by SHH.**

1033 A) Scheme for generating differentiated neuronal cultures. Cells are grown until P5, dissociated and
 1034 plated at low density and then exposed to neural inducing factors shown. B) Representative
 1035 immunostaining of differentiated neuronal cultures showing (Bi) neuronal nuclei (NeuN, green) and
 1036 β III-tubulin (TUJ, red) or (Bii) SOX2 (green) and β III-tubulin (TUJ, red). Nuclei were stained with DAPI
 1037 (blue). Scale bars, 100 μ m. C) Representative immunostaining of ventral neurons stained with ISL1
 1038 (red, Ci) and CHX10 (red, Cii) paired with β III-tubulin (TUJ, green) and nuclear stain DAPI (blue). Scale
 1039 bars, 100 μ m.

1040



1041 **Figure 9: NMP-derived PNPs self-renew, give rise to trunk NC or can be differentiated to neurons.**

1042 Diagrammatic model summarising the generation of anterior (brain) and posterior (spinal cord) neural
 1043 progenitors *in vitro*. When treated with inhibitors of TGFβ signalling pluripotent stem cells (PSC) in the
 1044 give rise to a transient pre-neural epiblast state, which in turn give rise to anterior neural crest (NC)
 1045 and neural progenitors (NP) of the brain. Neuromesodermal progenitors (NMP), which give rise to
 1046 posterior neural tissue, are generated from PSC in response to Wnt/FGF signalling. In the absence of
 1047 RA and TGFβ signalling, NMPs differentiate to a stable pre-neural progenitor (PNP) intermediate which
 1048 are able to self-renew and give rise to neural progenitors when subjected to RA or neural crest in the
 1049 presence of BMP. Transient cell states are shown using dotted lines and cells with self-renewal
 1050 capacity are shown with curved arrows.

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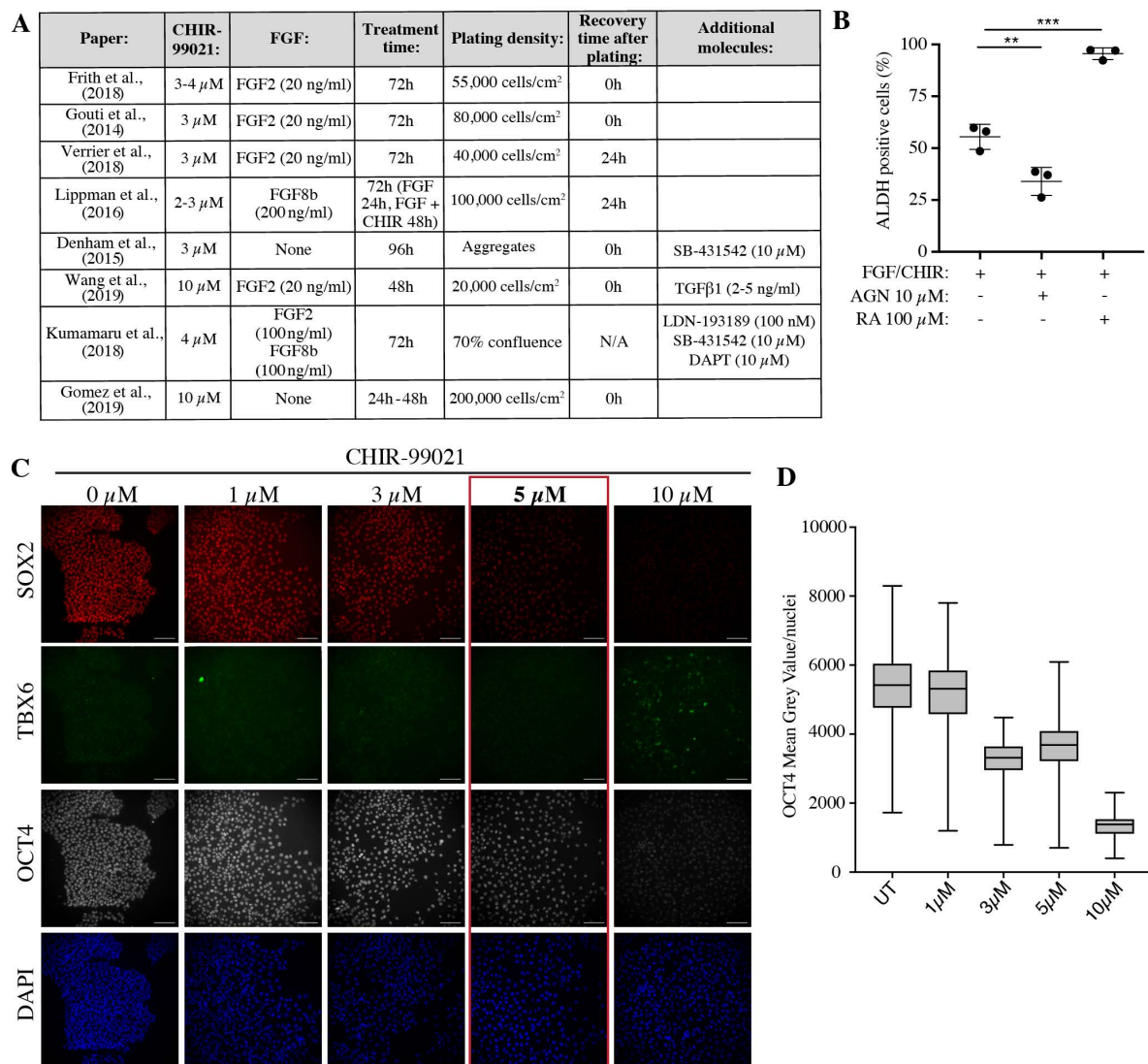
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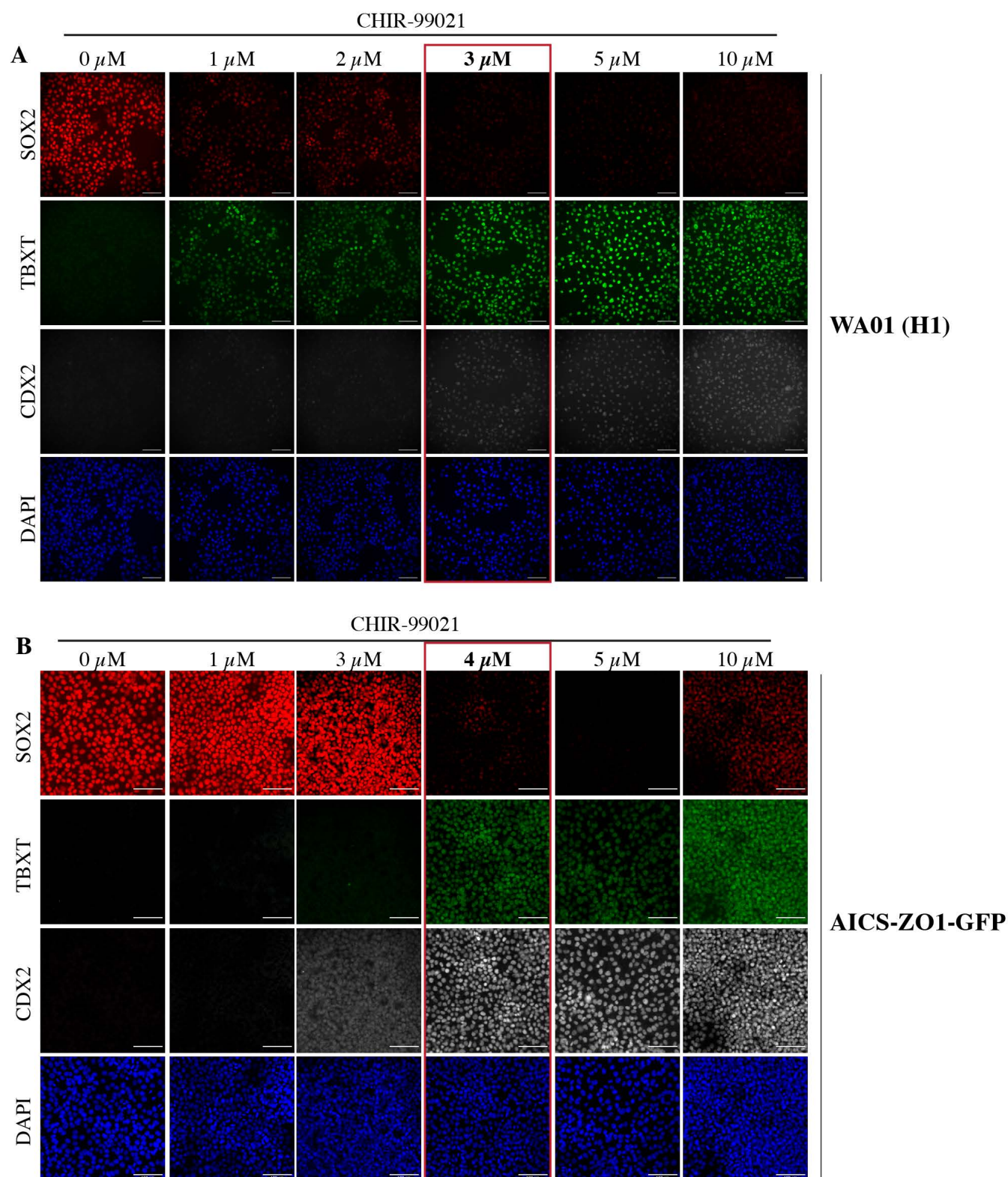
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1056 SUPPLEMENTARY FIGURES



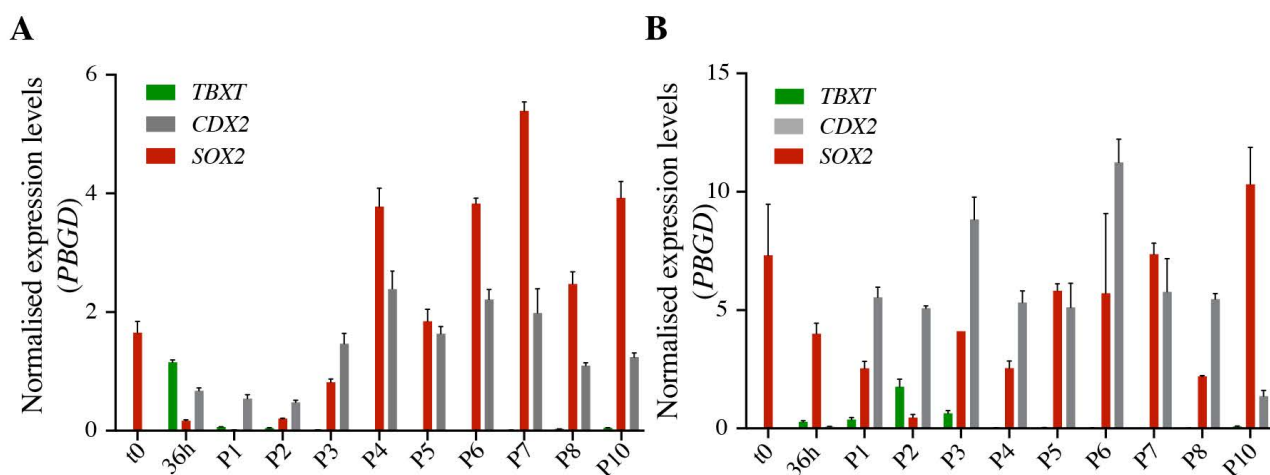
1057 **Figure 1 – figure supplement 1: NMP-like cells are induced by combined Wnt/FGF and inhibited RA**
 1058 **signalling.**

1059 A) Summary of protocols used in recent studies to generate NMP-like cells from hPSCs. Table includes
 1060 plating density and recovery time after plating, as well as the exogenous molecules and treatment
 1061 time used. B) ALDEFLUOR assay was used to measure the expression of aldehyde dehydrogenases
 1062 (ALDH) in 36h samples generated in three conditions: 1) FGF2 and CHIR only, 2) FGF, CHIR and AGN or
 1063 3) FGF, CHIR and RA. Samples were analysed using flow cytometry and results were presented as the
 1064 percentage of cells expressing ALDH. Error bars show SD (n = 3 experiments). **P < 0.01, ***P < 0.001
 1065 (ANOVA). C) Representative immunostaining SOX2 (red), TBX6 (green) OCT4 (grey) and the nuclear
 1066 stain DAPI (blue) after 36h treatment following scheme as shown in Figure 1A with 0 μ M, 1 μ M, 3 μ M,
 1067 5 μ M and 10 μ M CHIR-99021. Scale bars, 100 μ m. D) Box-plot showing mean grey value/nuclei
 1068 quantified from repeat experiments as shown in (C). Plot show data points collected from 2
 1069 experiments (>450 nuclei/experiment).



1070 **Figure 1 – figure supplement 2: Generation of NMP-like cells in multiple hPSC lines requires**
1071 **modulation of the Wnt pathway.**

1072 A, B) Optimal CHIR concentration was optimised for WA01 (H1) hESCs (A) and AICS ZO1-mEGFP (AICS-
1073 0024) iPSCs (B). Representative immunostaining of NMP markers SOX2 (red) and CDX2 (grey) and TBXT
1074 (green) at 36h after following treatment scheme shown in Figure 1A, with a range of CHIR
1075 concentrations between 1-10 μ M. Scale bars, 100 μ m.



1076 **Figure 2 – figure supplement 1: CDX2 and SOX2 expression can be maintained for 10 passages.**

1077 A,B) Transcriptional analysis (RT-qPCR) of two independent experiments showing NMP markers *TBXT*,
1078 *SOX2* and *CDX2* at each passage, up to passage 10. Expression levels are normalised to the reference
1079 gene *PBDG*. Error bars show SD, (n=3 technical replicates).

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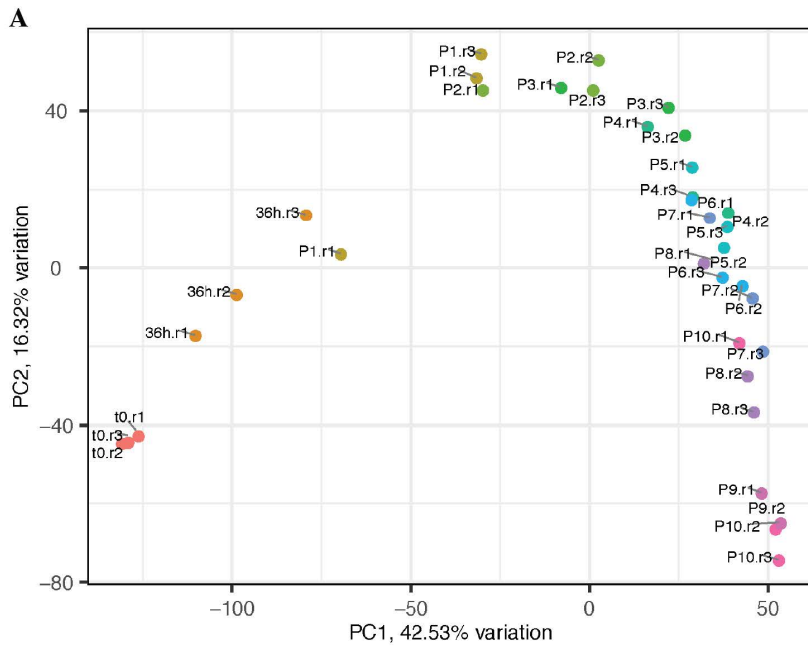
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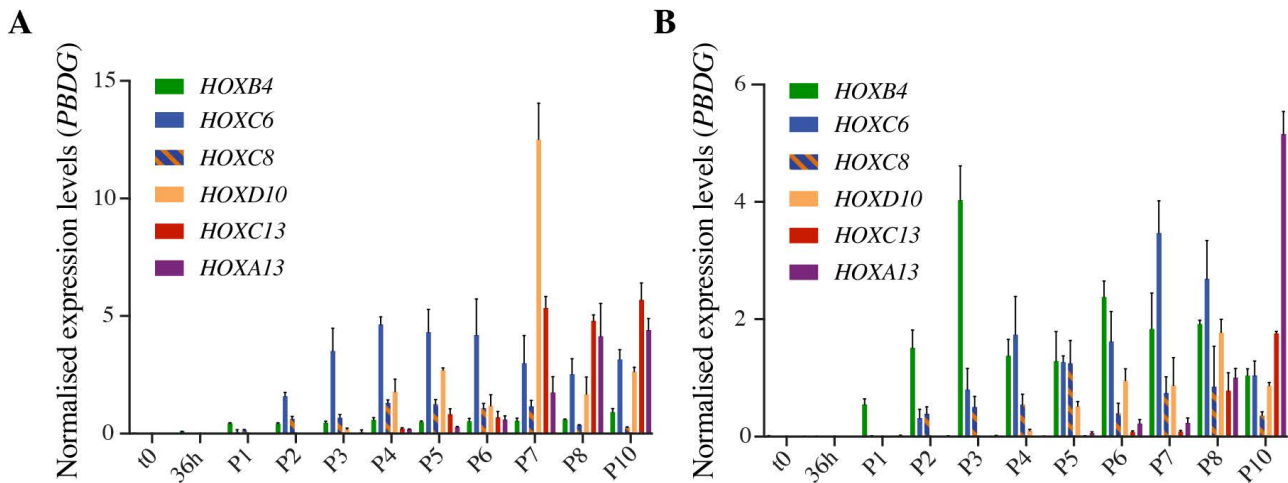
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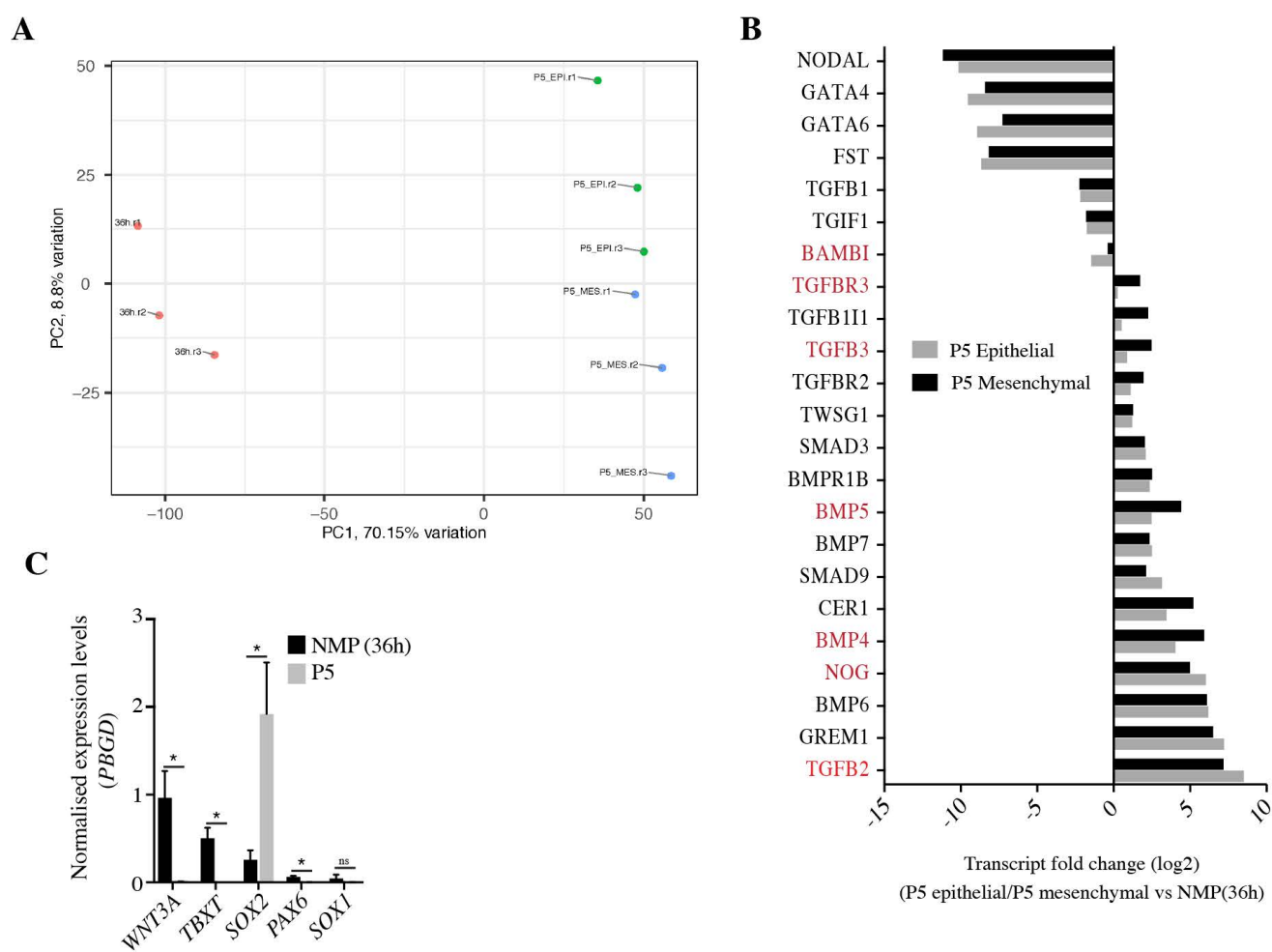
1096 **Figure 3 – figure supplement 1: Principle component analysis of RNA-Seq samples collected over**
 1097 **passaging.**

1098 A) PCA analysis show biological replicates for each passage cluster together and show small biological
 1099 variation between experiments
 1100



1101 **Figure 3 – figure supplement 2: Full collinear expression of the HOX gene cluster occurs over 10**
 1102 **passages.**

1103 A,B) Transcriptional analysis (RT-qPCR) of two independent experiments showing selected HOX genes
 1104 at each passage up to passage 10. Expression levels are normalised to the reference gene *PBDG*. Error
 1105 bars show SD, (n=3 technical replicates).



1106 **Figure 4 – figure supplement 1: Principle component analysis of mesenchymal and epithelial**
 1107 **samples analysed by bulk RNA-sequencing.**

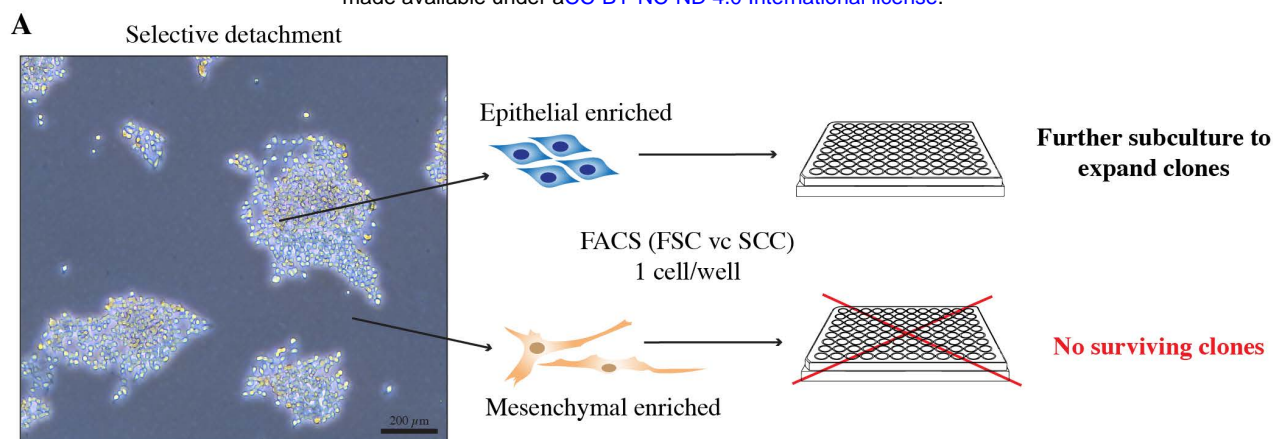
1108 A) PCA analysis showing biological replicates for the mesenchymal (MES) and epithelial (EPI) enriched
 1109 samples and NMP samples (36h). B) Graph showing transcriptional fold change (FC) of selected TGF
 1110 superfamily genes in P5 epithelial and P5 mesenchymal samples over 36h samples. Genes which are
 1111 statistically differentially expressed between epithelial and mesenchymal samples are highlighted in
 1112 red. C) Transcript levels of *WNT3A*, *TBXT*, *SOX2*, *PAX6* and *SOX1* in NMP (36h) and P5 samples as
 1113 measured by RT-qPCR. Expression levels were normalised to the reference gene *PBGD*. Error bars
 1114 show SEM (n=2/3 experiments), *P < 0.05 (unpaired t-test).

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1119 **Figure 6 – figure supplement 1: Generating sub-clonal populations from PNP/NC cell enriched**
1120 **samples**

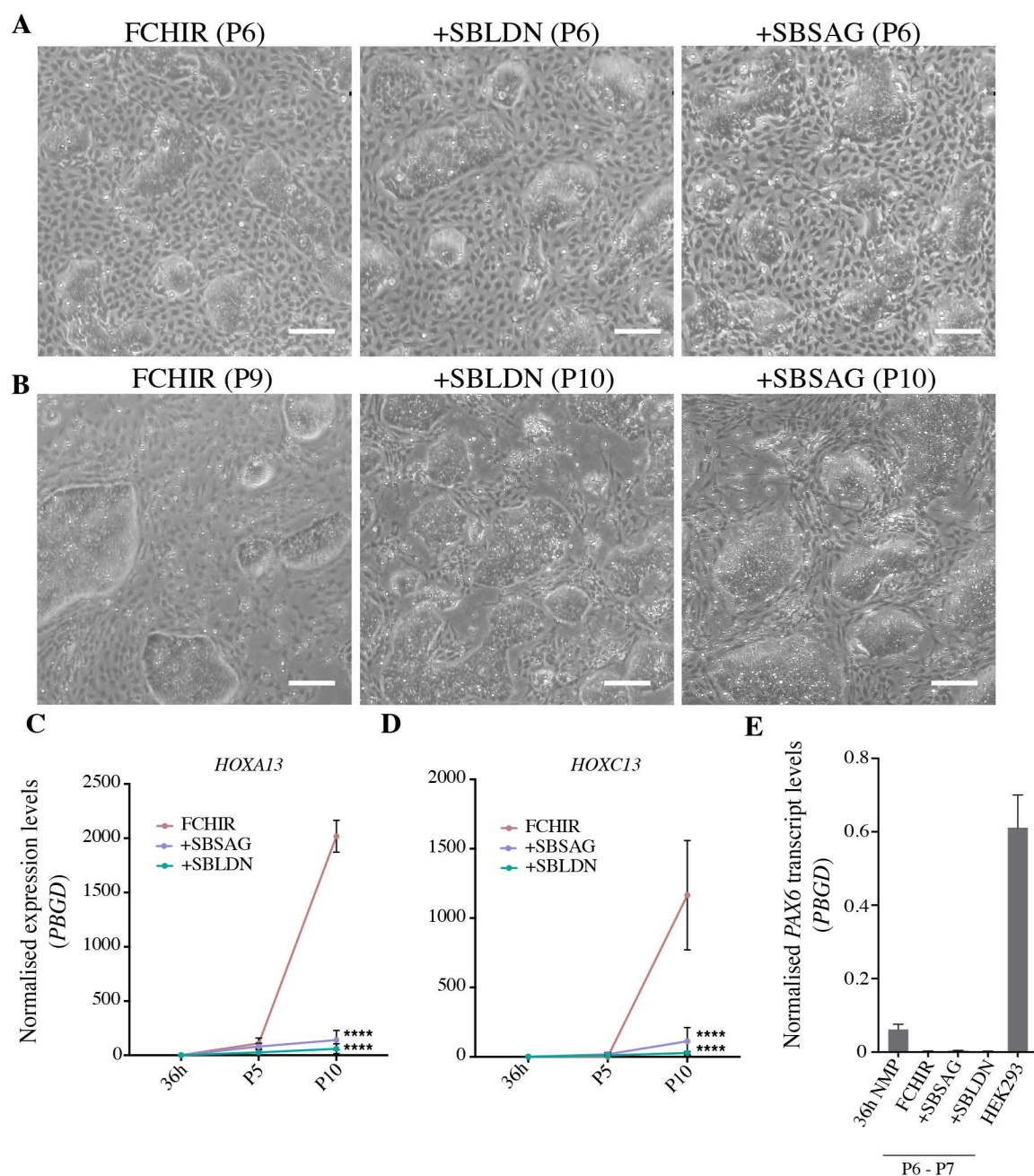
1121 A) Scheme to generate sub-clonal populations from mesenchymal- or epithelial- enriched samples.
1122 Cells were selectively detached to separate epithelial from mesenchymal cell populations and single
1123 cells from each enriched cell sample were sorted (FACS) into wells of a 96 well plate. Surviving sub-
1124 clones were expanded for analysis.

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1129 **Figure 7 – figure supplement 1: Upregulation of terminal *HOX* genes is significantly delayed, and**
 1130 **neural marker gene *PAX6* remains silent, in +SBSAG and +SBLDN conditions**

1131 A, B) Graphs showing the transcriptional quantification (RT-qPCR) of *HOXA13* (A) and *HOXC13* (B) at
 1132 early and late passages in all conditions tested as indicated in Figure 7A. Expression levels are
 1133 presented as fold change over the 36h time point and were normalised to the reference gene *PBGD*.
 1134 Error bars show mean with SEM (n = 3). ****P<0.0001, ***<0.0002 (two-way ANOVA). C)
 1135 Quantification of *PAX6* transcript levels under various conditions as indicated in Figure 7A, and in
 1136 comparison to HEK293 (positive control) cells. Expression levels were normalised to reference gene
 1137 *PBGD*. Error bars show mean with SEM (n = 2-3).
 1138