Title

Chloroplast acquisition without the gene transfer in kleptoplastic sea slugs, *Plakobranchus ocellatus*

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Abstract

Some sea slugs sequester chloroplasts from algal food in their intestinal cells and photosynthesize for

months. This phenomenon, kleptoplasty, poses a question of how the chloroplast retains its activity without the

algal nucleus. There have been debates on the horizontal transfer of algal genes to the animal nucleus. To settle

the arguments, this study reported the genome of a kleptoplastic sea slug *Plakobranchus ocellatus* and found no

evidence of photosynthetic genes encoded on the nucleus. Nevertheless, it was confirmed that light illumination

prolongs the life of mollusk under starvation. These data presented a paradigm that a complex adaptive trait, as

typified by photosynthesis, can be transferred between eukaryotic kingdoms by a unique organelle transmission

without nuclear gene transfer. Our phylogenomic analysis showed that genes for proteolysis and immunity

undergo gene expansion and are up-regulated in chloroplast-enriched tissue, suggesting that these molluskan

genes are involved in the DNA-independent phenotype acquisition.

Introduction

Since the Hershey-Chase experiment (Hershey and Chase, 1952), which proved that DNA is the material transferred to bacteria in phage infections, horizontal gene transfer (HGT) has been considered essential for cross-species transformation (Arber, 2014). Although the prion hypothesis has rekindled the interest in proteins as an element of phenotype propagation (Crick, 1970; Wickner et al., 2015), HGT is still assumed to be the cause of transformation. For example, in a secondary plastid acquisition scenario in dinoflagellates, (1) a non-phototrophic eukaryote sequesters a unicellular archaeplastid; (2) the endogenous gene transfer to the non-phototrophic eukaryote leads to the shrinkage of the archaeplastidan nuclear DNA (nucDNA); and (3) the archaeplastidan nucleus disappears, and its plastid becomes a secondary plastid in the host (Reyes-Prieto et al., 2007).

Chloroplast sequestration in sea slugs has attracted much attention due to the uniqueness of the algae-derived phenotype acquisition. Some species of sacoglossan sea slugs (Mollusca: Gastropoda: Heterobranchia) can photosynthesize using the chloroplasts of their algal food (Figure 1A and B; de Vries and Archibald, 2018; Kawaguti, 1965; Pierce and Curtis, 2012; Rumpho et al., 2011; Serôdio et al., 2014). These sacoglossans ingest species-specific algae and sequester the chloroplasts into their intestinal cells. This phenomenon is called kleptoplasty (Gilyarov, 1983; Pelletreau et al., 2011). The sequestered chloroplasts (named kleptoplasts) retain their electron microscopic structure (Fan et al., 2014; Kawaguti, 1965; Martin et al., 2015; Pelletreau et al., 2011; Trench, 1969) and photosynthetic activity (Cartaxana et al., 2017; Christa et al., 2014a; Cruz et al., 2015; Händeler et al., 2009; Taylor, 1968; Teugels et al., 2008; Wägele and Johnsen, 2001; Yamamoto et al., 2009). The retention period of photosynthesis differs among sacoglossan species (1 to >300 days; Figure 1B; Christa et al., 2015, 2014a, 2014b; Evertsen et al., 2007; Laetz and Wägele, 2017) and development stages and depends on the plastid "donor" species (Curtis et al., 2007; Laetz and Wägele, 2017).

The absence of algal nuclei in sacoglossan cells makes kleptoplasty distinct from other symbioses and plastid acquisitions (de Vries and Archibald, 2018; Rauch et al., 2015). Electron microscopic studies have indicated that the sea slug maintains photosynthetic activity without algal nuclei (Hirose, 2005; Kawaguti, 1965; Laetz and Wägele, 2018; Martin et al., 2015; Pierce and Curtis, 2012). Because the algal nucleus, rather than the plastids, encodes most photosynthetic proteins, the mechanism to maintain photosynthetic proteins is especially

intriguing, given that photosynthetic proteins have a high turnover rate (de Vries and Archibald, 2018; Pelletreau

et al., 2011). Previous polymerase chain reaction (PCR)-based studies have suggested the HGT of algal nucleic

photosynthetic genes (e.g., *psbO*) to the nucDNA of the sea slug *Elysia chlorotica* (Pierce et al., 1996, 2010,

2007, 2003; Rumpho et al., 2008; Schwartz et al., 2014). A genomic study of *E. chlorotica* (N50 = 824 bases)

provided no reliable evidence of HGT but predicted that fragmented algal DNA and mRNAs contribute to its

kleptoplasty (Bhattacharya et al., 2013). Schwartz et al. (2014) reported *in situ* hybridization-based evidence for

HGT and argued that the previous *E. chlorotica* genome might overlook the algae-derived gene. Although an

improved genome of *E. chlorotica* (N50 = 442 kb) was published recently, this study made no mention of the

presence or absence of algae-derived genes (Cai et al., 2019). The genomic studies of sea slug HGT have been

limited to *E. chlorotica*, and the studies have used multiple samples with different genetic backgrounds for

genome assembling (Bhattacharya et al., 2013; Cai et al., 2019). The genetic diversity of sequencing data may

have inhibited genome assembling. Although transcriptomic analyses of other sea slug species failed to detect

HGT (Chan et al., 2018; Wägele et al., 2011), transcriptomic data were insufficient to ascertain genomic gene

composition (de Vries et al., 2015; Rauch et al., 2015).

Here, the genome sequences of another sacoglossan species, *Plakobranchus ocellatus* (Figure 1C–E), are

presented to clarify whether HGT is the primary system underlying kleptoplasty. For more than 70 years,

multiple research groups have studied *P. ocellatus* for its long-term (>3 months) ability to retain kleptoplasts

(Christa et al., 2013; Evertsen et al., 2007; Greve et al., 2017; Kawaguti, 1941; Trench et al., 1970; Wade and

Sherwood, 2017; Wägele et al., 2011). However, a recent phylogenetic analysis showed that *P. ocellatus* is a

species complex (a set of closely related species; Figure 1F; Christa et al., 2014c; Krug et al., 2013; Maeda et al.,

2012; Meyers-Muñoz et al., 2016; Yamamoto et al., 2013). Therefore, it is useful to revisit previous studies on *P.*

ocellatus. This study first confirmed the photosynthetic activity and adaptive relevance of kleptoplasty to *P.*

ocellatus type black [a species confirmed by Krug et al. (2013) via molecular phylogenetics; hereafter "PoB"].

The genome sequences of PoB (N50 = 1.45 Mb) and a related species, *Elysia marginata* (N50 = 225 kb), were

then constructed. By improving the DNA extraction method, the genome sequences from a single sea slug

individual in each species were successfully assembled. The comparative genomic and transcriptomic analyses

of these species demonstrate the complete lack of photosynthetic genes in these sea slug genomes and supported

an alternative hypothetical kleptoplasty mechanism.

Results

Does the kleptoplast photosynthesis prolong the life of PoB?

To explore the photosynthetic activity of PoB, three photosynthetic indices were measured: photochemical efficiency of kleptoplast photosystem II (PSII), oxygen production rate after starvation for 1 to 3 months, and effect of illumination on PoB longevity. The Fv/Fm value, which reflects the maximum quantum yield of PSII, was 0.68 to 0.69 in the "d38" PoB group (starved for 38 days) and 0.57 to 0.64 in the "d109" group (starved for 109–110 days; Figure 2A). These values were only slightly lower than those of healthy *Halimeda borneensis*, a kleptoplast donor of PoB (Maeda et al. 2012), which showed Fv/Fm values of 0.73 to 0.76. The donor algae of PoB consisted of at least eight green algal species, and they are closely related to *H. borneensis* (Maeda et al. 2012). Although it is not clear whether the Fv/Fm is the same for all donor algae, Fv/Fm is almost identical (~0.83) in healthy terrestrial plants regardless of species (Maxwell and Johnson. 2000). Moreover the values of *H. borneensis* were similar to those of other green algae (e.g., *Chlamydomonas reinhardtii*, Fv/Fm = 0.66–0.75; Bonente et al. 2012). Hence, we assumed that Fv/Fm values are similar among the donor species. The Fv/Fm value suggested that PoB kleptoplasts retain a similar photochemical efficiency of PSII to that of the food algae for more than 3 months.

Based on the measurement of oxygen concentrations in seawater, starved PoB individuals ("d38" and "d109") displayed gross photosynthetic oxygen production (Figure 2B and C). The mock examination without the PoB sample indicated no light-dependent increase in oxygen concentrations, i.e., no detectable microalgal photosynthesis in seawater (Figure 2–Figure Supplement 1). The results demonstrated that PoB kleptoplasts retain photosynthetic activity for more than 3 months, consistent with previous studies on *P.* cf. *ocellatus* (Christa et al., 2014c; Evertsen et al., 2007).

The longevity of starved PoB specimens was then measured under different light conditions. The mean longevity was 156 days under continuous darkness and 195 days under a 12 h light/12 h dark cycle ($p = 0.022$; Figure 2D), indicating that light exposure significantly prolongs PoB longevity. This observation was consistent with that of Yamamoto et al. (2013) that the survival rate of PoB after 21 days under starvation is light dependent. Although a study using *P.* cf. *ocellatus* reported that photosynthesis had no positive effect on the survival rate (Christa et al., 2014c), our results indicated that this finding does not apply to PoB.

Given the three photosynthetic indices' data, we concluded that the increase in PoB survival days was due to

photosynthesis. A previous study using the short-term (retention period of 4–8 days) kleptoplastic sea slug,

Elysia atroviridis, indicated no positive effect of light illumination on their survival rate (Akimoto et al. 2014).

These results supported that light exposure does not affect sacoglossan longevity in the absence of kleptoplasts.

Although plastid-free PoB may directly indicate that kleptoplasty extends longevity, there is no way to remove

kleptoplasts from PoB, except during long-term starvation. PoB feeds on nothing but algae and retains the

kleptoplast for 3 to 5 months. Although future research methods may allow for more experimental analyses of

kleptoplast functions, the currently most straightforward idea is that kleptoplast photosynthesis increases the

starvation resistance of PoB.

Do kleptoplasts encode more photosynthetic genes than general plastid?

To reveal the proteins synthesized from kleptoplast DNA (kpDNA), we sequenced the whole kpDNA from

PoB and compared the sequences with algal plastid and nuclear genes. Illumina sequencing provided two types

of circular kpDNA and one whole mitochondrial DNA (mtDNA) (Figure 3A; Supplementary File 1; Figure 3–

Figure Supplements 1–3). The mtDNA sequence was almost identical to the previously sequenced *P*. cf.

ocellatus mtDNA (Figure 3–Figure Supplement 3B; Greve et al., 2017). The sequenced kpDNAs corresponded

to those of the predominant kleptoplast donors of PoB (Maeda et al., 2012), i.e., *Rhipidosiphon lewmanomontiae*

(AP014542; hereafter "kRhip") and *Poropsis* spp. (AP014543; hereafter "kPoro"; Figure 3B; Figure 3–Figure

Supplement 4).

To determine if kpDNA gene repertoires were similar to green algal chloroplast DNAs (cpDNAs), *H.*

borneensis cpDNA was sequenced, and 17 whole cpDNA sequences were obtained from public databases

(Figure 3C; Figure 3–Figure Supplements 5 and 6). PoB kpDNAs contained all the 59 conserved chloroplastic

genes in Bryopsidales algae (e.g., *psbA* and *rpoA*), although they lacked four to five of the dispensable genes

(i.e., *petL*, *psb30*, *rpl32*, *rpl12*, and *ccs1*; Figure 3C).

To test whether kpDNAs contained no additional photosynthetic genes, a dataset of 614 photosynthetic genes

was then used (hereafter the "A614" dataset), which were selected from the algal transcriptomic data and public

algal genomic data (Supplementary Files 2 and 3). A tblastn homology search using A614 obtained no reliable

hits (E-value < 0.0001) against the kpDNA sequences, except for the *chlD* gene, which resembled the kpDNA-

encoded *chlL* gene (Figure 3D and E). A positive control search against an algal nucDNA database (*Caulerpa*

lentillifera; https://marinegenomics.oist.jp/umibudo/viewer/info?project_id=55; Arimoto et al., 2019) found

reliable matches for 93% (575/614) of the queries (Figure 3D and E), suggesting that the method has high

sensitivity. Hence, it was concluded that kpDNAs lack multiple photosynthetic genes (e.g., *psbO*) as general

green algal cpDNAs.

Are there horizontally transferred algal genes to the PoB nucleic genome?

To determine if the PoB nucleic genome contains algae-derived genes (i.e., evidence of HGT), we sequenced

the nuclear genome of PoB. A search for algae-like sequences in gene models, genomic sequences, and pre-

assembled short reads were conducted. The genome assembly contained 927.9 Mbp (99.1% of the estimated

genome size; 8647 scaffolds; N50 = 1.45 Mbp; 77,230 gene models; Supplementary File 4; Figure 4–Figure

Supplements 1–3). Benchmarking Universal Single-Copy Orthologs (BUSCO) analysis using the

eukaryota_odb9 dataset showed high coverage (93%) of the eukaryote conserved gene set, indicating that the

gene modeling was sufficiently complete to enable HGT searches (Supplementary File 4). The constructed gene

models were deposited in INSD (PRJDB3267) and FigShare (DOI: 10.6084/m9.figshare.13042016) with

annotation data.

Searches of PoB gene models found no evidence of algae-derived HGT. Simple homology searches (blastp)

against the RefSeq database found 127 PoB gene models with top hits to Cyanobacteria or eukaryotic algae.

Eighty-two of the 127 top hits encoded functionally unknown protein (annotated as "hypothetical protein" or

"uncharacterized protein"; Supplementary File 5). Viridiplantal *Volvox* was the most abundant source of algae of

the top-hit sequences (17 genes), and cryptophytan *Guillardia* was the second most abundant source (10 genes).

However, the prediction of taxonomical origin using multiple blast hit results (LCA analysis with MEGAN

software; Huson et al., 2007) denied the algal origin of the genes (Supplementary File 5). A blastp search using

the A614 dataset, which contains sequences of the potential gene donor (e.g., transcriptomic data of *H.*

borneensis), also determined no positive HGT evidence (Supplementary File 5).

Using LCA analysis for all PoB gene models, some genes were predicted to originate from species other than

Lophotrochozoa. These genes may be due to HGT, but no photosynthesis-related genes were found from them.

MEGAN predicted that 20,189 of the PoB genes originated from Lophotrochozoa and its subtaxa. The

prediction also assigned 5550 genes to higher adequate taxa as Lophotrochozoa (e.g., Bilateria). MEGAN

assigned the 2964 genes to Opisthokonta other than Lophotrochozoa (e.g., Ecdysozoa) and 312 genes derived

from proteobacteria as promising horizontally transferred genes. However, these 3276 genes contained no

photosynthetic gene. Many of the PoB genes assigned as promising horizontally transferred genes encoded

reverse transcriptase. For the remaining 48,215 genes, MEGAN assigned no species. A homology search against

the public database annotated 41,203 of the no-taxon-assigned genes as functionally unknown genes (FigShare;

DOI: 10.6084/m9.figshare.13042016). Other no-taxon-assigned 7012 genes were not associated with

photosynthesis, except one gene (p288c60.92) annotated to "photosystem I assembly protein ycf3." However,

this annotation to p288c60.92 was not reliable because it seems to be derived from incorrect annotations on

public databases. Our reannotation via blastp search against the RefSeq database indicated the similarity of the

PoB gene (p288c60.92) to "XP_013785360, death-associated protein kinase related-like" of a horseshoe crab.

The details of the analyses are summarized in Supplementary File 6. MEGAN-based analysis, hence, indicated

that several non-photosynthetic genes might have originated from proteobacteria or other organisms but

provided no evidence of algae-derived HGT.

In gene function assignment with Gene Ontology (GO) terms, no PoB gene model was annotated as a gene

relating "Photosynthesis (GO:0015979)," although the same method found 72 to 253 photosynthesis-related

genes in the five referential algal species (Figure 4A). Six PoB genes assigned to the child terms of "Plastid

(GO:0009536)" were found (Figure 4A; Supplementary File 7). However, an ortholog search with animal and

algal genes did not support these six genes' algal origin (Figure 4–Figure Supplement 5). It was considered that

the sequence conservation beyond the kingdom caused these pseudo-positives in the GO assignment. The

function of these six genes had no relationships to photosynthesis (Supplementary File 7); six genes relate

proteasome (p2972c65.3), arginine kinase (p197c68.18), DNA-binding response regulator (p234c64.89),

chromatin structure (p466c59.83), mitochondrial inner membrane translocase (p503c65.126), and functionally

unknown protein (p45387c41.1).

To confirm that the gene modeling did not overlook a photosynthetic gene, the A614 dataset against the PoB

and *C. lentillifera* genome sequences was directly searched using tblastn and Exonerate software (Slater and

Birney, 2005). Against the *C. lentillifera* genome (positive control), 455 (tblastn) and 450 (Exonerate) hits were

found; however, using the same parameters, only 1 (tblastn) and 2 (Exonerate) hits against the PoB genome

were detected (Figure 4B; Figure 4–Figure Supplement 5). The three PoB loci contain the genes encoding

serine/threonine-protein kinase LATS1 (p258757c71.5, Figure 4–Figure Supplement 5), deoxyribodipyrimidine

photolyase (p855c67.9, Figure 4B), and phosphoglycerate kinase (p105c62.89, Figure 4B). A phylogenetic

analysis with homologous genes showed the monophyletic relationships of these three genes with molluskan

homologs (Figure 4–Figure Supplement 6–8). This result indicated that the three PoB loci contain molluskan

genes rather than algae-derived genes. It was thus concluded that the PoB genome assembly contains no algae-

derived photosynthetic genes.

To examine if the genome assembly failed to construct algae-derived regions in the PoB genome, a search

for reads resembling photosynthetic genes among the pre-assembled Illumina data was performed. From 1065

million pre-assembled reads, 1698 reads showed similarity against 261 of the A614 dataset queries based on

MMseq2 searches. After normalization by query length, the number of matching reads against algal queries was

about 100 times lower than that against PoB single-copy genes: the normalized read count (mean ± SD) was 25

± 105 for the A614 dataset and 2601 ± 2173 for the 905 PoB single-copy genes ($p < 0.0001$, Welch's two-

sample t-test; Figure 4C). This massive difference indicated that most algae-like reads come from contaminating

microalgae rather than PoB nucDNA. For six algal queries (e.g., peptidyl-prolyl cis-trans isomerase gene), the

number of matching reads was comparable between PoB and the A614 dataset (Figure 4C; the normalized read

count was higher than 100). However, the presence of homologous genes on the PoB genome (e.g., p310c70.15)

suggests that the reads were not derived from an algae-derived region but rather resemble molluskan genes. For

example, a simple alignment showed that the *C. lentillifera*-derived g566.t1 gene (encoding peptidyl-prolyl cis-

trans isomerase) was partially similar to the PoB p310c70.15 gene, and 76% (733/970) of reads that hit against

g566.t1 were also hitting against p310c70.15 under the same MMseq2 parameter (Figure 4–Figure Supplement

9). Hence, it was considered that no loss of algal-derived HGT regions occurred in the assembly process.

Changing the focus to HGT of non-photosynthetic algal genes, the indices for prokaryote-derived HGT (h-

index; Boschetti et al., 2012) and algae-derived HGT (hA-index; see Materials and Methods) were calculated for

PoB and two non-kleptoplastic gastropods (negative controls; Supplementary File 8). Three PoB gene models as

potential algae-derived genes were detected (Figure 4D); however, two of these encoded a transposon-related

protein, and the other encoded an ankyrin repeat protein with a conserved sequence with an animal ortholog

(Figure 4–Source Data 1). Furthermore, non-kleptoplastic gastropods (e.g., *Aplysia californica*) had similar

numbers of probable HGT genes (Figure 4D). Taking these results together, it was concluded that there is no

evidence of algae-derived HGT in the PoB genomic data.

The presence of algae-like RNA in PoB was then examined, as a previous study of another sea slug species *E.*

chlorotica hypothesized that algae-derived RNA contributes to kleptoplasty (Bhattacharya et al., 2013). This

previous study used short-read-based blast searches and reverse transcription PCR analyses to detected algal

mRNA (e.g., *psbO* mRNA) in multiple adult *E. chlorotica* specimens (no tissue information was provided;

Bhattacharya et al., 2013). To analyze the algal RNA distribution in PoB, 15 RNA-Seq libraries were

constructed from six tissue types [digestive gland (DG), parapodium, DG-exenterated parapodium (DeP), egg,

head, and pericardium; Figure 4–Figure Supplement 10], and MMseq2 searches were conducted (Figure 4C).

Although almost all (594/614) of the A614 dataset queries matched no reads, 19 queries matched 1 to 10 reads

and the *C. lentillifera*-derived g566.t1 query matched more than 10 reads (Figure 4E). This high hit rate for

g566.t1 (peptidyl-prolyl cis-trans isomerase in Figure 4E), however, is due to its high sequence similarity with

PoB ortholog, p310c70.15, as mentioned above. A previous anatomical study showed the kleptoplast density in

various tissues to be DG > parapodium > DeP = head = pericardium >>> egg (Hirose, 2005). Therefore, the

amount of algae-like RNA reads did not correlate with the kleptoplast richness among the tissues. Enrichment of

algae-like RNA was only found in the egg (Figure 4E). The PoB egg is considered a kleptoplast-free stage and is

covered by a mucous jelly, which potentially contains environmental microorganisms (Figure 4–Figure

Supplement 10). Hence, it was presumed that these RNA fragments are not derived from kleptoplasts but from

contaminating microalgae. The searches for algae-like RNA in PoB found no credible evidence of algae-derived

RNA transfer and no correlation between algal RNA and kleptoplasty.

What kind PoB genes are reasonable candidates for kleptoplasty-related genes?

Because the PoB genome was found to be free of algae-derived genes, it was considered that a

neofunctionalized molluskan gene might contribute to kleptoplasty. To find candidate kleptoplasty-related

molluskan (KRM) genes, we assessed genes that were up-regulated in DG (the primary kleptoplasty location)

versus DeP in the RNA-Seq data described above. As a result, 162 DG-up-regulated genes were found [false

discovery rate (FDR) < 0.01, triplicate samples; Figure 5A; Figure 5–Figure Supplements 1 and 2;

Supplementary File 9]. By conducting GO analysis, the functions of 93 DG-up-regulated genes were identified.

These up-regulated genes are enriched for genes involved in proteolysis (GO terms: "Proteolysis," "Aspartic-

type endopeptidase activity," "Cysteine-type endopeptidase inhibitor activity," and "Anatomical structure

development"), carbohydrate metabolism ("Carbohydrate metabolic process," "One-carbon metabolic process,"

"Cation binding," and "Regulation of pH"), and immunity ("Defense response"; Supplementary File 10).

Manual annotation identified the function of 42 of the remaining DG-up-regulated genes. Many of these are also

related to proteolysis and immunity: three genes relate to proteolysis (i.e., genes encoding interferon-γ-inducible

lysosomal thiol reductase, replicase polyprotein 1a, and phosphatidylethanolamine-binding protein), and 21

genes contribute to natural immunity (i.e., genes encoding lectin, blood cell aggregation factor, and

MAC/perforin domain-containing protein; Figure 5–Figure Supplement 1 and 2). Manual annotation also found

four genes encoding apolipoprotein D, which promotes resistance to oxidative stress (Charron et al., 2008), and

three genes involved in nutrition metabolism (i.e., genes encoding betaine-homocysteine S-methyltransferase 1-

like protein, intestinal fatty acid-binding protein, and cell surface hyaluronidase). Because the analyzed slugs

were starved for 1 month, it was considered that DG-up-regulated genes contribute to kleptoplasty rather than

digestion.

A comparative genomic analysis was then conducted to find orthogroups that expand or contract in size along

the metazoan lineage to PoB. The phylogenomic analysis showed that 6 of the 193 orthogroups that underwent

gene expansion in this lineage contained DG-up-regulated genes (Figure 5B; Figure 5–Figure Supplement 3–5;

Supplementary File 11). This result supported the notion that these DG-up-regulated genes play a role in

kleptoplasty. The most distinctive orthogroup in the six groups was OG0000132, which contained 203 cathepsin

D-like genes of PoB. Forty-five of the 203 genes were DG-up-regulated, and Fisher's exact test supported the

significant enrichment of DG-up-regulated genes ($p < 0.0001$; Supplementary File 11). Other heterobranchian

mollusks only had four to five genes belonging to OG0000132 (Figure 5B). These gene duplications in PoB

might reduce selection pressure to maintain function via redundancy and promote new function acquirement of

the paralogs, as occurs in the well-known neofunctionalization scenario (Conrad and Antonarakis, 2007).

Significant enrichment of DG-up-regulated genes was also detected in OG0000005 (18 genes) and OG0000446

(4 genes; both $p < 0.0001$; Figure 5B; Supplementary File 11), which contain lectin-like and apolipoprotein D-

like genes, respectively. DG-up-regulated genes were also detected in OG0000002, OG0009179, and

OG0000194. However, no significant enrichment of these genes was found in these orthogroups ($p > 0.05$).

OG0000002 contains DG-up-regulated gene for type 2 cystatin and various genes with reverse transcriptase

domains. This result suggested that the reverse transcriptase domain clustered the various genes as one

orthogroup, and the gene number expansion was due to the high self-duplication activity of the retrotransposon

in PoB. OG0009179 and OG0000194 contain DG-up-regulated genes of unknown function. From the above

results, 67 genes were finally selected as promising targets of study for PoB kleptoplasty: 45 genes for cathepsin

D-like proteins, 18 genes for lectin-like proteins, and 4 genes for apolipoprotein D-like protein (Supplementary

File 9).

Evolution of candidate KRM genes

For a more detailed analysis of the evolution of KRM genes in sacoglossan lineages, a new draft genome

sequence of another sacoglossan sea slug *E. marginata* (previously included in *E. ornata*; Krug et al., 2013) was

constructed. PoB and *E. marginata* belong to the same family (Plakobranchidae; Figure 1B). Both species

sequester plastids from Bryopsidales algae; however, the kleptoplast retention time is limited to a few days in *E.*

marginata (Yamamoto et al., 2009). These features suggested that their common ancestor obtained a mechanism

to sequester algal plastids, but *E. marginata* did not develop a system for their long-term retention. Hence, it was

considered that comparing gene expansion in these species would clarify the genetic basis of plastid

sequestration and long-term retention.

Using the same methods as described for PoB, one complete circular kpDNA, one complete mtDNA, and

790.3 Mbp nucDNA (87.8% of the estimated genome size; 14,149 scaffolds; N50 = 0.23 Mbp; 70,752 genes;

Supplementary Files 1, 4, 13, and 14) were sequenced for *E. marginata*. The constructed gene models covered

89.5% of the BUSCO eukaryota_odb9 gene set (Supplementary File 4). No credible photosynthetic gene was

detected from *E. marginata* nucDNA (annotation data; DOI: 10.6084/m9.figshare.13042016).

The evolution of representative candidate PoB KRM genes (i.e., cathepsin D-like, apolipoprotein D-like, and

lectin-like genes) was then phylogenetically analyzed. In cathepsin D-like genes, sacoglossan (PoB and *E.*

marginata) genes formed a specific subgroup in the OG0000132 phylogenetic tree (Figure 6A), and gene

duplication in OG0000132 seemed to be accelerated along the PoB lineage (203 genes in PoB versus 5 genes in

E. marginata; Figure 5B). All sacoglossan cathepsin D-like genes belonged to a clade with several other

heterobranchian homologs; this clade contained three subclades (α, β, and γ in Figure 6B). The basal α-clade

contained three *A. californica* genes, one *Biomphalaria glabrata* gene, and three sacoglossan genes. The β- and

γ-clades contained sacoglossan genes only and an *A. californica* gene located at the basal position of the β- and

γ-clades. Almost all duplicated PoB genes (201/203) belonged to the γ-clade, including one *E. marginata* gene (e8012c40.2). These phylogenetic relationships suggested that the γ-clade has undergone dozens of gene duplication events in the PoB lineage. Interestingly, all DG-up-regulated differentially expressed genes (DEGs) belonged to the γ-clade, and the PoB paralogs that belong to the α- and β-clades showed different expression patterns from the γ-clade paralogs; the gene p609c69.52 (α-clade) was ubiquitously expressed in the examined tissues, and p374c67.53 (β-clade) was expressed only in the egg (Figure 6B). The mammalian genes encoding cathepsin D and its analog (cathepsin E) were ubiquitously expressed on various tissue types (Benes et al., 2008). Therefore, we considered that (1) the ubiquitously expressed p609c69.52 gene in α-clade is a functional ortholog of the mammalian cathepsin D gene, (2) the p374c67.53 gene in β-clade relates sea slug embryo development, and (3) the γ-clade genes have been acquired with the development of plastid sequestration. To test the positive or relaxed selection on the duplication event, the ratio of substitution rates at non-synonymous (dN) and synonymous (dS) sites ($\omega = dN/dS$) was used. Longly alignable heterobranchian genes from OG0000132 were used and statistically tested using CodeML and RELAX software (Supplementary File 12). The CodeML analysis (branch-site model) determined no significant positive selection on the basal node of the γ-clade ($p > 0.05$, χ^2 test), but RELAX suggested that the γ-clade evolved under relaxed selection relative to the rest branches ($K = 0.44$, $p < 0.001$). The cathepsin D-like genes formed multiple tandem repeat structures in the PoB genome, although other Heterobranchia had no tandem repeat (Figure 6B; Figure 6–Figure Supplement 1 and 2). In *E. marginata*, the gene e8012c40.2 located at the basal position of the γ-clade had no repeat structure, although two genes from the α-clade (e4981c37.5 and e4981c37.4) and two from the β-clade (e2244c39.16 and e2244c39.20) made two tandem repeats (Figure 6–Figure Supplement 1 and 2). The tree and repeat structure suggested that the γ-clade was separated from the β-clade as a single-copy gene in the common ancestor of PoB and *E. marginata* and duplicated in the PoB lineage (Figure 6B). The revealed genomic structure indicated that the duplicates were not due to whole-genome duplication but rather a combination of several subgenomic duplication events: the dispersed duplicates are likely due to replicative transposition by a transposable element, and the tandem repeats are likely due to a local event (e.g., unequal crossover).

The gene duplication in OG0000446 seems to have happened in the PoB lineage and at the node between PoB and *E. marginata* (Figure 5B; Figure 6–Figure Supplement 3 and 4). The sacoglossan genes were duplicated in a monophyletic clade (clade I) only, and all DG-up-regulated DEGs were contained in clade I.

CodeML found no significant positive selection on the basal node of clade Ia ($p > 0.05$), but RELAX suggested

evolution under relaxed selection relative to the rest branches ($K = 0.69$; $p = 0.003$; Supplementary File 12). On

the other subclades, no significant positive selection nor relaxed selection was found (Supplementary File 12).

All DG-up-regulated DEGs were contained in clade I. It was hypothesized that duplication on the common

lineage relates to plastid sequestration, and the PoB-specific duplication events contribute to long-term

kleptoplasty.

In OG0000005 (lectin-like gene group), the gene counts were comparable between PoB (367 genes) and *E.*

marginata (213 genes; Figure 5B); however, the phylogenetic tree suggests that this similarity is due to different

gene duplication events in each species. One-by-one orthologous gene pairs were rare between PoB and *E.*

marginata (only 14 were detected), and many of the other homologs formed species-specific subclades (Figure

6–Figure Supplement 5). Lectins are carbohydrate-binding molecules that mediate the attachment of bacteria

and viruses to their intended targets (Lis and Sharon, 1998; Yamasaki et al., 2008). The observed gene

expansions of lectin-like genes in each of these sea slugs may widen their targets and complicate the natural

immune system to distinguish the kleptoplast from other antigens. Four clades having expanded homologs on

the sacoglossan lineage were detected (clades A–D in Figure 6–Figure Supplement 5). These clades contained

all 34 DEGs between DG and DeP tissues. The DG-up-regulated and DG-down-regulated genes are located on

different clades, except for one DG-up-regulated gene (p3334c67.98) in clade A. These results indicated that the

determined DEGs have different expression patterns depending on the clade.

Discussion

Here, it was demonstrated that (1) kleptoplast photosynthesis extends the lifetime of PoB under starvation, (2) the PoB genome encodes no algal nucleus-derived genes, and (3) PoB individuals up-regulate genes for carbohydrate metabolism, proteolysis, and immune response in their DG. Candidate KRM genes, i.e., apolipoprotein D-like, cathepsin D-like, and lectin-like genes, were also identified using a combination of genomic and transcriptomic analysis. Data present a paradigm of kleptoplasty in which PoB obtains the adaptive photosynthesis trait by a DNA-independent phenotype acquisition.

The PoB genomic sequence clarified the gene repertory of its kpDNA, mtDNA, and nucDNA. The whole kpDNA sequence indicated that PoB kleptoplasts could produce some proteins involved in photosynthesis (e.g., PsbA, a core protein in PSII; Figure 3) if gene expression machinery is sufficiently active, as reported in *E. chlorotica* (Green et al., 2000; Pierce et al., 2007). The absence of core photosynthetic genes in the PoB genome was then demonstrated. For instance, no genes encoding PsaF of photosystem I, PsbO of PSII, or RbcS of Rubisco in kpDNAs, mtDNA, or nucDNA (Figures 3 and 4) were detected despite the queries (e.g., A612 dataset; Supplementary File 3) containing multiple algal orthologs of these genes; these gene products are essential for photosynthesis in various plants and algae and located on their nuclear genome, not plastid genome (Farah et al., 1995; Izumi et al., 2012; Pigolev et al., 2009). This result means that PoB can perform photosynthesis (Figure 2) without *de novo* synthesis of these gene products. The absence of algae-derived HGT is consistent with previous transcriptomic analyses of *P.* cf. *ocellatus* (Wägele et al., 2011) and other sacoglossan species (de Vries et al., 2015; Han et al., 2015; Wägele et al., 2011). A previous genome study of *E. chlorotica* predicted that fragmented algal DNA and mRNAs contribute to its kleptoplasty (Bhattacharya et al., 2013), and fluorescence *in situ* hybridization study detected algal gene signals on *E. chlorotica* chromosomes (Schwartz et al., 2014). Although our manual check of gene annotation found no photosynthesis-related gene from a more complete version of the *E. chlorotica* nuclear genome sequence, Cai et al. (2019) provided no discussion about HGT. After all, the PoB results proposed that algal DNA and/or RNA is not an absolute requirement for kleptoplast photosynthesis.

The combination of genomics and transcriptomics suggests that the maintenance of algae-derived protein activity is the most probable mechanism for retaining PoB photosynthesis. Because of the limited longevity of

the photosynthetic proteins in algal cells and/or *in vitro* (Roberts et al., 2013), previous studies have discussed

the elongation of algal protein lifespans via protective sea slug proteins as an alternative hypothesis to HGT (de

Vries and Archibald, 2018; Serôdio et al., 2014). This study shows three types of potential KRM genes:

apolipoprotein D-like, cathepsin D-like, and lectin-like genes. Some of these KRM genes may encode proteins

that protect algae-derived proteins. Although further studies are needed to elucidate their functional roles in

detail, the obtained results are consistent with HGT's alternative hypothesis, algal protein retention.

Previous RNA-Seq studies of *Elysia timida* and *E. chlorotica* found up-regulation of superoxide dismutase

(SOD) genes in response to photostress and postulated that SOD protects algal proteins in the kleptoplasts from

oxidative damage (Chan et al., 2018; de Vries et al., 2015). No significant up-regulation of the SOD gene in PoB

DG was found (Figure 5–Figure Supplement 1). However, there was up-regulation of apolipoprotein D-like

genes and the expansion of these genes in PoB lineages (Figure 5). Apolipoprotein D, a lipid antioxidant,

confers resistance to oxidative stress in higher plants and animal brains (Bishop et al., 2010; Charron et al.,

2008). Ortholog analysis of the new *E. chlorotica* data found no gene number expansion of these homologs in *E.*

chlorotica, i.e., only three apolipoprotein D-like homologs (Supplementary File 15). Although the retention

process details may differ among species and abiotic conditions, it is attractive to speculate that oxidative stress

resilience is of major importance for kleptoplasty in multiple sacoglossan species.

Previous kleptoplasty studies have not focused on cathepsin D-like and lectin-like genes. The involvement of

the mechanisms of these proteins in kleptoplasty remains to be solved. Cathepsin D degrades intracellular

proteins and contributes to the degradation of damaged mitochondria (Benes et al., 2008). In general, damaged

photosynthetic proteins generate abundant ROS, promoting further protein damage. In PoB, cathepsin D-like

protease may degrade damaged proteins and prevent further protein inactivation. Lectin is involved in self-

recognition in natural immunity (Geijtenbeek and Gringhuis, 2009; Worthley et al., 2005). The diverse lectins

expressed in PoB DG tissue may bind the antigens of algae-derived molecules, mediate the detection of non-

self-proteins and/or saccharides, and lead to the selective degradation and retention of algae-derived proteins and

organelles.

These genomic data indicate that a proteomic analysis of kleptoplasts is warranted. A previous isotopic study

indicated that *E. chlorotica* kleptoplasts contain function-unknown proteins transported from the sea slug

cytoplasm (Pierce et al., 1996). Although several algal photosynthetic proteins have been immunoassayed in

kleptoplasts, animal nuclear-encoded proteins have been out of the target (Green et al., 2000; Pierce et al., 1996). The *in silico* study found no typical chloroplast localization signal in PoB KRM genes (Figure 6–Figure Supplement 1 and 3). However, the genomic data will help identify kleptoplast-localized sea slug proteins by peptide mass fingerprinting.

This study provides the first genomic evidence of photosynthesis acquisition without horizontal DNA or RNA transfer. Previous studies have demonstrated that DNA is the core material for heredity (Hershey and Chase, 1952; Watson and Crick, 1953) and assumed that horizontal DNA transfer causes cross-species phenotype acquisition (Acuña et al., 2012; Anderson, 1970; Boto Luis, 2014; Dehal et al., 2002). However, our studies indicate that PoB gains adaptive photosynthetic activity without acquiring any of the many algal nucleic genes involved in photosynthesis. This is evidence of a phenotype (and organelle) acquisition, although temporally, from the donor without DNA or RNA transfer. Recent studies of shipworms (wood-feeding mollusks in the family Teredinidae) showed that they utilize several symbiont-derived proteins for their food digestion (O'Connor et al., 2014). Golden sweeper fish (*Parapriacanthus ransonneti*) gain the luciferase for their bioluminescence from ostracod prey, suggesting phenotype acquisition via the sequestration of a non-self-protein (kleptoprotein; Bessho-Uehara et al., 2020). However, these two examples of DNA/RNA-independent phenotype acquisition are limited to the transfer of simple phenotypes that depend on just a few enzymes. In contrast, the well-known complexity of photosynthesis suggests that sea slug kleptoplasty depends on DNA/RNA-independent phenotype acquisition of complex pathways requiring multiple enzymes (e.g., entire photosystems and the Calvin cycle). It is attractive to speculate that other symbiont-derived organelles (e.g., mitochondria), and obligate endosymbiotic bacteria and protozoan kleptoplasts (e.g., in *Dinophysis acuminata*; Hackett et al., 2003) can obtain traits derived from another species via a DNA-independent system. Although several organisms have multiple HGT-derived functional genes, it is still unclear how the organism evolutionarily obtained the appropriate expression control system of the non-self-gene (Sasakura et al., 2016). These PoB data suggested that the transfer of complex adaptive phenotypes sometimes precede the gene transfer from the donor species, having the potential to explain the process of cross-species development of complex phenotypes. Some organisms might evolutionarily obtain the HGT-derived genes and appropriate control system of the gene expression after the transfer of phenotype. Our finding of DNA-independent complex phenotype acquisition may open new viewpoints on cross-species evolutionary interaction.

Materials and Methods

Samplings of sea slugs and algae

Samples were collected from southwestern Japan. Specifically, PoB and *H. borneensis* were collected on Okinawa Island shores, and *E. marginata* was collected from Kinkowan Bay. Regarding *B. hypnoides*, a cultivated thallus was initially collected from Kinkowan Bay and used in the authors' laboratory for several years. The collected samples of PoB and *E. marginata* in seawater were transported to the laboratories at the National Institute for Basic Biology (NIBB) and Kyoto Prefectural University, respectively, under dark conditions within 2 days. The samples were then acclimated in an aquarium filled with artificial seawater (REI-SEA Marine II; Iwaki, Japan) at 24°C.

Photosynthetic activity of sea slugs and algae

The photosynthetic activity of PoB was measured after 38, 109, or 110 days of incubation under a 12 h light/12 h dark cycle without food. During the light phase, the photosynthetic photon flux density was 10 μmol photons m^{-2} s^{-1} (LI-250A Light Meter with LI-193 Underwater Spherical Quantum Sensor; LI-COR, Lincoln, NE, USA). The seawater was not changed during the incubation period, except to adjust the salinity using distilled water. Photosynthetic activity indices (oxygen generation rate and PAM fluorometry) were measured using oxygen sensor spots (Witrox 4; Loligo Systems, Tjele, Denmark) and PAM-2500 (Walz, Effeltrich, Germany), respectively (Figure 2–Figure Supplement 1B–F). *H. borneensis*, which was easily collected and kept in the laboratory, was used as a reference for comparison in the PAM analysis. This species is a donor alga of PoB kleptoplasts and closely related species with the major donors of PoB, *R. lewmanomontiae* and *Poropsis* spp. These two major donors firmly adhered to the rock, were tangled up in other algal species, and were difficult to be collected.

The oxygen sensor spots were affixed to the inside of a glass respirometry chamber. Before performing the measurements, the system was calibrated using sodium sulfite (0% O_2 saturation) and fully O_2-saturated seawater (100% O_2 saturation). A sea slug was placed into a respirometry chamber filled with fully O_2-saturated filtered artificial seawater (7 ml). The top of the chamber was closed with a glass slide. All visible bubbles were removed from the chamber. The chamber was maintained at a constant temperature (23°C–24°C) using a water

jacket attached to a temperature-controlled water flow. The Witrox temperature probe for calibration was

immersed in the water jacket (Figure 2–Figure Supplement 1B–F).

The oxygen concentration was measured sequentially under changing light conditions. The percent O_2

saturation was monitored continuously and recorded using AutoResp software (Loligo Systems) for 10 min after

the respirometry chamber acclimation period (10 min). The oxygen consumption rate by respiration was

measured under dark conditions. Next, the respirometry chambers were exposed to the red LED light (800 µmol

photons m^{-2} s^{-1}) to measure the change in the oxygen concentration under light conditions due to the balance

between the photosynthesis and respiration rates. The chambers were illuminated from the sides because a

mounted LED light increased the oxygen meter's noise. The light direction to the sea slug was inconsistent

because the slug kept moving around the chamber during the measurement period. In sea slugs, the rate of

photosynthesis was unaffected by the direction of illumination because the rate of O_2 generation rate under a

particular constant illumination did not change regardless of the sea slug's position in the chamber. The percent

O_2 saturation was measured for 10 min. One blank (i.e., without sea slug) condition was run as a negative

control to account for background biological activity in seawater. AutoResp software was used to convert the

percent saturation to an oxygen concentration ($[O_2]$, mg O_2 l^{-1}) based on the rate of change in the percent O_2

saturation, water temperature, and barometric pressure (fixed at 1013 Pa). A regression analysis was performed

using the "lm" function in R version 3.5.2 (tidyverse 1.2.1 package) to calculate the changing oxygen

concentration rate under dark and light conditions. A gross oxygen generation rate was then obtained by

photosynthesis (oL + oD = oG; oL, oxygen production rate under light conditions; oD, oxygen consumption rate

under dark conditions; oG, gross oxygen generation by photosynthesis).

During PAM fluorometry analysis, each sea slug was caged in a single well of a 12-well cell culture plate

(Corning, Corning, NY, USA) after adaptation to the dark for 15 min. To ensure reproducibility, the sea slug

was caged upside down (i.e., the ventral surface was brought to the upside), the animal was softly squeezed with

a plastic sponge, and the PAM light probe was connected to the plate from underneath the well. Consequently,

the samples could not move during the measurement, and the PAM light probe always measured the

fluorescence of the dorsal surface. The maximal quantum yield, Fv/Fm, was determined by a saturation pulse of

>8000 µmol photons m^{-2} s^{-1} and a measurement light of 0.2 µmol photons m^{-2} s^{-1}.

Effect of light conditions on *P. ocellatus* longevity

The longevity of PoB was measured using a modified medaka (Japanese rice fish) housing rack system (Iwaki, Japan). For the longevity measurement, different individuals from the photosynthetic activity measurement were used. The longevity was investigated from the samples used in the above-mentioned photosynthetic activity measurement. Using centrally filtered systems, the water tank rack maintained consistent water conditions (e.g., temperature and mineral concentrations) among the incubation chambers (subtanks) and enabled a focus on the effect of light conditions. After acclimating the collected sea slugs under the same conditions for 1 week in an aquarium, the organisms were incubated separately for 8 months under different light conditions (continuous dark and 12 h light/12 h dark cycle). The sea slugs' conditions were measure daily, and death was defined when a sea slug remained motionless for 30 s after stimulation (i.e., touching with plastic bar).

Sequencing of *H. borneensis* cpDNA

A combination of pyrosequencing and Sanger sequencing was used to evaluate *H. borneensis* cpDNA. Collected *H. borneensis* thalli were washed with tap water to remove the attached organisms. The cleaned thalli (27 g) were frozen in liquid nitrogen, ground with a T-10 basic homogenizer (IKA, Germany) to a fine powder, suspended in 15 mL AP1 buffer from a DNeasy Plant Mini Kit (Qiagen, Hilden, Germany), and centrifuged ($500 \times g$, 1 min) to remove the calcareous parts. Total DNA was purified from the supernatant according to the protocol supplied with the DNeasy Plant Mini Kit. The resulting DNA yield (76.8 μg) was measured using a dsDNA HS Assay Qubit Starter Kit (Thermo, Waltham, MA, USA) and used to prepare a single-fragment library for pyrosequencing. The Pyrosequencer GS-FLX Titanium Platform (Roche, Germany) was used to generate 23.04 Mb of total singleton reads (68032 reads; average read length, 368 bp). After filtering low-quality reads, the remaining 21865 reads (~8 Mb) were submitted for assembly by Newbler (Roche). Of the 6309 obtained contigs (N50 = 663 bp), the cpDNA sequences were identified by blastx searches (version 2.2.28) against the protein coding sequences of cpDNA from the chlorophyte alga *B. hypnoides* (NC_013359). The gaps between the four identified contigs (55551, 21264, 8076, and 3435 bp) and ambiguous sites in the contigs were amplified by PCR using inverse primers. The PCR products were sequenced by primer walking and Sanger sequencing with Takara LA Taq (Takara, Japan), a dGTP BigDye Terminator Cycle Sequencing FS Ready Reaction Kit (Thermo), and an ABI PRISM 3130xl DNA Sequencer (Thermo). Regions that could not be read

by direct sequencing were amplified using specific primers, cloned with a TOPO TA cloning kit (Thermo), and

sequenced with plasmid-specific primers. Complete cpDNA sequences were obtained by assembling the GS-

FLX contigs and reads generated by Sanger sequencing using Sequencher version 4.10 (Gene Codes

Corporation, Ann Arbor, MI, USA). All open reading frames >100 bp were annotated using a blastx search

version 2.2.31+ against the non-redundant protein sequences (nr) database in GenBank and a tblastx search of

chloroplast genes from other algae (*B. hypnoides*, *C. reinhardtii*, *Vaucheria litorea*, and *H. borneensis*). Introns

were detected using RNAweasel (Gautheret and Lambert, 2001), Rfam (Kalvari et al., 2018), and Mfold (Zuker,

2003). The splicing sites were confirmed via alignment with orthologous genes from other green algal cpDNAs.

MAFFT version 7.127b (Katoh and Standley, 2013) was used to perform the alignment. The origins of bacteria-

like proteins were explored using a blastx search against the nr database and a phylogenetic analysis with blast-

hit sequences. MAFFT (Katoh and Standley, 2013) was used for alignment, Trimal version 1.4 (Capella-

Gutiérrez et al., 2009) was used for trimming, and RaxML version 8.2.4 (Stamatakis, 2014) was used for

phylogenetic tree construction. The resulting gene maps were visualized using Circos version 0.69-2.

Sequencing of kpDNAs from *P. ocellatus*

Kleptoplast sequences were generated using an Illumina system. Total DNA was extracted from DG

(kleptoplast-rich tissue) and parapodium (including DG, kleptoplast-less muscle, and reproductive systems) of a

single PoB individual using a CTAB-based method (Murray and Thompson, 1980). Two Illumina libraries with

180 and 500 bp insertions were constructed from each DNA pool (DRR063261, DRR063262, DRR063263, and

DRR063264). An S220-focused ultrasonicator (Covaris, Woburn, MA, USA), Pippin Prep (Sage Science,

Beverly, MA, USA), and a TruSeq DNA Sample Prep Kit (Illumina, San Diego, CA, USA) were used for DNA

fragmentation, size selection, and library construction, respectively. Libraries were sequenced (101 bp from each

end) on a HiSeq 2500 platform (Illumina). A total of 42,206,037 raw reads (8.53 Gb) were obtained. After

filtering the low-quality and adapter sequences, the remaining 5.21 Gb of sequences were used for assembly.

Paired sequences from 180 bp libraries were combined into overlapping extended contigs using FLASH version

1.2.9 (Magoc and Salzberg, 2011) with the default settings. An input of 14,867,401 paired-end sequences and

FLASH were used to construct 13,627,554 contigs (101–192 bp). The joined fragments and filtered paired

sequences from 500 bp libraries were assembled using Velvet assembler version 1.2.07 (Zerbino and Birney,

2008) with parameters that were optimized based on the nucDNA and kpDNA sequence coverage depths; the

estimated nucDNA depth was approximately 30× based on the k-mer analysis, and the predicted kpDNA depth

was 272× based on the read mapping to previously obtained kleptoplast *rbcL* sequences (AB619313; 1195 bp)

using Bowtie2 version 2.0.0 (Langmead and Salzberg, 2012). After several tests to tune the Velvet parameters,

the best assembly was achieved with a k-mer of 83 and exp_cov of 50. The resulting assembly comprised 1537

scaffolds (>2000 bp) containing 4,743,113 bp (N50 = 2830 bp). Two kpDNAs (AP014542 and AP014543) from

this assembly were then identified based on the sequence similarity with *H. borneensis* cpDNA and a mapping

back analysis. blastx version 2.2.31 assigned bit scores >1000 to the two scaffolds (AP014542 = 1382,

AP014543 = 1373, database = coding sequences in the obtained *H. borneensis* cpDNA, and query = all

constructed scaffolds). Mapping back, which was performed using BWA version 0.7.15-r1140, showed that the

coverage depths of AP014542 and AP014543 correlated with the relative abundance of kleptoplasts; the read's

average coverage depth was increased by twofold to fourfold in a library from kleptoplast-rich tissue

(DRR063263) relative to a library from kleptoplast-poor tissue (DRR063261). The same degree of change was

never observed in other scaffolds (Figure 3–Figure Supplement 7).

The two kleptoplast sequences were annotated and visualized using the same method described for *H.*

borneensis cpDNA. The phylogenetic positions of PoB kleptoplasts and algal chloroplasts were analyzed using

the *rbcL* gene sequences from 114 ulvophycean green algae according to the maximum likelihood (ML) method

(Figure 3B). A phylogenetic tree was constructed according to the same method used to search for the origins of

bacteria-like genes in *H. borneensis* cpDNA.

Analysis of *H. borneensis* and *B. hypnoides* transcriptomes

The *de novo* transcript profiles of *H. borneensis* and *B. hypnoides* were obtained from Illumina RNA-Seq

data. Total RNA was extracted using the RNeasy Plant Mini Kit (Qiagen). An Illumina library was constructed

for each species using the TruSeq RNA Sample Prep Kit. The libraries were sequenced on a HiSeq 2500

platform (101 bp from each end). A total of 290,523,622 reads (29 Gb) and 182,455,350 raw reads (18 Gb) were

obtained for *H. borneensis* and *B. hypnoides*, respectively (Supplementary File 1). After filtering the low-quality

and adapter sequences, the obtained reads were assembled using Trinity version 2.4.0 (Grabherr et al., 2011) and

clustered using CD-Hit version 4.6 (Fu et al., 2012) with the -c 0.95 option. The TransDecoder version 2.0.1 was

used to identify 26,652 and 24,127 candidate coding regions from *H. borneensis* and *B. hypnoides*, respectively

(Supplementary File 2). The gene completeness of the transcripts was estimated using BUSCO version 2.0

(Waterhouse et al., 2018). The obtained *H. borneensis* transcripts covered 86.5% (262/303) of the total BUSCO

groups, and the *B. hypnoides* transcripts covered 92.7% (281/303) of the conserved genes in Eukaryota

(database, eukaryota_odb9). The transcripts were annotated using AHRD version 3.3.3

(https://github.com/groupschoof/AHRD) based on the results of a blastp search against nr, RefSeq, and

Chlamydomonas proteome dataset on UniProt. The composed functional domains on the transcripts were

annotated using InterProScan version 5.23-62 (Jones et al., 2014). To distinguish the reliable target species

transcripts, the original transcript species were predicted using MEGAN version 5 (Huson et al., 2007) and

11,629 and 8630 transcripts were selected as viridiplantal genes. The annotation procedure details are visually

presented in Figure 3–Figure Supplement 8 and 9.

A query dataset from the algal transcripts was manually selected to search algae-derived genes on the sea

slug DNAs. Then, 176 and 129 transcripts were selected from *H. borneensis* and *B. hypnoides*, respectively. To

perform more comprehensive searches, queries from three public genomic datasets derived from *C. lentillifera*,

C. reinhardtii, and *Cyanidioschyzon merolae* were also obtained; these queries were termed as the A614 dataset

(Supplementary File 3; Figure 3–Figure Supplement 10).

Sequencing of the PoB genome

The mean nucDNA size in three PoB individuals was estimated using flow cytometry (Figure 4–Figure

Supplement 1). Dissected parapodial tissue (5 mm^2) was homogenized in 1 ml phosphate-buffered saline (PBS)

containing 0.1% Triton X-100 (Thermo) and 0.1% RNase A (Qiagen) using a BioMasher (Nippi, Tokyo, Japan).

The homogenate was then filtered through a 30 μm CellTrics filter (Sysmex, Hyogo, Japan), and the filtrate was

diluted with PBS at a density of $<5 \times 10^6$ cells/ml. The resulting solution was mixed with genome size standard

samples and stained with a 2% propidium iodide solution (SONY, Tokyo, Japan). *Acyrthosiphon pisum* (genome

size = 517 Mb) and *Drosophila melanogaster* (165 Mbp) samples were used as genome size standards. The

references were processed using the same method described for PoB. The mixture was analyzed on Cell Sorter

SH800 (SONY) according to the manufacturer's instructions. The above procedure was repeated for three PoB

individuals, and an estimated genome size of 936 Mb was determined.

Genomic DNA was extracted from a single PoB individual using the CTAB-based method (Murray and

Thompson, 1980). The adapted buffer compositions are summarized in Supplementary File 16. A fresh PoB

sample (collected on October 17, 2013 and starved for 21 days) was cut into pieces and homogenized in 2×

CTAB using a BioMasher. To digest the tissues, 2% volume of proteinase K solution (Qiagen) was added, and

the sample was incubated overnight at 55°C. The lysate was emulsified by gentle inversion with an equal

volume of chloroform; after centrifugation (12,000 × g, 2 min), the aqueous phase was collected using a pipette.

This phase was combined with a one-tenth volume of 10% CTAB, mixed well at 60°C for 1 min, and again

emulsified with chloroform. These 10% CTAB and chloroform treatment steps were repeated until a clear

aqueous phase was achieved. The aqueous phase was then transferred to a new vessel, an equal volume of

CTAB precipitation buffer was overlaid onto the mixture, and the liquids were gently mixed by tapping. The

resulting filamentous precipitations (DNA) were removed using a pipette chip and incubated at room

temperature for 10 min in high salt TE buffer. DNA was purified according to the protocol supplied with the

DNeasy Blood and Tissue Kit (Qiagen); briefly, the supernatant was transferred after vortex mixing, equal

volumes of buffer AL (supplied with the kit) and EtOH were added to the supernatant, and the sample was

processed on a Qiagen spin column according to the protocol. We finaly obtained a DNA quantity of 15 μg from

a PoB individual (Figure 4–Figure Supplement 11).

The PoB genomic sequence was obtained via Illumina paired-end and mate-pair DNA sequencing

(Supplementary File 1; Figure 4–Figure Supplement 2). Two paired-end Illumina libraries containing 250 and

600 bp insertions (DRR029525 and DRR029526) were constructed using a TruSeq DNA Sample Prep Kit

(Illumina). Three mate-pair libraries with 3000, 5000, and 10,000 bp insertions (DRR029528, DRR029529, and

DRR029530) were constructed using a Nextera Mate Pair Library Prep Kit (Illumina). The libraries were

sequenced (150 bp from each end) on a HiSeq 2500 platform (Illumina). A total of 1,130,791,572 and

787,040,878 raw reads were obtained for the paired-end (170 Gb) and mate-pair (118 Gb) libraries. After

filtering the low-quality and adapter sequences, the remaining 161 Gb of sequences were assembled using

Platanus assembler version 1.2.1 (Kajitani et al., 2014) with the default settings. The assembly comprised 8716

scaffolds containing 928,345,517 bp. The repetitive regions were masked using a combination of

RepeatModeler version open-1.0.8 and RepeatMasker version open-4.0.5 (http://www.repeatmasker.org). The

default parameters were used for identification and masking. A total of 268,300,626 bp (29%) of the assemblies

were masked with RepeatMasker.

Strand-specific RNA-Seq sequencing was used for gene modeling (Supplementary File 1; Figure 4–

Figure Supplement 2). PoB RNA was extracted from an individual after starvation for 20 days (collected on

October 17, 2013). TRIzol™ Plus RNA Purification Kit (Thermo) was used to extract RNA according to the

manufacturer's protocol. A paired-end Illumina library (DRR029460) was constructed using the TruSeq

Stranded mRNA LT Sample Prep Kit (Illumina). Libraries were sequenced (150 bp from each end) on a HiSeq

2500 platform (Illumina). The library produced a total of 286,819,502 raw reads (28 Gb).

The PoB assemblies were processed using a single transcript-based gene model construction pipeline

(AUGUSTUS version 3.2; Stanke and Morgenstern, 2005), two transcriptomic data mapping tools (Trinity

version 2.4.0 and Exonerate version 2.2.0; Grabherr et al., 2011; Slater and Birney, 2005), and two non-

transcript-based model construction pipelines (GeneMark-ES version 4.33 and glimmerHMM version 3.0.4;

Majoros et al., 2004; Ter-Hovhannisyan et al., 2008). The four obtained gene sets were merged with the

EVidenceModeler version 1.1.1 pipeline (Haas et al., 2008) to yield a final gene model set. For AUGUSTUS,

Braker pipeline version 1.9 (Hoff et al., 2019) was used to construct PoB-specific probabilistic models of the

gene structure based on strand-specific RNA-Seq data. After filtering the low-quality and adapter sequences, the

remaining 181,873,770 RNA-Seq reads (16 Gb) were mapped to the PoB genome assembly using TopHat

version 2.1.1 (Kim et al., 2013) with the default setting and the Braker pipeline-constructed PoB-specific

probabilistic models from mapped read data. TopHat mapped 132,786,439 of the reads (73%) to the PoB model.

AUGUSTUS then predicted 78,894 gene models from the TopHat mapping data (as "splicing junction" data)

and the Braker probabilistic model. Using Trinity and Exonerate, *de novo* transcriptomic data from RNA-Seq

data were then constructed, and these were aligned to the genome. Trinity constructed 254,336 transcripts,

which were clustered to 194,000 sequences using CD-Hit version 4.6 (-c 0.95); subsequently, Transdecoder

identified 44,596 protein coding regions from these sequences. Exonerate (--bestn 1 --percent 90 options) then

aligned 13,141 of the transcripts to the genome. GeneMark-ES with the default settings predicted 107,735 gene

models, and glimmerHMM predicted 115,633 models after the model training, with 320 manually constructed

gene models from long scaffolds. EVidenceModeler was then used to merge the model with the following

weight settings: AUGUSTUS = 9, Exonerate = 10, GeneMark-ES = 1, and glimmerHMM = 2. Finally,

EVidenceModeler predicted 77,444 gene models.

The removal of contaminant sequences was minimized to avoid missing horizontally transferred genes.

Bacterial scaffolds were defined as those encoding >1 bacterial gene with no lophotrochozoan gene, and the

potential bacterial scaffolds were removed from the PoB assemblies. The bacterial genes were predicted using

MEGAN software according to a blastp search against the RefSeq database. Of the 40,330 gene hits identified

from the RefSeq data, MEGAN assigned the origins for 39,113 genes. Specifically, 719 and 23,559 genes were

assigned as bacterial and lophotrochozoan genes, respectively. MEGAN results before removing bacterial

scaffolds are summarized in Supplementary File 17 with the detailed data of removed scaffolds. Fifty-five of the

8716 scaffolds contained two or more bacterial genes and no lophotrochozoan gene. The mean depth of read

coverage of the bacterial scaffolds differed from the mean coverage of sea slug scaffolds.

Kleptoplast- or mitochondria-derived scaffolds were also removed from the assemblies (Supplementary

File 18). The source of scaffolds was determined based on a blast bit score against the three referential

organelle DNAs (kRhip AP014542, kPoro AP014543, and PoB mtDNA AP014544) and the difference of

the read depth value from the other (nuclear-derived) scaffolds. The blastn search detected 13 and 1

scaffolds as sequences of kleptoplast or mitochondrial origin, respectively (bit score >1000; Supplementary

File 18). Mapping back of the Illumina read (DRR029525) by Bowtie version 2.4.1 indicated that the depth

values of the 14 scaffolds were 537 to 5143, and the averaged depth of the other scaffolds was 31

(Supplementary Files 17 and 18), indicating that the 14 scaffolds were derived from organelle DNAs or

repetitive regions on the nucDNA. Mapping of the reads derived from DG (kleptoplast enriched tissue;

DRR063263) and parapodium (including a muscle, gonad, and DG; DRR063261) indicated that the relative

read depth of the 13 kpDNA-like scaffolds was higher in the DG sample than in the parapodium sample,

supporting that the 13 scaffolds are derived from the kleptoplasts (Figure 4–Figure Supplement 12). It was

then confirmed that the 14 scaffolds contain no algal nuclear-derived photosynthetic gene using two

methods: dot plots with referential organelle DNAs and homology search using A612 query set (Figure 4–

Figure Supplement 13–15). Therefore, even if these removed 14 scaffolds are part of the nucDNA, they do

not provide HGT evidence. The removed scaffold sequence data were deposited in FigShare (DOI:

10.6084/m9.figshare.12587954).

The final PoB assembly comprised 8647 scaffolds containing 927,888,823 bp (N50 = 1,453,842 bp) and

77,230 genes. Gene completeness was estimated using BUSCO version 2.0 (Waterhouse et al., 2018). The

predicted gene models were annotated using AHRD version 3.3.3. The results of a blastp search against the

SwissProt, Trembl, and *A. californica* proteome datasets on UniProt were used as reference data for AHRD

under the following weight parameter settings: SwissProt = 653, Trembl = 904, and *A. californica* = 854. The

functional domains were annotated using InterProScan version 5.23-62 (Figure 4–Figure Supplement 3).

A blastp analysis against the RefSeq database was performed to identify algae-derived genes from the

constructed genes models. After translating the protein coding region to the amino acid sequence data, the blastp

search was adapted to include the "-e-value 0.0001" option. The output was analyzed using MEGAN software

with the following LCA and analysis parameters: Min Score = 50, Max Expected = 1.0E-4, Top Percent = 20,

Min Support Percent = 0.1, Min Support = 1, LCA percent = 90, and Min Complexity = 0.3.

The GO annotation was assigned using Blast2GO version 5.2.5 according to the blastp searches against

the RefSeq database and InterProScan results. SonicParanoid version 1.0.11 was then used for orthogroup

detection. All parameters of SonicParanoid were left at the default values. The species analyzed in the

orthogroup detections are summarized in Supplementary File 19. The phylogenetic tree was constructed using

IQ-tree. The resulting trees were visualized using iTol version 4.

Exonerate version 2.2.0 (with the --bestn 1 --model protein2genom options) was used to identify algal

genes in the PoB genome. The A614 dataset was used as a query after translating the sequence to amino acids. *C.*

lentillifera (green algae) genomic data were used as a control to estimate the sensitivity of the method. The

results were handled and visualized using R (tidyverse packages version 1.2.1).

MMseq2 version 2.6 (--orf-start-mode 1) was used to search for algae-like reads among the trimmed

Illumina reads. The matching threshold was set using a default *E*-value < 0.001. As a positive control, PoB

genes were selected from the BUSCO analysis of the genomic model data, and 911 gene models detected by

BUSCO version 2 were selected as single-copy orthologs of the metazoa_odb9 gene set and named the dataset

P911 (DOI: 10.6084/m9.figshare.13042016).

The HGT index "h" and the modified index "hA" were calculated using the R script, HGT_index_cal.R

(https://github.com/maedat/HGT_index_cal; R version 3.6.1). The h-index was calculated as the difference in bit

scores between the best prokaryote and best eukaryote matches in the blast alignments, and the hA-index was

calculated as the difference in bit scores between the best lophotrochozoan and best algae matches. The blast

databases of adapted species are summarized in Figure 4–Source Data 1.

RNA-Seq analysis of PoB tissues

Total RNA samples from five PoB individuals and one egg mass were obtained for a gene expression

analysis. An overview of sample preparation is illustrated in Figure 4–Figure Supplement 10. Collected adult

PoB individuals were dissected manually after incubation for 21 to 94 days. An egg mass was obtained via

spontaneous egg lying in an aquarium. A TRIzol™ Plus RNA Purification Kit (Thermo) was used to extract

RNA according to the manufacturer's protocol. Six paired-end and nine single-end Illumina libraries were

constructed using a combination of the RiboMinus Eukaryote Kit (Thermo), RiboMinus concentration module

(Thermo), and TruSeq RNA Sample Preparation Kit version 2 (Illumina) according to the manufacturers'

protocols. Libraries were sequenced (101 bp) on a HiSeq 2500 platform (Illumina). A total of 280,445,422 raw

reads (28 Gb) were obtained from the libraries (Supplementary File 1).

After filtering the low-quality and adapter sequences, 150,701,605 RNA-Seq reads (13 Gb) were obtained.

Only the R1 reads were used for the six paired-end datasets. MMseq2 was used to identify algae-derived reads

from the trimmed reads using the A614 dataset as a query. The same parameters were applied as the above-

described DNA read search. The PoB gene dataset P911 was also used as a positive control.

The Hisat-stringtie-DESeq2 pipeline was used to conduct a differential gene expression analysis of DGs

and DeP (epidermis, muscle, and reproductive systems, DeP). According to the Stringtie protocol manual

(http://ccb.jhu.edu/software/stringtie/index.shtml?t=manual), trimmed RNA-Seq reads were mapped to the PoB

genome assembly using Hisat version 2.1.0 with the default setting. The obtained BAM files were processed

using Stringtie version 1.3.4d (-e option) with PoB gene model data (gff3 format) acquired through the above-

mentioned EVidenceModeler analysis. Following the default settings of Stringtie software, if more than 95% of

the reads aligned in a gene locus are multi-mapped, processing of that locus is skipped (Pertea et al. 2015). It

was assumed that this reduces the type I error on the gene enrichment analysis on the duplicated orthogroup. The

pairwise sequence similarity of three KRM gene-including orthogroups is summarized on Supplementary File

21. The averaged pairwise sequence similarities were 70.3%, 71.0%, and 66.9% in OG0000005, OG0000132,

and OG0000446, respectively. The resulting count data were analyzed using R and the DESeq2 package, and

1490 DEGs ($p < 0.01$ and $p_{adj} < 0.05$) were identified between the tissues. The GOseq (Young et al., 2010) and

topGO packages in R were used to apply a GO enrichment analysis to the up-regulated genes in DG tissue

(threshold: $p < 0.01$).

Sequencing of the *E. marginata* genome

The *E. marginata* genome sequencing process was nearly identical to the methodology applied to PoB. Flow cytometry yielded an estimated genome size of 900 Mb. Genomic DNA was extracted from an individual using a CTAB-based method. Four types of Illumina libraries were constructed: two paired-end libraries with 250 and 500 bp insertions and two mate-pair libraries with 3000 and 5000 bp insertions (Supplementary File 1). Using the HiSeq 2500 platform (Illumina), 562,732,268 and 608,977,154 raw reads were obtained for the paired-end (84 Gb) and mate-pair (91 Gb) libraries, respectively. After filtering the low-quality and adapter sequences, the remaining 40 Gb of sequences were assembled using the Platanus assembler. The assembly comprised 14,285 scaffolds containing 791,005,940 bp.

For gene modeling, strand-specific RNA-Seq sequencing of *E. marginata* was performed. A paired-end Illumina library (DRR029460; see also Supplementary File 1) was constructed using a TruSeq Stranded mRNA LT Sample Prep Kit (Illumina) and an RNA sample extracted from an *E. marginata* individual via a TRIzol™ Plus RNA Purification Kit (Thermo). Libraries were sequenced (150 bp from each end) on a HiSeq 2500 platform (Illumina). A total of 286,819,502 raw reads (28 Gb) were obtained from the library. Using the gene modeling procedure described for PoB, EVidenceModeler constructed 71,137 gene models of the genomic assemblies based on the RNA-Seq data.

Next, contaminant-derived bacterial scaffolds were removed from the genomic assemblies. Using the same gene annotation as applied to PoB, it was determined that the 110 of the 14,285 scaffolds contained >1 bacterial gene and no lophotrochozoan gene, and these scaffolds were removed. The organelle-derived scaffolds (kpDNA and mtDNA) were identified using blastn searches and removed from the final assemblies. A blastn search (query = all scaffolds, database = cpDNA of *B. hypnoides* NC_013359.1 or mtDNA of PoB) identified 25 kleptoplast-matching scaffolds and 1 mitochondria-matching scaffold (bit score > 1000). The complete kpDNA and mtDNA was then reassembled using the same method as described for PoB organellar DNA assembling.

Ortholog analysis of sacoglossan genes

Orthologous relationships were classified using OrthoFinder version 2.2.3 (Emms and Kelly, 2015) and rapidly expanded/contracted families were identified using CAFE version 4.2 (Han et al., 2013) based on the OrthoFinder results. All parameters of OrthoFinder were left at the default values, and no "user-specified rooted

species tree" was set. OrthoFinder and CAFE analyses used 16 metazoan species as reference species (Figure 5–

Figure Supplement 3). Phylogenetic trees for CAFE were constructed based on 30 single-copy genes in 15 major

species according to the OrthoFinder results (Figure 5–Source Data 2). The sequences were trimmed using

PREQUAL version 1.02 (Whelan et al., 2018), aligned with MAFFT version 7.407, and analyzed with IQ-tree

version 1.6.1 for the ML analysis. The obtained ML tree was converted to an ultrametric tree for CAFE analysis

based on the divergence times of Amphiesmenoptera-Antliophora (290 Myr) and Euarchontia-Glires (65 Myr)

using r8s version 1.81 (Sanderson, 2003).

The expanded/contracted families was also analyzed using the Z-scores of the assigned gene numbers for

each orthogroup. The analysis included all 16 referential species and 2 sacoglossan species, and an expanded

group of 38 was determined on the PoB lineage (Threshold: Z-score > 2; Figure 5–Figure Supplement 5). The

phylogenetic relationships in the orthogroups were analyzed using a PREQUAL-MAFFT-IQ-tree as in the

CAFE analysis. The domain structures and gene positions on the constructed genomic data were visualized

using the GeneHere script (https://github.com/maedat/GeneHere) and Biopython packages.

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Competing interests

The authors declare no competing financial interests.

Data availability

All of the raw sequence data obtained in this research have been deposited in the DDBJ Sequence Read Archive under BioProjects PRJDB4939, PRJDB3267, PRJDB10060, and PRJDB5024. All data collected in this study are available on FigShare at DOI: 10.6084/m9.figshare.12587954 and 10.6084/m9.figshare.13042016. The codes used to analyze the HGT index and visualize gene distribution on scaffolds are available on https://github.com/maedat/HGT_index_cal and https://github.com/maedat/GeneHere.

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Figure Legends

Figure 1. Kleptoplasty in sea slugs.

(A) Process of algal chloroplast retention by a sacoglossan sea slug (Pierce and Curtis, 2012). Sacoglossan sea

slugs puncture the cell wall of food algae to suck out the protoplasm. The chloroplasts in the protoplasm are

transported to the sea slug's intestinal tract, and the intestinal epithelial cells sequester chloroplasts by

phagocytosis. The sequestered chloroplasts (kleptoplasts) maintain the photosynthetic activity in the cell for

days to months. The sacoglossan cell contains no algal nuclei. Kleptoplast has never been found in germ cells of

sea slug. **(B)** Phylogenetic distribution of kleptoplasty in the order Sacoglossa. Phylogenetic analysis showed

that a common ancestor of Sacoglossa acquired non-functional chloroplast retention phenomena (without the

maintenance of photosynthetic function), and multiple sacogolossan groups subsequently acquired the ability to

maintain photosynthetic activity. Phylogenetic tree and kleptoplasty states are simplified from Christa et al.

(2015). Christa et al. (2014b) defined functional chloroplast retention for less than two weeks as "short term

retention", and for more than 20 days as "long term retention". Relationships within Heterobranchia are

described according to Zapata et al. (2014). The red-colored taxa include the species used in the present study (*P.*

ocellatus and *E. marginata*). **(C–E)** Photo images of PoB starved for 21 days. **(C)** Dorsal view. H, head; R,

rhinophores; P, parapodia (lateral fleshy flat protrusions). Almost always, PoB folded parapodia to the back in

nature. **(D)** The same individual of which parapodium was turned inside out (without dissection). The back of

the sea slug and inside of the parapodia are green. This coloration is caused by the kleptoplasts in DG, which are

visible through the epidermis. **(E)** Magnified view of the inner surface of parapodium. The diagonal green

streaks are ridged projections on the inner surface of the parapodium. The cells containing kleptoplasts are

visible as green spots. **(F)** Phylogeny of the *P.* cf. *ocellatus* species complex based on mitochondrial *cox1* genes

(ML tree from 568 nucleotide positions) from INSD and the whole mtDNA sequence. The sequence data for the

phylogenetic analysis are listed in Figure 1–source data 1. Clade names in square brackets are based on Krug et

al. (2013). Asterisks mark the genotypes from Krug et al. (2013). Study topics analyzed by previous researchers

were described within the colored boxes for each cluster. Small black circles indicate nodes supported by a high

bootstrap value (i.e., 80–100%). *Thuridilla gracilis* is an outgroup. *Plakobranchus papua* is a recently described

species and previously identified as *P. ocellatus* (Meyers-Muñoz et al., 2016).

1111

Figure 2. Photosynthetic activity of PoB.

(A) Jitter plot of Fv/Fm values indicating the photochemical efficiency of PSII. Habo, *H. borneensis*; d38,

starved PoB for 38 days; d109, starved for 109 to 110 days (12 h light/12 h dark cycle; the light phase

illumination was 10 μmol photons m^{-2} s^{-1}). The magenta line indicates the mean, and the black dot indicates

each individual's raw value (*n* = 3 per group). **(B)** Dynamics of seawater oxygen concentration. To better

demonstrate the PoB photosynthesis, a change of oxygen concentration every second was visualized. A PoB

individual, one of the d38 samples (ID1), was put into the measurement chamber, and the light conditions were

changed in tens of minutes. The measurements in other individuals are visualized in Figure 2–Figure

Supplement 1, along with the diagrams of the measuring equipment. Each point represents the oxygen

concentration value per second. Gray signifies a dark period, and yellow means an illuminated period (50 µmol

photons m^{-2} s^{-1}). Temp, water temperature. Although the values fluctuated by the noise due to ambient light and

other factors, the oxygen concentration decreased in the dark conditions and increased in the light conditions.

When the illumination condition was changed, the changing pattern kept the previous pattern for a few minutes.

This discrepancy may reflect the distance between the organism and oxygen sensor and the time for adaptation

to brightness by the kleptoplast. **(C)** Jitter plots of PoB oxygen consumption and generation. D, dark conditions;

L, light conditions; G, gross rate of light-dependent oxygen generation (L minus D). **(D)** Jitter plots of PoB

longevity (*n* = 5 per group). D, Continuous dark; L/D, 12 h light/12 h dark cycle. The *p* from Welch's two-

sample *t*-test was used. A source file of Fv/Fm jitter plot, time course of oxygen concentration, and longevity

analysis are available in Figure 2–source data 1–3, respectively.

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Figure 3. Gene composition of PoB kpDNAs.

(A) Gene map of two kpDNAs from PoB. Gene positions are described in circles colored according to the

gene's functional category (see keys in the box). Genes on the outside and inside of each circle are transcribed in

the clockwise and anticlockwise directions, respectively (for detailed maps, see Figure 3–Figure Supplements 1

and 2). **(B)** Phylogenetic positions of sequenced kleptoplasts among green algal plastids. The original ML tree

(Figure 3–Figure Supplement 4) was created based on *rbcL* genes (457 positions) and converted to the tree. Red

indicates sequenced kpDNA or cpDNA in the present study. Underlines indicate algal species used in RNA-Seq

sequencing. **(C)** An UpSet plot of plastid gene composition. Species abbreviations are defined in Figure 3–

source data 1. The horizontal bar chart indicates the gene numbers in each species. The vertical bar chart

indicates the number of genes conserved among the species. Intersect connectors indicate the species

composition in a given number of genes (vertical bar chart). Connections corresponding to no gene were omitted.

Connectors were colored according to the gene's conservation level in Bryopsidales: Core gene, conserved

among all analyzed Bryopsidales species; Dispensable gene, retained more than two Bryopsidales species; Rare

gene, determined from a single or no Bryopsidales species. Gray shading indicates non-Viridiplantae algae, and

magenta shading indicates PoB kleptoplasts. Cyme (*C. merolae*) and Vali (*V. litorea*) had more than 100 genes

that Bryopsidales does not have (e.g., left two vertical bars). **(D)** Box plots of tblastn results. The vertical axis

shows the database searched (kPoro and kRhip, PoB kpDNAs; nCale, nucDNA of *C. lentillifera*). Each dot

represents the tblastn result (query is the A614 dataset). Red dots show the result using the *chlD* gene (encoding

magnesium-chelatase subunit ChlD) as the query sequence; this sequence is similar to the kleptoplast-encoded

chlL gene. The right pie chart shows the proportion of queries with hits (*E*-value < 0.0001). **(E)** Heat map of

tblastn results of representative photosynthetic nuclear genes (a subset of data in Figure 3D). The source species

of the query sequences are described on the top. The source files of plastid gene composition and tblastn

analysis are available in Figure 3–source data 1 and 2.

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Figure 4. Search for horizontally transferred algal genes in the PoB genome.

(A) Heatmap of the number of genes assigned to photosynthesis- or plastid-related GO terms. The abundance of

photosynthesis- or plastid-related genes was compared among PoB, two non-kleptoplastic mollusk species (Aca,

A. californica; Bgl, *B. glabrata*), and five algal species (see abbreviations in Figure 3 and Figure 3–source data

1). A color scale was visualized in the box on the left. **(B)** Scatter plot of the Exonerate results, the alignment of

the A614 gene set (query sequences) to the PoB genome. The enlarged view with the tblastn results; Figure 4–

Figure Supplement 5. The dot color shows the source algae of each query sequence (see keys in the box). The

horizontal axis shows the percentage of the query length aligned to the hit sequences (PoB genome). The

vertical axis shows the similarity of the aligned sequences between the query and PoB genome; alignment score

(the sum of the substitution matrix scores and the gap penalties) divided by aligned length (bp). Dashed lines are

thresholds for a credible query hit (i.e., a hit covering >60% of the query sequence and a normalized Exonerate

alignment score of >2). **(C)** Scatter plot of MMseq2 results for the A614 dataset (algal photosynthetic genes,

red) and P911 reference dataset (PoB single-copy genes, blue) used as query sequences against the database of

pre-assembled read sets from paired-end DNA libraries of PoB. The top panel shows the probability density

distribution of the number of hit-reads (normalized with TPM: transcripts per kilobase million; *x*-axis) versus the

averaged "pident" value (percentage of identical matches) from the hit reads (*y*-axis). **(D)** Scatter plot of HGT

indices (hA-index versus h-index) for genes in PoB, the two non-kleptoplastic mollusk species (Aca and Bgl),

and one algae species (*C. lentillifera* [Cale]). Each dot represents a gene. A high hA-index or h-index value

means the possibility of algal or prokaryote origin, respectively. Dashed red lines represent the conventional

threshold for HGT (−100 for h-index and 100 for hA-index). Three red arrowheads indicate PoB genes exceeding the thresholds. **(E)** Heatmap of the results of searches for algae-like RNA fragments in the PoB RNA-Seq data. Pa, parapodium; EG, egg; He, head; Pe, pericardium. The blue gradient indicates the number of RNA-Seq reads assigned as algae-like fragments (see key). The *y*-axis labels show the RNA-Seq library name and analyzed tissue types. The *x*-axis labels indicate the query protein; the queries having no corresponding reads were omitted from the figure. For queries using the P911 reference dataset, the mean of the hit-read counts from each library was described. The total number of reads for each library is given on the far right. The source files of homology-based algal gene searching analysis using Exonerate, MMseq2, and blastp are available in raw data Figure 4–source data 1.

Figure 5. Probable KRM genes in PoB.

(A) Volcano plot comparing gene expressions in DG and DeP tissues of PoB. Red, orthogroup OG0000132 (cathepsin D-like protease genes); blue, orthogroup OG0000005 (lectin-like genes); orange, other orthogroups (for details, see Figure 5–Figure Supplement 2). Up and down arrows signify up- and down-regulation, respectively, in DG. **(B)** Orthogroups expanded on the *P. ocellatus* lineage and containing DG-up-regulated genes. Ema, *E. marginata*; Bgl, *B. glabrata*; Lgi, *Lottia gigantea*; Hdi, *Haliotis discus*; Obi, *Octopus bimaculoides*; Pfu, *Pinctada fucata*; Cgi, *Crassostrea gigas*; Cte, *Capitella teleta*; Dme, *D. melanogaster*; Bmo, *Bombyx mori*; Api, *A. pisum*; Hsa, *Homo sapiens*; Mmu. *Mus musculus*. The phylogenetic tree was scaled to divergence time based on 30 conserved single-copy genes. Mya, million years. The numbers of rapidly expanded (blue) and contracted (magenta) orthogroups on the lineages to PoB are provided at the nodes (for details, see Figure 5–Figure Supplement 4 and 5). Below the tree is information for the six expanded orthogroups that contained DG-up-regulated genes. The left-side heatmap shows the gene numbers (number in boxes) and *Z*-score of gene numbers (color gradient) for each orthogroup. The table shows the expanded/not expanded status of each orthogroup (P–E, *Plakobranchus-Elysia* node; PoB, *Plakobranchus* node). The right-side heat map indicates the number of DEGs between DG and DeP tissue in each orthogroup. Representative gene products are given on the far right. The source files of RNA-Seq analysis and comparative genomic analysis are available in raw data Figure 5–source data 1 and 2, respectively.

Figure 6. Evolutional process of KRM gene candidates of OG0000132

(A) ML phylogenetic tree for OG0000132 genes (for details, see Figure 6–Figure Supplement 1). Red circle, PoB gene; blue square, *E. marginata* (Ema) gene. Genes mentioned in the text are indicated with their IDs beside the circles. Pictograms represent non-sacoglossan species defined in Figure 5–source data 2. **(B)** Expression of each PoB gene and gene position on the genomic assemblies. The left-side heat plot indicates normalized expression degree (FPKM) of the PoB genes in various tissues; tissue abbreviations are defined in Figure 4 and Figure 4–Figure Supplement 10. The vertical gene order corresponds with the position in Figure 6A. The white box with a diagonal line means a non-PoB gene (no expression data is available). Tissue samples for the FDR calculation (DG versus DeP) were enclosed with square brackets. Color scales for FPKM and FDR are visualized on the right-side boxes. The FDR's black color indicates that the value could not be calculated (NA) due to its undetectable gene expression. The right panel shows the genomic positions of the genes. The bands' color indicates the correlation between the gene and position, purple text and arrows indicate the scaffold ID and direction, and colored boxes on the scaffolds mean the genes' positions (magenta, OG0000132 gene; gray box, other protein-encoding genes). Scaffolds having less than five OG0000132 genes were omitted from the figure.

List of source data

Figure 1–source data 1

      Sequence ID list used for phylogenetic analysis on Figure 1B

Figure 2–source data 1

      Summarized data of Fv/Fm and oxygen generation activity analysis of *P. ocellatus* used for Figure 2

Figure 2–source data 2

      Raw data of oxygen concentration dynamics

      This zip archive contains raw data of oxygen concentration dynamics. The measurement data for each time series are stored in separate files, with the experiment ID (ID1−6) and types of the experiment (mock or *P. ocellatus* sample) indicated in the name.

Figure 2–source data 3

1229   **Summary of the longevity of analyzed *P. ocellatus* individuals used for Figure 2D**

1230   **Figure 3–source data 1**

1231   **Plastid gene composition**

1232   This zip archive contains source files of plastid gene composition and of orthologous analysis.

1233   **Figure 3–source data 2**

1234   **Tblastn analysis of kleptoplast/chloroplast DNA**

1235   This zip archive contains source and result files of tblastn analysis of kleptoplast/chloroplast DNA.

1236   **Figure 4–source data 1**

1237   **Algal gene detection from PoB genome**

1238   This zip archive contains source files of algal gene searching from PoB genome and pre-assembled reads.

1239   **Figure 5–source data 1**

1240   **KRM gene searching using RNA-Seq**

1241   This zip archive contains source files of kleptoplasty relating molluscan (KRM) genes searching based on

1242   RNA-Seq analysis.

1243   **Figure 5–source data 2**

1244   **KRM gene searching using orthologues analysis**

1245   This zip archive contains source files of KRM gene searching based on the comparative genomic

1246   (orthologous) analysis.

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Legends for supplementary figures

1249

1250   **Figure 2–figure supplement 1**

1251   **Light-dependent oxygen generation by *P. ocellatus***

1252   **(A)** Time-course changes of dissolved oxygen in the sea-water in the vial containing the sea-slug. Each

1253   plot indicates the data of a single PoB individual. Raw data are available in Figure 2–source data 2. d38

1254   group, three PoBs starved for 38 days; d109 group, three PoBs starved for 109 days. **(B–E)** Oxygen

1255   measurement system. **(B)** Overview of the dissolved oxygen measurement system. C, iron chilling block;

1256   Mw, mixer for lagging water; Mv, mixer for sea slug rearing seawater; V, vial caging a sea slug; W,

plastic tray filled with lagging water for the vial. **(C)** Horizontal view of the glass vial. O, oxygen-

sensitive optode; P, rubber-made partition plate to separate sea slug from optode and rotor; R, rotor for

seawater agitation. **(D)** Top view of the vial. H, holes for water ventilation. **(E)** Top vial view with a sea

slug. G, glass plate sealing the top of the vial. **(F)** Diagram of PAM measurement method. Horizontal

view of the sea slug in a well of the cell culture plate and positions of a sponge and a light probe. The sea-

slug was upside-down facing the dorsal side to the PAM light probe.

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Figure 3–figure supplement 1

Gene map of a *P. ocellatus* kleptoplast DNA sequestered from *Rhipidosiphon lewmanomontiae*

The outer circle shows the distribution of all genes on kpDNA. As shown in the box, colors indicate

functional categories of the genes, respectively. Circles on different colored backgrounds show the

names and positions of genes related to photosynthesis (2nd circle), translation (3rd), transcription (4th),

other conserved chloroplast genes (5th), genes relating to intron-splicing and transposition (6th), and

bacteria-like genes (7th), respectively. Genes on the outer-side of each circle are transcribed in the

clockwise direction; genes on the inner-side are transcribed in the anticlockwise direction. Hatched boxes

of genes on the circles are introns.

1273

1274   **Figure 3–figure supplement 2**

1275   **Gene map of a *P. ocellatus* kleptoplast DNA sequestered from *Poropsis* spp.**

1276   Circles and colors are the same as those in Figure 3–figure supplement 1.

1277

Figure 3–figure supplement 3

Mitochondrial gene map of the *P. ocellatus* type black

(A) The outermost circle gives the scale in base pairs. Inner circles show the names and positions of

protein-encoding genes (2nd circle) and structure RNA encoding genes (3rd). **(B)** Sequence similarity

between our *P. ocellatus* type black mitochondrial DNA and previously sequenced ones from *P.* cf.

ocellatus HW-2015 (KX853083). Genes on outside of each circle are transcribed clockwise and those on

inside, anticlockwise. We used mVISTA software to calculate and visualize the similarity.

1285

1286   **Figure 3–figure supplement 4**

1287   **Bryopsidales ML tree made by RAxML (a -x 12345 -p 12345 -# 300 -m GTRGAMMAI) based on**

1288   **457 positions of *rbcL* genes**

1289   Bootstrap values are described at each node. We omitted the values less than 50. Scale bar represents the

1290   branch length. The color and underlines at the OTUs have the same meanings as in Figure 3B.

1291

1292   **Figure 3–figure supplement 5**

1293   **Gene map of the *H. borneensis* chloroplast DNA**

1294   Circles and colors are the same as those in Figure 3–figure supplement 1.

1295

Figure 3–figure supplement 6

Gene compositions of 18 algal cpDNAs and *P. ocellatus* kpDNAs

The presence (cyan) and absence (magenta) of the genes were visualized with the color tiles. The abscissa

-axis shows the species, and the vertical-axis shows the gene. kPoro, PoB kleptoplast derived from

Poropsis sp.; kRhip, PoB kleptoplast derived from *R. lewmanomontiae*. Other abbreviations were

explained in Figure 3–source data 1. Top tree shows the ML tree made by IQ-tree based on 51 conserved

plastid genes (*ycf4, ycf3, tufa, rps9, rps8, rps7, rps4, rps3, rps2, rps19, rps18, rps14, rps12, rps11, rpoa,*

rpl5, rpl36, rpl23, rpl20, rpl2, rpl16, rpl14, rbcL, psbZ, psbT, psbN, psbL, psbK, psbJ, psbI, psbH, psbF,

psbE, psbD, psbC, psbB, psbA, psaJ, psaC, psaB, psaA, petG, petD, petB, petA, atpI, atpH, atpF, atpE,

atpB, atpA, 9882 amino acid positions). Left side genes were clustered base on the GO annotation of each

gene. GO annotations were described on the right-side boxes. Source files of gene compositions and

annotations are available in Figure 3–source data 1.

1308

Figure 3–figure supplement 7

Read coverage depth of the constructed *P. ocellatus* genomic assemblies

We mapped the two libraries to the constructed assemblies to determine the origin of each assembly. The

"Poc_DNA_Pa_180" is a kleptoplast-poor sample (Parapodium). The "Poc_DNA_Di_180" is a

kleptoplast-enriched sample (Digestive gland). Considerable change of depth on AP014543 and

AP014542 reflects the different origin of the assemblies from the rest (mitochondria- or nuclear-derived

assemblies).

1316

Figure 3–figure supplement 8

Annotation procedure of *H. borneensis* transcripts

(A) *De novo* transcripts assembling process. **(B)** Annotation procedure of the constructed transcripts.

Dark blue, submitted *H. borneensis* transcripts for DDBJ; light red, reference data; aquamarine,

annotation method (for detail, see material and methods); yellow, annotated gene number for each step.

(C–D) Upset plots of annotated gene number for each annotation step. The source file of the orthologous

relationship of the kleptoplast/chloroplast-encoded genes is available in Figure 3–source data 1. We

deposited detailed annotation data of *H. borneensis* transcripts in FigShare (DOI

10.6084/m9.figshare.13042016).

1326

1327   **Figure 3–figure supplement 9**

1328   **Annotation procedure of *B. hypnoides* transcripts**

1329   Colors and boxes are the same as those in Figure 3–figure supplement 8. Detailed annotation data were

1330   deposited in FigShare (DOI 10.6084/m9.figshare.13042016).

1331

1332   **Figure 3–figure supplement 10**

1333   **Annotation procedure of *C. lentillifera***

1334   Colors and boxes are the same as those in Figure 3–figure supplement 8. Detailed annotation data were

1335   deposited in FigShare (DOI 10.6084/m9.figshare.13042016).

1336

Figure 4–figure supplement 1

Genome size estimation of *P. ocellatus* type black by flow cytometry

(A) Histograms showing the fluorescence intensities of the analyzed nuclei (x-axis) and count of the

nuclei (y-axis). Studied species were represented with pictograms and abbreviations as in the left bottom

box. Each nuclear suspension was analyzed for each species to confirm that the peak (particle) was free

from contamination (Upper panels). On lower panels, we mixed the suspensions of three species to

estimate the PoB genome size. The mixed ratio of the suspensions is shown on the upper-side of each

histogram. We changed the mixing ratio to identify the respective peaks derived from the species. **(B)**

box-plots of genomic size of *P. ocellatus* estimated from the mixed flowcytometry with other genome

size standards. The black dots are the values of PoB genome size estimated from triplicate experiments

(runs). Red diamond, an averaged genome size estimated of the experimental triplicates (estimated

genome size for each biological triplicate). A blue star means an averaged genome size of the biological

triplicates. We made biological triplicates from different individuals of each species.

1350

1351 **Figure 4–figure supplement 2**

1352 **Genome assembling and gene modeling approach for the *P. ocellatus* genome analysis**

1353 Light orange, raw read data; light blue, intermediate assembly data; dark blue, a final assembly for DDBJ

1354 submission; black, eliminated assemblies. Information on the raw read data are available in

1355 Supplementary file 1.

1356

1357 **Figure 4–figure supplement 3**

1358 **Annotation procedure for the genomic gene models of *P. ocellatus* type black**

1359 Dark blue, *P. ocellatus* genome assembly for DDBJ submission; light red, reference data; aquamarine,

1360 annotation method (for detail, see material and methods); yellow, annotated *P. ocellatus* gene number.

1361 Other colors and boxes are the same as those in Figure 3–figure supplement 8.

1362

Figure 4–figure supplement 4

ML tree for the candidate HGT genes with algal and molluscan homologous genes

The abbreviations represent the species from which the genes are derived (see Supplementary file 19,

Figure 5–source data 1). The full gene ID (INSDC ID, OIST Marine Genomics Unit database ID, and our

annotation ID) were noted in the boxes. Green, algal gene; magenta, *P. ocellatus* gene; black, other

molluscan genes. Our GO-analysis found six PoB genes assigned with the child terms of "Plastid"

(GO:0009536). In these PoB genes, p2972c65.3 and p503c65.126 (annotated as "Chloroplast envelope"

GO:0009941) made orthogroups "4058" and "2017" with several algal genes by the SonicParanoid

analysis, respectively. Rest four genes (gene ID: p197c68.18, p234c64.89, p45387c41.1, and

p466c59.83) showed no orthologous relationships with algal gene and were omitted from the

phylogenetic analysis. **(A)** ML tree for the orthologous group "4058". **(B)** ML tree for the orthologous

group "2017". The p2972c65.31 and p503c65.126 formed a monophyletic clade with molluscan orthologs

rather than algal gene, indicating this gene is of molluscan origin (no HGT). Source files are available in

Figure 4–source data 1.

1377

Figure 4–figure supplement 5

Summary of Exonerate and tblastn results

Scatter plots of the analyzed results by Exonerate **(A)** and tblasn **(B)**. Adopted databases were *P. ocellatus*

genome (top plate), *C. lentillifera* genome (middle plate), or kpDNA-derived scaffolds (bottom plate).

The query sequence was the algal A614 gene set. The top box shows the source algae of each query.

Query hit statistics were described on the left shoulder of each plate. **(A)** Dashed lines are thresholds for

the credible query hit (Covering over 60% of the query sequence and over two normalized aligned scores

for aligned length). All seven hit queries on kpDNAs were algal *chlD* gene (encoding Magnesium-

chelatase subunit ChlD) resembling the kleptoplast-encoded *chlL* gene. **(B)** Dashed lines are thresholds

(bit score = 100) for the credible query hit. All seven significant hit queries on the kpDNA-derived

scaffolds were algal *chlD* gene (encoding Magnesium-chelatase subunit chlD) resembling the kleptoplast-

encoded *chlL* gene (see Supplementary file 20 and Figure 4–source data 1).

1390

1391   **Figure 4–figure supplement 6**

1392   **ML tree for the orthologous group "65" by SonicParanoid**

1393   Colors are the same as those in Figure 4–figure supplement 4. Source files are available in Figure 4–

1394   source data 1.

1395

1396   **Figure 4–figure supplement 7**

1397   **ML tree for the orthologous group "501" by SonicParanoid**

1398   Colors are the same as those in Figure 4–figure supplement 4. Source files are available in Figure 4–

1399   source data 1.

1400

Figure 4–figure supplement 8

ML tree for the orthologous group "456" by SonicParanoid

Colors are the same as those in Figure 4–figure supplement 4. Source files are available in Figure 4–source data 1.

Figure 4–figure supplement 9

Sequence similarity between g566.t1 (*C. lentillifera*) and p310c70.15 (*P. ocellatus*)

(A) Sequence alignment and similarity. (B) Venn's plot of number of hit reads by MMseq2 analysis. The adopted database is pre-assembling Illumina DNA sequencing read (DRR029525 and DRR029526).

Figure 4–figure supplement 10

Overview of the sample preparation for *P. ocellatus* type black transcriptomic analysis

(A–C) Photographs of the PoB dissection and the positions of sample tissues. **(A)** Dorsal views of a fresh PoB individual with spread (or inside-out) parapodia. **(B)** The upper plate shows an enlarged view of the tissue dissected from the parapodium (-PA sample). The plate on the lower left shows the parapodium, excluding the digestive gland part, collected in a plastic tube (-DeP sample). The lower-right plate shows the digestive gland cut out from the parapodium using a razor (-DG sample). **(C)** Egg mass of PoB. **(D)** Incubation states of the dissected sea slugs and the relationships among the samples, libraries, and RNA-seq analysis. "Mean coverageData" means the averaged depth of coverage of each library to the PoB genome. The libraries from three individuals (T1–3) were used for DEG calling using the Wald test.

Figure 4–figure supplement 11

Agarose gel electrophoresis image displaying extracted *P. ocellatus* DNA for the nuclear genome sequencing with two fragment size markers

The extracted total DNA from *P. ocellatus* was mixed with gel loading buffer, electrophoresed at 50V in 0.7 % (w/v) agarose gel in TAE buffer, and stained by SYBR safe (Thermo).

Figure 4–figure supplement 12

Read depth of the *P. ocellatus* genome assemblies

To determine the scaffolds from kleptoplasts and mitochondria, we mapped the Illumina libraries of *P.*

ocellatus (DRR063261 and DRR063263, Supplementary file 1) to the genomic reference data and

compared the coverage depth. We used two types of *P. ocellatus* DNA (DG = digestive gland, PA =

parapodium) to construct the Illumina libraries. The genomic reference data contained 13 kleptoplastic

(kp), one mitochondrial (mt), and 8647 nuclear (nuc) scaffolds. **(A)** Violin and scatter plots of the mapped

read depths. Each dot indicates a scaffold. A horizontal bar indicates the averaged depth values of

coverage. The right boxes show the legend of coloration. **(B)** Line graphs of the relative values of the read

depths of the kleptoplastic scaffolds against the averaged depth of all nuclear scaffolds in libraries.

Kleptoplastic scaffold IDs were described on the tops of respective graphs.

1439

Figure 4–figure supplement 13

Dot plot of the referential kleptoplast DNAs and PoB genomic scaffolds determined as the

kleptoplast DNA (kpDNA)

Abscissa-axis, referential kleptoplast DNAs (kPoro and kRhip); horizontal-axis, 13 scaffolds selected as

kpDNA sequences. The diagonals indicate contiguously aligned regions. The coloration means the

identity of the aligned regions. The top left box indicates the legend of similarity coloration. Arrows

indicate the aligned sequences and direction. We used D-GENIES software to make the dot-plots. Both

referential kpDNAs indicated high similarity with the 11 scaffolds, supporting kpDNA-origin of the 11 of

13 scaffolds. For the rest two scaffolds, "scaffold81938_cov17" and "scaffold268313_cov495" indicated

a similarity to kPoro and kRhip, respectively. This discrepancy may be due to the sequence diversity of

plastidial DNA among the species (donor algae). Overall, these results support that the sequence of 13

scaffolds are not derived from anything other than plastidial (kleptoplast) DNA.

1452

1453 **Figure 4–figure supplement 14**

1454   **Dot plot of the two referential kleptoplast DNAs**

1455   Arrows and colors are the same as those in Figure 4–figure supplement 13.

1456

1457 **Figure 4–figure supplement 15**

1458   **Dot plot of the referential mtDNAs and one scaffold determined as mtDNA sequence**

1459   Arrows and colors are the same as those in Figure 4–figure supplement 13.

1460

Figure 5–figure supplement 1

DG-upregulated genes by the RNA-seq comparison with -DeP samples

Differentially expressed gene calling among the libraries from DG (digestive gland) and DeG (DG-

exenterated parapodium) found 162 significantly DG-upregulated genes. The expression levels and

annotation information were summarized in Figure 5–source data 1. Fold changes (FC) and false

discovery rate (FDR) were calculated between the DG and DeP samples and visualized after logarithmic

transformation.

1468

1469   **Figure 5–figure supplement 2**

1470   **Volcano plot of the cross-tissue comparison between DG and DeP samples**

1471   Each dot represents a gene. Colors and dot shapes mean the belonging orthogroups of genes. Source files

1472   are available in Figure 5–source data 1

1473

1474   **Figure 5–figure supplement 3**

1475   **The procedure of gene family history analyses**

1476   The square box and the circle mean the adopted analysis and the obtained orthogroup (OG) datasets,

1477   respectively.

1478

Figure 5–figure supplement 4

CAFE-based all rapidly expanding/contracting **genes on the sacoglossan linage**

The gene count of all rapidly expanding/contracting orthogroups on *P. ocellatus* (left side) and the

common node between *P. ocellatus* and *E. marginata* (right side) were plotted with a heat map. The left

top tree is an ultrametric tree made by r8s based on 30 genes. The red color means the number of genes in

each species. The blue color indicates the number of differentially expressed genes between DG and DeP

tissue. The up and down arrows mean up- and down-regulation on the DG sample, respectively. Source

files are available in Figure 5–source data 1 and 2

1487

1488   **Figure 5–figure supplement 5**

1489   ***Z*-score-based rapidly expanding/contracting genes on the *P. ocellatus* linage (Threshold: Z-score**

1490   **>2)**

1491   Color legends were described in the top right box.

1492

1493   **Figure 6–figure supplement 1**

1494   **Enlarged view of Gene tree and annotation data for all member of OG0000132 (Cathepsin D like)**

1495   From left, gene tree, domain structure, predicted intracellular location with DeepLoc-1.0, expression

1496   profiles in cross-tissue RNA-Seq, and the gene position on *P. ocellatus* genome. Color legends are shown

1497   in the left box.

1498

1499   **Figure 6–figure supplement 2**

1500   **Positions of genes belonging to OG0000132 on the *E. marginata* genome**

1501   Genes on the upperside are transcribed from left to right; genes on the bottomside are transcribed from

1502   right to left. Magenta, OG0000132 genes; gray, other genes. †Clade-γ gene.

1503

1504   **Figure 6–figure supplement 3**

1505   **Gene tree and annotation data for all genes of OG0000446 (Apolipoprotein D-like)**

1506   From left, gene tree, domain structure, predicted intracellular location with DeepLoc-1.0, expression

1507   profiles in cross-tissue RNA-Seq, and the gene position on *P. ocellatus* genome. Color legends are shown

1508   in the bottom box.

1509

1510   **Figure 6–figure supplement 4**

1511   **Gene positions of OG0000446 on *E. marginata* genome**

1512   Genes on the rightside are transcribed from top to bottom; genes on the leftside are transcribed from

1513   bottom to top. Magenta, OG0000446 genes; gray, other genes.

1514

1515   **Figure 6–figure supplement 5**

1516   **Gene tree for the member of OG0000005 (Lectin-like)**

1517   The black dot on the node indicates the Bootstrap support over 80. One-by-one ortholog pairs are masked

1518   with gray color.

1519

List of supplementary files

1520

Supplementary file 1

Summary of the raw sequencing data

Supplementary file 2

Assembly statistics of de novo RNA-seq of donor algae

Supplementary file 3

Algal genes used for referential query (A614 dataset)

Supplementary file 4

Assembly statistics of *Plakobranchus ocellatus* and *Elysia marginata* genome

Supplementary file 5

PoB genes having algal top-hit reslts of blastp

This zip archive contains a file for statistics of PoB genes having algal top-hit reslts of blastp analysis

and a file for detailed annotation of the algal top-hit PoB genes.

Supplementary file 6

Detailed result of MEGAN analysis

Supplementary file 7

Annotation data of the six PoB genes assigned with the child terms of "Plastid(GO:0009536)"

Supplementary file 8

Applied species for HGT index analysis

Supplementary file 9

Gene annotation of the DG-upregulated PoB genes

Supplementary file 10

Enriched GOs of the significantly upregulated genes on the digestive gland of PoB

Supplementary file 11

Cross-tabulation table for the significant enrichment analysis of DG-upregulated gene number on

the PoB-expanded orthogroup orthologous group

Supplementary file 12

Summary of the results of dN/dS-based selection test

Supplementary file 13

***E. marginata* scaffolds determined as bacterial contaminants**

Supplementary file 14

***E. marginata* scaffolds selected as kleptoplast/mitochondrial DNA**

Supplementary file 15

OrthoFinder result with additional data from *E. chlorotica* gene set (gene counts)

Supplementary file 16

Composition information of DNA extraction solutions for *P. ocellatus* genomic DNA

Supplementary file 17

Scaffolds determined as bacterial contaminants during the PoB genome assmbling and the result

of MEGAN analysis before the removing of the bacterial scaffolds.

Supplementary file 18

Scaffolds determined as kleptoplast/mitochondrion sequences

Supplementary file 19

Analyzed protein sequences by SonicParanoid analysis

Supplementary file 20

Result of the Exonerate search

Database, the 13 PoB-derived scaffolds determined as kpDNA; query, algal photosynthetic genes

[A614])

Supplementary file 21

Sequence similarity among the genes belonging OG0000005, OG0000132, and OG0000446

1569

1570

1571

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6