> A regularized functional regression model enabling transcriptome-wide dosage-dependent association study of cancer drug response

Biomarker detection for revealing anticancer drug dynamics Evanthia Koukouli^{1*}, Dennis Wang ^{2,3}, Frank Dondelinger⁴, Juhyun Park¹

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Abstract

Cancer treatments can be highly toxic and frequently only a subset of the patient population will benefit from a given treatment. Tumour genetic makeup plays an important role in cancer drug sensitivity. We suspect that gene expression markers could be used as a decision aid for treatment selection or dosage tuning. Using in vitro cancer cell line dose-response and gene expression data from the Genomics of Drug Sensitivity in Cancer (GDSC) project, we build a dose-varying regression model. Unlike existing approaches, this allows us to estimate dosage-dependent associations with gene expression. We include the transcriptomic profiles as dose-invariant covariates into the regression model and assume that their effect varies smoothly over the dosage levels. A two-stage variable selection algorithm (variable screening followed by penalised regression) is used to identify genetic factors that are associated with drug response over the varying dosages. We evaluate the effectiveness of our method using simulation studies focusing on the choice of tuning parameters and cross-validation for predictive accuracy assessment. We further apply the model to data from five *BRAF* targeted compounds applied to different cancer cell lines under different dosage levels. We highlight the dosage-dependent dynamics of the associations between the selected genes and drug response, and we perform pathway enrichment analysis to show that the selected genes play an important role in pathways related to tumourgenesis and DNA damage response.

Author Summary

Tumour cell lines allow scientists to test anticancer drugs in a laboratory environment. Cells are exposed to the drug in increasing concentrations, and the drug response, or amount of surviving cells, is measured. Generally, drug response is summarized via a single number such as the concentration at which 50% of the cells have died (IC50). To avoid relying on such summary measures, we adopted a functional regression approach that takes the dose-response curves as inputs, and uses them to find biomarkers of drug response. One major advantage of our approach is that it describes how the effect of a biomarker on the drug response changes with the drug dosage. This is useful for determining optimal treatment dosages and predicting drug response curves for unseen drug-cell line combinations. Our method scales to large numbers of biomarkers by using regularisation and, in contrast with existing literature, selects the most informative genes by accounting for responses at untested dosages. We demonstrate its value using data from the Genomics of Drug Sensitivity in Cancer project to identify genes whose expression is associated with drug response. We show that the selected genes recapitulate prior biological knowledge, and belong to known cancer pathways.

Introduction

Cancer is a heterogeneous disease, with individual tumours showing sometimes very ² different mutational and molecular profiles. The genetic makeup of a tumour influences ³ how it reacts to a given anti-cancer drug. However, due to lack of predictive markers of ⁴

tumour response, often patients with very different tumour genetic makeup will receive the same therapy, resulting in high rates of treatment failure [1]. Large clinical trials in rapidly lethal diseases are expensive, complex and often lead to failure due to lack of efficacy [2]. Therefore, there is a need for more effective and personalised therapeutic strategies that can improve cancer treatment decisions, and hence patient outcomes.

One major issue for some cancer treatments, e.g. chemotherapies, are cytotoxic effects that result in collateral damage of the healthy host tissue [3]. Patient remission 11 depends not only on the selection of the best therapeutic agent but also on the determination of the optimal dosage, especially when drugs with small therapeutic 13 range, high toxicity levels or both are administered. Genetic factors can help fine-tune 14 the dosage for individual patients, so that the minimal effective dosage can be 15 delivered [4]. Previous work has examined the difference in transcriptional response [5] 16 and drug response at the cell population level after administering anticancer drugs in 17 various dosages [6,7]. 18

Cancer cell line drug screens provide valuable information about biomarkers that are 19 predictive of drug response. During the last decade, there have been several systematic 20 studies aiming to examine pharmacogenomic relationships [8–11]. These studies were 21 conducted on human cancer cells that have been isolated from affected tissues, grown in 22 vitro and treated with anti-cancer inhibitors. By examining the genomic profiles of 23 these cell lines, investigators were able to identify relationships between cancer-driven genetic alterations and drug response. However, these relationships have only been 25 modelled on the aggregate response, and hence little is known about the relationship between drug dosage and genetic factors. Recently, Tansey et al. [12] proposed a 27 method for modelling drug-response curves via Gaussian processes and linking them to biomarkers using a neural network prediction model. The authors did not use their model for dosage-dependent inference of biomarker effects, and the highly non-linear neural network model makes interpretation of biomarker effects challenging. 31

Gene expression profiles can provide valuable functional information on the genetic 32 mechanisms which determine anti-cancer drug response, offering more tailored 33 treatments where common therapies become ineffective. However, statistical analysis for linking transcriptomic profiles with drug response becomes challenging due to the high-dimensional nature of the data. Over the last 20 years, researchers developed

statistical methodologies not only to mitigate the problem of high dimensionality [13–18], but also to detect markers of positive drug response to cancer treatment [19,20] and predict patient response after drug administration [1,5,16,21–25]. While these previous methods have gone some way towards solving the challenges associated with drug response modelling, none of them address all of the issues that arise in personalised medicine, namely: selecting genes associated with drug response, identifying the optimal dosage, characterising gene-dose relationships and predicting response for one or multiple drugs.

With regards to the high-dimensional nature of the dataset, it is worth noting that 45 highly-complex data sets with non-stationary trends are not easily amenable to analysis 46 by classic parametric or semi-parametric mixed models. However, the effect of genes on 47 drug response over different drug dosages (dose-varying effect) can be examined using varying coefficient models which allow for the covariate effect to be varying instead of 49 constant [26]. Methods to estimate the covariate (e.g. gene) effect include global and 50 local smoothing e.g. kernel estimators [27, 28], basis approximation [29] or penalised 51 splines [30]. The most straightforward and computationally efficient method is through 52 basis approximation where each coefficient function is approximated through some basis 53 functions and the varying coefficient model can be written as a linear regression model. 54 Then, estimation for repeated measurements data (e.g. drug response over different dosages) can be incorporated through minimising a weighted least squares criterion based on a specified weighting scheme (repeated measurements covariance 57 structure) [29]. However, inference becomes impossible as the number of predictors increases and when selecting a smaller number of important variables for inclusion into 59 the model is clinically beneficial. Sparse regression has enabled a more flexible and computationally "inexpensive" way of choosing the best subset of predictors. When 61 combining sparse regression with the varying coefficient model framework, predictors are handled jointly under the assumption that the majority are irrelevant to the 63 outcome variable. Penalties from group versions of the least absolute shrinkage and 64 selection operator (LASSO), smoothly clipped absolute deviation (SCAD), bridge etc. have been used for fitting the varying coefficient model [31]. Because these methods handle all of the predictors jointly, their implementation becomes extremely challenging 67 and impractical when the number of predictors (e.g. thousands) is much larger than the 68

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number of samples (e.g. hundreds). Consequently, attempts to develop prior univariate tests focused on filtering out the unimportant predictors by simply estimating the association of each predictor to the outcome variable separately [32–34]. Often, these screening methods are conservative, and still return many more predictors than those which are truly associated to the response. To overcome this issue, regularisation or alternative variable selection methods have been used after screening to further fine-tune the set of predictors [32, 34].

The advantage of using varying coefficient models along with a variable screening algorithm on genomic data sets was first introduced to explore the effect of genetic mutations on lung function [32]. Here, we extended their methodology to a completely different objective of assessing the transcriptomic effect on anti-cancer drug response, where our coefficient functions were allowed to vary with dosage. Note that unlike in Tansey et al. [12], biomarker effects will be a linear function function of dosage, allowing for straight-forward interpretation of the coefficient functions.

We developed a functional regression framework to study the effectiveness of 83 multiple anticancer agents applied in different cancer cell lines under different dosage levels, adjusting for the transcriptomic profiles of the cell lines under treatment. We considered a dose-varying coefficient model, along with a two-stage variable selection method in order to detect and evaluate drug-gene relationships. We applied this method to data extracted from the Genomics for Drug Sensitivity in Cancer (GDSC) project [9]. To compare and differentiate similar treatments, we examined the effect of five BRAF 89 targeted compounds under different dosages to almost 1000 cancer cell lines. We used ٩n baseline gene expression measurements for the cancer cell lines to investigate gene-drug 91 response relationships for almost 18000 genes. Gene rankings were obtained based on the gene effect on the drug response. Consequently, in contrast to past studies, we 93 managed to model the whole dose-response curve, rather than a summary statistic of drug response (e.g. IC50), which allowed us to identify trends in the gene-drug 05 association at untested dose concentrations.

Materials and Methods

The Genomic of Drug Sensitivity in Cancer data

Drug sensitivity data and molecular measures derived from 951 cancer cell lines used for qq the screening of 138 anticancer compounds were downloaded from the GDSC database 100 (https://www.cancerrxgene.org/). We specifically focused on cell lines of cancers of 101 epithelial, mesenchymal and haematopoietic origin treated by five BRAF targeted 102 inhibitors (PLX-4720, Dabrafenib, HG6-64-1, SB590885 and AZ628). The maximum 103 screening concentration for each different drug was: 10.00 uM for PLX-4720 and 104 Dabrafenib, 5.12 uM for HG6-64-1, 5.00 uM for SB590885 and 4.00 uM for AZ628. The 105 drug sensitivity measurement was obtained via fluorescence-based cell viability assays 106 72 hours after drug administration [9]. Approximately 66% of drug sensitivity responses 107 were measured over nine dose concentrations (2-fold dilutions) and 34% were measured 108 over five drug concentrations (4-fold dilutions). In total, we considered 3805 cancer cell 109 line-drug combinations (experimental units). The distribution of different tissues of 110 origin treated were similar across the different drugs tested (for additional information 111 see S2 Fig.). Paired microarray gene expression data (17737 genes) was available 112 together with the drug response dataset 113

(https://www.cancerrxgene.org/gdsc1000/GDSC1000_WebResources/Home.html).

The dose-response dataset also included a blank response for wells on the ¹¹⁵ experimental plate that have not been seeded with cells or treated with a drug. Blank ¹¹⁶ responses have been used to adjust for the magnitude of the error while measuring the ¹¹⁷ amount of cells in each well. We used an affine transformation to the reported responses ¹¹⁸ in order to normalise them within the drug concentration interval, 0 (0% of the ¹¹⁹ maximum dosage) to 1 (100% of the maximum dosage). In particular, for the ¹²⁰ normalising procedure, we have used the formula: ¹²¹

$$NR_{ij} = \frac{R_{ij} - BR_i}{CR_i - BR_i} \tag{1}$$

where R_{ij} is the response of the i^{th} subject at the j^{th} dosage level, CR_i is the response under no drug administration (zero dose, $n_i = 1$), BR_i is the blank response of the i^{th} subject as described above and NR_{ij} is the new score taken from the transformation, 124

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$$i = 1, \dots, 3805, j = 1, \dots, n_i.$$
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A two-stage algorithm for identification of gene-drug associations

Let the repeated measures data $\{(d_{ij}, y_{ij}, z_i, x_i) : j = 1, \dots, n_i, i = 1, \dots, n\}$, where 128 y_{ij} is the response of the *i*th experimental unit (corresponds to a drug sensitivity assay 129 of a specific drug on a specific cell line) at the *j*th drug dosage level d_{ij} and z_i along 130 with \boldsymbol{x}_i are the corresponding vectors of scalar (dose-invariant) covariates. The covariate 131 vector $\boldsymbol{z}_i = (1, z_{i1}, \dots, z_{ip})^T$ is a low-dimensional vector of predictors that should be 132 included in the model, whereas $\boldsymbol{x}_i = (x_{i1}, x_{i2}, \dots, z_{iG})^T$ is a high-dimensional vector, i.e. 133 17737 gene expression measurements, that needs to be screened. We assumed that only 134 a small number of x-variables (in our case, genes) are truly associated with the response 135 while most of them are expected to be irrelevant; i.e. we make a sparsity assumption. 136

To explore potential dose-varying effects between the covariates and the drug 137 response, we consider the following varying coefficient model: 138

$$y_{ij} = \sum_{k=0}^{p} z_{ik} \beta_k(d_{ij}) + \sum_{g=1}^{G} x_{ig} \gamma_g(d_{ij}) + \varepsilon_{ij}$$
⁽²⁾

where $\{\beta_k(\cdot), k = 0, ..., p\}$ and $\{\gamma_g(\cdot), g = 1, ..., G\}$ are smooth functions of dosage level $d \in \mathscr{D}$, where \mathscr{D} is a closed and bounded interval of \mathbb{R} . The errors ε_{ij} were assumed to be independent across subjects and potentially dependent within the same subject with conditional mean equal to zero and variance $\operatorname{Var}(\varepsilon) = \sigma^2(d) = V(d)$.

Methods for estimating the coefficient functions in Eq (2) include local and global ¹⁴³ smoothing methods, such as kernel smoothing, local polynomial smoothing, basis ¹⁴⁴ approximation smoothing etc. Due to computational convenience, for this application ¹⁴⁵ we used basis approximation smoothing via B-splines. ¹⁴⁶

Let the sets of basis functions $\{B_{lk}(\cdot) : l = 1, ..., L_k\}$ and $\{B'_{lg}(\cdot) : l = 1, ..., L_g\}$ and constants $\{\zeta_{lk} : l = 1, ..., L_k\}$ and $\{\eta_{lg} : l = 1, ..., L_g\}$ where k = 0, ..., p and g = 1, ..., G such that, $\forall d \in \mathcal{D}, \beta_k(d)$ and $\gamma_g(d)$ can be approximated by the expansion 149

$$\beta_k(\cdot) \approx \sum_{l=1}^{L_k} \zeta_{lk} B_{lk}(\cdot) \text{ for } k = 0, \dots, p$$
(3)

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$$\gamma_g(\cdot) \approx \sum_{l=1}^{L_g} \eta_{lg} B'_{lg}(\cdot) \text{ for } g = 1, \dots, G.$$
(4)

Substituting $\beta_k(\cdot)$ and $\gamma_g(\cdot)$ of Eq (2) with Eq (3) and Eq (4), we approximated Eq (2) 150 by 151

$$y_{ij} \approx \sum_{k=0}^{p} z_{ik} \sum_{l=1}^{L_k} \zeta_{lk} B_{lk}(d_{ij}) + \sum_{g=1}^{G} x_{ig} \sum_{l=1}^{L_g} \eta_{lg} B'_{lg}(d_{ij}) + \varepsilon_{ij}$$
(5)

If $B_k(\cdot)$ and $B'_g(\cdot)$ are groups of B-spline basis functions of degree q_k and q_g respectively, and $\delta_0 < \delta_1 < \ldots < \delta_{K_k} < \delta_{K_k+1}$ and $\delta_0 < \delta_1 < \ldots < \delta_{K_g} < \delta_{K_g+1}$ are the corresponding knots, then $L_k = K_k + q_k$ and $L_k = K_k + q_k$.

corresponding knots, then
$$L_k = K_k + q_k$$
 and $L_g = K_g + q_g$.

Using the approximation Eq (5), the coefficients $\boldsymbol{\zeta} = (\zeta_0, \zeta_1, \dots, \zeta_p)^T$ and $\boldsymbol{\eta} = (\eta_1, \eta_2, \dots, \eta_G)^T$ can be estimated by minimizing the squared error

$$\ell_w((\boldsymbol{\zeta}, \boldsymbol{\eta})^T) = \sum_{i=1}^n \sum_{j=1}^{n_i} w_{ij} \left[y_{ij} - \sum_{k=0}^p z_{ik} \sum_{l=1}^{K_k} \zeta_{lk} B_{lk}(d_{ij}) - \sum_{g=1}^G x_{ig} \sum_{l=1}^{L_g} \eta_{lg} B'_{lg}(d_{ij}) \right]$$
(6)

where w_{ij} are known non-negative weights.

In cases where p + G >> n though, minimisation of Eq (6) is infeasible. Our aim was to identify factors of the covariate vector $\mathbf{x} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_G)^T$ (genes) that are truly associated with the response (cancer cell line sensitivity to the drug). In addition, we wanted to explore potential dose-varying effects on the drug response.

We make the following sparsity assumption: any valid solution $\hat{\gamma}(d)$ will have $\hat{\gamma}_g(d) = 0, \forall d \in \mathscr{D}$ for the majority of components g. To detect non-zero coefficient functions we applied a two-stage approach which incorporated a variable screening step and a further variable selection step. 162

Screening

The sparsity assumption applies only to components of \mathbf{x} , the high-dimensional 167 covariate vector in Eq (2). 168

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Let the set of indices

$$\mathscr{M}_0 = \{ 1 \le g \le G : ||\gamma_g(\cdot)||_2 > 0 \}$$
(7)

where $|| \cdot ||_2$ is the L_2 -norm. In order to rank the different components of \boldsymbol{x} , we fitted the marginal non-parametric regression model for the *g*th *x*-predictor:

$$y_{ij} \approx \sum_{k=0}^{p} z_{ik} \sum_{l=1}^{K_k} \zeta_{lk}^{(g)} B_{lk}^{(g)}(d_{ij}) + x_{ig} \sum_{l=1}^{L_g} \eta_{lg}^{(g)} B_{lg}^{(g)\prime}(d_{ij}) + \varepsilon_{ij}^{(g)}$$
(8)

where: $\{B_{lk}^{(g)}(\cdot) : l = 1, ..., L_k\}$ and $\{B_{lg}^{(g)\prime}(\cdot) : l = 1, ..., L_g\}$ are sets of coefficient functions; $\{\zeta_{lk}^{(g)} : l = 1, ..., L_k\}$ and $\{\eta_{lg}^{(g)} : l = 1, ..., L_g\}$ are constants to be estimated, k = 0, ..., p; and, $\varepsilon^{(g)}$ is the error term similar to Eq (5). We then computed the following weighted mean squared error for each $g \in \{1, ..., G\}$, 172

$$\hat{u}_g = \frac{1}{n} \sum_{i=1}^n (\mathbf{y}_i - \hat{\mathbf{y}}_i^{(g)})^T \mathbf{W}_i (\mathbf{y}_i - \hat{\mathbf{y}}_i^{(g)})$$
(9)

to quantify the importance of the gth x-variable. Here,

$$\boldsymbol{W}_{i} = \frac{1}{n_{i}} \hat{\boldsymbol{V}}_{i}^{-\frac{1}{2}} \boldsymbol{R}_{i}^{-1}(\hat{\boldsymbol{\phi}}) \hat{\boldsymbol{V}}_{i}^{-\frac{1}{2}}$$
(10)

where \hat{V}_i is the $n_i \times n_i$ diagonal matrix consisting of the dose-varying variance

$$\hat{\boldsymbol{V}}_{i} = \begin{bmatrix} \hat{V}(d_{i1}) & 0 & \dots & 0 \\ 0 & \hat{V}(d_{i2}) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \hat{V}(d_{in_{i}}) \end{bmatrix}$$
(11)

and $\mathbf{R}_i(\phi) = (R_{jk})$ the $n_i \times n_i$ working correlation matrix for the i^{th} subject. By ϕ , we denoted the $s \times 1$ vector that fully characterises the correlation structure. The estimate of ϕ , $\hat{\phi}$, was obtained by taking the moment estimators for the parameters ϕ in the correlation structure based on the residuals obtained from fitting the following model

$$y_{ij} = \sum_{k=0}^{p} z_{ik} \beta_k(d_{ij}) + \varepsilon_{ij}$$
 where $i = 1, \dots, n, j = 1, \dots, n_i$. (12)

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The variance function V(d) in Eq (11) was estimated using techniques similar to [32]. 182

After having obtained $\{\hat{u}_g : g = 1, \ldots, G\}$, we sorted gene utilities in an increasing order. That is because smaller \hat{u}_g values indicate stronger marginal associations. The *x*-predictors included in the screened submodel are, then, given by

$$\widehat{\mathscr{M}}_{\tau_n} = \{ 1 \le g \le G : \ \hat{u}_g \text{ ranks among the first } \tau_n(\nu) \}$$
(13)

where $\tau_n(\nu)$ corresponds to the size of the submodel which is chosen to be smaller than the sample size n.

Variable selection using a group SCAD (gSCAD) penalty

Screening algorithms aim to discard all unimportant variables but tend to be conservative. In order to preserve only the most important x-predictors in the final model, we considered a model including the first $\tau_n(\nu)$ outranked genes and we applied a gSCAD penalty by minimising the following criterion:

$$\frac{1}{2} \sum_{i=1}^{n} \sum_{j=1}^{n_i} w_{ij} \bigg\{ y_{ij} - \sum_{k=0}^{p} z_{ik} \sum_{l=1}^{L_k} \zeta_{lk} B_{lk}(d_{ij}) -$$
(14)

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$$\sum_{g \in \widehat{\mathscr{M}}_{\tau_n}} x_{ig} \sum_{l=1}^{L_g} \eta_{lg} B'_{lg}(d_{ij}) \bigg\}^2 + \sum_{g \in \widehat{\mathscr{M}}_{\tau_n}} p_{\lambda,\alpha}(||\boldsymbol{\eta}_g||)$$
(15)

where

$$p_{\lambda,\alpha}(u) = \begin{cases} \lambda u & \text{if } 0 \le u \le \lambda \\ -\frac{(u^2 - 2\alpha\lambda u + \lambda^2)}{2(\alpha - 1)} & \text{if } \lambda \le u \le \alpha\lambda \\ \frac{(\alpha + 1)\lambda^2}{2} & \text{if } u \ge \alpha\lambda, \end{cases}$$

 α is a scale parameter, λ controls for the penalty size and $||\cdot||$ is the Euclidean ¹⁹⁵ L_2 -norm. At this point, note that grouping is applied for the coefficients η_g that ¹⁹⁶ correspond to the same coefficient function. In addition, in order to reduce the bias ¹⁹⁷ introduced when applied a LASSO penalty, we alternatively chose the SCAD, which ¹⁹⁸ coincides with the LASSO until $u = \lambda$, then transits to a quadratic function until ¹⁹⁹ $u = \alpha \lambda$ and then it remains constant $\forall u > \alpha \lambda$, meaning that retains the penalisation 200 and bias rates of the LASSO for small coefficients but at the same time relaxes the rate 201 of penalisation as the absolute value of the coefficients increases. In Fig 1 the reader can 202 find a brief overview of the employed methodology. 203

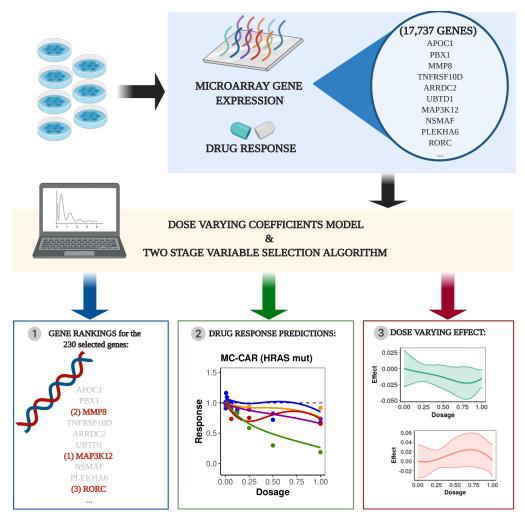


Fig 1. The two-stage algorithm for identifying dose-dependent associations between genes and drugs. Gene expression and drug response data from a drug screening study (e.g. GDSC) are used to fit our dose-varying coefficients model to estimate the dose-varying effect between covariates and drug response. A two-stage variable screening and selection algorithm is applied to rank gene-drug associations. The selected genes can then be used to predict dose-dependent response for drugs of interest.

Tuning parameters selection

We used knots placed at the median of the observed data values along with cubic 205 B-splines with 1 interior knot, resulting from calculating the number of interior knots 206 suitable using the formula $N_n = [n^{\frac{1}{2p+3}}]$ proposed and applied by [29,35,36]. Due to the 207 computational burden this would add, we did not apply cross-validation. 208

As for the screening threshold τ_n , its magnitude could be determined by the fraction $\nu[\frac{n}{\log(n)}], \nu \in \{1, 2, 3, ...\}$. We conducted a pilot simulation study in order to decide the most appropriate size (for further details see S1 Text). We also considered an 211 automated algorithm for its selection (Greedy Iterative Non-parametric Independence Screening-Greedy INIS, [37]). Finally, the penalty size for the gSCAD step λ was determined using a 5-fold cross-validation. 214

Simulation study

Monte Carlo simulations were conducted to examine the ability of our model to detect 216 the genes that are truly associated with the drug response. Responses over different 217 dosage levels were generated based on a subset of genes, the corresponding 218 low-dimensional GDSC data covariates (drug and cancer type) and some specified 219 smooth coefficient functions (see S1 Text). Due to the computational burden associated 220 with a simulation of the same scale as the data set, we conducted a simulation study 221 using smaller random fragments of the original GDSC data set. In particular, we 222 repeatedly sampled without replacement 190 experimental units and 886 genes based on 223 which the simulated responses have been generated. The performance of the employed 224 methodology has been assessed based on 1000 simulations using three screening 225 thresholds $(\tau_n(\nu) = [\frac{n}{\log(n)}], \tau_n(\nu) = [\frac{2n}{\log(n)}]$ and $\tau_n(\nu)$ chosen using the greedy-INIS 226 algorithm [37]) and two estimated covariance structure scenarios (independence and 227 rational quadratic covariance structure). Cubic B-splines and knots placed at the 228 median of the observed data values have been used for estimating the coefficient 229 functions. 230

To evaluate the performance of the proposed procedure we used the following 231 summary measures: TP-number of genes correctly identified as active; FP-number of 232 the genes incorrectly identified as active; TN-number of the genes correctly identified 233

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as inactive; FN-number of the genes incorrectly identified as inactive.

Simulation results suggested that our method accurately detects the drug associated 235 genes from the simulated responses under most of the examined scenarios (Fig. A in S1 236 Text). A screening threshold of size $\left[\frac{2n}{\log(n)}\right]$ and regression weights adjusted for the 237 covariance structure of the data have been identified as the scenario where our method 238 reached its maximum accuracy. Consequently, for the GDSC application, we chose the 239 screening threshold to be the maximum possible, i.e. 923 genes derived from the 240 formula $\left[\frac{2n}{\log(n)}\right]$, and weights derived by assuming a rational quadratic covariance 241 structure for the repeated measures. 242

Data and software availability

The analysis has been conducted using R version 3.6.3. Code for applying the two-stage variable selection algorithm is available online as an R package at https://github.com/koukoulEv/fbioSelect. The data is available online at the Genomics of Drug Sensitivity in Cancer website https://www.cancerrxgene.org/. 247

Results and Discussion

Dose-dependent associations with gene expression in a large-scale drug sensitivity assay

We applied the two stage variable selection algorithm under the dose-varying coefficient 251 model framework described above. Gene rankings and predicted mean drug effects over 252 different dosage levels were obtained. Our algorithm identified 230 candidate genes 253 associated with drug response. The effect of each of those genes was assessed with 254 respect to: 255

- 1. the area under the estimated coefficient curve (AUC) and its corresponding standard deviation (estimated using bootstrapping); 257
- 2. the effect on cell survival (overall positive, overall negative, mixed); 258
- 3. Spearman correlation between the coefficient function value and the dosage level; 259

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- 4. the mean fold change of the expression of cell lines carrying *BRAF* mutations with respect to wild type; and, 261
- 5. the protein-protein interaction network distance between the BRAF gene and the selected genes using the Omnipath database [38]. 263

The 230 genes were ranked based on the estimated AUC value (S4 Table), and the 264 top 30 genes were highlighted for further analysis (Table 1). The higher the AUC, the larger the effect of the gene on the drug response. The overall effect on cell survival can 266 be either positive, negative or vary over the different dosage levels as determined by the 267 range of the estimated coefficient function. Spearman's rank correlation was used as an 268 indicator of the coefficient function's monotonicity by characterising the progress of the 269 genetic effect over different dosage levels. For instance, high expression of the C3orf58 270 gene at baseline has a positive effect on cancer cell survival, which becomes stronger as 271 the dosage increases (Spearman's correlation=0.922). In other words, high expression of 272 this gene can be an indicator of drug resistant cell lines. On the other hand, the DLC1 273 gene has a decreasing (Spearman's correlation=-0.928) and negative effect on cancer cell 274 survival which suggested that as the dosage increases, higher baseline expression of this 275 gene can indicate higher drug sensitivity at higher dosage. Elevated expression of DLC1 276 has been observed in melanoma and is a well known tumour suppressor that could be a 277 novel marker of BRAF inhibition [39]. Finally, in cases where the overall effect varies 278 (changes between positive and negative), the effect of gene expression on the drug 279 response depends on the drug dosage. In particular, the effect of DLX6 increases and 280 then decreases at higher dosages (Fig 2). Given the biological and technical variation in 281 drug screens, we should treat the mean effect estimates with caution and consider the 282 confidence intervals of the coefficient functions in order to derive conclusions about the 283 exact effect of the selected genes on the dose response (Fig 2). 284

Coefficient function estimates provide a lot of information about the dosage, cancer type and genetic effects on drug response. Fig 2 illustrates the estimated coefficient functions for different drugs, cancer types and three genes in relation to the model's intercept, Dabrafenib response in BRAF mutant cell lines originating from the skin (melanoma). Except from HG6-64-1, all other BRAF inhibitors (AZ628, SB590885 and PLX4720) showed no addition effect compared to this intercept. Similar patterns can be 290

. Top so gene i	ankings	Daseu	on the	estimated area	under the coefficient fu	netion curve.
				Spearman's	Mean fold change	Protein-protein
Gene Name	Area	\mathbf{SD}	\mathbf{Sign}	Correlation	in BRAF mutant	interaction network
				Correlation	vs wild-type cell lines	distance to BRAF
KIR3DL1	0.370	0.107	-	-0.874	0.978	3
CHST11	0.257	0.092	-	-0.817	0.899	NI
APOC1P1	0.247	0.09	-	-0.918	1.190	NI
PLEKHA6	0.239	0.086	-	-0.908	1.037	3
PPM1F	0.223	0.068	+	0.910	0.883	3
BFSP1	0.222	0.074	-	-0.800	1.217	NI
PPP1R3A	0.217	0.082	+	0.774	1.078	3
C16orf87	0.207	0.087	+	0.851	0.977	NI
PARVA	0.203	0.081	+	0.890	0.984	2
SLC39A13	0.202	0.079	-	-0.461	1.055	NI
UCN2	0.198	0.07	-	-0.928	0.979	NI
STMN3	0.198	0.087	+	0.834	1.201	2
RNF130	0.197	0.083	-	-0.927	1.153	NI
C3orf58	0.196	0.076	+	0.922	1.133	NI
CXXC4	0.188	0.079	+	0.866	0.995	NI
THBD	0.179	0.093	0	-0.967	1.231	4
SIRT3	0.173	0.066	-	-0.760	1.013	3
PLAT	0.172	0.092	-	-0.878	1.322	4
MPPED1	0.168	0.066	+	0.430	0.978	NI
INSL3	0.162	0.068	-	-0.973	0.965	NI
FAM163A	0.159	0.078	-	-0.983	1.106	NI
CNIH3	0.153	0.08	-	-0.918	0.938	NI
GJA3	0.153	0.067	0	-0.940	0.933	NI
BTG2	0.152	0.078	+	0.959	1.035	2
DLX6	0.152	0.059	0	0.686	0.987	NI
DLC1	0.151	0.053	-	-0.928	0.974	3
GAPDHS	0.150	0.077	+	0.886	1.232	NI
JAG2	0.149	0.069	-	-0.994	0.981	3
SMOX	0.146	0.057	0	0.816	1.070	NI
ZMYND8	0.145	0.091	+	0.907	1.020	3

Table 1. Top 30 gene rankings based on the estimated area under the coefficient function curve.

Gene rankings of the top 30 selected genes based on the magnitude of the genetic effect on drug response. A positive (+) sign translates to a positive effect on cells survival after drug administration, a negative (-) sign translates to a negative effect on cells survival and a mixed (0) effect translates to a varying effect on cells survival which depends on drug dosage. Spearman's correlation is calculated between drug dosage and gene estimated coefficient function values as an indicator of the magnitude change of the gene effect over the increasing dosage. Area corresponds to the area under the estimated coefficient curve and the SD corresponds to the standard deviation of the area based on bootstrapping. Mean fold change is calculated between the selected gene expression values of the cell lines carrying *BRAF* mutations with respect to wild type. Protein-protein interaction network distance is computed based on the shortest interaction path between the *BRAF* gene and each of the selected genes. Here, NI denotes absence of any interaction.

observed for cancer cell lines coming from most of the tissues examined. This result291indicates that the examined drugs may have similar or worse behaviour over the292different dosages for most of the examined cancer types. Interestingly, we observed293greater efficacy (negative values of the coefficient function) for cell lines originating from294the endocrine system, autonomic ganglia and heamatopoietic and lymphoid tissues at295

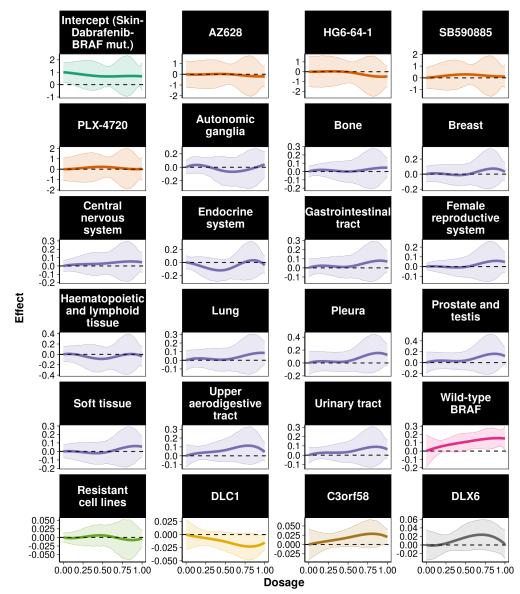


Fig 2. Estimated coefficient functions for the low-dimensional predictors and three of the selected genes. Estimated coefficient functions for intercept, different drugs, tissue of origin and three of the selected genes along with 95% bootstrap confidence intervals. Baseline corresponds to *BRAF* mutant cell lines treated with Dabrafenib in skin tumours.

lower dosages. The observed effect in endocrine system cell lines reflects the Dabrafenib 206 responses observed in anaplastic thyroid cancer patients [40]. Interestingly, the drug, 207 Trametinib, taken in combination with Dabrafenib is a MEK inhibitor, and genes 208 interacting with MEK (MAP2K1) were selected features from our model (Fig 3). 209 Together these results provide important insights into the effectiveness of the five 300 BRAF targeted drugs examined on different cancer types, highlighting the potential for 301

effective treatment of a wide range of cancers given cancers' genetic characteristics.

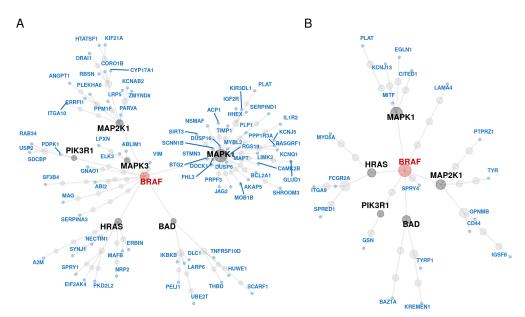


Fig 3. Protein-protein interaction network for the genes selected from the two-stage variable selection algorithm. (A) Undirected protein-protein interaction network between the 230 selected (blue) and the BRAF (red) genes (full scale analysis). (B) Undirected protein-protein interaction network between the 65 genes selected from the two-stage variable selection algorithm for the cell lines resistant to BRAF inhibitors (blue) and the BRAF (red) gene. In both panels genes depicted with black are the interaction mediators. Common mediators include the HRAS, MAPK1, MAP2K1 and BAD genes.

Since the BRAF gene is the target of the drugs, mean fold change and 303 protein-protein interaction network distance were used to examine whether and how the 304 selected genes are related to inhibitors' target. From the selected genes, 120 genes had a 305 mean fold change greater than 1 whereas the rest had a mean fold change between 1 306 and 0.792. Some of the genes with the highest mean fold change of BRAF mutation 307 were PSMC3IP, KIF3C, UBE2Q2, SERPIND1 and PLAT, however only PLAT is 308 displayed in Table 1. From the genes identified through the two-stage algorithm, 35% of 309 them encode proteins interacting with the BRAF gene, though none of them directly. 310 Most of the selected genes interact with the BRAF gene via pathways mediated by 311 HRAS, MAPK1 (ERK), MAP2K1 (MEK) and BAD (Fig 3). 312

Since HRAS mutations are frequent in patients receiving BRAF targeted ³¹³ therapies [41], we examined the mean estimated trajectory over different dosages under ³¹⁴

> treatment with BRAF inhibitors tested in six cancer cell lines with and without BRAF315 and HRAS mutations (Fig 4). As stated previously, we observed that in most cases 316 HG6-64-1 seems to be the most effective drug. The estimated coefficient functions 317 facilitate drug examination and response prediction under the different dosages. In 318 some instances, we observed different drugs having similar behaviour for lower drug 319 dosages and larger divergence for higher dosages. In most cases, regardless of the cell 320 line origin, our method successfully estimates the expected survival rates of the cancer 321 cell lines for the different drugs given their gene expression information. 322

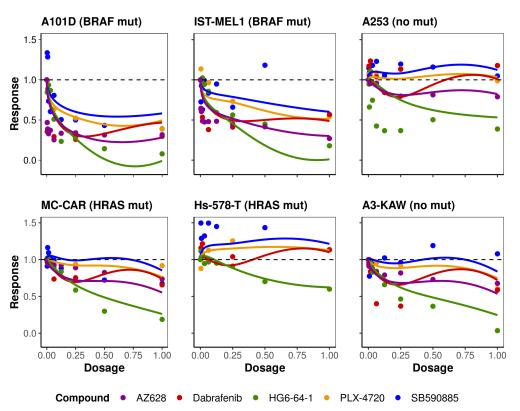


Fig 4. Estimated mean drug response trajectories for four cancer cell lines with BRAF and HRAS mutations. Observed responses (points) and estimated mean trajectory (lines) of cells' concentration for cancer cell lines with and without BRAF and HRAS mutations after treatment with the five anticancer compounds examined.

Variable selection algorithm identifies cancer pathways associated with BRAF inhibitor response

Using our functional regression approach, we identified 230 genes that were selected via the SCAD step (observed gene set). We used the Enrichr [42,43] and WikiPathways [44] databases to see if the selected genes can be grouped into common functional classes or pathways. In total, 183 pathways identified, from which 11 were statistically significant at 5% level, including apoptosis modulation, NOTCH1 regulation, and MAPK signaling (S6 Table). The model identified genes (*IKBKB*, *RASGRF1*, *DUSP16*, *DUSP8*, *DUSP6*, *MAPT* and *IL1R2*) downstream of the MAPK signaling pathway targeted by BRAF inhibitors.

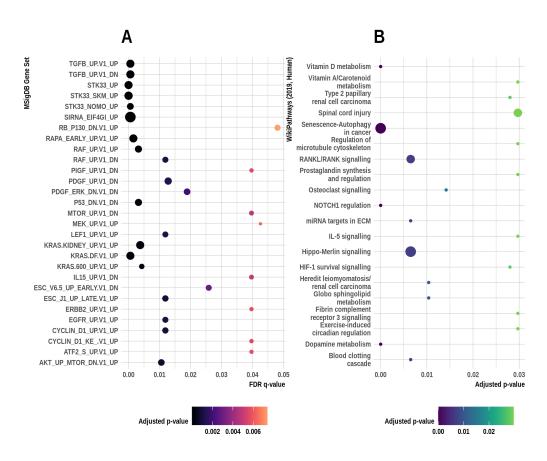
Previous studies of these pathways have found associations with tumourgenesis and cancer treatment [45–48]. Genes in more than one of these pathways include *IKBKB*, *PLAT*, *IL1R2* and *PDPK1*. The IKB kinase composed of IKBKB had previously been suggested as a marker of sensitivity for combination therapy with BRAF inhibitors [49]. Taken together, these results suggest that the identified associations between the drug response and the observed genes may reveal new predictive markers of tumour response to the examined BRAF inhibitors.

In addition to the pathway enrichment analysis, we used the Molecular Signatures $_{340}$ Database (MSigDB database v7.0 updated August 2019: [50]) to compute overlaps $_{341}$ between the observed gene set and known oncogenic gene sets. Fig 5 displays the 29 $_{342}$ overlaps found. Interestingly, we identified three instances where the observed gene set $_{343}$ significantly overlapped with gene sets over-expressing an oncogenic form of the *KRAS* $_{344}$ gene. $_{345}$

Identifying dose-dependent genes in drug-resistance conditions 346

Acquired resistance to BRAF inhibitors is often observed in the clinic [52]. To further examine the utility of the employed methodology, we applied the variable selection algorithm to a data subset containing only cell lines with mutations activating resistant mechanisms to BRAF inhibitors [53]. Out of the 951 cell lines in the data, 191 had some mutation in any of the following: RAC1 gene, NRAS gene, cnaPANCAN44 or cnaPANCAN315. We identified 65 genes associated with dose-response, though none of some mutation in any of the following: cnaPANCAN315 we identified 65 genes associated with dose-response, though none of some mutation in any of the following is the data with dose-response is though none of some mutation in any of the following for a specified with dose-response is though none of some mutation in any of the following for a specified with dose-response is though none of some mutation is a specified for a spe

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Overlapping genes (%) O 0.03 O 0.04 O 0.05 O 0.06 Overlap

Overlapping genes • 1.0 O 1.5 O 2.0 O 2.5 O 3.0

Fig 5. Overlaps between the observed gene set and oncogenic signatures in the Molecular Signatures Database (full data analysis); signalling pathways enriched for genes predictive of *BRAF* inhibitor response (resistant cell lines). (A) Full gene set names can be found in S8 Table. Overlaps have been detected using gene set enrichment analysis performed using a hypergeometric distribution. The false discovery rate analog of the hypergeometric p-value is displayed after correction for multiple hypothesis testing according to Benjamini and Hochberg [51]. (B) Top 20 enriched signalling pathways along with the adjusted p-values and the number of overlapping genes obtained after pathway enrichment analysis to the resistant cell line analysis results (for full list of the pathways identified see S7 Table).

them were directly associated with the MAPK/ERK pathway. However, from these, 25 genes have been found to indirectly interact with the BRAF gene (Fig 3) and 21 to overlap with three oncogenic gene sets in the Molecular Signatures Database (genes down-regulated in NCI-60 panel of cell lines with mutated TP53; genes up-regulated in Sez-4 cells (T lymphocyte) that were first starved of IL2 and then stimulated with IL21, and; genes down-regulated in mouse fibroblasts over-expressing E2F1 gene; S9 Table). Finally, we found 34 pathways enriched for genes predicting drug response of the mutated cell lines to the examined BRAF inhibitors, of which the top 20 are depicted in $_{360}$ Fig 5(B). $_{361}$

Table 2 presents gene rankings based on the AUC and the overall coefficient function362effect (sign) for the 42 genes in either the enriched pathways, the three oncogenic gene363sets discussed above or the protein-protein interaction network with the BRAF gene364(full list available in S5 Table). Eight of the selected genes in the current365implementation were also selected from the algorithm implemented on the full data:366ASB9, PRSS33, GJA3, PLAT, KLF9, BFSP1, MTARC1 and UCN2.367

Predictive performance of dose-dependent models

As discussed above, the employed methodology gives a good overview of the baseline 369 genetic effect on drug response. We assessed the overall predictive performance of our 370 method using 10-fold cross validation under two different scenarios. For the first, we 371 split the data into training and test set holding out the experimental units (cancer cell 372 line-drug combinations) and for the second, holding out cancer cell lines. The absolute 373 mean error for both cases was around 0.12. Our analysis shows robust cross-validated 374 performance when it comes to predicting sensitivity to the administered drugs (see S3 375 Fig. which shows the correlation between predicted and true response). Predictive 376 accuracy was evaluated under four different sub-scenarios: prediction of the most 377 effective drug-dosage combination for the 951 cell lines in the data set; prediction of the 378 most effective drug given a cell line; prediction of the most effective dosage given 379 treatment with a particular drug and prediction of the most effective dosage range given 380 treatment with a drug (Table 3). The proposed model performs really well when it 381 comes to predicting the most effective drug or dosage range ($\approx 79\%$ in both scenarios). 382 Results are less reliable when it comes to prediction of the exact dosage or drug-dosage 383 combination (\approx 48-49% and \approx 57-58% in both scenarios) but this can be due to either 384 the large variability observed in the observed responses or due to the small number of cell lines for some predictor level combinations. Results were similar for both 386 cross-validation scenarios (differences range from 0 to < 2%, Table 3), meaning that as 387 long as a cell line has similar genetic characteristics to those observed, the model can be 388 reliable in predicting the outcome after anticancer drug administration. 389

					and oncogenic gene setMean fold change	Protein-protein
Gene Name	Area	SD	\mathbf{Sign}	Spearman's Correlation	in BRAF mutant vs wild-type cell lines	interaction network distance to BRAF
MYO5A	0.531	0.261	+	0.955	1.358	4
S100A1	0.488	0.189	+	0.812	1.263	NI
GPNMB	0.424	0.196	+	1	1.169	3
ACP5	0.359	0.149	-	-0.998	1.039	NI
FCGR2A	0.341	0.158	-	-0.588	1.25	3
CITED1	0.28	0.348	0	-0.603	1.63	3
SPRY4	0.274	0.127	-	-0.611	1.228	2
CD44	0.239	0.164	+	0.868	1.413	3
RAP2B	0.236	0.179	0	0.927	1.254	NI
KCNJ13	0.205	0.094	0	-0.604	1.101	3
ALX1	0.202	0.099	-	-1	1.104	NI
PLAT	0.201	0.121	-	-0.405	1.312	4
RETSAT	0.201	0.142	0	0.689	1.127	NI
GSN	0.196	0.109	+	0.588	1.079	4
CDH19	0.185	0.102	0	0.943	0.933	NI
ATP1B3	0.178	0.115	-	-1	1.063	NI
BAZ1A	0.173	0.105	+	-0.29	1.109	4
SLC16A4	0.166	0.117	-	-0.298	1.234	NI
ST6GALNAC2	0.164	0.102	0	-0.815	1.264	NI
MFSD12	0.16	0.148	0	-0.788	1.13	NI
GJA3	0.157	0.075	0	-0.85	1.071	NI
CYP27A1	0.156	0.09	-	-0.743	1.373	NI
EGLN1	0.15	0.119	-	-0.442	1.053	3
TRPV2	0.147	0.118	0	0.769	1.074	NI
MITF	0.146	0.106	+	1	0.743	2
TBC1D7	0.146	0.118	0	-0.603	1.304	NI
SLC6A8	0.144	0.111	0	-0.263	0.941	NI
PTPRZ1	0.139	0.138	-	-0.808	1.074	4
PLOD3	0.132	0.135	0	0.696	1.166	NI
ANKRD7	0.131	0.12	+	0.92	1.241	NI
KANK1	0.107	0.113	0	-0.493	1.345	NI
GYPC	0.105	0.092	+	-0.3	1.072	NI
TYR	0.1	0.098	-	0.467	1.11	4
TYRP1	0.1	0.097	0	0.457	1.326	3
IGSF8	0.09	0.129	0	-0.668	1.313	5
SPRED1	0.067	0.116	0	-0.556	1.239	4
ITGA9	0.056	0.111	0	0.785	1.154	4
KREMEN1	0.053	0.086	0	-0.555	1.123	4
LAMA4	0.038	0.083	-	0.344	1.151	4
MLANA	0.037	0.097	0	0.534	1.147	NI
KLF9	0.011	0.074	0	0.932	1.064	NI

Table 2. Rankings of the genes identified from the pathway and oncogenic gene set enrichment analysis.

Table notes rankings of the genes found to have some biological importance. A positive (+) sign translates to a positive effect on cells survival after drug administration, a negative (-) sign translates to a negative effect on cells survival and a neutral (0) effect translates to a varying effect on cells survival which depends on drug dosage. Spearman's correlation is calculated between drug dosage and gene estimated coefficient function values as an indicator of the magnitude change of the gene effect over the increasing dosage. Area corresponds to the area under the estimated coefficient curve and the SD corresponds to the standard deviation of the area based on bootstrapping. Pearson's correlation is calculated between the selected gene microarray expression values and the *BRAF* expression across all the cell lines. Protein-protein interaction network distance is computed based on the shortest interaction path between the *BRAF* gene and each of the selected genes. Here, NI denotes absence of interaction.

Scenario	Accuracy EU	Accuracy CL
Model predicts the more effective drug-dosage combination	57.85%	57.42%
Model predicts the more effective drug given a cell-line	78.21%	78.21%
Model predicts the more effective dosage given a drug	48.44%	48.65%
Model predicts the most effective dosage range (> or $\leq 31.25\%$ of the maximum dosage)	79.47%	79.28%

Table 3. Predictive performance of the employed model (mean absolute error=0.121).

Table notes the predictive performance of the model based on the percentages of correctly identifying the most effective drug, dosage or drug-dosage combinations. Results obtained based on 10-fold cross-validation of the final model (based on holding out either experimental units-EU- or cancer cell lines-CL-).

Conclusion

Genetic alternations and gene expression in tumours are known to affect disease 391 progression and response to treatment. Here, we studied dosage-dependent associations 392 between gene expression and drug response, using a functional regression approach 393 which adjusts for genetic factors. We analysed data from the Genomics of Drug 394 Sensitivity in Cancer project relating to drug effectiveness for suspending cancer cell 395 proliferation under different dosages, and examined five BRAF targeted inhibitors, each 396 applied in a number of common and rare types of cancer cell lines. Our implementation 397 of a two-stage screening algorithm revealed a number of genes that are potentially 398 associated with drug response. Gene, drug and cancer type trajectories have been 300 modelled using a varying coefficient modelling framework. The proposed methodology 400 allows for dose-dependent analysis of genetic associations with drug response data. It 401 enables us to study the effect of different drugs simultaneously, which results in high 402 accuracy of drug response prediction. Drug comparisons using the proposed 403 methodology could support drug repositioning, especially in disease indications where 404 existing treatment options are limited. In addition, our methodology can help to reveal 405 unknown potential relationships between genetic characteristics and drug efficacy. 406 Hence, the good predictive performance of our method could be due to the fact that 407 some genes may act as proxies for unmeasured phenotypes that are directly relevant to 408 drug sensitivity. 409

Our work relies on two major assumptions. First, that out of tens of thousands 410 genes regulating protein composition only a small proportion is actually associated with 411 cancer cells survival in a dosage-dependent manner. In other words, transcriptomic 412 profiles exert influence on disease progress after drug administration in a sparse and 413 dynamic way. However, if a large number of genes are associated with the drug response, 414

our method may produce biased results, and some important information about the 415 biological mechanisms can be lost. Secondly, we assume that the different drugs are 416 comparable on the scale of maximum dosage percentage level for our joint model. 417 However, we acknowledge that different drugs have different chemical structure and 418 maximum screening concentrations. Our focus is to identify genetic components that 419 could be informative for dose response given drugs that belong to a particular family, 420 for example BRAF targeted therapies. However, our methodology is flexible enough to 421 allow each drug to be examined separately if it appears to be clinically appropriate. 422

Drug response prediction from gene expression data has been widely studied in the 423 literature. Sparse regression methods, gene selection algorithms such as the Ping-pong 424 algorithm [25], or a combination of network analysis and penalised regression, e.g. the 425 sparse network-regularized partial least squares method [17], have all been employed to 426 simultaneously predict drug response and select genetic factors that seem to be 427 associated with the drug response. However, none of these methods are able to quantify 428 the effect of drug dosage on the response. Employing the proposed dose-varying model 429 gives a detailed picture of different drugs effect and can be extremely valuable in 430 predicting drug response for agents with small therapeutic range and high toxicity levels. 431 In addition, the method can be easily extended for different cell lines-drug combinations 432 as well as different types of molecular data (e.g. RNA-seq gene expression, methylation 433 or mutational profiles). Finally, due to the structure of our model, enrichment with 434 additional low-dimensional covariates, such as drug chemical information, is 435 straightforward. 436

Funding

This work was financially supported by the North West Social Science Doctoral438Training Partnership (ref: ES/P000665/1) as part of Evanthia's Koukouli doctoral439studies (ref: 2035874). Dennis Wang is supported by the NIHR Sheffield Biomedical440Research Centre, Rosetrees Trust (ref: A2501), and the Academy of Medical Sciences441Springboard (ref: SBF004/1052).442

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Supporting information captions

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 S1 Text. Accurate detection of drug associated genes from simulated
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 responses. Simulated responses have been generated to examine the accuracy of the
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 employed method in detecting the genes that are truly associated to drug response.
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 Three screening thresholds, three active gene sets and two covariance structure scenarios
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 for the repeated measurements simulation have been considered. This text includes all
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 the details of the simulation study that we conducted.
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S2 Fig. Distribution of tissue of origin across the five BRAF compounds 599 used for cell line screening in the Genomics of Drug Sensitivity in Cancer 600 data. Overall, similar proportion of cell lines have been treated with all of the 601 compounds examined with smaller number of cell lines been treated with AZ628. 602 Dabrafenib and PLX-4720. Larger number of cell lines in the data set were originated 603 from the lungs, the gastrointestinal tract and the haematopoietic and lymphoid tissues. 604 S3 Fig. Prediction accuracy for each different drug and scenario. Pearson 605 correlation was estimated across observed and predicted AUC values. AUC values have 606 been computed by calculating the area under the coefficient function curve (both 607 observed and predicted). Training and test sets have been considered based on either 608 the experimental units or on cancer cell lines only. 609

S4 Table. Full gene rankings based on the estimated area under the

coefficient function curve (analysis on the full data set). Gene rankings of all 611 selected genes based on the magnitude of the genetic effect on drug response. A positive 612 (+) sign translates to a positive effect on cells survival after drug administration, a 613 negative (-) sign translates to a negative effect on cells survival and a mixed (0) effect 614 translates to a varying effect on cells survival which depends on drug dosage. 615 Spearman's correlation is calculated between drug dosage and gene estimated coefficient 616 function values as an indicator of the magnitude change of the gene effect over the 617 increasing dosage. Area corresponds to the area under the estimated coefficient curve 618 and the SD corresponds to the standard deviation of the area based on bootstrapping. 619 Mean fold change is calculated between the selected gene expression values of the cell 620 lines carrying BRAF mutations with respect to wild type. Protein-protein interaction 621 network distance is computed based on the shortest interaction path between the BRAF 622 gene and each of the selected genes. Here, NI denotes absence of any interaction. 623 S5 Table. Full gene rankings based on the estimated area under the 624 coefficient function curve (analysis on resistant cell lines). Gene rankings of all 625 selected genes based on the magnitude of the genetic effect on drug response. A positive 626 (+) sign translates to a positive effect on cells survival after drug administration, a 627 negative (-) sign translates to a negative effect on cells survival and a mixed (0) effect 628 translates to a varying effect on cells survival which depends on drug dosage. 629 Spearman's correlation is calculated between drug dosage and gene estimated coefficient 630 function values as an indicator of the magnitude change of the gene effect over the 631 increasing dosage. Area corresponds to the area under the estimated coefficient curve 632 and the SD corresponds to the standard deviation of the area based on bootstrapping. 633 Mean fold change is calculated between the selected gene expression values of the cell 634 lines carrying *BRAF* mutations with respect to wild type. Protein-protein interaction 635 network distance is computed based on the shortest interaction path between the BRAF 636 gene and each of the selected genes. Here, NI denotes absence of any interaction. 637 S6 Table. Signalling pathways linked to genes predictive of BRAF inhibitor 638 response (analysis on the full data set). Signalling pathways along with the 639 adjusted p-values and the number of overlapping genes obtained after pathway 640 enrichment analysis to the full scale analysis results. 641

S7 Table. Signalling pathways linked to genes predictive of BRAF inhibitor 642

response (analysis on resistant cell lines). Signalling pathways along with the	643
adjusted p-values and the number of overlapping genes obtained after pathway	644
enrichment analysis to the resistant cell line analysis results. S8 Table. Overlaps	645
between the observed gene set and oncogenic signatures in the Molecular	646
Signatures Database (analysis on the full data set). Overlaps have been	647
detected using gene set enrichment analysis performed using a hypergeometric	648
distribution. The false discovery rate analog of the hypergeometric p-value is displayed	649
after correction for multiple hypothesis testing according to Benjamini and Hochberg.	650
S9 Table. Overlaps between the observed gene set and oncogenic signatures	651
in the Molecular Signatures Database (resistant cell lines analysis). Overlaps	652
have been detected using gene set enrichment analysis performed using a hypergeometric	653
distribution. The false discovery rate analog of the hypergeometric p-value is displayed	654
after correction for multiple hypothesis testing according to Benjamini and Hochberg.	655