

1 **TITLE**

2 **Structural basis for no spectral shift of heliorhodopsin by**
3 **counterion mutation.**

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16

17 **Abstract**

18 Microbial rhodopsins comprise an opsin protein with seven transmembrane
19 helices and a retinal as the chromophore. An *all-trans* retinal is covalently-bonded to a
20 lysine residue through the retinal Schiff base (RSB) and stabilized by a negatively-
21 charged counterion. The distance between the RSB and counterion is closely related to
22 the light energy absorption. However, in heliorhodopsin-48C12 (HeR-48C12), while
23 Glu107 acts as the counterion, E107D mutation exhibits an identical absorption spectrum
24 to the wild-type, suggesting that the distance does not affect its absorption spectra. Here
25 we present the 2.6 Å resolution crystal structure of the *Thermoplasmatales* archaeon HeR
26 E108D mutant, which also has an identical absorption spectrum to the wild-type. The
27 structure revealed that D108 does not form a hydrogen bond with the RSB, and its
28 counterion interaction becomes weaker. Alternatively, serine cluster, S78, S112, and S238
29 form a distinct interaction network around the RSB. The absorption spectra of the E to D

30 and S to A double mutants suggested that S112 influences the spectral shift by
31 compensating for the weaker counterion interaction. Our structural and spectral studies
32 have revealed the unique spectral shift mechanism of HeR and clarified the
33 physicochemical properties of HeRs.

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36 **Main text**

37 **Introduction**

38 Heliorhodopsins (HeRs) are a family of microbial rhodopsins that was recently
39 discovered by functional metagenomics¹. HeRs share distant sequence identity with the
40 type-1 (microbial) rhodopsins. The first discovered HeR was HeR-48C12, found in an
41 actinobacterial fosmid from the freshwater Lake Kinneret and classified as a bacterial
42 HeR. Genomic studies have led to the discovery of more than 500 rhodopsin genes in
43 bacteria, archaea, eukaryotes, and giant viruses. HeRs have an all-*trans* retinal
44 chromophore and undergo a photocycle involving K, M, and O intermediates upon light
45 absorption, in parallel with retinal isomerization and proton transfer^{2,3}. However, HeRs
46 lack pump and channel activities, unlike the typical type-1 rhodopsins, and thus their
47 functions have remained elusive. Moreover, HeRs exhibit long-lived photoactivated
48 states with lifetimes (τ) of over a second, suggesting that HeRs are signaling
49 photoreceptors or photoenzymes^{2,4}.

50 Our recent crystallographic study revealed the first structure of the HeR derived
51 from *Thermoplasmatales archaeon* SG8-52-1 (*TaHeR*)². The transmembrane region of
52 *TaHeR* consists of seven transmembrane helices, as in type-1 rhodopsins, but it is in an
53 inverted orientation relative to them. *TaHeR* forms a stable dimer, and its interface
54 comprises transmembrane helix (TM) 4, TM5, and two β -sheets in the ECL1. An all-
55 *trans* retinal is covalently bound to a lysine, forming the retinal Schiff base (RSB), which
56 is stabilized by a single counterion, E108. A linear hydrophobic pocket accommodates
57 the retinal configuration and isomerization. Overall, *TaHeR* harbors the retinal in a similar
58 manner to the type-1 rhodopsins, despite its many distinct features. The recently reported

59 structure of the bacterial HeR-48C12 revealed that these characteristics are common in
60 the HeRs^{5,6}.

61 The RSB is protonated in type-1 rhodopsins and HeRs, in which the high pKa is
62 stabilized and maintained by a counterion^{2,7,8}. The electrostatic interaction has been
63 extensively studied between the positively charged retinal chromophore and the
64 negatively charged counterion, as it is the dominant component in the regulation of light
65 energy absorption. Most type-1 rhodopsins contain two counterions at TM3 and TM7, as
66 in the cases of D85 and D212 in the light-driven proton-pump bacteriorhodopsin (BR),
67 and E123 and D253 in channelrhodopsin 2 from *Chlamydomonas reinhardtii*
68 (CrChR2)^{9,10}. By contrast, HeRs possess a single counterion in TM3: E108 in TaHeR and
69 E107 in HeR-48C12. A previous mutation study of HeR-48C12 revealed anion binding
70 to the E107A and E107Q mutants, but not to the wild-type and E107D mutant⁸. While the
71 results supported E107 as the counterion, a puzzling result was obtained: identical
72 absorption spectra were observed for the wild-type and E107D mutant^{8,11}. It is well
73 known that stronger or weaker electrostatic interactions with the counterion cause a
74 spectral blue- or red-shift, respectively, in both type-1 and -2 rhodopsins⁷. In the case of
75 light-driven proton pumps, the D-to-E mutants cause 28 nm, 8 nm, and 23 nm blue-shifts
76 for BR¹², *Gloeobacter* rhodopsin (GR)¹³, and *Acetabularia* rhodopsin I (AcetR1)¹⁴,
77 respectively. By contrast, the E-to-D mutants of CrChR2 and bovine rhodopsin (type-2
78 rhodopsin) cause 16 nm¹⁵ and 10 nm¹⁶ red-shifts, respectively. In general, the D-to-E and
79 E-to-D mutations cause spectral blue and red shifts, respectively. However, the absorption
80 spectrum of the E107D mutant of HeR 48C12 is identical to the wild-type spectrum. This
81 puzzling result suggests that either the counterion does not dominantly regulate energy
82 absorption, or the other residues around the RSB compensate for the weaker counterion
83 interaction.

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88 **Material and Methods**

89 **Determination of λ_{\max} of TaHeR WT and mutants by hydroxylamine** 90 **bleaching.**

91 **Gene Preparation and protein Expression.**

92 The codon-optimized full-length *T. archaeon* HeR gene (GenBank ID:
93 KYK26602.1) containing an N-terminal histidine-tag was chemically synthesized
94 (GenScript) and subcloned into the pET21a (+)-vector, as reported previously. For
95 mutagenesis, a QuikChange site-directed mutagenesis kit (Stratagene) was used,
96 according to the standard protocol. After sequence confirmation, these constructs were
97 used to transform *E. coli* strain C43 (DE3), and protein expression was induced by 1.0
98 mM isopropyl β -D-thiogalactopyranoside (IPTG) for 4 h at 37 °C, in the presence of 10
99 μ M all-trans-retinal (Sigma-Aldrich).

100 **Determination of Absorption Maxima without Purification.**

101 A 4 mL culture of *E. coli* expressing the desired rhodopsin was centrifuged, and
102 the pellet was resuspended in 3 mL of buffer, containing 50 mM Na₂HPO₄, 100 mM NaCl,
103 2.0 mg lysozyme, and 10 mg DNase, pH 7.0. This mixture was gently agitated at room
104 temperature for 1 hr. The mixture was then sonicated for complete cell lysis, combined
105 with n-Dodecyl- β -D-maltoside (DDM) at an effective concentration (2%) for complete
106 solubilization of the desired protein, and mixed at 4°C overnight. Afterwards, we added
107 a freshly prepared hydroxylamine solution to the sample (final concentration, 500 mM)
108 and illuminated it for 30 min with a 1 kW tungsten-halogen projector lamp (Master
109 HILUX-HR, Rigaku) through a glass filter (Y-52, AGC Techno Glass) at wavelengths
110 >500 nm. A hot mirror was placed in front of the projector lamp to block heat radiation.
111 Absorption changes representing the bleaching of rhodopsins by hydroxylamine were

112 measured with an ultraviolet–visible (UV–vis) spectrometer.

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114 **Crystallization.**

115 The E108D mutant of TaHeR was purified as described previously². In brief, the
116 E108D mutant was expressed in *E. coli*, solubilized with DDM, and purified by nickel
117 affinity chromatography. The protein was concentrated to 40 mg ml⁻¹ with a centrifugal
118 filter device (Millipore 50 kDa MW cutoff), and frozen until crystallization.

119 The protein was reconstituted into monoolein at a weight ratio of 1:1.5
120 (protein:lipid). The protein-laden mesophase was dispensed into 96-well glass plates in
121 30 nl drops and overlaid with 800 nl precipitant solution, using a Gryphon robot (ARI),
122 as described previously³¹. Crystals were grown at 20°C in precipitant solutions containing
123 25% PEG 350 MME, 100 mM Na-citrate, pH 5.0, and 100 mM ammonium sulfate or
124 lithium sulfate. The crystals were harvested directly from the LCP with micromounts
125 (MiTeGen) or LithoLoops (Protein Wave) and frozen in liquid nitrogen, without adding
126 any extra cryoprotectant.

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128 **Data collection and structure determination.**

129 X-ray diffraction data were collected at the SPring-8 beamline BL32XU with an
130 EIGER X 9M detector (Dectris), using a wavelength of 1.0 Å. In total, 79 small-wedge
131 (10° per crystal) datasets using a 5×5 μm² beam were collected automatically, using the
132 ZOO syste³². The collected images were processed using KAMO³³ with XDS³⁴, and 52
133 datasets were indexed with consistent unit cell parameters. After correlation coefficient-
134 based clustering using the intensities, followed by merging using XSCALE³⁴ with outlier
135 rejections implemented in KAMO, a small cluster consisting of 12 datasets was selected
136 for the following analyses, because it gave a small inner-shell R_{meas} and high outer-shell
137 $CC_{1/2}$. The E108D structure was determined by molecular replacement with PHASER³⁵,
138 using the wild-type TaHeR structure (PDB code: 6IS6)² as the template. Subsequently,
139 the model was rebuilt and refined with COOT³⁶ and REFMAC5³⁷. The final model of the

140 E108D mutant of *TaHeR* contained residues 4-253 of *TaHeR*, 14 monoolein molecules,
141 and 33 water molecules. Figures were prepared with CueMol (<http://www.cuemol.org/ja/>).

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146 **Results**

147 **Structural determination of the E108D mutant of *TaHeR***

148 To investigate the effect of the E-to-D mutation in another heliorhodopsin, we
149 measured the absorption spectra of the wild-type and E108D mutant of *TaHeR* by
150 bleaching the retinal chromophore with hydroxylamine. The wild-type and E108D mutant
151 exhibited identical absorption spectra, with a maximum absorption wavelength (λ_{\max}) at
152 542 nm (Fig. 1a, b). These data showed that the E to D counterion mutation does not
153 cause a spectral shift, as in HeR-48C12, although the archaeal heliorhodopsin *TaHeR* and
154 bacterial HeR-48C12 share relatively low sequence identity (43%). These observations
155 suggest that the absence of a spectral shift by the counterion mutation E to D is a common
156 feature in bacterial and archaeal HeRs.

157 To understand why the E to D mutation does not cause a spectral shift, we
158 determined the 2.6 Å resolution crystal structure of the E108D mutant of *TaHeR*
159 (Supplementary Fig. 1 and Supplementary Table 1). The E108D structure comprises
160 seven transmembrane helices, a long β -sheet in ECL1, and a covalently bound *all-trans*
161 retinal at K238 (Fig. 1c). While the crystallizing conditions and crystal packing of the
162 E108D mutant differed from those of the wild-type (Supplementary Table 1 and Methods),
163 it forms a similar dimer with the symmetric protomers (Supplementary Fig. 2a, b). The
164 E108D structure superimposes well with the wild-type structure (0.4 Å root mean square
165 deviation of C α atoms) (Fig. 1c), indicating that the E108D mutation does not affect the
166 overall conformation.

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168 **Structural effect of the E108D mutation**

169 To evaluate the effect of the E108D mutation, we compare the retinal binding
170 pockets in the wild-type and E108D structures. The rotamers of the hydrophobic residues
171 in the retinal binding site are almost identical (Fig. 1d). By contrast, the counterion
172 mutation E108D alters the polar interaction network around the RSB. In the wild-type
173 structure, the distance between the RSB and counterion carboxylate is 3.5 Å (Fig. 2a).
174 Thus, they form a hydrogen bond in addition to the electrostatic interactions, as also
175 supported by a previous resonance Raman analysis³. In the E108D structure, this distance
176 becomes longer (4.9 Å), and thus the counterion can no longer form a hydrogen bond
177 with the RSB (Fig. 2b and Supplementary Fig. 3). We could not observe a density
178 corresponding to a water between the counterion and RSB in the 2.6 Å resolution structure
179 (Supplementary Fig. 3), suggesting that they do not even form a water-mediated
180 hydrogen-bonding interaction. As the interaction between the RSB and counterion
181 becomes weaker, we expected the E108D mutant exhibits a blue-shifted absorption.

182 Notably, the E108D mutation rearranges the polar interaction network around the
183 RSB-counterion complex. In the wild-type structure, the hydroxyl groups of S112 in TM3
184 and S234 in TM7 sandwich the RSB and form polar interactions (Fig. 2a). The counterion
185 E108 only forms a hydrogen bond with the RSB. In the E108D structure, the distance
186 between the hydroxyl group of S112 and the counterion is closer (3.8 Å to 3.0 Å) to allow
187 a hydrogen-bonding interaction (Fig. 2b). Moreover, the S78 side chain in TM2 flips and
188 also forms a hydrogen bond with the counterion (Fig. 2c). The RSB moves in the opposite
189 direction from the counterion by 0.7 Å, and the S234 side chain also shifts slightly.
190 Although the interaction between the counterion and RSB certainly becomes weaker, the
191 interactions involving S78, S112, and S234 are rearranged (Supplementary Table 3) to
192 establish additional hydrogen-bonding interactions in the E108D structure (S78-D108
193 and S112-D108). These serine residues are conserved in *TaHeR* and *HeR-48C12*. The
194 aforementioned hydrogen-bonding interactions would compensate for the weaker
195 counterion interaction by the E108D mutation and retain the absorption spectrum.

196 **Mutational analysis around the RSB.**

197 To examine the effects of these serine residues, we measured the absorption
198 spectra of the single mutants (S78A, S112A, and S234A) and the double mutants
199 (S78A/E108D, S112A/E108D, and S234A/E108D). If these serine residues compensate
200 for the weaker counterion interaction, then the S to A/E108D double mutant should show
201 red-shifted absorption as compared with that of the single S to A mutant. First, we describe
202 the results of the S78 and S234 mutations (Fig. 3a-d). The single mutants S78A and
203 S234A showed a 2 nm red-shifted absorption at 544 nm, as compared with that of the
204 wild-type (Fig. 3a, c). Unexpectedly, the S78A/E108D and S234A/E108D double mutants
205 showed maximum absorption wavelengths at 532 nm and 540 nm, which are blue-shifted
206 by 14 and 4 nm, respectively (Fig. 3b, d). These results suggest that S78 and S234 are not
207 associated with the lack of a spectral shift by the E108D mutation. We cannot precisely
208 explain these blue-shifted absorptions, and especially that of the S78A/E108D double
209 mutant. The S78A/E108D double mutation shortens their side chains and would create
210 more space around the counterion. This extra space may allow water molecules to enter
211 and stabilize the RSB by additional water-mediated hydrogen-bonding interactions,
212 resulting in the blue-shifted absorption spectra.

213 Next, we describe the results obtained with the S112 mutant. The S112A mutant
214 showed a 12 nm red-shifted absorption at 554 nm, as compared with that of the wild-type
215 (Fig. 3e), indicating that it plays a critical role in stabilizing the RSB. Moreover, the
216 maximum absorption wavelength (λ_{\max}) of the S112A/E108D double mutant was 559
217 nm (Fig. 3f), which is 5 nm red-shifted as compared with that of the S112A mutant. This
218 result indicates that the E108D mutation causes the spectral red shift in the *TaHeR* S112A
219 mutant. Therefore, S112 compensates for the weaker counterion interaction by the E108D
220 mutation and maintains the absorption spectra. The polar interactions of S112 with the
221 RSB-counterion complex would be essential for this effect (Fig. 2b).

222 S112 is located just one helical turn above the counterion (E108) and conserved
223 among most HeRs (97%). The distances between the hydroxyl group of the serine and
224 RSB are 3.0 Å and 3.2 Å in *TaHeR* and HeR-48C12, respectively^{2,5} (Fig. 4a, b). By

225 contrast, the equivalent residue is conserved as a threonine in most type-1 rhodopsins.
226 The distance between the hydroxyl group of the threonine and RSB is about 3.7 Å in the
227 representative type-1 rhodopsins (BR, CrChR2, and rhodopsin phosphodiesterase)^{9,10,17,18}
228 (Fig. 4c-e), which is longer than those in the HeRs. Marti *et al.* reported largely blue-
229 shifted absorption spectra for the BR T89A mutant, when expressed in *E. coli*¹⁹. However,
230 when expressed in *H. salinarum*, the native cells of BR, the T89A mutant showed similar
231 absorption spectra to those of the wild type²⁰. Moreover, Ehrenberg *et al.* reported
232 CrChR2 T127A mutation shifted the visible absorption spectrum only slightly to the blue
233 as compared to the wild-type²¹. These studies indicate that the threonine is not involved
234 in stabilizing the RSB, in contrast to S112 in *TaHeR*. This difference is one of the critical
235 factors determining whether the counterion mutation E to D causes a spectral shift.

236

237 **Discussion**

238 Our study revealed that the E to D mutation of the counterion does not alter the
239 absorption spectrum of *TaHeR*, suggesting that this feature is common among HeRs. The
240 crystal structure of the E108D mutant revealed that the mutation only alters the
241 environment around the RSB. The E108D mutation certainly increases the distance
242 between the counterion and RSB, and affects the polar interaction network between the
243 RSB, the nearby serine residues, and the counterion. Notably, S112 and the counterion
244 form an additional hydrogen bond in the E108D structure. The mutant analysis showed
245 that the E108D mutation causes a 5 nm red-shift in the S112A mutant, suggesting that
246 S112 stabilizes the RSB by the hydrogen-bonding interaction with the counterion and
247 thus retains the absorption spectra. Our study suggests that S112 compensates for the
248 weaker counterion interaction by the E108D mutation. This unique feature of *TaHeR*
249 might be associated with its physiological function.

250 The mechanism of color tuning in type-1 and -2 rhodopsins has fascinated
251 researchers for a long time, as it relates to our color discrimination^{7,22-27}. While light
252 energy absorption is determined by complex factors to control the energy gap between
253 the ground and excited states of the retinal chromophore, the electrostatic interaction of

254 retinal with the counterion(s) is the most prominent factor in color tuning. Despite the
255 significant improvements of theoretical calculations^{28–30}, full reproductions of the
256 absorption spectra from the structures of rhodopsins by computation remain difficult. The
257 present study has provided a theoretical challenge, where the weakened interaction of the
258 counterion in E108D is compensated by the reorganized environment around counterion,
259 leading to identical absorption maxima between the mutant and wild-type *TaHeRs*.

260

261

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272

273 **Contributions**

274 T.T. purified, crystallized, and solved the structure of the E108D mutant of *TaHeR*.
275 M.S. performed spectroscopic analyses. K.Y. assisted with the structural determination.
276 W.S, H.K. and O.N. supervised the research.

277

278 **Data Availability**

279 Coordinates and structure factors have been deposited in the Protein Data Bank,
280 under the accession number XXXX. The X-ray diffraction images are also available at
281 the Zenodo data repository (<https://doi.org/10.5281/zenodo.3871080>).

282

283 **Competing interests**

284 The authors declare no competing financial interests.

285

286 **Figures**

287 **Fig. 1. Overall structure of the *TaHeR* E108D mutant.**

288 **a, b**, Light-induced difference absorption spectra of the WT (black curves) and the E108D
289 mutant (red curves) of *TaHeR* in the presence of 500 mM hydroxylamine. Positive and
290 negative signals show the spectra before and after illumination, corresponding to those of
291 the rhodopsin and retinal oxime, respectively. **c**, Superimposed structures of the wild-type
292 (Protein Data Bank (PDB) code: 6IS6)² and E108D mutant of *TaHeR*, colored dark
293 turquoise and magenta, respectively. **d**, Comparison of the retinal binding sites in the
294 wild-type and E108D mutant of *TaHeR*.

295

296 **Fig. 2. Interactions around the retinal Schiff base.**

297 **a, b**, Comparison of the interactions around the RSB and counterion in the wild-type (**a**)
298 and E108D mutant (**b**), viewed from the extracellular side. Hydrogen-bonding
299 interactions are indicated by black dashed lines. The water is shown as a red sphere. **c**,
300 Overlay of **a** and **b**.

301

302 **Fig. 3. Spectroscopic analysis of *TaHeR* mutants.**

303 **a-f**, Light-induced difference absorption spectra of the WT (black curves) and mutants of

304 the Schiff base region (red curves) of *TaHeR* in the presence of 500 mM hydroxylamine.
305 Positive and negative signals represent the spectra before and after illumination,
306 corresponding to those of the rhodopsin and retinal oxime, respectively.

307

308 **Fig. 4. Comparison of the residues around the RSB.**

309 **a-e**, Interactions around the RSB in *TaHeR* (PDB code: 6IS6)², HeR-48C12 (PDB code:
310 6SU3)⁵, BR (PDB code: 1C3W)⁹, CrChR2 (PDB code: 6EID)¹⁰, and Rh-PDE (PDB code:
311 XXX)¹⁷. Hydrogen-bonding interactions are indicated by black dashed lines. The water
312 is shown as a red sphere.

313

314

315 **Supplementary Figures**

316 **Supplementary Fig. 1. Crystallization.**

317 **a, b**, Crystals of the *TaHeR* E108D mutant (**a**) and its diffraction image (**b**).

318

319 **Supplementary Fig. 2. Dimer interface.**

320 **a, b**, Dimer interfaces in the *TaHeR* WT (**a**) and E108D (**b**) structures. **c, d**, Panels
321 showing the interactions between the transmembrane region and the ECL1 in the
322 symmetric protomers of *TaHeR* WT (**c**) and the E108D mutant (**d**). Hydrogen bonding
323 interactions are indicated by black dashed lines.

324

325 **Supplementary Fig. 3. Electron density around the counterion.**

326 The polder omit map ($mF_o - DF_c$)³⁸ contoured at 3.2σ , calculated by omitting the retinal
327 and side chains of S78, D108, S112, K234, and S238.

328

329 **Supplementary Table 1. Data collection and refinement statistics.**

3301. *Values in parentheses are for the highest-resolution shell.

331

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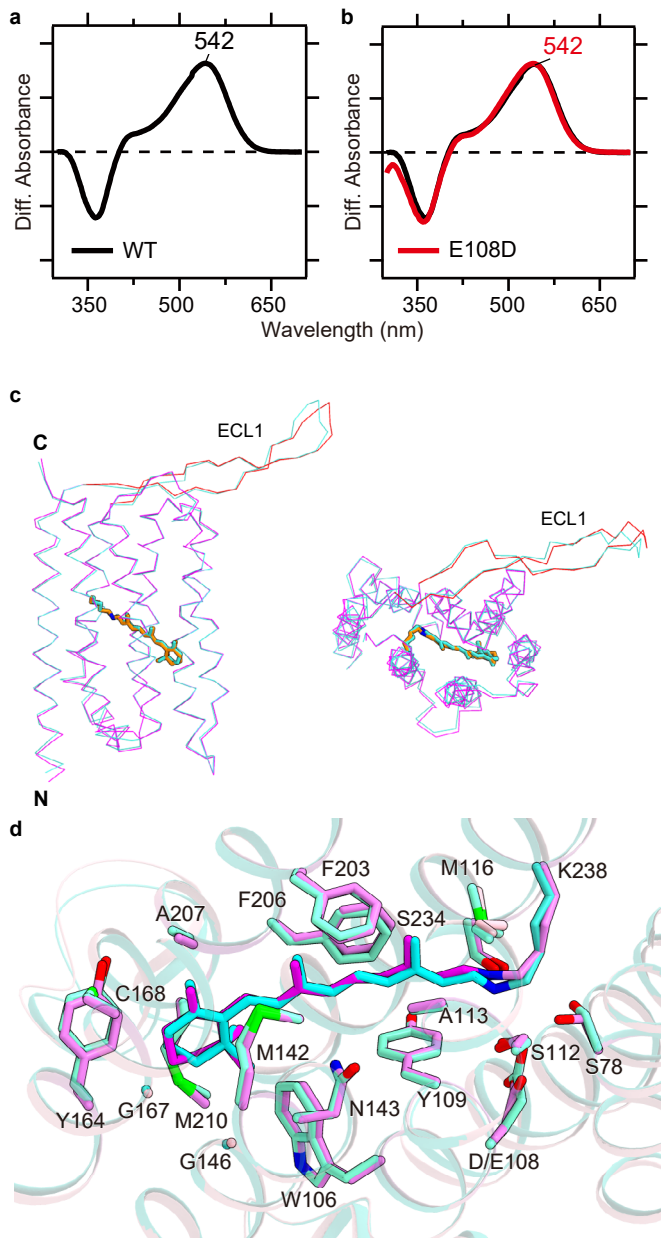
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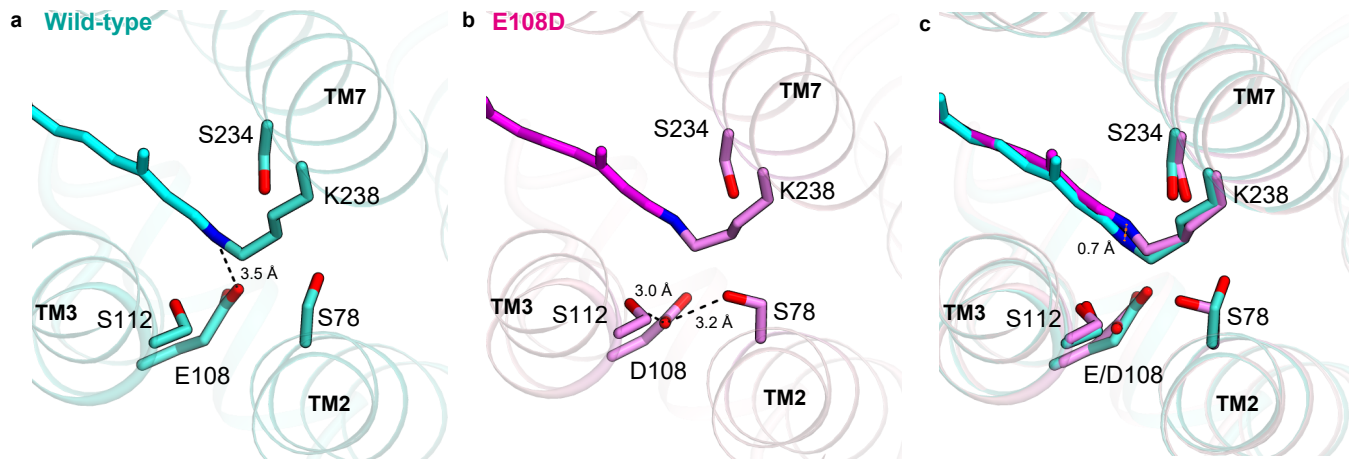
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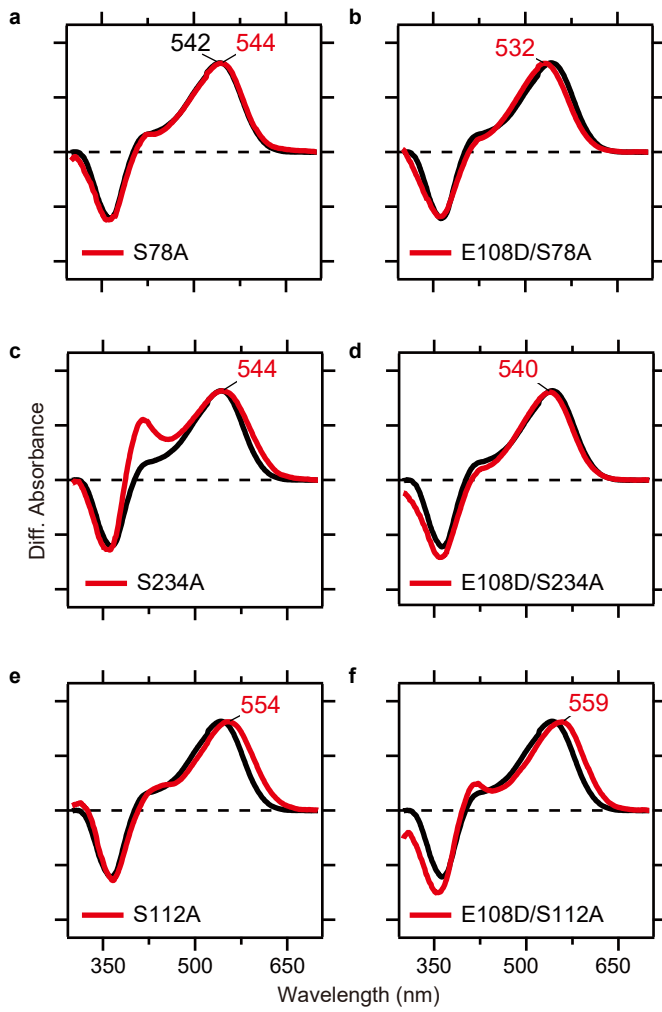
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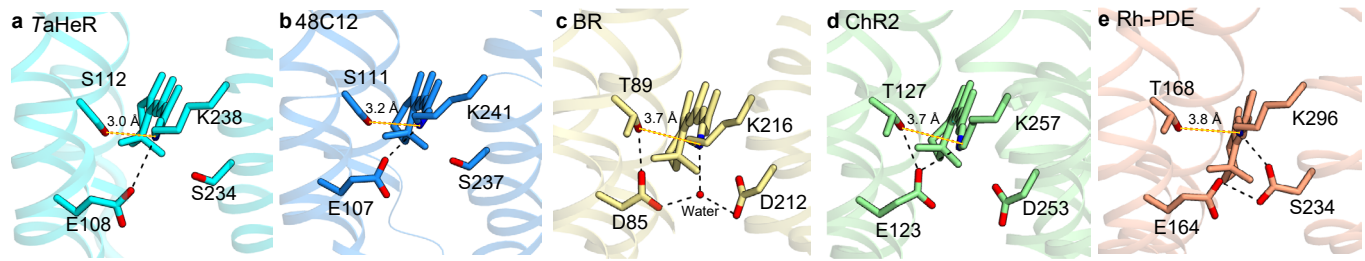
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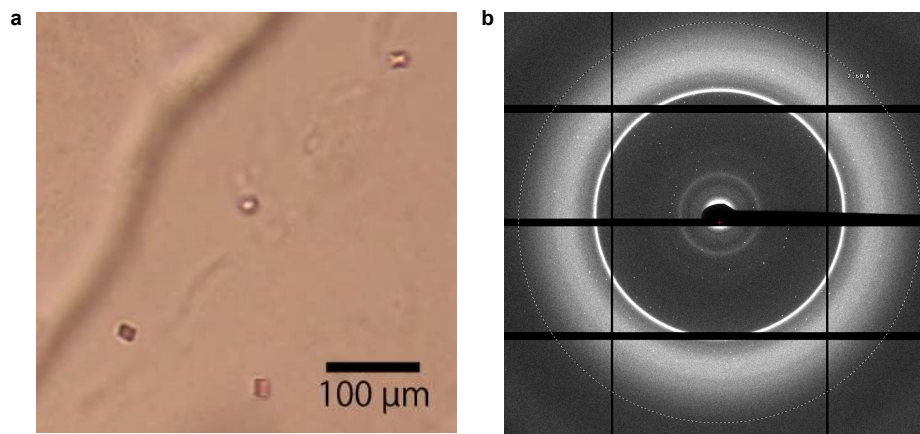
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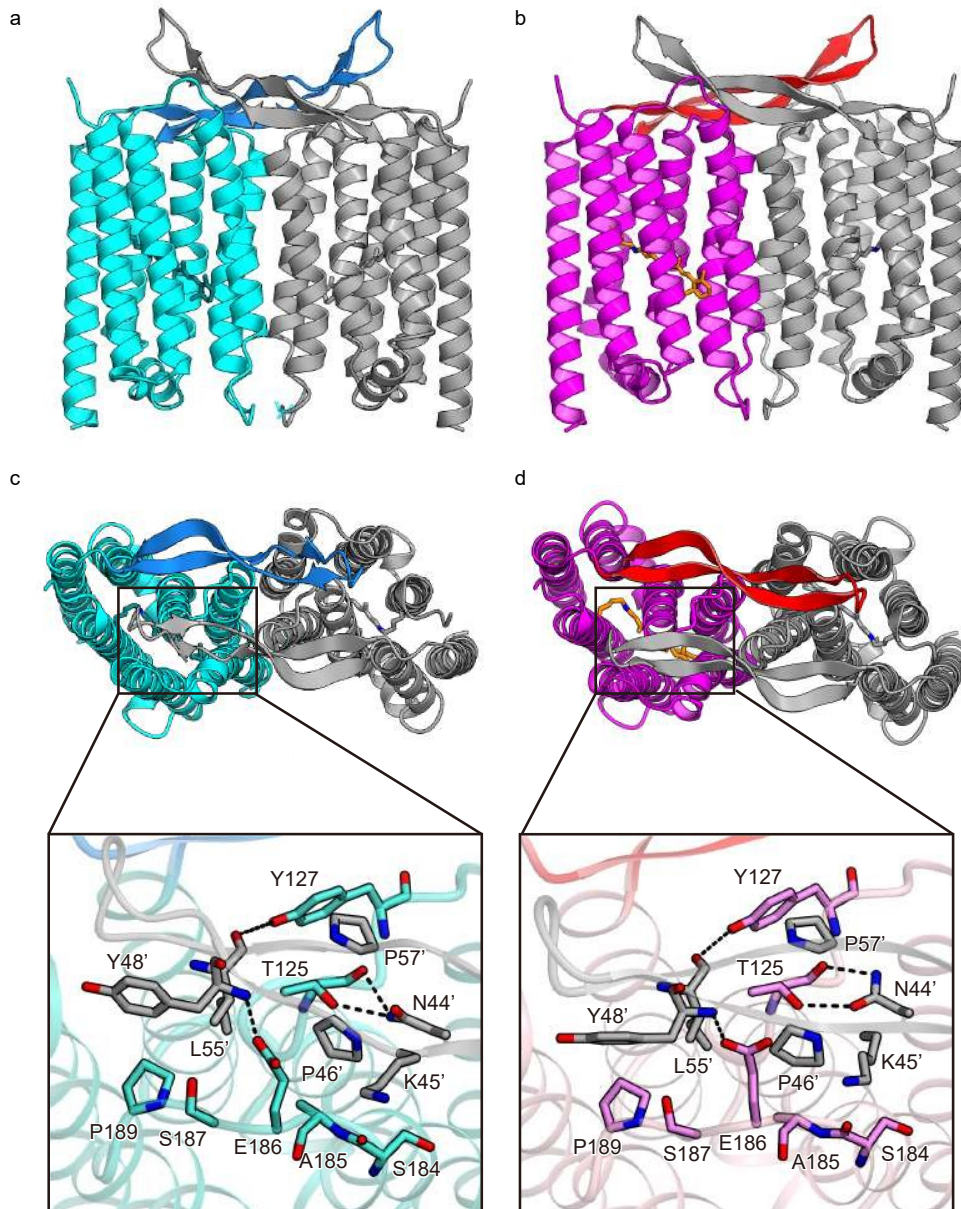


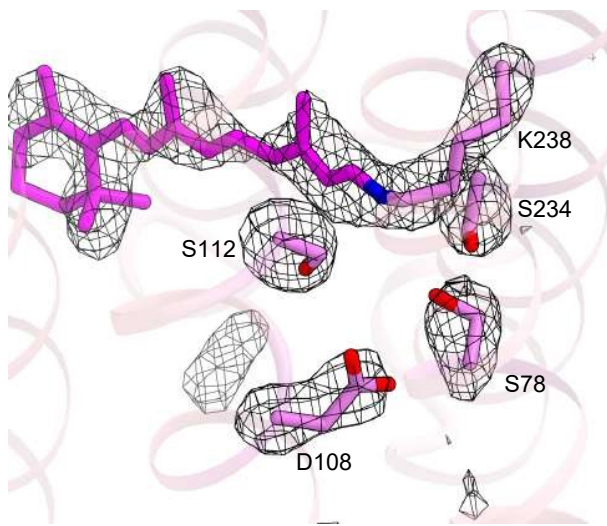












| | Native |
|--------------------------------------|-------------------------------------|
| Data collection | |
| Space group | <i>P4₂2₁2</i> |
| Cell dimensions | |
| a=b, c (Å) | 72.9, 115.0 |
| Resolution (Å) ^a | 30-2.60 (2.75-2.60) |
| R _{meas} ^a | 0.380 (1.806) |
| <I/σ(I)> ^a | 5.36 (1.04) |
| CC _{1/2} ^a | 0.982 (0.484) |
| Completeness (%) ^a | 99.1 (98.6) |
| Redundancy ^a | 7.71 (7.60) |
| Refinement | |
| Resolution (Å) | 30-2.6 |
| No. unique reflections | 9975 |
| R _{work} /R _{free} | 0.2215/0.2834 |
| No. atoms | |
| Protein | 2007 |
| Ligand | 250 |
| Water | 15 |
| Averaged B-factors (Å ²) | |
| Protein | 38.9 |
| Ligand | 62.2 |
| Water | 33.8 |
| R.m.s. deviations from ideal | |
| Bond lengths (Å) | 0.0049 |
| Bond angles (°) | 1.3595 |
| Ramachandran plot | |
| Favored (%) | 97.18 |
| Allowed (%) | 2.82 |
| Outlier (%) | 0 |

^aValues in parentheses are for the highest-resolution shell.

| Distance from RSB (Å) | WT | E108D |
|------------------------------|-----------|--------------|
| E/D108 | 3.5 | 4.9 |
| S78 | 4.6 | 3.8 |
| S112 | 3.0 | 3.7 |
| S234 | 3.2 | 3.6 |