1	Genomes of Thaumarchaeota from deep sea sediments reveal specific
2	adaptations of three independently evolved lineages
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4	Running title: Genomes of Thaumarchaeal lineages from deep sea sediments
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25	Abstract
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27	Marine sediments represent a vast habitat for complex microbiomes. Among these, ammonia oxidizing
28	archaea (AOA) of the phylum Thaumarchaeota are one of the most common, yet little explored
29	inhabitants, that seem extraordinarily well adapted to the harsh conditions of the subsurface biosphere.
30	We present 11 metagenome-assembled genomes of the most abundant AOA clades from sediment cores
31	obtained from the Atlantic Mid-Ocean ridge flanks and Pacific abyssal plains. Their phylogenomic
32	placement reveals three independently evolved clades within the order Ca. Nitrosopumilales, of which
33	no cultured representative is known yet. In addition to the gene sets for ammonia oxidation and carbon
34	fixation known from other AOA, all genomes encode an extended capacity for the conversion of
35	fermentation products that can be channeled into the central carbon metabolism, as well as uptake of

36 amino acids probably for protein maintenance or as an ammonia source. Two lineages encode an

additional (V-type) ATPase and a large repertoire of gene repair systems that may allow to overcome
challenges of high hydrostatic pressure. We suggest that the adaptive radiation of AOA into marine
sediments occurred more than once in evolution and resulted in three distinct lineages with particular

- 40 adaptations to this extremely energy limiting and high-pressure environment.
- 41
- 42

43 Introduction

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Ammonia oxidizing archaea (AOA) comprise one of the most successful archaeal phyla having colonized almost every imaginable oxic environment of the planet where they emerge as key players in the nitrogen cycle [1–6]. This includes the marine environment where they dominate archaeal communities associated with oxic sediments ranging from shallow estuaries to the open ocean [7–12], and from the surface layers all the way into the deep oceanic crust [13–15]. In these ecosystems they seem to play a critical role in the transformation of nitrogen compounds and control its partitioning into the bottom ocean and the underlying oceanic crust [12, 14–19]).

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53 Studies from the North Atlantic and Pacific show that the composition of the sedimentary AOA 54 population differs drastically from that in the overlying water suggesting distinct ecophysiological 55 potential to colonise sedimentary environments, albeit all were found to belong to the order 56 Nitrosopumilales (NP) [20]. Whereas the amoA-NP-gamma clade seems to be dominant and 57 omnipresent in these oceans, irrespective of water depths, the amoA-NP-alpha clade represents the most 58 abundant ecotypes in deep ocean waters [6, 7, 21–27] (nomenclature based amoA gene classification 59 [28]). In contrast, dominant phylotypes in deep-sea sediments belong to the *amo*A-NP-theta and *amo*A-NP-delta clades [11, 12]. In addition, in cases of oligotrophic oceanic regions, these were detected 60 61 throughout the sediment column and further into the underlying basaltic crust, even at depth where 62 oxygen is below detection [7, 10-12, 14, 15]. In these, sites, they exhibit peaks of abundance and 63 diversity at oxic/anoxic transition zones where increased energy availability is suggested to sustain the 64 higher biomass of nitrifiers [12]. The abundance and distribution of *amoA*-NP-theta and -delta in the 65 energy-starved subsurface suggest that they have adapted and evolved differently than their pelagic 66 counterparts. These clades represent a yet unexplored diversity within Nitrosopumilales, and so far have 67 no cultivated or genomic representative [28].

68

It has been suggested that the AOA common ancestor arose in terrestrial habitats (probably hot springs) where the AOA lineages diversified and then occupied different biomes (e.g. soils, hot springs and freshwater environments) before conquering estuarine and marine shallow water environments and finally, radiating into deeper waters as a result of the oxygenation of the deep ocean during the Neoproterozoic [29, 30] (Abby et al., submitted). AOA are generally well equipped for the manifold

74 challenges of the oxic deep-sea surface and subsurface environment. They encode the most energy-75 efficient aerobic carbon fixation pathway [31] making them important primary producers in these 76 environments [32, 33], and their high affinity for ammonia would enable them to utilize this scarce 77 resource [34]. Nevertheless, deep pelagic as well as benthic AOA populations are reported to have the 78 capability for mixotrophy as well, as indicated by uptake of labelled compounds and through the 79 detection of uptake/assimilation genes for organic carbon and nitrogen compounds by shotgun 80 metagenomics [10, 16, 23, 24, 33, 35–37]. Stimulation of autotrophic CO₂ fixation by organic carbon 81 was also shown by isotope labelling studies [32]. In the absence of genomic context however, virtually 82 nothing of the above can be extrapolated to the metabolic potential or adaptations of ecotypes that 83 dominate deep marine sediments, nor can their ecological boundaries be interpreted.

84

85 In this study, we address the question of what adaptations enabled specific AOA clades to inhabit 86 bathyal and abyssal (i.e. deep-sea) marine sediments, and the significance of this in the context of 87 thaumarchaeal evolution. To this end, we obtained the first high-quality metagenome-assembled 88 genomes (MAGs) belonging to the so-far uncharacterized *amoA*-NP-theta and *amoA*-NP-delta clades 89 from sediment cores obtained from the Mid-Atlantic Ridge flanks, and from the oligotrophic Pacific 90 Ocean. We also describe two MAGs associated with a novel, deep-branching clade within the 91 Nitrosopumilales, which we designate amoA-NP-iota (previously NP - insertae sedis [28]). The pivotal 92 phylogenetic position of the latter and the distribution of all three clades in phylogenomic trees enables 93 us to shed light on the evolutionary diversification of AOA into marine sediments, which seems much 94 more complex than previously assumed and reveals unique, similar, and also overlapping adaptive 95 strategies in all three clades.

96

97 Materials and Methods

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99 Sampling of Atlantic and Pacific sediments

100

101 Oligotrophic sediment cores were retrieved from mid-ocean ridge flanks in the Atlantic Ocean: Hole 102 U1383E (22°48.1'N, 46°03.2'W, 4425 m water depth) from North Pond by advanced piston coring 103 during the International Ocean Drilling Program (IODP) Expedition 336 (2011), and GS14-GC08 104 (71°58.0' N, 0°6.1' E, 2476 m water depth) by gravity coring from the east flank of the central Mohns 105 Ridge (2014) (Fig. 1a). Genomic DNA from four sediment horizons in each core were selected for 106 metagenome sequencing, based on the published porewater geochemical data and 16S rRNA gene 107 profiles [12, 38]. In particular, sediments of 0.1 m (oxic), 10.0 m (oxic), 22.0 m (oxic-anoxic transition 108 zone; OATZ), and 29.5 m (anoxic-oxic transition zone; AOTZ) were selected from U1383E. Sediments 109 of 0.1 meters below the seafloor (mbsf (oxic), 1.0 m (OATZ), 1.6 mbsf (nitrate-ammonium transition zone), and 2.5 mbsf (Mn-reduction zone) were selected from GS14-GC08. Detailed information about
sampling sites, sampling procedure, 16S rRNA gene profiles and porewater analysis was published in
[12, 38].

113

Sediment cores (YK1309-1N and YK1312-12N) from the Pacific abyssal plain were collected using a
push corer with a manned submersible *Shinkai6500* during the JAMSTEC cruises YK13-09 (September
2013: 01°15.0'N, 163°14.9'E, 4277 m water depth) and YK13-12 (November 2013: 11°59.9'N,
153°59.9'E, 5920 m water depth) of the *R/V Yokosuka*, respectively. Two sections from each core were
selected for shotgun metagenomic sequencing: YK1309-1N-S000 (0-1 cmbsf), YK1309-1N-S300 (3035 cmbsf), YK1312-12N-S010 (1-2 cmbsf) and YK1312-12N-S200 (20-25 cmbsf).

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DNA of all samples was prepared using standard techniques and was sequenced on Illumina Hiseq2500,
 16S rRNA gene amplicons were generated and sequenced using standard procedures (see Suppl.
 Material). Detailed information about sampling sites, sampling procedure, and geochemical analyses
 are also shown in Suppl. Material.

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126 Assembly and comparative genomics

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128 All sequencing data were processed to remove illumina adapters and low quality reads using 129 Trimmomatic [39] before *de novo* assembly using MEGAHIT [40] (k-mer length of 27-117). Binning 130 of contigs of Pacific metagenomes was performed applying a contig dereplication and binning 131 optimization tool [41] based on the binning output of CONCOCT [41], MetaBAT [42] and MaxBin2 132 [43] while contigs of Atlantic samples were binned with MaxBin2 [43] followed by a sequence of 133 refinement steps for the Thaumarchaeal bins (see supplementary methods). Completeness and contamination of Pacific and Atlantic bins were evaluated with CheckM ("lineage wf" parameter) [44]. 134 135 Assemblies are available on NCBI (accession numbers pending).

136

137 A dataset consisting of 163,852 predicted proteins from 85 genomes (11 metagenome-assembled 138 genomes (MAGs) reported here, 31 complete and near-complete AOA genomes and 43 MAGs or 139 single-amplified genomes (SAGs) from NCBI or IMG) was collected for this study (Table S1). 140 Annotation of the MAGs assembled in this study was performed automatically using the Microscope 141 annotation platform from Genoscope [45], followed by extensive manual curation, NCBI annotations were supplemented with arCOG assignments from the archaeal Clusters of Orthologous Genes database 142 (2018 release) [46] using COGsoft [47] (e-value of 10⁻¹⁰). We clustered the protein dataset into protein 143 144 families based on sequence identity (35 %) and alignment coverage (70 %) using CD-Hit V4.8.1 [48] 145 ("-c 0.35 -aL 0.7 -aS 0.7") (Table S3).

146

- 147 Selection of markers and phylogenomic tree
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149 The identification of markers to perform the phylogenomic tree reconstruction was based on the phylogenomic workflow proposed by [49] (E-value 10⁻¹⁰) using the archaeal single-copy gene 150 collection [50]. We selected 79 markers (Table S2), present in at least 70 of the 85 genomes used in this 151 152 study. Each protein family was aligned using MAFFT v7 ("--maxiterate 1000 –localpair") [51] and trimmed with BMGE [52]. The concatenated alignment was used to reconstruct a Maximum likelihood 153 phylogenomic tree in IQTREE (v2.0-rc1) [53] under the LG+C20+F+G model with 1,000 ultrafast 154 155 bootstrap replicates. For *amoA* phylogeny and detailed methodological procedures, see Supplementary 156 Material. 157 158 **Results and Discussion**

159

160 Distribution of AOA in deep marine sediments

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162 We examined the overall community structure of AOA (all affiliated to the family Ca. 163 Nitrosopumilaceae) in these sediments by analyzing 16S rRNA gene amplicon sequencing data 164 generated in this study for the Pacific cores and previously described for the Atlantic cores [12]. AOA 165 communities in sediment horizons deeper than 10 cm were all dominated by the so-called 16S-NP-eta and/or 16S-NP-upsilon and 16S-NP-alpha clades [55], which together correspond to the amoA-NP-166 167 theta clade (Fig. 1b) [28]. In addition, AOA affiliated to the 16S-NP-epsilon clade (corresponding to the *amo*A-NP-delta clade, Fig 2a) were also repeatedly detected with percentages <25% in the upper 168 169 portions of these cores (Fig. 1b). Finally, the 16S-NP-lambda clade (now renamed to *amoA-NP-iota*, 170 see below) was also detected as a minor clade in all cores except YK1312-12N, but was notably 171 abundant in the uppermost horizon of GS14-GC08 (29% of the total AOA community, Fig. 1b).

172

173 Phylogenomic analysis and taxonomic placement reveal MAGs from three independent *amo*A174 clades

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We obtained a total of 11 AOA metagenome-assembled genomes (MAGs), 9 from Atlantic and 2 from
Pacific sediment samples (sequenced horizons are marked by stars in Fig. 1b). Despite high sequencing
depths and high AOA abundance in the sample, based on 16S rRNA gene reads in the metagenomes
(10 - 16 % in the Pacific cores and 6.8-18.8% in the Atlantic cores), generation of good quality bins
was extremely challenging, possibly due to high microdiversity (41 OTUs) within *Ca*. Nitrosopumilales
as observed earlier [12]. Eventually we obtained 4 MAGs with >90 % completeness and 3 MAGs with

182>80 % completeness, all with contamination levels \leq 5%, which we consider high quality MAGs in this183study, as well as four additional medium quality MAGs (66 to 76% completeness, up to 6.3%184contamination level) (Table1). The MAGs genome sizes (0.61 to 1.52 Mb) and GC contents (34.66 ±

- 185 0.72%) are in accordance with previous reports of free-living *Ca*. Nitrosopumilales [56].
- 186

In order to study the evolution of AOA and place our deep marine sediments-derived MAGs in a phylogenetic context, we reconstructed a maximum-likelihood (ML) phylogenomic tree (Fig. 2a) using 79 concatenated single-copy markers from our entire dataset of 85 complete genomes, MAGs and SAGs representing a broad diversity of habitats (Table S1).

191

In addition, we performed an *amo*A-based phylogeny as in [28] in order to assign a taxonomical rank and a respective AOA clade to our MAGs (Fig. 2b). Both trees showed similar clustering of MAGs into *Ca*. Nitrosopumilales subclades except for NPMR_NP_delta_1 (see discussion in Supplementary Information) which based on the *amo*A tree clustered within the *amo*A-NP-theta clade but the more robust phylogenomic analysis strongly suggests that it belongs to the *amo*A-NP-delta subclade. The only 16S rRNA gene recovered in MAG NPMR_NP_theta_3 is affiliated with a subclade of 16S-NPalpha exclusively found in marine sediment (not shown).

199

200 Our phylogenomic tree revealed that the 11 AOA MAGs reported here represent the dominant AOA 201 observed in our study (Fig. 1b) and form three well supported monophyletic groups, of which no 202 cultured representative has been reported yet (Fig. 2a). Six MAGs represent the first genomic 203 assemblies from the amoA-NP-theta lineage, one of the most dominant AOA groups in marine 204 sediments and also found to be abundant in the crust below [14, 28]. Three MAGs are affiliated to 205 amoA-NP-delta, the second most abundant AOA clade in marine sediments, and are the first marine 206 sediment representatives of this clade, which includes a single other MAG (Archaeon CSP1) assembled 207 from river aquifer sediments [57].

208

209 Two bins (NPMR NP iota 1 and YK1309 NP iota) clustered together forming a third sediment-210 dwelling clade, sister to all Ca. Nitrosopumilales, which earlier escaped taxonomic assignment as it was 211 only identified based on singular amoA sequences and hence had been designated incertae sedis [28]. 212 Pairwise average nucleotide identity (ANI) comparisons (Fig. S2), indicate that these two bins share > 213 70 % ANI with the other NP-MAGs recovered in this study (amoA-NP-delta and amoA-NP-theta 214 MAGs sharing 73 - 79 % ANI). A comparison of conserved protein families among all NP subclades 215 indicated that this group harbors 320 out of 336 protein families that seem to be part of the Ca. 216 Nitrosopumilales core proteome, as opposed to only 260 of these protein families being present in the 217 Ca. Nitrosotaleales, the sister lineage to all NP (Fig. 3). Moreover, environmental amoA sequences suggested that this clade might be restricted to deep-sea sediments, an ecological specialization only found in *Ca*. Nitrosopumilales. Taken together, this early-branching clade seems to be a new NP subclade and we propose the designation *amoA-NP*-iota (as it forms the ninth NP-clade following the taxonomy of [28]).

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223 Three independent radiations of AOA into marine sediments

224

While MAGs and SAGs of AOA from bathypelagic (1000-4000m) to abyssopelagic (4000-6000m) and hadopelagic (6000-11000m) environments have been reported previously [23, 24, 58] and shotgun metagenomic analyses of deep-sea sediments have been performed [10, 59, 60], the MAGs reported in this study represent to our knowledge the first high-quality AOA genomes from bathyal and abyssal sediments (> 2000 m depth). Together with our taxon-enriched phylogenomic analyses they shed new light on the ecological transitions and niche differentiation undergone by AOA.

231

While our phylogenomic tree supports that AOA likely appeared in terrestrial habitats first (Fig. 2a), 232 233 the sequence and number of colonization events of marine environments seem to be more complex than previously proposed [29]. Importantly, our results suggest that both the deep-water adapted AOA as 234 235 well as the deep-sediment adapted AOA are polyphyletic. The colonization of deep waters, i.e. pelagic 236 organisms, might have occurred independently at least twice in the evolution of the Ca. 237 Nitrosopumilales, once at the origin of *amo*A-NP-alpha clade and the other during the diversification 238 of *amo*A-NP-gamma (Fig. 2a). Interestingly, the *amo*A-NP-gamma clade which is one of the most 239 diverse Ca. Nitrosopumilales subclades [28] has undergone particular habitat transitions and niche 240 occupation [28]. Distinct shallow water amoA-NP-gamma species have established independently 241 symbiotic associations with sponges [56, 61] while the sub-lineage leading to the soil isolate Ca. 242 Nitrosarchaeum koreense [62] could have evolved from an estuarine or shallow water ancestor 243 suggesting a recolonization of land (Fig. 2a).

244

Regarding the origin of deep sediments-dwelling AOA, the *amo*A-NP-theta lineage branches within mostly marine NP clades (Fig. 2a), suggesting that this lineage might have evolved from a pelagic marine ancestor. However, there is no evolutionary link between any pelagic AOA and the NP-delta clade, as the latter have been mostly retrieved in estuarine and deep marine sediments [57]. The most parsimonious evolutionary scenario would be that this group underwent a direct transition from estuarine sediments to marine sediments during its diversification.

251

Similarly, the newly proposed clade NP-iota, the earliest branching Nitrosopumilales which has so farexclusively been detected in marine sediments [28] does not seem to be closely related to pelagic

254 Nitrosopumilales but emerges instead among terrestrial clades (Ca. Nitrosotaleales and NP-Eta).

- Although, it is possible, that pelagic AOA closely related to *amo*A-NP-iota may be detected in further
- environmental surveys or that respective pelagic lineages got extinct, the *amo*A-NP-iota clade might as
- 257 well have developed from terrestrial-estuarine organisms, as discussed for *amo*A-NP-delta above.
- 258

259 Comparative genomics of deep-sea sediment AOA

260

261 We constructed a total of 33,442 protein families from our taxon-enriched genome dataset representing 262 a wide variety of ecological environments (see Materials and Methods and Table S1). From these, 263 12,137 have representatives from at least two different genomes. In our analysis, the AOA core 264 proteome comprises 760 protein families present in at least one genome of each of the four major AOA 265 lineages: Ca. Nitrosocaldales, Nitrososphaerales, Ca. Nitrosotaleales and Ca. Nitrosopumilales (Fig. 3, 266 Table S3). Thus, our results are similar to previous estimations of the AOA core genome (743 gene 267 families) [63], and slightly lower than our own earlier estimate of 860 gene families (based on only 7 268 genomes [64]). Only 269 of the core AOA families seem to be AOA-specific (Fig. 3, Table S3). Only 269 123 out of these 269 families were found to be present in >50 % of the genomes in each of the four 270 AOA orders (a relatively low threshold to account for the incompleteness of MAGs), suggesting a 271 relatively low degree of conservation within these lineages. These results imply great intra-order 272 genomic variability and important differential gene loss among subclades and across genomes during 273 the evolution and diversification of AOA. For instance, despite the fact that Nitrosopumilales have 3091 274 specific families with proteins encoded in at least two genomes and present in one or more NP-275 subclades, a subset of solely 24 families were conserved in all 7 NP-subclades (Fig. 3). Considering the 276 very relaxed criteria used, this is an astonishingly small number of conserved families in all 7 NP-277 subclades.

278

279 To identify possible specific adaptations of AOA to deep marine sediments, we searched for families 280 present in at least two of the three marine sediments clades represented by our 11 MAGs (i.e. amoA-281 NP-theta, -delta and -iota), to the exclusion of all the other genomes analyzed in this study (Fig S2, 282 Table S1). 72 families were identified (Fig. 3), of which only 25 % (18 families) could be functionally 283 annotated (Table S3) and were classified into the following categories: information processing systems 284 (7), metabolism (5) and cellular processes (6). Some of these 18 families had functional equivalents in 285 most if not all AOA (e.g. RadA homologs). We additionally found 41 families shared predominantly 286 between NP sub-clades with deep ocean (>1000m) representatives (i.e. amoA-NP-alpha, NP-gamma 287 sublineages recovered from the Mariana, Izu-Ogasawara Trenches and the Red Sea [23, 58], NP-theta, 288 NP-iota and NP-delta), to the exclusion of all other NP sub-clades. From these 41 families, 18 have 289 functional annotation: information processing systems (8), metabolism (4) and cellular processes (6).

290 Families with functional significance specific to marine sediments, such as a putative lactate racemase, 291 or those shared with deep ocean MAGs, are discussed below. Families identified in deep ocean MAGs 292 but not found in the sediment clades are still depicted in Fig. S4 for comparative purposes. Additionally, 293 we investigated the number of clusters shared between deep sediments-derived MAGs and the terrestrial 294 (present in soils and sediments) lineage Nitrososphaerales, to the exclusion of all other AOA lineages 295 and NP-subclades. Interestingly they share only one protein family related to coenzyme F₄₂₀-dependent 296 luciferase-like oxidoreductases. 297 298 Metabolic reconstruction of the amoA-NP-theta, amoA-NP-delta, amoA-NP-iota clades 299 300 The full annotations for all genes and pathways discussed in the following section can be found in Table 301 S4. 302 303 Central energy and carbon metabolism 304 305 All three sediment clades (i.e. amoA-NP-delta, amoA-NP-theta, amoA-NP-iota) encode complete sets 306 of genes involved in ammonia oxidation, namely amoAXCB in the typical organization observed in 307 other Nitrosopumilales (Fig. 5, Table S3) [21, 65, 66]. Missing subunits in certain MAGs seem to be 308 due to genome incompleteness. A nitrite reductase (NirK) homolog is present, as well as multiple blue 309 copper domain proteins putatively functioning as electron carriers. All clades encode a single high-310 affinity ammonia transporter family protein (Amt), as opposed to two Amt transporters of differing 311 affinities found in other AOA. This could represent an adaptation to an oligotrophic environment [67]. 312 Four out of six NP-theta MAGs and all amoA-NP-delta MAGs encode complete or near-complete 313 urease operons (Fig. 4, Table S3). Together with a putative nitrilase (Nit1, conserved in AOA) and a 314 putative omega-amidase (Nit2, present in amoA-NP-theta, -delta, -eta, -gamma), these genes indicate 315 expanded substrate utilization capabilities for ammonia (and CO₂) generation by cleaving urea, nitriles 316 and dicarboxylic acid monoamides. Utilization of organic nitrogen compounds is a feature shared with 317 other NP-clades that include deep-sea lineages and previously described for subseafloor AOA (Fig. 4, 318 5, S4) [10, 23, 24, 33]. 319 320 All three sediment clades encode full gene sets for electron transfer to O_2 via NADH dehydrogenase 321 (complex I), type bc_1 complex III, and a heme-copper terminal oxidase (complex IV) (Fig. 5, Table S3). 322 No alternative complexes using a different electron acceptor were identified. 323 324 All three sediment clades encode the full repertoire conserved among AOA for autotrophic carbon

fixation via the 3-hydroxypropionate/4-hydroxybutyrate (3HP/4HB) cycle and carbon metabolism
 through oxidative TCA and gluconeogenesis up to the formation of glucose-6P via a phosphoglucose

327 isomerase (not present in NS) homolog in NP-theta, NP-delta (Fig. 5, Table S3) [31, 65, 68]. A malic

- enzyme, enabling the formation of pyruvate from malate with the concomitant generation of NAD(P)H
- 329 expands metabolic capacities in NP-theta and NP-iota (also in some other AOA, Fig. 5). As most AOA,
- all sediment clades have the capacity to synthesize polyhydroxybutyrate (PHB) storage compounds, an
- 331 obvious advantage in an oligotrophic environment [69].
- 332

Complete or near-complete amino acid biosynthesis pathways as well as vitamins (including vitamin B12) are present in all three sediment clades, as in other AOA (Table S3). As observed in NP-alpha representatives [23], the sediment clades use the B12-independent pathway for methionine biosynthesis (*metE*) (Fig. 4). Albeit this being a less catalytically efficient enzyme than the B12-dependent *metH* present in all other AOA, it is nevertheless much less costly energetically [70], and would therefore be an advantage in an energy-limiting environment where maintenance rather than fast growth is the norm [71].

340

341 Utilization of exogenous organic compounds

342

All three sediment lineages seem to be capable of utilizing exogenous organic compounds from fermentation processes such as formate, lactate and 3-aminobutyryl-CoA as a source of carbon, nitrogen and reductive potential. This finding expands the range of organic carbon and nitrogen substrates suggested earlier for deep ocean AOA (previously comprising amino-acids, peptides and compatible solutes) and reinforces their role as key players in nutrient cycling in these biomes [6, 10, 23, 24, 27, 348 33].

349

A putative soluble NAD⁺-dependent formate dehydrogenase (Fdh), distinct from the iron-350 351 sulfur/molybdenum containing Fdh enzymes traditionally found as part of formate-hydrogen lyase 352 systems [72], is found in the NP-theta and NP-iota clades (as well as in certain NS representatives and 353 NP-alpha Fig. 4, 5). However, no additional hydrogenases or known formate transport systems were 354 identified in the marine sediment bins. Our phylogenetic analysis (Fig. S3) indicates that the enzyme is 355 a bona fide NAD⁺-dependent Fdh within the superfamily of D-2-hydroxyacid dehydrogenases [73]. 356 This indicates the capacity to use formate for supplementing CO_2 needs while concomitantly supplying 357 reducing equivalents (as in methylotrophs [74, 75]).

358

A putative 3-aminobutyryl-CoA aminotransferase (Kat, EC 2.6.1.111) and a 3-aminobutyryl-CoA ammonia lyase (Kal, EC 4.3.1.14) were identified in the NP-theta and NP-delta bins, and are also found in some NP-alpha, NP-gamma and NT lineages (Fig. 4, 5). These enzymes participate in lysine fermentation pathway variants in fermentative bacteria [76]. Although the key pathway enzymes are not present in the sediment bins or any other AOA, this intermediate compound (3-aminobutyryl-CoA) 364 could be scavenged from fermenting microorganisms in the sediment community. Both enzymes can

- remove ammonia from 3-aminobutyryl-CoA either by transferring it to α -ketoglutarate resulting in the
- 366 formation of acetoacyl-CoA and glutamate (Kat), or by an elimination reaction that produces crotonyl-
- 367 CoA and free ammonia (Kal). Both products are intermediates of the 3HB/4HP (CO₂ fixation-) pathway
- and could be processed accordingly, generating reducing potential in the subsequent steps.
- 369

370 The presence of a putative lactate racemase family protein (LarA), specific to the NP-theta, delta and 371 iota clades (Fig. 4, 5) suggests that lactate is another fermentation product that could be utilized by these 372 lineages. This is one of the very few protein families with a putative function prediction shared 373 specifically between the sediment AOA clades to the exclusion of all other AOA, suggesting an 374 essential role. LarA in lactobacilli catalyzes the interconversion of D- and L-lactate, ensuring an 375 adequate supply of D-lactate which is an important cell wall component conferring resistance to 376 vancomycin [77] (see Supplementary Information). Given the importance of cell envelope maintenance 377 in the adverse conditions of the sediments, it is possible that D-lactate has a similar use in sediment 378 AOA, conferring resistance to exogenous toxic compounds. Alternatively, the lactate dehydrogenase-379 like malate dehydrogenase homologs found in AOA possess features indicating that they could have a 380 broad substrate specificity, being able to utilize pyruvate in addition to oxaloacetate, and producing the 381 L-stereoisomers of the products (see Supplementary Information and Fig. S5), with the concomitant 382 reduction of NAD⁺ [78].

383

As mentioned above, the only protein family specifically shared among the sediment MAGs and the terrestrial NS lineages, is an F_{420} -dependent luciferase-like oxidoreductase. While the metabolic role of these proteins in AOA in general is still unclear, the ability to degrade recalcitrant carbon via oxygenases in a manner similar to terrestrial organisms has been observed in sediment communities [60, 69], and is proposed to provide an opportunistic advantage for expanded substrate utilization in limiting conditions.

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391 Adaptations to low energy and high pressure environments

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393 Deep-sea sedimentary environments found under the oligotrophic ocean present manifold challenges to
394 microbial life, namely energy limitation, high hydrostatic pressure (HHP), low temperatures (< 4°C)
395 and potential microoxic or anoxic conditions detrimental to aerobic metabolisms [18, 19, 71, 81–84].
396 Microorganisms respond with global metabolic changes rather than stress responses [85], some of
397 which are found in the deep sediment AOA clades.

399 Many organisms possessing distinct electron transport, ion gradient generating and ATP synthase 400 complexes that are differentially regulated under HHP [71, 86–88]. Interestingly, both NP-iota MAGs 401 and two out of six NP-theta MAGs encode complete gene clusters for both the A-type ATPase found 402 in neutrophilic AOA and V-type ATPase variant found in acidophilic/acidotolerant/piezotolerant 403 archaea (and AOA) which is homologous to the proton/ion pumping ATPases from eukaryotes and 404 enterococci (Fig. 4, 5) [89, 90]. The remaining NP-theta MAGs encode either the A-type or the V-type 405 ATPase (although this could be attributed to the incompleteness of the MAGs). The V-type ATPase has 406 been suggested to confer physiological advantages in high pressure environments by virtue of its proton-407 pumping function [89]. This would enable the maintenance of intracellular pH, which is disrupted by 408 the accelerated release of protons from weak acids (such as carbonic acid) under HHP [91]. The 409 presence of both ATPase variants is also observed in abysso/hadopelagic NP-gamma AOA lineages, 410 while the deep marine NP-alpha encode only the V-type ATPase (Fig. 4,5 and Supplementary 411 Information for further discussion) [89]. In contrast, all three NP-delta MAGs encode only the canonical 412 A-type ATPase (Fig. 5), but intriguingly at least two of them seem to contain a partially duplicated 413 NADH dehydrogenase (complex I) operon which could similarly be responsible to alleviate cytoplasm 414 acidification (see Supplementary Information).

415

416 The cytoplasmic membrane is severely affected by HHP, which induces a tighter packing of the lipids 417 and a transition to a gel state, resulting in a decrease in fluidity and permeability [92, 93]. The presence of a N-acetylneuraminic acid mutarotase (NanM) in NP-theta, NP-iota, NP-delta MAGs (Fig. 4, shared 418 419 with a few abyssopelagic/hadal NP-gamma species) indicates the ability to acquire sialic acid [94]. This 420 important component of glycoconjugates found on cell walls has multiple functions including 421 concentrating water on cell surfaces [95] and regulating membrane permeability [96]. It can also enable 422 the regulation of the thickness of the hydration layer surrounding the cell membrane [97], which could 423 prevent system volume change and stabilize membrane protein complexes and membrane structure 424 under pressure [97, 98], while also regulating membrane permeability [96]. Modification of the 425 hydration layer properties has also been identified as a specific adaptation mechanism of the piezophilic 426 archaeon Thermococcus barophilus [99].

427

428 An ABC-type branched-chain aminoacid transport system of the HAAT family (3.A.1.4) is present in 429 all three sediment clades as well as in one NP-alpha MAG, sponge-associated and few other lineages 430 of the NP-gamma clade, NS and non-AOA Thaumarchaea (Fig. 4, 5, Table S3). The uptake of amino 431 acids has been interpreted earlier as indicative of the possibility of organic carbon utilization via 432 enzymes participating in canonical amino acid biosynthesis pathways and present in all or most AOA 433 (e.g. aspA, ilvA, ilvE, aspC glyA, GDH, ProDH) [10, 23, 37, 61]. Such mixotrophic strategies are also responsible for the enormous ecological success in oligotrophic environments of oceanic cyanobacterial 434 435 lineages [100]. However, canonical amino acid degradation key enzymes such as amino acid

hydroxylases, the branched-chain α -keto acid dehydrogenase complex or 2-ketoacid:ferredoxin 436 437 oxidoreductases have not been detected in deep sea or sediment AOA clades, nor are their genomes 438 particularly enriched in proteases (Fig. S4). On the other hand, a metabolic shift from expensive de 439 novo biosynthesis of cellular materials (with proteins accounting for 56% of total energy investment in 440 oxic environments) to recycling of exogenous or endogenous resources is observed in HHP-adapted 441 microorganisms. [16, 18, 69, 85, 86, 101, 102]. Therefore, it seems more likely that amino acids are 442 used for recycling, as suggested earlier for AOA by isotope tracer and NanoSIMS experiments with 443 sediment and oceanic crust communities [16, 32, 33, 69], and as observed in the obligate piezophile T. 444 barophilus and other facultative piezophiles [102–104]. Moreover, amino acids (mostly glutamate, 445 proline and glutamine) can be accumulated as compatible solutes to ensure the stabilization of 446 macromolecular structures upon pressure or temperature related stress [104, 105]. It cannot be ruled out 447 though that amino acids are also used for replenishing the intracellular ammonia pool, with minimal 448 production (if at all) of reducing equivalents (Fig. 5) (see Supplementary Information for detailed 449 discussion).

450

The sediment clades and especially NP-theta encode an extended repertoire of enzymes for DNA and protein repair compared to other NP (details in Supplementary Information and Fig. 4, 5, S4). This is an indication of energy investment towards maintenance of cellular components, rectifying damage due to low turnover rates and cellular aging rather than active and fast growth [71, 82, 106]. This strategy together with dormancy is presumed to be responsible for persistence in subseafloor energy limited environments [107].

457

458 **Osmoregulation**

459

460 All sediment clades do encode a putative bifunctional CTP:inositol-1-phosphate cytidylyltransferase/dimyo-inositol-1,3'-phosphate-1'-phosphate synthase (ipct/dipps), responsible for the synthesis of the 461 462 compatible solute di-myo-inositol-1,3'-phosphate (DIP) [108]. The enzyme is also found in deep marine 463 AOA clades [23] (Fig. 4, 5). Biosynthetic genes for this compatible solute have so far only been observed in organisms growing above 55°C, and have been extensively transferred between archaea 464 465 and bacteria [109], making these AOA clades the first non-thermophilic organisms with the ability to 466 synthesize this inositol derivative. Compatible solutes can confer resistance to various types of stress, 467 so it is possible that this anionic solute has multiple roles in these polyextremophilic organisms [110] 468 especially since no pathways for synthesis/uptake of known osmolytes such as mannosylglycerate, 469 ectoine/hydroxyectoine or glycine/betaine were identified in the NP-theta, NP-iota, NP-delta MAGs 470 (Fig. 4, 5, S3).

471

473 Conclusions

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475 Our comparative and phylogenomic analyses using 11 sediment-derived MAGs reported in this study. 476 together with a large collection of AOA genomes with a broad phylogenetic and ecological distribution 477 allowed us to study the evolution, diversification and adaptation mechanisms of AOA into deep marine 478 environments. Based on phylogenomic analyses and different from earlier scenarios [111] we conclude 479 that AOA from deep marine sediments evolved independently within (at least) three lineages. Although 480 it seems that the ancestor of the *amo*A-NP-theta clade was pelagic and descendants of it occupied the 481 deep marine sediments and the oxic subseafloor crust, it is likely that in the case of amoA-NP-iota and 482 amoA-NP-delta, there was a transition from terrestrial ecosystems/freshwater sediment to marine 483 sediments without having colonized the ocean water column first. Interestingly, all extended capacities 484 and adaptations discussed in this manuscript are found to be combined in lineage *amoA-NP*-theta, 485 which represents the most widely distributed and abundant clade ranging over different marine sediment 486 layers, whereas the other two clades that share some of these features exhibit a more distinct distribution 487 pattern.

488

489 All AOA adapted to marine sediments and investigated in this study are able to perform ammonia 490 oxidation in combination with CO₂ fixation like all other described AOA. In addition, all three lineages 491 seem to be capable of utilising exogenous organic fermentation products that they convert into 492 intermediates of their central carbon metabolism, a feature they share with pelagic AOA from the deep 493 ocean and a few other AOA. This, together with the capability of taking up aminoacids, putatively for 494 recycling into proteins or utilization of amine groups, would support growth in this extremely 495 oligotrophic environment and contribute to organic nitrogen and carbon turnover in the sediments. In 496 the absence of any components indicating increased capacity of amino acid degradation in these AOA 497 we argue that recycling of amino acids rather than catabolism as otherwise suggested in [10, 23, 113] 498 represents an advantageous and more plausible strategy for the sedimentary AOA clades. It is also a 499 trait frequently observed in other sedimentary and crustal population groups to overcome the prohibitive 500 energetic costs of *de novo* monomer biosynthesis [69]. Additionally, a broad repertoire of DNA and 501 protein repair enzymes, seem to enable the deep sediment-adapted AOA to counteract the most severe 502 consequences of cellular aging. An important feature shared with HHP-adapted deep marine clades is 503 the presence of two ATPase complexes in *amo*A-NP-theta and *amo*A-NP-iota, with putatively opposing 504 functions that would alleviate the effects of pH imbalance due to HHP, as well as the PMF-destabilizing 505 effects of age-induced membrane leakage. These features shed light onto the mechanisms underlying 506 AOA persistence in the benthic environments beneath the open ocean, from the surface sediments down 507 to the underlying oceanic crust, and further consolidate the central role of these archaea in the global 508 biogeochemical cycles.

510 Author Contributions

511

512 CS, SLJ & TN conceived the study. RZ & SLJ sampled and processed the Atlantic sediments, while

- 513 TN, HN, MH & YT sampled and processed the Pacific sediments. RZ, SSA & RP assembled the MAGs.
- 514 RP performed the phylogenetic and comparative genomic analyses. MK annotated and analyzed the
- 515 genomes. MK, RP, RZ, SSA, SLJ, CS & TN interpreted data. MK, RP & CS wrote the manuscript with
- 516 contributions from TN, RZ, SLJ & SSA.
- 517

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536

537 Competing Interests

- 538
- 539 The authors declare no competing financial interests.
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873 Figure and Table legends

874

875 Table 1. Statistics of deep marine sediments-derived MAGs.

876 High-quality MAGs (> 80 % completeness and ~ 5% contamination or below) are marked with an
877 asterisk symbol.

878

879 Fig. 1. Study sites and the community structure of AOA.

880 (a) Global bathymetric map showing the coring locations of the sediment cores used in this study, 881 modified after [19]. The dark blue and light blue regions represent the minimum and maximum areas 882 over which dissolved O_2 is expected to penetrate throughout the sediment from seafloor to basement. (b) AOA community structure based on the 16S rRNA gene phylogeny. Nitrosopumilaceae 16S rRNA 883 884 gene OTUs were classified based on their placements in the phylogenetic tree as described in [14]. In 885 the figure key, the corresponding clades of the AOA amoA gene are shown in red. They are based on 886 [55] and our phylogenetic analyses (unpublished data). The sediment horizons selected for metagenome 887 sequencing are highlighted by orange stars. Data for NP U1383E and GS14-GC08 were retrieved from 888 [12].

889

Figure 2 a) Phylogenomic tree of AOA and non-AOA Thaumarchaeota.

891 The phylogenomic tree was reconstructed based on the concatenated alignment of 79 markers 892 comprising 7,485 amino acid sites using a maximum likelihood approach (see Materials and methods). 893 Yellow circles represent 100 % bootstrap support of nodes. The metagenome-assembled genomes 894 (MAGs) reported in this study are shown in bold. Representative genomes of NP-subclades were added 895 for subclade clarification. Information about the ecological distribution of AOA clades is provided. The 896 scale bar indicates the number of substitutions per amino acid site. In most cases congruence between 897 amoA-based and 16S rRNA-based clades was inferred from complete genomes or MAGs where both genes were present [14, 22, 23]. For other cases: 1) amoA-NP-iota/16S-NP-lambda pair: the congruence 898 899 of these two clades is inferred from phylogenetic trees and congruence in relative abundances (Zhao 900 and Jorgensen, unpublished data) of sediment layers with high and changing relative abundances; 2) 901 amoA-NP-delta/16S-NP-epsilon,-zeta pair: The congruence of these two clades is based on the MAG 902 of CSP1 (with both genes), the close relationship of 16S-NP-epsilon with CSP1 and the occurrence 903 pattern of this clade in marine sediments (see [12], and data not shown); and 3) amoA-NP-theta/16S-904 NP-alpha,-eta,-upsilon pair: congruence based on [14], own phylogenetic reconstructions (unpublished 905 data) and relative abundances in marine sediment layers. Abbreviations: NA; not assigned.

b) Phylogeny of *amoA* sequences. The ML phylogenetic tree was reconstructed using 584 nucleotide
 sites. Colored circles represent MAGs from this study. The star symbol represents the
 NPMR_NP_delta_1 bin. The incongruity of its phylogenetic placement based on the *amoA* gene and
 the phylogenomic analysis of concatenated markers is discussed in the text. Greek letters represent the

910 *amoA*-based annotation of AOA subclades as in [28]. The scale bar indicates the number of911 substitutions per nucleotide site.

912

913 Figure 3. Comparative analysis of the presence/absence of protein clusters among AOA.

- Each bar (x axis) represents that the putative protein family is encoded in the genome (y axis).
- 915 10, 422 clusters with at least two different genomes are depicted. Non-AOA-specific clusters were
- 916 excluded from the visualization. Yellow circles represent > 95 % bootstrap support of nodes. Clusters
- 917 are ordered based on their distribution pattern from the most widespread to the most uncommon: first,
- across all lineages and then intra-lineage. Groups of clusters have different color codes for better
 visualization. NP represents Nitrosopumilales; NC, Nitrosocaldades; NS, Nitrososphaerales; NT,
 Nitrosotaleales.
- 921

922 Figure 4. Heatmap depicting the distribution and abundance of genes involved in the main 923 functional categories discussed in the text. Abbreviations: nit2, nitrilase/omega-amidase; ureA, 924 urease subunit gamma; fdh, formate dehydrogenase; larA, lactate racemase; pgi, phosphoglucose 925 isomerase; proDH, proline dehydrogenase; rocA, 1-pyrroline-5-carboxylate dehydrogenase; oat, 926 putative ornithine--oxo-glutarate aminotransferase/class III aminotransferase; kal, 3-aminobutyryl-927 CoA ammonia lyase; kat, putative 3-aminobutyryl-CoA aminotransferase; gvtTPH, glycine cleavage 928 system proteins T/P/H; metH, methionine synthase II (cobalamin-independent); metE, methionine 929 synthase I (cobalamin-dependent); APC, Amino Acid-Polyamine-Organocation Transporter Family; 930 HAAT, the Hydrophobic Amino Acid Uptake Transporter (HAAT) Family; uvrABC, the Uvr excision 931 repair system endonucleases ABC; udg4/5, Uracil DNA glycosylase family 4/5; mpg, 932 methylpurine/alkyladenine-DNA glycosylase; ogg1, 8-oxoguanine DNA glycosylase; alkA, DNA-3-933 methyladenine glycosylase; tag, 3-methyladenine DNA glycosylase; pcm, protein-L-isoaspartate 934 carboxylmethyltransferase; nhaP, the Monovalent Cation:Proton Antiporter-1 (CPA1) Family; Trk, the 935 K+ Transporter (Trk) Family: ipct/dipps, bifunctional CTP:inositol-1-phosphate 936 cytidylyltransferase/di-myo-inositol-1,3'-phosphate-1'-phosphate synthase; cspC, cold-shock protein 937 A; cshA, cold-shock DEAD-box protein A; LLM, luciferase-like monooxygenase family protein; nanM, N-acetylneuraminic acid mutarotase; flaK, archaeal preflagellin peptidase FlaK; cheY, 938 939 chemotaxis response regulator CheY; cheAB, chemotactic sensor histidine kinase cheA & 940 methylesterase cheB; All locus tags and cluster information are in Supplementary tables 3 & 4. An 941 extended version of the heatmap is in Fig S3

942

943 Figure 5. Metabolic reconstruction of *amo*A-NP-theta, *amo*A-NP-delta and *amo*A-NP-iota AOA.
944 Schematic reconstruction of the predicted metabolic modules in the sediment MAGs, as discussed in
945 the text. Color code of enzymes/complexes indicates conservation status in AOA. Unless specified by

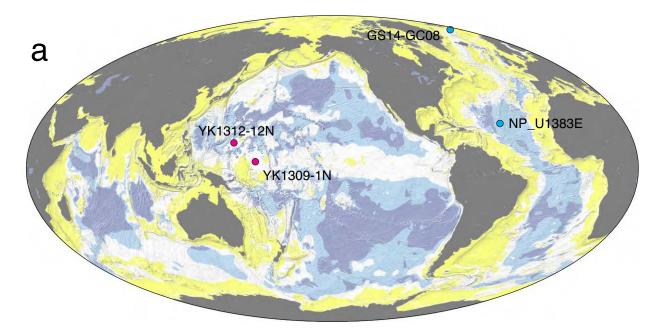
947 hypothetical reactions. Gray arrow indicates an alternative OFOR reaction. Complexes of the electron 948 transport chain are labelled with roman numerals. Transporters are named according to TCDB 949 classification. Enzymes, gene accession numbers and transporter classes are also listed in 950 Supplementary Table 3. Abbreviations: Amo, ammonia monooxygenase; NirK, nitrite reductase; Nit1, 951 nitrilase; Nit2, nitrilase/omega-amidase; AA, amino acid; Fdh, formate dehydrogenase; Kal, 3-952 aminobutyryl-CoA ammonia lyase; Kat, putative 3-aminobutyryl-CoA aminotransferase; Lar, lactate 953 racemase: Pcm. protein-L-isoaspartate carboxylmethyltransferase: MCP. methyl-accepting chemotaxis 954 protein; Fla, archaellum; PolD, polymerase family D; PolY, translesion polymerase family Y; UVR, 955 excision repair system; Hef-like, Hef/FANCM/Mph1-like helicase; BER, base-excision repair; Udg4/5, 956 Uracil DNA glycosylase family 4/5; Mpg, methylpurine/alkyladenine-DNA glycosylase; Ogg1, 8-957 oxoguanine DNA glycosylase; AlkA, DNA-3-methyladenine glycosylase; CspA, cold-shock protein A; 958 CshA, cold-shock DEAD-box protein A; Pcm, protein-L-isoaspartate carboxylmethyltransferase; PHB, 959 polyhydroxybutyrate; MAE, malic enzyme; OFOR, 2-oxoacid:ferredoxin oxidoreductase; PGI, 960 phosphoglucose isomerase; IPS, myo-inositol-1-phosphate synthase; ipct/dipps, bifunctional 961 CTP:inositol-1-phosphate cytidylyltransferase/di-myo-inositol-1.3'-phosphate-1'-phosphate synthase; 962 IMP, DIPP phosphatase; ProDH, proline dehydrogenase; RocA, 1-pyrroline-5-carboxylate 963 dehydrogenase; glutamate dehydrogenase; oat, putative ornithine--oxo-glutarate aminotransferase/class 964 III aminotransferase; aspA, aspartate ammonia-lyase; ilvA, threonine/serine ammonia-lyase; glvA, 965 serine/glycine hydroxymethyltransferase; ilvE, branched-chain-amino-acid transaminase; aspC, aspartate/tyrosine/aromatic aminotransferase; MCO1, multicopper oxidase family 1. NanM, N-966 967 acetylneuraminic acid mutarotase; Transporters are named according to TCDB classification 968 (supplementary table 4).

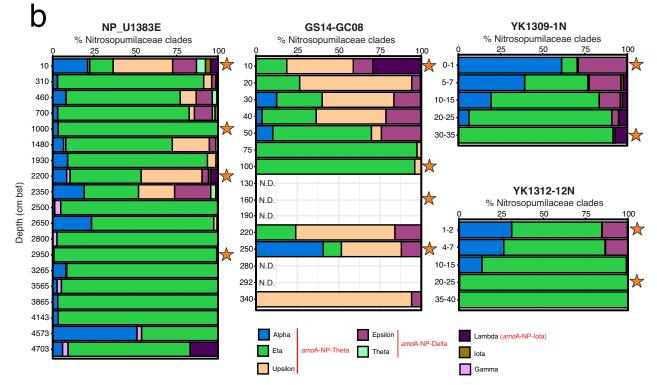
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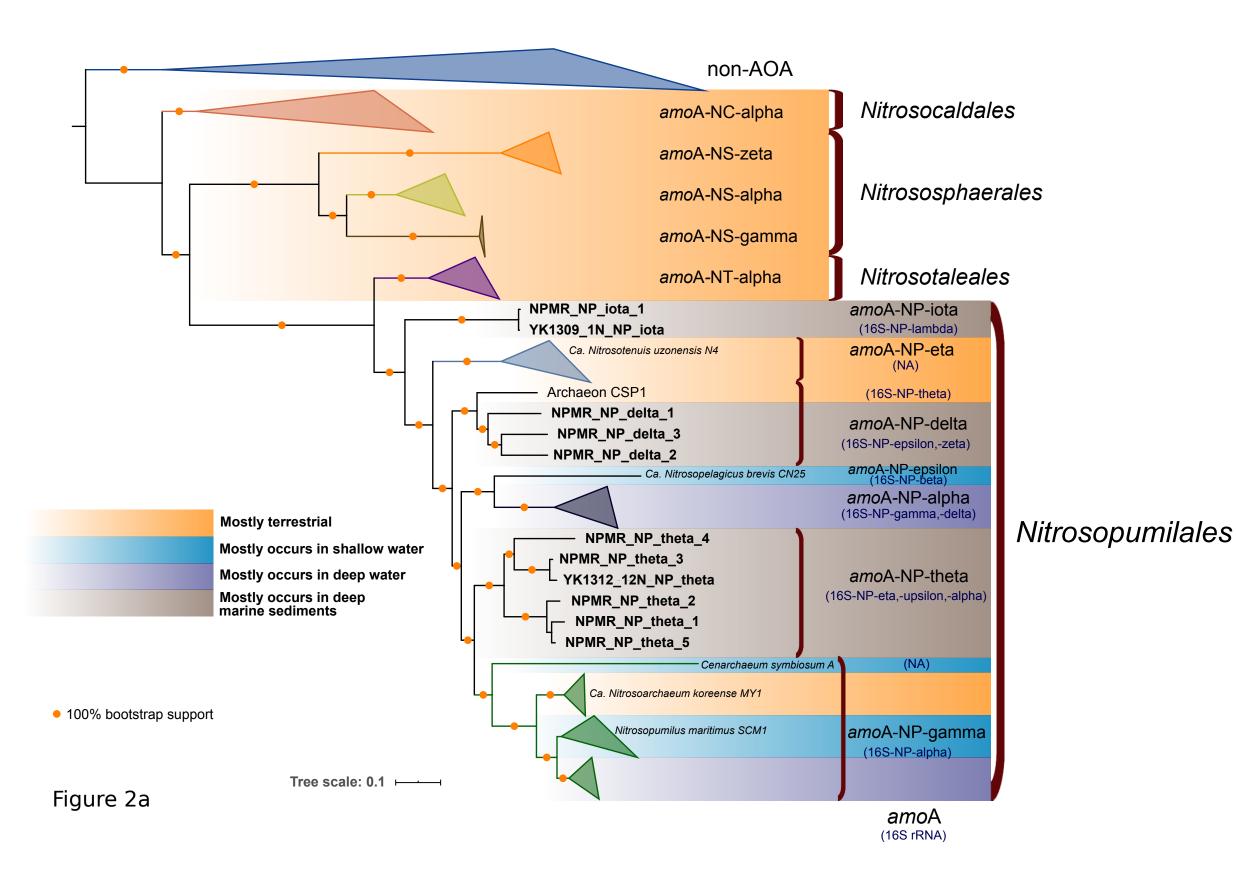
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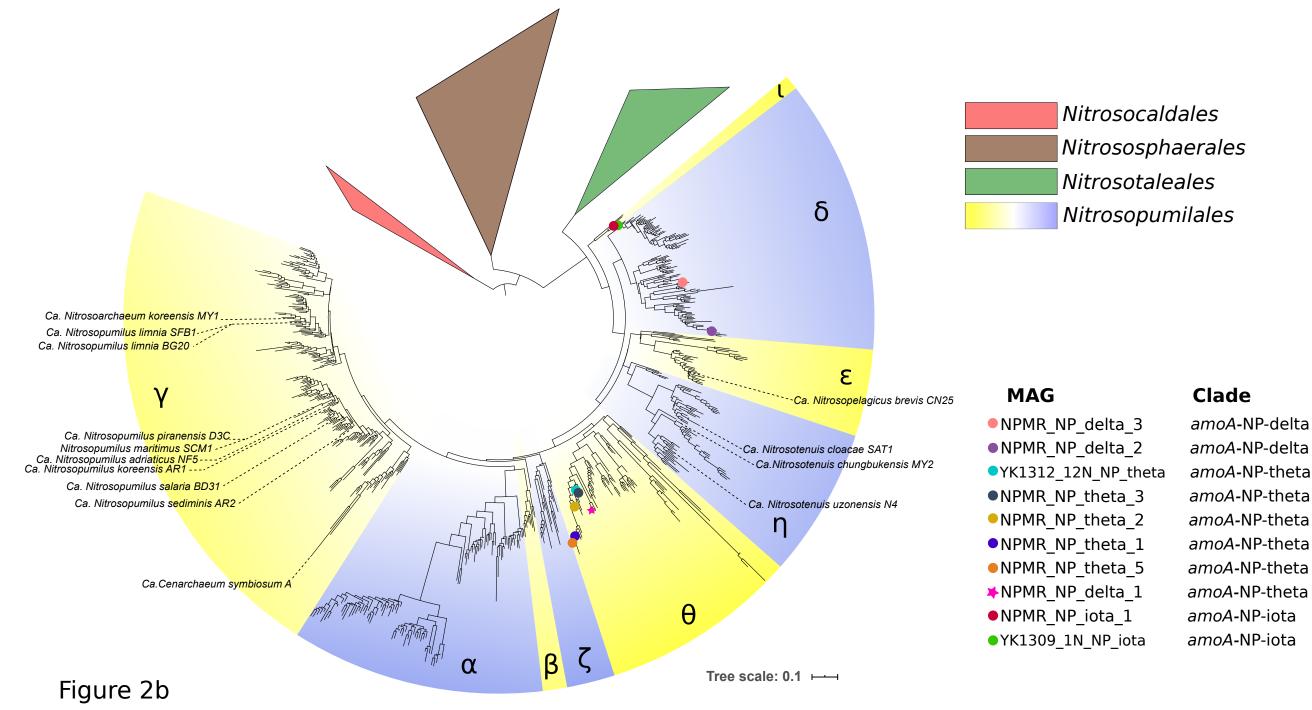
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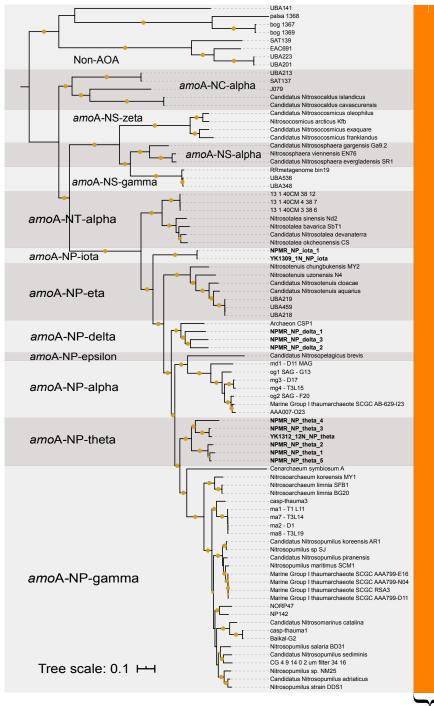
Genome Bin	AOA clade	Depth (m)	Depth (m below sea floor)	Contigs (No.)	Genome size (bp)	Proteins (No.)	Longest contig (bp)	N50	GC (%)	Completeness (%)	Contamination (%)	Presence/ absence of 16S rRNA gene
NPMR_NP_delta_1*	NP-delta	4,425	0.1	83	1,173,997	1,467	79,876	28,788	34.00	86.23	5.42	-
NPMR_NP_delta_2	NP-delta	4,425	0.1	270	610,368	870	12,327	3,054	33.87	67.88	0.71	-
NPMR_NP_delta_3	NP-delta	4,425	0.1	143	937,620	1,222	58,541	15,484	34.22	66.29	3.4	-
NPMR_NP_theta_1*	NP-theta	4,425	1	176	1,524,500	2,000	40,454	14,847	35.06	99.51	2.91	-
NPMR_NP_theta_2*	NP-theta	4,425	22	298	1,201,755	1,671	26,499	5,123	34.98	93.69	4.05	-
YK1312_12N_NP_theta*	NP-theta	5,920	0.2	299	1,221,321	1,695	20,455	5,469	34.08	88.83	5.34	-
NPMR_NP_theta_3*	NP-theta	2,476	0.1	552	1,069,514	1,706	14,642	2,738	34.37	83.58	3.87	+
NPMR_NP_theta_4	NP-theta	2,476	0.1	232	1,040,901	1,408	21,324	6,332	33.98	76.24	6.31	-
NPMR_NP_theta_5	NP-theta	4,425	0.1	274	697,980	970	14,641	3,916	35.14	75.01	1.21	-
NPMR_NP_iota_1*	NP-iota	2,476	0.1	68	1,121,898	1,402	75,887	30,338	35.83	98.54	0.97	-
YK1309_1N_NP_iota*	NP-iota	4,277	0.3	227	1,298,549	1,700	40,725	9,447	35.76	96.6	3.24	-











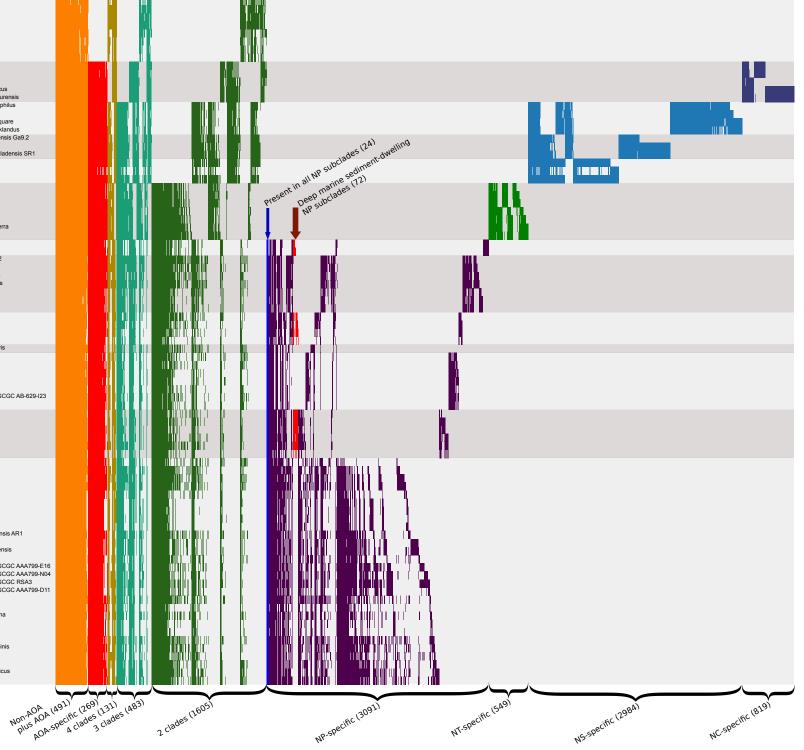


Figure 3

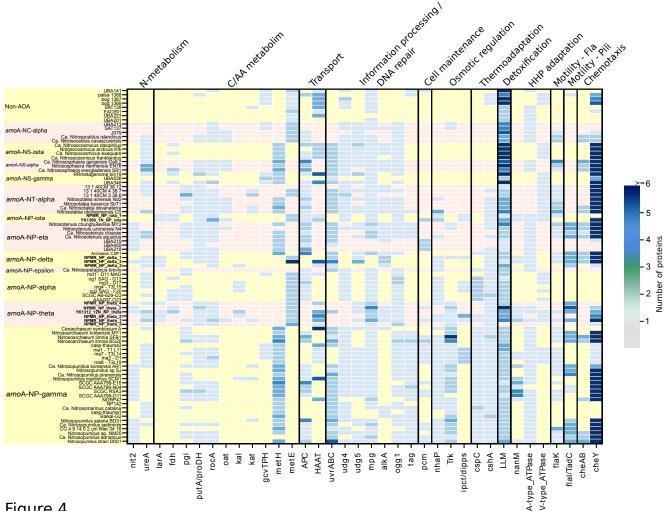


Figure 4

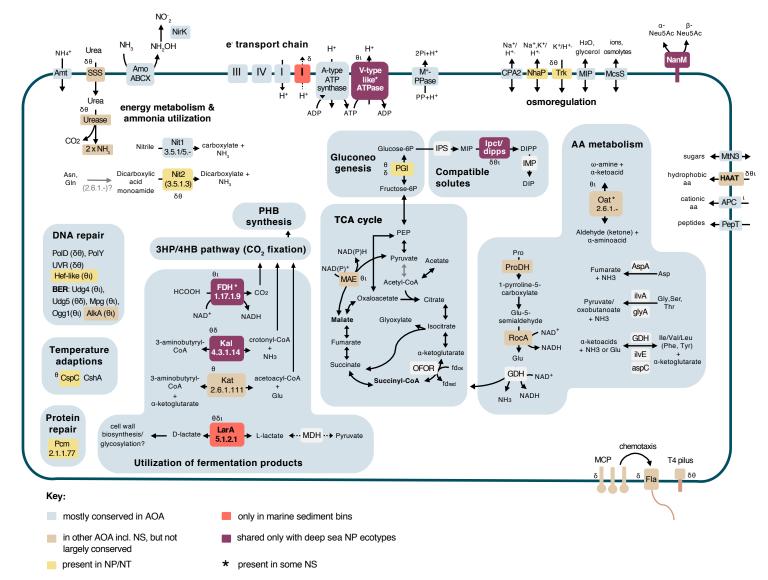


Figure 5