Cocaine-induced neuron subtype mitochondrial dynamics through Egr3 transcriptional

regulation

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Abstract

Mitochondrial function is required for brain energy homeostasis and neuroadaptation. Recent studies demonstrate that cocaine affects mitochondrial dynamics and morphological characteristics within the nucleus accumbens (NAc). Further, mitochondria are differentially regulated by cocaine in dopamine receptor-1 containing medium spiny neurons (D1-MSNs) vs dopamine receptor-2 (D2)-MSNs. However, there is little understanding into cocaine-induced transcriptional mechanisms and their role in regulating mitochondrial processes. Here, we demonstrate that cocaine enhances binding of the transcription factor, early growth response factor 3 (Egr3), to nuclear genes involved in mitochondrial function and dynamics. Moreover, cocaine exposure regulates mRNA of these mitochondria-associated nuclear genes in both contingent or noncontingent cocaine administration and in both rodent models and human postmortem tissue. Interestingly, several mitochondrial nuclear genes showed distinct profiles of expression in D1-MSNs vs D2-MSNs, with cocaine exposure generally increasing mitochondrial-associated nuclear gene expression in D1-MSNs vs suppression in D2-MSNs. We further show that blunting Egr3 expression in D1-MSNs blocks cocaine-enhancement of the mitochondrial-associated transcriptional coactivator, peroxisome proliferator-activated receptor gamma coactivator (PGC1α), and the mitochondrial fission molecule, dynamin related protein 1 (Drp1). Finally, reduction of D1-MSN Egr3 expression attenuates cocaine-induced enhancement of small-sized mitochondria, causally demonstrating that Egr3 regulates mitochondrial morphological adaptations. Collectively, these studies demonstrate cocaine exposure impacts mitochondrial dynamics and morphology by Egr3 transcriptional regulation of mitochondria-related nuclear gene transcripts; indicating roles for these molecular mechanisms in neuronal function and plasticity occurring with cocaine exposure.

Key words: cocaine, D1-MSNs, D2-MSNs, mitochondria, nucleus accumbens

<u>Introduction</u>

Mitochondria are chiefly responsible for energy production in cells; a basic requirement

for mammalian life and cellular adaptation. Recent studies revealed the important relationship of

mitochondrial programming, machinery, and adaptations in brain responses to drugs of abuse in

humans and in rodent models (1–3). In the nucleus accumbens (NAc), mitochondrial fission or

molecules related to mitochondrial dynamics and function are altered by cocaine (1,2).

The NAc is a critical hub involved in motivation for various rewards, such as food and

drugs of abuse, as well as fear and aversion (4,5). The NAc is primarily comprised of medium

spiny neurons (MSNs) of two distinct subpopulations: D1-MSNs and D2-MSNs (6,7). D1 and

D2-MSN activity and morphology are altered across multiple pathological states, such as

addiction, stress, depression, and pain (8–12).

NAc neurobiological molecular mechanisms involved in neuroplasticity often differ

between D1- and D2-MSNs in response to cocaine (12–20). Further, patterns of mitochondria-

related gene regulation can be distinct and opposing between these neuron populations (1,2). For

instance, following cocaine exposure or self-administration the mitochondrial fission protein,

dynamin related protein (Drp1), and a transcriptional coactivator molecule involved with

mitochondrial dynamics, peroxisome proliferator-activated receptor gamma coactivator

(PGC1α), are enhanced in D1-MSNs and decreased in D2-MSNs (1,2,21,22). Interestingly,

recent studies have discovered that mitochondrial fission via Drp1 is required for neuronal spine

formation (23,24); a key morphological adaptation for enhancing synaptic strength that may

underlie craving and seeking of drugs after consumption has ceased (25–33).

The NAc contains other drug-responsive molecular mechanisms that may act upstream of

mitochondrial related molecules. One such transcription factor is early growth response factor 3

(Egr3), which regulates several cocaine-associated genes, such as CREB, CamKIIα, and ΔFosB

(14). Egr3 displays opposing function in D1-MSNs vs D2-MSNs: Egr3 is upregulated in D1-

MSNs following repeated cocaine and is necessary for cocaine conditioned place preference

(CPP) and cocaine induced psychomotor locomotion. Conversely, D2-MSNs have reduced Egr3

following repeated cocaine and enhancing D2-MSN Egr3 causes reduced cocaine CPP and

psychomotor locomotion. Effects of Egr3 in D2-MSNs are also observed after long term

abstinence, but in a sex-specific manner (34). Further, Egr3 action on cocaine-regulated

behaviors could occur through mitochondrial processes, since cocaine exposure enhances Egr3

binding to the PGC1α promoter in NAc (2). Collectively, these findings suggest an important

link between Egr3 transcription, molecules involved with mitochondrial processes, and

facilitation of cocaine-induced behaviors.

Relatively little is known about how drug exposure alters mitochondrial morphological

characteristics by transcriptional regulation of mitochondria-related genes. This study assayed

the impact of cocaine exposure on NAc Egr3 regulation of multiple downstream target nuclear

genes involved with mitochondrial-related processes and mitochondrial morphology.

Mitochondria-related nuclear genes were assayed following contingent or non-contingent

cocaine exposure in rodents and were also examined in postmortem NAc of cocaine dependents.

Further, mitochondrial-related nuclear genes were examined in NAc MSN subtypes after

exposure to cocaine and when Egr3 is genetically disrupted. Mitochondrial-related nuclear genes

include: the transcription factors nuclear respiratory factor 1 and 2 (Nrf1 and Nrf2); a

transcriptional coactivator of mitochondrial related nuclear genes, PGC1α; mitochondria-specific

transcription factors a and b1 (Tfam and Tfb1); the mitochondrial trafficking membrane protein,

translocase of the outer mitochondrial membrane 20 (Tomm20); the mitochondrial fission

regulator, Drp1; and a mitochondrial DNA polymerase subunit gamma, Polγ (a.k.a. POLG).

Together, these studies examine the impact of cocaine exposure on mitochondrial dynamics and

morphology through Egr3 transcriptional regulation of mitochondria-related nuclear gene

transcripts.

<u>Materials and Methods</u>

Animals and Human Postmortem Samples

All animal experiments and handling followed guidelines set by the Institutional Animal

Care and Use Committee (IACUC) at The University of Maryland School of Medicine and The

University at Buffalo, The State University of New York. In both institutions, the health status of

test animals was closely monitored by onsite veterinary staff and the experimenters throughout

all experiments. Mice were maintained on 12h light/dark cycle with *ad libitum* food and water.

All mice were group housed (3-5 per cage).

For chromatin immunoprecipitation (ChIP) experiments male C57BL/6J mice were

obtained from Jackson Laboratory for cocaine treatment (n=35) and saline control groups (n=30)

and were pooled with 5 mice per sample (n=6 saline control samples, n=7 cocaine treatment

samples).

C57BL/6J male mice (n=6 for saline control, n=7 for cocaine treatment) used in

quantitative real time polymerase chain reaction (qRT-PCR) quantification of whole NAc tissue

following i.p. cocaine were obtained from Jackson Laboratory.

This study utilized both male and female D1-Cre hemizygote or D2-Cre hemizygote

bacterial artificial chromosome transgenic mice from GENSAT (D1-Cre hemizygote line FK150;

D2-Cre hemizygote line ER44)(35) (www.gensat.org) on a C57BL/6J background.

Male and female homozygous RiboTag (RT) mice on a C57BL/6J background,

expressing a Cre-inducible HA-Rpl22 (Sanz et al., 2009) were crossed to D1-Cre or D2-Cre

hemizygote mouse lines to generate D1-Cre-RT and D2-Cre-RT male and female mice (1), and

used for cell subtype–specific ribosome-associated mRNA isolation. Tissue from RiboTag

experiments came from mice also published elsewhere (36). RiboTag testing comprised of

samples from D1-Cre-RT (saline control: n=16 females, n=8 males; cocaine treatment: n=8

females, n=16 males) and D2-Cre-RT mice (saline control: n=8 females, n=16 males; cocaine

treatment: n=12 females, n=12 males), ages 8-10 weeks with 4 mice pooled per sample (D1-Cre-

RT saline pooled samples: n=4 females, n=2 males; D1-Cre-RT cocaine pooled samples: n=2

females, n=4 males; D2-Cre-RT saline pooled samples: n= 2 female, n=4 female; D2-Cre-RT

cocaine pooled samples: n=3 female, n=3 males). Animal numbers were determined based on

previous studies (1,2,14).

D1-Cre-RT mice were used for qRT-PCR analysis for Egr3-miR experiments: SS-miR

saline control (n=12 male, n=8 female), SS-miR cocaine treatment (n=12 male, n=12 female),

Egr3-miR saline treatment (n=16 male, n=8 female), and Egr3-miR cocaine treatment (n=16

male, n=12 female). 4 mice were pooled per sample: SS-miR saline control (n=3 male, n=2

female), SS-miR cocaine treatment (n=3 male, n=3 female), Egr3-miR saline treatment (n=4

male, n=2 female), and Egr3-miR cocaine treatment (n=4 male, n=3 female).

For morphological characterization of mitochondria after Egr3-miR or SS-miR control,

male and female D1-Cre mice age 10-12 weeks were used (SS-miR saline: n=4 male; SS-miR

cocaine: n=4 male; Egr3-miR saline: n=2 female, n=3 male; Egr3-miR cocaine: n= 2 female, and

3 male).

Naive male Sprague-Dawley rats (250-275g at the beginning of the experiment), used for

self-administration cDNA, were maintained on a 12h reverse light/dark cycle ad libitum food and

water. After catheterization surgery, rats were single housed for the duration of the experiments.

For all *in vivo* studies, animals were randomly assigned to the treatment (n=6) or control group

(n=5).

Human postmortem tissue was also used in (1) and was handled according to the same

storage and treatment protocols. The cohort of cocaine-dependent individuals was comprised of

24 males and 2 females ages 20-53 years (see Additional File S1 for subject details). All subjects

died suddenly without a prolonged agonal state or protracted medical illness. The causes of death

were ascertained by the Quebec Coroner Office. A toxicological screen was conducted with

tissue samples to obtain information on medication and illicit substance use at the time of death.

Cocaine-dependent subjects included 14 individuals who met the Structured Clinical Interview

for Diagnostic and Statistical Manual of Mental Disorders-IV (DSM-IV) Axis I Disorders:

Clinician Version (SCID-I) criteria for cocaine dependence. The control group included 12

subjects with no history of cocaine dependence or major psychiatric diagnoses. The processing

of the tissue is outlined in previous publications (37,38). Hemispheres were immediately

separated by a sagittal cut into 1cm-thick slices and placed in a mixture of dry ice and isopentane

(1:1; vol:vol) and then stored at −80°C. Tissue weighing approximately 150 mg was punched

from the NAc, homogenized in 5 ml of ddH2O (pH adjusted to 7.00) and centrifuged for 3 min at

8,000g and 4°C. The pH of the supernatant was measured in duplicate (Thermo-Electron

Corporation). RIN determination was performed by isolating total RNA using TRIzol

(Invitrogen) followed by analysis with an Agilent 2100 Bioanalyzer.

Mouse stereotaxic surgery

Mice were anesthetized using 4% isoflurane in a small induction chamber. After initial

induction, isoflurane was maintained at 1-2% for the remainder of the surgery. Animals were

placed in a stereotaxic frame and their skull was exposed. 33-gauge Hamilton syringe needles

were used to inject Cre-inducible double inverted open (DIO) reading frame adeno-associated

viruses (AAV) (1) into the NAc of test animals. To label mitochondria, AAV-DIO-mito-dsRed

was injected in tandem with either AAV-DIO-Egr3-miR-IRES-mCitrine for Egr3 knockdown or

AAV-DIO-Scramble(SS)-miR-IRES-mCitrine for viral control in D1-Cre-RT mice for RiboTag

experiments and D1-Cre mice for morphology experiments (1,2,14,39).

All experiments involving viral infusion had virus injected bilaterally into the NAc

(anterior/posterior, AP+1.6; medial/lateral, ML ± 1.5; dorsal/ventral, DV-4.4, 10 degree angle).

Mice were then returned to the vivarium for 2 weeks to allow for recovery and virus expression.

All viruses were packaged in lab facilities as previously described (1).

Cocaine injection and cocaine self-administration

C57Bl/6J, D1-Cre, D1-Cre-RT, and D2-Cre-RT mice received 7 daily intraperitoneal

injections (i.p. of cocaine, 20mg/kg) or saline control injections in the home cage. NAc tissue for

all experiments with mice was collected 24h after the last injection. Cocaine hydrochloride

(Sigma) was dissolved in sterile saline, and the dose of cocaine was determined based on effects

from previous studies (1,2,12–14,40).

Protocols for rat cocaine self-administration experiments were adapted from previously

published in-house experiments (1,2,14,33,40). Shortly, 1 week following catheterization, rats

went through 10 daily 2hr sessions of intravenous cocaine or saline self-administration

(1mg/kg/infusion in sterile saline solution) at an FR1 schedule reinforcement and a 30s time out

period between infusions. 24hrs after the last cocaine infusion, rat NAc tissue was collected.

RiboTag and qRT-PCR procedure

NAc samples were pooled from four D1-Cre-RT or D2-Cre-RT male and female mice

and RNA isolation from immunoprecipitated polyribosomes using primary mouse anti-HA

antibody (Covance Research Products, Cat#MMS101R) and secondary antibody coated magnetic

Dynabeads (Dynabeads protein G, Invitrogen) to pulldown the MSN specific RNA.

Immunoprecipitated polyribosomes and non-immunoprecipitated input was prepared according

to our previous studies (1,14,39). In brief, four 14-gauge NAc punches per animal (four animals

pooled per sample) were collected and homogenized by douncing in homogenization buffer and

800 mL of the supernatant was added directly to the HA-coupled beads (Invitrogen: 100.03D;

Covance: MMS-101R) for constant rotation overnight at 4°C. RNA from polyribosome

immunoprecipitated samples or input was subsequently extracted using the Total RNA Kit

(Omega) according to manufacturer's instructions. RNA was quantified with a NanoDrop

(Thermo Scientific).

For cDNA synthesis, qRT-PCR, and analysis we follow the steps as described previously

(Chandra, Engeln et al., 2017; Chandra et al., 2015; See Additional File S2 for list of primers).

Mouse and rat NAc tissue punches were collected 24 h after the last cocaine administration and

stored at -80°C. For both rodent and human postmortem NAc tissue, total RNA was isolated by

using Trizol (Invitrogen) homogenization and chloroform layer separation (37). A clear RNA

layer was then processed (RNAeasy MicroKit, Qiagen) and analyzed with NanoDrop (Thermo

Scientific). Next, 500ng of RNA was reverse transcribed to cDNA (qScript Kit, Bio-Rad). cDNA

was diluted to 200μl, and 2μl was used for each reaction. Human mRNA integrity was

determined on an Agilent Bioanalyzer. mRNA expression changes were measured using qPCR

with PerfeCTa SYBR Green FastMix (Quanta). Quantification of mRNA changes was performed

using the $-\Delta\Delta\ C_T$ method, using glyceraldehyde 3-phosphate dehydrogenase (GAPDH) as a

housekeeping gene. $-\Delta\Delta\ C_T$ cDNA values that showed no detection were removed for specific

genes. In qRT-PCR analysis, samples 2 standard deviations above or below the mean were

excluded. Samples were also excluded with $\Delta\Delta\ C_T$ values more than 30 or in the event that no $\Delta\Delta$

C_T values were present after analysis.

Chromatin immunoprecipitation

Fresh NAc punches were prepared for ChIP as previously described with minor

modifications (2,14). Briefly, 4, 14-gauge NAc punches per C57BL/6J mouse (four animals

pooled per sample) were collected, cross-linked with 1% formaldehyde and quenched with 2M

glycine before freezing at −80°C. Before sample sonication, IgG magnetic beads (Invitrogen;

sheep anti-rabbit, cat.# 11202D) were incubated with an anti-Egr3 (rabbit polyclonal, Santa Cruz

Biotech, cat.# SC-191, 8–10μg per reaction) antibody overnight at 4°C under constant rotation in

phosphate buffered saline (PBS)-based blocking solution (0.5% w/v BSA in 1X PBS). The

chromatin was fragmented by sonication to an average length of 500-700bp. After sonication,

equal concentrations of chromatin were transferred to new tubes and 100μl of the final product

was saved for input controls. Following the washing and resuspension of the antibody–bead

conjugates, antibody–bead mixtures were added to each chromatin sample (600μl) and incubated

for ~16h under constant rotation at 4°C. Samples were then washed and reverse cross-linked at

65°C overnight and DNA was purified using a PCR purification kit (QIAGEN). After DNA

purification, samples were used for qPCR analysis and normalized to their appropriate input

controls as previously described(14,41,42). Rabbit IgG immunoprecipitation control sample was

prepared by adding blocking buffer to magnetic beads and anti-Egr3 for appropriate enrichment

of Egr3 ChIPs, >1.5 fold.

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Immunohistochemistry

Mice were perfused with 0.1M PBS followed by 4% paraformaldehyde (PFA). Brains

were immersed in PBS-azide overnight. Brains were dissected with a vibratome (Leica) at 100

mm into PBS-azide for mitochondria.- Brain sections were blocked in 3% normal donkey serum

with 0.3% Triton-X for 30 min at room temperature. Sections were then incubated overnight at

4°C   in primary antibody (1:1500 chicken anti-GFP; Aves Labs, Cat#GFP-1020) diluted in 3%

NDS and 0.3% tween 20 solution. On the second day, tissue sections were rinsed in PBS three

times for 30 min per rinse followed by rinsing with PBS every hour at room temperature for 8

hours, then incubated overnight at 4°C in secondary antibody, 1:500 donkey anti-chicken-

Alexa488 (Jackson ImmunoResearch cat# 703-545-155) diluted in PBS. After secondary

incubation, sections were rinsed in PBS every hour for 8 hours. Slices were mounted, dried

overnight in the dark, and cover slipped with mounting media (Aqua-Polymount; Polysciences,

Inc.). DsRed was not amplified via immunostaining, as endogenous virus expression was

sufficient for imaging.

Mitochondrial Imaging and Analysis

Sections were imaged on a Leica SP8 confocal microscope. A total of 4-5 neurons were imaged per mouse. High-resolution Z-stacks images were obtained with 0.4μm increments using a 60x oil immersion objective with 2x digital zoom. Sections were scanned for neuron distal dendrites (over 100mm radius from the soma) and distal secondary dendrites (the first nearest branch from distal dendrite). Dendrites and mitochondria were 3-dimentionally reconstructed using Imaris 8.2 software (Bitplane), and multiple morphological parameters were analyzed, including mitochondrial length, density, index, and volume.

3D reconstructions of images were generated of each filter: red (mitochondria) and green (soma and dendrites). In short, mitochondria length was measured by using the BoundingBoxOO Length C setting that measures the length of the longest principal axis of the mitochondria. Dendritic length was determined by 'measurement point' tool in line pair mode. Mitochondrial volume was measured by quantifying total mitochondrial volume divided by total dendrite volume. Mitochondrial density was measured by quantifying the total number of mitochondria per 10μm length of dendrites. Dendritic mitochondrial indices were measured by quantifying the average mitochondrial length per 10μmlength of dendrite. Analysts were blinded to test conditions.

Statistical analysis

GraphPad Prism 6.0 software was used for statistical analysis. Two-way ANOVA was used for mitochondrial size frequency analysis followed by Bonferroni post-hoc correction. Student's t-test was used for all other experiments. Comparisons were tested for normalcy. Significance was established when p-values were below 0.05. All graphs represent mean ±

standard error (SEM). For qRT-PCR data, Grubbs outlier test was performed on data with

obvious outliers and no more than one animal or sample was removed per group. No statistically

significant sex differences were observed in any applicable experiment and so all groups with

both male and females were combined.

Results

Egr3 binding sites are found on promoters of many nuclear, mitochondrial-related genes

(gene-regulation.com, Alibaba). To determine if cocaine exposure alters Egr3 binding to the

promoters of these mitochondrial-related nuclear genes we performed ChIP (Fig 1a). Following

7-days of i.p. cocaine injections (20mg/kg) NAc tissue was collected 24 hours after the last

injection (Fig 1b), a time point shown to induce transcriptional and cellular plasticity in NAc

(2,14,20,41,43,44). In bulk NAc tissue, enhanced binding of Egr3 was observed on the promoters

of the mitochondrial fission molecule, Drp1, the transcription factor, nuclear respiratory factor,

Nrf2, and the DNA polymerase gamma subunit, Polγ. This is in line with a previous study

demonstrating enhanced Egr3 binding at the PGC1α promoter in NAc after repeated cocaine

(2). These findings implicate cocaine-mediated Egr3 transcriptional regulation of mitochondrial-

related nuclear genes.

Next, qRT-PCR was used to quantify mitochondria-related transcripts in bulk NAc tissue

to determine if a history of cocaine exposure alters the expression of the following mitochondria-

related genes: *Nrf1*, *Nrf2*, *PGC1α*, *Polγ*, *Tfam*, *Tfb1*, and *Tomm20*. Mice receiving 7 days of i.p.

cocaine (20mg/kg) injections showed an increase in *Nrf1*, *Nrf2*, and *Tomm20* (see Fig 2a &

Additional File S3; for mouse *PGC1α* data, please see Ramesh Chandra, Engeln, Francis, et al.,

2017). Rats that self-administered cocaine for 10 days (1mg/kg/infusion) showed similar

patterns, with enhancements in *Nrf1*, *Tfb1*, and *Tomm20* (see Fig 2b & Additional File S3). To

compare rodent models with cocaine-dependent individuals, postmortem human tissue was

analyzed for the same mitochondria-related nuclear genes. Tissue from cocaine-dependent

individuals had enhanced *Nrf2* and *PGC1α* (see Fig 2c & Additional File S3), which is

consistent with previous studies demonstrating increased Drp1 in NAc of the same cocaine

dependent cohort (1).

While cocaine-induced changes were observed in bulk tissue, previous work has shown

differential cocaine-induced regulation of Egr3, the mitochondrial biogenesis genes, Drp1 and

PGC1α, and mitochondrial morphology in D1 vs D2-MSNs (1,2,14,39). Egr3, Drp1, and PGC1α

are oppositely regulated in D1-MSNs vs D2-MSNs following repeated cocaine exposure (14),

and mitochondrial morphology at both baseline and after repeated cocaine is different between

D1 and D2-MSNs (1,2,39). Thus, next examined were mitochondrial-related nuclear genes in

D1-MSNs vs D2-MSNs using D1-Cre-RT or D2-Cre-RT mice (Fig 3a). D1-Cre-RT mice

receiving 7 days of i.p. cocaine injections (20mg/kg) showed an increase in *Tfam* mRNA in NAc

D1-MSNs (Fig 3b). By contrast, D2-Cre-RT mice showed a reduction in mRNA levels of *Polγ,*

Tfam, Tfb1, and *Tomm20* in NAc D2-MSNs after repeated cocaine (Fig 3c). These data are

consistent with previous studies demonstrating enhanced Drp1 and PGC1α in NAc D1-MSNs

and reduced in D2-MSN after repeated cocaine exposure (2).

Next we examined whether reducing Egr3 in D1-MSNs alters repeated cocaine-induced

expression of mRNAs in this neuron subtype. D1-Cre-RT mice received NAc injections of

AAV-DIO-Egr3-miR or AAV-DIO-SS-miR followed by repeated cocaine (20mg/kg for 7 days)

or saline followed by 24-hour abstinence (Fig 4a). *PGC1α* and *Drp1* mRNA in particular were

analyzed since these were the only two molecules that displayed both an increase in Egr3

binding at promoters and increased mRNA in D1-MSNs after repeated cocaine exposure in this

current study or in our previous studies (1,2). D1-Cre-RT mice with Egr3-miR injections into

NAc showed 5-fold lower *PGC1α* mRNA and 6-fold lower *Drp1* mRNA (Fig 4b) in D1-MSNs

following cocaine injections compared to SS-miR controls that received cocaine. Egr3-miR

cocaine mice also showed no difference compared to Egr3-miR saline controls in either *PGC1α*

or *Drp1* mRNA. These findings imply Egr3 transcriptionally regulates mitochondrial-related

nuclear genes and is consistent with increased Egr3 binding on promoters of Drp1 (Fig 1b) and

PGC1α following cocaine exposure (2).

Drp1 mediates enhanced mitochondrial fission in D1-MSN dendrites following repeated

cocaine exposure, including self-administration and blockade of Drp1 prevents fission in these

neurons. (1). Next examined was the impact of Egr3 reduction on cocaine-induced mitochondrial

fission. D1-Cre mice received NAc AAV-DIO-Egr3-miR or AAV-DIO-SS-miR along with

expressing AAV-DIO-mito-dsRed (1,39) to label mitochondria in D1-MSNs, and to allow

quantification of mitochondrial volume, density, and size (Fig 4d). Mice received 7 days of i.p.

cocaine injections (20mg/kg) or saline, and 24-hours after the last injection brain tissue was

collected. An increase in the frequency of smaller-length mitochondria in dendrites was observed

in cocaine treated mice , relative to saline treated mice, consistent with previous findings (Fig 4d;

Ramesh Chandra, Engeln, Schiefer, et al., 2017). However, the frequency of small-sized

mitochondria was decreased by approximately 30% in Egr3-miR cocaine exposed mice relative

to Egr3-miR saline controls. SS-miR controls treated with cocaine showed the highest frequency

in the 1.5-3.0μm length, whereas Egr3-miR mice treated with cocaine showed about half as

many of these small-sized mitochondria (Two-way ANOVA: Interaction: $F_{(15,70)}= 3.035$, p <

0.0001, Bonferroni post hoc: *p<0.05,**p<0.01;***p<0.001;). By contrast, Egr3-miR mice

treated with cocaine showed the most large-sized mitochondria in the 4.5-6µm length. We

observed no changes in mitochondrial density or index by both drug and virus conditions.

However, Egr3-miR mice demonstrated a lower volume than SS-miR mice. (Fig 4d; Two-way

ANOVA $F_{(1,14)}$=3.919;p= 0.0678; Error bars, SEM.). Taken together, cocaine-induced gene

regulation and mitochondrial structural characteristics are substantially altered in NAc D1-

MSNs. These findings align with previous work showing that manipulation of Drp1 dictates

mitochondrial morphology characteristics following cocaine self-administration (1).

Discussion

Cocaine alters NAc mitochondrial morphology, inducing small-sized mitochondria in

D1-MSNs and shifts toward larger mitochondria in D2-MSNs (1). This study sought to identify

relationships between the cocaine-responsive transcription factor, Egr3, and genes regulating

mitochondrial machinery to confirm causal mechanistic connections between Egr3 regulation

and mitochondrial dynamics and morphology characteristics. This study identified distinct gene

expression patterns of mitochondrial-related genes after cocaine exposure in NAc and in

subpopulations of D1 and D2-MSNs. Egr3 was shown as an upstream transcriptional regulator

of a number of mitochondrial nuclear genes following cocaine exposure. Further, this study

established causal impacts of Egr3 regulation on mitochondria-related genes and the functional

impacts of gene regulation on mitochondrial shape following cocaine exposure in NAc D1-

MSNs. Together, the presented findings are some of the first examinations of NAc and neuron

subpopulation responses to cocaine for a number of mitochondrial-associated factors regulating

mitochondrial machinery and subsequent morphological profiles.

First, repeated cocaine exposure upregulated Egr3 binding to mitochondria-related

nuclear gene promoters in NAc, aligning with previous findings showing enhanced Egr3 binding

to PGC1α following cocaine exposure (2). Subsequent tests examined mRNA of Egr3-target

mitochondrial nuclear genes in NAc. These assays revealed that mice receiving cocaine

injections and rats self-administering cocaine showed similar patterns of gene expression, with

enhanced *Nrf1*, *Nrf2*, and *Tomm20*. However, only *PGC1α* and *Nrf2* were upregulated in

postmortem tissue of cocaine dependents. Additionally, other studies have shown that cocaine

upregulates the mitochondrial fission genes Drp1 (1,2) in bulk NAc tissue; including cocaine

enhancement of *Drp1* mRNA in both rodents and humans (1). The lack of *PGC1α*

enhancement in NAc bulk tissue of rats that self-administer cocaine is inconsistent to the

increases observed in postmortem NAc of cocaine dependents and NAc D1-MSNs in mice

exposed to cocaine. This could be due to masked cell subtype changes since the analysis was

performed in rat bulk NAc tissue.

What might account for the disparity in gene expression between rodents and humans?

Factors, such as route of cocaine administration, methods of extraction, and potential co-morbid

mental disorders in human subjects, such as major depression or bipolar disorder. These

differences may reflect neurobiological differences in genotype and phenotype regulation

patterns in the NAc and in MSN subtypes linked to broad forms of neuropathology (9–11,45–

47).

Analysis of NAc-MSN subtype mRNA demonstrated upregulation of mitochondria-

related mRNAs in D1-MSNs, whereas D2-MSNs displayed a general decrease in mitochondria-

related mRNAs. In comparison to bulk NAc tissue quantification, these findings again

demonstrate the importance of localizing and characterizing gene regulation to specific neuron

subtypes within regions of interest. Further, these findings are consistent with a previous study

demonstrating increases in Drp1 expression and increased frequency of small mitochondria in

D1-MSNs, while lower levels of Drp1 and increased larger mitochondria frequency are observed

in D2-MSNs following cocaine exposure or self-administration (1). Moreover, PGC1α shows

enhancement in D1-MSNs and a decrease in D2-MSNs following 7 days of cocaine

administration (2). Thus, these findings further elucidate the differences of mitochondrial-related

molecules in MSN subtypes following repeated cocaine exposure. The different patterns of

mitochondrial nuclear gene regulation align with previous work showing D1-MSN specific

enhancement of Egr3, PGC1α, and Drp1 following cocaine exposure (1,2). Collectively,

differences in regulation between these neuron populations highlight an important principle: D1-

MSNs vs D2-MSNs differentially regulate mitochondrial nuclear genes in response to cocaine.

Subsequent disruption of Egr3 by NAc infusion of Egr3-miR into D1-MSNs prevented cocaine-

mediated enhancement of *Drp1* and *PGC1α* mRNAs. These findings corroborate a model of

Egr3 transcriptional regulation of mitochondrial nuclear genes, and previous findings showing

both cocaine-enhanced Egr3-PGC1α binding and cocaine-induced upregulation of PGC1α and

Drp1 in D1 MSNs. (1,2,14).

The importance of examining cell type-specific changes is further highlighted by cocaine

induction of Egr3 promoter binding to Drp1 and the prevention of Drp1 upregulation in D1-

MSNs by Egr3 blockade; indicating Egr3 transcriptional regulation of Drp1 is likely necessary

for D1-MSN Drp1-mediated mitochondrial fission after cocaine exposure. D1-MSNs are known

to have enhanced spine density after cocaine exposure (20,25) and Drp1 is necessary for the

formation of dendritic spines (23,24). Here, Egr3 blockade in D1-MSNs also prevented cocaine-

induced fission, indicated by reduced frequency of small-sized mitochondria. Thus, converging

lines of evidence support the hypothesis that cocaine-induced neuroplasticity in NAc,

particularly in NAc D1-MSNs, is directly tuned by Egr3 regulation of mitochondrial dynamics.

Given a large literature demonstrating enhanced excitatory plasticity, signaling processes, and

transcriptional activity in D1-MSNs with both contingent and non-contingent chronic or acute

cocaine it is plausible that these neurons require higher energy demands (13,17–20,48,49) or

mitochondria could be acting as buffers of calcium homeostasis required for neuroplastic events

(23). Thus, cocaine-induced enhancement of Egr3 and subsequent mitochondrial fission may

work to meet increased energy demands. Enhancement of small-sized mitochondria indicates

enhanced fission; here impaired by Egr3-miR disruption of Egr3 function. The alterations of

mitochondrial morphology observed here may be responsible for facilitating long-lasting

neuroplastic changes underpinning persistent craving of drugs of abuse.

Egr3 is necessary for cocaine-induced enhancement of Drp1, cocaine self-administration

produces morphological shifts to small-sized mitochondria in D1-MSNs, and Drp1 and fission

are required for neuroplasticity in neurons including after cocaine exposure (1,23). It is plausible

that the alterations of the other mitochondria nuclear genes affected by cocaine and Egr3 in this

study are involved in these dynamic changes. Egr3- PGC1α enhancement also indicates

recruitment of upstream fission/biogenesis processes and energy production machinery (2).

PGC1α knockdown results in decreased neurofilament protein (22) and PGC1α is involved in

the formation and maintenance of dendritic spines (50). Thus, PGC1α may be critical for the

dendritic spine and corresponding plasticity adaptations occurring with cocaine exposure(43,51).

Further, PGC1α null mice show impairment in sodium ion pumps (22) and neurons require

large quantities of ATP to maintain ion gradients and transport mechanisms. While PGC1α is

involved in energy production it is also involved in the regulation of reactive oxygen species

(ROS) production, a byproduct of cellular respiration (52,53). PGC1α regulates factors in the

detoxification of ROS, such as superoxide dismutase, indicating concordant cell growth and

protective effects by both enhancing cellular respiration and scavenging of toxic byproduct (54).

This suggests a neuroprotective effect from PGC1α regulation.

Egr3 also regulates CREB, a cocaine-responsive gene, which in turn regulates several

mitochondrial components (R. Chandra et al., 2015). For instance, CREB regulates the

expression of PGC1α (55,56). CREB directly regulates cytochrome c (a major factor in ETC

function), *PGC1*, and *Nrf1* (55,57–62). Further, tandem CREB-Nrf1 activity is involved in the

growth-regulated cytochrome c (57,60). CREB is also mediated by TOM complexes into

mitochondria, allowing access to and binding on mtDNA (58,63,64). This activity of nuclear

CREB and mitochondrial CREB is coordinated via shared upstream signals. Taken together,

Egr3 or other mitochondrial gene regulation induced by cocaine or viral manipulations may

impact mitochondrial function indirectly through CREB activity on mitochondria-related nuclear

genes to facilitate neuronal adaptation.

The result of low sample size may present false negatives in this report and hide cocaine-

induced effects, such as in the case of ChIP assaying of Tfam and Tfb1 in Fig 1. However, it is

noted for ChIP and RiboTag assays that samples displayed are representative of pooled tissues

from 5 or 4 mice, respectively, and, thus, likely representative of authentic effects.

While this study establishes a causal connection between D1-MSN Egr3 expression and

mitochondrial fission, a limitation still to be addressed is how D1-MSN Egr3-mediated

mitochondrial fission affects cocaine-induced behavioral adaptations. As discussed above,

studies of the fission-regulating molecules Drp1 and PGC1α show that enhancement of D1-

MSN mitochondrial fission increases some cocaine-induced behaviors, such as seeking for

cocaine, cocaine CPP, and cocaine-induced locomotion (1,2). Thus, because cocaine exposure

enhances Egr3 in D1-MSNs (14), enhances Egr3 binding to PGC1α (2), and is shown here to

induce enhanced Egr3-Drp1 binding, it is predicted that subsequent enhancements of D1-MSN

mitochondrial fission would induce increased cocaine-induced behaviors, such as locomotion,

CPP or seeking for cocaine. It would be of interest examine whether modulation of fission or

fusion-related molecules is capable of combating the effects of Egr3 manipulations. For instance,

it is possible that Egr3-miR in D1-MSNs, which decreases mitochondrial fission, would be

counteracted by Drp1 overexpression, shown to enhance cocaine seeking, and result in reversal

of cocaine-induced behavioral impairment. Similarly, enhanced PGC1α may counter Egr3

knockdown to restore cocaine CPP or locomotion. Alternatively, D1-MSN Drp1 or PGC1α

blockade could impair enhancement of cocaine-induced behaviors by Egr3.

A related interesting question is whether enhanced mitochondrial fusion would run

counter to D1-MSN Egr3 responses to cocaine. If cocaine induces enhanced D1-MSN Egr3

expression and subsequent mitochondrial fission, it would be expected that overexpression of

fusion molecules would produce behavioral effects counter to Egr3.. However, previous studies

have examined the expression of the mitochondrial fusion genes, Mitofusin 1 and 2 (Mfn1 and

Mfn2) and optic atrophy 1 (Opa1), but found relatively little effect of cocaine on these genes in

general NAc cell populations (1). Further, D1 vs D2-MSN characterization of these genes after

repeated cocaine injections showed no differences between neuron subpopulations. However, in

NAc Mfn2 expression is elevated after cocaine self-administration in rats, but it remains to be

seen whether this is driven by specific neuron subpopulations. An outstanding possibility is that

cocaine self-administration could induce D1-MSN changes in fusion genes, such as Mfn2,

whereas i.p. cocaine does not. Thus, it is conceivable that artificial overexpression of fusion

genes could counteract D1-MSN Egr3-mediated fission, no firm opinions can be made given

thus far cocaine intake has little impact or can even enhance fusion-related molecules.

Ultimately, the answers to how Egr3 regulation of mitochondrial dynamics relate to

psychological impact remain to be determined by future studies.

Conclusion

Together, these findings establish that Egr3 regulates enhancement of mitochondrial

dynamics, that have been shown to regulate plasticity adaptations in MSNs underlying behaviors

occurring in rodent models of substance use disorders. Further, these studies are some of the first

illustrations of cell type-specific gene regulation in brain and specifically the NAc. These

studies support a model whereby cocaine-associated transcription factors are controlling

mechanisms of mitochondrial dynamics and underpin long-lasting neurobiological adaptations to

drugs of abuse.

List of abbreviations:

499
AAV: adeno-associated viruses

ChIP: chromatin immunoprecipitation

CPP: conditioned place preference

D1-MSN: dopamine receptor-1 containing medium spiny neurons

D2-MSN: dopamine receptor-2 containing medium spiny neurons

DSM-IV : Diagnostic and Statistical Manual of Mental Disorders-IV

DIO: double inverted open

Drp1: dynamin related protein 1

Egr3: early growth response factor 3

Egr3-miR: Egr3 microRNA

IACUC: Institutional Animal Care and Use Committee

Mfn1: Mitofusin 1

Mfn2: Mitofusin 2

MSNs: medium spiny neurons

NAc: Nucleus accumbens

Opa1: Optic atrophy 1 (alternative: OPA1 mitochondrial dynamin like GTPase)

PBS: phosphate buffered saline

PGC1α: peroxisome proliferator-activated receptor gamma coactivator

Polγ: mitochondrial DNA polymerase subunit gamma

Nrf1: transcription factors nuclear respiratory factor 1

Nrf2: transcription factors nuclear respiratory factor 2

qRT-PCR: quantitative real time polymerase chain reaction

RT: RiboTag

SS-miR: scramble sequence microRNA

Tfam: mitochondria-specific transcription factor a

Tfb1: mitochondria-specific transcription factor b

Tomm20: translocase of the outer mitochondrial membrane 20

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to be published and agree to be accountable for aspects of the work:

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Figure Legends

Figure 1. Cocaine-induced Egr3 binding to promoters of mitochondrial-related nuclear

gene in NAc. (A) Illustration of the ChIP assay using anti-Egr3 to immunoprecipitate chromatin

in NAc tissue. **(B) Top panel:** The timeline of cocaine (20mg/kg, i.p.) or saline injections and

NAc tissue collection after 24-hour abstinence. **Bottom panel:** Egr3 binding is increased on

Drp1, *Nrf2* and *Polγ* gene promoters in NAc in the cocaine group (n=35 mice, 7 samples)

compared to saline injected in male (n=30, 6 samples) mice. Student's t test, *p<0.05; Error bars,

SEM.

Figure 2. Cocaine induced mitochondrial-related nuclear gene expression in NAc of rodents

and human subjects. (A) Male mice treated with 7 days of cocaine (20mg/kg, i.p.) display

higher mRNA expression of *Nrf1*, *Nrf2*, and *Tomm20* genes 24h in NAc. Student's t-test,

*p<0.05, ***p<0.001; n=6 saline and n= 7 cocaine group. **(B)** Male rat mRNA expression in

NAc tissue after 10 days self-administration **(upper panel)** followed by 24hr abstinence. **Bottom**

panel: increased expression of *Nrf1, Tfb1* and *Tomm20* in NAc of rats that self-administer

cocaine compared to saline. Student's t test, *p<0.05; n=5 saline and n= 6 cocaine. **(C)** mRNA

expression in human postmortem NAc tissue indicated that *Nrf2* and *PGC1α* gene expression is

higher in human cocaine dependents compared to control subjects. Student's t test, *p<0.05;

n=15 control (14 male; 1 female) and n= 15cocaine dependent (14 male;1 female); Error bars,

SEM.

Figure 3. Ribosome associated mitochondrial-related mRNA are altered in NAc MSN

subtypes after repeated cocaine. (A) Illustration of NAc D1-MSN (blue) and D2-MSN (red)

subtypes and the RiboTag procedure to isolate ribosome-associated mRNA from MSN subtypes

using D1-Cre-RT and D2-Cre-RT mice. HA tagged (green) ribosomes are immunoprecipitated

from NAc homogenates using anti-HA coupled to magnetic beads, followed by isolation of

ribosome-associated mRNA from D1-MSNs and D2-MSNs. **(B) Top panel**: The timeline of

cocaine (20mg/kg, i.p.) or saline injections and NAc tissue collection after 24-hour abstinence.

Bottom panel: *Tfam* ribosome-associated mRNA is increased in D1-MSNs Student's t-test,

*p<0.05; p=0.07 *Nrf2*; p=0.052 *Tomm20*; D1-MSN saline group (n=16 females, 8 male; pooled

samples 4 and 2, respectively); D1-MSN cocaine group (n=8 female, 16 males; pooled samples 2

and 4, respectively). **(C)** *Polγ*, *Tfam*, *Tfb1* and *Tomm20* expression is reduced in D2-MSNs after

repeated cocaine (7 days, 20mg/kg). Student's t-test, *p<0.05; **p<0.01;***p<0.001; D2-MSN

saline group (n=8 female, 16 male; pooled samples 2 and 4, respectively) and D2-MSN cocaine

group (n=12 female, n=12 male; pooled samples 3 and 3, respectively); Error bars, SEM.

Figure 4. Mitochondria-related nuclear gene expression in NAc D1-MSNs and attenuation

of cocaine-mediated induction of small sized mitochondria after Egr3 knockdown. (A) D1-

Cre-RT mice received an intracranial infusion of a Cre-dependent virus inducing D1-MSN

functional knockdown via Egr3-miR (experimental) or SS-miR (control). The timeline of Egr3-

miR virus surgery followed by cocaine (20mg/kg, i.p.) or saline injections and NAc tissue

collection after 24-hour abstinence. **B)** *Drp1* and *PGC1α* mRNAs are reduced in D1-MSN of the

Egr3miR cocaine treated compared to SS-miR cocaine treated male mice. Two-way ANOVA:

Drp1; Interaction $F_{(1,20)}$= 12.67; Post hoc test p = 0.002, **p<0.01;***, p<0.001; SS-miR Saline

(n=3 male, n=2 female), SS-miR Cocaine (n=3 female, n=3 male), Egr3-miR Saline (n=4 male,

n=2 female), Egr3-miR cocaine (n=3 female, n=4 male). Two-way ANOVA: PGC1α ;

Interaction $F_{(1,18)}$= 9.398; Post hoc test p= 0.0067, *p<0.05; n=5 SS-miR Saline, n=5 SS-miR

Cocaine, n=6 Egr3-miR Saline, n=6 Egr3-miR cocaine all males. **(C)** Representative images for

D1-MSN dendrites (green) with labeled mitochondria (red) after repeated cocaine exposure from

SS-miR and Egr3-miR groups. **(D)** **Top left:** 3D reconstruction of dendrites and mitochondria

highlights an increase in the frequency of smaller length mitochondria in D1-MSN dendrites in

the cocaine SS-miR group, which is attenuated in the Egr3- miR cocaine group. The Egr3-miR

cocaine group displayed a decrease in the frequency of smaller size mitochondria in the 1.5-3μm

size bracket compared to the SS-miR cocaine group. Two-way ANOVA: Interaction: $F_{(15,70)}$=

3.035, p < 0.0001, Bonferroni post hoc: *p<0.05,**p<0.01;***p<0.001; SS-miR saline (n=4 , all

male); SS-miR cocaine (n=4, all male); Egr3-miR saline (n=2 female, n=3 male); Egr3-miR

cocaine (n=2 female, n=3 male). **Top Right:** Mitochondrial density (per dendrite 10μm length)

is unaltered in D1-MSNs of mice exposed to cocaine and Egr3-miR. Two-way ANOVA:$F_{(1,14)}$=

0.2823; p= 0.6035;. **Bottom Left:** Mitochondrial index (mitochondrial length per 10μm of

dendrite length) in Egr3 knockdown compared to control group demonstrating no change among

the four groups. Two-way ANOVA $F_{(1,14)}$ = 1.041;p= 0.8304;. **Bottom Right:** Mitochondrial

volume ratio (relative to dendrite volume) is enhanced in the SS-miR group compared to the

Egr3-miRgroup. Two-way ANOVA $F_{(1,14)}$=3.919;p= 0.0678;;Error bars, SEM.

Additional File 1. Human demographics for examined cocaine dependent and non-

dependent tissue

Additional File 2. Primers utilized in study

Additional File 3. Gene regulation by species, brain region, and cell population

Additional File 4. Statistical table