# Striatal circuits support broadly opponent aspects of action suppression and production

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### 10 Summary

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12 Imbalance between action suppression and production characterizes several basal 13 ganglia (BG) disorders. Relatedly, the direct and indirect pathways of the BG are hypothesized 14 to promote and suppress actions, respectively. Yet striatal direct (dMSNs) and indirect 15 (iMSNs) medium spiny neurons are coactive around movement, apparently contradicting 16 direct-indirect functional opponency. In the dorsolateral striatum of mice, we observed 17 coactivation around movements, but elevated and diminished activity of iMSNs and dMSNs, respectively, during action suppression. Furthermore, relative activity of the two hemispheres 18 19 evolved in opposite directions in the two pathways as the need to suppress movements to 20 either side of the body developed over time. Lastly, optogenetic inhibition experiments 21 revealed the necessity of iMSNs but not dMSNs for the proactive suppression of specific 22 actions, and dMSNs but not iMSNs for generalized action vigor. These data demonstrate 23 distinct yet still broadly opponent roles for the direct and indirect pathways in behavioral 24 control.

### 25 Introduction

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Adaptive behavior involves a judicious combination of suppression and production of actions. A predator must suppress its urge to pounce until its prey is within reach, just as humans must suppress giving in to temptation to secure longer-term rewards.

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31 The basal ganglia (BG) are a collection of subcortical structures that are thought to 32 regulate the appropriate selection of actions depending on expected consequences (Doya, 33 1999; Schultz, 1995). In addition, the inability to balance action production and suppression is 34 associated with disorders that involve the BG such as ADHD (Barkley, 1997), Parkinson's, 35 and Huntington's diseases (Albin et al., 1989). Interestingly, two major BG pathways, the so-36 called direct and indirect pathways, possess anatomical and molecular characteristics 37 consistent with promoting and suppressing actions, respectively (Alexander and Crutcher, 38 1990; Gerfen and Surmeier, 2011). These two pathways originate in the major input area of 39 the BG, the striatum, at direct striatonigral medium spiny neurons (dMSNs) and indirect 40 striatopallidal medium spiny neurons (iMSNs) that project directly or indirectly toward the 41 output areas of the BG. While multiple lines of evidence suggest functional opponency between the two pathways, an apparent discordance between neural activity on the one hand, 42 43 and anatomical and cell type-specific perturbation data on the other has led to ongoing debate regarding the rules that govern BG circuit function. 44

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46 As predicted by anatomy (Smith et al., 1998), activating dMSNs can rapidly suppress, 47 while activating iMSNs can rapidly enhance, the activity of inhibitory output neurons of the 48 BG in the substantia nigra (Deniau and Chevalier, 1985; Freeze et al., 2013; Kravitz et al., 49 2010). At a behavioral level, activation of dMSNs consistently produces opposite effects to 50 those of activating iMSNs with respect to locomotion (Kravitz et al., 2010), ongoing motor 51 sequence production (Sippy et al., 2015; Tecuapetla et al., 2016), reinforcement (Kravitz et 52 al., 2012; Yttri and Dudman, 2016), and value-based decisions (Tai et al., 2012). However, 53 the activity of dMSNs and iMSNs in sensorimotor striatum appears to be largely positively 54 correlated around action initiation (Barbera et al., 2016; Cui et al., 2013; Tecuapetla et al., 55 2014) or around transitions between actions (Markowitz et al., 2018). Such observations of 56 concurrent activation of the two pathways have been used to argue against the hypothesis 57 that they functionally oppose each other (Cui et al., 2013; Tecuapetla et al., 2014). 58

59 A longstanding, and potentially reconciling, view of BG circuit function is that the two 60 pathways might contribute to selection amongst various actions in a competitive manner (Denny-Brown and Yanagisawa, 1976; Mink, 1996; Redgrave et al., 1999). In this view, action 61 62 selection proceeds through combined promotion of motor programs by the direct pathway, 63 and suppression of motor programs by the indirect pathway. Such a model predicts broad 64 coactivation of the two pathways during action production even as they function in opposition 65 to each other. Notably, this framework also predicts that sustained suppression of action 66 should promote large-scale decorrelation or even anticorrelation between the two pathways. 67 This possibility, to our knowledge, remains untested. Activity of iMSNs should be elevated to suppress action, while the activity of dMSNs should be limited until action is released. Such 68 69 observations would naturally reconcile currently disparate interpretations of BG circuit 70 function.

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72 To test this hypothesis we employed a variant of an interval discrimination task 73 (Gouvêa et al., 2015; Soares et al., 2016) requiring a series of self-initiated and cued actions, 74 and critically, a sustained period of action suppression. This design allowed us to assess 75 whether the two pathways exhibited broad coactivation during action production and 76 opponency during action suppression. Furthermore, the task requires dynamic suppression 77 of distinct, lateralized behaviors over time, an ideal situation to assess whether the two 78 pathways exhibit any action-specific opponent signals during action suppression. During this 79 behavior, we then recorded activity from dMSNs and iMSNs in the dorsolateral striatum of 80 mice using fiber photometry. We found that both pathways displayed phasic activation during 81 action production, as previously reported. However, during action suppression we observed 82 multiple clear signatures of functional opponency. First, overall iMSN activity was sustained 83 whereas dMSN activity fell to or below baseline levels. Second, we observed evidence of 84 action-specificity in these opponent signals in the form of opposite patterns of inter-85 hemispheric dynamics in the two pathways. A shift in the relative balance of activity between the two hemispheres developed during a trial as the need to suppress movement to one side 86 87 of the body waned, and the need to suppress movement to the opposite side of the body grew. 88

To assess the functional importance of the observed patterns of neural activity, we 89 90 performed a series of optogenetic inhibition experiments. These experiments provided further 91 support for functional opponency and at the same time revealed a double dissociation 92 between the respective roles of the direct and indirect pathways in dorsolateral striatum. 93 Optogenetic inhibition of dMSNs, but not iMSNs, produced a slowing of movement whereas 94 optogenetic inhibition of iMSNs, but not dMSNs, disrupted the ability of mice to suppress 95 action.

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97 These findings demonstrate clear opponency in the endogenous activity of the two 98 major BG pathways during behavior, bringing into alignment molecular, anatomical, 99 optogenetic and neurophysiological data in support of functional opponency between the two 100 circuits. The data also suggest a novel mode of functional distinction between the two 101 pathways. The direct pathway in sensorimotor striatum appears necessary for augmenting 102 action vigor but, surprisingly, not the specification of which action is taken. The indirect 103 pathway however, appears critical for sustained suppression of specific behaviors. These 104 results not only help to resolve ongoing debate, but provide new insight into how BG circuitry 105 can contribute to distinct aspects of action production and suppression, with broad implications 106 for understanding the neural mechanisms of both normal and pathological behavioral control.

#### Results 107

#### Production and proactive suppression of action 108

109 We trained mice on a variant of a two-alternative interval categorization task wherein 110 subjects were required to suppress movements during interval presentation. Briefly, trials were 111 self-initiated by the mouse inserting their snout into a centrally located initiation nose port, 112 eliciting a brief auditory tone (Fig 1a). Mice were required to maintain their position in the 113 initiation port (fixation) until a second auditory tone was delivered. This second tone was

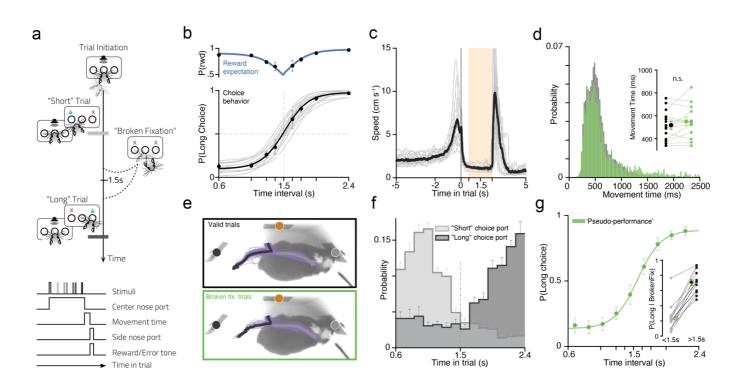


Figure 1. Mice learned to dynamically suppress lateralized actions. a) Task and event diagrams. Subjects self-initiate each trial in a center nose port. After a variable delay a second tone is played and they are asked to categorize the presented interval as "short" or "long" by responding in one of two side ports. Between the two tones subjects are required to maintain position in the centre port - "fixation". b) Bottom: Psychometric fit to the performance of each mouse that underwent photometric recordings (n=14, light gray) and the fit to the overall average performance across mice (black). Top - Reward expectancy calculated from the overall performance of animals on a given stimuli (blue trace depicts the rectified psychometric fit at 1.5s) c) Animal's head speed as a function of time aligned on trial initiation for a single session of each mouse that underwent photometric recordings during trials wherein the longest interval (2.4 seconds) was delivered and a correct choice performed. Gray, mean of individual animals; black, average of all mice (n=14). Shaded region highlights period of immobility (0.6s to 2.4s post-trial initiation) d) Distribution of movement times, i.e. time taken for the animal to leave the centre port and report its choice, in completed trials (black) and broken fixation trials (green). Inset depicts the medians of movement times per animal for completed and broken fixation trials. e) Comparison of average nape trajectories, during a choice movement (-0.5 to 1.5 seconds relative to leaving the center port), for sessions of a single animal, for trials wherein animals chose the short (black) or long (gray) nose port on valid (top, black outline) or broken fixation (bottom, green outline) trials. Thinner purple lines depict single trials and circles represent the Long (Black), Initiation (Orange) and Short (Gray) nose ports. (see also: Fig. S8). f) Probability density functions of "broken fixations" over time during the immobility period (0.6s to 2.4s), contingent on subsequent choice at one of the side ports. q) Average overall "pseudo-performance" of all animals used in the photometric recordings calculated from broken fixation trials. To calculate the performance in broken fixation trials, we binned the times at which animals aborted the trial and calculated the proportion of reports at the "long choice" port over all reports. Inset depicts single animal probability of choosing long as a function of breaking fixation before (<1.5s) or after (>1.5s) the decision boundary. All error bars represent s.e.m. across animals (n = 14).

114 delivered at a delay that was randomly chosen from a set of 6 intervals, symmetric about 1.5s, and ranging from 0.6s to 2.4s. After delivery of the second tone, animals were free to choose 115 116 either of two choice ports located at an equal distance to either side of the initiation port. 117 Rewards were delivered for choices to one side ("short" choice) if the presented interval was shorter than a 1.5s decision boundary, and at the opposite choice port ("long" choice) if the 118 119 interval was longer than 1.5s. Mice learned to categorize interval stimuli much longer or shorter 120 than the decision-boundary with high accuracy (92.1±0.7%, 0.6s and 2.4s intervals, 121 mean  $\pm$  s.e.m. n = 14 mice), yet choices were more variable for intervals nearer to the

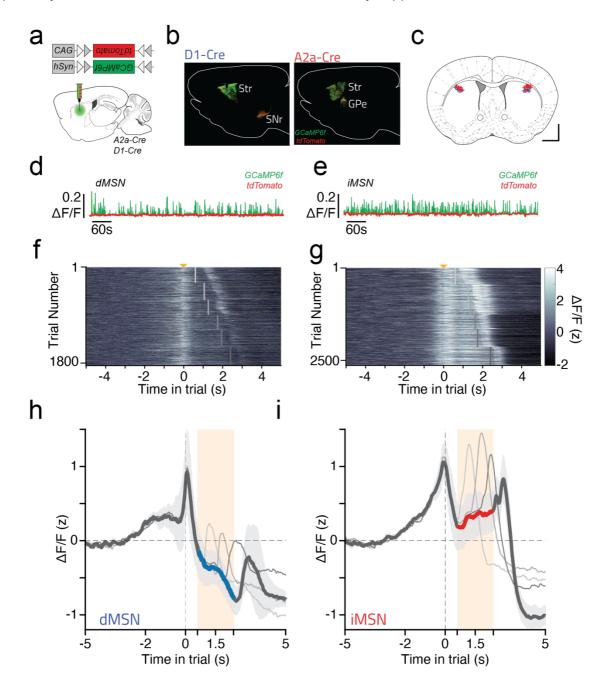
122 decision-boundary (Fig 1b). In addition, mice produced a stereotyped movement profile over each trial (Fig 1c). Movement speed increased leading to trial initiation, followed by a brief 123 124 period of postural adjustment before animals settled into immobility until the second tone was 125 delivered. Immediately following second tone delivery, movement speed increased again as 126 animals executed their choices. If animals failed to maintain fixation in the initiation port until 127 the second tone, an error tone was immediately delivered and the trial was terminated 128 (36.5±2.1% of all trials, n=14 mice). We will refer to these trials as *broken fixations* throughout 129 the text. Interestingly, animals often entered a choice port even after breaking fixation and 130 aborting the trial (52.1±4.9% of all broken fixation trials, n=14 mice). These choices were 131 executed with a similar timecourse as valid choices (Fig.1 d-e, Movement time valid trial vs 132 broken fixation trial = -31.643 [-76.89, 13.60] ms, p = 0.155, two-tailed paired t-test, Effect 133 Size, 95%[CI], p-value) and were largely "appropriate", toward the "short" choice port when 134 breaking early in a trial, and toward the "long" port when breaking late in a trial (Fig 1f-g). The 135 pattern of broken fixations reflects the overall reward associated with the two choices over time, and not the likelihood of second tone occurrence (Fig. S1). These data are consistent 136 137 with animals developing a dynamic motor plan that remains latent as long as it is successfully 138 suppressed. Failure to suppress this temptation led to premature execution of the planned 139 action.

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# Opposite modulation of striatal direct and indirect pathwaysduring action suppression

143 To probe the large-scale activity of the direct and indirect pathways for signs of functional opponency during mobility and active suppression of lateralized movements, we 144 145 recorded the activity of dMSNs and iMSNs in the dorsolateral striatum during task 146 performance. We combined mouse lines expressing Cre recombinase in either dMSNs (D1-147 Cre EY217Gsat line) or iMSNs (A2a-Cre, KG139Gsat line) with cre-dependent viral 148 expression of the calcium indicator GCaMP6f (Chen et al., 2013)(Fig. 2a-b), using coordinates 149 previously shown to contain coactive dMSNs and iMSNs during movement (Cui et al., 2013) 150 (Fig.2c). No significant differences in behavior were detected between the two mouse lines (Fig. S2). We then used fiber photometry (Matias et al., 2017; Soares et al., 2016)(Fig. 2 d-e) 151 152 to access the pooled activity of a local population of dMSNs or iMSNs in the dorsolateral 153 striatum. To determine whether gross differences in activity patterns were present across a 154 trial, we first examined the combined activity of neurons located in both hemispheres. In individual animals, we observed that activity of either dMSNs or iMSNs increased around task 155 epochs when animals were required to take action, namely trial initiation and choice execution, 156 157 consistent with the commonly observed coactivation of the two pathways (Fig. 2 f-g). Indeed, 158 across all animals, though the time courses of activity appeared to differ slightly, the mean 159 activity of the two pathways was indistinguishable around trial initiation (Fig. 2 h-i, iMSN vs. 160 dMSN = 0.141 [-1.09, 1.37]  $z\Delta F/F$ , p = 0.8065). However, activity in dMSNs and iMSNs 161 displayed marked differences during interval presentation, when mice were required to 162 suppress movement (Fig. 2 h-i). Across all animals, iMSN activity was elevated relative to 163 dMSN activity (Fig. 2 h-i, Fig. S3a, iMSN>dMSN = 0.783 [-0.071, 1.64]  $z\Delta F/F$ , p = 0.0345,). This opponent pattern grew as the period of sustained action suppression wore on, perhaps 164 165 reflecting the growing need to suppress action as expectation of cue delivery grew during a 166 trial and as mice became certain that a choice to the long port would ultimately be rewarded.

167 Indeed, we detected modest yet significant upward and downward deviations in the rate of 168 change of activity in dMSNs and iMSNs preceding broken fixations as compared to time-169 matched control periods (Fig. S3,d), indicating that disturbances in activity patterns in the two 170 pathways were associated with the failure to successfully suppress action.



**Figure 2. Medium spiny neurons of the direct and indirect pathway exhibited opposite patterns of overall activity during periods of action suppression.** a) Viral strategy used to record Ca<sup>2+</sup> signals in dorsal striatum. b) Pattern of transgene expression in A2a-Cre (iMSN) or D1-Cre (dMSN) animals in sagittal section, ~2.1mm ML. Str-Striatum, GPe-Lateral globus pallidus, SNr-Substantia nigra pars reticulata. c) Histological reconstruction of sites of fiber implantation for photometry. Coronal slice (+0.5AP) adapted from (Franklin and Paxinos, 2008). Scale bar = 1mm. d-e) example of photometric traces in direct (d) and indirect (e) pathway MSNs. f,g) Single-trial photometric data (z-scored, see methods) for all correct trials of a single D1-cre (f) and A2a-cre (g) animal across all sessions aligned to trial initiation (yellow arrow). Interval offset is represented as a vertical grey bar, where darker grey represents longer intervals. Trials were further ordered within interval by reaction time. h,i) Average activity (z-scored) across all animals of a given genotype (dMSN n=6, iMSN n=8). Darkest trace represents activity during the longest interval within the interval set (2.4

seconds) and lighter gray traces corresponding to a subset of shorter intervals. Colored segments of the trace highlight period of immobility (0.6s to 2.4s post trial initiation) wherein average activity was significantly different in the two pathways (0.6s to 2.4s, p<0.05). Error bars represent s.e.m. across animals.

171 To assess whether the need to suppress action in general might grow over time during a trial, 172 we computed the probability that mice break fixation at each time bin within the delay period 173 conditioned on their not having broken fixation up to that point, a quantity known as the hazard 174 rate. In the context of this behavioral task, computing the hazard rate as opposed to the overall 175 probability of breaking fixation (Fig. 1f, Fig. S1) effectively controls for the fact that animals 176 experienced more instances of early time bins in the delay and thus had more opportunities 177 to break fixation early in the delay. The hazard rate of broken fixation behavior demonstrates 178 that after a brief dip in broken fixation around the decision boundary, the likelihood of breaking 179 fixation rises dramatically (Fig. S1). We next examined the hazard rates of broken fixation 180 conditioned on subsequent choice, and found that the early mode in the overall hazard rate of 181 broken fixations was comprised of trials where the mice subsequently made a short choice. 182 whereas the late rise in the overall hazard was comprised of trials where mice subsequently 183 made a long choice (Fig. 3a). Notably, not only is the task requirement that mice map earlier 184 times in a trial onto action towards one side of the body and later times in a trial onto action 185 towards the other side of the body reflected in the probability of broken fixations and 186 subsequent choices over time, but the urge to break fixation in a particular direction is 187 asymmetric. The urge to break fixation and make long choices late in the trial appears to far 188 outweigh the urge to break fixation and make short choices early in the delay period. If MSN 189 activity during successfully completed trials acted to suppress these lateralized urges to act 190 early and late, we might expect differences in the time course of activity between the two 191 hemispheres. Indeed, in the hemisphere contralateral to the rewarded location for "long" 192 stimuli (contra-long, CL, Fig. 3b) iMSN and dMSN activity steadily increased and decreased 193 throughout the delay period, respectively (Fig. 3c, Fig. S3b, difference between pre and post 194 decision boundary mean activity: iMSN:CL = 0.423 [0.006 0.840]z∆F/F, p = 0.0462, dMSN:CL 195 -0.535 [-1.017 -0.054]z $\Delta$ F/F, p = 0.0272).

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197 198 In contrast, in the hemisphere contralateral to the rewarded location for "short" stimuli 199 (contra-short, CS, Fig. 3b) activity levels in both pathways were relatively constant as 200 compared to the CL hemisphere (Fig. 3c, Fig. S3b, iMSN:CS =  $-0.104 [0.521 \ 0.313]$ z $\Delta$ F/F, p 201 = 0.928, dMSN:CS -0.040[-0.052 0.442] $z\Delta F/F$ , p = 0.999)). These data reflect a situation 202 where lateralized patterns of activity reflected the strength of urge to move contralaterally over 203 time. Thus, relative levels of activity between the two hemispheres varied over time, and in 204 opposite directions in the two pathways (Fig. 3d). Such observations may indicate that BG 205 circuitry residing in a particular hemisphere is preferentially recruited to suppress movements 206 to the contralateral direction when and to the degree that the animal is tempted to move in

207 that direction.

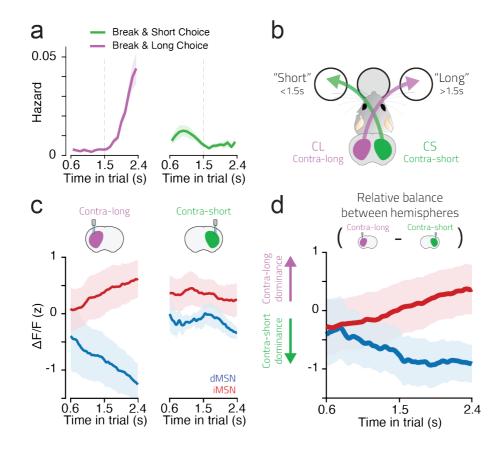


Figure 3. dMSNs and iMSNs exhibited opposite patterns of relative activity between the two hemispheres that reflected the need to suppress particular lateralized actions over time. a) Hazard rate of broken fixations trials (see methods) wherein animals subsequently made a choice at the port corresponding to a short (green) or long (purple) choice. b) Schematic of labelling convention, the three large circles represent the three nose ports present in the behavioral task apparatus. The filled grey circle represents the trial initiation port, while the unfilled circles represent the two choice ports. c) Averaged normalized activity recorded from the hemisphere contra-lateral to a long (left panel) or short choice (right panel) port (z-scored) across all iMSN (red) and dMSN (blue) mice. Only correct completed trials were included. d) Average of all pairwise differences in immobility period activity of dMSNs and iMSNs between the two hemispheres, subtracting activity recorded in hemispheres contralateral to the "long" choice port from activity recorded in hemispheres contralateral to the "short" choice port (i.e., CL activity - CS activity). Error bars represent s.e.m. across animals.

# Broadly opponent yet distinct functional contributions of striatal direct and indirect pathways to the control of action

To test whether the observed opponent patterns of neural activity in the two pathways 210 reflected true functional opponency or whether they amounted to simply a correlation with the 211 212 predictions of the opponent function hypothesis, we next performed a series of optogenetic 213 inhibition experiments. We combined the same Cre lines used to label MSNs in photometry 214 experiments with cre-dependent viral expression of the light-activated proton pump ArchT 215 (Han et al., 2011) and implanted tapered optical fibers (Pisanello et al., 2017) in the dorso-216 lateral striatum to enable inhibition of iMSN or dMSN activity during action suppression (Fig. 217 4a-c,e and Fig.5b). We first characterized the effect of photoinhibition on neuronal firing by 218 performing extracellular electrophysiological recordings from striatal neurons during a quiet awake state in the absence of a behavioral task (Fig. 4d, 5a, Fig. S4a-d). Illumination of striatal
 tissue produced rapid, sustained and reversible inhibition of firing in both iMSN-ArchT and

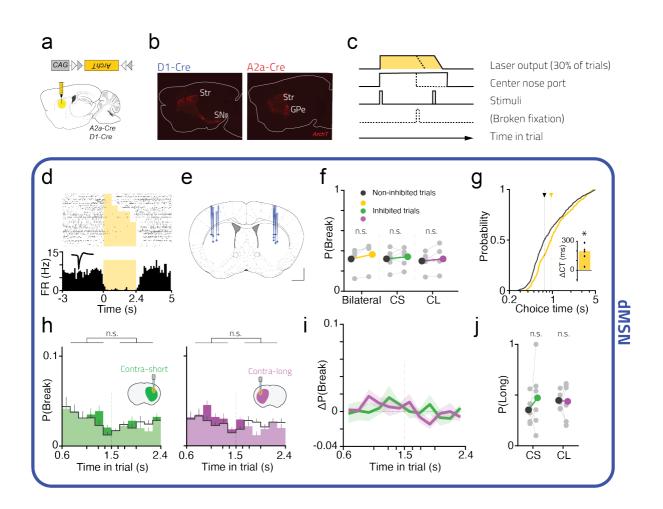


Figure 4. Optogenetic inhibition of dMSNs slowed movement, but did not affect action suppression or selection. a) Viral strategy and b) Pattern of ArchT expression in D1-Cre (dMSN) or A2a-Cre (iMSN) animals in sagittal section, ~2.1mm ML. Str-Striatum, GPe-globus pallidus externus, SNr-Substantia nigra pars reticulata, c) Protocol of optogenetic manipulation. Laser was turned on at trial onset and ramped off at stimulus offset or broken fixation, whichever occurred first. d) Raster plot (top) and PSTH (bottom) of a single dMSN exhibiting fast and reliable inhibition (see also: Fig. S4). e) Histological reconstruction of sites of fiber implantation for optogenetic experiments in D1-Cre mice. The DV coordinate is shown as the deepest position the tapered fiber lesion was observed in histological slices. Coronal slice (+0.5AP) adapted from (Franklin and Paxinos 2008). Scale bar = 1mm. f) Overall probability of breaking fixation during dMSN inhibition experiments. Colored and black dots represent data from laser-on and laser-off trials, respectively. Grey dots represent single animals. Data is shown for trials where manipulation was applied bilaterally (yellow), or unilaterally to the hemisphere either contra-lateral to the location of short choice port (CS, green) or contralateral to the long choice port (CL, purple). All broken fixations were included. g) Cumulative distribution of choice times during dMSN inhibition experiments (i.e. time to travel from the center port to a side port) after broken fixation trials for manipulated (yellow) and non-manipulated (black) conditions of all animals. Dashed lines show the median of the distributions. Inset shows the difference in medians of the two distributions for single animals (manipulated - nonmanipulated) (see also: supplementary Fig. S6). h) Probability of breaking fixation as a function of elapsed time since trial initiation, for manipulated trials (green and purple) and session matched non-manipulated trials (black outline) in sessions wherein dMSNs in the hemisphere contra-lateral to a short choice (left panel) or contra-lateral to a long choice (middle panel) (see methods). i) difference between dMSN manipulated and control distributions of the probability of breaking fixation over time. j) Probability of reporting at the "long choice" port after breaking fixation during dMSN inhibition experiments. All trials wherein the mice reported a choice after breaking fixation were included. Grey dots show single animals. All error bars represent s.e.m across mice. n.s.: p > 0.05; \*: p < 0.05, also see Supplemental Table 1.

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221 dMSN-ArchT mice, indicating similar levels of inhibition of the two pathways. We then 222 delivered green light to the fiber(s) on a random minority of trials (30%), starting at trial initiation 223 and ramping off over 250ms starting at either the second tone onset or when the animal broke 224 fixation, whichever occurred first (Fig. 4c). Bilateral optogenetic inhibition of iMSNs produced 225 a near-complete inability of mice to suppress movement during interval presentation (Fig. 5c). 226 Animals broke fixation on 35±6% (n=4 mice) of non-inhibited trials and on 86±7% of trials 227 when iMSNs were inhibited bilaterally (Fig. 5c, mixed-effects model, Interaction 228 Genotype:Hemisphere:Laser, р < 10<sup>-4</sup>, odds ratio iMSN:Bilateral:LaserOff 229 iMSN:Bilateral:LaserOn = 0.0585 [0.0362, 0.0945], P<0.05). However, levels of broken 230 fixation were unaffected by bilateral inhibition of dMSNs during equivalent trial epochs (Fig. 231 4f, odds ratio dMSN:Bilateral:LaserOff / dMSN:Bilateral:LaserOn = 0.8177[0.6271, 1.0664], 232 p = 0.3544). On broken fixation trials followed by movement toward a choice port, the first 233 250ms of this movement overlapped with the ramping off of the light stimulation. We therefore 234 asked whether these movements were affected by inhibition of either iMSNs or dMSNs. In 235 contrast to the observed effect of iMSN inhibition on action suppression, we found that dMSN 236 inhibition, but not iMSN inhibition, resulted in a significant increase in movement time during broken fixation trials (Fig. 4g, 5d, iMSN:laserOff vs iMSN:laserOn = 7.82 [-482, 497.3] ms, P 237 238 = 1; dMSN:laserOff vs dMSN:laserOn = -630.30 [-1193, 97.6] ms, P = 0.021 ), consistent with 239 a role specifically for the direct pathway in augmenting the vigor of movements (Turner and 240 Desmurget, 2010). Furthermore, dMSN activity in the DLS was specifically necessary for 241 movement invigoration immediately in advance of movement initiation, as movement times 242 were not affected during valid trials, when the laser began ramping off during the time it took 243 animals to initiate their choice movement, nor in a subset of experiments when inhibition was 244 applied during execution of the choice movement, starting when animals left the initiation port 245 (Fig. S5).

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248 Given the observed interhemispheric dynamics in the photometry signals collected 249 from MSNs (Fig. 3), we next asked whether unilateral MSN inhibition would disrupt action 250 suppression preferentially at the times when activity in a given hemisphere appeared to be 251 most engaged. Indeed, while unilateral iMSN inhibition produced a more modest increase in 252 broken fixations overall (Fig. 5c), the timing of broken fixations was systematically related to 253 the laterality of inhibition. Mice were more likely to break fixation early or late when iMSNs 254 contralateral to the "short" or "long" choice were inhibited, respectively, as compared to non-255 inhibited trials (Fig. 5e). Taking the difference in probability of breaking fixation between 256 inhibited and control trials as a function of time during the trial gives a measure of the time-257 course of the effect on action suppression for each hemisphere. Superimposed, these two 258 measures cross near the 1.5s decision boundary (Fig. 5f, right, Fig. S6a), mirroring the precise 259 contingency between reward and action over time during a trial. These data provide strong 260 evidence that proactive suppressive control is handed off from the indirect pathway of one 261 hemisphere to its counterpart on the opposite hemisphere around the time animals should be 262 switching the direction of their planned movement from one side of the body to the other. Once 263 again, we observed no consistent effects of inhibiting dMSNs on the timing of broken fixations 264 (Fig. 4h-i).

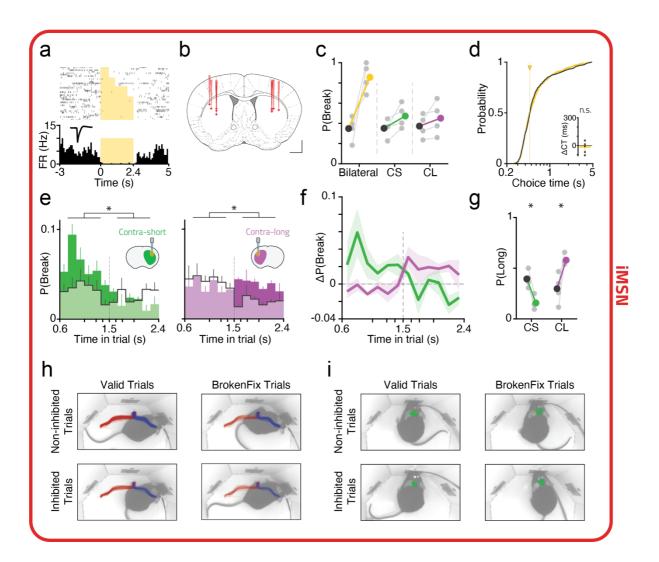


Figure 5. Optogenetic inhibition of iMSNs disrupted action suppression and selection, but did not affect movement speed. a) Raster plot (top) and PSTH (bottom) of a single iMSN exhibiting fast and reliable inhibition. recorded from an untrained animal (see also: Fig. S4). b) Histological reconstruction of sites of fiber implantation for optogenetic experiments in A2a-Cre mice. The DV coordinate is shown as the deepest position the tapered fiber lesion was observed in histological slices. Coronal slice (+0.5AP) adapted from (Franklin and Paxinos 2008). Scale bar = 1mm. c) Overall probability of breaking fixation during iMSN inhibition experiments. Colored and black dots represent data from laser-on and laser-off trials, respectively. Grey dots represent single animals. Data is shown for trials where manipulation was applied bilaterally (yellow), or unilaterally to the hemisphere either contra-lateral to the location of short choice port (CS, green) or contra-lateral to the long choice port (CL, purple). All broken fixations were included. d) Cumulative distribution of choice times during iMSN inhibition experiments (i.e. time to travel from the center port to a side port) after broken fixation trials for manipulated (yellow) and non-manipulated (black) conditions of all animals. Dashed lines show the median of the distributions. Inset shows the difference in medians of the two distributions for single animals (manipulated - non-manipulated) (see also: supplementary Fig. S5). e) Probability of breaking fixation as a function of elapsed time since trial initiation, for manipulated trials (green and purple) and session matched non-manipulated trials (black outline) in sessions wherein iMSNs in the hemisphere contra-lateral to a short choice (left panel) or contra-lateral to a long choice (middle panel) (see methods). f) difference between iMSN manipulated and control distributions of the probability of breaking fixation over time. g) Probability of reporting at the "long choice" port after breaking fixation during iMSN inhibition experiments. All trials wherein the mice reported a choice after breaking fixation were included. Grey dots show single animals. h) Example trajectories for a single animal aligned to center-out or for choices to the "Long port" (red) or "Short pot" (blue) for all conditions combinations (i.e. Trial type x Manipulation). i) Same as in h), but with trials aligned to trial initiation. Also see Fig. S7. All error bars represent s.e.m across mice. n.s.: p > 0.05; \*: p < 0.05, also see Supplemental Table 1.

265 Lastly, given the widely assumed importance of BG circuits in action selection, we 266 asked whether inhibition of MSNs affected the probability that particular actions were 267 executed. When inhibiting iMSNs, we observed a consistent increase in the probability that an animal would execute a choice to the port contralateral to the site of iMSN inhibition, as 268 269 compared to non-inhibition trials, after breaking fixation (Fig. 5g). This effect did not simply 270 reflect the fact that animals were more likely to make short or long choices after early or late 271 broken fixations, respectively, because it was present in broken fixations made both before 272 and after the decision-boundary (Fig. S6c). Features of the choice movements following iMSN 273 inhibition, as quantified through high-speed tracking of the animals' nape, were 274 indistinguishable from choice movements performed in the absence of iMSN inhibition (Fig. 275 5h-i, Fig. S7). Once again, we observed no significant effect of unilateral dMSN inhibition on 276 lateralized choice behavior after broken fixations (Fig. 4i) (odds ratio iMSN:CL:LaserOff /iMSN:CL:LaserOn = 0.257[0.1430, 0.463], P<10<sup>-4</sup>, iMSN:CS:LaserOff / iMSN:CS:LaserOn 277 278 = 3.369[1.7069, 6.651], P<10<sup>-4</sup>, dMSN:CL:LaserOff / dMSN:CL:LaserOn = 0.917[0.4484, 1.875], p=1 dMSN,CS,LaserOff / dMSN,CS,LaserOn = 0.737[0.3782, 1.438], p = 0.87), even 279 280 when dMSN inhibition was applied during execution of the choice movement (Figure S5). 281 These data, together with the observed inter-hemispheric dynamics of the endogenous activity 282 of iMSNs, strongly suggest that the indirect pathway of a given hemisphere is engaged to 283 suppress contralateral movements when those particular actions would be tempting and thus 284 in greater need of suppression.

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## 286 Discussion

287

Here we demonstrate for the first time, to our knowledge, clear activity signatures of large-scale functional opponency between neurons initiating the two major BG pathways in the normal, non-pathological state. In particular, we observe opposite patterns of activity in the two pathways when movements must be proactively and persistently suppressed.

293 Action suppression can be broadly separated into two classes. Reactive suppression 294 involves stopping behavior in course when presented with an external stimulus. In contrast, 295 proactive suppression involves selectively inhibiting particular response tendencies using 296 advance knowledge. The behavioral context we studied requires proactive suppression of time 297 varying response tendencies to move to the left or right. When subjects were required to 298 suppress the urge to move in a given direction, on average iMSNs located contralaterally to 299 that direction exhibited higher levels of activity than iMSNs located ipsilaterally. Consistent 300 with these data, functional magnetic resonance imaging data in humans and 301 electrophysiological data in non-human primates suggests that iMSNs might be selectively 302 engaged to proactively suppress action (Amita and Hikosaka, 2019; Ford and Everling, 2009; 303 Majid et al., 2013; Watanabe and Munoz, 2010). Leveraging the genetic access to iMSNs and 304 dMSNs afforded by the use of mice as a model organism, our data clearly establishes not only 305 that iMSNs in the DLS were selectively engaged as animals suppressed particular lateralized 306 response tendencies, but that iMSNs, and not dMSNs, were necessary for it. In addition, 307 successful action suppression relied on iMSNs in a given hemisphere at different points in 308 time depending on learned task demands, indicating that specific subpopulations of iMSNs 309 can be deployed to dynamically shape action suppression in time. While multiple studies to

310 date have observed coactivation of dMSNs and iMSNs around movement (Cui et al., 2013; 311 Markowitz et al., 2018; Tecuapetla et al., 2014), a finding we reproduce here, transient 312 decorrelation of activity between the two pathways has been reported around transitions 313 between actions in a behavioral sequence (Markowitz et al., 2018). Given our observations 314 that activity in the two pathways is decorrelated or even anti-correlated during proactive action 315 suppression, we hypothesize that previously reported transient decorrelations between the 316 two pathways may arise when the brain must largely suppress the production of actions at 317 behavioral transitions.

318

319 The current data also demonstrate distinct contributions of dMSNs and iMSNs in the 320 DLS to aspects of motor function beyond proactive action suppression. Bradykinesia seen in 321 PD patients is thought to result primarily from the loss of dopamine neurons in the Substantia 322 nigra pars compacta (SNc) (Albin et al., 1989). Prior studies have identified that both 323 dopaminergic input to the striatum and the activity of striatal neurons, in particular dMSNs, are 324 important for invigorating movement (Panigrahi et al., 2015). However, it was not clear based 325 on previous work whether iMSN activity is necessary for invigorating movement. Here we 326 show that inhibiting dMSN activity slowed movement, consistent with previous work, yet this 327 did not affect which action was selected. Conversely, we show that iMSN inhibition did not 328 lead to less vigorous movements, but instead disrupted the suppression of actions, and that 329 this disruption reflected at least some degree of action specificity in iMSN function as it 330 occurred alongside a change in the likelihood that lateralized actions were produced. Based 331 on the current data, it remains uncertain the degree to which iMSNs act on specific actions, 332 although in sensorimotor striatum we might expect iMSNs in a given location to act on actions 333 involving parts of the body represented in the somatosensory motor cortical areas that provide 334 input to that striatal location (Hintiryan et al., 2016).

335

336 At first glance, our results may appear to conflict with studies demonstrating that 337 optogenetic activation of dMSNs is sufficient to alter action selection. However, sufficiency 338 does not imply necessity, and our experiments, by inhibiting iMSNs and dMSNs, represent a 339 test of whether activity in these two cell types is necessary for specific features of behavioral 340 control. In addition, many of the studies that have demonstrated an effect of optogenetic 341 activation of dMSNs on action selection have focused on dorso-medial striatum (DMS) (Kravitz 342 et al., 2012; Tai et al., 2012), and here we focus on DLS. However, our recordings from the 343 striatum revealed that optogenetic activation of iMSNs or dMSNs in DLS, unlike the 344 optogenetic inhibition employed in our behavioral experiments, resulted in clear inhibition of 345 other MSNs (Fig. S4). Thus, behavioral effects of activating dMSNs or iMSNs could potentially 346 be influenced by inhibition of neurons of the non-targeted MSN class. Careful titration of 347 optogenetic activation to match physiologically normal ranges of activity might thus represent 348 a critical improvement on methods for relating the effects of optogenetic activation of targeted 349 cell types to their normal function during behavior (Coddington and Dudman, 2018).

350

Interestingly, recovery of function in an experimental model of PD has been found to be associated with a return to near normal levels of iMSN but not dMSN activity, consistent with some degree of primacy for the indirect pathway in action production (Parker et al., 2018). Together with the data presented here, these results suggest the intriguing possibility that the indirect pathway provides an inhibitory "mask", specifying which actions not to produce, while the direct pathway provides a gain signal, as opposed to an action selection signal (Mink, 1996), for commands that are pushed through the mask. In this view, the selective function of

the sensorimotor BG circuits on actions can be predominantly produced through the indirect pathway, a novel proposal that should serve as a basis for future experiments. In the present data, both pathways were more active around movements toward the contralateral side of the recording site (Figure S8), suggesting that suppressing and promoting signals may be targeted towards nearby regions in the space of possible actions, perhaps in part by mechanisms in other brain systems such as the cortex, thalamus, and cerebellum (Mink, 1996; Park et al., 2020).

365

366 Here we focus on MSNs in the dorsolateral striatum, sometimes termed sensorimotor 367 striatum due to the preponderance of inputs from sensory and motor cortical areas that 368 terminate there (Hintiryan et al., 2016; Hunnicutt et al., 2016; Wall et al., 2013). Though we 369 targeted this specific region, our measure of neural activity, as in other studies employing photometry, pooled within a class of MSNs with likely diverse tuning properties. While previous 370 371 work has identified correlations between MSN firing and kinematic or motivational variables (Klaus et al., 2017; Lau and Glimcher, 2007; Markowitz et al., 2018; Rueda-Orozco and 372 373 Robbe, 2015), the lack of conclusive information regarding the tuning properties of individual 374 MSNs has been a recurring issue in efforts to determine the functional importance of the direct 375 and indirect pathways. For example, experiments might well be blind to functional organization 376 wherein neurons in the two pathways controlling specific actions produce anti-correlated activity, because the granularity with which actions are encoded by striatal neurons, and 377 378 resultantly, how to design a behavioral scenario that would isolate these representations, is 379 beyond current understanding.

380

381 We were able to circumvent the general problem of diverse and unknown selectivity of 382 neurons in two ways. First, by training animals to remain immobile for an extended period in 383 the task, we pushed the brain toward suppressing the majority of actions, a behavioral 384 manipulation we hypothesized to have a common effect on the neurons in a given cell class regardless of their selectivity for actions. Second, the striatum in a given hemisphere shows 385 386 enhanced functional involvement in contralateral movements (Kravitz et al., 2010; Schwarting 387 and Huston, 1996). By training animals to perform a task wherein the relative value of left/right 388 lateralized movements was varied over time during a prolonged period of action suppression, 389 and observing or manipulating activity on one hemisphere at a time, we demonstrate a circuit 390 mechanism by which dorso-lateral striatal circuits of the BG can control generalized movement 391 vigor or the suppression of actions.

392

393 Adaptive behavior fundamentally involves the interplay of action promoting and action 394 suppressing mechanisms. The data presented here demonstrate that in sensorimotor 395 striatum, elements of the direct and indirect BG pathways can express opposite patterns of 396 modulation and be required for generally opponent yet distinct promoting and suppressing 397 aspects of motor function. Knowledge of how circuits in other regions of the striatum, the BG 398 at large, or elsewhere in the brain mediate this interplay represents a critical avenue toward a 399 fundamental understanding of animal behavior. Such knowledge also has the potential to 400 inform the engineering of artificial systems that can behave appropriately in complex 401 environments, as well as a mechanistic understanding and the design of effective therapies 402 for neurological and neuropsychiatric disease.

- 403
- 404
- 405 **Data availability statement:** The data and analysis code that support the findings of this

406 study are available from the corresponding author upon reasonable request.

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422 Author contributions: J.J.P. and B.F.C. conceived of the experiments and wrote
423 the manuscript. S.S. assisted in analysis of the fiber photometry data and revised the
424 manuscript. B.F.C. carried out all experiments and analyzed the data. J.J.P. supervised all
425 aspects of the project.

426

**Competing interests:** The authors declare no competing financial interests.

## 427 Methods

#### 428

429

#### Key Resources Table:

430

Reagent or Resource	Source	Identifier		
Antibodies				
Antibodies	1	1		
Rabbit Anti-GFP	Invitrogen	Cat# A-6455		
Mouse Anti-mCherry	abcam	Cat# ab125096		
Bacterial and Virus Strains				
AAV1-Syn-Flex-GCaMP6f	University of Pennsylvania Vector Core	N/A		
AAV1-CAG-Flex-tdTomato	University of Pennsylvania Vector Core	N/A		
AAV5-CAG-FLEX-ArchT- tdTomato	Addgene	Cat# 28305		
AAV9-EF1a-double floxed- hChR2(H134R)-EYFP- WPRE-HGHpA	Addgene	Cat# 20298		
Experimental Models: Organ	isms/Strains			
D1-Cre mouse line (EY217)	(Gerfen et al., 2013)	N/A		
A2a-Cre mouse line (KG139)	(Gerfen et al., 2013)	N/A		
Ai32(RCL- ChR2(H134R)/EYFP) mouse line	(Madisen et al., 2012)	N/A		
Software and Algorithms				
MATLAB	MathWorks	https://www.mathworks.com/		
Python3	Python	https://www.python.org/		
R	The R Project for Statistical Computing	https://www.r-project.org/		

Bonsai	(Lopes et al., 2015)	https://bonsai-rx.org/		
Kilosort2	(Pachitariu et al.)	https://github.com/MouseLan d/Kilosort2		
Phy GUI	https://github.com/cortex- lab/phy	<u>https://github.com/cortex-</u> <u>lab/phy</u>		
R Package <i>Ime4</i>	(Bates et al., 2015)	https://cran.r- project.org/web/packages/Im e4/index.html		
Other				
Behavior Apparatus	This paper	All designs available upon request		
Photometry setup	Adapted from (Soares et al., 2016)	N/A		
Silicon Probe + Optic fiber	Cambridge Neurotech	ASSY 77-H2		
OpenEphys Acquisition Board	(Siegle et al., 2017)	https://open-ephys.org/acq- board		

431

#### 432 Animals:

433 Adult (over 2 months) male and female mice of A2a:cre (KG139) and D1:cre (EY217) 434 lines (Gerfen et al., 2013) were used for this study under the protocol approved by the 435 Champalimaud Foundation Animal Welfare Body (Protocol Number: 2017/013), the 436 Portuguese Veterinary General Board (Direcção-Geral de Veterinária, project approval 0421/000/000/2018) and in accordance with the European Union Directive 2010/63/EEC. Mice 437 438 were group housed prior to surgical procedures and singly housed following surgery in an 439 inverted 12h dark/light cycle. Mice were maintained under water deprivation for all behavioral 440 experiments (>80% body weight from baseline ad libitum period before deprivation).

#### 441 Behavioral apparatus:

442 The behavioral box (20 x 17 x 19 cm), contained 3 nose ports and a speaker. The 443 behavioral box consisted of 3 front walls (135 degree angle between the center and the side 444 walls) 2 side walls and a back wall with a 90 degree angle between them. Each of the three 445 front walls contained a nose port equipped with an infrared emitter/sensor pair to access port entry and exit times. The central nose port was defined as the trial initiation port, and choices 446 447 were reported at the lateral nose ports. For correct trials, a 4-6 µL calibrated water reward was 448 delivered using a solenoid valve. Tones were delivered through a speaker mounted on the 449 center wall. All sensors and effectors in the behavioral box were read and controlled using a 450 microprocessor (Arduino Mega 2560, Arduino) via a custom circuit board. The task was 451 implemented by the microprocessor, which outputted data via a serial communication port to

452 a desktop computer running custom Python-based software. High-speed video was acquired
453 at 120fps and 640\*480 pixel resolution (FL3-U3-13S2, FLIR).

454

#### 455 Behavioral task:

456 Mice were trained to categorize interval durations as either short or long by performing right and left choices as previously described in (Soares et al., 2016). Briefly, mice self-initiated 457 458 trials by entering the central nose port, triggering the delivery of a pair of tones (7,500 Hz, 150 459 ms) separated by one of 6 randomly uniformly sampled selected intervals (0.6, 1.05, 1.26, 460 1.74, 1.95 and 2.4 s or 0.6, 1.26, 1.38, 1.62, 1.74, and 2.4 s. Stable performance was usually 461 achieved after 3-4 months of training. Trial availability was not signaled to the animal but intertrial onset interval was kept constant within each animal (7-8 s). Thus, initiation port entries 462 463 before the point that a trial became available were ineffectual. After the first tone was 464 presented, mice were required to maintain interruption of the center nose port IR beam until 465 the second tone was delivered, we refer to this action as "fixation" throughout the text. If the 466 mouse departed the port before the second tone, an error tone (150ms of white noise) was 467 played and the next trial availability delayed (timeout). We refer to these trials as "broken 468 fixations". To prevent incorrectly flagging trials as broken fixations due to short sporadic state 469 transitions in the IR beam, we only counted a trial as broken fixation after the beam had been 470 continuously uninterrupted for 50 ms. After both tones were played, mice reported their 471 judgments by entering one of the two laterally located nose ports over the next 10 seconds. 472 For intervals shorter than a 1.5 s category boundary, responses were reinforced at one of the 473 lateral ports. For intervals longer than 1.5 s, responses were reinforced at the opposite port. 474 Incorrect responses were followed by a white noise burst (150 ms) and a timeout (12-18s 475 inter-trial onset interval). The short/long vs right/left contingencies were counterbalanced 476 across animals. Therefore, we adopt the nomenclature of Contra-short/Contra-long 477 hemisphere throughout the paper. Sessions typically lasted 2 hours.

# 478

Psychometric functions were fit using a 4-parameter logistic function:

$$P(x) = (u - l) \times \frac{e^{\frac{x-b}{s}}}{1 + e^{\frac{x-b}{s}}} + l,$$

479

480 where P is the performance of the animal on interval x, u and l the upper and lower 481 asymptote of the curve, respectively, b the bias parameter and s the slope parameter. 482 Sessions wherein overall performance, was below 70% were excluded for any further 483 analysis.

484

485 Unless otherwise stated, broken fixations before the earliest interval (0.6s) were 486 excluded from analysis, as these often reflected the failure of animals to properly settle in the 487 initiation port.

488 To calculate hazard of breaking fixation at a particular time bin in trial, H(k), we used 489 the following equation:

$$H(k) = \frac{B(k)}{\sum_{j=k}^{T} B(j) + \sum_{j=k}^{T} C(j)}, \quad t < k < t + \Delta t$$

490 491

492

493 where B(k) is the number of broken fixations that occured at time bin k,  $\sum_{j=k}^{T} B(j)$ 494 the sum of all broken fixations that occured in the time bins greater or equal to k, up until the 495 longest possible interval T (2.4 seconds), and  $\sum_{j=k}^{T} C(j)$  the sum of all completed trials 496 that occured from time bin k until T.

- 497
- 498

#### Viral injections and fiber implantation:

499

500 All surgeries were performed with mice under isoflurane anesthesia (1-2% at 0.8 501 L/min). We stereotaxically targeted the dorsolateral striatum (DLS, coordinates below) 502 bilaterally for all viral deliveries.

After achieving stable performance (usually >3 months), mice were allowed to regain baseline weight and were subject to viral injection and fiber implantation in the same surgery. Mice health was assessed daily and after at least 5 days, the water deprivation regime was reinstated. To make sure subjects recovered their pre-surgery performance before data collection sessions (photometry or optogenetics), they were gradually retrained in the task without and then with fibers attached. Upon reaching stable performance (1-2 weeks), data collection began. We alternated recorded/manipulated hemisphere every day.

510 For fiber photometry experiments, we injected 300nL of a mixture of two viruses: 511 AAV1-Syn-Flex-GCaMP6f (titer ~1 x 10^13 gc/mL; University of Pennsylvania Vector Core) 512 and AAV1-CAG-Flex-tdTomato (titer ~0.5 x 10^12 gc/mL; University of Pennsylvania Vector Core), at a 5:1 ratio, in DLS striatum (single injection, AP 0.5mm, ML 2.1mm, DV 2.6mm from 513 514 pia) using an automated microprocessor controlled microinjection pipette with micropipettes 515 pulled from borosilicate capillaries (Nanoject II, Drummond Scientific). Injections were 516 performed at 0.2 Hz with 2.3 nL injection volumes per pulse. For all injections, the micropipette 517 was kept at the injection site 10 min before withdrawal. After injection, we implanted, 518 bilaterally, 2 fibres (MFC 200/245-0.53 ZF1.25(G) FLT, DORIC LENSES) 200 µm above the 519 injection site.

520 For optogenetic inhibition experiments, we injected AAV5-CAG-FLEX-ArchT-521 tdTomato (titer 10^13 gc/mL, Addgene) in the DLS. For each hemisphere, we made two 522 injections (500nL, AP 0.5mm, ML 2.1mm, DV 2.7mm and 3.1mm from bregma) and implanted 523 one fibre (Lambda-B fibre 200um core, 0.39NA, 1.5mm emitting length, Optogenix) near the 524 injection site (AP 0.5mm, ML 2.1mm, DV 3.5mm from bregma).

- 525
- 526

#### 527 Fiber photometry

528 The photometry apparatus was adapted from (Matias et al., 2017). For all experiments, 529 a single blue laser was coupled to a patchcord (100 µm core diameter, 0.22 NA) and 530 connected to a collimator adapter (EFL 4.5 mm, NA 0.50) and a neutral density filter. Dichroic mirrors were fixed inside the main unit, allowing for 473 nm light delivery and GCaMP6f and 531 532 tdTomato fluorescence detection. The 473 nm light was coupled into a patchcord (200 µm 533 core diameter, 0.48 NA) using a lens (EFL 4.5 mm, NA 0.50) and a rotatory joint. The 534 patchcord was mated to one of two chronically implanted optical fibers (200 µm core diameter, 535 0.48 NA). Laser intensities at the patchcord tip, before mating to the chronically-implanted

fiber, were 15-40µW. For detection of GCaMP6f fluorescence, light was collected by the lens,
transmitted and reflected by the dichroics before final filtering and focusing into a
photodetector. For detection of tdTomato fluorescence, light was collected by the lens and
transmitted through all dichroics before final filtering and focusing into a second photodetector.
Photodetector output was digitized at 1 kHz (PCIe 6351, National Instruments) and recorded
using custom software in Bonsai (Lopes et al., 2015).

#### 542 Fiber photometry data analysis

543 All photometry data analysis was performed with custom MATLAB software. Raw data 544 was downsampled to 100Hz and low-pass filtered at 20Hz. Slow fluctuations were removed 545 by subtracting a fitted polynomial to the raw signal (order < 5). For each session,  $\Delta F/F$  was 546 calculated for both channels as  $\Delta F/F_t = (F_t - F_0) / F_0$ , where  $F_0$  was calculated as the 10th lower 547 percentile from the filtered signal. Similarly to (Soares et al., 2016), robust regression using 548 GCaMP6f and tdTomato  $\Delta$ F/F was performed and the coefficient estimates were used to 549 calculate a predicted GCaMP6f  $\Delta$ F/F based on the observed tdTomato  $\Delta$ F/F. This predicted 550 GCaMP6f  $\Delta$ F/F was then subtracted to the observed GCaMP6f  $\Delta$ F/F to calculate the corrected 551  $\Delta$ F/F. In order to compare across sessions, each session's corrected  $\Delta$ F/F was z-scored using 552 the mean and standard deviation calculated from a baseline period (5 to 2 seconds before trial 553 onset). Signals for individual trials were then re-zeroed by subtracting the average of a period 554 of 5 to 2 seconds before trial onset.

In order to compare the rate of change of activity aligned to broken fixations to timematched valid trials (Fig. S3c-d) we began by calculating the first derivative of the corrected  $\Delta$ F/F for each trial. For each broken fixation trial, we aligned the trace to the timestamp of the broken fixation and, in order to compare to a time-matched valid trial, we cropped the average trace of all valid trials to the same length and aligned to the time of the broken fixation. We repeated this process for all broken fixation trials.

561

#### 562 Acute recordings

563 To confirm the ability to inhibit medium spiny neurons, we performed acute recordings 564 in the dorsal striatum of untrained animals. Briefly, similarly to trained animals, we virally 565 expressed ArchT in D1-Cre or A2a-Cre animals. After 3-5 weeks of viral expression, we 566 implanted a small headpost and a ground pin contralateral to the recorded hemisphere. After 567 allowing animals to recover, a small round craniotomy (1.5mm diameter) was opened over the 568 same coordinates as the virus injection. Recordings were performed while animals were head-569 restrained using custom built headbar holders and on top of a passively rotatable cylinder.

A silicon probe (ASSY 77-H2, Cambridge NeuroTech) with a tapered optical fibre, identical to the one used for optogenetic inhibition during behavior, glued to the back was slowly lowered into dorsal striatum. Electrophysiology and laser modulation data were acquired at 30kHz with OpenEphys hardware (Siegle et al., 2017) and Bonsai (Lopes et al., 2015).

575 Every 10 to 25 seconds, an interval was drawn from the set [0.60,1.05,1.95, 2.40]s 576 and light was continuously delivered during that duration. The range of power used was 577 identical to that used during the task and, similarly, was ramped off for 250ms after the drawn 578 delay had elapsed.

In a second batch of animals, we tested the extent of excitation and inhibition when activating medium spiny neurons using ChR2. To achieve ChR2 expression we virally expressed ChR2 (AAV9-EF1a-double floxed-hChR2(H134R)-EYFP-WPRE-HGHpA, Addgene) or we used double transgenic mice (A2a-Cre or D1-Cre crossed with Ai32 mouse line (Madisen et al., 2012)). Light intensity was set to 0.5-1mW at the end of the fiber. The remainder of the protocol was identical.

- 585 Electrophysiology data was sorted using Kilosort2 (<u>github.com/MouseLand/Kilosort2</u>) 586 and manually curated using Phy (<u>github.com/cortex-lab/phy</u>).
- 587 Cells that fired, on average, less than 1 / 2.4 spikes/s (detection limit during inhibition) 588 during the baseline were excluded from analysis.
- 589 To determine if a cell was modulated, we compared the firing rate in a baseline period 590 prior to light delivery (-3 to -1s) to the average firing rate during the stimuli. We computed a t-591 test per cell and considered whether a cell was significantly modulated after correcting for 592 multiple comparisons (p < 0.05/(Total number of recorded units)).
- 593 To classify units as putative medium spiny neurons we used previously described 594 criteria (Benhamou et al., 2014; Yael et al., 2013) based on firing statistics and waveform 595 duration. Briefly, we classified units as putative medium spiny neurons if baseline firing rate 596 was less than 10Hz, coefficient of variation greater than 1.5 and waveform duration greater 597 than 600ms.
- 598

#### Optogenetic manipulations during task performance:

A 556nm laser (500mW, Optoelectronics Technology) was used as a light source to
activate ArchT. Briefly, the output of the laser was aligned to an acousto-optic modulator (AOM
MTS110-A3-VIS, AA OPTO-ELECTRONIC) and fibre launched into a patchcord (200 μm core,
0.48NA). The output of the patchcord was then connected to a power splitting rotary joint
(FRJ\_1x2i\_FC-2FC\_0.5, DORIC) and finally into 1 or 2 patch cords (200 μm core, 0.48NA)
that connected to the animal's implanted tapered fibres (Lambda-B fibre 200um core, 0.39NA,
1.5mm emitting length, Optogenix).

The light intensity was controlled by modulating the AOM using a dedicated Arduino Mega 2560 board connected to a DAC board (MCP4725, Sparkfun). Regardless of the stimulation duration, all protocols included a 250ms linear ramping off, designed to reduce the potential for rebound excitation (Chuong et al., 2014).

The inhibition protocol was applied on a randomly selected 30% of trials. Light was continuously delivered, with the onset aligned to the initiation of a trial (centre nose port) and lasting until second-tone delivery or the exit of the subject from the centre-poke, whichever occurred first. For all analyses of optogenetic manipulation data, the first 15 trials of each session were excluded. Laser power was set to be 23 to 31mW at the end of the fibre for all animals.

- For a subset of direct pathway animals (n=3, Fig. S5), a third protocol was used wherein light was turned at termination of the second tone up until choice or 400ms, whichever occurred first.
- Each day, we changed the location of the inhibition (CS or CL, n=4 A2a-cre, n=6 D1cre) and, after collecting data from the unilateral manipulation conditions, we silenced both hemispheres simultaneously (n=4 A2a-Cre and n=4 D1-Cre). Data from 4/6 and 3/5 D1 and A2a-Cre animals was acquired for all conditions (i.e. unilateral and bilateral). For single animals, unless otherwise stated, all analyses were performed by concatenating all trials from all sessions of the same manipulation condition.

To calculate the fraction of trials wherein animals broke fixation as a function of time, in control versus manipulated trials (i.e. Fig. 4h-i and Fig. 5e-f), we took for each condition all trials wherein animals broke fixation after 0.6s and calculated their probability density as a function of time over all trials of that condition (control vs manipulated).

629

#### 630 Movement trajectories

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632 Offline markerless tracking of mouse position was performed using DeepLabCut 633 targeting the nape of each animal (Mathis et al., 2018). Data was further smoothed with a 634 100ms (12 frames) median filter.

635 To compare trajectories between different conditions (Fig. S7), we first computed a 636 null distribution of integrated euclidean distances over time within a reference condition (non-637 stimulated broken fixation condition), using all pairwise trial combinations. We then compared 638 pairs of trials where one trial was taken from a test condition, and the other from the reference 639 condition, for all pairwise combinations, resulting in a distribution of integrated euclidean 640 distances between reference and test trials. To compute distances between positions from 641 trials of differing duration, the longer trial of the pair was cropped to match the duration of the 642 shorter. To compare across trial pairs of different duration, we normalized euclidean distances 643 by trial duration, resulting in a measure of the average euclidean distance between reference 644 and test trial per unit time. We then computed for each animal an area under the receiver 645 operating characteristic curve (auROC), and asked whether the distribution of auROCs was 646 significantly different from 0.5 using a two-tailed t-test.

647

#### 648 Statistics

649 Statistical analyses were done using MATLAB and R. Unless otherwise stated, we 650 used mixed effects models to test for fixed effects across experiments while specifying random 651 intercepts per animal implemented using the R package Ime4 (Bates et al., 2015) using data 652 from relevant single trials. To test the significance of relevant main effects, we report Anova F-statistics with Satterthwaite adjusted degrees of freedom for the linear mixed models and 653 654 Wald chi-squared tests for the generalized linear mixed models. We report marginal means and post hoc contrasts (t-statistics), with Tukey correction for multiple comparisons, using the 655 656 R package emmeans (Lenth, 2016; Searle et al., 1980) as (Effect Size + 95%[CI], p-value) 657 throughout the paper. Summary of comparisons along with additional details is included in Supplemental Table 1. All tests are two-tailed, unless otherwise stated in the Supplemental 658 659 Table 1.

#### 660 Immunohistochemistry and microscopy:

661 Histological analysis was performed after all experiments to confirm optical fiber 662 placement and expression patterns of transgenes. Mice were administered with a lethal dose 663 of pentobarbital (Eutasil, 100 mg/kg intraperitoneally) and perfused transcardially with 4% 664 paraformaldehyde. The brains were removed from the skull, stored for 24 hours in 4% 665 paraformaldehyde, and then kept in PBS until sectioning. A vibratome or cryostat was used to

section the brain into 50 or 40 μm thick slices that were then immunostained with antibodies
against GFP (A-6455, 1:1000, Invitrogen) and tdTomato (ab125096, 1:1000, abcam). Finally,

all slices were incubated in DAPI. Images were acquired with a confocal microscope (LSM

669 710, ZEISS) or a slide scanner (Axio Scan.Z1,ZEISS).

#### 670 Supplemental Information

#### 671 Supplemental Table 1

# 672 **Summary of linear mixed model comparisons.**

Figure	Formula (Wilkinson Notation)	Test	Reported Relevant Independent Variable or Contrast	Dependent variable	Effect size (Effect[9 5%CI])	Statistic	P-value (corrected )	# Mice
2h-i	Genotype*H emisphere + (1 Animal)	Linear mixed effects me trial of 2.4seconds from Additionally, if the activi	0.6 to 2.4 seconds)	in the indirect path	hway is great	er than in the o	lirect pathway.	-
		One-tailed Post-Hoc, Tukey correction	Genotype (iMSN > dMSN), averaged over Hemisphere	Mean ΔF/F [0.6:2.4s]	0.749[- 0.065,1.5 6] (Average d over hemisphe re)	t(12.5) = 2.004	0.0341	8 iMSN, 6 dMSN
		One-tailed Post-Hoc, Tukey correction	Genotype (iMSN vs dMSN)	Mean ΔF/F [0:0.6]	0.102[- 1.11,1.31 ] (Average d over hemisphe re)	t(12.1) = 0.034	0.8573	8 iMSN, 6 dMSN
3с	Genotype*H emisphere + (1 Animal)	Linear mixed effects m We took the average ac [1.5,2.4], for each longe	tivity in the period be	fore the 1.5s deci	sion boundar	y [0.6:1.5[ and	after the decis	
		Mixed Anova with Satterthwaite's approximated d.f.	Genotype:Hemis phere	Mean ΔF/F		F(1, 10.953) = 22.28	<0.001	8 iMSN, 6 dMSN
		Post-Hoc, Tukey correction	iMSN:CL vs 0		0.4228[0. 006, 0.840]	t(12.5)=2.9 49	0.0462	
		Post-Hoc, Tukey correction	dMSN:CL vs 0		-0.5354[- 1.017,- 0.054]	t(12.5)=- 3.265	0.0272	
		Post-Hoc, Tukey correction	iMSN:CS vs 0		-0.1043[- 0.521, 0.313]	t(12.5)=- 0.430	0.9275	
		Post-Hoc, Tukey correction	dMSN:CS vs 0		-0.0395[- 0.521,0.4 42]	t(12.5)=- 0.212	0.9988	
4f,5c	Genotype*H emisphere*L aser+ (1 Animal)		ed-model for the pro		0.521,0.4 42] ng fixation of	0.212		of Genotype,

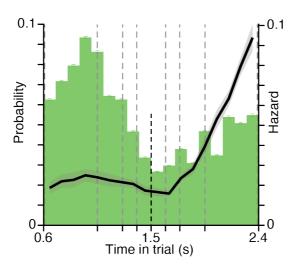
		Post-Hoc, Tukey correction	iMSN:Bilateral:La serOff vs iMSN:Bilateral:La serOn		0.0585[0. 0362, 0.0945]	t(asymp) = -19.361	<10 <sup>-4</sup>	4
		Post-Hoc, Tukey correction	iMSN:CL:LaserO ff vs iMSN:CL:LaserO n		0.7510[0. 5784, 0.9750]	t(asymp) = -3.584	0.0176	4
		Post-Hoc, Tukey correction	iMSN:CS:LaserO ff vs iMSN:CS:LaserO n		0.6245[0. 4809,0.8 110]	t(asymp) = -5.888	<10 <sup>-4</sup>	4
		Post-Hoc, Tukey correction	dMSN:Bilateral:L aserOff vs dMSN:Bilateral:L aserOn		0.8177[0. 6271, 1.0664]	t(asymp) = -2.477	0.3544	4
		Post-Hoc, Tukey correction	dMSN:Bilateral:L aserOff vs dMSN:Bilateral:L aserOn		0.9052[0. 7121,1.1 505	t(asymp) = -1.358	0.9712	6
		Post-Hoc, Tukey correction	dMSN:Bilateral:L aserOff vs dMSN:Bilateral:L aserOn		0.8687[0. 6801, 1.1096]	t(asymp) = -1.879	0.7723	6
4g,5e	Genotype*H emisphere*L aser+ (1 Animal)	Generalized linear mix Hemisphere(CS or CL) Contrasts are reported	, and manipulation,					
		Wald test	Genotype:Hemis phere:Laser	Broken Fixation ~[0,1]		X <sup>2</sup> <sub>(1)</sub> = 9.9902	0.0016	6dMSN, 4iMSN
		Post-Hoc, Tukey correction	iMSN:CL:LaserO ff vs iMSN:CL:LaserO n		0.409[0.2 83, 0.589]	t(asymp) = -7.41	<10 <sup>-4</sup>	4
	Broken fixations BEFORE the decision boundary	Post-Hoc, Tukey correction	iMSN:CS:LaserO ff vs iMSN:CS:LaserO n		0.904[0.6 08, 1.342]	t(asymp) = -0.776	0.9943	4
	(<1.5s)	Post-Hoc, Tukey correction	dMSN:CL:Laser Off vs dMSN:CL:Laser On		1.046[0.7 24, 1.511]	t(asymp) = 0.370	1.0000	6
		Post-Hoc, Tukey correction	dMSN:CS:Laser Off vs		1.054[0.7 19,	t(asymp) = 0.414	0.9999	6
			dMSN:CS:Laser On		1.544]			
		Wald test		Broken Fixation ~[0,1]	1.544]	X <sup>2</sup> <sub>(1)</sub> = 12.9339	0.0003	6dMSN, 4iMSN
	Broken	Wald test Post-Hoc, Tukey correction	On Genotype:Hemis		1.544] 1.060[0.7 48, 1.501]		0.0003	
	Broken fixations AFTER the decision boundary (>1.5s)	Post-Hoc, Tukey	On Genotype:Hemis phere:Laser iMSN:CL:LaserO ff vs iMSN:CL:LaserO		1.060[0.7 48,	12.9339 t(asymp) =		4iMSN

		Post-Hoc, Tukey correction	dMSN:CS:Laser Off vs dMSN:CS:Laser On		0.739[0.5 33, 1.026]	t(asymp) = 2.796	0.0958	6	
4j,5g	Genotype*H emisphere*L aser+ (1 Animal)	Generalized linear mixe of Genotype, Hemisphe					eaking fixation	, as a function	
		Wald test	Genotype:Hemis phere:Laser	Choice "long" ~[0,1]		X <sup>2</sup> <sub>(1)</sub> = 40.4507	<10 <sup>-4</sup>	6dMSN, 4iMSN	
		Post-Hoc, Tukey correction	iMSN:CL:LaserO ff vs iMSN:CL:LaserO n		0.257[0.1 430, 0.463]	t(asymp) = -7.003	<10 <sup>-4</sup>	4	
		Post-Hoc, Tukey correction	iMSN:CS:LaserO ff vs iMSN:CS:LaserO n		3.369[1.7 069, 6.651]	t(asymp) = 5.414	<10 <sup>-4</sup>	4	
		Post-Hoc, Tukey correction	dMSN:CL:Laser Off vs dMSN:CL:Laser On		0.917[0.4 484, 1.875]	t(asymp) = -0.368	1.000	6	
		Post-Hoc, Tukey correction	dMSN:CS:Laser Off vs dMSN:CS:Laser On		0.737[0.3 782, 1.438]	t(asymp) = -1.382	0.8659	6	
Fig.4g,5d	Genotype*La ser + (1 Animal)	Linear mixed effects model to test the differences in the time animals take to report their choice at one of the side ports after breaking fixation, as a function of genotype and manipulation.							
		Mixed Anova with Satterthwaite's approximated d.f.	Genotype:Laser	BrokenFixatio n Mov. Time		F(1,2454.9 6) = 4.8377	0.02794	5 iMSN, 6 dMSN	
		Post-Hoc, Tukey correction	iMSN:LaserOff vs iMSN:LaserOn		7.82 [- 482, 497.3]	t(2454.77) = 0.041	1.0000	5	
		Post-Hoc, Tukey correction	dMSN:LaserOff vs dMSN:LaserOn		-630.3[- 1193,97. 6 ]	t(2455.11) = -2.880	0.0209	6	
Fig.S5	Genotype*La ser + (1 Animal)	Linear mixed effects me ports, after successfully delay period.							
		Mixed Anova with Satterthwaite's approximated d.f.	Genotype:Laser	Mov. Time		F(1,14272. 7) = 2.481	0.115	5 iMSN, 6 dMSN	
	Movement Time(Delay)	Post-Hoc, Tukey correction	iMSN:LaserOff vs iMSN:LaserOn		14.0 [- 12.5, 40.5]	t(14273.21 ) = 1.353	0.529	5	
	1	Post-Hoc, Tukey correction	dMSN:LaserOff vs dMSN:LaserOn		-6.3[ 26.0, 13.4]	t(14271.67 ) = -0.820	0.845	6	
Fig.S5	Laser + (1 Animal)	Linear mixed effects mo after successfully comp decision epoch. (this ex	oleting a trial (Mover	ment time, decision	on) between				
	Movement Time(Decisio n)	Mixed Anova with Satterthwaite's approximated d.f.	Laser	Mov. Time		F(5194.0) = 2.481	0.70	3 dMSN	

	Post-Hoc, T correction	ſukey	LaserOff vs LaserOn	-2.8 [- 16.9, 11.3]	t(14273.21 ) = -0.390	0.70	3 dMSN

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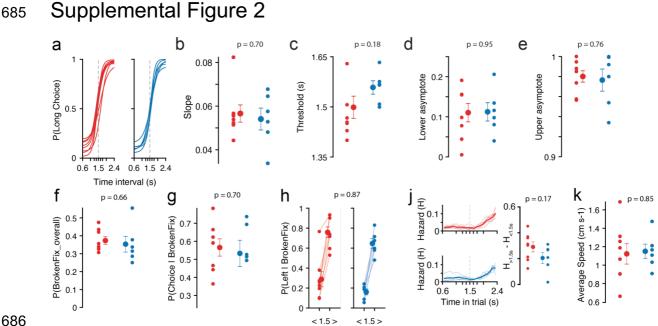
#### 675 Supplemental Figure 1



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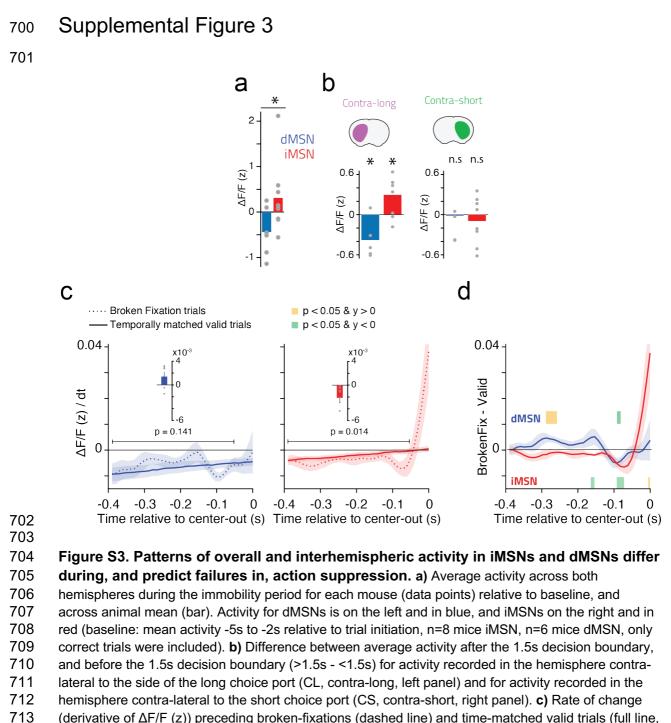
Figure S1. Mice were least likely to break fixation near the decision boundary, and became increasingly likely to break fixation as time elapsed past the decision boundary. Probability density function of Broken fixation occurrence as a function of time since first tone (green) and corresponding hazard rate (black full line). Grey dashed lines represent times at which a second tone might occur. Black dashed line represents the decision boundary (1.5s). All error bars represent s.e.m. across animals (n = 14).

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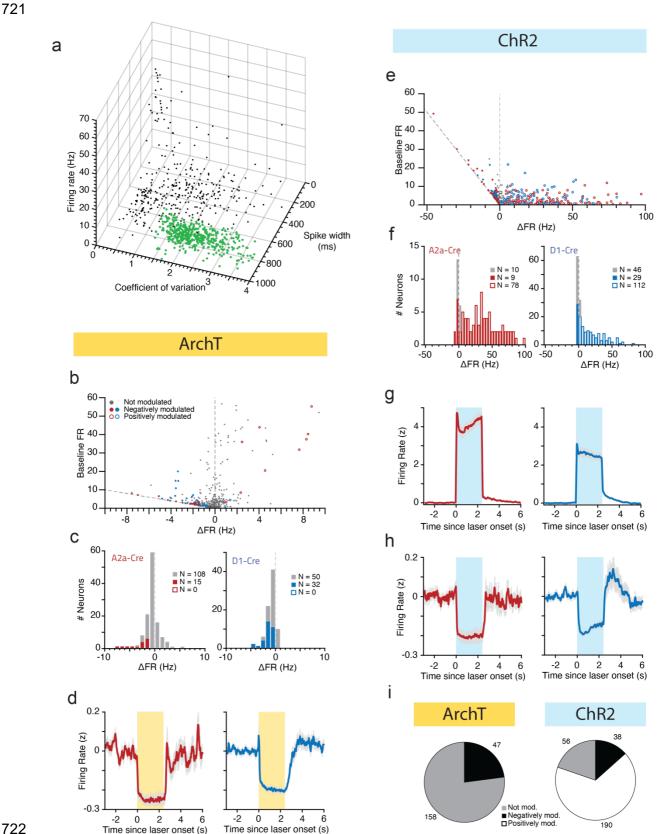
#### 687

688 Figure S2. No significant differences in behavior were detected between genotypes. 689 A2a-Cre and D1-Cre single animals, included in the photometry experiments, are shown in red and 690 blue, respectively. a-e) Single animal psychometric curve (a) fits and respective parameters (b-e) (see 691 Methods for further details). f) Overall probability of breaking fixation (all trials included). g) Percentage 692 of trials wherein animals attempted to make a choice after breaking fixation (all trials included). h) 693 Probability of reporting at the "long choice" port after breaking fixation contingent on whether the animal 694 aborted before (<-1.5s) or after (>1.5s) the decision boundary. j) Left, Hazard of breaking fixation in 695 time for single animals (thin curves) and overall averages within genotype (thick lines). Right, 696 differences between the hazard of breaking fixation after and before the decision boundary. k) Mean 697 velocity during the delay period from correct trials of the longest interval (2.4 seconds). Data from Figure 698 1c). P-values correspond to unpaired t-tests between genotypes.Error bars represent S.E.M. across 699 animals sharing the same genotype.



- 714 see methods) from D1-cre (blue) or A2a-Cre (red) animals. Insets represent the difference between
- 715 the overall average activity of Broken fixation and valid trials from -0.4 to -0.05 s. Grey dots depict
- single animals. d) Difference between the broken fixation and valid traces plotted in c for each
- genotype. Shaded areas show times wherein the activity between the rate of change of broken
- fixations is significantly greater (yellow) or smaller (green) than valid trials (two-tailed paired t-test) for
- direct (blue, top) and indirect pathway (red, bottom) recorded animals. n.s.: p>0.05, \*: p<0.05.

720 Supplemental Figure 4





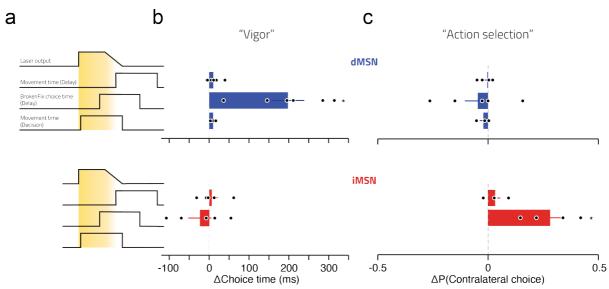


726 but also inhibition of pMSNs. a) Identification of putative medium spiny neurons based on firing 727 statistics and waveform duration (see methods). Green data points indicate pMSNs. b) Changes in 728 firing rate during light delivery and baseline period versus the baseline firing rate of all recorded units 729 (Including non pMSN units) from A2a-Cre (red) and D1-Cre (blue) animals. Significantly negative or 730 positively modulated cells (see Methods) are shown as closed and open circles, respectively. Maximum 731 theoretical inhibition is plotted as a grey dashed line ( $-\Delta FR = Baseline FR$ ). c) Distribution of changes 732 in firing rate during the period of light delivery for putatively labeled MSN units. d) Overall average 733 peristimulus time histogram (PSTH) of all negatively modulated cells, putatively labeled as MSNs, 734 recorded from A2a-Cre (n=15 cells) and D1-Cre (n=32 cells) mice. All units were z-scored (see 735 methods). Error bars represent s.e.m. across neurons. e-f) Same as b-c) but for animals expressing 736 ChR2. g-h) Overall average peristimulus time histogram (PSTH) of all positively (g) and negatively (h) 737 modulated cells, putatively labeled as MSNs, recorded from A2a-Cre (n=78 and 9 cells) and D1-Cre 738 (n=112 and 29 cells) mice. i) Overall proportion of putative MSNs modulated when activating ArchT or 739 ChR2. (obtained from the data from c and f, respectively).





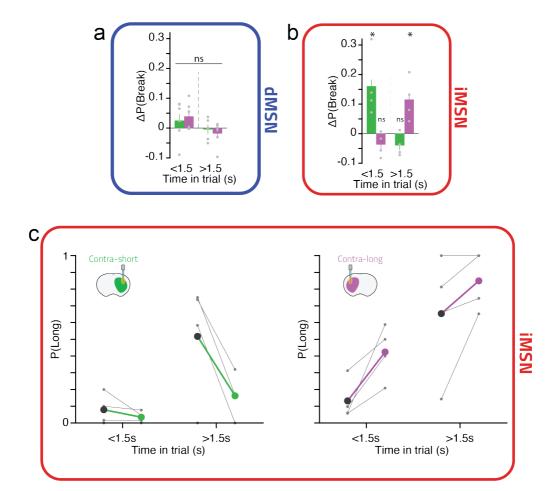
742



743 Figure S5 - Manipulation-induced changes in vigor and action selection depended on

744 MSN type. a) Cartoon depicts the three different manipulated trial types: Movement Time (Delay), 745 laser was ramped off as the second tone is played (n=6 and 5 animals, for D1-Cre and A2a-Cre, 746 respectively). BrokenFix Choice Time (Delay), laser was ramped off as the animal leaves the centre 747 port causing a broken fixation (n=6 and 5 animals). MovementTime (Decision) laser was turned on as 748 the second tone is played until the animal either performs its choice or 400ms elapse, whichever occurs 749 first (n=3 D1-Cre animals).b) Differences in single animal's median choice time between inhibited and 750 non-inhibited trials. Error bars represent S.E.M. across animals sharing the same genotype. c) 751 Differences in the choice probability relative to the site of unilateral stimulation (Contralateral Choice) 752 between manipulated (n = 5 D1-cre and n=4 A2a-cre) and non-manipulated trials \* p < 0.05 (see 753 Supplemental Table 1).

#### 754 Supplemental Figure 6

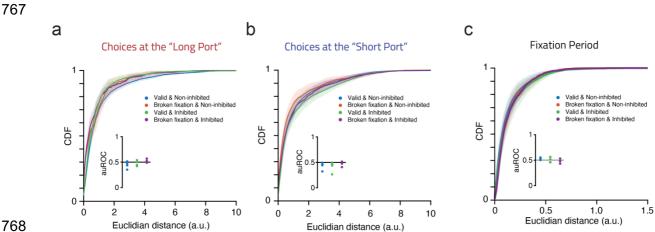


#### 755

#### 756 Figure S6. Single animal distributions of the effect of unilateral optogenetic inhibition

757 on broken fixation behavior. Same data as in figures 4h and 5f but pooled of periods before (<1.5s) 758 or after (>1.5s) the decision boundary. Single dots represent single animals, bars represent means 759 across animals, green: inhibition performed on the hemisphere contralateral to the side of the short 760 choice port, purple: inhibition performed on the hemisphere contralateral to the long choice port, for 761 dMSN inhibition experiments a) or iMSN inhibition experiments b). n.s.: p>0.05, \*: p<0.05. c) Bias to 762 report a contra-lateral choice after inhibition of iMSNs is not explained by the tendency of mice to make 763 particular choices after breaking fixation early or late in the delay. Each panel, one for manipulations 764 performed in each hemisphere, depicts the data shown in Fig. 5d further split by whether fixation was 765 broken before or after the 1.5s decision boundary.

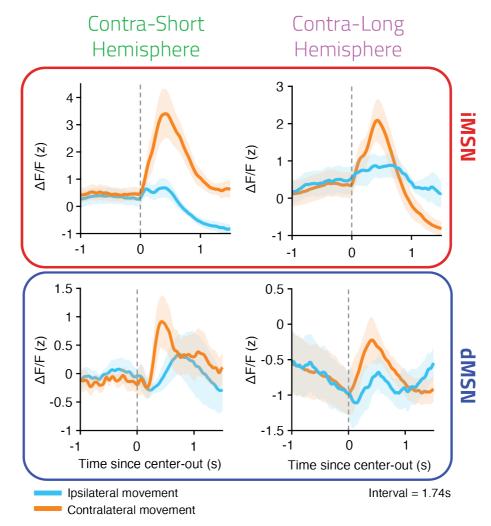
#### 766 Supplemental Figure 7





770 Figure S7. Indirect pathway inhibition did not affect movement trajectories. Quantification 771 of movement trajectory differences between conditions from Fig. 5h-i. a-c) Distribution of pairwise 772 euclidean distances (see methods for details) between all indicated conditions and the non-inhibited 773 broken fixation condition. Inset represents the area under the curve of the receiver operating 774 characteristic curve (auROC) calculated between the tested distributions and the non-inhibited broken 775 fixation condition. a) and b) distributions were generated from choice trajectories to the "Long" and 776 "Short" ports, respectively, whereas c) was generated from data during the fixation period. For all 777 analysis, only sessions with unilateral inhibition were used. When comparing a broken fixation condition 778 during a fixation period, only data up until the animal's nose left the center port was used. Error bars 779 represent S.E.M. across animals (n=4). No significant effects were detected across animals (p > 0.05, 780 two-tailed t-test).

#### 781 Supplemental Figure 8



782 783

784 Figure S8. Both direct and indirect pathways are more active during contra-lateral

785 movements. Photometry signal aligned to leaving the center-port during a near boundary interval
 786 (1.74s). Same dataset as Figure 3. Error bars represent S.E.M. across animals sharing the same
 787 genotype.

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789

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